





Teoria dos Grafos e Computabilidade

Dynamic programming —

Silvio Jamil F. Guimarães

Graduate Program in Informatics – PPGINF Laboratory of Image and Multimedia Data Science – IMScience Pontifical Catholic University of Minas Gerais – PUC Minas







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— Dynamic programming: fundamentals —

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Dynamic programming.

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 - ► Con: conquer step can be very hard to implement efficiently.
- 4. Dynamic programming
 - More powerful than greedy and divide-and-conquer strategies.
 - ► Implicitly explore space of all possible solutions.
 - Solve multiple sub-problems and build up correct solutions to larger and larger sub-problems.
 - Careful analysis needed to ensure number of sub-problems solved is polynomial in the size of the input.

History of Dynamic Programming

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History of Dynamic Programming

- ▶ Bellman pioneered the systematic study of dynamic programming in the 1950s.
- ► Dynamic programming = "planning over time."
- ► The Secretary of Defense at that time was hostile to mathematical research.
- ▶ Bellman sought an impressive name to avoid confrontation.
 - "it's impossible to use dynamic in a pejorative sense"
 - ▶ "something not even a Congressman could object to" Reference:
 - ▶ Bellman, R. E., Eye of the Hurricane, An Autobiography.

Applications of Dynamic Programming

- Computational biology: Smith-Waterman algorithm for sequence alignment.
- ► Operations research: Bellman-Ford algorithm for shortest path routing in networks .
- ► Control theory: Viterbi algorithm for hidden Markov models.
- ► Computer science (theory, graphics, AI, ...): Unix diff command for comparing two files.







Teoria dos Grafos e Computabilidade

— Weighted interval scheduling —

Silvio Jamil F. Guimarães

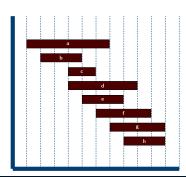
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Review: Interval Scheduling

INTERVAL SCHEDULING

INSTANCE Nonempty set $\{(s(i), f(i)), 1 \le i \le n\}$ of start and finish times of n jobs.

SOLUTION The largest subset of mutually compatible jobs.

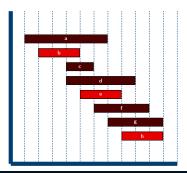


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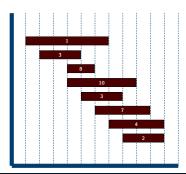
- ► Two jobs are compatible if they do not overlap.
- ► This problem models the situation where you have a resource, a set of fixed jobs, and you want to schedule as many jobs as possible.
- ► Greedy algorithm sort jobs in non decreasing order of finish times. Add next job to current subset only if it is compatible with previously-selected jobs.

Weighted Interval Scheduling

WEIGHTED INTERVAL SCHEDULING

INSTANCE Nonempty set $\{(s_i, f_i), 1 \le i \le n\}$ of start and finish times of n jobs and a weight $v_i \ge 0$ associated with each job.

SOLUTION A set S of mutually compatible jobs such that $\sum_{i \in S} v_i$ is maximised.



Weighted Interval Scheduling

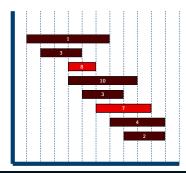
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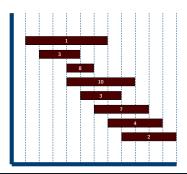
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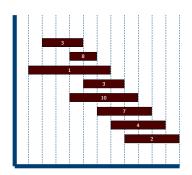


- ► Two jobs are compatible if they do not overlap.
- ► This problem models the situation where you have a resource, a set of fixed jobs, and you want to schedule as many weighted jobs as possible.
- ► Greedy algorithm can produce arbitrarily bad results for this problem.

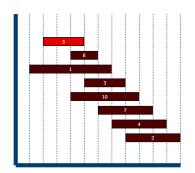
- Sort jobs in increasing order of finish time and relabel: $f_1 \le f_2 \le \ldots \le f_n$.
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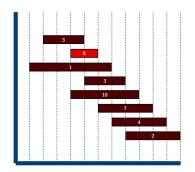


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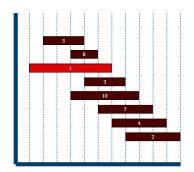
- ▶ p(j) is the largest index i < j such that job i is compatible with job j. p(j) = 0 if there is no such job i.
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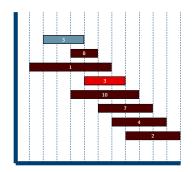
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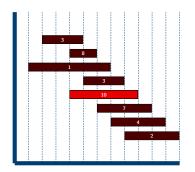
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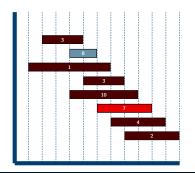
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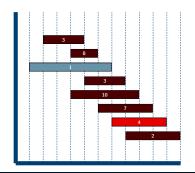
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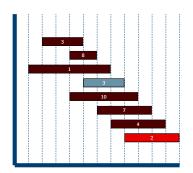
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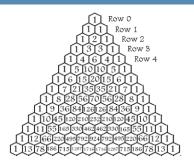


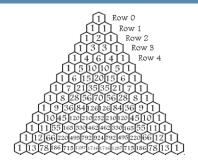
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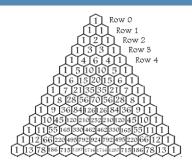


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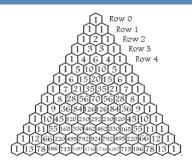


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$$\binom{n}{r} = \binom{n-1}{r-1} + \binom{n-1}{r}$$

▶ Proof: either we select the *n*th element or not . . .

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 - Case 1 job n is not in \mathcal{O} .
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- \triangleright \mathcal{O} cannot use incompatible jobs $\{p(n)+1, p(n)+2, \ldots, n-1\}.$
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- ▶ O must be the best of these two choices!
- ▶ Suggests finding optimal solution for sub-problems consisting of jobs $\{1, 2, ..., j 1, j\}$, for all values of j.

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 $OPT(j) = \max(v_j + OPT(p(j)), OPT(j-1))$

▶ When does request j belong to \mathcal{O}_i ?

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▶ When does request j belong to \mathcal{O}_j ? If and only if $v_j + \mathsf{OPT}(p(j)) \ge \mathsf{OPT}(j-1)$.

Recursive Algorithm

```
Algorithm: Compute-opt
   input: A set of weighted jobs R, index j and largest
            compatible indices.
   output: A set of compatible jobs A
 1 if i = 0 then
       return 0
 3 else
       return max
 4
        (v_i + Compute - opt(p(j)), Compute - opt(j-1))
 5 end
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- Correctness of algorithm follows by induction.
- ► What is the running time of the algorithm?

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Recursive Algorithm

Algorithm: Compute-opt

- Correctness of algorithm follows by induction.
- ▶ What is the running time of the algorithm? Can be exponential in *n*.
- ▶ When p(j) = j 2, for all $j \ge 2$: recursive calls are for j 1 and j 2.

```
OPT(6) =
OPT(5) =
OPT(4) =
OPT(3) =
OPT(2) =
OPT(1) =
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► Optimal solution is

```
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```

▶ Optimal solution is job 5, job 3, and job 1.

Memoisation

► Store OPT(j) values in a cache and reuse them rather than recompute them.

```
Algorithm: M-Compute-opt
   input: A set of weighted jobs R, index j and largest
           compatible indices.
   output: A set of compatible jobs A
 1 if i = 0 then
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 3 else if M[j] is not empty then
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► Claim: running time of this algorithm is O(n) (after sorting).

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- ► How many such recursive calls are there in total?
- ▶ Use number of filled entries in *M* as a measure of progress.
- \blacktriangleright Each time M-Compute-Opt issues two recursive calls, it fills in a new entry in M.
- ► Therefore, total number of recursive calls is O(n)

From Recursion to Iteration

- ▶ Unwind the recursion and convert it into iteration.
- ▶ Can compute values in M iteratively in O(n) time.
- ► Find-Solution works as before.

```
Algorithm: Iterative weighted interval scheduling
input: A set of weighted jobs R, index j and largest
compatible indices.
output: A set of compatible jobs A

1 M[0] = 0;
2 foreach j \in [1, n] do
3 | M[j] = max(v_j+M[p(j)],M[j-1]);
4 end
```

Basic Outline of Dynamic Programming

- ► To solve a problem, we need a collection of sub-problems that satisfy a few properties:
 - 1. There are a polynomial number of sub-problems.
 - 2. The solution to the problem can be computed easily from the solutions to the sub-problems.
 - 3. There is a natural ordering of the sub-problems from "smallest" to "largest".
 - 4. There is an easy-to-compute recurrence that allows us to compute the solution to a sub-problem from the solutions to some smaller sub-problems.

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 - 4. There is an easy-to-compute recurrence that allows us to compute the solution to a sub-problem from the solutions to some smaller sub-problems.
- ▶ Difficulties in designing dynamic programming algorithms:
 - 1. Which sub-problems to define?
 - 2. How can we tie up sub-problems using a recurrence?
 - 3. How do we order the sub-problems (to allow iterative computation of optimal solutions to sub-problems)?







Teoria dos Grafos e Computabilidade

— Some exercises —

Silvio Jamil F. Guimarães

Graduate Program in Informatics – PPGINF Laboratory of Image and Multimedia Data Science – IMScience Pontifical Catholic University of Minas Gerais – PUC Minas

The maximum sum subarray problem is the task of finding a contiguous subarray with the largest sum, within a given one-dimensional array A[1...n] of numbers.

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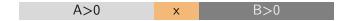
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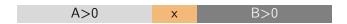
Formally, the task is to find indices i and j with $1 \le i \le j \le n$, such that

$$\sum_{x=i}^{J} A[x]$$
-2 | 1 | -3 | 4 | -1 | 2 | 1 | -5 | 4

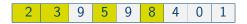
Some properties of this problem are:

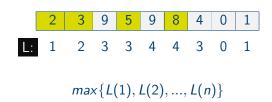
- ▶ If the array contains all non-negative numbers, then the problem is trivial
- ► If the array contains all non-positive numbers, then a solution is any subarray of size 1;
- ► Several different sub-arrays may have the same maximum sum.

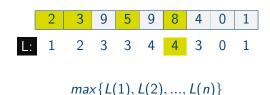




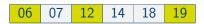
- ▶ If x > 0 then the answer is A + x + B
- If x < 0 then the answer may be
 - 1. $\max\{A, B\}$ if A + x < 0
 - 2. $\max\{A, B, A + x + B\}$ if A + x > 0







- ▶ There are n possible places where you can place an advertisement given by x_1, x_2, \dots, x_n in [0, M].
- ▶ Placing an advertisement at x_i gives value r_i .
- ► You cannot put two advertisements at distance < 5kms from each other.



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r:	05	06	05	01	02	03

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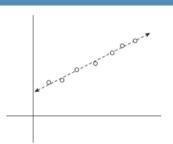


Teoria dos Grafos e Computabilidade

— Segmented Least Squares —

Silvio Jamil F. Guimarães

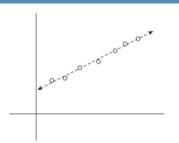
Graduate Program in Informatics – PPGINF Laboratory of Image and Multimedia Data Science – IMScience Pontifical Catholic University of Minas Gerais – PUC Minas



- Given scientific or statistical data plotted on two axes.
- ► Find the "best" line that "passes" through these points.



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- ► How do we formalise the problem?



- Given scientific or statistical data plotted on two axes.
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LEAST SQUARES

INSTANCE Set
$$P = \{(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)\}$$
 of *n* points.

SOLUTION Line L: y = ax + b that minimises

Error(L, P) =
$$\sum_{i=1}^{n} (y_i - ax_i - b)^2$$
.

LEAST SQUARES

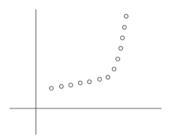
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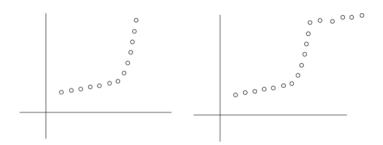
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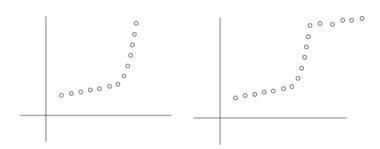
Error(L, P) =
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.

► Solution is achieved by

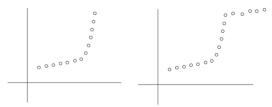
$$a = \frac{n \sum_{i} x_i y_i - (\sum_{i} x_i) (\sum_{i} y_i)}{n \sum_{i} x_i^2 - (\sum_{i} x_i)^2} \text{ and } b = \frac{\sum_{i} y_i - a \sum_{i} x_i}{n}$$







- ▶ Want to fit multiple lines through *P*.
- ► Each line must fit contiguous set of *x*-coordinates.
- ► Lines must minimise total error.

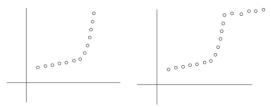


SEGMENTED LEAST SQUARES

INSTANCE Set
$$P = \{p_i = (x_i, y_i), 1 \le i \le n\}$$
 of n points, $x_1 < x_2 < \dots < x_n$.

SOLUTION A integer k, a partition of P into k segments $\{P_1, P_2, \ldots, P_k\}$, k lines $L_j: y = a_jx + b_j, 1 \le j \le k$ that minimise

$$\sum_{j=1}^{k} \mathsf{Error}(L_j, P_j)$$

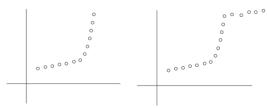


SEGMENTED LEAST SQUARES

INSTANCE Set $P = \{p_i = (x_i, y_i), 1 \le i \le n\}$ of n points, $x_1 < x_2 < \dots < x_n$ and a parameter C > 0.

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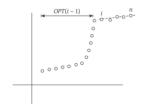
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A subset P' of P is a segment if $1 \le i < j \le n$ exist such that $P' = \{(x_i, y_i), (x_{i+1}, y_{i+1}), \dots, (x_{j-1}, y_{j-1}), (x_j, y_j)\}.$

Formulating the Recursion: I

- ▶ Observation: p_n is part of some segment in the optimal solution. This segment starts at some point p_i .
- ▶ Let OPT(i) be the optimal value for the points $\{p_1, p_2, \dots, p_i\}$.
- Let $e_{i,j}$ denote the minimum error of any line that fits $\{p_i, p_2, \dots, p_j\}$.
- \blacktriangleright We want to compute $\mathsf{OPT}(n)$.



▶ If the last segment in the optimal partition is $\{p_i, p_{i+1}, \dots, p_n\}$, then

$$OPT(n) = e_{i,n} + C + OPT(i-1)$$

Formulating the Recursion: II

- ▶ Consider the sub-problem on the points $\{p_1, p_2, \dots p_j\}$
- ► To obtain OPT(j), if the last segment in the optimal partition is $\{p_i, p_{i+1}, \dots, p_i\}$, then

$$\mathsf{OPT}(j) = e_{i,j} + C + \mathsf{OPT}(i-1)$$

Formulating the Recursion: II

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$$\mathsf{OPT}(j) = e_{i,j} + C + \mathsf{OPT}(i-1)$$

► Since *i* can take only *j* distinct values,

$$\mathsf{OPT}(j) = \min_{1 \le i \le j} \left(e_{i,j} + C + \mathsf{OPT}(i-1) \right)$$

Segment $\{p_i, p_{i+1}, \dots p_j\}$ is part of the optimal solution for this sub-problem if and only if the minimum value of OPT(j) is obtained using index i. solution

Dynamic Programming Algorithm

$$\mathit{OPT}(j) = \left\{ egin{array}{ll} 0, & ext{if } j = 0 \ \min_{1 \leq i \leq j} (e_{ij} + c + \mathit{OPT}[i-1]), & ext{otherwise} \end{array}
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```
Algorithm: Segmented least squares: an iterative algorithm input: A set of n points p_i output: A set of compatible jobs A

1 M[0] = 0;
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3 | for i=1 to j do
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```

- ▶ Running time is $O(n^3)$, can be improved to $O(n^2)$.
- ► We can find the segments in the optimal solution by backtracking







Teoria dos Grafos e Computabilidade

— Sequence alignment —

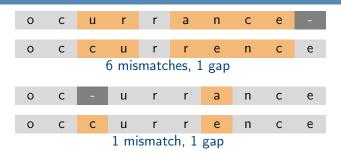
Silvio Jamil F. Guimarães

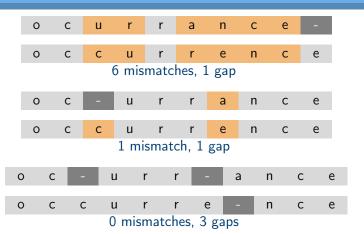
Graduate Program in Informatics – PPGINF Laboratory of Image and Multimedia Data Science – IMScience Pontifical Catholic University of Minas Gerais – PUC Minas

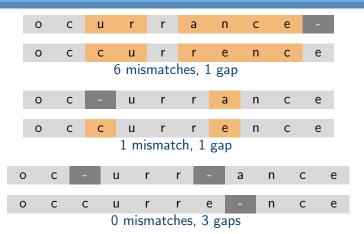
Sequence Similarity

- ▶ Given two strings, measure how similar they are.
- ► Given a database of strings and a query string, compute the string most similar to query in the database.
- ► Applications:
 - ► Online searches (Web, dictionary).
 - ► Spell-checkers.
 - ► Computational biology
 - ► Speech recognition.
 - ▶ Basis for Unix diff.









- ► Edit distance model: how many changes must you to make to one string to transform it into another?
- ► Changes allowed are deleting a letter, adding a letter, changing a letter.

EDIT DISTANCE

INSTANCE Let two string $x = x_1x_2x_3...x_m$ and $y = y_1y_2...y_n$

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- ► A matching of these sets is a set *M* of ordered pairs such that
 - 1. in each pair (i,j), $1 \le i \le m$ and $1 \le j \le m$ and
 - no index from x (respectively, from y) appears as the first (respectively, second) element in more than one ordered pair.
- A matching M is an alignment if there are no "crossing pairs" in M: if $(i,j) \in M$ and $(i',j') \in M$ and i < i' then j < j'.

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- ▶ The pair x_i - y_j and $x_{i'}$ - y_j' cross if i < i'', but j j'.

EDIT DISTANCE

INSTANCE Let two string $x = x_1x_2x_3...x_m$ and $y = y_1y_2...y_n$

SOLUTION An alignment of minimum cost.



A matching M is an alignment if there are no "crossing pairs" in M: if $(i,j) \in M$ and $(i',j') \in M$ and i < i' then j < j'.

$$cost(M) = \underbrace{\sum_{(x_i, y_j) \in M} \alpha_{x_i y_j}}_{\text{mismatch}} + \underbrace{\sum_{i: x_i \text{ unmatched}} \delta + \sum_{j: x_j \text{ unmatched}} \delta}_{\text{gaps}}$$

► Consider index $m \in x$ and index $n \in y$. Is $(m, n) \in M$?

- ► Consider index $m \in x$ and index $n \in y$. Is $(m, n) \in M$?
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- ▶ Claim: $(m, n) \notin M \Rightarrow m \in x$ not matched or $n \in y$ not matched.
- ▶ OPT(i,j) = min cost of aligning $x = x_1 ... x_i$ and $y = y_1 ... y_j$.
 - ▶ Case 1: OPT matches x_i - y_j so $(i, j) \in M$:

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- ▶ What are the base cases? $OPT(i, 0) = OPT(0, i) = i\delta$.

$$\mathsf{OPT}(i,j) = \left\{ \begin{array}{ll} j\delta, & \textit{if } i = 0 \\ \min \left\{ \begin{array}{ll} \alpha_{x_iy_j} + \mathsf{OPT}(i-1,j-1), \\ \delta + \mathsf{OPT}(i-1,j), & \textit{otherwise} \\ \delta + \mathsf{OPT}(i,j-1) & \textit{if } j = 0 \end{array} \right. \\ \\ i\delta, & \textit{if } j = 0 \end{array} \right.$$

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- Running time is O(mn). Space used in O(mn).
- ▶ Can compute OPT(m, n) in O(mn) time and O(m + n) space (*Hirschberg 1975*, Chapter 6.7).
- ► Can compute *alignment* in the same bounds by combining dynamic programming with divide and conquer.

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Some properties of this problem are:

- ▶ the lenght of the longest subsequence must be maximal;
- ▶ may have several longest subsequences with the same size;
- ▶ it is possible to identify the subsequence by backtracking

$$\mathsf{OPT}(i,j) = \left\{ \begin{array}{ll} 0, & \textit{if } i = 0 \\ 1 + \mathsf{OPT}(i-1,j-1), & \textit{if } x_i = y_j \\ \max \left\{ \begin{array}{ll} \mathsf{OPT}(i-1,j), & \textit{otherwise} \\ \mathsf{OPT}(i,j-1) & \textit{otherwise} \end{array} \right. \\ 0, & \textit{if } j = 0 \end{array} \right.$$

C T A C C

T A C A C G

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	C	Т	Α	C	C
0	0	0	0	0	0
0	0	1	1	1	1

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	C	Т	Α	C	C
0	0	0	0	0	0
0	0	1	1	1	1
0	0	1	2	2	2

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		C	Т	Α	C	C
	0	0	0	0	0	0
Т	0	0	1	1	1	1
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C	0	1	1	2	3	3
-						

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	0	0	0	0	0	0
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C	0	1	1	2	3	3
Α	0	1	1	2	3	3
C	0	1	1	2	3	4
G						

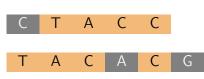
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Dynamic Programming Algorithm

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How to find the size of the longest palindrome?

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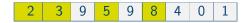
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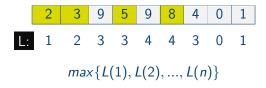
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The longest increasing subsequence —LIS— problem is to find a subsequence of a given sequence in which the subsequence's elements are in sorted order, lowest to highest, and in which the subsequence is as long as possible.

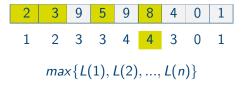
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Teoria dos Grafos e Computabilidade

— Shortest Path Problem —

Silvio Jamil F. Guimarães

Graduate Program in Informatics – PPGINF Laboratory of Image and Multimedia Data Science – IMScience Pontifical Catholic University of Minas Gerais – PUC Minas

Shortest Path Problem

- ▶ G = (V, E) is a connected directed graph. Each edge e has a length $I_e \ge 0$.
- \triangleright V has \overline{n} nodes and E has m edges.
- ▶ Length of a path *P* is the sum of lengths of the edges in *P*.
- ► Goal is to determine the shortest path from some start node *s* to each node in *V*.
- ► Aside: If *G* is undirected, convert to a directed graph by replacing each edge in *G* by two directed edges.

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SHORTEST PATHS

INSTANCE A directed graph G(V, E), a function $I : E \to \mathbb{R}^+$, and a node $s \in V$

SOLUTION A set $\{P_u, u \in V\}$, where P_u is the shortest path in G from s to u.

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Algorithm: Shortes path algorithm – Dijkstra)

input: A graph G = (V, E), a weight map W and a source node s.

output: The distances of the vertices from s

1 Let S be the set of explored nodes;
2 foreach u \in S do store distance d[u] = \infty;
3 Initially d[s] = 0 and S = s;
4 while S \neq V do
5 | Select a node v \notin S with at least one edge from S for which d'(v) = \min_{e = (u,v): u \in S} d[u] + W(e) is as small as possible;
6 | Add v to S and define d[v] = d'[v];
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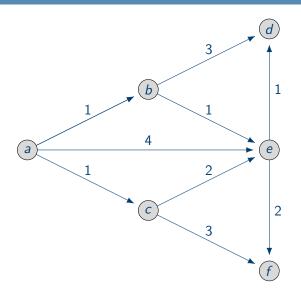
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Algorithm: Shortes path algorithm — Dijkstra)

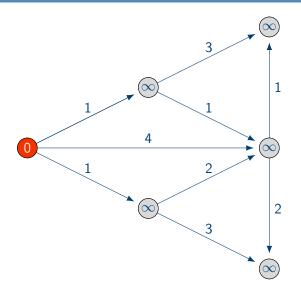
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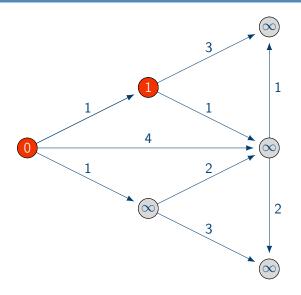
output: The distances of the vertices from s

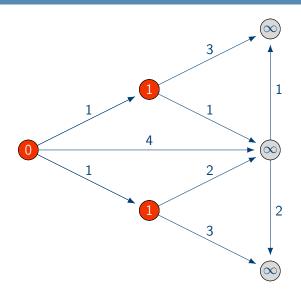
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4 while S \neq V do
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d'(v) = \min_{e = (u,v): u \in S} d[u] + W(e) \text{ is as small as possible;}
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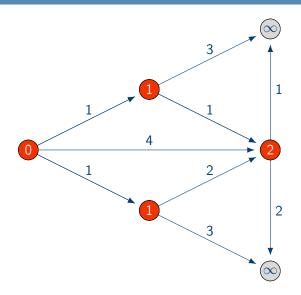
► Can modify algorithm to compute the shortest paths themselves: record the predecessor u that minimises d'(v).

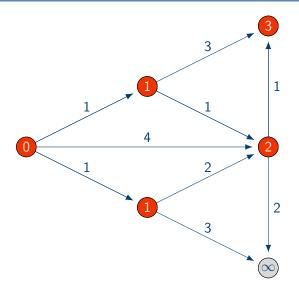


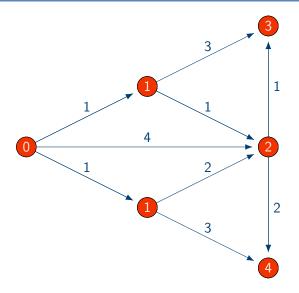










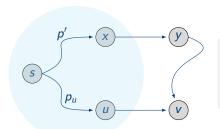


Proof of Correctness

- ▶ Let P_u be the shortest path computed for a node u.
- ▶ Claim: P_u is the shortest path from s to u.
- ▶ Prove by induction on the size of *S*.
 - ▶ Base case: |S| = 1. The only node in S is s.
 - ► Inductive step: we add the node v to S. Let u be the v's predecessor on the path P_v. Could there be a shorter path P from s to v?

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The alternate s-v path P through x and y already too long by the time it had left the set S

Comments about Dijkstra's Algorithm

- ► Algorithm cannot handle negative edge lengths.
- ► Union of shortest paths output form a tree. Why?

Algorithm: Shortes path algorithm – Dijkstra) input : A graph G = (V, E), a weight map W and a source node s. output: The distances of the vertices from s 1 Let S be the set of explored nodes; 2 foreach u ∈ S do store distance d[u] = ∞; 3 Initially d[s] = 0 and S = s; 4 while S ≠ V do 5 | Select a node v ∉ S with at least one edge from S for which d'(v) = min_{e=(u,v):u∈S} d[u] + W(e) is as small as possible; 6 | Add v to S and define d[v] = d'[v];

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- ▶ How many iterations are there of the while loop? n-1.
- ▶ In each iteration, for each node $v \notin S$, compute $\min_{e=(u,v),u\in S} d(u) + I_e$.

A Faster implementation of Dijkstra's Algorithm

```
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- ▶ Observation: If we add v to S, d'(w) changes only for v's neighbours.
- ▶ Store the minima d'(v) for each node $v \in V S$ in a priority queue.
- ▶ Determine the next node v to add to S using EXTRACTMIN.
- ▶ After adding v, for each neighbour w of v, compute $d(v) + l_{(v,w)}$.
- ▶ If $d(v) + l_{(v,w)} < d'(w)$, update w's key using CHANGEKEY.

7 end

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- ▶ How many times are EXTRACTMIN and CHANGEKEY invoked? n-1 and m times, respectively.

Single Source Shortest Path Problem

- ▶ G = (V, E) is a connected directed graph. Each edge e has a length l_e . Note that the weights may be negative.
- ▶ V has n nodes and E has m edges.
- ► Length of a path *P* is the sum of lengths of the edges in *P*.
- ► Goal is to determine the shortest path from some start node *s* to all other nodes in *V*.
- ► Aside: If *G* is undirected, convert to a directed graph by replacing each edge in *G* by two directed edges.

Single Source Shortest Path Problem

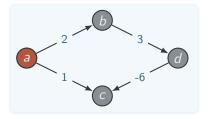
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SHORTEST PATHS

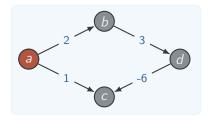
INSTANCE A directed graph G(V, E), a function $I : E \to \mathbb{R}$, and a node $s \in V$

SOLUTION A set $\{P_u, u \in V\}$, where P_u is the shortest path in G from s to u.

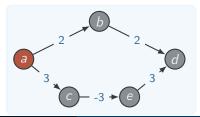
Dijkstra – Can fail if negative edge costs.



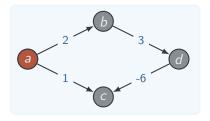
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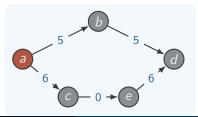
Re-weighting – Adding a constant to every edge weight can fail



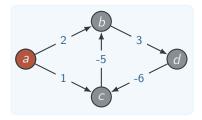
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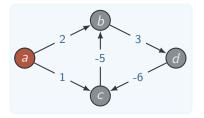
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If some path from s to t contains a negative cost cycle , there does not exist a shortest s-t path; otherwise, there exists one that is simple.



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The Bellman-Ford algorithm is a way to find single source shortest paths in a graph with negative edge weights (but no negative cycles).

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$$OPT(i, v) = \left\{ egin{array}{ll} 0, & \textit{if } i = 0 \\ \min \left\{ egin{array}{ll} OPT(i-1, v) \\ \min \left\{ OPT(i-1, w) + c_{vw}
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Algorithm: Shortest path algorithm – Bellman-Ford

```
input: A graph G=(V,E), a weight map W and a source node s. output: The distances of the vertices from s

1 foreach v \in V do d[0,u] = \infty;
2 Initially d[0,s] = 0;
3 for i=1 to n-1 do
4 | foreach v \in V do
5 | d[i,v] = d[i-1,v]
6 end
7 foreach edge(v,w) \in E do
8 | d[i,v] = \min\{d[i,v],d[i-1,w] + c_{vw}\}
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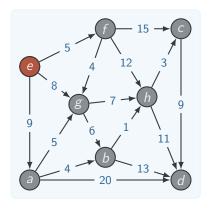
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How to detect negative cycles?

Shortest path – an example



Compute the shortest path from e to all other nodes!