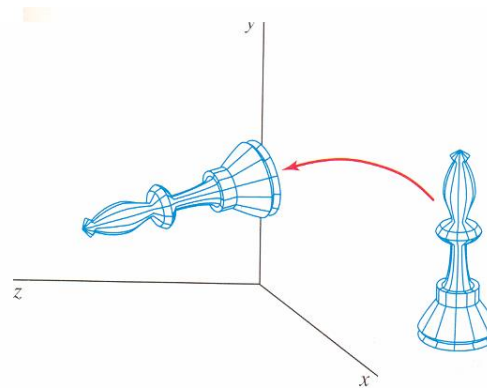




3D Transformations



Overview

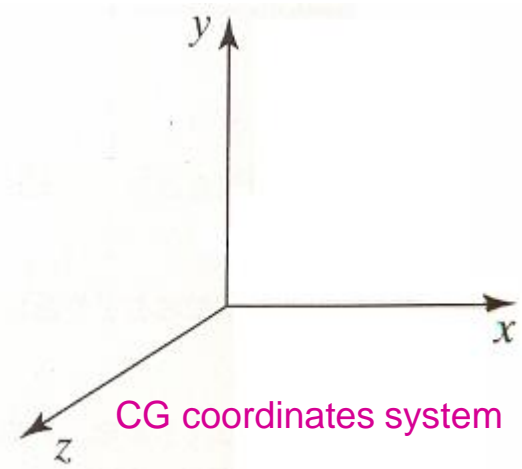
- 3D Translation
- 3D Scaling
- 3D Rotations
- Other Transformations: Symmetry / Shearing
- Concatenating Transformations
- Transformations in OpenGL / WebGL
- Application Examples

3D TRANSFORMATIONS

- 3D transformations are a **generalization** of the known 2D transformations
- In 2D, rotations were carried out on the XOY plane (i.e., around axes perpendicular to that plane)
- In 3D, rotations can be carried out around any 3D axis
- A point defined in the 3D space is represented, in **homogeneous coordinates**, by a column-vector with 4 elements

$$P = (x, y, z)$$

$$P_h = \begin{bmatrix} x \\ y \\ z \\ \mathbf{1} \end{bmatrix}$$



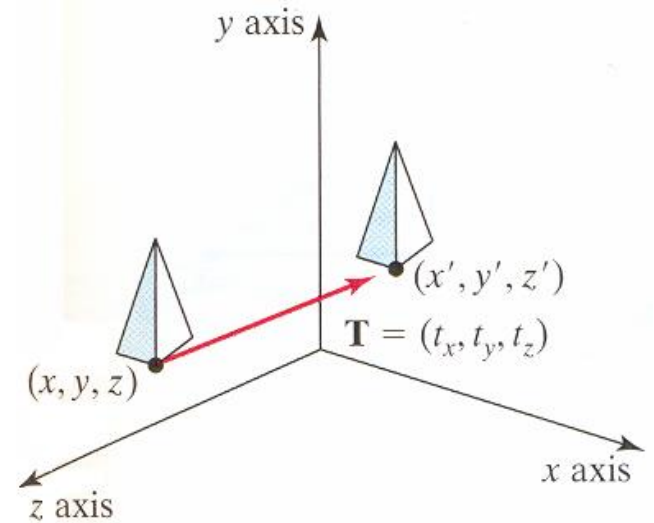
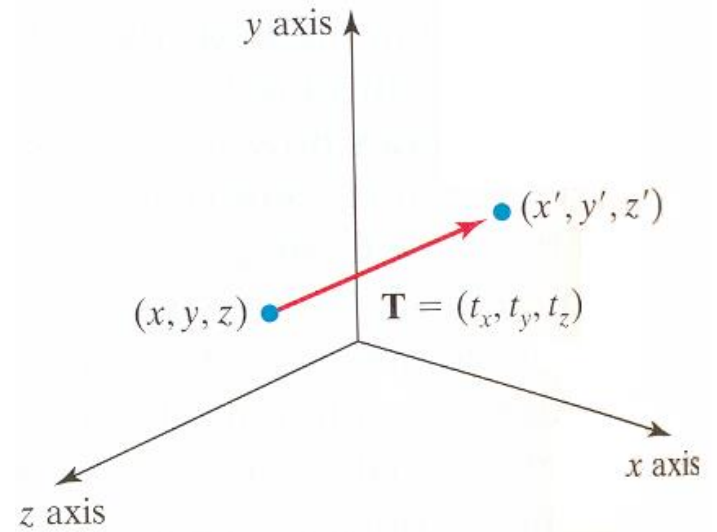
3D TRANSLATION

Translation

$$x' = x + t_x \quad y' = y + t_y \quad z' = z + t_z$$

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$\mathbf{P}' = \mathbf{T} \cdot \mathbf{P}$$



3D SCALING

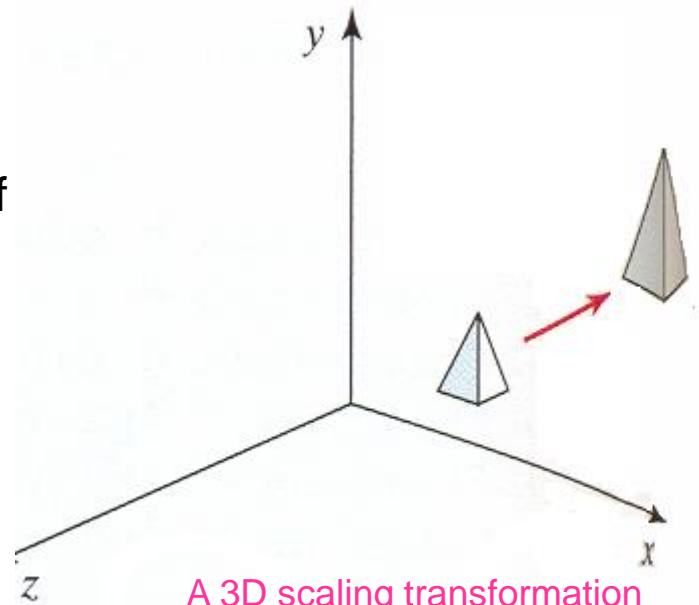
Scaling

- The 3D scaling matrix is a generalization of the 2D scaling matrix

$$\mathbf{S} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{P}' = \mathbf{S} \cdot \mathbf{P}$$

$$x' = s_x \cdot x, \quad y' = s_y \cdot y, \quad z' = s_z \cdot z$$

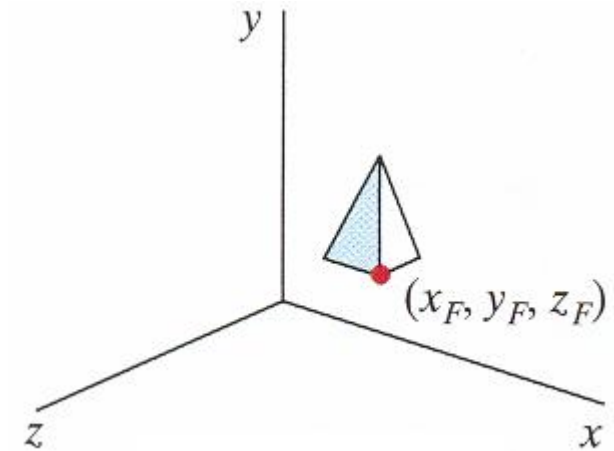


A 3D scaling transformation might change the position of the resulting, transformed object.

Scaling relative to a fixed point (x_p, y_p, z_p)

- A 3D scaling transformation, relative to a fixed point, is made up of the following sequence of transformations:

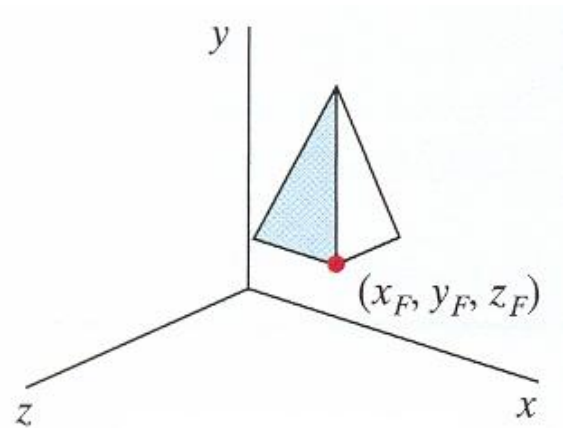
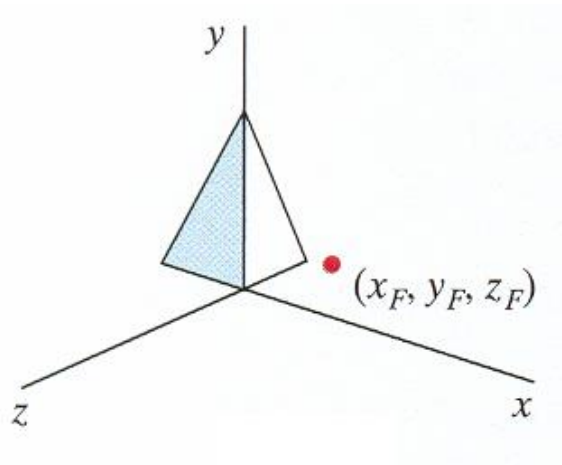
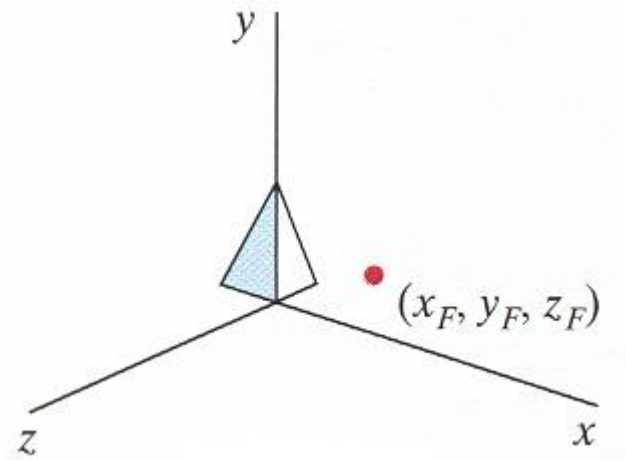
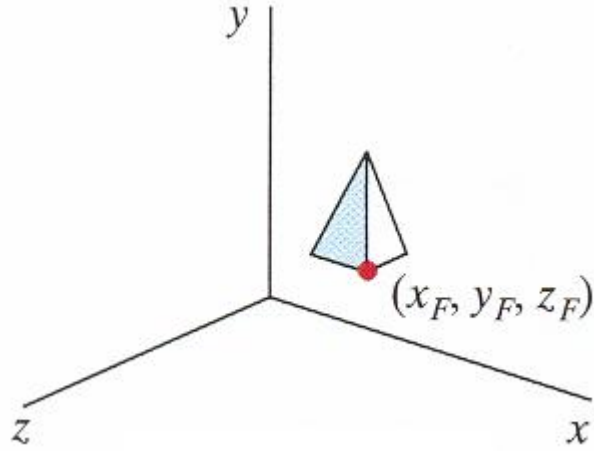
1. **Translation** of the fixed point to the coordinates' origin
2. **Scaling**
3. **Translation** of the fixed point back to its original position



After the last transformation, the fixed point is back at its original position

$$\mathbf{T}(x_f, y_f, z_f) \cdot \mathbf{S}(s_x, s_y, s_z) \cdot \mathbf{T}(-x_f, -y_f, -z_f) = \begin{bmatrix} s_x & 0 & 0 & (1-s_x)x_f \\ 0 & s_y & 0 & (1-s_y)y_f \\ 0 & 0 & s_z & (1-s_z)z_f \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Scaling relative to a fixed point (x_p, y_p, z_p)



3D ROTATIONS

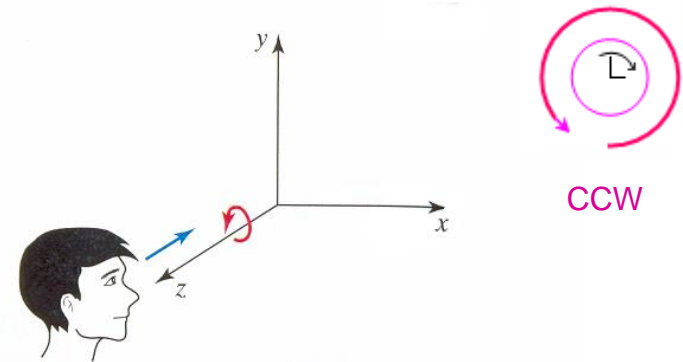
Rotations

- A model can be rotated around any 3D axes, but rotations around one of the **coordinate axes** are simpler

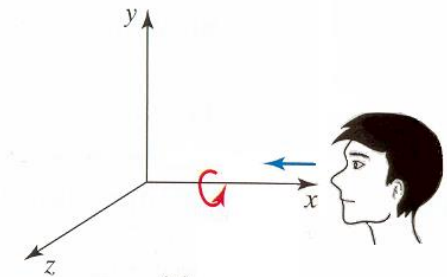
- Convention:

rotation angle $> 0 \rightarrow$ **CCW** rotation

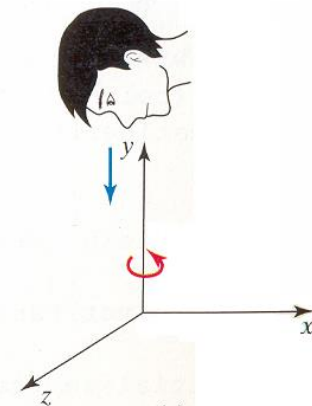
- It is the same convention as established for 2D (on plane XOY , around the origin)



(a)



(b)



(c)

Rotations around the coordinate axes

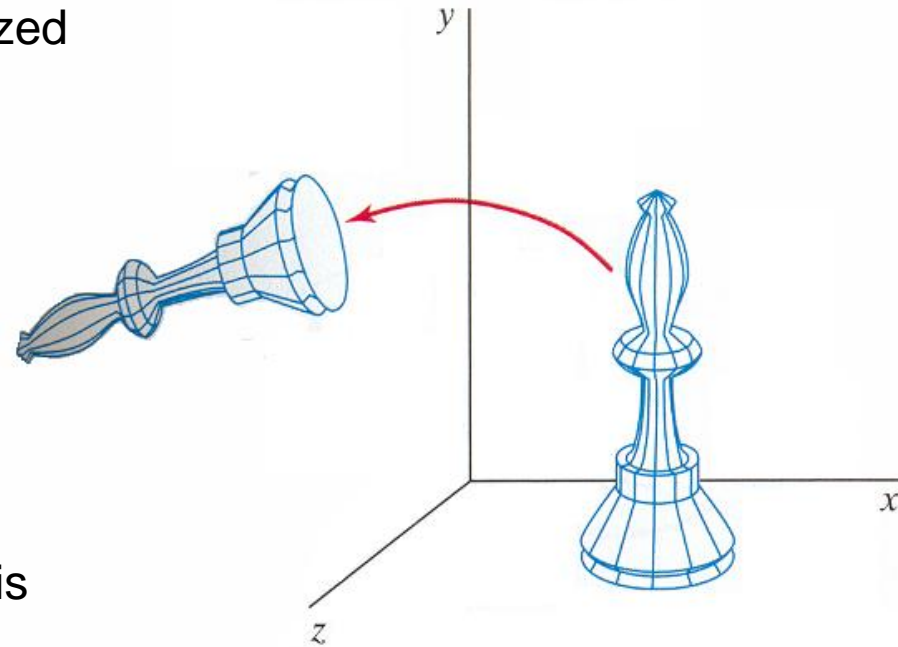
- The 2D rotation transformation on the XOY plane, around the origin, is easily generalized to 3D

$$x' = x \cos \theta - y \sin \theta$$

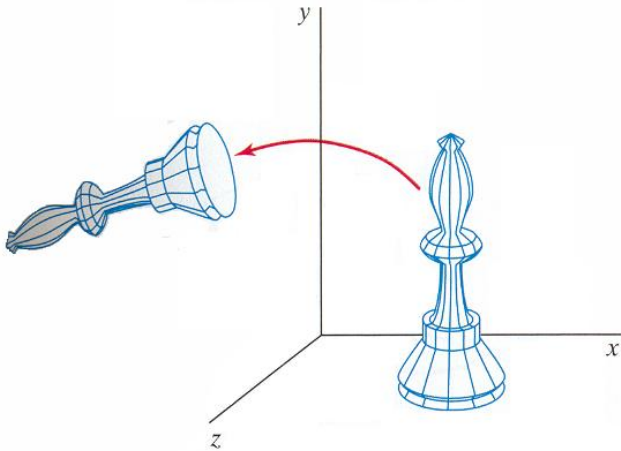
$$y' = x \sin \theta + y \cos \theta$$

$$z' = z$$

- θ is the rotation angle around the ZZ' axis
- For any rotated point, the z coordinate remains unchanged



Rotation around the ZZ' axis

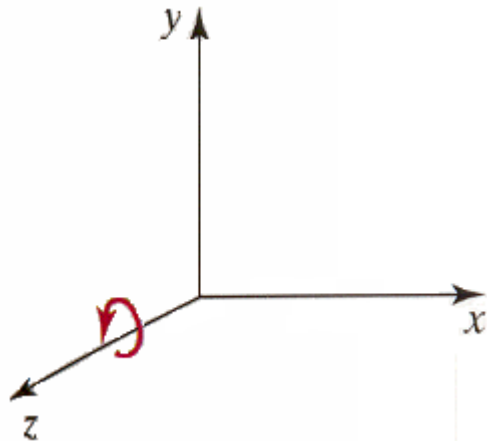


- Generalization to 3D:

$$x' = x \cos \theta - y \sin \theta$$

$$y' = x \sin \theta + y \cos \theta$$

$$z' = z$$



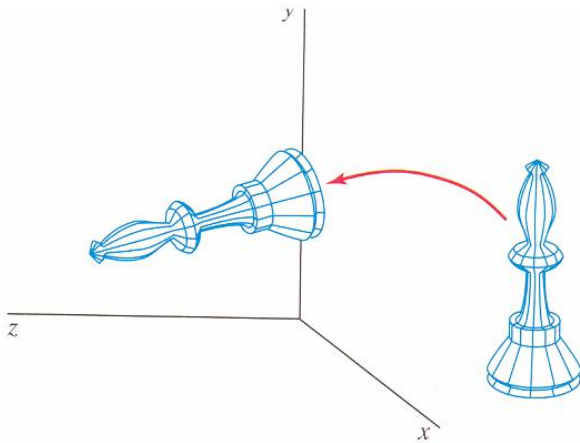
- Matricial representation:

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

z coordinates
remain unchanged

$$\mathbf{P}' = \mathbf{R}_z(\theta) \cdot \mathbf{P}$$

Rotation around the XX' axis



- The equations / matrices for the rotations around the other coordinate axes can be obtained through a **cyclic permutation** of x , y and z :

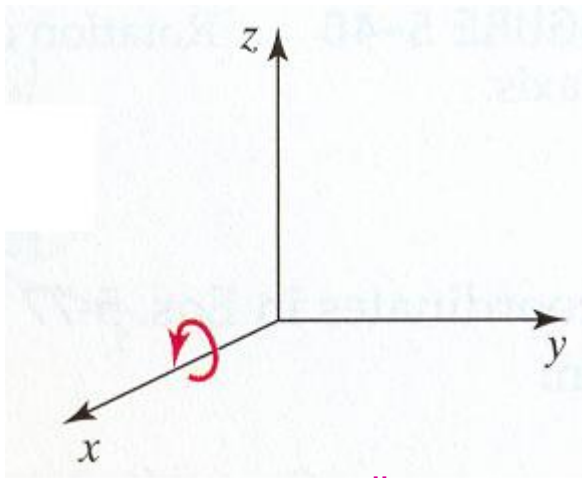
$$x \rightarrow y \rightarrow z \rightarrow x$$

- For the rotation around the axis XX' axis:

$$y' = y \cos \theta - z \sin \theta$$

$$z' = y \sin \theta + z \cos \theta$$

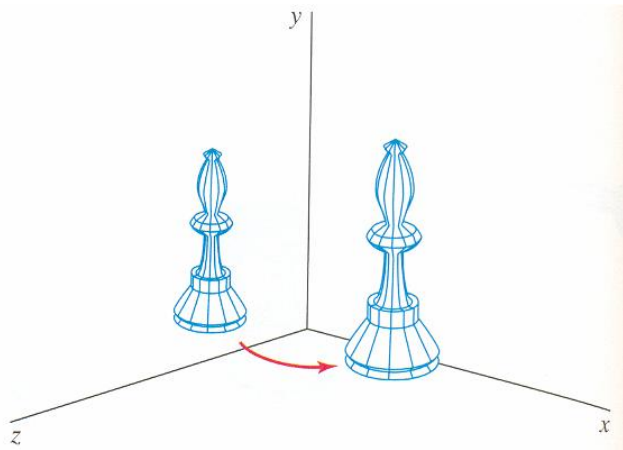
$$x' = x$$



x coordinates
remain unchanged

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

Rotation around the YY' axis

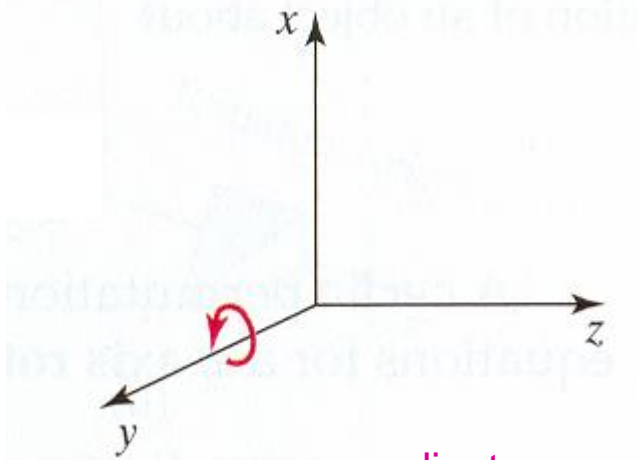


- With a similar permutation, we obtain the rotation around the YY' axis:

$$z' = z \cos \theta - x \sin \theta$$

$$x' = z \sin \theta + x \cos \theta$$

$$y' = y$$



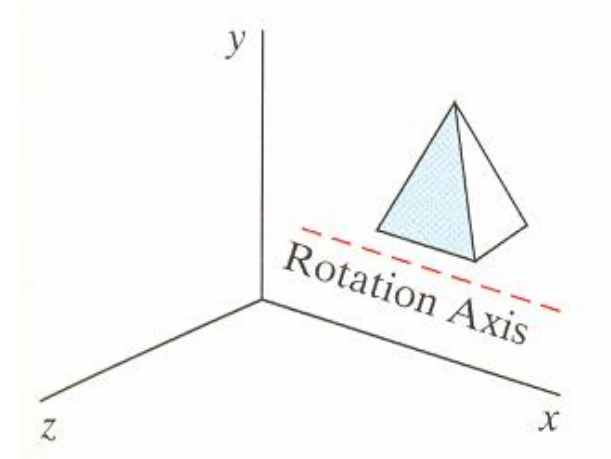
y coordinates
remain unchanged

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

General 3D rotations

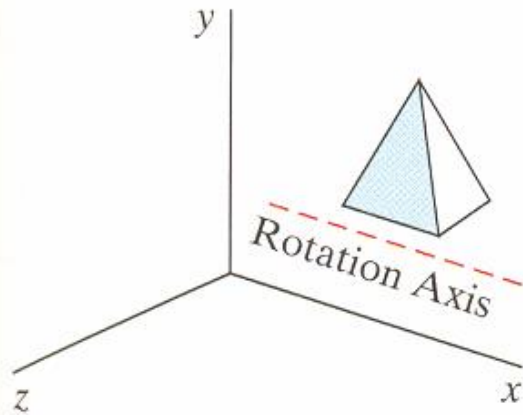
- The matrix representing the rotation around an **axis parallel to a coordinate axis** corresponds to the following sequence of transformations:

1. Translation to make the rotation axis coincide with the coordinate axis
2. Rotation around the coordinate axis
3. Inverse translation back to the original position of the rotation axis

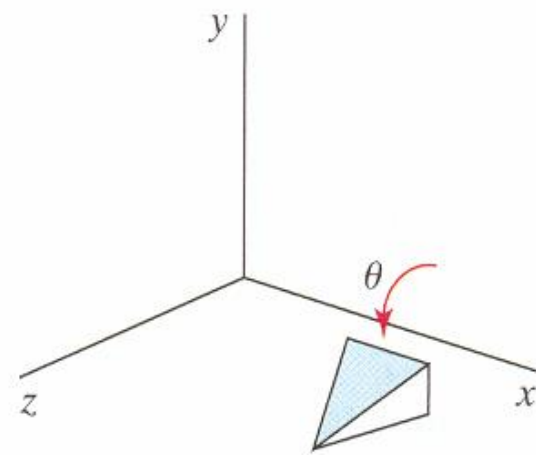


$$\mathbf{P}' = \mathbf{T}^{-1} \cdot \mathbf{R}_x(\theta) \cdot \mathbf{T} \cdot \mathbf{P}$$

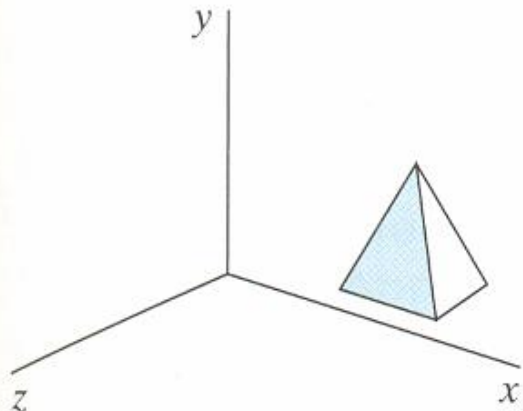
Rotation around an axis parallel to a coordinate axis



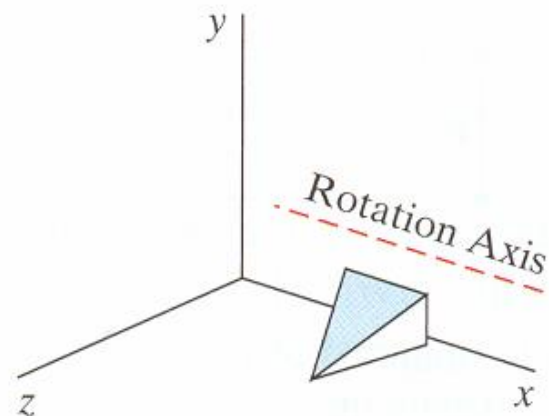
Initial position



2 - Rotation

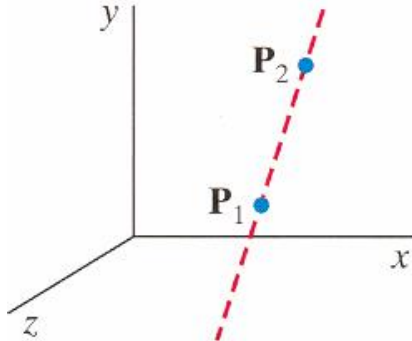


1 - Translation

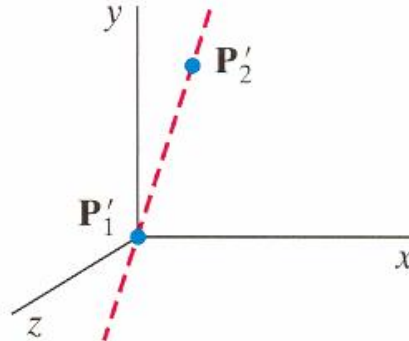


3 - Inverse translation

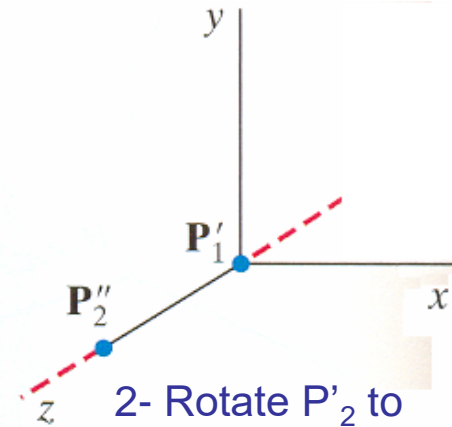
Rotation around an arbitrary axis



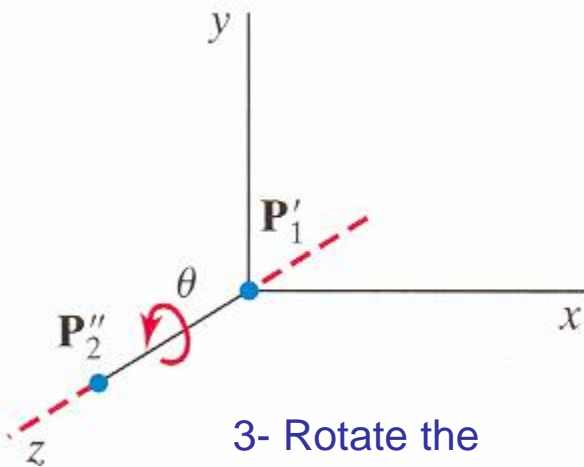
Initial position



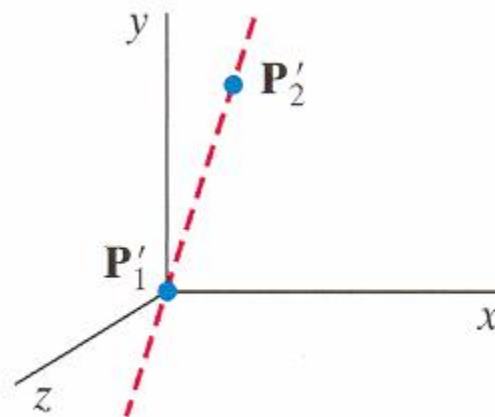
1- Translate P_1 to the origin



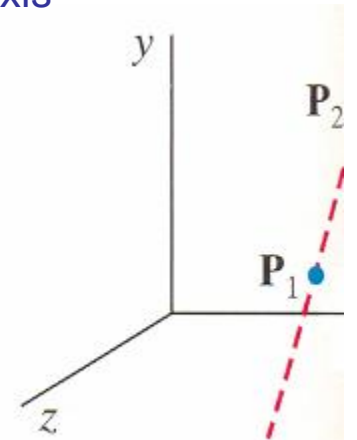
2- Rotate P'_2 to coincide with the **ZZ'** axis



3- Rotate the object around the **ZZ'** axis



4- Rotate axis back to initial orientation



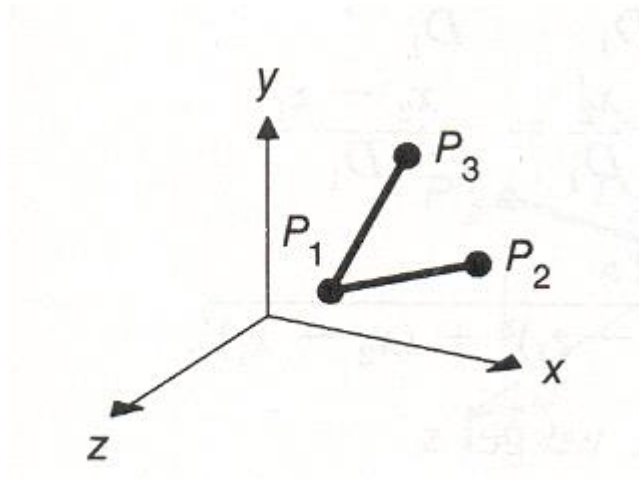
5- Translate back to original position

A MORE GENERAL EXAMPLE

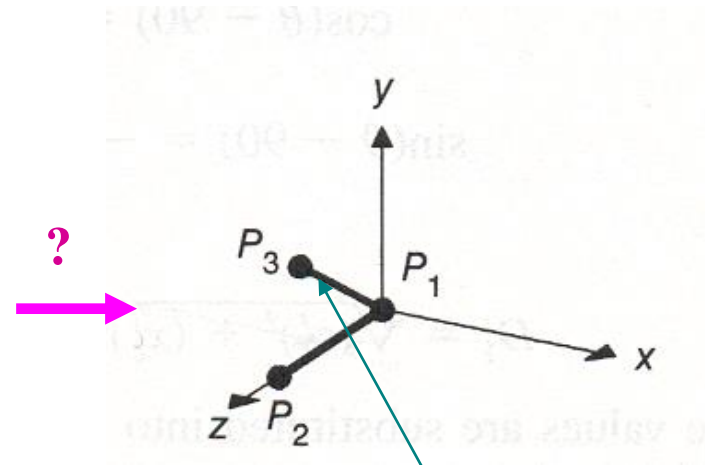
A more complex rotation example

- Determine the transformation that moves the straight-line segments from position a) to position b)

a) Initial position

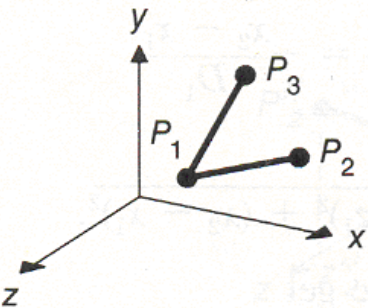


b) Final position



P_1P_3 belongs to the YOZ plane

- Decompose the problem into a sequence of simple problems, for which the transformation matrices are known:

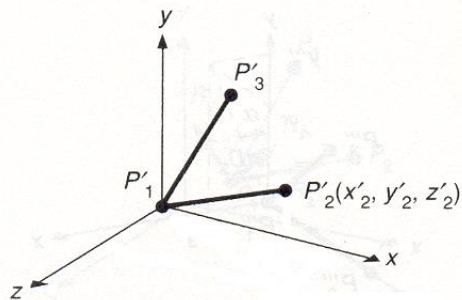
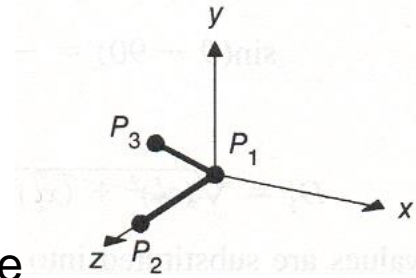


1- **Translate P_1** to the origin of the coordinate axes

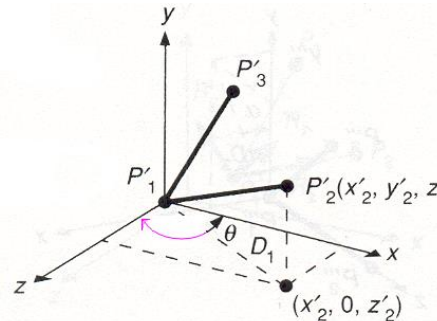
2- **Rotate around YY'** to move $P_1 P_2$ to the YOZ plane

3- **Rotate around XX'** to move $P_1 P_2$ to the ZZ' axis

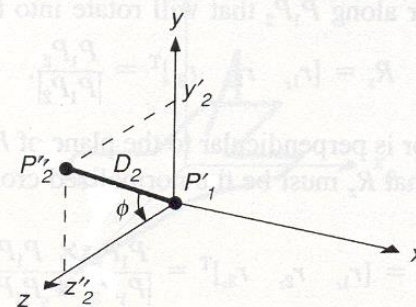
4- **Rotate around ZZ'** to move $P_1 P_3$ to the YOZ plane



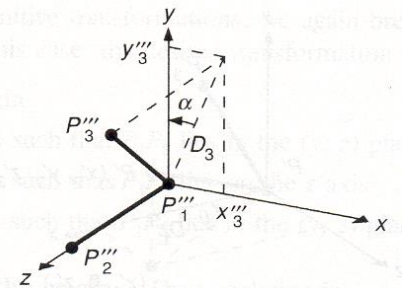
1



2



3



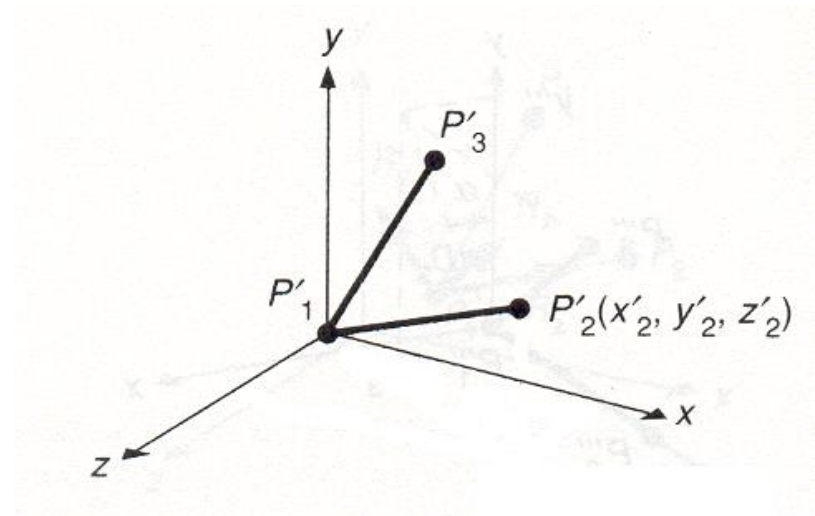
4

22

1- Translate P_1 to the origin

$$T(-x_1, -y_1, -z_1) = \begin{bmatrix} 1 & 0 & 0 & -x_1 \\ 0 & 1 & 0 & -y_1 \\ 0 & 0 & 1 & -z_1 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$P'_1 = T(-x_1, -y_1, -z_1) \cdot P_1 = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$



$$P'_2 = T(-x_1, -y_1, -z_1) \cdot P_2 = \begin{bmatrix} x_2 - x_1 \\ y_2 - y_1 \\ z_2 - z_1 \\ 1 \end{bmatrix}$$

$$P'_3 = T(-x_1, -y_1, -z_1) \cdot P_3 = \begin{bmatrix} x_3 - x_1 \\ y_3 - y_1 \\ z_3 - z_1 \\ 1 \end{bmatrix}$$

2- Rotate around **YY'** to move $P_1 P_2$ to the YOZ plane

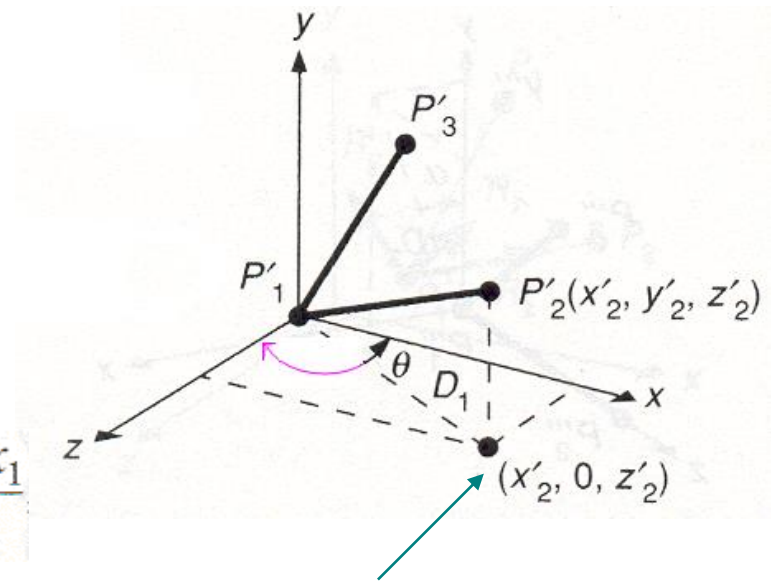
Rotation angle: $-(90 - \theta) = \theta - 90$.

$$\cos(\theta - 90) = \sin\theta = \frac{z'_2}{D_1} = \frac{z_2 - z_1}{D_1}$$

$$\sin(\theta - 90) = -\cos\theta = -\frac{x'_2}{D_1} = -\frac{x_2 - x_1}{D_1}$$

$$D_1 = \sqrt{(z'_2)^2 + (x'_2)^2} = \sqrt{(z_2 - z_1)^2 + (x_2 - x_1)^2}$$

$$P''_2 = R_y(\theta - 90) \cdot P'_2 = [0 \quad y_2 - y_1 \quad D_1 \quad 1]^T$$

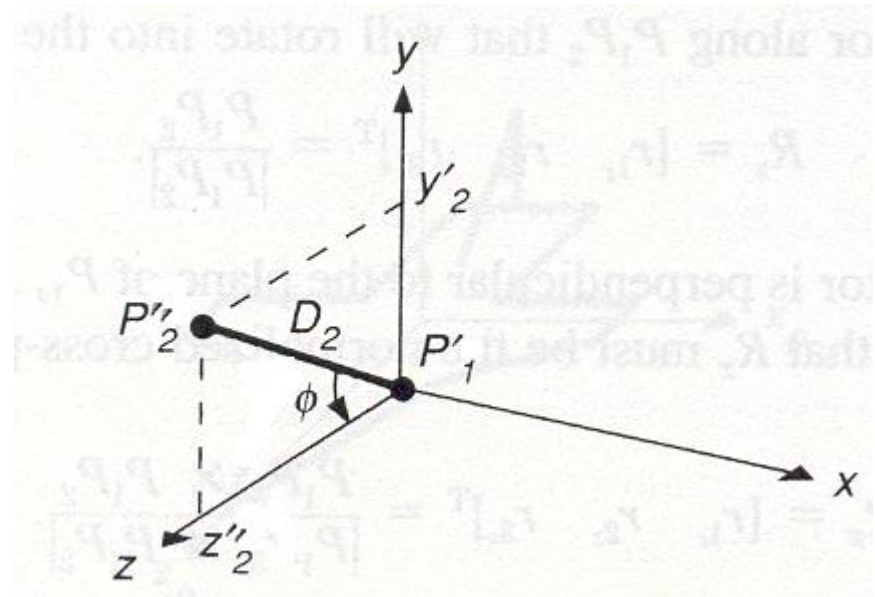


Projection of P'_2
on XOZ plane

3- Rotate around **XX'** to move $P_1 P_2$ to the ZZ' axis

$$\cos \phi = \frac{z_2''}{D_2}, \sin \phi = \frac{y_2''}{D_2}$$

$$D_2 = |P_1'' P_2''|$$



$$D_2 = |P_1'' P_2''| = |P_1 P_2| = \sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2 + (z_2 - z_1)^2}$$

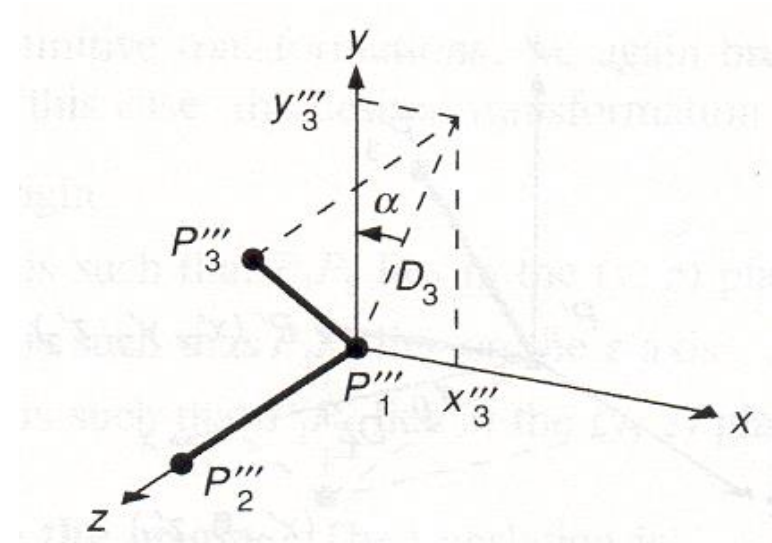
$$\begin{aligned} P_2''' &= R_x(\phi) \cdot P_2'' = R_x(\phi) \cdot R_y(\theta - 90) \cdot P_2' \\ &= R_x(\phi) \cdot R_y(\theta - 90) \cdot T \cdot P_2 = \begin{bmatrix} 0 & 0 & |P_1 P_2| & 1 \end{bmatrix}^T \end{aligned}$$

4- Rotate around **ZZ'** to move $P_1 P_3$ to the YOZ plane

$$\cos \alpha = y_3''' / D_3$$

$$\sin \alpha = x_3''' / D_3$$

$$D_3 = \sqrt{(x_3'''^2 + y_3'''^2)}$$



The global transformation is:

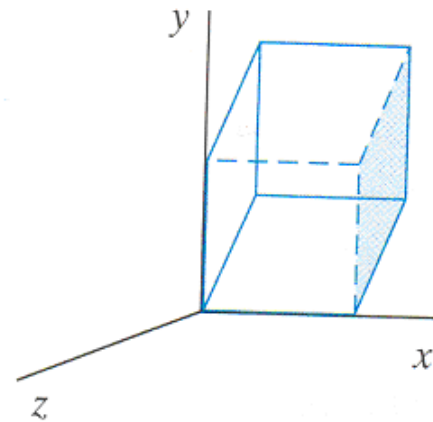
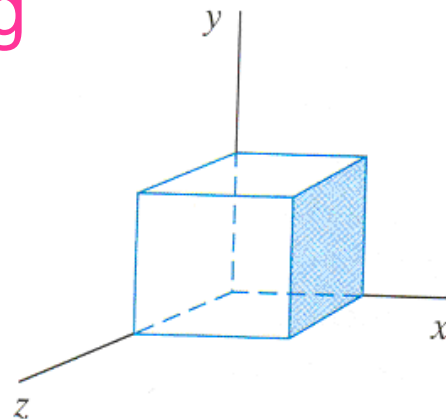
$$R_z(\alpha) \cdot R_x(\phi) \cdot R_y(\theta - 90) \cdot T(-x_1, -y_1, -z_1) = R \cdot T$$

SIMMETRY & SHEARING

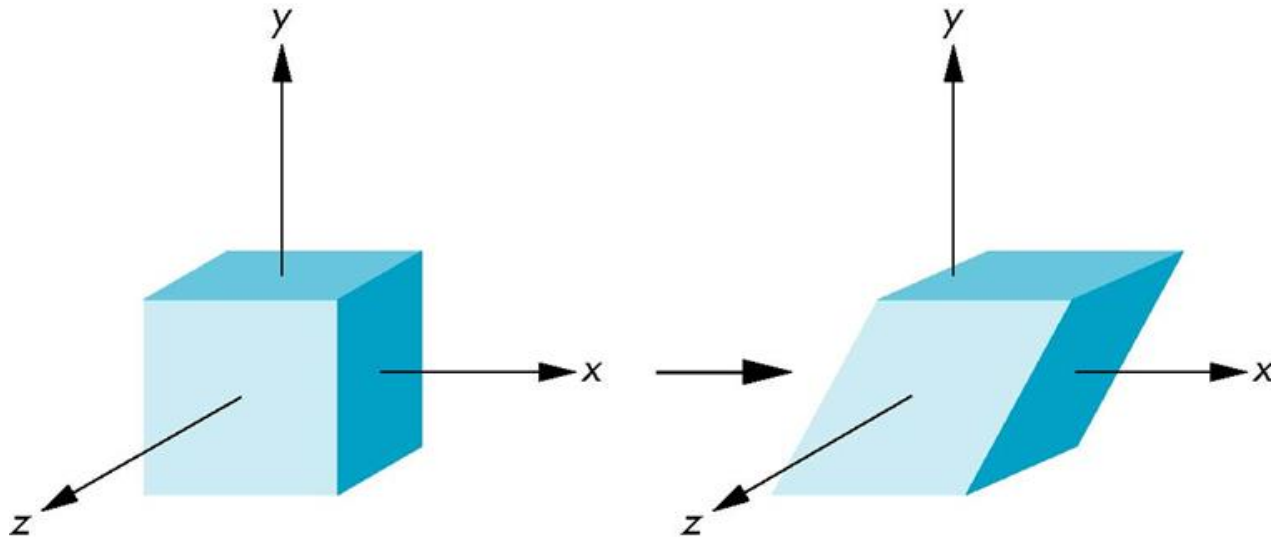
Other 3D transformations

- There are other useful 3D transformations:
 - *Shearings*
 - Symmetries

ZZ' shearing



Shearing



[Angel]

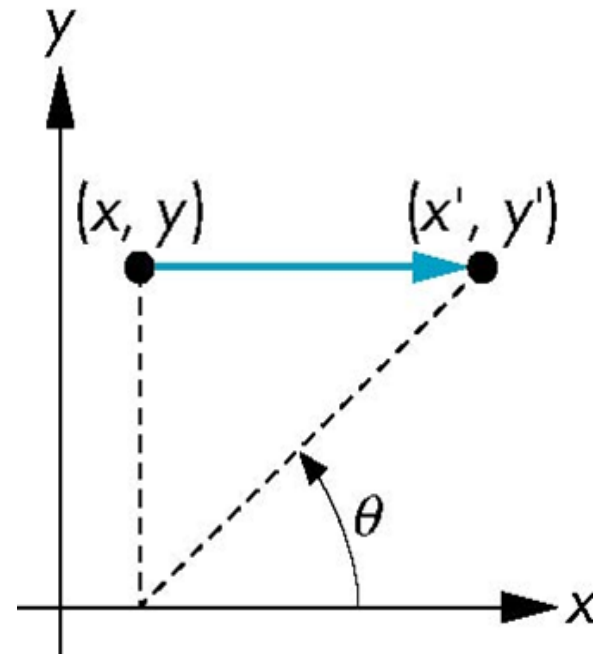
Shear matrix

$$x' = x + y \cot \theta$$

$$y' = y$$

$$z' = z$$

$$\mathbf{H}(\theta) = \begin{bmatrix} 1 & \cot \theta & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

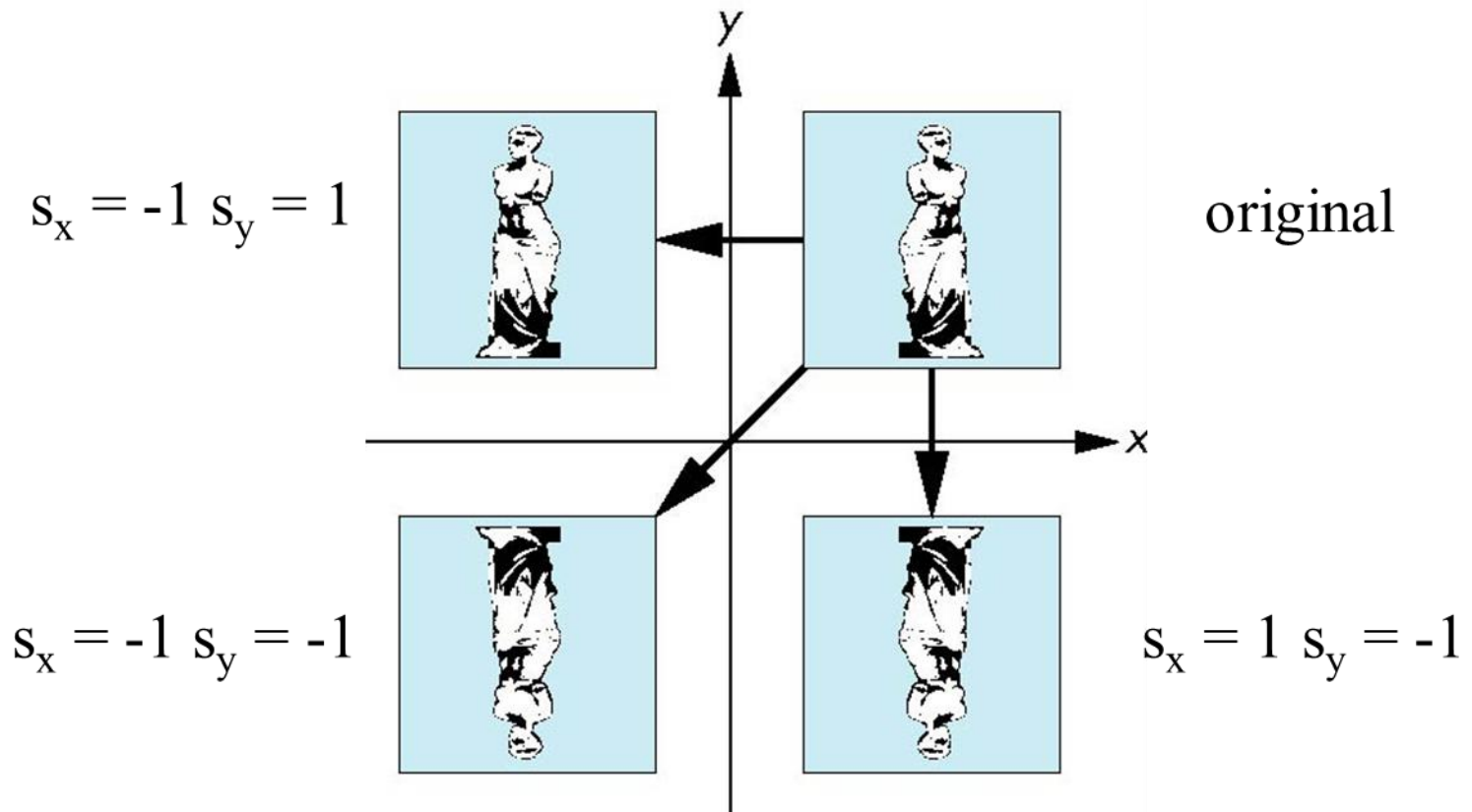


[Angle]

Symmetries

- Relative to the origin
- Relative to one of the coordinate planes
- Relative to particular planes
 - Parallel to one of the coordinate planes
 - Octant bisectors
 - ...
- Relative to any plane

Symmetries

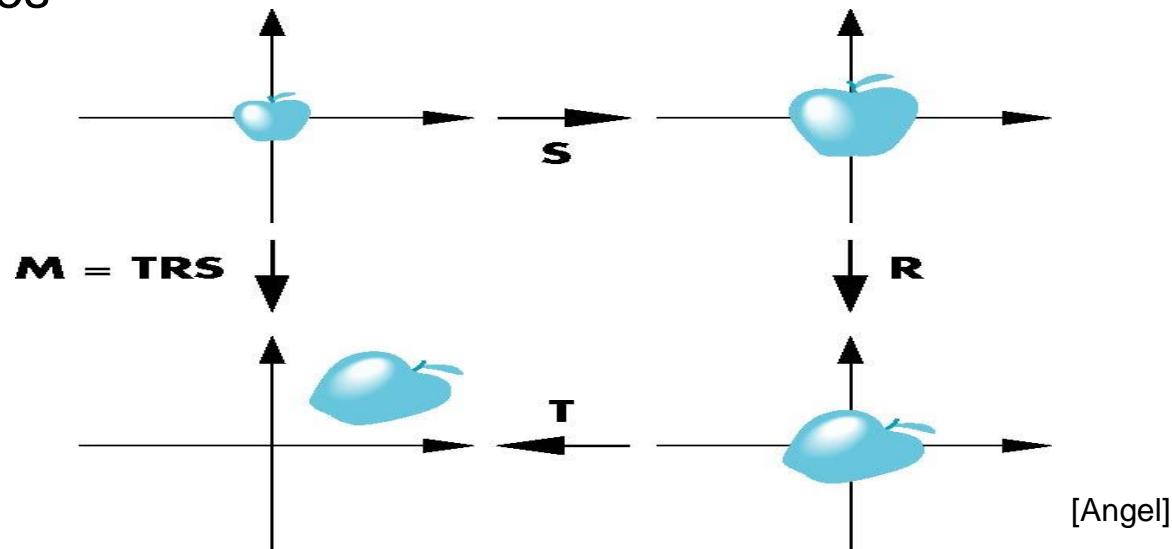


[Angel]

TRANSFORMATIONS IN OPENGL / WEBGL

Model instantiation

- Start with a model:
 - Centered at (0,0,0)
 - Oriented according to the coordinate axes
 - With a standard size
- Apply the global transformations M_i to create all required model instances



OpenGL (Pre-3.1)

- Concatenating of transformations
 - Multiply the existing global transformation matrix by the matrix corresponding to the next transformation to be applied
- `glTranslatef(x, y, z);`
- `glRotatef(angle, x, y, z);`
 - Rotation angle in degrees
 - (x, y, z) define the rotation axis that passes through (0, 0, 0)
- `glScalef(sx, sy, sz);`
 - Relative to (0, 0, 0) !!

OpenGL (Pre-3.1)

- Transformation **order is important !!**

```
glTranslatef( x1, y1, z1 );  
glRotatef( 45, 0, 0, 1 );  
glScalef( 2, 2, 2 );
```

```
glScalef( 2, 2, 2 );  
glRotatef( 45, 0, 0, 1 );  
glTranslatef( x1, y1, z1 );
```

- What is the difference ? What happens ?

OpenGL (Pre-3.1)

- It is possible to directly set transformation matrices
- And to save transformation matrices in a STACK
 - To apply hierarchical transformations
 - And animate / simulate the behavior of more complex models
- Distinguish between
 - PROJECTION mode
 - MODELING mode

OpenGL

- Concatenating transformations
 - Multiply the current (global) transformation matrix by another transformation matrix
- How to ?
 - Previous functions no longer exist !!
 - Create the (4x4) matrices
 - Multiply them in the correct order !!

OpenGL – Example

- Use **auxiliary functions** or a “math library”
- Create the **identity matrix**

```
mat4 m = Identity();
```

- **Right-multiplication** by a rotation matrix

```
mat4 r = Rotate(theta, vx, vy, vz);
```

```
m = m * r;
```

OpenGL - Example

- Similar for the other basic transformations

```
mat4 s = Scale( sx, sy, sz );
```

```
mat4 t = Translate(dx, dy, dz);
```

```
m = m * s * t;
```


OpenGL - Example

- Rotation of 30 degrees about an axis parallel to the ZZ' axis

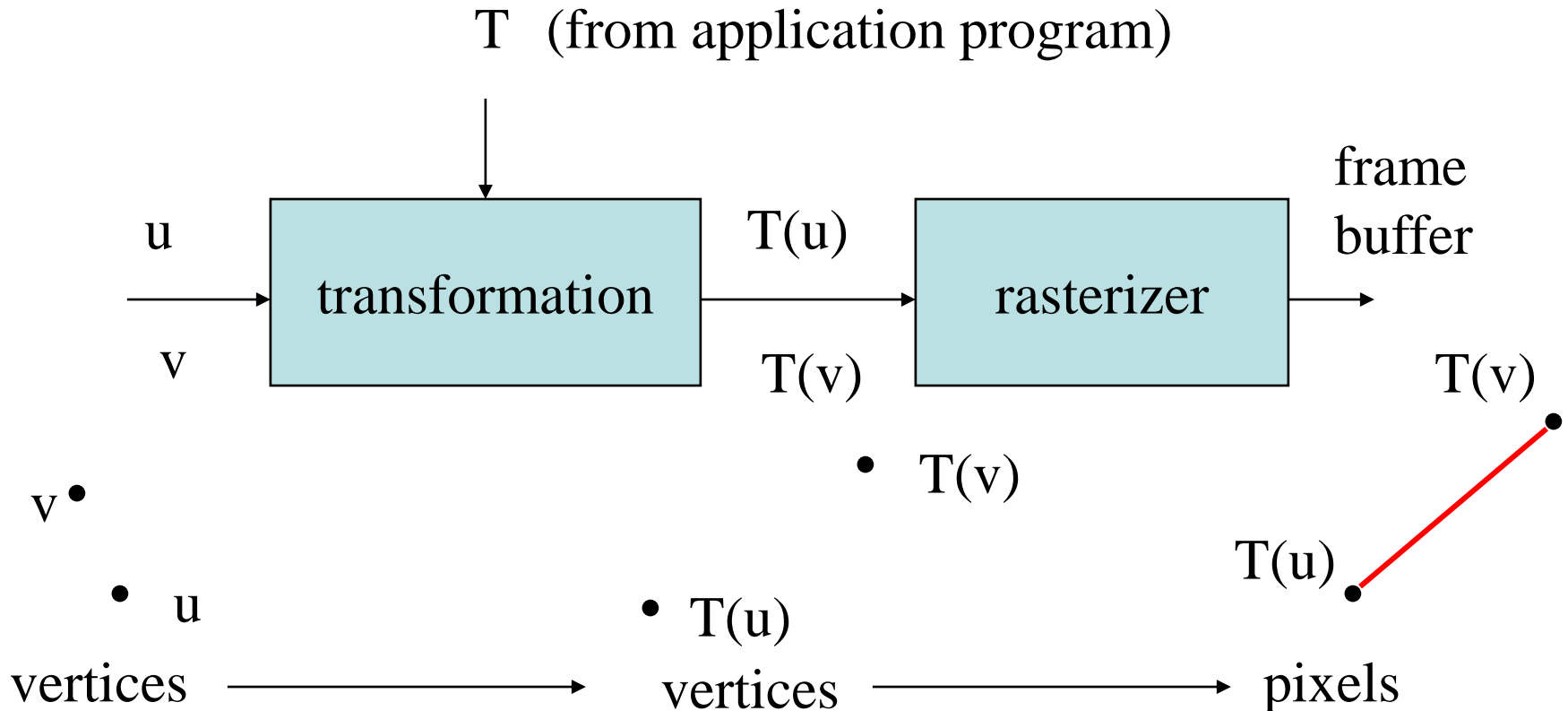
```
mat 4 m = Identity();  
m = Translate(1.0, 2.0, 3.0) *  
    Rotate(30.0, 0.0, 0.0, 1.0) *  
    Translate(-1.0, -2.0, -3.0);
```

- The “last” matrix is the first one to be applied !!

OpenGL / WebGL

- Transformation matrices are stored as a **1D array** with **16** elements.
- The elements of the 4 x 4 matrix are stored **column-by-column**.

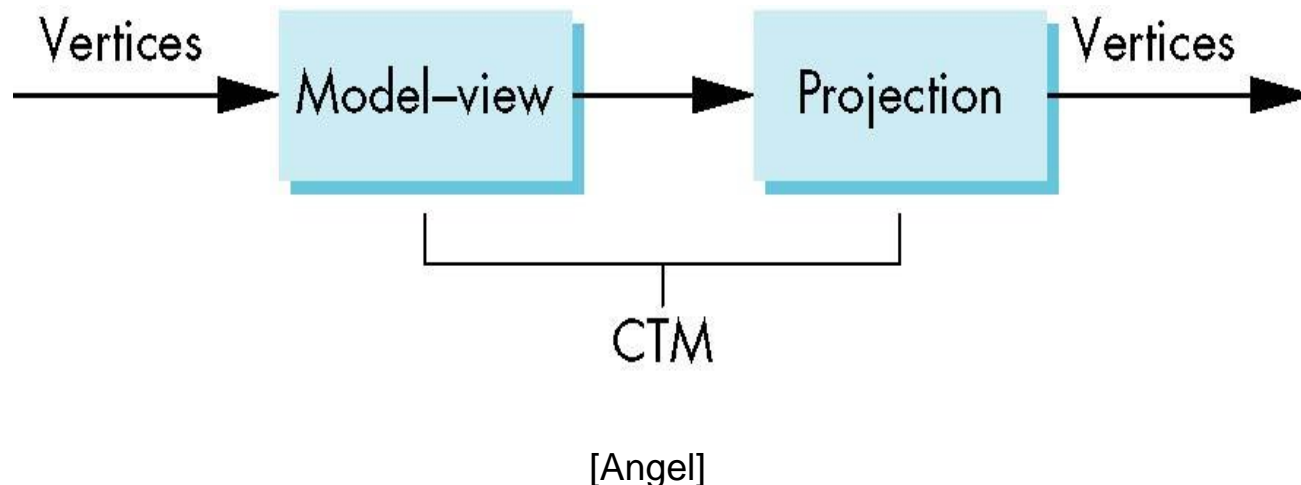
WebGL – Current transformation matrix



[Angel]

WebGL – Current transformation matrix

- Emulate the traditional OpenGL process
 - Model-View matrix
 - Projection matrix



Transformation matrices

- Identity matrix

```
var m = mat4();
```

- Concatenating transformations

```
var r = rotate(theta, vx, vy, vz);  
m = mult(m, r);  
var s = scale(sx, sy, sz);  
m = mult(m, s);
```

Example

- Rotation about ZZ, by 30 degrees, with a fixed point (1.0,2.0,3.0)

```
var m = mult( translate(1.0,2.0,3.0),  
              rotate(30,0.0,0.0,1.0) );  
m = mult( m, translate(-1.0,-2.0,-3.0) );
```

- Multiplication order ?

TASK

Application problem (see PDF)

3- Consider the cube defined by the vertices:

$V_1 (0, 0, 0)$	$V_2 (0, 1, 0)$	$V_3 (1, 1, 0)$	$V_4 (1, 0, 0)$
$V_5 (0, 0, 1)$	$V_6 (1, 0, 1)$	$V_7 (1, 1, 1)$	$V_8 (0, 1, 1)$

Using *Homogeneous Coordinates*, determine the matrix that represents the transformation that is to be applied for the cube to rotate, by a 180 degrees angle, around the straight-line that passes through point $(2, 0, 0)$ is parallel to the YY' axis.

REFERENCES

References

- D. Hearn and M. P. Baker, *Computer Graphics with OpenGL*, 3rd Ed., Addison-Wesley, 2004
- E. Angel and D. Shreiner, *Introduction to Computer Graphics*, 6th Ed., Pearson Education, 2012
- J. Foley et al., *Introduction to Computer Graphics*, Addison-Wesley, 1993