

Due date: Monday, August 17, 2015

Marking scheme: Full marks for a formulation that correctly answers the question and clearly shows the steps to obtain the solution.

Solutions to be submitted electronically by email to Peter.Baumgartner@nicta.com.au, or on paper to a lecturer of this course. Neatly hand-written solutions are of course acceptable.

Question 1 (Truth tables, 6 pts). Consider the following formulas:

- (a) $P \vee Q \rightarrow \neg P \wedge Q$
 (b) $(P \rightarrow Q) \rightarrow (\neg Q \rightarrow \neg P)$

- (1) Evaluate (a) and (b) under the interpretation $I = \{P \mapsto \text{true}, Q \mapsto \text{false}\}$.
 (2) Compute the truth tables for (a) and (b). Are (a) and (b) satisfiable? valid?

Solution.

(1-a) Let $F = P \vee Q \rightarrow \neg P \wedge Q$. It holds $I(F) = \text{false}$ as per the following table:

P	Q	$\neg P$	$P \vee Q$	$\neg P \wedge Q$	F
1	0	0	1	0	0

(1-b) Let $F = (P \rightarrow Q) \rightarrow (\neg Q \rightarrow \neg P)$. It holds $I(F) = \text{true}$ as per the following table:

P	Q	$\neg P$	$\neg Q$	$P \rightarrow Q$	$\neg Q \rightarrow \neg P$	F
1	0	0	1	0	0	1

(2-a)

P	Q	$\neg P$	$P \vee Q$	$\neg P \wedge Q$	F
0	0	1	0	0	1
0	1	1	1	1	1
1	0	0	1	0	0
1	1	0	1	0	0

The formula (a) is satisfiable and not valid.

(2-b)

P	Q	$\neg P$	$\neg Q$	$P \rightarrow Q$	$\neg Q \rightarrow \neg P$	F
0	0	1	1	1	1	1
0	1	1	0	1	1	1
1	0	0	1	0	0	1
1	1	0	0	1	1	1

The formula (b) is satisfiable and valid.

Question 2 (Structural induction, 10 pts). Define recursively a function **trans** on formulas as follows, where A is a propositional variable and F_1 and F_2 are formulas:

$$\begin{array}{ll}
 \text{trans}(\top) = \top & \text{trans}(\perp) = \perp \\
 \text{trans}(A) = \neg A & \text{trans}(F_1 \vee F_2) = \text{trans}(F_1) \vee \text{trans}(F_2) \\
 \text{trans}(\neg F_1) = \neg \text{trans}(F_1) & \text{trans}(F_1 \rightarrow F_2) = \text{trans}(F_1) \rightarrow \text{trans}(F_2) \\
 \text{trans}(F_1 \wedge F_2) = \text{trans}(F_1) \wedge \text{trans}(F_2) & \text{trans}(F_1 \leftrightarrow F_2) = \text{trans}(F_1) \leftrightarrow \text{trans}(F_2)
 \end{array}$$

- (1) Compute $\text{trans}(P \vee Q \rightarrow \neg P \wedge Q)$.
- (2) Let I be an interpretation and I' be the interpretation such that

$$I'[A] = \text{true} \text{ if } I[A] = \text{false}, \text{ and } I'[A] = \text{false} \text{ if } I[A] = \text{true}.$$

Let F be any formula. Prove that $I \models F$ if and only if $I' \models \text{trans}(F)$.

Solution.

- (1) We have

$$\begin{aligned} \text{trans}(P \vee Q \rightarrow \neg P \wedge Q) &= \text{trans}(P \vee Q) \rightarrow \text{trans}(\neg P \wedge Q) \\ &= \text{trans}(P) \vee \text{trans}(Q) \rightarrow \text{trans}(\neg P) \wedge \text{trans}(Q) \\ &= \neg P \vee \neg Q \rightarrow \neg \neg P \wedge \neg Q \end{aligned}$$

- (2) **Induction start.** If $F = \perp$ or $F = \top$ the result follows trivially. If $F = A$ for some propositional variable A we have

$$\begin{aligned} I \models A &\text{ iff } I' \models \neg A && \text{(by definition of } I') \\ &\text{ iff } I' \models \text{trans}(A) && \text{(by definition of trans)} \end{aligned}$$

Induction step. Let F_1 and F_2 be formulas. In all cases below, assume as the induction hypothesis

$$I \models F_1 \text{ iff } I' \models \text{trans}(F_1) \text{ and } I \models F_2 \text{ iff } I' \models \text{trans}(F_2) \quad (\text{I.H.})$$

We carry out a case analysis on the shape of F .

If $F = \neg F_1$ then

$$\begin{aligned} I \models \neg F_1 &\text{ iff } I \not\models F_1 && \text{(by definition of } \neg) \\ &\text{ iff } I' \not\models \text{trans}(F_1) && \text{(by I.H.)} \\ &\text{ iff } I' \models \neg \text{trans}(F_1) && \text{(by definition of } \neg) \\ &\text{ iff } I' \models \text{trans}(\neg F_1) && \text{(by definition of trans)} \\ &\text{ iff } I' \models F && \end{aligned}$$

If $F = F_1 \wedge F_2$ then

$$\begin{aligned} I \models F_1 \wedge F_2 &\text{ iff } I \models F_1 \text{ and } I \models F_2 && \text{(by definition of } \wedge) \\ &\text{ iff } I' \models \text{trans}(F_1) \text{ and } I' \models \text{trans}(F_2) && \text{(by I.H.)} \\ &\text{ iff } I' \models \text{trans}(F_1) \wedge \text{trans}(F_2) && \text{(by definition of } \wedge) \\ &\text{ iff } I' \models \text{trans}(F_1 \wedge F_2) && \text{(by definition of trans)} \\ &\text{ iff } I' \models F && \end{aligned}$$

The proof for all other cases is similar and is omitted.

Question 3 (Tableau calculus, 10 pts). Consider again the following formulas:

- (a) $P \vee Q \rightarrow \neg P \wedge Q$
- (b) $(P \rightarrow Q) \rightarrow (\neg Q \rightarrow \neg P)$

For each of these formulas use the Tableau method to prove their validity or invalidity.

Solution.

(a) Let's assume that $P \vee Q \rightarrow \neg P \wedge Q$ is not valid.

1. $I \not\models P \vee Q \rightarrow \neg P \wedge Q$ (assumption)
2. $I \models P \vee Q$ (by 1 and \rightarrow)
3. $I \not\models \neg P \wedge Q$ (by 1 and \rightarrow)

We have two cases:

- 4a. $I \models P$ (by 2 and \vee)
- 4b. $I \models Q$ (by 2 and \vee)

We have two cases below 4a:

- 5a. $I \not\models \neg P$ (by 3 and \wedge)
- 5b. $I \not\models Q$ (by 3 and \wedge)
- 6a. $I \models P$ (by 5a and \neg)

We have (the same) two cases below 4b:

- 7a. $I \not\models \neg P$ (by 3 and \wedge)
- 7b. $I \not\models Q$ (by 3 and \wedge)
- 8a. $I \models P$ (by 5a and \neg)
- 8b. \perp (by 4b and 7b)

There is a non-contradictory branch. Hence the formula (a) is not valid.

(a) Let's assume that $(P \rightarrow Q) \rightarrow (\neg Q \rightarrow \neg P)$ is not valid.

1. $I \not\models (P \rightarrow Q) \rightarrow (\neg Q \rightarrow \neg P)$ (assumption)
2. $I \models P \rightarrow Q$ (by 1 and \rightarrow)
3. $I \not\models \neg Q \rightarrow \neg P$ (by 1 and \rightarrow)
4. $I \models \neg Q$ (by 3 and \rightarrow)
5. $I \not\models \neg P$ (by 3 and \rightarrow)
6. $I \models P$ (by 5 and \neg)
7. $I \models Q$ (by 4 and \neg)

We have two cases:

- 8a. $I \not\models P$ (by 2 and \rightarrow)
- 8b. $I \models Q$ (by 4 and \rightarrow)
- 9a. $I \models \perp$ (by 6 and 8a)
- 9b. \perp (by 7 and 8b)

All branches are contradictory. Hence the formula (b) is valid.

Question 4 (Normal form, 4pts). Compute the NNF and the CNF of $\neg(P \wedge \neg(Q \vee \neg R))$.

Solution. NNF:

$$\begin{aligned} \neg(P \wedge \neg(Q \vee \neg R)) & \quad \text{(given)} \\ \neg P \vee \neg\neg(Q \vee \neg R) & \quad \text{(De Morgan law)} \\ \neg P \vee (Q \vee \neg R) & \quad \text{(remove double negation)} \end{aligned}$$

The CNF is $\neg P \vee Q \vee \neg R$.

Question 5 (Semantic trees and DPLL, 12pts). Consider the clause set

$$N = \{P \vee Q, \neg P \vee Q, \neg Q \vee R \vee P, \neg R, \neg P \vee R\} .$$

- (1) Compute a closed semantic tree for N .
- (2) Compute $\text{simplify}(N, \neg R)$.
- (3) Is there a clause $C \in N$ such that $N \setminus \{C\}$ is unsatisfiable? If so, which one?

Solution. (1) Let the clauses in N be numbered as follows:

$$P \vee Q \quad (1)$$

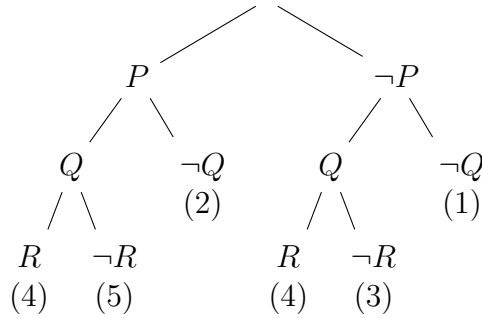
$$\neg P \vee Q \quad (2)$$

$$\neg Q \vee R \vee P \quad (3)$$

$$\neg R \quad (4)$$

$$\neg P \vee R \quad (5)$$

Closed semantic tree for N :



(2)

1. Remove all clauses from N that contain $\neg R$:

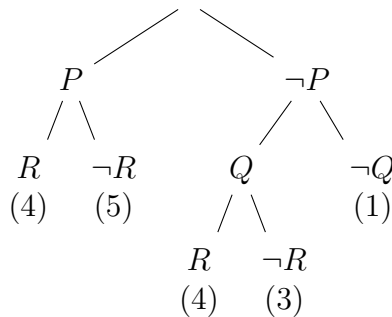
$$N' = \{P \vee Q, \neg P \vee Q, \neg Q \vee R \vee P, \neg P \vee R\}$$

2. Delete R from all remaining clauses N' :

$$N'' = \{P \vee Q, \neg P \vee Q, \neg Q \vee P, \neg P\}$$

That is, $\text{simplify}(N, \neg R) = N''$

(3) Yes, $N \setminus \{\neg P \vee Q\}$ is unsatisfiable. This is demonstrated by the following closed semantic tree for $N \setminus \{\neg P \vee Q\}$:



Question 6 (Resolution calculus, 8 pts). Consider the clause set

$$N = \{P \vee Q \vee Q, \neg P \vee Q, \neg Q \vee R \vee P, \neg R \vee \neg R, \neg P \vee R\}$$

Find a resolution refutation of N .

Solution.

1. $P \vee Q \vee Q$ (given)
2. $\neg P \vee Q$ (given)
3. $\neg Q \vee R \vee P$ (given)
4. $\neg R \vee \neg R$ (given)
5. $\neg P \vee R$ (given)
6. $P \vee Q$ (Fact. 1)
7. $Q \vee Q$ (Res. 6 into 2)
8. Q (Fact. 7)
9. $R \vee P$ (Res. 8 into 3)
10. $R \vee R$ (Res. 9 into 5)
11. R (Fact. 10)
12. $\neg R$ (Res. 11 into 4)
13. \square (Res. 11 into 12)