

# TALK ON HILBERT SCHEMES OF POINTS ON SURFACES

PEDRO NÚÑEZ

ABSTRACT. Script for the 7<sup>th</sup> talk of the seminar on Heisenberg algebras and Hilbert schemes of points on surfaces organized by Mara Ungureanu during the Summer Term 2021 at the University of Freiburg.

## CONTENTS

0. Conventions and notation	1
Appendix A. Quotients of quasi-projective varieties by finite groups	1
References	10

—parts in gray will be omitted during the talk—

## 0. CONVENTIONS AND NOTATION

We always work over  $\mathbb{C}$ . By a variety we mean an integral separated scheme of finite type over  $\mathbb{C}$ .

## APPENDIX A. QUOTIENTS OF QUASI-PROJECTIVE VARIETIES BY FINITE GROUPS

We will mostly follow the notes in <http://www.math.lsa.umich.edu/~mmustata/appendix.pdf> in this appendix.

*Remark A.1.* Let  $G$  be a finite group and let  $X = \operatorname{Spec} A$  be an affine variety. An action of  $G$  on  $A$  by  $\mathbb{C}$ -algebra automorphisms *from the left* is the same as an action of  $G$  on  $X$  by  $\mathbb{C}$ -scheme morphisms *from the right*. The two things are more explicitly related as follows:

$$(g \cdot f)(x) = f(x \cdot g).$$

---

*Date:* 31st May 2021.

The author gratefully acknowledges support by the DFG-Graduiertenkolleg GK1821 “Cohomological Methods in Geometry” at the University of Freiburg.

From now on, by an *action* of a finite group  $G$  on a  $\mathbb{C}$ -scheme (resp. on a  $\mathbb{C}$ -algebra) we will always mean a right action via  $\mathbb{C}$ -algebra morphisms (resp. a left action via  $\mathbb{C}$ -scheme morphisms). There are various notions of quotients in algebraic geometry, cf. [MFK94, §0.1]. Fortunately, in the case of finite groups, the various notions agree.

**Definition A.2** (Categorical quotient). Let  $\sigma: X \times G \rightarrow X$  be an action of a finite group  $G$  on a  $\mathbb{C}$ -scheme  $X$ . A *categorical quotient* of  $X$  by  $G$  is a pair  $(Y, \pi)$  consisting of a  $\mathbb{C}$ -scheme  $Y$  and a  $\mathbb{C}$ -scheme morphism  $\pi: X \rightarrow Y$  with the following properties:

- i)  $\pi$  is  $G$ -invariant, i.e. we have  $\pi \circ \sigma = \pi \circ p_1$ , where  $p_1: X \times G \rightarrow X$  is the projection.
- ii)  $\pi$  is universal with respect to the property in i), i.e. for every pair  $(Z, \psi)$  consisting of a  $\mathbb{C}$ -scheme  $Z$  and a  $G$ -invariant  $\mathbb{C}$ -scheme morphism  $\psi: X \rightarrow Z$ , there exists a unique  $\mathbb{C}$ -scheme morphism  $\bar{\psi}: Y \rightarrow Z$  such that  $\bar{\psi} \circ \pi = \psi$ .

**Lemma A.3.** *Let  $\sigma: X \times G \rightarrow X$  be an action of a finite group  $G$  on a  $\mathbb{C}$ -scheme  $X$ . If a categorical quotient  $(Y, \pi)$  exists, it is unique up to unique isomorphism. That is, if  $(Y', \pi')$  is another categorical quotient, then there exists a unique  $\mathbb{C}$ -scheme isomorphism  $\bar{\pi}': Y \rightarrow Y'$  such that  $\pi' = \bar{\pi}' \circ \pi$ .*

*Proof.* Since the pair  $(Y', \pi')$  satisfies the property i) above, the universal property of  $(Y, \pi)$  ensures the existence of a  $\mathbb{C}$ -scheme morphism  $\bar{\pi}': Y \rightarrow Y'$  such that  $\pi' = \bar{\pi}' \circ \pi$ . It remains to show that this is an isomorphism. The roles of  $(Y, \pi)$  and  $(Y', \pi')$  are symmetric, so we can also find a  $\mathbb{C}$ -scheme morphism  $\bar{\pi}: Y' \rightarrow Y$  making the following diagram commute:

$$\begin{array}{ccccc}
 & & X & & \\
 & \swarrow \pi' & & \searrow \pi' & \\
 Y' & \xrightarrow{\bar{\pi}} & Y & \xrightarrow{\bar{\pi}'} & Y' & \xrightarrow{\bar{\pi}} & Y
 \end{array}$$

The uniqueness part of the universal property in ii) above ensures that  $\bar{\pi} \circ \bar{\pi}' = \text{id}_Y$  and  $\bar{\pi}' \circ \bar{\pi} = \text{id}_{Y'}$ , so  $\bar{\pi}'$  is indeed a  $\mathbb{C}$ -scheme isomorphism. □

*Remark A.4.* In view of the uniqueness given by Lemma A.3, we will sometimes denote a categorical quotient by  $(X/G, \pi)$ .

**Definition A.5** (Geometric quotient). Let  $\sigma: X \times G \rightarrow X$  be an action of a finite group  $G$  on a finite type<sup>1</sup>  $\mathbb{C}$ -scheme  $X$ . A *geometric quotient* of  $X$  by  $G$  is a pair  $(Y, \pi)$  consisting of a  $\mathbb{C}$ -scheme  $Y$  and a  $\mathbb{C}$ -scheme morphism  $\pi: X \rightarrow Y$  with the following properties:

<sup>1</sup>This assumption makes condition (2) below less cumbersome to formulate, cf. [MFK94, Definition 0.6].

- (1)  $\pi$  is  $G$ -invariant, i.e. property *i*) above holds.
- (2)  $\pi$  is surjective and the fibers of  $\pi$  over closed points of  $Y$  are precisely the orbits of the closed points of  $X$ .
- (3)  $Y$  carries the quotient topology induced by  $\pi$ , i.e. a subset  $V \subseteq Y$  is open if and only if  $\pi^{-1}(V) \subseteq X$  is open.
- (4) The structure sheaf  $\mathcal{O}_Y$  is the subsheaf of  $\pi_*\mathcal{O}_X$  consisting of  $G$ -invariant functions, i.e. if  $f \in \Gamma(V, \pi_*\mathcal{O}_X) = \Gamma(\pi^{-1}(V), \mathcal{O}_X)$ , then  $f \in \Gamma(V, \mathcal{O}_Y)$  if and only if

$$\begin{array}{ccc} \pi^{-1}(V) \times G & \xrightarrow{\sigma} & \pi^{-1}(V) \\ \downarrow p_1 & & \downarrow f \\ \pi^{-1}(V) & \xrightarrow{f} & \mathbb{A}^1 \end{array}$$

commutes, where we regard the regular function  $f$  as a  $\mathbb{C}$ -scheme morphism  $f: \pi^{-1}(V) \rightarrow \mathbb{A}^1$ .

*Remark A.6.* Being a geometric quotient is local on the target in the sense of [GW10, Appendix C].

**Proposition A.7.** *Let  $\sigma: X \times G \rightarrow X$  be an action of a finite group  $G$  on a finite type  $\mathbb{C}$ -scheme  $X$  and let  $(Y, \pi)$  be a geometric quotient of  $X$  by  $G$ . Then  $(Y, \pi)$  is also a categorical quotient.*

*Proof.* We follow the proof given in [MFK94, Proposition 0.1]. Suppose we are given another pair  $(Z, \psi)$  with the property *i*) above, i.e. such that  $\psi: X \rightarrow Z$  is a  $G$ -invariant  $\mathbb{C}$ -scheme morphism. Recall from [Har77, Exercise II.2.4] that if  $Z = \text{Spec}(B)$  was affine, then  $\mathbb{C}$ -scheme morphisms  $Y \rightarrow Z$  correspond bijectively to  $\mathbb{C}$ -algebra morphisms  $B \rightarrow \Gamma(Y, \mathcal{O}_Y)$ . The idea is to use this combined with our understanding of  $\Gamma(Y, \mathcal{O}_Y)$  given by property (4) above.

So let  $\{W_i\}_{i \in I}$  be an affine open cover of  $Z$ , say  $W_i = \text{Spec}(B_i)$  for each  $i \in I$ . Since  $\psi$  is  $G$ -invariant, each  $U_i := \psi^{-1}(W_i)$  is an invariant open subset in  $X$ . Therefore  $\pi^{-1}(\pi(\psi^{-1}(W_i))) = \psi^{-1}(W_i)$ . Let us call  $V_i := \pi(\psi^{-1}(W_i))$  for each  $i \in I$ . Since  $Y$  carries the quotient topology induced by  $\pi$  and  $\pi^{-1}(V_i) = \psi^{-1}(W_i)$  is open in  $X$ , we deduce that  $V_i$  is also open in  $Y$  for each  $i \in I$ . Surjectivity of  $\pi$  ensures that  $\{V_i\}_{i \in I}$  is an open cover of  $Y$ .

As usual with existence and uniqueness statements, it will be convenient to start by arguing the uniqueness, which will then likely give us some hints as to how to show the existence. Suppose that the desired factorization  $\bar{\psi}: Y \rightarrow Z$  existed. Then, since  $\psi = \bar{\psi} \circ \pi$ , we have

$$\bar{\psi}(V_i) = \bar{\psi}(\pi(\psi^{-1}(W_i))) = \psi(\psi^{-1}(W_i)) \subseteq W_i$$

for each  $i \in I$ . So for each  $i \in I$ , our factorization  $\bar{\psi}: Y \rightarrow Z$  would yield a morphism  $\bar{\psi}_i: V_i \rightarrow W_i$  such that  $\psi_i = \bar{\psi}_i \circ \pi_i$ , where by  $\pi_i: U_i \rightarrow V_i$  and  $\psi_i: U_i \rightarrow W_i$  are the morphisms induced by  $\pi$  and  $\psi$  respectively. Since the target  $W_i = \text{Spec}(B_i)$  of  $\bar{\psi}_i$  is affine, [Har77, Exercise II.2.4]

tells us that  $\bar{\psi}_i$  is uniquely determined by the corresponding morphism of  $\mathbb{C}$ -algebras  $h_i: B_i \rightarrow \Gamma(V_i, \mathcal{O}_Y)$ . Commutativity of the triangle of  $\mathbb{C}$ -schemes

$$\begin{array}{ccc} U_i & \xrightarrow{\psi_i} & W_i \\ \downarrow \pi_i & \nearrow \bar{\psi}_i & \\ V_i & & \end{array}$$

translates into commutativity of the triangle of  $\mathbb{C}$ -algebras

$$\begin{array}{ccc} \Gamma(U_i, \mathcal{O}_X) & \xleftarrow{\psi_i^*} & B_i \\ \pi_i^* \uparrow & \nwarrow h_i & \\ \Gamma(V_i, \mathcal{O}_Y) & & \end{array}$$

But property (4) above tells us that  $\pi_i^*$  is the inclusion of the  $G$ -invariant regular functions on  $U_i$ , in particular an injective  $\mathbb{C}$ -algebra morphism. So each  $h_i$  is uniquely determined by  $\psi$ , hence so is each  $\bar{\psi}_i$  and hence so is  $\bar{\psi}$  itself.

Now to show existence the plan is first to show existence of the  $h_i$  defined as above, and then check that the corresponding  $\bar{\psi}_i$  glue together into a  $\mathbb{C}$ -scheme morphism  $Y \rightarrow Z$ . So let  $i \in I$  and let us show that  $h_i$  exists, i.e. let us show that the image of  $\psi_i^*$  consists of  $G$ -invariant regular functions on  $U_i$ . Let then  $b \in B_i$  be a regular function on  $W_i$ , which we regard as a  $\mathbb{C}$ -scheme morphism  $b: W_i \rightarrow \mathbb{A}^1$ . The  $G$ -invariance assumption on  $\psi$  translates into saying that  $\psi_i(x \cdot g) = \psi_i(x)$  for each closed point  $x \in U_i$  and each  $g \in G$ . We want to show that  $g \cdot \psi_i^*(b) = \psi_i^*(b)$  for each  $g \in G$ , so let  $g \in G$  be arbitrary. We regard again regular functions as  $\mathbb{C}$ -scheme morphisms into  $\mathbb{A}^1$  and check the equality on closed points of  $U_i$ :

$$\begin{aligned} (g \cdot \psi_i^*(b))(x) &= \psi_i^*(b)(x \cdot g) \\ &= b(\psi_i(x \cdot g)) \\ &= b(\psi_i(x)) \\ &= (\psi_i^*(b))(x). \end{aligned}$$

Hence the image of  $\psi_i^*$  lies in the subalgebra of  $G$ -invariant regular functions on  $U_i$ , and thus we can find the desired factorization  $h_i$ .

The previous argument gives us a factorization  $\bar{\psi}_i: V_i \rightarrow W_i$  for each  $i \in I$ , and it remains to show that these glue together into a morphism  $\bar{\psi}: Y \rightarrow Z$ . Given  $i, j \in I$ , both  $\bar{\psi}_i|_{V_i \cap V_j}: V_i \cap V_j \rightarrow W_i$  and  $\bar{\psi}_j|_{V_i \cap V_j}: V_i \cap V_j \rightarrow W_i$  are uniquely determined by the corresponding  $\mathbb{C}$ -algebra morphisms  $h_{ij}, h_{ji}: B_i \rightarrow \Gamma(V_i \cap V_j, \mathcal{O}_Y)$ . The arguments above show that we must have  $h_{ij} = h_{ji}$ , so the two morphisms agree on the intersections and we can glue them together as we wanted.

□

**Lemma A.8.** *Let  $G$  be a finite group. Let  $A$  be a finite type  $\mathbb{C}$ -algebra and assume that the group  $G$  acts on  $A$  from the left by  $\mathbb{C}$ -algebra automorphisms. Then the set of invariant elements  $A^G$  is a  $\mathbb{C}$ -subalgebra of  $A$  which is of finite type over  $\mathbb{C}$ .*

*Proof.* Let  $\rho: G \rightarrow \text{Aut}_{\mathbb{C}}(A)$  be the given left action. Let us first quickly ensure that

$$A^G := \bigcap_{g \in G} \{a \in A \mid \rho(g)(a) = a\}$$

is a  $\mathbb{C}$ -subalgebra of  $A$ .

- $A^G \subseteq A$  is a subgroup. Indeed, since  $\rho(g)$  is a ring morphism for every  $g \in G$ , we have  $0 \in A^G$ . And if  $a_1, a_2 \in A^G$  and  $g \in G$ , then it follows again from  $\rho(g)$  being a ring morphism that

$$\rho(g)(a_1 + a_2) = \rho(g)(a_1) + \rho(g)(a_2) = a_1 + a_2.$$

- $A^G \subseteq A$  is a subring. We have seen already that it is a subgroup. Since  $\rho(g)$  is a ring morphism for every  $g \in G$ , we also have  $1 \in A^G$ , so it remains only to show that  $A^G$  is closed under products. If  $a_1, a_2 \in A^G$  and  $g \in G$ , then using once again that  $\rho(g)$  is a ring morphism we see that

$$\rho(g)(a_1 a_2) = \rho(g)(a_1) \rho(g)(a_2) = a_1 a_2.$$

- $A^G \subseteq A$  is a  $\mathbb{C}$ -vector subspace. We have seen already that it is a subgroup, so it remains only to show that  $A^G$  is closed under scalar product. If  $a \in A^G$ ,  $\lambda \in \mathbb{C}$  and  $g \in G$ , then we use the assumption that  $\rho(g)$  is  $\mathbb{C}$ -linear to deduce that

$$\rho(g)(\lambda a) = \lambda \rho(g)(a) = \lambda a.$$

The other assertion in the lemma is that  $A^G$  is a finite type  $\mathbb{C}$ -algebra. The idea is to write  $A^G$  as a finite  $B$ -module for some suitable finite type  $\mathbb{C}$ -algebra  $B$ . Then it would follow that  $A^G$  is a finite type  $\mathbb{C}$ -algebra as well. Indeed, let  $\beta_1, \dots, \beta_m \in B$  be generators of  $B$  as an algebra over  $\mathbb{C}$ , and let  $e_1, \dots, e_l \in A^G$  be generators of  $A^G$  as a  $B$ -module. Then we can write any  $a \in A^G$  as a  $B$ -linear combination

$$a = \sum_{i=1}^l b_i e_i,$$

and in turn each  $b_i$  as an algebraic combination

$$b_i = f_i(\beta_1, \dots, \beta_m)$$

for some  $f_i \in \mathbb{C}[\beta_1, \dots, \beta_m]$ . It follows that we can write  $a$  as an algebraic combination in the variables  $\beta_1, \dots, \beta_m, e_1, \dots, e_l$ , so these elements would form a system of generators of  $A^G$  as a  $\mathbb{C}$ -algebra.

In order to construct such  $B$ , we first note that the inclusion  $A^G \subseteq A$  is an integral ring extension. Indeed, every  $a \in A$  is a root of the monic polynomial

$$P_a(t) := \prod_{g \in G} (t - \rho(g)(a)),$$

whose coefficients are in  $A^G$  by Vieta's formulas. Let  $\alpha_1, \dots, \alpha_m \in A$  be generators of  $A$  as an algebra over  $\mathbb{C}$ . Let  $\{c_{i,j}\}_{j=0}^{d_i}$  be the coefficients of  $P_{\alpha_i}$  for each  $i \in \{1, \dots, m\}$ . Then define  $B$  to be the  $\mathbb{C}$ -subalgebra of  $A$  generated by all these coefficients  $\{c_{1,0}, \dots, c_{1,d_1}, c_{2,0}, \dots, c_{m,d_m}\}$ . Since each of its generators is contained in  $A^G$ , we see that  $B$  is also a  $\mathbb{C}$ -subalgebra of  $A^G$ . Moreover, by construction  $B \subseteq A$  is an integral ring extension. The elements  $\alpha_1, \dots, \alpha_m$  still generate  $A$  as a  $B$ -algebra, so  $A$  is a finitely generated  $B$ -module [AM69, Corollary 5.2]. But  $B$  is noetherian, because it is a finitely generated  $\mathbb{C}$ -algebra, so every  $B$ -submodule of  $A$  must also be finitely generated as a  $B$ -module. Therefore  $A^G$  is a finitely generated  $B$ -module, which as explained earlier concludes the proof.  $\square$

**Lemma A.9.** *In the situation of Lemma A.8, the induced  $\mathbb{C}$ -scheme morphism  $\pi: \operatorname{Spec}(A) \rightarrow \operatorname{Spec}(A^G)$  is finite and surjective.*

*Proof.* It follows from the proof of Lemma A.8 that  $A$  is finitely generated as an  $A^G$ -module, so the induced morphism  $\pi$  is finite by definition [Har77, p. 84]. Surjectivity follows from [Sta21, Tag 00GQ].  $\square$

*Remark A.10.* It follows from Lemma A.9 that  $\operatorname{Spec}(A^G)$  is irreducible if  $\operatorname{Spec}(A)$  was irreducible. But the converse is not true, e.g. consider  $\mathbb{Z}/2\mathbb{Z}$  acting non-trivially on two points.

**Lemma A.11.** *In the situation of Lemma A.8, the fibers of  $\pi$  over closed points of  $Y$  are precisely the orbits of the closed points of  $X$  under the action of  $G$ . In particular,  $\pi$  is  $G$ -invariant.*

*Proof.* Let  $x \in X$  be a closed point. Let us check first that the orbit  $x \cdot G$  is contained in the fiber  $\pi^{-1}(\pi(x))$ . Let  $\mathfrak{m} \subseteq A$  be the maximal ideal corresponding to  $x$ , i.e.

$$\mathfrak{m} = \{f \in A \mid f(x) = 0\}.$$

Let  $g \in G$ . Our goal is to show that  $\pi(x) = \pi(x \cdot g)$ . The maximal ideal corresponding to the point  $x \cdot g$  is given by

$$\{f \in A \mid f(x \cdot g) = 0\} = \{f \in A \mid (g \cdot f)(x) = 0\} = \{g \cdot f \mid f \in \mathfrak{m}\} = g \cdot \mathfrak{m}.$$

So we need to show that

$$\mathfrak{m} \cap A^G = (g \cdot \mathfrak{m}) \cap A^G.$$

But we have

$$\begin{aligned}
 (g \cdot \mathfrak{m}) \cap A^G &= \{(g \cdot f) \in A^G \mid f \in \mathfrak{m}\} \\
 &= \{g^{-1} \cdot (g \cdot f) \in A^G \mid f \in \mathfrak{m}\} \\
 &= \{f \in A^G \mid f \in \mathfrak{m}\} \\
 &= \mathfrak{m} \cap A^G.
 \end{aligned}$$

Hence  $x \cdot G \subseteq \pi^{-1}(\pi(x))$ .

Conversely, let  $x_1, x_2 \in \pi^{-1}(\pi(x_1))$  be closed points with corresponding maximal ideals  $\mathfrak{m}_1$  and  $\mathfrak{m}_2$  respectively. The assumption that  $x_1$  and  $x_2$  are in the same fiber translates into the equality

$$\mathfrak{m}_1 \cap A^G = \mathfrak{m}_2 \cap A^G.$$

We use this equality to show that

$$\mathfrak{m}_1 \subseteq \bigcup_{g \in G} (g \cdot \mathfrak{m}_2).$$

Indeed, given any  $f \in \mathfrak{m}_1$ , we can produce a  $G$ -invariant element in the maximal ideal by looking at the (finite) product

$$\prod_{g \in G} (g \cdot f) \in \mathfrak{m}_1 \cap A^G = \mathfrak{m}_2 \cap A^G \subseteq \mathfrak{m}_2.$$

Since  $\mathfrak{m}_2$  is a prime ideal, there exists some  $g \in G$  such that  $g \cdot f \in \mathfrak{m}_2$ . Hence  $\mathfrak{m}_1 \subseteq \bigcup_{g \in G} (g \cdot \mathfrak{m}_2)$  as claimed. Since  $G$  acts by ring morphisms, each ideal  $g \cdot \mathfrak{m}_2$  is again a prime ideal. So we may apply the prime avoidance lemma to conclude that there exists some  $g_1 \in G$  such that  $\mathfrak{m}_1 \subseteq g_1 \cdot \mathfrak{m}_2$ . By symmetry of  $x_1$  and  $x_2$  there exists some  $g_2 \in G$  such that  $\mathfrak{m}_2 \subseteq g_2 \cdot \mathfrak{m}_1$ . So

$$\mathfrak{m}_1 \subseteq g_1 \cdot \mathfrak{m}_2 \subseteq g_1 g_2 \cdot \mathfrak{m}_1.$$

Since  $G$  acts by ring automorphisms,  $\mathfrak{m}_1$  and  $g_1 g_2 \cdot \mathfrak{m}_1$  are prime ideals of the same height. Therefore  $\mathfrak{m}_1 = g_1 g_2 \cdot \mathfrak{m}_1$ . From this we finally deduce that

$$g_1 \cdot \mathfrak{m}_2 \subseteq g_1 g_2 \cdot \mathfrak{m}_1 = \mathfrak{m}_1 \subseteq g_1 \cdot \mathfrak{m}_2,$$

so that  $\mathfrak{m}_1 = g_1 \cdot \mathfrak{m}_2$  and  $x_1 \in x_2 \cdot G$ .  $\square$

**Lemma A.12.** *In the situation of Lemma A.8, the topology on  $\text{Spec}(A^G)$  is the quotient topology induced by  $\pi$ .*

*Proof.* We need to show that a subset  $U \subseteq \text{Spec}(A^G)$  is open as soon as  $\pi^{-1}(U)$  is open. So let  $U \subseteq \text{Spec}(A^G)$  be a subset such that  $\pi^{-1}(U)$  is open in  $\text{Spec}(A)$ . Let  $Z := \text{Spec}(A^G) \setminus U$ . Then  $\pi^{-1}(Z) = \text{Spec}(A) \setminus \pi^{-1}(U)$ , which by assumption is a closed subset in  $\text{Spec}(A)$ . By Lemma A.9 the morphism  $\pi$  is surjective, so  $Z \subseteq \pi(\pi^{-1}(Z))$ . And  $\pi(\pi^{-1}(Z)) \subseteq Z$  is always true, so we deduce that  $\pi(\pi^{-1}(Z)) = Z$ . But again from Lemma A.9 we know that  $\pi$  is a finite morphism, in particular a proper morphism of schemes and hence

a closed morphism of topological spaces. So  $Z$  is a closed subset and  $U$  is open, as we wanted to show.  $\square$

**Lemma A.13.** *In the situation of Lemma A.8, let us denote  $X = \operatorname{Spec}(A)$  and  $Y = \operatorname{Spec}(A^G)$ . Then  $\mathcal{O}_Y$  is the subsheaf of  $\pi_*\mathcal{O}_X$  consisting of invariant functions, i.e. if  $f \in \Gamma(V, \pi_*\mathcal{O}_X) = \Gamma(\pi^{-1}(V), \mathcal{O}_X)$ , then  $f \in \Gamma(V, \mathcal{O}_Y)$  if and only if the following diagram commutes:*

$$\begin{array}{ccc} \pi^{-1}(V) \times G & \xrightarrow{\sigma} & \pi^{-1}(V) \\ \downarrow p_1 & & \downarrow f \\ \pi^{-1}(V) & \xrightarrow{f} & \mathbb{A}^1. \end{array}$$

*Proof.* This follows from the definition of the structure sheaf on the spectrum of a ring combined with the compatibility of localization with taking subrings of invariants [AM69, Exercise 5.12].  $\square$

**Corollary A.14.** *In the situation of Lemma A.8, the induced morphism  $\pi: \operatorname{Spec}(A) \rightarrow \operatorname{Spec}(A^G)$  is a geometric quotient of  $\operatorname{Spec}(A)$  by  $G$ .*

*Proof.* Each of the necessary properties was already proven in the lemmas above:

- (1)  $G$ -invariance follows from Lemma A.11.
- (2) Surjectivity follows from Lemma A.9, and the fibers over closed points being precisely the orbits of closed points follows from Lemma A.11.
- (3) We have seen that  $\operatorname{Spec}(A^G)$  carries the quotient topology induced by  $\pi$  in Lemma A.12.
- (4) That the structure sheaf of  $\operatorname{Spec}(A^G)$  agrees with the subsheaf of  $G$ -invariant functions of  $\pi_*\mathcal{O}_{\operatorname{Spec}(A)}$  was checked in Lemma A.13.

So  $\pi$  is indeed a geometric quotient.  $\square$

*Remark A.15.* Recall from Remark A.6 that being a geometric quotient is local on the target, so in the situation of Corollary A.14 we can moreover say that  $\pi|_{\pi^{-1}(V)}: \pi^{-1}(V) \rightarrow V$  is a geometric quotient of the  $G$ -invariant open  $\pi^{-1}(V)$  by  $G$  for every open subset  $V \subseteq \operatorname{Spec}(A^G)$ .

**Lemma A.16.** *Let  $\sigma: X \times G \rightarrow X$  be an action of a finite group on a finite type  $\mathbb{C}$ -scheme  $X$ . Suppose there exists an affine open cover  $\{U_i\}_{i \in I}$  of  $X$  such that  $U_i$  is  $G$ -invariant for every  $i \in I$ . Then the geometric quotient of  $X$  by  $G$  exists.*

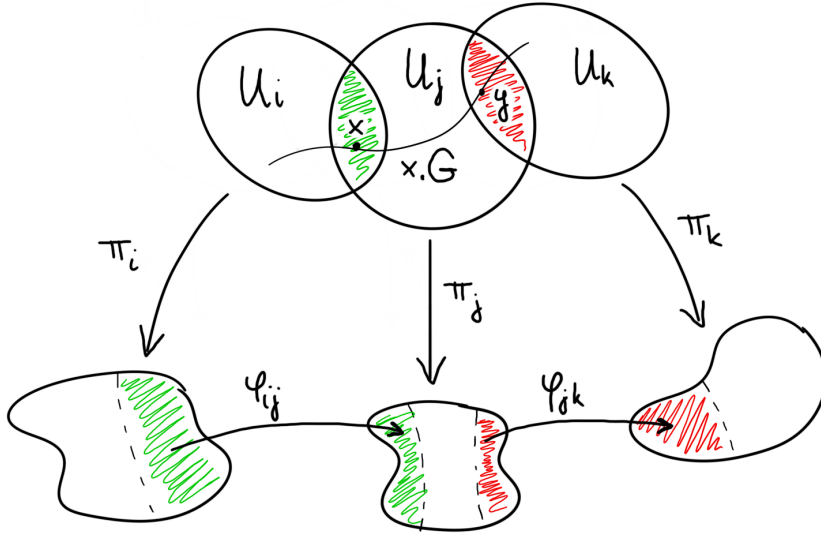
*Proof.* For each  $i \in I$  we get an action of  $G$  on the affine scheme  $U_i$ , which is of finite type over  $\mathbb{C}$ . By Corollary A.14 we may form the geometric quotient  $\pi_i: U_i \rightarrow U_i/G$  for each  $i \in I$ . For  $i, j \in I$  let us denote by  $U_{i,j}$  the intersection  $U_i \cap U_j$ . The geometric quotient  $\pi_i$  is by definition surjective, so we have  $\pi_i^{-1}(\pi_i(U_{i,j})) = U_{i,j}$ . And  $U_i/G$  carries by definition the quotient topology induced by  $\pi_i$ , so  $\pi_i(U_{i,j})$  is open in



$U_i/G$ . We denote by  $\pi_{i,j}: \pi_i^{-1}(\pi_i(U_{i,j})) \rightarrow \pi_i(U_{i,j})$  the corresponding corestriction for all  $i, j \in I$ . Since geometric quotients are local on the target, both  $\pi_{i,j}$  and  $\pi_{j,i}$  are geometric quotients of  $U_{i,j}$  by  $G$ . We have seen that geometric quotients are categorical quotients, hence unique up to unique isomorphism, so this ensures the existence of uniquely determined isomorphisms

$$\varphi_{i,j}: \pi_i(U_{i,j}) \cong \pi_j(U_{i,j})$$

for each  $i, j \in I$ . Uniqueness ensures that  $\varphi_{i,j}^{-1} = \varphi_{j,i}$ , so in order to glue it remains to show the cocycle condition. Let  $i, j, k \in I$ . We need to show that  $\varphi_{i,j}(\pi_i(U_{i,j}) \cap \pi_i(U_{i,k})) = \pi_j(U_{i,j}) \cap \pi_j(U_{j,k})$  and that  $\varphi_{i,k} = \varphi_{j,k} \circ \varphi_{i,j}$  on  $\pi_i(U_{i,j}) \cap \pi_i(U_{i,k})$ . Let  $U_{i,j,k}$  denote  $U_i \cap U_j \cap U_k$ . Then  $\pi_j(U_{i,j}) \cap \pi_j(U_{j,k}) = \pi_j(U_{i,j,k})$ , because  $\pi_j(U_{i,j,k}) \subsetneq \pi_j(U_{i,j}) \cap \pi_j(U_{j,k})$  would mean that we can find  $x \in U_{i,j} \setminus U_{i,j,k}$  and  $y \in U_{j,k} \setminus U_{i,j,k}$  such that  $\pi_j(x) = \pi_j(y)$ ; since  $\pi_j$  is a geometric quotient, its fibers are precisely the  $G$ -orbits of points in  $U_j$ , and this would contradict  $G$ -invariance of  $U_i$  as the following picture shows:



So in this case we do have  $\pi_j(U_{i,j,k}) = \pi_j(U_{i,j}) \cap \pi_j(U_{j,k})$ . And similarly  $\pi_i(U_{i,j}) \cap \pi_i(U_{i,k}) = \pi_i(U_{i,j,k})$ , so we need to show that  $\varphi_{i,j}(\pi_i(U_{i,j,k})) = \pi_j(U_{i,j,k})$ . But by construction we have  $\varphi_{i,j} \circ \pi_i = \pi_j$ , and this implies the desired equality. Hence  $\varphi_{i,j}|_{\pi_i(U_{i,j,k})}$  and  $\varphi_{j,k} \circ \varphi_{i,j}|_{\pi_i(U_{i,j,k})}$  are two isomorphisms between  $\pi_i(U_{i,j,k})$  and  $\pi_k(U_{i,j,k}) \cap \pi_k(U_{i,j}) = \pi_k(U_{i,j,k})$ . But the corestriction of each  $\pi_i$  to  $\pi_i(U_{i,j,k})$  is also a geometric quotient of  $U_{i,j,k}$  by  $G$ , so there exist unique isomorphisms  $\psi_{i,k}: \pi_i(U_{i,j,k}) \cong \pi_k(U_{i,j,k})$  under  $U_{i,j,k}$ . In particular, since  $\varphi_{i,k}|_{\pi_i(U_{i,j,k})}$  and  $\varphi_{j,k} \circ \varphi_{i,j}|_{\pi_i(U_{i,j,k})}$  are two such isomorphisms, they must be equal, as we wanted to show. Hence the cocycle condition is satisfied and we may glue the  $\pi_i$  together to obtain a  $\mathbb{C}$ -scheme morphism  $\pi: X \rightarrow Z$

for some  $\mathbb{C}$ -scheme  $Z$  obtained by glueing the  $U_i/G$  together [Har77, Exercise II.2.12]. Finally, since being a geometric quotient is local on the target, it suffices to show that this resulting morphism  $\pi: X \rightarrow Z$  is a geometric quotient on an open cover of  $Z$ . But by construction  $Z$  has an open cover  $\{V_i\}_{i \in I}$  in which each  $V_i$  is identified with  $U_i/G$  in such a way that the corresponding corestriction  $\pi|_{\pi^{-1}(V_i)}: \pi^{-1}(V_i) \rightarrow V_i$  is identified with the geometric quotient  $\pi_i: U_i \rightarrow U_i/G$ , so we are done.  $\square$

## REFERENCES

- [AM69] M. F. Atiyah and I. G. Macdonald. *Introduction to commutative algebra*. Addison-Wesley Publishing Co., Reading, Mass.-London-Don Mills, Ont., 1969.
- [GW10] Ulrich Görtz and Torsten Wedhorn. *Algebraic geometry I*. Advanced Lectures in Mathematics. Vieweg + Teubner, Wiesbaden, 2010. Schemes with examples and exercises.
- [Har77] Robin Hartshorne. *Algebraic geometry*. Springer-Verlag, New York-Heidelberg, 1977. Graduate Texts in Mathematics, No. 52.
- [MFK94] D. Mumford, J. Fogarty, and F. Kirwan. *Geometric invariant theory*, volume 34 of *Ergebnisse der Mathematik und ihrer Grenzgebiete (2) [Results in Mathematics and Related Areas (2)]*. Springer-Verlag, Berlin, third edition, 1994.
- [Sta21] The Stacks project authors. The stacks project. <https://stacks.math.columbia.edu>, 2021.

PEDRO NÚÑEZ

ALBERT-LUDWIGS-UNIVERSITÄT FREIBURG, MATHEMATISCHES INSTITUT  
ERNST-ZERMELO-STRASSE 1, 79104 FREIBURG IM BREISGAU (GERMANY)

*Email address:* [pedro.nunez@math.uni-freiburg.de](mailto:pedro.nunez@math.uni-freiburg.de)

*Homepage:* <https://home.mathematik.uni-freiburg.de/nunez>