# Civil War as State-Making: Strategic Governance in Civil War

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#### Abstract

Why do some rebel groups provide governance inclusively while most others do not? Some insurgencies divert critical financial and personnel resources to provide benefits to anyone, including non-supporters (e.g. Karen National Union, Eritrean People's Liberation Front). Other groups offer no services or limit their service provision to only those people who support, or are likely to support, the insurgency. The existing literature examines how insurgencies incentivize recruitment by offering selective social services, yet no research addresses why insurgencies provide goods inclusively. I argue that inclusive provision of services legitimates insurgents' claim of sovereignty to domestic and international audiences, and thus is a strategic tool secessionist rebels use to achieve their long-term goal of independence. With new and original data, I use a large-n analysis to test this hypothesis. The results of the analysis support the hypothesis, underscoring the importance insurgent non-violent behavior and addressing key issues such as sovereignty and governance.

**Keywords**: Insurgency, civil war, public goods, sovereignty, statehood, secessionist movements, conflict dynamics

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#### Introduction

Why do some rebel groups provide services inclusively, even to unlikely supporters, while most other insurgencies either restrict their service provision to core allies, or offer nothing? The Eritrean People's Liberation Front (EPLF) began its campaign for Eritrean independence from Ethiopia in the early 1970s, and until it achieved final victory in 1993, the EPLF provided inclusive goods, offering education and healthcare to almost all people in the areas it controlled. By 1978, the EPLF's medical services provided care to almost 1.6 million Eritreans, and in 1982 alone, nearly 10,000 Eritreans enrolled in the EPLF's literacy courses. Even people who would likely never support the insurgency benefitted from the EPLF's social service provision: by 1990, tens of thousands of Ethiopian prisoners of war were given "medical treatment, food, shelter and basic education" despite the fact that they were "a strain on Eritrean resources."

On the other hand, the Revolutionary United Front (RUF) viciously tore through Sierra Leone for nearly a decade, leaving a trail of destruction, mass violence and even engaged in cannibalism.<sup>4</sup> Despite this violence, and much like the EPLF, the RUF also provided some welfare services. The rebel group offered free medical care to its fighters<sup>5</sup> and provided free education and medical services in "safe areas," or territories where support for the RUF was "sufficiently stable and firmly controlled." Yet unlike the EPLF, the RUF did not provide services inclusively. Instead, the RUF restricted access to these goods to a small and inconsistent slice of the population, and even then, the implementation of the group's welfare apparatus resonated "of patrimonial principles." <sup>8</sup>

The cases above illustrate the diversity in the exclusivity of rebel services. This variation in access to goods presents a striking empirical puzzle: most rebel groups (about 70% of groups with territorial control) offer no services or limit their service provision to active or likely supporters

<sup>&</sup>lt;sup>1</sup>EPLF 1982, 91

<sup>&</sup>lt;sup>2</sup>Desta 2009, 19

<sup>&</sup>lt;sup>3</sup>Wilson 1991, 91

<sup>&</sup>lt;sup>4</sup>Peters 2011, 172-3

<sup>&</sup>lt;sup>5</sup>Peters 2011, 122

<sup>&</sup>lt;sup>6</sup>Peters 2011, 119

<sup>&</sup>lt;sup>7</sup>Peters 2011, 119

<sup>&</sup>lt;sup>8</sup>Peters 2011, 123

(e.g. the RUF). Yet some insurgencies divert critical financial and personnel resources to provide benefits to virtually all people, even unlikely supporters (e.g. EPLF, Hezbollah). Existing research concludes that rebels use social services as selective incentives to facilitate recruitment, however a desire to recruit cannot alone explain an insurgency's decision to provide goods inclusively. Inclusive provision is not an efficient recruitment tool: when rebel groups provide inclusive services, they knowingly channel precious resources to help unlikely supporters. Moreover, inclusive service provision exacerbates the free rider problem and actually disincentivizes potential combatants from joining the rebellion: by providing services to all people regardless of their commitment to the insurgency, civilians have no reason to make costly sacrifices on behalf of the rebel group.

Instead, I argue that secessionist insurgencies are more likely to provide inclusive goods than non-secessionist insurgencies (conditional on territorial control). Non-secessionist organizations strive to overtake existing institutions, and for these groups, a military victory alone is typically sufficient for success. To mobilize a force needed to overthrow the government, non-secessionist rebels use exclusive services as a recruitment tool. In contrast, secessionist insurgents cannot achieve victory through military success alone and need recognition of their statehood. Therefore, secessionist rebels must legitimate their claim of territorial sovereignty to an international and domestic community. Inclusive goods provision, because it entails providing services to almost all people living within the space where insurgents seek to be sovereign, legitimates this claim. Inclusive goods provision is thus a strategic tool secessionist insurgencies use to attain their ultimate objective of independence.<sup>10</sup>

To test this hypothesis, I use secondary and primary sources to create an original dataset of the annual level of insurgent education and healthcare provision globally from 1945 to 2003. I conduct a large-n analysis using these original data, as well as other insurgency and state-level variables. The statistical results strongly support this hypothesis: secessionist insurgencies are over 300% more likely to provide inclusive goods than non-secessionist rebels. The results are robust to several alternative specifications. Unlike much of the current civil war scholarship that

<sup>&</sup>lt;sup>9</sup>Weinstein 2006; Berman and Laitin 2008

<sup>&</sup>lt;sup>10</sup>Scholars have identified a similar legitimation mechanism operating amongst secessionist groups across a number of military and non-military contexts (Fazal 2013; Lasley and Thyne 2015; Jo 2015).

has focused on ideology, resources or path-dependent processes, these findings underscore how an insurgency's long-term goals shape and constrain the strategies (both violent and nonviolent) a rebel group chooses to deploy. Ultimately, these results highlight the importance of nonviolent rebel group activities, have implications for the process of state building in civil wars, and address important concepts such as governance and sovereignty.

#### **Existing Explanations**

Since the People's Liberation Army's victory over the Kuomintang, insurgencies across the globe have followed Mao's "liberation" strategy and provided social services to civilians who would become the sea-like masses in which the rebel "fish" would swim. Prior to providing these services, however, insurgents generally need to control territory. When rebels gain territory, they are able to work with civilians and form a "territorially based anti-state" with "its own core areas and administrative units." In other words, once they control territory, insurgents become "stationary bandits" and are incentivized to establish governing institutions. These stationary-bandits-as-insurgents effectively become a competitive alternative governing actor to the existing state.

Yet within these anti-states, considerable variation exists in insurgent governing behaviors: rebels may provide no services, they may provide exclusive goods, or they may provide inclusive goods. Exclusive service provision refers to limited or targeted social service provision from which certain members of a population are explicitly prevented from accessing. Exclusive provision occurs when rebel groups provide services to combatants, supporters and/or civilians who may be more likely to join the rebel group (i.e. co-ethnics/religionists, peasants for communist groups). On the other hand, inclusive service provision refers to goods from which almost anyone can benefit, including unlikely supporters. In this case, in addition to providing services to people in a rebel group's core coalition, the insurgency also provides welfare to those who will likely never join the rebel group (such as non-co-ethnics/religionists, supporters of rival insurgencies,

<sup>&</sup>lt;sup>11</sup>McColl 1969, 614

<sup>&</sup>lt;sup>12</sup>Olson 1993; Beardsley, Gleditsch, and Lo 2015; Stewart and Liou?????

<sup>&</sup>lt;sup>13</sup>Tilly 1978, 191-2

wealthier merchants or the bourgeoisie for communists).<sup>14</sup> Insurgents may exclude people from services by simply barring access to goods, by expelling them from a territorial space, or by killing them. For example, insurgencies like the National Union for the Total Independence of Angola<sup>15</sup> provided education and healthcare to a conquered town or village after purging the territory of anyone suspected thought to be a potential danger to the insurgency. On the other hand, after Amilcar Cabral's African Party for the Independence of Guinea and Cape Verde (PAIGC) controlled territory in 1963, it immediately began to develop a national health and education service for all to use, thus providing inclusive services.<sup>16</sup>

This variation in the exclusivity of insurgent social service institutions has not been studied previously, and existing arguments cannot easily explain the provision of inclusive services specifically. One explanation is that insurgents use inclusive services as extractive tools (Levi 1989). During the course of a domestic conflict, insurgencies need to extract resources ranging from recruits, <sup>17</sup> to information, <sup>18</sup> compliance, <sup>19</sup> finances or weaponry and equipment from a civilian population in exchange for access to services. <sup>20</sup> However, both categories of services could be used by insurgents to extract certain resources: for example, to "extract" a particular type of recruit, Berman and Laitin<sup>21</sup> argue that rebels target or limit their social service provision to attract highly dedicated members more willing to commit greater acts of violence, such as suicide terrorism. Similarly, Weinstein<sup>22</sup> argues that insurgencies without economic resources use social services to attract highly dedicated people. In both cases, selective social service provision, or exclusive goods, serves as a tool to "extract" a certain type of resource (recruits) from a civilian population, so a desire to extract resources cannot explain variation in the provision of exclusive versus inclusive goods. Moreover, inclusive service provision exacerbates the free-rider problem: civilians have no incentive to make sacrifices on behalf of an insurgency when they may receive

<sup>&</sup>lt;sup>14</sup>See Appendix 6 for a detailed account of how inclusive and exclusive provision is conceived in this paper.

<sup>&</sup>lt;sup>15</sup>Collelo 1991, 103-9

 $<sup>^{16}</sup>$ Dhada 1993, 61 and 97

<sup>&</sup>lt;sup>17</sup>Berman and Laitin 2008; Weinstein 2006

<sup>&</sup>lt;sup>18</sup>Berman, Shapiro, and Felter 2011

<sup>&</sup>lt;sup>19</sup>Kalyvas 2006

<sup>&</sup>lt;sup>20</sup>Levi 1989; Salehyan, Siroky, and Wood 2014

 $<sup>^{21}</sup>$ Berman and Laitin 2008

 $<sup>^{22}</sup>$ Weinstein 2006

many benefits without making costly commitments. A desire to recruit and extract resources alone cannot explain inclusive service provision.

Inclusive service provision could also serve as an attempt by insurgent groups to prevent outmigration. During the course of domestic conflicts, civilian populations may attempt to escape violence by fleeing a country. The declining civilian population strips insurgents of their protective cover and removes insurgents from their economic base. To counteract this out-migration, rebels may provide inclusive services. However, all conflicts and all insurgents face the potential for out-migration, and indeed, many rebels continue to restrict their service provision even in the face of massive out-migration. Currently, the Islamic State continues to limit its social services as thousands of refugees flee Syria. Despite calls for doctors and other professionals to provide needed services in the areas it controls, the Islamic State refuses to adjust its governing strategy and provide inclusive goods.<sup>23</sup> A reaction to out-migration cannot alone explain why rebels choose to provide inclusive goods specifically, over exclusive goods or no services.<sup>24</sup>

Finally, insurgents could provide inclusive goods as a way to compete with and outbid the state and rival combatants: insurgents use the welfare institutions they create to demonstrate that they are a better alternative governor to the status quo.<sup>25</sup> Yet all conflicts are ultimately competitions between insurgents and the state (or insurgent rivals). Competition is not unique to groups that provide inclusive services versus exclusive services, and competition alone cannot explain variation in social service provision across rebel groups. For example, both the RUF and the POLISARIO of Western Sahara were the primary insurgencies in their conflicts, yet the RUF restricted its services and the POLISARIO provided inclusive goods. Similarly, the Oromo Liberation Front (OLF) was far from the only insurgency operating in Ethiopia at the time, and yet provided inclusive services. As a result, this mechanism cannot explain why some groups are more likely to provide inclusive goods specifically, as opposed to no goods or exclusive goods.<sup>26</sup>

Instead, I argue that what explains variation in the accessibility of services (exclusive versus inclusive goods) is the long-term strategic objectives of rebel groups. Long-term goals shape and

<sup>&</sup>lt;sup>23</sup>Islamic State 2015

<sup>&</sup>lt;sup>24</sup>For an empirical test of this explanation, see Model 8 in Table 2 and Appendices 3 and 5.

<sup>&</sup>lt;sup>25</sup>Bloom 2004; Grynkewich 2008

<sup>&</sup>lt;sup>26</sup>For an empirical test of this explanation, see Model 8 in Table 2 and Appendices 3 and 5.

constrain the strategies rebel groups may choose to deploy.<sup>27</sup> Mampilly<sup>28</sup> argues that secessionists, ethnonationalists and Maoist rebels will be particularly more inclined to develop insurgent governing apparatuses, conditional on state-level factors. Similarly, I contend that conditional on territorial control, secessionist rebels specifically are more likely to provide inclusive goods while non-secessionist rebel groups are more likely to exclude others from their services.

#### Secessionism Determines Inclusive Service Provision

War has been theorized to be the primary impetus of state formation, <sup>29</sup> and for secessionist insurgencies that (by definition) seek to form a new state, civil wars are no different: war is a more successful path to statehood than peaceful processes. <sup>30</sup> Secessionist rebels, meaning insurgencies that seek to create an independent, territorially-based state, face a unique obstacle in pursuit of victory, namely that military victory alone is almost never sufficient for a secessionist insurgency to be successful. Instead, secessionist insurgents must be recognized as the legitimate sovereign of a territorial space by both the domestic and international community. Unlike non-secessionist rebels (center-seeking insurgents that want to overthrow the government in the capital), secessionist insurgents are fully reliant on the international community to achieve their long-term objective of statehood. <sup>31</sup> As a result, secessionist rebel groups rely on a toolkit of both military <sup>32</sup> and non-military <sup>33</sup> strategies to legitimate—in other words, to generate "a widespread consensus" that their form of rule and the exchanges they make are fair <sup>34</sup>—their claim of territorial sovereignty to both a domestic constituency and an international audience. Inclusive goods provision is one such strategy that simultaneously generates the requisite domestic and international legitimacy.

Domestically, inclusive service provision generates legitimacy because it demonstrates that

 $<sup>^{27}</sup>$ Mampilly 2011, 16

<sup>&</sup>lt;sup>28</sup>Mampilly 2011, 77-8

<sup>&</sup>lt;sup>29</sup>Tilly 1978, 1992

 $<sup>^{30}</sup>$ Coggins 2011

<sup>&</sup>lt;sup>31</sup>When successful center-seeking insurgents form governments, they benefit from international recognition. This recognition does not always happen though, complicating the new government's ability to enact its agenda.

 $<sup>^{32}</sup>$ Fazal 2013; Lasley and Thyne 2015

 $<sup>^{33}</sup>$ Jo 2015

<sup>&</sup>lt;sup>34</sup>Wimmer 2012, 13-4

rulers "care for 'the people." <sup>135</sup> Inclusive rebel services "offer[s] the population an alternative entity in which to place their loyalty." <sup>36</sup> Additionally, Mampilly <sup>37</sup> notes that "it is only by replicating some of the functions and forms of the nation-state... that will allow an insurgent organization to derive attitudinal support for its political authority and achieve some form of legitimacy." By providing inclusive services to almost all people within a fixed, typically diverse territorial space that includes multiple ethnicities (thus providing inclusively), <sup>38</sup> secessionist insurgents demonstrate their capacity to govern the entire space they claim as independent. For example, the New Mon State Party (NMSP) provided inclusive services throughout the Mon State in Burma/Myanmar. Although the NMSP claimed to represent all ethnic Mons, the NMSP also governs other ethnic groups, such as the Karens<sup>39</sup> and derived its "legitimacy...from the number of people," not just co-ethnic Mons, "and extent of territory under its control." <sup>40</sup>

Yet, without recognition or support from international actors, secessionist insurgents cannot succeed. Coggins<sup>41</sup> and Grant<sup>42</sup> emphasize that international recognition is the ultimate requirement for statehood, and secessionist insurgents understand that the state "is viewed as having its genesis in recognition." Unlike center-seeking rebels that more easily acquire international recognition, the importance of the international community's support motivates secessionist insurgents to behave in ways that appeal to and mimic the behaviors practiced by the actors that make up the international community: states. For example, like states, secessionist rebels abide by international norms of combat<sup>44</sup> or sign international treaties<sup>45</sup> to generate legitimacy internationally.

Likewise, inclusive service provision is performative.<sup>46</sup> Inclusive governance mirrors the state's provision of public welfare goods and demonstrates a rebel group's economic viability and capacity

<sup>&</sup>lt;sup>35</sup>Wimmer 2012, 117

<sup>&</sup>lt;sup>36</sup>Grynkewich 2008, 353

<sup>&</sup>lt;sup>37</sup>Mampilly 2011, 8

<sup>&</sup>lt;sup>38</sup>Homogenizing a space through purges is ethnic cleansing and would not be inclusive governance.

<sup>&</sup>lt;sup>39</sup>The NMSP often fought with the primary insurgency representing the Karen people, the Karen National Union.

<sup>&</sup>lt;sup>40</sup>South 2013, 23; emphasis added

<sup>&</sup>lt;sup>41</sup>Coggins 2014, 29 and 31

<sup>&</sup>lt;sup>42</sup>Grant 1999, 2

<sup>&</sup>lt;sup>43</sup>Coggins 2014, 28-9

<sup>&</sup>lt;sup>44</sup>Lasley and Thyne 2015; Fazal 2013

 $<sup>^{45}</sup>$ Jo 2015

 $<sup>^{46}</sup>$ Mampilly 2015

to behave as an independent state. Inclusive provision bolsters a secessionist insurgency's claim to be the legitimate authority of an independent, territorial space to the international community because public goods provision is what states do, or at least aspire to do.<sup>47</sup> According to Olson,<sup>48</sup> "a state is first of all an organization that provides public goods for its members." Although the state may have played a more limited role in social service provision historically, in the post-World War II era, a state "retains a distinctive role in providing the public goods that promote economic and social development," <sup>49</sup> and is the "legitimate provider of specified political goods, over which it has sole and universal jurisdiction." <sup>50</sup> When insurgencies provide services inclusively, they mimic public goods provision by nation-states and thus act as if they were states.

As an example, since 1975 the POLISARIO and the Sahrawi Arab Democratic Republic (SADR) "have developed and administered" the refugee camps in the territories it controls "to such a degree to prove that they are ready for self-rule—a practice-run for statehood." <sup>51</sup> The POLISARIO recognizes that by administering services inclusively, the POLISARIO is acting as if it were a state. By providing inclusive goods, the POLISARIO hopes to lend legitimacy to their claim of independence in the eyes of the domestic constituency as well as the international community.

Similarly, the EPLF's extensive and inclusive welfare apparatus served to generate considerable domestic and international legitimacy for the movement's claim of independence. Because these inclusive services were "on offer to all people" within the territorial space of Eritrea, they represented "one crucial means of showing the people that the EPLF cares about them." <sup>52</sup> Internationally, the EPLF invited foreign visitors from governments, newspapers, or NGOs to view their work in the liberated territories, and went to great lengths to show these visitors the inclusive education and health institutions it had created. <sup>53</sup> The EPLF's inclusive service provision was so successful that Müller<sup>54</sup> argues that through these governing institutions, the EPLF secured

<sup>&</sup>lt;sup>47</sup>Krasner 1999; Krasner and Risse 2014

<sup>&</sup>lt;sup>48</sup>Olson 1965, 15

<sup>&</sup>lt;sup>49</sup>World Bank 1997, 25

 $<sup>^{50}</sup>$ Munro 1996

 $<sup>^{51}\</sup>mathrm{Organization}$  for Statehood and Freedom 2010

<sup>&</sup>lt;sup>52</sup>Cliffe 1988, 99

<sup>&</sup>lt;sup>53</sup>Firebrace and Holland 1985; Eritrean People's Liberation Front 1982, 103, 200

<sup>&</sup>lt;sup>54</sup>Müller 2012, 795

"legitimacy and hegemony" domestically and regionally. As robust as the EPLF's service provision was, one of the group's key allies, the center-seeking Tigrayan People's Liberation Front (TPLF), provided services exclusively. Although the two insurgencies operated simultaneously and coordinated attacks against a common enemy, the TPLF offered education and healthcare to ethnic Tigrayans likely to join the insurgency.<sup>55</sup>

Only inclusive service provision, and not exclusive service provision, will satisfy concerns about a secessionist insurgency's viability<sup>56</sup> as an independent state. An inability or refusal to provide goods inclusively not only detracts from the legitimacy of the quasi-state, but it also represents a failure to project governing power over the entire territory. Therefore, unlike inclusive service provision, exclusionary service provision cannot legitimate the secessionist insurgency's claim of sovereignty over a bounded territorial space, and all people within that space.

Importantly, not all secessionist groups that provide inclusive services also receive international recognition, and several factors impact a state's decision to recognize another entity as independent.<sup>57</sup> However, viability as a state seems to be a key component: when East Timor sought independence in 1974-5, Indonesia persuaded the United States against recognition because an independent East Timor "would hardly be viable."<sup>58</sup> While inclusive service provision is no guarantee of success, secessionist rebel groups like the EPLF, the PAIGC and Revolutionary Front for the Liberation of Mozambique (FRELIMO) all provided inclusive services and have gained independence, receiving universal international recognition. Indeed, in reviewing why certain Portuguese colonies would be recognized as independent, the Australian Government noted that the PAIGC would be recognized because of "the peoples alleged/demonstrated support of the PAIGC, and the administrative structure which PAIGC had built."<sup>59</sup> Later secessionist insurgencies then turned to these successful groups for guidance in the construction of their own education and

<sup>&</sup>lt;sup>55</sup>Young 2006, 99, 173

<sup>&</sup>lt;sup>56</sup>Viability refers to the idea of a state sustaining an economy and political system, and not being fully economically and politically reliant on the international community.

<sup>&</sup>lt;sup>57</sup>International recognition refers to individual state's decisions to publicly recognize as independent certain political actors claiming sovereignty and independence. As more and more states recognize a political actor as sovereign and independent, a political actor moves closer to being *internationally recognized* globally. After enough states have recognized a political actor as sovereign and independent, that political actor may be viewed as a state member of the international community.

<sup>&</sup>lt;sup>58</sup>United States Government 1975, 6

<sup>&</sup>lt;sup>59</sup>Government of Australia 1975, 240, emphasis added

healthcare services.<sup>60</sup> Many more individual countries have separately recognized as independent several inclusive-service-providing secessionist projects. The POLISARIO, for example, has been recognized as independent by as many as 87 UN Member States and counting. Even if rebels do not gain outright secession, inclusive service provision may help them achieve autonomy as an initial step in that process.<sup>61</sup>

Together, this suggests that inclusive service provision is a crucial, but ultimately insufficient condition for recognition. While inclusive services cannot guarantee success, <sup>62</sup> new and original data on rebel governance presented here suggests that an inability or refusal to provide these goods almost certainly leads to failure. <sup>63</sup> Indeed, of the groups that failed to provide inclusive goods, almost none received international recognition and many were ultimately crushed by government and competing forces. <sup>64</sup>

On the other hand, non-secessionists have little need to provide inclusive goods. Once non-secessionist insurgents secure the capital city, other countries generally recognize these rebels as state leaders.<sup>65</sup> Even if other countries do not recognize the government that victorious rebels form, these (former) insurgents nevertheless control the levers of state. To maximize their return on investment, non-secessionist insurgencies are thus incentivized to channel resources to people likely to help them achieve military victory, and will avoid wasting resources or exacerbating free rider problems by providing inclusive goods. Exclusive services are sufficient to legitimate non-secessionist insurgencies to the core supporters who will both fill their combatant ranks and support

 $<sup>^{60}</sup>$ Da Silva 2010

<sup>&</sup>lt;sup>61</sup>Greater regional autonomy refers to the idea that short of independence, a regional political actor has more political authority and a greater ability to control political and economic regulations in that region.

<sup>&</sup>lt;sup>62</sup>Success and failure measures from Cunningham, Gleditsch, and Salehyan (2009)

<sup>&</sup>lt;sup>63</sup>Although this appears to be the dominant strategy for secessionist groups, not all secessionist organizations provide inclusive goods. When secessionist groups do not provide inclusive goods, the reasons are unsystematic and variegated, but seem to indicate a focus on short-term enrichment over long-term success. In some extreme cases, rebels engage in ethnic cleansing, such as the Kosovo Liberation Army (KLA). This sometimes occur when rebels attempt to match territorial bounds with identities. Future research may elucidate why rebel groups would eschew inclusive provision for other strategies, but the variegated reasons seem to indicate a focus on quick power-grabs over the long-term cultivation of legitimacy.

<sup>&</sup>lt;sup>64</sup>The only secessionist group that failed to provide inclusive goods and is coded as a success in the NSA Dataset is the Mukti Bahini. While Bangladesh did eventually gain independence, the group was not acting alone and indeed had considerable help from the Bengali military. Although Muhkti Bahini's goal was achieved, the party responsible for the success of Bangladesh's independence project is unclear.

<sup>&</sup>lt;sup>65</sup>Notable exceptions include the United States refusing to recognize the communist Movimento Popular de Libertação de Angola and Mao's People's Republic of China.

them as the state's governing leaders.<sup>66</sup> The Ethiopian People's Revolutionary Party (EPRP), for example, provided education and healthcare provision,<sup>67</sup> even specializing in acupuncture,<sup>68</sup> but limited services to only "peasants [who] had stopped paying taxes to the regime and had identified themselves as the EPRP" for the explicit purpose of "[raising] their (the peasants) awareness, to get their support, and to facilitate recruitment of peasant fighters." <sup>69</sup>

The argument outlined above suggests that (conditional on territorial control) secessionist insurgencies are more likely to provide services inclusively because they are strategic tools that will help them achieve sovereignty over a bounded territorial space. Because only secessionist rebel organizations need international recognition of sovereignty, and because only inclusive provision (and not exclusionary services) will allow insurgents to demonstrate their viability as an independent state, secessionist insurgencies are thus more likely to provide inclusive goods than non-secessionist groups. This logic implies the following hypothesis:

Hypothesis: Secessionist insurgencies are more likely to provide inclusive services than non-secessionist insurgencies.

#### Data and Methods

Few datasets exist with information on non-state governance, and no datasets exist that identify the degree of exclusivity of insurgent provision. To address this deficiency, I created a new and original dataset that includes variables on whether an insurgency offered education or healthcare, when an insurgency offered these services, and whether the group excluded certain classes of people from their services (See Appendix 6). To identify the universe of cases, I rely on Cunningham, Gleditsch and Salehyan's Non-State Actor (NSA) Dataset that defines insurgencies as armed non-state participants in a conflict that causes at least 25-battle deaths per year from contesta-

<sup>&</sup>lt;sup>66</sup>Some non-secessionist rebels may provide inclusive services, however the reasons that non-secessionist rebels may provide inclusive goods could be similar to why secessionist rebels provide services inclusively (international legitimacy): a state might encourage a non-secessionist insurgency to provide inclusive services or a near-victorious rebel group may seek international legitimacy by administering services to a national constituency.

<sup>&</sup>lt;sup>67</sup>Tadesse 1998, 367-9

<sup>&</sup>lt;sup>68</sup>Ibid. 368

<sup>&</sup>lt;sup>69</sup>Ibid, 367 and 350

<sup>&</sup>lt;sup>70</sup>Cunningham, Gleditsch, and Salehyan 2009

tion over either central government control or territory. The NSA Dataset contains several key insurgency-level variables and includes a total of 327 insurgencies covering 2,426 insurgency years. For consistency when coding the inclusive services variable, I exclude coups, coup attempts or groups that allied with the government and did not oppose it,<sup>71</sup> leaving over 300 groups, covering more than 2,300 insurgency-years.<sup>72</sup>

I then coded whether groups provided inclusive, exclusive or no education and healthcare. I used both primary and secondary sources primarily in English but also Spanish and French. Sources ranged from secondary case histories to archival documents, testimonies, memoirs, feminist accounts, newspaper articles, magazine articles, reports or journal articles. I examined these texts for evidence of inclusive healthcare and education provision, or not. To determine exclusion (or inclusion) of certain categories of people, I conceive of support for an insurgency as a spectrum, with most of the population in the political center but some people deeply committed to either the rebel group or the state. To disaggregate this broad center, I examined the stated political goals and mobilization targets of each insurgency. I then identified classes of people that were more or less likely to support and join a rebel group. For example, non-co-ethnics or non-co-religionists would be unlikely to support certain ethno-secessionist rebel groups. When communist rebel groups direct services to wealthier merchants, traditional or religious leaders, the bourgeoisie or intellectuals, they direct services outside the core political community, and thus provide inclusive services (see Appendix 6, pp. 49-52 for a fuller description of the categories of beneficiary populations). These categories paint in broad brush strokes and cannot identify idiosyncratic, individual experiences that might make specific people more supportive of an insurgency, but on average these categories accurately capture recruitment trends. The way I conceive of this spectrum is not dissimilar from the way some U.S. military officials have conceived of support for a rebel group,<sup>73</sup> suggesting that this concept may have practical utility.

Examples of textual evidence of inclusive provision include instances where organizations provided services to former rivals or supporters of rivals (such as the EPLF mentioned previously).

<sup>&</sup>lt;sup>71</sup>Like the Ton Ton Macoutes or the Karamajors

 $<sup>^{72}</sup>$ I do not include coups or military factions because they are arguably a branch of the existing state apparatus, and thus for coding purposes could skew results.

<sup>&</sup>lt;sup>73</sup>Packwood 2009

Similarly, the PAIGC's education services have been succinctly described as "literacy for all, quality education for some," and the PAIGC provided basic education for the broad population (inclusive services) as well as intensive schooling for insurgent members (exclusive services).<sup>74</sup> The Karen National Union (KNU), composed of primarily Karen ethnic fighters, provided healthcare and education to Mons living in the territory the KNU controlled, even though the KNU sometimes fought against a primarily Mon insurgency.<sup>75</sup> For the non-secessionist group Hezbollah, evidence of inclusive goods provision included testimony from a father who works for the United Nations Interim Force in Lebanon (UNIFIL) and backs Hezbollah's arch-rivals, Amal, but sends his son to a Hezbollah school. The father says many who attend Hezbollah's schools "are not Hezbollah, nor are we in the least affiliated with their ideologies or political views, but we ... realize that their schools are currently better than anything else in the area." Additionally, if an insurgency were communist, the group would demonstrate inclusive service provision by providing education and healthcare to landlords, intellectuals or wealthy business people, as the non-secessionist People's Liberation Army did after 1948, when it began a "national unity" campaign. The service of the provided after 1948, when it began a "national unity" campaign.

The data are time variant and capture changes in the level of inclusivity.<sup>78</sup> Typically, the case evidence listed the date that insurgencies developed these services and when these services ended. Occasionally, these sources would state that by a certain year, an insurgency had built service institutions without indicating if the rebel group had previously provided these services. If no earlier date could be found, all observations prior to the year given were coded as missing. For example, if the text states that "by 1976" an insurgency had built schools, but no earlier date is listed, all observations before 1976 are coded as missing.<sup>79</sup> Finally, for observations that are questionable, I offer a secondary, alternative coding of the case, and I use this alternative measure in a robustness check.<sup>80</sup>

Because these data are original and hand-coded, they may be subject to questions of reliability.

<sup>&</sup>lt;sup>74</sup>Dhada 1993, 97

<sup>&</sup>lt;sup>75</sup>Fong 2008, 255-67; Smith 1991, 384-402; Oh 2013

<sup>&</sup>lt;sup>76</sup>Jaber 1997, 164

<sup>&</sup>lt;sup>77</sup>Pepper 1999, 203-4 and 221-224

<sup>&</sup>lt;sup>78</sup>Unfortunately, the data cannot capture quality of insurgent provision.

<sup>&</sup>lt;sup>79</sup>In Model 3 of Appendix Table A.X and Model 3 of Appendix Table A.XXII, I replace all missing observations with "0", signifying no inclusive goods provision. Results remain robust.

<sup>&</sup>lt;sup>80</sup>See Model 1, Appendix Table A.XII and Model 1, Appendix Table A.XXIV.

To address this uncertainty, I use existing datasets (Florea 2014; Kalyvas and Balcells 2010) to measure the validity of the coding efforts. The data that I collected mirrors trends in the other datasets, increasing the confidence in the accuracy of the data. These data are also sensitive to instances that appear to be inclusive provision but are not (provision in refugee camps, systematic purges). These data checks are discussed more thoroughly on pp. 61-2, Appendix 6.

All coding procedures summarized above are described in greater detail in Appendix 6.

#### Dependent Variable

The dependent variable is *Inclusive Service Provision*. A "1" indicates that the group provided both inclusive healthcare and inclusive education, and a "0" indicates otherwise. The binary construction minimizes assumptions about the nature of social service provision.<sup>81</sup> Of the 93 insurgencies that controlled territory, 27 groups in the dataset provided inclusive services, about 29%.<sup>82</sup>

#### Key Independent Variable

The key independent variable is whether a group's long-term strategic goal is to secede. The variable Secessionist originates from the "Conflict Type" variable in the NSA Dataset.<sup>83</sup> The "Conflict Type" variable indicates the purpose of an insurgent groups' struggle. This dataset offers 21 different conflict types, and four include the term "secessionist." Insurgencies listed either fully or partially as "secessionist" conflict types are coded as "1," while all other conflicts are coded as "0." Unlike the data I collected, the variables from the NSA Dataset are not time variant.<sup>84</sup>

I hypothesize that secessionist insurgencies (conditional on territorial control) are more likely

<sup>&</sup>lt;sup>81</sup>These data are coded categorically, however it is unclear if the beneficiary populations have an ordinal relationship with each other, or if these populations simply have a categorical relationship with each other. The nature of the relationship determines the appropriate estimator, so to avoid making unnecessary assumptions about these data, I use a dichotomous coding.

<sup>&</sup>lt;sup>82</sup>Data on territorial control from the Non-State Actor dataset (Cunningham, Gleditsch, and Salehyan 2009).

<sup>&</sup>lt;sup>83</sup>Cunningham, Gleditsch, and Salehyan 2009

<sup>&</sup>lt;sup>84</sup>This is generally not problematic as the long-term goals of an insurgency generally do not change. One of the few examples of a case that changed is the Tigrayan People's Liberation Front which began as a secessionist insurgency but very quickly became a center-seeking rebel group.

than non-secessionist groups to provide inclusive goods because of their strategic, long-term goals. A positive and statistically significant *Secessionist* coefficient supports the hypothesis. Table I presents an initial tabulation of groups by inclusive service provision and long-term goals, conditional on territorial control. The raw data suggest that the majority of secessionist rebels provide inclusive goods while the majority of non-secessionist insurgencies do not provide inclusive goods. The percentage of secessionist insurgencies providing inclusive goods is nearly three times the percentage of non-secessionist insurgencies providing inclusive services.

Table I: Inclusive Service Provision By Long-Term Objective

	Inclusive Services	No Inclusive Services	TOTAL
Secessionist	16 (52%)	15 (48%)	31
Non-Secessionist	11 (18%)	51 (82%)	62
TOTAL	27	66	93

Note: The values above present the count of groups by goods provision and long-term goals, conditional on territorial control. The percentage of secessionists groups that provide inclusive service is almost 200% greater than the percentage of non-secessionist groups that provide inclusive services.

#### Controls

In most models, I include insurgency-level and country-level controls that may confound results. Due to Mao's influence, whether an insurgency is communist could impact the group's likelihood to provide governance and to engage in certain military strategies, so I include the variable Communist. To account for ethnicity and not secession driving inclusive governance, I include the measure Ethnic War. The military capacity of a rebel group could correspond to an increased capacity to provide governance, so I include an ordinal variable that measures the strength of the insurgency (Rebel Strength). Finally, some insurgencies may not provide inclusive services because they have simply existed for a longer period of time, so I include the variable Duration to account for how many years an insurgency was operational.<sup>85</sup>

<sup>85</sup> Variables from Cunningham, Gleditsch, and Salehyan (2009).

In terms of country-level controls, people living in stronger or more developed states could have less need for social services, meaning that rebels operating in states with a low infant mortality rate (Infant Mortality) or high GDP per capita (GDP per capita) might be less likely to provide inclusive goods. A large population could mean it is more challenging for rebels to provide services, decreasing the likelihood of inclusive service provision. I thus include a measure for Population (logged). Because democracies have more inclusive and open political systems that foster greater competition for political authority, 86 insurgencies operating within democracies may need to develop a broad and robust coalition of supporters, and they may use inclusive services to generate this coalition. I include a binary variable for whether a state is a democracy (*Democracy*). If a country's geography is mountainous or challenging, insurgents may operate in depopulated, isolated areas and it may be more difficult to build service institutions there. I thus include the measure Rugged Terrain. In some models, I also include the variable Competition signifying the count of insurgencies simultaneously operating in a country to test the outbidding mechanism, and I replace Population (logged) with Population (change) to test the out-migration mechanism. Due to space constraints, I include a more in-depth discussion of the controls and the reasons for their inclusion in Appendix 2.

#### **Model Specification**

Although the NSA Dataset (2009) uses insurgency-year as its unit of analysis, these insurgent-level variables are not time variant. To account for non-independent observations, I re-format the dataset as a cross-section with each insurgency as the unit of analysis. The time-variant *Inclusive Service Provision* variable takes its maximum value, signifying any inclusive service provision by a rebel group at any point in their history. Time-variant state-level variables retain their value during the year that conflict began. In Appendix 5, I replicate the entire cross-sectional analysis but use the full panel data as both an additional robustness check and as a way to measure the impact of important time-variant measures, such as *Inclusive Rebel Services*, *Population (change)* and *Competition*.

 $<sup>^{86}</sup>$ Mulligan, Gil, and Sala-i Martin 2004

Because territorial control is a near pre-condition for widespread inclusive service provision, I limit my sample to insurgencies that controlled territory, as these insurgencies could have plausibly provided inclusive goods. To determine which insurgencies controlled territory, I use the binary *Territorial Control* variable from the NSA Dataset. This variable is time invariant.

Although the *Inclusive Service Provision* variable is binary, I use a Linear Probability Model (LPM) because of the small sample size.<sup>87</sup> In all models, standard errors are clustered by country.

#### Results and Discussion

Table 2 presents the results of the analysis. Model 1 is a bivariate model that uses only the Secessionist variable to predict inclusive service provision. In Models 2 and 3, I incrementally introduce key insurgency-level (Model 2) and state-level (Model 3) control variables. In Model 4, I include all variables. This model serves as my base model. In Models 5 through 7, I add geographic and temporal fixed effects to account for unobserved variation in the place an insurgency operated or in the decade a rebel group began. Finally, in Model 8 I add Competition and replace Population (Logged) with Population (Change) to account for the outbidding and out-migration mechanisms, respectively. Across all specifications, Secessionist is large, positive and statistically significant, consistent with my hypothesis.

<sup>&</sup>lt;sup>87</sup>In Appendix Table Table A.XIII, however, I use logistic and ordered logistic regression to ensure that results are not a product of the LPM estimator. Results remain robust.

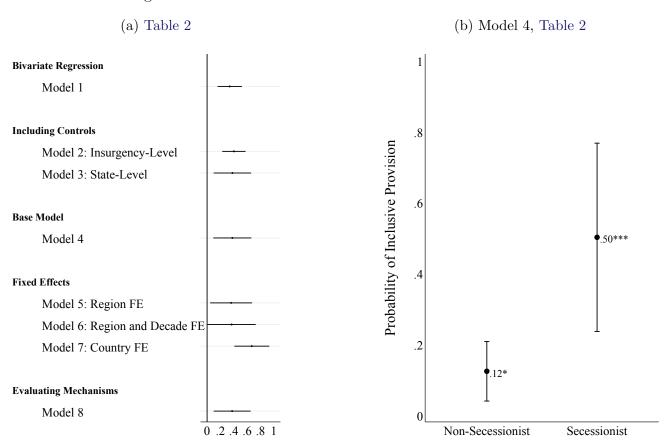
Table 2: Secessionism Predicts Inclusive Services

	(1)	(2)	(3)	(4)	(5)	(9)	(7)	(8)
Secessionist	0.34***	0.40***	0.38**	0.38**	0.36*	0.37*	0.67***	0.38**
	(0.11)	(0.10)	(0.17)	(0.17)	(0.19)	(0.21)	(0.16)	(0.16)
Communist		0.09		-0.17	-0.12	-0.13	0.63	-0.21
		(0.10)		(0.15)	(0.16)	(0.16)	(1.34)	(0.17)
Ethnic War		0.52***		$0.42^{+}$	0.42	0.40	2.89	0.35
		(0.16)		(0.27)	(0.37)	(0.40)	(2.01)	(0.26)
Rebel Strength		-0.03		-0.08	-0.06	-0.07	-0.03	-0.09
		(0.05)		(0.00)	(0.00)	(0.00)	(0.14)	(0.07)
Duration		0.01		0.00	0.00	0.00	0.02	0.00
		(0.00)		(0.01)	(0.01)	(0.01)	(0.01)	(0.01)
Infant Mortality			0.00	0.00	0.00	0.00	-0.03	0.00
			(0.00)	(0.00)	(0.00)	(0.00)	(0.02)	(0.00)
$\mathrm{GDPpc}$			0.02	0.08	$0.15^{*}$	$0.18^{*}$	-0.43	$0.13^{*}$
			(0.02)	(0.01)	(0.08)	(0.10)	(0.55)	(0.00)
Democracy			0.02	-0.01	0.15	0.12	0.82	-0.13
			(0.16)	(0.17)	(0.17)	(0.21)	(0.60)	(0.15)
Population (logged)			0.06	0.05	0.05	0.06	-1.72	
			(0.05)	(0.05)	(0.04)	(0.05)	(2.08)	
Rugged Terrain			0.03	0.06	0.10**	0.10**		0.06
			(0.04)	(0.04)	(0.04)	(0.05)		(0.05)
Competition								0.04
								(0.02)
Population (change)								0.04
-	* * * *		4	7	* 2 1	* 1 7		(0.04)
Constant	0.18	0.08	-1.48	-1.44	-2.70	-3.17	30.00	-1.58
	(0.06)	(0.11)	(1.29)	(1.38)	(1.13)	(1.48)	(37.97)	(0.94)
Region FE	$_{ m O}$	$N_{\rm o}$	$_{ m O}$	$_{ m O}$	Yes	Yes	$N_{\rm o}$	$_{ m o}^{ m N}$
Decade FE	$N_{\rm o}$	$N_{\rm o}$	$N_{\rm o}$	$N_{\rm o}$	$N_{\rm o}$	Yes	$N_{\rm o}$	$N_{\rm o}$
Country FE	$N_{\rm O}$	$ m N_{o}$	$_{ m O}$	No	$_{ m O}$	$ m N_{o}$	Yes	$ m N_{o}$
Observations	93	93	56	56	56	56	57	51
$R^2$	0.124	0.245	0.288	0.365	0.450	0.468	0.902	0.416
						:		

Standard errors in parentheses. Standard errors clustered by country in all models.  $^+$   $p<0.15,\ ^*$   $p<0.10,\ ^{**}$   $p<0.05,\ ^{**}$  p<0.01

Figure 1 presents the *Secessionist* coefficient estimates from Table 2 (Figure 1a) and the predicted effects of *Secessionist* goals on inclusive provision (Model 4 in Tables 2, Figure 1b) graphically. With all variables are set to their means, secessionist groups are over three times more likely to provide inclusive services than non-secessionist insurgencies: a statistically significant and substantively meaningful difference between the two groups.

Figure 1: Secessionist Goals Predict Inclusive Services



Note: Figure 1a presents the coefficient estimates for the variable Secessionist in Table 2. Black horizontal lines represent 90% confidence intervals. If the confidence intervals fail to overlap with the vertical black line, then the effect of Secessionist is statistically significant. As can be seen, the results are highly similar, positive and robust across all specifications. Figure 1b presents the predicted probability of Secessionist rebel groups providing inclusive services with all other variables set to their means (Model 4, Table 2). As is clear, secessionist rebel groups are three times more likely to provide inclusive services than non-secessionist rebel groups. Moreover, the predicted effects of secessionist and non-secessionist goals on the probability of inclusive service provision is statistically significantly different. Vertical lines represent 90% confidence intervals.

#### Robustness Checks and Predictive Accuracy

To ensure results are robust to alternative model specifications and to evaluate the predictive accuracy of the model, I include additional diagnostics in Appendices 3-5. Results are robust to using the full sample of insurgencies without conditioning on territorial control; including additional controls; excluding influential observations (outliers, jackknifing); using the unaltered, original NSA Dataset; accounting for information bias in coding; using alternative specifications of the independent variable; using alternative specifications of the dependent variable; using both ordered logit and logistic regression estimators; imputing missing data; replicating the entire analysis (both main tables and appendix tables) using the full NSA panel dataset (Appendix 5). Each of these tests are described in great detail in Appendices 3-5. I evaluate model accuracy using a Receiver Operator Characteristic (ROC)<sup>88</sup> and the bootstrapping technique.<sup>89</sup> The combined results indicate that the predictive accuracy of both the model and the Secessionist variable is very high.

#### Conclusion

In this paper, I argued that inclusive goods provision is a technology of rebellion<sup>90</sup> employed by secessionist insurgencies to achieve their long-term goal of independence. When secessionist rebels engage in inclusive service provision, they perform the role of the state and legitimate their sovereign authority domestically and internationally. The results above offer considerable support for this hypothesis.

While much civil war research has focused on territorial control, financial resources, ideology or the path dependent processes that encourage insurgents to develop governing institutions, this work demonstrates how the long-terms goals of insurgencies can shape rebels' strategic calculus and incentivize them to rely on certain technologies as opposed to others. For example, this research echoes recent scholarship that finds that because of secessionist insurgents' long-term goals, they

<sup>&</sup>lt;sup>88</sup>Ward, Greenhill, and Bakke 2010; Young 2013

<sup>&</sup>lt;sup>89</sup>Efron and Gong 1983; Efron and Tibshirani 1997

<sup>&</sup>lt;sup>90</sup>Kalyvas and Balcells 2010

eschew certain types of violence<sup>91</sup> and may be more likely to abide by international norms.<sup>92</sup> Taken together, this suggests that secessionist insurgencies may operate differently from non-secessionist rebels. Disaggregating between these two categories of civil war may be an important next step in furthering our understanding of intrastate conflict.<sup>93</sup> These results also speak to the importance of understanding how and why secessionist insurgencies might eventually be recognized by members of the international community. When secessionist insurgencies provide inclusive goods and control territory, they exercise sovereignty. If other states recognize this sovereignty, then providing inclusive goods could potentially explain why some secessionist groups are more likely receive international recognition of sovereignty, while others do not. In addition, these findings imply that insurgents deploy both nonviolent and violent strategies in tandem, both aimed at achieving their long-term goals. Future research on this topic might turn to explaining sub-national variation in rebel governance, or importantly, why secessionist movements might eschew legitimacy-generating behaviors in favor of violence or ethnic cleansing.

In terms of U.S. foreign policy implications, this work offers insights as to which insurgencies will provide services, and to whom, something that may be critical when addressing the humanitarian consequences of civil war. Additionally, this paper also contributes to peace-building policy as it offers new insights into how existing insurgent institutions may be co-opted in the post-civil war context so that governance vacuums do not arise and critical services continue to be provided to a needy population.

Ultimately, although civil war is frequently understood as anarchical periods of state breakdown, in certain cases, civil wars are actually a conduit for state making. The research presented here offers one potential pathway through which states are born in the post-1945 era.

<sup>91</sup>Fazal 2013

<sup>&</sup>lt;sup>92</sup>Lasley and Thyne 2015

<sup>&</sup>lt;sup>93</sup>Lacina 2015

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# Appendix 1: Descriptive Tables and Figures

Table A.I: Summary Statistics, Cross-Sectional Data

	Mean	Median	Min	Max	SD	Obs.
All Insurgencies with Territory						
Secessionist	0.34	0.00	0.00	1.00	0.48	106
Communist	0.26	0.00	0.00	1.00	0.44	106
Ethnic War	0.06	0.00	0.00	1.00	0.23	106
Rebel Strength	1.15	1.00	0.00	4.00	0.81	106
Duration	9.57	6.00	0.00	54.00	11.26	106
Infant Mortality	88.96	90.95	8.20	254.30	50.41	72
GDPpc	7.21	6.98	5.33	9.88	1.06	71
Democracy	0.22	0.00	0.00	1.00	0.41	97
Population (logged)	16.37	16.26	13.18	20.60	1.51	85
Rugged Terrain	2.57	2.63	0.00	4.31	1.24	105
Competition	2.99	2.00	1.00	12.00	2.29	106
Population (change)	12.51	12.69	8.65	16.63	1.54	72
Secessionists (Territorial Control)						
Communist	0.14	0.00	0.00	1.00	0.35	36
Ethnic War	0.00	0.00	0.00	0.00	0.00	36
Rebel Strength	1.03	1.00	0.00	3.00	0.70	36
Duration	9.56	5.00	0.00	54.00	12.06	36
Infant Mortality	73.73	73.60	9.90	176.30	47.42	25
GDPpc	7.30	7.12	6.00	9.08	0.90	23
Democracy	0.20	0.00	0.00	1.00	0.41	35
Population (logged)	16.92	16.66	13.18	20.60	1.88	30
Rugged Terrain	2.80	2.72	0.00	4.27	1.20	35
Competition	3.33	2.00	1.00	12.00	2.92	36
Population (change)	12.86	12.79	9.41	16.63	1.98	22
Non-Secessionists (Territorial Control)						
Communist	0.33	0.00	0.00	1.00	0.47	70
Ethnic War	0.09	0.00	0.00	1.00	0.28	70
Rebel Strength	1.21	1.00	0.00	4.00	0.87	70
Duration	9.57	6.50	0.00	40.00	10.92	70
Infant Mortality	97.06	100.20	8.20	254.30	50.55	47
GDPpc	7.17	6.89	5.33	9.88	1.14	48
Democracy	0.23	0.00	0.00	1.00	0.42	62
Population (logged)	16.06	15.95	13.22	18.33	1.18	55
Rugged Terrain	2.46	2.44	0.34	4.31	1.24	70
Competition	2.81	2.50	1.00	7.00	1.88	70
Population (change)	12.36	12.59	8.65	14.28	1.28	50

#### Table A.II: Groups Controlling Territory, Inclusive Services

Croatian Republic of Bosnia and Herzegovina

Dniestr Republic

Eritrean People's Liberation Front

Sudanese People's Liberation Movement-Nasir Faction

Karen National Union

Katanga

Oromo Liberation Front

**POLISARIO** 

Republic of Abkhazia

Republic of Biafra

Republic of Chechnya

Republic of Nagorno-Karabakh

Republic of South Moluccas

Republic of South Ossetia

Shan State Army

United Front for the Liberation of Assam

United Front for the Liberation of Assam Faction

Burmese Communist Party

**FRELIMO** 

Hamas

Hezbollah

Kurdistan Democratic Party

Kachin Independence Army

Patriotic Union of Kurdistan

Pathet Lao

People's Liberation Army

Sudanese People's Liberation Movement

United Islamic Front for the Salvation of Afghanistan

United Wa State Army

Note: Coding for whether the group controlled territory from (Cunningham, Gleditsch, and Salehyan 2009).

Table A.III: Groups Controlling Territory, No Inclusive Service Provision

Anya Nya

Bougainville Revolutionary Army

Alliance of Democratic Forces for the Liberation of Congo

Conseil National de Liberation

Communist Party of India (Maoist)

Communist Party of Nepal-Maoist/United People's Front

Communist Party of Malaya

Democratic Army of Greece

Eritrean Liberation Front

Ethiopian People's Revolutionary Party

Eritrean Liberation Front

Free Aceh Movement

Zapatista Army of National Liberation

Armed Forces of the North

Fuerzas Armadas Revolucionarias de Colombia

Congolese National Liberation Front

Farabundo Marti National Liberation Front

Front for the Restoration of Unity and Democracy

Sandinistas

National United Front of Kampuchea

Hukbalahap Rebellion

Independent National Patriotic Front of Liberia

Nasserite Movement

Indonesian Peoples Army

Khmer Issarak

Kurdistan/KDPI (1946)

Lebanese Front

Lebanese National Movement

Liberation Tamil Tigers of Eelam

Movement of Democratic Forces of Casamance

Mouvement Populaire de l'Azaouad

Mong Tai Army

Mukti Bahini: Liberation Force

Democratic Movement for Malagasy Restoration

Mouvement Pour la Justice et la Paix

Mouvement pour la Liberation du Congo

Mouvement Patriotique de Cote d'Ivoire

Mon People's Front

Mouvement Populaire des Ivoiriens du Grand Ouest

Free Papua Movement

Muslim Brotherhood

*Note:* Coding for whether the group was secessionist and whether the group controlled territory from (Cunningham, Gleditsch, and Salehyan 2009).

#### Table A.III: Groups Controlling Territory, No Inclusive Service Provision (Cont.)

National Liberation Front

New People's Army

National Patriotic Front of Liberia

National Liberation Army

Free Oman Movement

People's Front for the Liberation of Oman and the Arab Gulf

Rally for Congolese Democracy

Rally for Congolese Democracy (Faction)

Revolutionary United Front

Renamo

Somali National Movement

Shan State Independence Army

Tigrayan People's Liberation Front

Taliban

Tibet

United Lao National Liberation Front

National Union for the Total Independence of Angola

Ushtria Clirimtare e Kosoves

Ukrainian Insurgent Army

National Revolutionary Movement

United Somali Congress (Faction)

Ushtria Clirimtare e Kosoves

Viet Nam Doc Dong Min Hoi

Zviadists

Note: Coding for whether the group controlled territory from (Cunningham, Gleditsch, and Salehyan 2009).

## Appendix 2: Controls

In most models, I add insurgency-level control variables that may impact whether a rebel group provides inclusive services. In his text *On Guerrilla War*, Mao Tse-Tung writes that insurgencies need a popular base of support in order to survive, and it is from this base that they derive their strength. As a result, Mampilly (2011) has hypothesized that Maoist groups are more likely to provide social services. Moreover, these groups may be more inclined to rely on guerrilla strategies and seek out certain types of territory that convey a tactical advantage (mountains, swamps, jungles, etc.). To account for the ideological influence of Mao on other insurgent groups, I created a variable called *Communist* if a group had a socialist or communist ideology. Data from this variable originate from the NSA Dataset casebook. If the NSA Dataset casebook refers to a group as "Marxist," "Maoist," "communist" or "socialist," the observation receives a "1" meaning communist, and a "0" if otherwise. I also triangulate this coding with the *Communist* variable from the "Technologies of Rebellion" dataset, which codes all civil wars that had at least one communist insurgency.

Additionally, some ethnic wars are secessionist wars, while almost all secessionist wars have an ethnic component. To ensure that secessionism drives the results, and not ethnicity, I include the variable *Ethnic War*. This variable comes from the NSA Dataset's variable *ethnic*. It is coded as a "1" if a civil war is an ethnic war, but not a secessionist war. If ethnicity truly drove the results, then non-secessionist, ethnic wars should be associated with a greater likelihood of providing more inclusive governance. Otherwise, coding both secessionist and non-secessionist ethnic wars introduces collinearity.

Another key factor that may incline rebel groups to provide inclusive services is military strength. The strength of a rebel group might positively or negatively impact its propensity to provide inclusive goods. Weinstein<sup>4</sup> argues that groups lacking economic endowments are more likely to provide social services. Similarly, groups lacking military strength may heavily rely on the civilian population for support. As a result, inclusive goods provision could be a weapon of the

<sup>&</sup>lt;sup>1</sup>Tse-Tung 2000, 43-4

<sup>&</sup>lt;sup>2</sup>Mampilly 2011, 78-9

<sup>&</sup>lt;sup>3</sup>Kalyvas and Balcells 2010

<sup>&</sup>lt;sup>4</sup>Weinstein 2006

weak, employed to generate support amongst, and harvest supplies from, the population in which an insurgency is embedded. The National Revolutionary Movement (NRM) in Uganda, for example, began with few military resources and just 27 men, but soon provided social services within the territory it controlled.<sup>5</sup> This suggests that lower levels of rebel group strength will correspond to an increased likelihood of providing inclusive goods. Alternatively, social service provision could be seen as a corollary of strength: only strong groups have the necessary resources, training and capacity to provide inclusive services. In this case, one would expect rebel group strength and inclusive goods to have a positive relationship with inclusive service provision, indicating that stronger insurgencies are more likely to provide services inclusively. I include a measure of rebel strength from the NSA Dataset, with each insurgent group coded as "much weaker," "weaker," "parity," "stronger," or "much stronger," in comparison to the incumbent government they are fighting (operationalized as an ordinal variable ranging from "0" to "4," respectively).

Finally, I include the variable *Duration* which measures the number of years a rebel group operated. Some insurgencies may not provide inclusive services, let alone any services, simply because they achieve victory too quickly and do not have time to establish these institutions. To account for the time rebels needed to establish their governance, I include this measure.

Insurgencies do not operate within a vacuum, and state-level attributes could be critical determinants of inclusive goods provision. The regime type of the incumbent regime could also influence rebels' propensity to provide inclusive services. Because democracies foster electoral participation and competition,<sup>6</sup> insurgencies may need to develop a broader base of support. To create this broad coalition, insurgencies may provide services inclusively. To account for this, I include a binary indicator variable for whether a country is a *Democracy* (coded as "1" if the country is a democracy and a "0" otherwise) from Cheibub, Gandhi and Vreeland.<sup>7</sup>

The level of social development may also impact inclusive goods provision because lower levels of social development means populations have a greater need for services. Insurgencies may be incentivized to provide more inclusive goods when the population has a greater need for these

<sup>&</sup>lt;sup>5</sup>Weinstein 2006, 68

<sup>&</sup>lt;sup>6</sup>Mulligan, Gil, and Sala-i Martin 2004

<sup>&</sup>lt;sup>7</sup>Cheibub, Gandhi, and Vreeland 2010

services. Consistent with previous research,<sup>8</sup> I measure social development with the *Infant Mortality Rate* variable from the World Bank (2012). Additionally, high levels of state capacity and economic strength may make it more difficult for an insurgency to begin a civil conflict or control territory. However, a stronger state may produce the personnel resources (educated teachers and doctors) to staff an insurgency's social service apparatus. To account for this, I include the variable *GDPpc*, a logged measure of GDP per capita<sup>9</sup> from Penn World Tables.<sup>10</sup> Measures of extractive capacity or political capacity would better approximate state strength,<sup>11</sup> however, these data are geographically and temporally limited, so I use GDP per capita which has greater coverage and does not reduce the sample size drastically.

If insurgents operate in a highly populated area, it may limit their ability to provide inclusive services as the insurgency might require a greater capacity and more resources to meet the demands of that population. Therefore, I include the variable *Total Population (Logged)* from Penn World Tables.<sup>12</sup> I also include measures of *Rugged Terrain*<sup>13</sup> as a mountainous landscape might make it more difficult for states and insurgencies to control territory, and thus it may also complicate both a state's and an insurgency's ability to provide inclusive goods. Additionally, if insurgents, especially non-secessionist rebels, choose territorial spaces that confer tactical advantage (like mountains or jungles) but are depopulated, this would suppress the ability of insurgents operating in these areas to provide services inclusively.

Finally, in some models, I include two variables to address rival mechanisms. The first variable is *Competition*, a count of the maximum number of rebel groups simultaneously operating in the same country. If inclusive goods provision is a response to intensifying rivalries, an increase in the number of rebel groups should correspond to an increase in the likelihood of inclusive service provision. This count measure was created from the NSA Dataset (2009). Second, I include the variable *Population (Change)* to account for insurgencies' desire to prevent out-migration. Created using data from Penn World Tables, *Population (Change)* measures fluctuations in a

<sup>8</sup>Girod 2012

 $<sup>^9{</sup>m Fearon}$  and Laitin 2003

<sup>&</sup>lt;sup>10</sup>Heston, Summers, and Aten 2012

 $<sup>^{11}</sup>$ Hendrix 2010

<sup>&</sup>lt;sup>12</sup>Heston, Summers, and Aten 2012

 $<sup>^{13}</sup>$ Fearon and Laitin 2003

country's population from one year to the next. Decreases in the population should correspond to an increased likelihood of insurgencies providing services inclusively.

Table A.IV: Summary of Controls and Coding

Variables	Original Variable	Operationalization
Inclusive Services	NA	1=Inclusive education, health 0=No Inclusive Services
Secessionist	21 unique conflict types	1="Secessionist,"
Decessionist	(NSA Dataset, 2009)	"Ethnic Conflict/Secessionist,"
	(11011 Databet, 2009)	"Civil War/Secessionist,"
		and "Secessionist/Terrorist"
		0=All other conflict types
Territorial Control	"Yes" or "No"	1=Territorial control
	(NSA Dataset, 2009)	0=No territorial control
Communist	NSA Case notes/	1=Communist
Communist	(Kalyvas and Balcells 2010)	0=Not communist
Ethnic War	"ethnic" variable	1=Ethnic, non-secessionist war
Boiling () (all	(NSA Dataset, 2009)	0=Not ethnic or secessionist war
Duration	Year (NSA Dataset 2009)	Number of years an
		insurgency existed (count)
Rebel Strength	"rebstrength" variable	0-4 range:
	"Much Stronger"	0=Much Weaker,
	"Weaker"	1=Weaker,
	"Parity"	2=Parity,
	"Stronger"	3=Stronger,
	"Much Stronger"	4=Much Stronger
	(NSA Dataset, 2009)	
Infant Mortality	Infant Mortality Rate	Infant Mortality Rate
	(World Bank 2012)	
GDPpc	GDP per capita	Log of GDP per capita
	(Penn World Tables 2012)	
Democracy	Democracy Variable	1=Democracy
	(Cheibub, Gandhi, and Vreeland 2010)	0=Non-Democracy
Population (Logged)	Total Population	Total Population (Logged)
	(Heston, Summers, and Aten 2012)	
Rugged Terrain	Log of Mountainous Terrain	Log of
Q	(Fearon and Laitin 2003)	Mountainous Terrain
Competition	Number of insurgencies operating	Rebel groups (count)
	simultaneously in a country	
D 1 1' (C1 )	(NSA Dataset, 2009)	
Population (Change)	Total population	Change in population (logged)
	(Heston, Summers, and Aten 2012)	from one year to the next

## Appendix 3: Robustness Checks

In this section, I describe the results of several robustness checks in greater detail. Across all models, the *Secessionist* coefficient remains positive and statistically significant, indicating that the relationship between secessionist long-term goals and inclusive service provision is strong. I describe each robustness check more fully below.

First, in Appendix Table A.V, I replicate Table 2 in the main text, but use the full sample of insurgencies. I interact the *Secessionist* variable with the *Territorial Control* variable. The positive and statistically significant coefficient for *Secessionist* × *Territorial Control* supports the hypothesis that when secessionist rebels control territory, they are more likely to provide inclusive services. Figure A.1 and Figure A.2 present a side-by-side comparison of the predicted effect of secessionism on inclusive governance using both the stratified sample (Figure A.1a and Figure A.2a) and the full sample of insurgencies (Figure A.1b and Figure A.2b). Because there are so few observations in Table 2, this robustness check is particularly valuable.

Next, in Appendix Table A.VI, I include additional controls that might impact the likelihood that secessionist insurgencies with territorial control provide inclusive goods. In each model of Appendix Table A.VI, I include an additional control variable, before including all additional control variables in Models 9-12 of Appendix Table A.VI. In Model 1, I replace the *Population (Logged)* variable with *Population Change (Logged)*. The results do not support the out-migration hypothesis, while the *Secessionist* variable remains positive and statistically significant.

Because Weinstein<sup>14</sup> predicts that groups with high levels of economic endowments are less likely to provide social services, insurgencies receiving external monetary support may also be less likely to provide social services.<sup>15</sup> Therefore I include a measure for whether a group received non-military aid in Model 2, Appendix Table A.VI. To code this *Non-Military Aid* variable, I used the NSA Dataset in conjunction with UCDP's External Support Dataset.<sup>16</sup> I code *Non-Military Aid* as "1" if the NSA Dataset lists the observation as receiving "non-military aid," as opposed to an "endorsement," "troops" or "military aid." As some observations might receive two types of

<sup>&</sup>lt;sup>14</sup>Weinstein 2006

<sup>&</sup>lt;sup>15</sup>Salehyan, Siroky, and Wood 2014

<sup>&</sup>lt;sup>16</sup>Högbladh, Pettersson, and Themnér 2011

aid, I also code the *Non-Military Aid* variable as "1" if the UCDP External Support Dataset codes the observation as receiving economic aid in that year.<sup>17</sup> The results are robust to the inclusion of the *Non-Military Aid* variable.

Model 3 of Appendix Table A.VI includes the variable measure *Rebel Size*, operationalized as the log of the best estimate of rebel size from the NSA Dataset. A larger rebel group may be more likely to provide services inclusively because the rebel group has enough people to fill both combat and non-combat positions. Even with the inclusion of the variable *Rebel Size*, *Secessionist* is still positive, large and statistically significant.

In Model 4 of Appendix Table A.VI, I control for the logged number of *Battle Deaths*, as groups that commit more violence may use inclusive services to attract recruits more willing to commit greater violence.<sup>18</sup> Using data from Lacina and Gleditsch (2005), this variable is operationalized as the log of the maximum best estimate of the number of battle deaths that occurred in any year of a rebel group's existence. When the best estimates were not available, I used the maximum low estimate. Even with the inclusion of this variable, the *Secessionist* coefficient is still robust and positive, further supporting my argument.

Model 5 includes the measure *Competition* for the maximum number of other insurgencies operating within the same country at the same time. Again, however, the *Secessionist* coefficient retains its strong positive result.

Models 6 and 7 of Appendix Table A.VI presents the results of the inclusion of the control variables *Pre-Conflict Education* and *Pre-Conflict Health*. The *Pre-Conflict Education* and *Pre-Conflict Health* variables measure whether the group provided any education or any healthcare prior to the onset of civil war. This does not mean a rebel group provided inclusive education or healthcare, but it could mean they provided healthcare for combatants or core members. For example, rebels may be hidden away in the hinterlands training and participating in literacy or mathematics courses, prior to launching any violent campaign. On the other hand, it could suggest that some rebel groups provided services but had not committed enough violence to be considered

<sup>&</sup>lt;sup>17</sup>While an important theoretical variable, because many observations are missing, it reduces the sample size significantly, and so I do not include it in the base models (Models 4-7, Table 1).

<sup>&</sup>lt;sup>18</sup>Berman and Laitin 2008

an active insurgency. These variables are coded as a "1" if the rebel group provided any education or healthcare prior to conflict onset, and a "0" if they did not. The *Secessionist* coefficient is still positive and robust.

In Model 8 of Appendix Table A.VI, I create binary indicator variables for each category of rebel group strength (five total categories ranging from "Much Weaker" to "Much Stronger"). I include each of these categorical indicators (except one, "Much Stronger," which is used as a reference category). The *Secessionist* variable remains positive and statistically significant.

Finally, I include all additional control variables in Models 9-12 of Appendix Table A.VI as a difficult test for the hypothesis, and I include region, and region and decade fixed effects (Models 11 and 12, respectively). Across all specifications, *Secessionist* is positive and statistically significant despite the inclusion of seven additional control variables and the related decrease in observations due to the missingness of these data in an already small dataset. The results strongly support the hypothesis that territory-controlling secessionist insurgencies are more likely to provide inclusive goods.

Next, to ensure that the results are not the results of outliers or influential observations, I re-estimate the base model excluding all outliers (Model 1, Appendix Table A.VII). To determine the cases that are outliers, I calculate the Cook's D of each observation in the sample. The Cook's D measures the leverage of each observation. Typically, if an observation has a Cook's D higher than 4/n where "n" equals the number of observations, the observation is considered an outlier and excluded. After identifying all outliers, I re-estimate the model excluding these observations. The coefficient of Secessionist is statically significant and positive, supporting the hypothesis.

I also analyze the data using a "jackknife" estimation technique. Jackknifing entails dropping a single observation from the sample and re-estimating the model, generating predicted coefficients and standard errors. Once the model has been estimated, the observation is replaced, the next observation is excluded, and the model is re-estimated. This process is repeated until all observations have been excluded, at which point the coefficients and standard errors are recalculated. Again, the *Secessionist* coefficient is robust (Model 1, Appendix Table A.VIII).

The dataset I use reflects updates to the original NSA Dataset in lieu of new information.

These updates include changing the coding of territorial control of Hezbollah, Hamas and the Ethiopian People's Revolutionary Party as well as eliminating the conflict type of "terrorist" which lacked analytic utility. I use alternative conflict-type categories already existing in the NSA Dataset to re-code this variable. Seven rebel groups including Hamas, Hezbollah, Al-Agsa Military Brigades, Popular Front for the Liberation of Palestine (PFLP), Popular Front for the Liberation of Palestine-General Command (PFLP-GC), National Organization of Cypriot Fighters (EOKA) and Devrimci Sol were coded as terrorist groups only. All but three of these groups are Palestinian liberation organizations. The Palestinian liberation groups are re-coded as "independence/anti-occupation" organizations. Because Hezbollah formed in response to the Israeli occupation and also sought to overthrow the Lebanese government until 1990, Hezbollah is coded as "anti-occupation/civil war." The EOKA operating in Cyprus is coded as an "anticolonial" organization as it sought to overthrow Turkish influence. The Devrimci Sol group sought to implement communism in Turkey, and so it is coded as a "communist" conflict. To demonstrate that these updates to the data do not bias the results, I re-estimate the model using the unchanged NSA Dataset. Again, the results are still robust: the Secessionist coefficient is positive and statistically significant, supporting the theory (Model 1, Appendix Table A.IX).

Because the dependent variable is hand-coded with global coverage of all insurgencies dating back over 70 years, there may be concerns that results are biased as a result of the available information, or a lack thereof. To address these concerns, I conduct three additional tests. First, in Model 1 of Appendix Table A.X, I limit my sample further to only insurgencies that: 1) controlled territory and 2) had a group size greater than the average (mean) group size of insurgencies that controlled territory. Because there may be limited information available about rebel groups that were smaller, these small insurgencies may be coded as missing or as no service provision, when in fact they did provide services, even inclusive services. By limiting the sample to only large insurgencies, this eliminates the possibility that the results are biased because of a lack of information about small rebel groups. In Model 2 of Appendix Table A.X, I limit my sample again to only insurgencies that operated after 1970 and controlled territory. Here again, there may be a lack of information about rebel groups that existed at earlier time periods. By stratifying

my sample, I account for this potential source of bias. Again, results remain robust. Finally, in Model 3 of Appendix Table A.X I present the results when missing values for inclusive service provision are replaced with "0." This serves as a check against missing observations needing to be accurately coded as "0." Across all models in Appendix Table A.X, results are positive and statistically significant suggesting that information bias was not driving the results of my analysis.

To ensure that my operationalization of secessionist groups is not too narrow, I develop three alternative specifications of secessionist rebel organizations. Secessionists as well as anti-occupation and anti-colonial insurgencies may all view their state as being controlled by a "foreign" ruler. Each of these types of groups might seek to overthrow the "foreign" ruler and govern the occupied or colonized state independently. Using the NSA Dataset, if any group's conflict type includes the term "Secessionist" or "Anti-Colonial," it is coded as Secessionist, Broadly Defined in Model 1 of Appendix Table A.XI. In Model 2, Secessionist, Broadly Defined includes secessionist, anti-occupation, and anti-colonial conflict types. Finally, because autonomy conflicts seek an increase in regional power while eschewing outright independence, it is similar to, although not precisely the same as, secessionism. Thus, I include autonomy conflicts, secessionist conflicts, anti-colonial conflicts and anti-occupation conflicts<sup>20</sup> in the final measure of Secessionist, Broadly Defined (Model 3, Appendix Table A.XI). In all three models, the variable Secessionist, Broadly Defined is positive and statistically significant, consistent with the hypothesis.

While the results of the alternative specification of the independent variable are robust, to ensure that results are not simply an artifact of coding the dependent variable, I analyze the same statistical model using an alternative measure of inclusive service provision (Appendix Table A.XII). In Model 1 of Appendix Table A.XII, I code a group as providing inclusive goods if the organization provided either inclusive education or healthcare. This is a lower threshold of inclusive goods provision because organizations need only provide one service inclusively.<sup>21</sup> Even with this lower threshold, the results continue to support the hypothesis, due to the positive and

<sup>&</sup>lt;sup>19</sup>From the NSA Dataset "Conflict Type" variable.

<sup>&</sup>lt;sup>20</sup>Also from the NSA Dataset "Conflict Type" variable.

<sup>&</sup>lt;sup>21</sup>This coding also increases the number of observations that can be included in the model. This is because in the original measure of inclusive goods provision I use demands that both education and healthcare variables are not missing. For Model 1 of Appendix Table A.XII, if either education or healthcare variables are not missing, this observation is included in the model.

statistically significant Secessionist coefficient.

As noted in the sections above, any questionable cases I encountered while coding were first coded as the best estimate and then as an alternative coding. In Model 2 of Appendix Table A.XII, I replace the best estimate with the alternative, secondary measure if a case was questionable or marginal. Despite this alternative specification of the dependent variable, the *Secessionist* coefficient is robust with a statistically significant and positive coefficient, providing further evidence in support of the theory.

In Model 3 of Appendix Table A.XII, I replace the binary *Inclusive Service Provision* variable with an ordinal measure raining from "0" to "2" that represents the various categories of beneficiaries included in the Insurgent Social Services Dataset. A "0" represents no services; a "1" signifies provision to insurgents, supporters and non-affiliated civilians likely to support the insurgency; while a "2" signifies that insurgents provided services inclusively. A positive and statistically significant coefficient for the *Secessionist* term supports the hypothesis. Again, the results are robust to this alternative specification.

Appendix Tables A.XI and A.XII demonstrate that the results are not an artifact of the construction of the independent or dependent variables. To ensure that the results are not driven by my use of a Linear Probability Model (LPM), however appropriate this estimator may be, I reestimate the analysis employing a logistic regression estimator (Model 1, Appendix Table A.XIII). Next, in Model 2, I use the ordinal construction of *Inclusive Service Provision* from Model 3 of Appendix Table A.XII, but I use an ordered logistic regression estimator to estimate the effects of *Secessionism* on more inclusive governance. Again, the results are robust, statistically significant, and positive.

Finally, the country-level control variables induce considerable missigness. To ensure that results are not an artifact of the missingness generated from the inclusion of these control variables, I use multiple imputation by chained equations in Appendix Table A.XIV. In these models, I create 20 imputations of the variables *GDP per capita*, *Democracy*, *Infant Mortality Rate*, and *Total Population*. Results are robust and the predicted effect size of being a secessionist group is approximately the same as the predicted effect presented in Table 2.

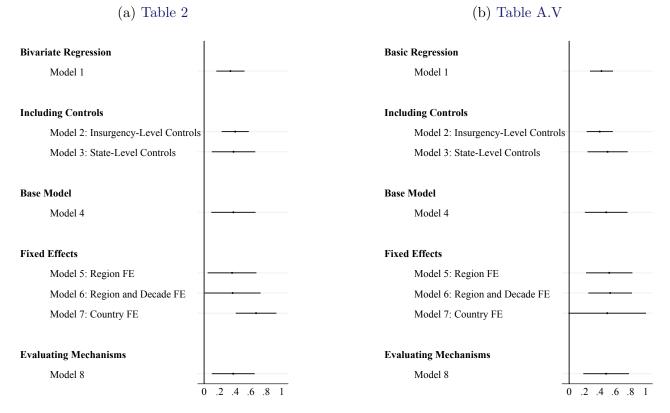
Table A.V. Secessionism Predicts Inclusive Services, Interaction

Secessionist × Territorial Control Secessionist Territorial Control	****	0.32***	****	~**	***	O 17 **	+010	
Control	0.29**	1	0.1.0	0.47	0.53	0.07	0.48	0.45**
Control	(0.12)	(0.12)	(0.18)	(0.19)	(0.20)	(0.19)	(0.32)	(0.20)
	0.02	0.02	-0.00	-0.03	-0.06	-0.09	0.22	-0.04
	(0.05)	(0.05)	(0.02)	(0.08)	(0.00)	(0.00)	(0.24)	(0.08)
	0.12**	0.09**	0.07*	0.02	0.04	0.03	-0.08	90.0
	(0.05)	(0.04)	(0.04)	(0.02)	(0.05)	(0.00)	(0.00)	(0.05)
Communist		-0.01		-0.09	-0.06	-0.06	0.16	-0.09
		(0.05)		(0.09)	(0.00)	(0.00)	(0.24)	(0.08)
Ethnic War		$0.14^{+}$		0.03	-0.01	-0.03	0.23	0.05
		(0.09)		(0.10)	(0.10)	(0.11)	(0.28)	(0.00)
Rebel Strength		-0.02		-0.01	-0.03	-0.03	-0.00	-0.03
		(0.02)		(0.04)	(0.04)	(0.04)	(0.04)	(0.04)
Duration		0.01***		$0.01^{*}$	$0.01^{*}$	0.01*	$0.01^{+}$	$0.01^{*}$
		(0.00)		(0.00)	(0.00)	(0.00)	(0.01)	(0.00)
Infant Mortality			0.00	0.00	0.00	+00.0	0.00	0.00
			(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)
$\mathrm{GDPpc}$			$0.04^{+}$	$0.05^{+}$	0.07*	0.07**	80.0	0.07**
			(0.03)	(0.03)	(0.03)	(0.03)	(0.07)	(0.03)
Democracy			-0.04	-0.06	-0.01	0.00	0.00	$-0.08^{+}$
			(0.00)	(0.00)	(0.07)	(0.00)	(0.00)	(0.05)
Population (logged)			0.01	0.01	-0.01	-0.01	0.06	
			(0.01)	(0.01)	(0.02)	(0.01)	(0.21)	
Rugged Terrain			0.01	0.01	0.02	0.02	1.03	
			(0.02)	(0.03)	(0.02)	(0.02)	(0.83)	
Competition								0.01
Population (change)								(0.01) $0.01$
)								(0.01)
Constant	0.05**	-0.00	-0.64*	+09.0-	-1.06***	-1.10***	-4.97**	-0.76**
	(0.02)	(0.03)	(0.36)	(0.40)	(0.32)	(0.33)	(2.31)	(0.35)
Observations	254	253	177	176	176	176	176	165
$R^2$	0.174	0.252	0.279	0.309	0.346	0.371	0.705	0.304

Standard errors in parentheses. Standard errors clustered by country in all models. + p<0.15, \*p<0.10, \*\*p<0.05, \*\*\*p<0.01

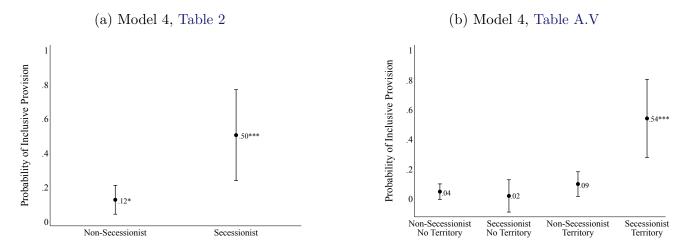
<sup>15</sup> 

Figure A.1: Secessionist Coefficient Estimates



Note: Figure A.1 presents the coefficient estimates for the variable Secessionist in Table 2 and Table A.V. Black horizontal lines represent 90% confidence intervals. If the confidence intervals fail to overlap with the vertical black line, then the effect of Secessionist is statistically significant. As can be seen, the results are highly similar, positive and robust across all specifications.

Figure A.2: Predicted Effect of Secessionism on Inclusive Services



Note: Figure A.2 presents the predicted probability of Secessionist rebel groups of providing inclusive services with all other variables set to their means. As is clear, secessionist rebel groups are between three to six times more likely to provide inclusive services than non-secessionist rebel groups. Moreover, the predicted effects of secessionist and non-secessionist goals on the probability of inclusive service provision is statistically significantly different (in Figure A.2a:  $\chi^2 = 5.00$ , p < 0.05, and in Figure A.2b:  $\chi^2 = 7.94$ , p < 0.01). Black vertical lines represent 90% confidence intervals.

Table A.VI: Additional Controls

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
Secessionist	0.37**	0.37**	0.35*	0.34*	0.38**	0.31*	0.26	0.38**
	(0.17)	(0.17)	(0.17)	(0.19)	(0.15)	(0.17)	(0.20)	(0.17)
Communist	-0.21	-0.19 <sup>+</sup>	-0.02	-0.18	-0.17	-0.12	-0.17	-0.17
	(0.16)	(0.12)	(0.13)	(0.15)	(0.16)	(0.15)	(0.14)	(0.17)
Ethnic War	0.33	0.81***	$0.36^{+}$	$0.42^{+}$	$0.42^{+}$	$0.49^{+}$	$0.48^{+}$	$0.43^{+}$
	(0.25)	(0.16)	(0.24)	(0.29)	(0.28)	(0.29)	(0.29)	(0.27)
Rebel Strength	-0.12*	-0.10*	-0.16*	-0.06	-0.06	-0.05	-0.04	
	(0.06)	(0.05)	(0.09)	(0.06)	(0.06)	(0.06)	(0.06)	
Duration	0.00	-0.00	-0.00	0.00	0.00	0.01	0.01	0.00
	(0.01)	(0.01)	(0.01)	(0.01)	(0.01)	(0.01)	(0.01)	(0.01)
Infant Mortality	0.00	$0.00^{+}$	0.00	0.00	0.00	0.00	0.00	0.00
	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)
GDPpc	$0.13^{*}$	0.16*	0.10	0.09	0.09	0.03	0.03	0.07
	(0.07)	(0.08)	(0.07)	(0.08)	(0.07)	(0.07)	(0.07)	(0.08)
Democracy	-0.06	-0.04	0.12	0.00	-0.09	-0.04	-0.03	-0.02
	(0.18)	(0.14)	(0.17)	(0.17)	(0.13)	(0.12)	(0.13)	(0.16)
Population (logged)		0.08	0.02	0.05	0.03	0.04	0.05	0.04
		(0.05)	(0.05)	(0.05)	(0.04)	(0.04)	(0.04)	(0.05)
Rugged Terrain	0.06	0.10**	-0.01	0.07	0.05	0.05	0.05	0.07
	(0.05)	(0.04)	(0.05)	(0.05)	(0.05)	(0.04)	(0.04)	(0.05)
Population (change)	0.04							
	(0.04)							
Non-Military Aid		0.27***						
		(0.09)	a reduk					
Rebel Group Size			0.15**					
			(0.06)					
Battle Deaths				-0.00				
				(0.02)	0.044			
Competition					0.04*			
D G 0: - E1:					(0.03)	0.05*		
Pre-Conflict Education						0.35*		
						(0.19)	0.00*	
Pre-Conflict Health							0.33*	
D 1 10; 11 0;							(0.19)	3.7
Rebel Strength Categories								Yes
Constant	-1.56 <sup>+</sup>	-2.70*	-2.15 <sup>+</sup>	-1.57	-1.40	-0.95	-1.14	-1.43
	(0.99)	(1.45)	(1.28)	(1.52)	(1.23)	(1.04)	(1.06)	(1.46)
Observations	51	49	51	53	56	54	54	56
$R^2$	0.390	0.494	0.436	0.341	0.404	0.452	0.445	0.371
Standard arrors in parenthese								

Standard errors clustered by country in all models.  $^+$   $p < 0.15,\ ^*$   $p < 0.10,\ ^{**}$   $p < 0.05,\ ^{***}$  p < 0.01

 ${\bf Table\ A. VI:\ Additional\ Controls,\ Cont.}$ 

	(0)	(10)	(11)	(10)
	(9)	(10)	(11)	(12)
Secessionist	0.45**	0.51**	0.45**	0.54**
	(0.20)	(0.20)	(0.18)	(0.21)
Communist	0.03	0.01	0.21	0.26
Toll 1 M	(0.19)	(0.24)	(0.19)	(0.18)
Ethnic War	0.29	0.30	0.54	0.43
<b>D</b>	(0.44)	(0.37)	(0.44)	(0.52)
Duration	0.00	-0.00	-0.01	-0.00
T. 6 3.5 10.	(0.01)	(0.01)	(0.01)	(0.01)
Infant Mortality	0.00	0.00	-0.00	-0.00
	(0.00)	(0.00)	(0.00)	(0.00)
GDPpc	-0.00	-0.01	0.11	0.13
_	(0.10)	(0.13)	(0.09)	(0.11)
Democracy	0.01	0.09	0.07	0.12
	(0.15)	(0.15)	(0.18)	(0.21)
Population (logged)	-0.06		-0.02	-0.04
	(0.07)		(0.07)	(0.07)
Rugged Terrain	-0.04	-0.05	0.03	-0.01
	(0.08)	(0.07)	(0.07)	(0.10)
Population (change)		-0.07		
		(0.07)		
Non-Military Aid	0.06	0.12	0.05	-0.07
	(0.18)	(0.14)	(0.15)	(0.19)
Rebel Group Size	0.29**	0.33***	0.34**	0.42***
	(0.13)	(0.09)	(0.13)	(0.15)
Competition	0.01	-0.01	0.00	0.00
	(0.02)	(0.03)	(0.03)	(0.05)
Battle Deaths	-0.08*	-0.10**	-0.07**	-0.06*
	(0.04)	(0.04)	(0.03)	(0.03)
Pre-Conflict Education	0.40	0.24	0.73**	0.76**
	(0.28)	(0.27)	(0.28)	(0.33)
Pre-Conflict Health	-0.14	0.03	$-0.38^{+}$	$-0.41^{+}$
	(0.23)	(0.23)	(0.24)	(0.26)
Rebel Strength Categories	Yes	Yes	Yes	Yes
Constant	-1.47	-1.57	-3.06**	-3.14**
	(1.44)	(1.74)	(1.29)	(1.35)
Region Fixed Effects	No	No	Yes	Yes
Decade Fixed Effects	No	No	No	Yes
Observations	42	39	42	42
$R^2$	0.721	0.754	0.831	0.861

 $<sup>^{+}\</sup> p < 0.15,\ ^{*}\ p < 0.10,\ ^{**}\ p < 0.05,\ ^{***}\ p < 0.01$ 

Table A.VII: Excluding Outliers

	(1)
Secessionist	0.48***
	(0.13)
Communist	-0.20 <sup>+</sup>
	(0.13)
Ethnic War	0.00
	(.)
Rebel Strength	-0.05
	(0.05)
Duration	0.00
	(0.01)
Infant Mortality	0.00***
	(0.00)
GDPpc	0.12***
	(0.04)
Democracy	-0.07
	(0.13)
Population (logged)	0.08*
	(0.04)
Rugged Terrain	0.10***
	(0.03)
Constant	-2.63**
	(0.98)
Observations	49
$R^2$	0.602

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

Table A.VIII: Jackknifing

	(1)
Secessionist	0.38**
	(0.18)
Communist	-0.17
	(0.15)
Ethnic War	0.42
	(0.51)
Rebel Strength	-0.08
	(0.07)
Duration	0.00
	(0.01)
Infant Mortality	0.00
	(0.00)
GDPpc	0.08
	(0.09)
Democracy	-0.01
	(0.18)
Population (logged)	0.05
	(0.06)
Rugged Terrain	0.06
	(0.05)
Constant	-1.44
	(1.62)
Observations	56
$R^2$	0.365

 $<sup>\</sup>begin{array}{l} + \ p < 0.15, \ ^* \ p < 0.10, \ ^{**} \ p < 0.05, \ ^{***} \ p < 0.01 \end{array}$ 

 ${\bf Table\ A.IX:\ Original\ Dataset}$ 

	(1)
Secessionist	0.36**
	(0.17)
Communist	-0.20
	(0.17)
Ethnic War	0.35
	(0.28)
Rebel Strength	-0.11+
	(0.07)
Duration	0.00
	(0.01)
Infant Mortality	0.00
	(0.00)
GDPpc	0.11
	(0.08)
Democracy	0.06
	(0.18)
Total Population	0.03
	(0.05)
Rugged Terrain	0.05
	(0.04)
Constant	-1.33
	(1.36)
Observations	57
$R^2$	0.343

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

 ${\bf Table\ A.X:\ Correcting\ for\ Information\ Bias}$ 

	(1)	(2)	(3)
	Large	Post-1970	No Missingness,
	Insurgencies	Insurgencies	No Services
Secessionist	0.56**	0.35**	0.33**
	(0.25)	(0.17)	(0.15)
Rebel Strength	-0.05	-0.12*	-0.08+
	(0.10)	(0.07)	(0.05)
Communist	-0.03	$-0.22^{+}$	-0.17
	(0.45)	(0.13)	(0.14)
Ethnic War	$0.82^{**}$	$0.37^{*}$	$0.43^{+}$
	(0.38)	(0.21)	(0.27)
Duration	0.01	0.01	0.00
	(0.02)	(0.01)	(0.01)
Infant Mortality	-0.00	0.00	0.00
	(0.00)	(0.00)	(0.00)
GDPpc	-0.07	0.07	0.07
	(0.13)	(0.08)	(0.06)
Population (logged)	-0.07	0.04	0.04
	(0.11)	(0.05)	(0.04)
Democracy	-0.19	0.04	-0.04
	(0.20)	(0.16)	(0.13)
Rugged Terrain	0.02	$0.07^{+}$	$0.06^{*}$
	(0.08)	(0.04)	(0.03)
Constant	1.84	-1.20	-1.29
	(2.67)	(1.44)	(0.97)
Observations	31	52	62
$R^2$	0.384	0.429	0.332

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

 ${\bf Table\ A.XI:\ Alternative\ Secession ist\ Specification}$ 

Secessionist (Broadly Defined) $(0.38^{**})$ $(0.17)$ Secessionist (Broadly Defined) $(0.15)$ $(0.15)$ Secessionist (Broadly Defined) $(0.15)$ $(0.15)$ Secessionist (Broadly Defined) $(0.17)$ $(0.15)$ $(0.15)$ Communist $-0.17$ $-0.14$ $-0.11$ Communist $(0.15)$ $(0.15)$ $(0.15)$ Ethnic War $(0.42^{+})$ $(0.49^{*})$ $(0.28^{+})$ Ethnic War $(0.27)$ $(0.29)$ $(0.16)$ Rebel Strength $-0.08$ $-0.06$ $-0.05$ Rebel Strength $-0.08$ $-0.06$ $-0.05$ Duration $0.00$ $0.00$ $0.00$ GDPpc $0.08$ $0.06$ $0.05$ GDPpc $0.08$ $0.06$ $0.05$ Democracy $0.01$ $0.01$				
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		( /	(2)	(3)
Secessionist (Broadly Defined) $0.42^{**}$ (0.15)         Secessionist (Broadly Defined) $0.45^{***}$ (0.15)         Communist $-0.17$ $-0.14$ $-0.11$ $(0.15)$ (0.15) $(0.15)$ (0.15)         Ethnic War $0.42^{+}$ $0.49^{*}$ $0.28^{+}$ $(0.27)$ (0.29) $(0.16)$ Rebel Strength $-0.08$ $-0.06$ $-0.05$ $(0.06)$ (0.06) $(0.06)$ (0.06)         Duration $0.00$ $0.00$ $0.00$ $0.00$ $(0.01)$ (0.01) (0.01) (0.01) $(0.01)$ Infant Mortality $0.00$ $0.00$ $0.00$ $0.00$ GDPpc $0.08$ $0.06$ $0.05$ $0.07$ (0.07) (0.07) $(0.07)$ Democracy $-0.01$ $-0.07$ $-0.06$ $(0.17)$ (0.16) (0.16) $(0.16)$ Population (logged) $0.05$ $0.05$ $0.05$ $0.04$ $(0.05)$ (0.05) (0.05) (0.05) $(0.05)$ Rugged Terrain $0.06$ $0.07^+$ $0.07^+$ $(0.04)$ (0.04) (0.04) (0.04) $(0.04)$ Constant $-1.44$ $-1.44$ $-1.44$ $-1.23$ (1.35)         Observations $56$ $56$ $56$	Secessionist (Broadly Defined)	0.38**		
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		(0.17)		
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Secessionist (Broadly Defined)		$0.42^{**}$	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$			(0.15)	
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Secessionist (Broadly Defined)			0.45***
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$				(0.15)
Ethnic War $0.42^+$ $0.49^*$ $0.28^+$ Rebel Strength $-0.08$ $-0.06$ $-0.05$ Duration $0.00$ $0.00$ $0.00$ Duration $0.00$ $0.00$ $0.00$ Infant Mortality $0.00$ $0.00$ $0.00$ GDPpc $0.08$ $0.06$ $0.05$ $0.07$ $0.07$ $0.07$ $0.07$ Democracy $-0.01$ $-0.07$ $-0.06$ Population (logged) $0.05$ $0.05$ $0.04$ Rugged Terrain $0.06$ $0.07^+$ $0.07^+$ Constant $-1.44$ $-1.44$ $-1.23$ $(1.38)$ $(1.35)$ $(1.35)$	Communist	-0.17	-0.14	-0.11
Rebel Strength       (0.27)       (0.29)       (0.16)         Duration       -0.08       -0.06       -0.05         Duration       0.00       0.00       0.00         Infant Mortality       0.00       0.00       0.00         GDPpc       0.08       0.06       0.05         GO-07)       (0.07)       (0.07)       (0.07)         Democracy       -0.01       -0.07       -0.06         Population (logged)       0.05       0.05       0.04         Rugged Terrain       0.06       0.07+       0.07+         Constant       -1.44       -1.44       -1.23         (1.38)       (1.35)       (1.35)		(0.15)	(0.15)	(0.15)
Rebel Strength       -0.08       -0.06       -0.05         Duration       0.00       0.00       0.00         Infant Mortality       0.00       0.00       0.00         GDPpc       0.08       0.06       0.05         GDPpc       0.08       0.06       0.05         Democracy       -0.01       -0.07       -0.06         Population (logged)       0.05       0.05       0.04         Rugged Terrain       0.06       0.07+       0.07+         Constant       -1.44       -1.44       -1.23         (1.38)       (1.35)       (1.35)	Ethnic War	$0.42^{+}$	$0.49^{*}$	$0.28^{+}$
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		(0.27)	(0.29)	(0.16)
Duration       0.00       0.00       0.00         (0.01)       (0.01)       (0.01)       (0.01)         Infant Mortality       0.00       0.00       0.00         (0.00)       (0.00)       (0.00)       (0.00)         GDPpc       0.08       0.06       0.05         (0.07)       (0.07)       (0.07)       (0.07)         Democracy       -0.01       -0.07       -0.06         (0.17)       (0.16)       (0.16)       (0.16)         Population (logged)       0.05       0.05       0.04         (0.05)       (0.05)       (0.05)       (0.05)         Rugged Terrain       0.06       0.07+       0.07+         (0.04)       (0.04)       (0.04)         Constant       -1.44       -1.44       -1.23         (1.38)       (1.35)       (1.35)	Rebel Strength	-0.08	-0.06	-0.05
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	<u> </u>	(0.06)	(0.06)	(0.06)
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Duration	0.00	0.00	$0.00^{'}$
(0.00) (0.00) (0.00)   (0.00)   GDPpc		(0.01)	(0.01)	(0.01)
GDPpc       0.08       0.06       0.05         (0.07)       (0.07)       (0.07)         Democracy       -0.01       -0.07       -0.06         (0.17)       (0.16)       (0.16)         Population (logged)       0.05       0.05       0.04         (0.05)       (0.05)       (0.05)       (0.05)         Rugged Terrain       0.06       0.07+       0.07+         (0.04)       (0.04)       (0.04)         Constant       -1.44       -1.44       -1.23         (1.38)       (1.35)       (1.35)         Observations       56       56       56	Infant Mortality	0.00	0.00	$0.00^{'}$
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	·	(0.00)	(0.00)	(0.00)
Democracy       -0.01       -0.07       -0.06         (0.17)       (0.16)       (0.16)         Population (logged)       0.05       0.05       0.04         (0.05)       (0.05)       (0.05)         Rugged Terrain       0.06       0.07+       0.07+         (0.04)       (0.04)       (0.04)         Constant       -1.44       -1.44       -1.23         (1.38)       (1.35)       (1.35)         Observations       56       56       56	GDPpc	0.08	0.06	$0.05^{'}$
(0.17) (0.16) (0.16) Population (logged) 0.05 0.05 0.04 (0.05) (0.05) (0.05) Rugged Terrain 0.06 0.07+ 0.07+ (0.04) (0.04) (0.04) Constant -1.44 -1.44 -1.23 (1.38) (1.35) (1.35) Observations 56 56 56	-	(0.07)	(0.07)	(0.07)
Population (logged)       (0.17)       (0.16)       (0.16)         Rugged Terrain       0.05       0.05       0.05)         Rugged Terrain       0.06       0.07+       0.07+         (0.04)       (0.04)       (0.04)       (0.04)         Constant       -1.44       -1.44       -1.23         (1.38)       (1.35)       (1.35)         Observations       56       56       56	Democracy	-0.01	-0.07	-0.06
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	·	(0.17)	(0.16)	(0.16)
Rugged Terrain $0.06$ $0.07^+$ $0.07^+$ $(0.04)$ $(0.04)$ $(0.04)$ Constant $-1.44$ $-1.44$ $-1.23$ $(1.38)$ $(1.35)$ $(1.35)$ Observations $56$ $56$ $56$	Population (logged)	$0.05^{'}$	$0.05^{'}$	$0.04^{'}$
Constant       (0.04)       (0.04)       (0.04)         -1.44       -1.44       -1.23         (1.38)       (1.35)       (1.35)         Observations       56       56       56	1 ( 33 )	(0.05)	(0.05)	(0.05)
	Rugged Terrain	0.06	` /	$0.07^{+}$
Constant $-1.44$ $-1.44$ $-1.23$ $(1.38)$ $(1.35)$ $(1.35)$ Observations $56$ $56$ $56$		(0.04)	(0.04)	(0.04)
Observations         56         56         56	Constant	,	,	,
Observations         56         56         56		(1.38)	(1.35)	(1.35)
$R^2$ 0.365 0.401 0.422	Observations	,	, ,	
	$R^2$	0.365	0.401	0.422

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

 ${\bf Table\ A.XII:\ Alternative\ Inclusive\ Services\ Specification}$ 

	(1)	(2)	(3)
	Any Inclusive Services	Alternative Coding	Ordinal Ranking
Secessionist	0.42**	0.36**	0.55**
	(0.18)	(0.17)	(0.23)
Communist	0.04	-0.15	-0.02
	(0.18)	(0.17)	(0.28)
Ethnic War	0.39	0.27	0.24
	(0.30)	(0.29)	(0.62)
Rebel Strength	-0.04	-0.14*	-0.10
	(0.06)	(0.07)	(0.12)
Duration	-0.00	0.00	0.01
	(0.01)	(0.01)	(0.01)
Infant Mortality	-0.00	$0.00^{+}$	0.00
	(0.00)	(0.00)	(0.00)
GDPpc	0.09	$0.18^{*}$	$0.18^{+}$
	(0.08)	(0.09)	(0.12)
Democracy	0.07	0.02	0.22
	(0.19)	(0.17)	(0.25)
Population (logged)	0.06	0.01	0.02
	(0.05)	(0.05)	(0.08)
Rugged Terrain	-0.00	0.05	0.08
	(0.05)	(0.05)	(0.08)
Constant	-1.36	-1.59	-1.38
	(1.36)	(1.37)	(2.23)
Observations	57	57	57
$R^2$	0.382	0.334	0.301

 $<sup>^{+}</sup>$   $p < 0.15, \, ^{*}$   $p < 0.10, \, ^{**}$   $p < 0.05, \, ^{***}$  p < 0.01

Table A.XIII: Alternative Estimator

	(1)	(2)
	Logit	Ordered Logit
Secessionist	2.49**	1.95**
	(0.97)	(0.95)
Communist	-1.68	-0.17
	(1.64)	(0.86)
Ethnic War	2.42*	0.85
	(1.33)	(1.94)
Rebel Strength	-1.11*	-0.30
	(0.64)	(0.37)
Duration	0.03	0.04
	(0.07)	(0.04)
Infant Mortality	0.01	0.01
	(0.02)	(0.01)
GDPpc	0.56	$0.73^{*}$
	(0.64)	(0.44)
Democracy	-0.23	0.58
	(1.20)	(0.68)
Population (logged)	0.09	0.15
	(0.28)	(0.29)
Rugged Terrain	$0.71^{*}$	0.26
	(0.37)	(0.25)
Constant	-9.31	
	(7.64)	
cut1		
Constant		9.01
		(8.27)
cut2		
Constant		11.81
		(8.70)
Observations	56	57
Pseudo $R^2$	0.362	0.175
C+11 :	4 1	

Standard errors clustered by country.

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

 ${\bf Table~A.XIV:~Multiple~Imputation}$ 

(1)
Public Goods
0.38***
(0.13)
0.07
(0.12)
0.51***
(0.18)
-0.02
(0.06)
0.01
(0.01)
-0.00
(0.00)
0.02
(0.08)
-0.06
(0.12)
0.02
(0.04)
0.02
(0.04)
92

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

## **Appendix 4: Model Accuracy Diagnostics**

In Table A.V, I use the full sample of insurgencies to evaluate whether the interaction of Secessionist × Territorial Control predicts rebel inclusive service provision. I conduct a joint significance test to ensure that the interaction of Secessionist × Territorial Control and the coefficients of its lower-order terms are statistically different from the coefficient Secessionist. The chi-square value is 4.92, indicating the coefficients are significantly different from each other at the 95% level.

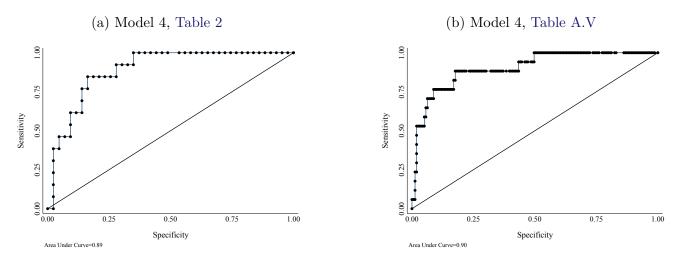
To assess the predictive power of the model, I present a Receiver Operator Characteristic (ROC) plot (Figure A.3). The ROC plot illustrates the relationship between the rate of false positives and the rate of true positives, or how well a model is able to correctly predict inclusive service provision relative to incorrectly predicting inclusive service provision.<sup>22</sup> The greater the Area Under the Curve (AUC), the greater predictive accuracy the model has. To ascertain the predictive accuracy of the model, I re-analyze Model 4 of Table 2 but use logistic regression. The AUC is 0.89/1.00, indicating that the model correctly predicts 89% of cases. Moreover, the Secessionist variable has the greatest predictive accuracy in comparison to all other variables (the AUC is 0.69/1.00). As a point of comparison, I determine the AUC of Model 4 of Table A.V, analyzed using logistic regression, and present the results in Figure A.3b. Again, the AUC is extremely high at 0.90/1.00.

To assess the model's ability to predict future response cases, I re-estimate Model 4 of Table 2 using a bootstrapping technique of sampling with replacement. The bootstrapping technique involves creating a sub-sample of data whereby observations have an equal probability of being selected for the sample, and the same observations may be included multiple times in the sub-sample (Efron and Gong 1983; Efron and Tibshirani 1997). The model is re-estimated multiple times using this limited sample, and the coefficients and standard errors are re-calculated. In this case, I set the sub-sample size to 30 observations, about one-third of the number of insurgencies that control territory. I then replicate the model 500 times. The results are robust, indicating that the model would perform well in its ability to predict future out-of-sample cases (Appendix

<sup>&</sup>lt;sup>22</sup>Ward, Greenhill, and Bakke 2010; Young 2013

Table A.XV).

Figure A.3: ROC Curves of Predicted Accuracy



Note: The figure demonstrates the predictive power of Model 4 in Table 2. The AUC for Model 4, Table 2 is 0.89 and the AUC for Model 4, Table A.V is .90 meaning that the model is highly capable of correctly predicting insurgencies likely to provide inclusive services.

Table A.XV: Bootstrapping

	(1)
Secessionist	0.38*
	(0.22)
Communist	-0.17
	(0.20)
Ethnic War	0.42
	(0.36)
Rebel Strength	-0.08
	(0.08)
Duration	0.00
	(0.01)
Infant Mortality	0.00
	(0.00)
GDPpc	0.08
	(0.11)
Democracy	-0.01
	(0.26)
Population (logged)	0.05
	(0.07)
Rugged Terrain	0.06
	(0.07)
Constant	-1.44
	(1.86)
Observations	56
$R^2$	0.365

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

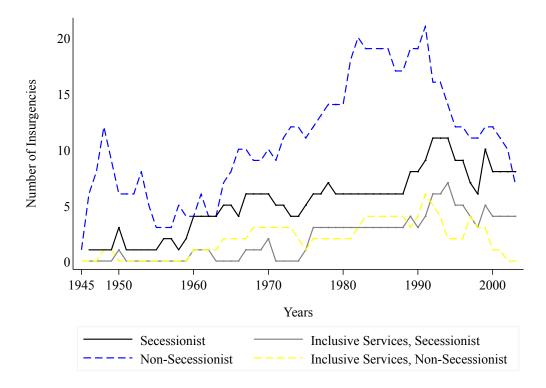
## Appendix 5: Replication of Analysis Using Panel Data

In this Appendix, I replicate the entire analysis contained in the main text, Appendix 3 and Appendix 4 using the NSA Dataset (2009) in its original panel construction.<sup>23</sup> This allows me to measure the effects of important time-variant variables such as the dependent variable (*Inclusive Provision*) and key variables that test rival mechanisms: Competition and Population (change). I begin this appendix by first presenting some descriptive figures: Figure A.4 and Figure A.5. As is clearly seen, from 1945 to 2003, the proportion of secessionist rebel groups providing inclusive services far out-weighs the proportion of non-secessionist insurgencies providing inclusive provision. I then present summary statistics from the panel data, and replicate Table 2 and Table A.V from the main text. Figure A.6 presents the predicted effects of secessionism on the probability of providing inclusive services. I next replicate all tables in Appendix 3 and 4. Results remain substantively impactful and statistically significant. Finally, Figure A.8 and Figure A.9 compares Secessionist coefficient estimates using the cross-sectional and panel datasets. These figures demonstrate the comparability in both substantive effect and statistical significance between these two sets of data.

There are two differences between the cross-sectional analysis in the main text, Appendix 3 and Appendix 4, and the panel data analysis presented here. First, all time-variant state-level variables, the dependent variable (*Inclusive Service Provision*), and the *Competition* variable change from year to year. Second, the *Duration* variable is also altered slightly to measure years since an insurgency began, so this variable also changes from year to year. Although the sample size is larger, I still rely on a LPM in most models for consistency and ease in comparing estimates from both the panel and cross-sectional data. Again, standard errors are clustered by country in almost all models.

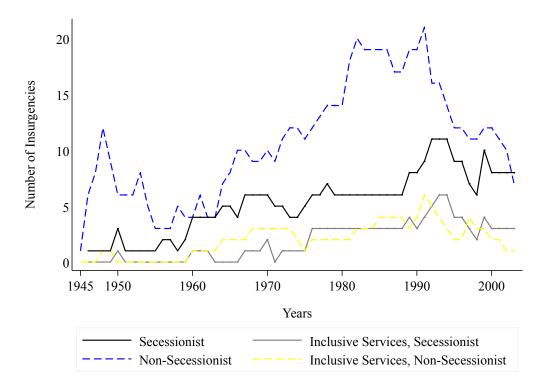
<sup>&</sup>lt;sup>23</sup>This approach is similar to Fortna (2015).

Figure A.4: Insurgencies Providing Inclusive Education, by Strategic Goals



Note: The figure represents the number of insurgencies that provided inclusive education over time, conditional on territorial control. Dashed lines represent non-secessionist insurgencies and solid lines represent secessionist insurgencies. The proportion of secessionist insurgencies providing inclusive education to all secessionist insurgencies is much greater than the proportion of non-secessionist insurgencies providing inclusive education to all non-secessionist insurgencies. These data provide support for the hypothesis that secessionist insurgencies are more likely to provide inclusive services.

Figure A.5: Insurgencies Providing Inclusive Health, by Strategic Goals



Note: The figure represents the number of insurgencies that provided inclusive health over time, conditional on territorial control. Dashed lines represent non-secessionist insurgencies and solid lines represent secessionist insurgencies. The proportion of secessionist insurgencies providing inclusive health to all secessionist insurgencies is much greater than the proportion of non-secessionist insurgencies providing inclusive health to all non-secessionist insurgencies. These data provide support for the hypothesis that secessionist insurgencies are more likely to provide inclusive services.

Table A.XVI: Summary Statistics, Panel Data

	Mean	Median	Min	Max	SD	Obs.
All Insurgencies with Territory						
Secessionist	0.32	0.00	0.00	1.00	0.47	943
Territorial Control	1.00	1.00	1.00	1.00	0.00	943
Communist	0.41	0.00	0.00	1.00	0.49	943
Ethnic War	0.10	0.00	0.00	1.00	0.30	943
Rebel Strength	0.87	1.00	0.00	4.00	0.76	943
Duration	10.24	7.00	0.00	54.00	10.62	943
Infant Mortality	84.38	81.10	5.20	259.20	45.62	753
$\operatorname{GDPpc}$	7.34	7.28	5.12	10.05	1.12	632
Population (Logged)	16.61	16.68	13.12	20.76	1.24	701
Democracy	0.29	0.00	0.00	1.00	0.45	876
Rugged Terrain	2.89	3.50	0.00	4.31	1.10	942
Competition	3.16	2.00	1.00	12.00	2.22	943
Population (Change)	12.87	13.05	7.13	16.66	1.27	642
Secessionists (Territorial Control)						
Communist	0.27	0.00	0.00	1.00	0.45	304
Ethnic War	0.00	0.00	0.00	0.00	0.00	304
Rebel Strength	0.70	1.00	0.00	3.00	0.60	304
Duration	11.43	7.50	0.00	54.00	12.33	304
Infant Mortality	81.74	80.70	9.00	178.00	38.31	256
GDPpc	7.15	7.18	5.49	9.24	0.87	168
Population (Logged)	17.22	17.04	13.15	20.76	1.48	183
Democracy	0.22	0.00	0.00	1.00	0.41	300
Ethnic War	0.99	1.00	0.00	1.00	0.11	304
Rugged Terrain	3.12	3.60	0.00	4.27	1.08	303
Competition	3.70	2.50	1.00	12.00	2.66	304
Population (Change)	13.25	13.09	7.52	16.66	1.59	167
Non-Secessionists (Territorial Control)						
Communist	0.47	0.00	0.00	1.00	0.50	639
Ethnic War	0.15	0.00	0.00	1.00	0.36	639
Rebel Strength	0.96	1.00	0.00	4.00	0.81	639
Duration	9.67	6.00	0.00	40.00	9.66	639
Infant Mortality	85.73	82.70	5.20	259.20	48.95	497
GDPpc	7.41	7.49	5.12	10.05	1.19	464
Population (Logged)	16.40	16.45	13.12	18.42	1.06	518
Democracy	0.32	0.00	0.00	1.00	0.47	576
Ethnic War	0.15	0.00	0.00	1.00	0.36	639
Rugged Terrain	2.78	3.10	0.34	4.31	1.09	639
Competition	2.91	2.00	1.00	7.00	1.92	639
Population (Change)	12.74	13.03	7.13	14.62	1.11	475
	14.11	10.00	1.10	11.02	1,11	110

Table A.XVII: Secessionism Predicts Inclusive Service Provision (Panel)

	(1)	(2)	(3)	(4)	(5)	(9)	(7)	(8)
Secessionist	0.26***	0.28**	0.27***	0.26**	$0.18^{*}$	$0.21^{*}$	0.49**	0.27**
	(0.09)	(0.12)	(0.10)	(0.11)	(0.10)	(0.11)	(0.18)	(0.11)
Communist		0.05		-0.09	-0.01	0.04	0.64***	-0.07
		(0.13)		(0.16)	(0.15)	(0.12)	(0.13)	(0.17)
Ethnic War		0.07		-0.11	0.09	0.15	0.57***	-0.18
		(0.14)		(0.14)	(0.12)	(0.13)	(0.16)	(0.16)
Rebel Strength		-0.01		-0.08+	-0.04	-0.05	-0.02	$-0.09^{+}$
		(0.06)		(0.05)	(0.05)	(0.04)	(0.07)	(0.00)
Duration		0.01		-0.00	-0.01	-0.01**	-0.01	-0.00
		(0.01)		(0.00)	(0.00)	(0.00)	(0.01)	(0.00)
Infant Mortality			0.00	0.00	-0.00	0.00	-0.00	0.00
			(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)
$\mathrm{GDPpc}$			0.07	0.05	0.12	0.18**	-0.29*	0.04
			(0.08)	(0.08)	(0.09)	(0.01)	(0.15)	(0.08)
Democracy			-0.18**	-0.20**	-0.01	-0.06	0.04	-0.20**
			(0.07)	(0.09)	(0.01)	(0.08)	(0.05)	(0.09)
Population (Logged)			0.08**	+90.0	0.08*	0.08*	0.33*	
			(0.04)	(0.04)	(0.04)	(0.04)	(0.20)	
Rugged Terrain			0.01	0.03	0.00	0.09**		0.03
			(0.04)	(0.04)	(0.05)	(0.04)		(0.05)
Population (Change)								0.03
								(0.02)
Competition								0.02
Constant	$0.19^{***}$	90.0	-1.77*	-1.14	$-2.33^{*}$	-3.12***	-3.33	-0.50
	(0.06)	(0.15)	(1.02)	(1.13)	(1.24)	(1.11)	(3.71)	(1.17)
Region FE	$N_{\rm o}$	No	$ m N_{o}$	$N_{\rm o}$	Yes	Yes	$^{ m No}$	$N_{\rm O}$
Decade FE	$N_{\rm o}$	$N_{\rm o}$	$N_{\rm O}$	$N_{\rm o}$	$N_{\rm o}$	Yes	$ m N_{o}$	$N_{\rm O}$
Country FE	$ m N_{o}$	$ m N_{o}$	$ m N_{o}$	$N_{\rm o}$	$ m N_{o}$	$N_{\rm o}$	Yes	$ m N_{o}$
Observations	823	823	543	543	543	543	544	504
$R^2$	0.072	0.139	0.204	0.225	0.305	0.370	0.653	0.210

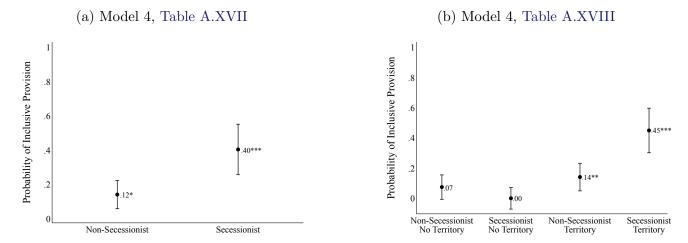
Standard errors in parentheses Standard errors clustered by country in all models but Model 1. + p<0.15, \*p<0.10, \*\*p<0.05, \*\*\*p<0.01

Table A.XVIII: Secessionism Predicts Inclusive Services, Interaction (Panel)

	(1)	(2)	(3)	(4)	(5)	(9)	(7)	(8)
Secessionist $\times$ Territorial Control	0.26***	0.26***	0.35***	0.38***	0.46***	0.46***	0.25**	0.32***
	(0.00)	(0.10)	(0.0)	(0.10)	(0.12)	(0.12)	(0.10)	(0.10)
Secessionist	0.00	-0.00	-0.03	-0.07	$-0.16^{*}$	-0.15*	0.12*	-0.03
	(0.05)	(0.00)	(0.05)	(0.08)	(0.10)	(0.09)	(0.01)	(0.06)
Territorial Control	$0.12^{**}$	80.0	0.06	0.07	0.06	0.07	0.01	0.08
	(0.06)	(0.06)	(0.02)	(0.06)	(0.06)	(0.05)	(0.00)	(0.06)
Communist		-0.02		-0.10	-0.09	-0.08	0.11	-0.08
Ethnic War		(0.07)		(0.09) -0.08	(0.08) -0.15+	$(0.08)$ $-0.14^{+}$	$(0.12) \\ 0.09$	(0.08) -0.07
		(0.08)		(0.08)	(0.09)	(0.09)	(0.10)	(0.08)
Rebel Strength		0.01		-0.02	$-0.05^{*}$	-0.05**	-0.00	-0.02
Duration		$(0.03) \\ 0.01 +$		(0.02) $0.00$	$(0.02) \\ 0.00$	$(0.02) \\ 0.00$	(0.05) $-0.00$	(0.03)
		(0.01)	<del>-</del>	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)
Infant Mortality			0.00	0.00	0.00**	0.00**	0.00	0.00
$\mathrm{GDPpc}$			0.06*	0.06*	0.06*	0.07*	-0.05	0.04
			(0.04)	(0.03)	(0.03)	(0.04)	(0.05)	(0.03)
Democracy			$-0.10^{+}$	-0.11*	90.0-	-0.07	0.00	*80.0-
; ;			(0.06)	(0.06)	(0.05)	(0.05)	(0.03)	(0.04)
Population (Logged)			0.03*	0.03**	0.00	0.00	0.11	
Buased Terrein			(0.01)	(0.01)	(0.02)	(0.02)	(0.08)	0.00
ragged refram			(0.03)	(0.03)	(0.03)	(0.03)		(0.03)
Population (Change)				`				0.01
Competition								0.01
Constant	0.07* $(0.04)$	0.02 $(0.06)$	-1.02*** (0.36)	$^{+0.98**}$	-1.09*** (0.34)	-1.17*** (0.37)	-1.55 (1.17)	$(0.01)$ $-0.54^*$ $(0.31)$
Region FE	No	$N_{\rm o}$	No	No	Yes	Yes	$N_{\rm o}$	$N_{\rm o}$
Decade FE	No	$N_{\rm o}$	$N_{\rm O}$	$N_{\rm o}$	$N_{\rm o}$	Yes	$N_{\rm o}$	$N_{\rm o}$
Country FE	No	$N_{\rm o}$	$N_{\rm O}$	$N_0$	$N_{\rm O}$	$_{ m O}$	Yes	$N_{\rm o}$
Observations	1897	1896	1384	1383	1383	1383	1387	1304
$R^2$	0.116	0.160	0.191	0.210	0.267	0.283	0.562	0.185

Standard errors in parentheses. Standard errors clustered by country in all models. + p < 0.15, \* p < 0.10, \*\* p < 0.05, \*\*\* p < 0.01

Figure A.6: Predicted Effect of Secessionism on Inclusive Services (Panel)



Note: Figure A.2 presents the predicted probability of Secessionist rebel groups of providing inclusive services with all other variables set to their means. As is clear, secessionist rebel groups are between two to three times more likely to provide inclusive services than non-secessionist rebel groups. Moreover, the predicted probability between secessionist and non-secessionist groups is statistically significantly different, as confidence intervals fail to overlap. Black vertical lines represent 90% confidence intervals.

Table A.XIX: Additional Controls (Panel)

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
Secessionist	0.26**	$0.19^{+}$	0.31***	0.23**	0.27**	0.24**	$0.22^{*}$	0.27**
	(0.11)	(0.12)	(0.11)	(0.11)	(0.11)	(0.12)	(0.13)	(0.11)
Communist	-0.08	-0.10	-0.03	-0.08	-0.07	-0.03	-0.08	-0.10
	(0.17)	(0.17)	(0.15)	(0.16)	(0.16)	(0.14)	(0.13)	(0.19)
Ethnic War	-0.20	-0.10	-0.20	-0.06	-0.11	-0.03	-0.07	-0.14
	(0.15)	(0.12)	(0.15)	(0.13)	(0.13)	(0.13)	(0.12)	(0.13)
Rebel Strength	-0.11**	-0.05	-0.19**	-0.07	-0.06	-0.05	-0.05	
	(0.05)	(0.06)	(0.09)	(0.05)	(0.05)	(0.05)	(0.04)	
Duration	-0.00	-0.00	$-0.01^{+}$	-0.00	-0.00	0.00	0.00	-0.00
	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)
Infant Mortality	0.00	0.00	0.00	0.00	0.00	-0.00	-0.00	0.00
	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)
GDPpc	0.05	0.07	0.05	0.06	0.05	0.01	0.01	0.05
	(0.08)	(0.08)	(0.08)	(0.07)	(0.08)	(0.07)	(0.08)	(0.08)
Democracy	-0.19**	-0.25**	-0.09	-0.16*	-0.22**	-0.17**	-0.16*	-0.21**
	(0.09)	(0.10)	(0.10)	(0.08)	(0.08)	(0.07)	(0.08)	(0.09)
Rugged Terrain	0.04	0.04	-0.01	0.05	0.02	0.04	0.03	0.04
	(0.05)	(0.05)	(0.04)	(0.04)	(0.05)	(0.04)	(0.04)	(0.05)
Population (Change)	0.04							
	(0.04)							
Population (Logged)		0.10**	0.02	0.06	0.05	0.04	0.04	0.06
		(0.04)	(0.05)	(0.04)	(0.04)	(0.04)	(0.03)	(0.04)
Non-Military Aid		$0.15^{*}$						
		(0.08)						
Rebel Group Size			0.13**					
			(0.05)					
Battle Deaths				$0.02^{**}$				
				(0.01)				
Competition					0.02			
					(0.02)			
Pre-Conflict Education						$0.28^{+}$		
						(0.18)		
Pre-Conflict Health							$0.24^{*}$	
							(0.14)	
Rebel Strength Categories								Yes
Constant	-0.69	-1.93 <sup>+</sup>	-1.51	-1.33	-0.95	-0.56	-0.57	-1.16
	(1.11)	(1.15)	(1.10)	(1.09)	(1.20)	(1.01)	(1.06)	(1.04)
Observations	504	422	510	526	543	500	500	543
$R^2$	0.202	0.226	0.291	0.213	0.234	0.252	0.256	0.230
			-					

 $<sup>^{+}</sup>$   $p < 0.15,\ ^{*}$   $p < 0.10,\ ^{**}$   $p < 0.05,\ ^{***}$  p < 0.01

Table A.XVIII: Additional Controls, Cont. (Panel)

Secessionist         0.28* (0.17)         0.21* (0.16)         0.14 (0.16)           Communist         0.13         0.13         0.27         0.34**           (0.22)         (0.22)         (0.20)         (0.15)           Ethnic War         -0.38* -0.49** -0.01         0.11           (0.25)         (0.23)         (0.32)         (0.29)           Duration         -0.00         -0.00         -0.01         -0.01****           (0.01)         (0.01)         (0.01)         (0.00)         -0.00**         -0.00**           Infant Mortality         -0.00         -0.00         -0.00**         -0.00         -0.00**         -0.00           GDPpc         -0.08         -0.07         -0.03         0.07         -0.03         0.07           Democracy         -0.17*         -0.20*         -0.06         -0.05         -0.06         -0.05           Population (Logged)         -0.02         0.01         -0.00         -0.01         -0.00           Rugged Terrain         0.01         0.00         0.02         0.05           Rugged Terrain         0.01         0.00         0.00         0.00           Non-Military Aid         0.02         0.05         -0.00 <t< th=""><th></th><th>(1)</th><th>(2)</th><th>(2)</th><th>(4)</th></t<>		(1)	(2)	(2)	(4)
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	C	(1)	(2)	(3)	(4)
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Secessionist				
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Communist	` /	, ,	,	` '
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Communist				
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Ethnic War	,	,	,	,
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Ethnic war				
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Duration	,	` ,	` ,	` '
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Duration				
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Infant Mortality	,	` /	` ,	` /
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	illiant Mortanty				
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	CDPnc	,	` /	` /	` /
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	GDI pc				
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Democracy	,	` /	` /	` /
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Democracy				
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Population (Logged)	` ,	(0.10)	( /	` /
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Topulation (Logged)				
$\begin{array}{c} \text{Population (Change)} & (0.06) & (0.06) & (0.07) & (0.05) \\ \hline \text{Population (Change)} & 0.01 & (0.04) & \\ \hline & (0.04) & (0.04) & \\ \hline \text{Non-Military Aid} & 0.02 & 0.05 & -0.00 & -0.05 \\ \hline & (0.07) & (0.08) & (0.09) & (0.08) \\ \hline \text{Rebel Group Size} & 0.18** & 0.18** & 0.19** & 0.21*** \\ \hline & (0.08) & (0.08) & (0.07) & (0.06) \\ \hline \text{Competition} & -0.01 & -0.02 & 0.00 & 0.00 \\ \hline & (0.02) & (0.02) & (0.02) & (0.02) \\ \hline \text{Battle Deaths} & -0.01 & -0.01 & 0.00 & -0.00 \\ \hline & (0.01) & (0.01) & (0.01) & (0.01) \\ \hline \text{Pre-Conflict Education} & 0.49** & 0.40* & 0.80*** & 0.68*** \\ \hline & (0.21) & (0.20) & (0.20) & (0.20) \\ \hline \text{Pre-Conflict Health} & -0.00 & 0.05 & -0.16 & -0.20 \\ \hline & (0.17) & (0.16) & (0.17) & (0.14) \\ \hline \text{Rebel Strength Categories} & Yes & Yes & Yes \\ \hline \text{Constant} & -0.59 & -0.97 & -0.99 & -2.40*** \\ \hline & (1.17) & (1.33) & (0.97) & (0.83) \\ \hline \text{Region Fixed Effects} & No & No & Yes & Yes \\ \hline \end{array}$	Rugged Terrain	,	0.00	,	,
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	reaged Terrain				
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Population (Change)	(0.00)	, ,	(0.01)	(0.00)
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	r opaidon (change)				
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Non-Military Aid	0.02	` /	-0.00	-0.05
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$					
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Rebel Group Size	` ,	` ,	` ,	
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	T. C.				
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Competition	,	,	` /	` /
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	1				
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Battle Deaths	,	` /	` /	` ,
$\begin{array}{cccccccccccccccccccccccccccccccccccc$					
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Pre-Conflict Education	` ,	, ,	` ,	` '
$\begin{array}{cccccccccccccccccccccccccccccccccccc$		(0.21)	(0.20)	(0.20)	(0.20)
Rebel Strength Categories Yes Yes Yes Yes  Constant $-0.59 -0.97 -0.99 -2.40^{***}$ $(1.17) (1.33) (0.97) (0.83)$ Region Fixed Effects No No Yes Yes	Pre-Conflict Health	` ,	, ,	` ,	,
Rebel Strength Categories Yes Yes Yes Yes  Constant $-0.59 -0.97 -0.99 -2.40^{***}$ $(1.17) (1.33) (0.97) (0.83)$ Region Fixed Effects No No Yes Yes		(0.17)	(0.16)	(0.17)	(0.14)
	Rebel Strength Categories	Yes		Yes	, ,
Region Fixed Effects No No Yes Yes	Constant	-0.59	-0.97	-0.99	-2.40***
_		(1.17)	(1.33)	(0.97)	(0.83)
_	Region Fixed Effects	No	No	Yes	Yes
2 0 0 0 0 1 1 0 1 1 0 1 0 1 0 1 0 1 0 1	Decade Fixed Effects	No	No	No	Yes
Observations 386 356 386 386	Observations	386	356	386	386
$R^2$ 0.436 0.418 0.526 0.578	$R^2$	0.436	0.418	0.526	0.578

 $<sup>^{+}</sup>$   $p < 0.15, \, ^{*}$   $p < 0.10, \, ^{**}$   $p < 0.05, \, ^{***}$  p < 0.01

Table A.XIX: Excluding Outliers (Panel)

	(4)
	(1)
Secessionist	0.31***
	(0.09)
Communist	0.03
	(0.15)
Ethnic War	-0.11
	(0.15)
Rebel Strength	-0.03
	(0.04)
Duration	-0.00
	(0.00)
Infant Mortality	0.00
	(0.00)
GDPpc	0.09
	(0.07)
Democracy	-0.22**
	(0.11)
Population (Logged)	0.10**
_ , ,	(0.05)
Rugged Terrain	$0.02^{\circ}$
	(0.04)
Constant	-2.39*
	(1.27)
Observations	509
$R^2$	0.372

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

Table A.XX: Jackknifing (Panel)

	(1)
Secessionist	0.26***
	(0.04)
Communist	-0.09*
	(0.05)
Ethnic War	-0.11 <sup>+</sup>
	(0.08)
Rebel Strength	-0.08***
	(0.02)
Duration	-0.00
	(0.00)
Infant Mortality	0.00
	(0.00)
GDPpc	$0.05^{*}$
	(0.03)
Democracy	-0.20***
	(0.04)
Population (Logged)	0.06***
_	(0.02)
Rugged Terrain	0.03**
	(0.02)
Constant	-1.14**
	(0.52)
Observations	543
$R^2$	0.225

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

Table A.XXI: Original Dataset (Panel)

	(1)
	(1)
Secessionist	$0.25^{**}$
	(0.11)
Communist	-0.11
	(0.17)
Ethnic War	-0.21
	(0.17)
Rebel Strength	-0.12*
	(0.07)
Duration	-0.00
	(0.00)
Infant Mortality	$0.00^{\circ}$
-	(0.00)
GDPpc	0.08
_	(0.08)
Democracy	-0.18*
	(0.10)
Population (Logged)	$0.04^{'}$
1 ( 35 )	(0.04)
Rugged Terrain	$0.02^{'}$
	(0.04)
Constant	-1.00
	(1.18)
Observations	543
$R^2$	0.207

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

Table A.XXII: Correcting for Information Bias (Panel)

	(1)	(2)	(3)
	Large	Post-1970	No Missingness,
	Insurgencies	Insurgencies	No Services
Secessionist	0.56***	0.35**	0.27**
	(0.14)	(0.13)	(0.11)
Rebel Strength	-0.04	-0.18**	-0.07
	(0.07)	(0.07)	(0.05)
Communist	0.15	-0.27**	-0.09
	(0.25)	(0.12)	(0.16)
Ethnic War	0.00	$-0.25^{+}$	-0.11
	(0.18)	(0.16)	(0.14)
Duration	-0.00	$0.01^{+}$	-0.00
	(0.00)	(0.01)	(0.00)
Infant Mortality	$-0.00^{+}$	0.00*	0.00
	(0.00)	(0.00)	(0.00)
GDPpc	-0.08	$0.11^{+}$	0.05
	(0.09)	(0.07)	(0.08)
Population (Logged)	-0.03	0.01	$0.06^{+}$
	(0.08)	(0.04)	(0.04)
Democracy	-0.22*	-0.17**	-0.19**
	(0.11)	(0.08)	(0.08)
Rugged Terrain	-0.08	0.11**	0.03
	(0.08)	(0.04)	(0.04)
Constant	1.81	-1.04	-1.17
	(1.82)	(1.10)	(1.13)
Observations	319	404	556
$R^2$	0.353	0.338	0.224

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

Table A.XXIII: Alternative Secessionist Specification (Panel)

	(1)	(2)	(3)
Secessionist (Broadly Defined)	0.26**		
	(0.11)		
Secessionist (Broadly Defined)		$0.32^{***}$	
		(0.10)	
Secessionist (Broadly Defined)			0.31***
			(0.10)
Communist	-0.09	-0.05	-0.05
	(0.16)	(0.16)	(0.16)
Ethnic War	-0.11	-0.04	-0.31**
	(0.14)	(0.14)	(0.13)
Rebel Strength	$-0.08^{+}$	-0.05	-0.05
	(0.05)	(0.05)	(0.05)
Duration	-0.00	-0.00	-0.00
	(0.00)	(0.00)	(0.00)
Infant Mortality	0.00	0.00	0.00
	(0.00)	(0.00)	(0.00)
GDPpc	0.05	0.04	0.03
	(0.08)	(0.07)	(0.07)
Democracy	-0.20**	-0.20**	-0.19**
	(0.09)	(0.08)	(0.08)
Population (Logged)	$0.06^{+}$	$0.06^{+}$	$0.06^{+}$
	(0.04)	(0.04)	(0.04)
Rugged Terrain	0.03	0.04	0.04
	(0.04)	(0.05)	(0.04)
Constant	-1.14	-1.21	-1.14
	(1.13)	(1.13)	(1.14)
Observations	543	543	543
$R^2$	0.225	0.265	0.257

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

Table A.XXIV: Alternative Inclusive Services Specification (Panel)

	(1)	(2)	(3)
	Any Inclusive Services	Alternative Coding	Ordinal Ranking
Secessionist	0.39**	0.26**	0.41***
	(0.16)	(0.12)	(0.14)
Communist	0.01	-0.08	0.07
	(0.19)	(0.17)	(0.20)
Ethnic War	$0.51^{**}$	-0.23	-0.05
	(0.20)	(0.16)	(0.22)
Rebel Strength	$-0.14^*$	-0.13*	-0.17**
	(0.07)	(0.06)	(0.08)
Duration	-0.01*	-0.00	0.00
	(0.00)	(0.00)	(0.00)
Infant Mortality	-0.00	0.00	0.00
	(0.00)	(0.00)	(0.00)
GDPpc	0.03	0.12	0.12
	(0.09)	(0.09)	(0.11)
Democracy	-0.22*	-0.20*	-0.13
	(0.12)	(0.10)	(0.11)
Population (Logged)	0.05	0.03	0.05
	(0.06)	(0.04)	(0.07)
Rugged Terrain	-0.02	0.01	0.02
	(0.06)	(0.05)	(0.05)
Constant	-0.41	-1.17	-0.87
	(1.43)	(1.18)	(1.86)
Observations	561	546	561
$R^2$	0.404	0.206	0.225

Standard errors in parentheses

Standard errors clustered by country in all models.

 $<sup>^{+}</sup>$   $p < 0.15,\ ^{*}$   $p < 0.10,\ ^{**}$   $p < 0.05,\ ^{***}$  p < 0.01

 ${\bf Table~A.XXV:~Alternative~Estimator~(Panel)}$ 

		(-)
	(1)	(2)
	Logit	Ordered Logit
Secessionist	1.54**	1.90**
	(0.71)	(0.77)
Communist	-0.63	0.38
	(1.18)	(0.93)
Ethnic War	-1.21	-0.18
	(1.01)	(1.06)
Rebel Strength	-0.98*	-0.69**
	(0.52)	(0.34)
Duration	-0.01	0.02
	(0.03)	(0.02)
Infant Mortality	-0.00	0.01
	(0.01)	(0.01)
GDPpc	0.27	0.62
	(0.60)	(0.51)
Democracy	-1.82***	-0.62
	(0.68)	(0.52)
Population (Logged)	0.32	0.30
	(0.32)	(0.31)
Rugged Terrain	0.31	0.06
	(0.37)	(0.23)
Constant	-8.18	
	(7.60)	
cut1		
Constant		8.42
		(8.21)
cut2		-
Constant		$12.60^{+}$
		(8.59)
Observations	543	561
$R^2$	0.227	0.159
~	_	

Standard errors in parentheses.

Standard errors clustered by country in all models.

 $<sup>^{+}</sup>$  p < 0.15,  $^{*}$  p < 0.10,  $^{**}$  p < 0.05,  $^{***}$  p < 0.01

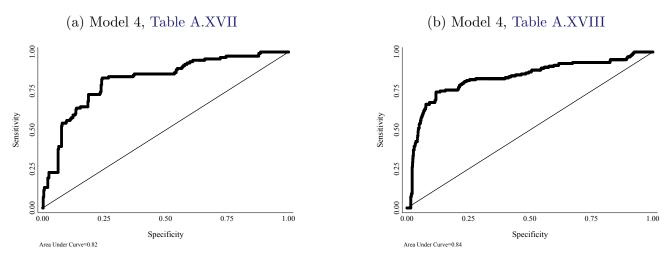
Table A.XXVI: Multiple Imputation (Panel)

(.)
(1)
Public Goods
$0.24^{**}$
(0.11)
0.07
(0.13)
0.00
(0.16)
-0.04
(0.05)
$0.01^{+}$
(0.01)
0.00
(0.00)
0.03
(0.05)
-0.26***
(0.08)
0.01
(0.03)
$0.02^{'}$
(0.04)
822

Standard errors in parentheses.

Standard errors clustered by country in all models.

Figure A.7: ROC Curves of Predicted Accuracy



Note: The figure demonstrates the predictive power of Model 4 in Table A.XVII. The AUC for Model 4, Table A.XVII is 0.82 and the AUC for Model 4, Table A.XVIII is 0.84 meaning that the model is highly capable of correctly predicting insurgencies likely to provide inclusive services.

 $<sup>^{+}</sup>$   $p < 0.15,\ ^{*}$   $p < 0.10,\ ^{**}$   $p < 0.05,\ ^{***}$  p < 0.01

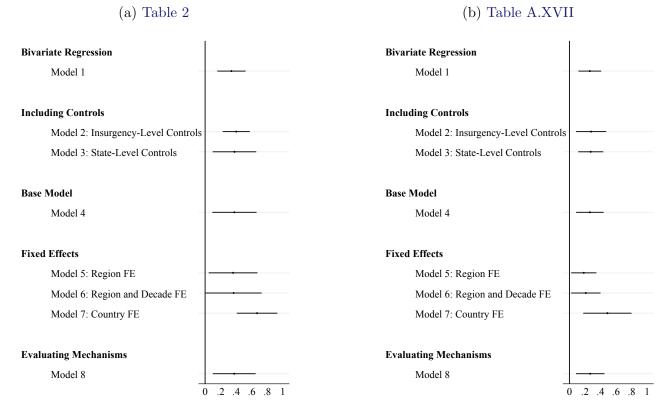
Table A.XXVII: Bootstrapping (Panel)

	(1)
Secessionist	0.26***
	(0.07)
Communist	-0.09
	(0.07)
Ethnic War	-0.11
	(0.12)
Rebel Strength	-0.08**
	(0.03)
Duration	-0.00
	(0.00)
Infant Mortality	$0.00^{'}$
	(0.00)
GDPpc	$0.05^{'}$
•	(0.05)
Democracy	-0.20***
	(0.06)
Population (Logged)	$0.06^{**}$
1 ( 33 )	(0.03)
Rugged Terrain	$0.03^{'}$
	(0.02)
Constant	$-1.14^{+}$
	(0.78)
Observations	543
$R^2$	0.225

Standard errors in parentheses

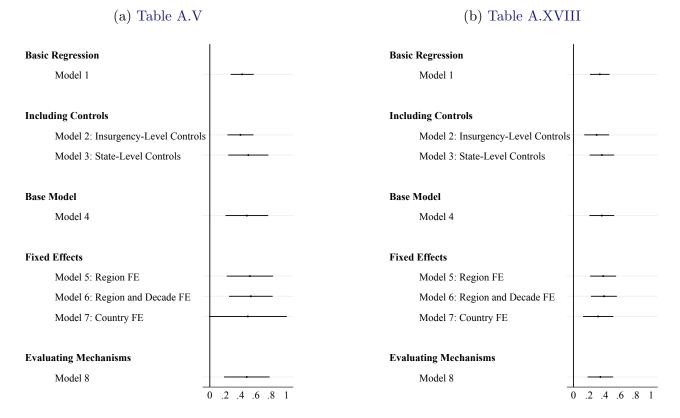
p < 0.15, p < 0.10, p < 0.05, p < 0.01

Figure A.8: Comparison of Cross-Sectional and Panel Secessionist Coefficient Estimates



Note: Figure A.8 presents the coefficient estimates for the variable Secessionist in Table 2 and Table A.XVII. Black horizontal lines represent 90% confidence intervals. If the confidence intervals fail to overlap with the vertical black line, then the effect of Secessionist is statistically significant. The estimated effects using these two sets of data are highly similar in terms of statistical and substantive significance.

Figure A.9: Comparison of Cross-Sectional and Panel Secessionist Coefficient Estimates, Interaction



Note: Figure A.9 presents the coefficient estimates for the variable  $Secessionist \times Territorial\ Control$  in Table A.V and Table A.XVIII. Black horizontal lines represent 90% confidence intervals. If the confidence intervals fail to overlap with the vertical black line, then the effect of Secessionist is statistically significant. The estimated effects using these two sets of data are highly similar in terms of statistical and substantive significance.

# Appendix 6: Additional Information on the Insurgent Social Service Provision Dataset

In this section, I provide a more detailed and extensive overview of the theoretical framework I developed for determining inclusive versus exclusive service provision, the sources I used to code these data, and some of the challenges that I faced. I then explain why I focused on education and healthcare provision specifically and provide definitions for how I operationalized and coded these measures. I go on to present a set of textual examples that I used to code the dataset. These examples demonstrate how I was able to determine not only whether a rebel group provided education and healthcare but also who benefited from rebels' services. Finally, I conclude with a descriptive overview of the dataset, as well as a graphical presentation of trends in provision over time.

# A Spectrum of Support for Rebels

Support for an insurgency can be conceived as a spectrum of commitment to the insurgency and its goals, with certain civilian groups more or less likely to support and/or join the rebel group. On one hand of this spectrum are active rebel combatants, with never-joiners (such as members of the incumbent regime) on the other end of this spectrum. The majority of the population falls in between these categories. Within this broad center, civilians may be classified as already active supporters of the rebel group with weaker commitments, as neutral civilians with no commitments but who may be otherwise inclined to support and join the insurgency because of the rebel group's political platform, or finally as unlikely supporters and joiners who do not represent an insurgency's core constituency or political community.<sup>24</sup> This spectrum of support is highly similar to how some military officials conceive of popular support in insurgency and counterinsurgency operations, suggesting that this conception of support has useful theoretical and empirical applications.<sup>25</sup> Figure A.10 below presents this spectrum graphically.

The spectrum outlined above, however, is a theoretical construct. In Figure A.11 below, I

<sup>&</sup>lt;sup>24</sup>Stewart and Liou????

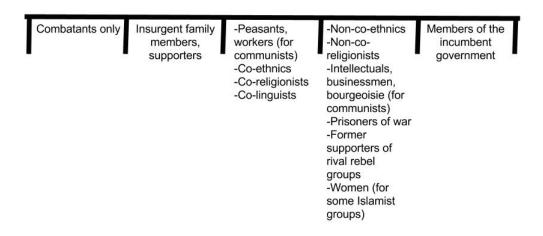
<sup>&</sup>lt;sup>25</sup>Packwood 2009, 71-2

Figure A.10: A Spectrum of Support for Insurgencies



delineate the observable implications of each of these theoretical categories. I consider neutral nonjoining civilians as people who the rebel group explicitly seeks support from and are core members
of the insurgency's coalition. For communists, these tend to be peasants, and in some cases,
workers. On the other hand, unlikely joiners or supporters would include wealthier merchants
or businesspeople, clergy members or traditional leaders, intellectuals or the bourgeoisie. For
secessionist or ethnic insurgencies, neutral but likely joiners tend to be co-ethnics, co-religionists
or co-linguists. People not of the insurgency's predominant ethnicity, religion or linguistic group
represent unlikely joiners. For any rebel group, former supporters of a different insurgency can be
classified as unlikely supporters, as would prisoners of war that a rebel group has captured. For
some Islamist groups, such as the Taliban, women are unlikely supporters and joiners.

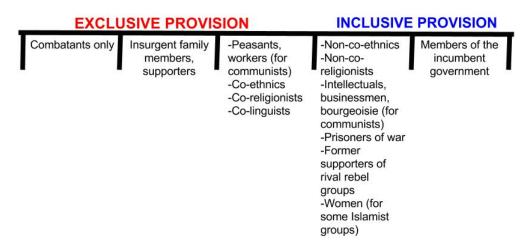
Figure A.11: Observable Implications of a Spectrum of Support



Using these distinctions, I code as inclusive service provision any rebel group that provided to unlikely joiners or never joiners. I code as exclusive service provision any insurgency whereby the organization provided only to members of the insurgency, to members of the insurgency and active supporters, or to neutral civilians who may be more likely to join the rebel group (Figure A.12).

Although these data represent an attempt to systematically and verifiably code the extensiveness and inclusivity of rebel governance, this measure is imperfect and cannot accurately predict each individual person's likelihood to be recruited by a rebel group. There may be idiosyncratic reasons why a person who is an unlikely joiner becomes a member of a rebel group. However, on average, the intuition that non-co-ethnics are less likely than co-ethnics to join an ethnic or secessionist insurgency is mostly true. In the same way, peasants are probably more likely to join a communist insurgency than wealthy merchants, on average.

Figure A.12: Operationalizing Inclusive Provision



It is worth noting that inclusive and exclusive service provision are not mutually exclusive. Even when providing inclusive services, insurgencies can also provide exclusive services simultaneously. In these cases, rebels provide services in tiers. More active and dedicated supporters receive higher quality education or training, including being sent abroad to study. Unlikely joiners receive basic literacy classes and rudimentary healthcare, but receive education and healthcare nonetheless. Therefore, the provision of inclusive services is not an indication that the insurgency prefers unlikely supporters over loyal members. An example of this tiered system is the African Party for the Independence of Guinea and Cape Verde (PAIGC), whose education services are described as "literacy for all, quality education for some." <sup>26</sup> For its strongest and most committed adherents, the PAIGC offered high quality education in the capital Conarky or offered scholarships to study

<sup>&</sup>lt;sup>26</sup>Dhada 1993, 97

abroad. For everyone else, the PAIGC provided minimal public schooling throughout the country.<sup>27</sup> In these lower quality facilities, the PAIGC provided basic education and literacy courses, but little more. In other words, although a rebel group provided inclusive services, this by no means implies that it privileged unlikely joiners over committed followers. Rather, it underscores the theoretical logic presented in the manuscript: insurgencies could provide high quality, exclusive services for recruitment and retention purposes, and yet rebels could still also provide services to the population writ large, including unlikely supporters. Why rebels go beyond the initial high quality education services reserved for followers is what drives my research and what I aim to address in this manuscript.

### Service Provision Over Time

These data are time-variant and capture changes in when rebel organizations began providing services or stopped. In most cases, specific dates for when services were provided was available in the text. Other times, texts would say that "by a given year" or "in the mid-1980s" (for example), a rebel group would have established social service institutions. In these cases, all years before that particular period of time are coded as missing. Additionally, some rebel groups also changed their level of provision. This typically occurred after an insurgency controlled territory: for example a rebel group might have only provided education and health to its cadres until the organization captured territory. Once the insurgency controlled territory, the rebel group might have started to provide services more broadly to civilians living in the territory it captured. In some rare cases, such as the People's Liberation Army (PLA) in China in 1948, or Hezbollah after 1991, education moved from neutral supporters to more inclusive provision of unlikely joiners (both cases are discussed more in the main text).

## Sources

To code these data, I relied primarily on secondary literature, especially secondary case histories on each rebel group. I also relied on newspaper and magazine articles collected through Lexis Nexis

<sup>&</sup>lt;sup>27</sup>Dhada 1993, 106-7

or Google web searches, journal articles, archival documents, testimonies, reports and memoirs. Because I code service provision in refugee camps, some NGOs such as Amnesty International or INGOs such as the United Nations had rich data on the governance of these refugee camps. Feminist accounts of the rebellion tended to have the best data: women were often asked to perform these service-providing roles and would detail the inclusivity and extent of rebel service provision. For an example of four different sources I used (newspapers, websites about refugee camps and case histories) please see the section below on examples of the coding procedure (pp. 57).

To the best of my abilities, I triangulated my coding with as many sources as possible. These sources were mostly written in English, but I did use other sources written in Spanish and French. These language choices mirror those selected by Shapiro.<sup>28</sup> The data coding process took place between October 2013 and October 2014, and research assistants served to validate the coding procedures as well.

## Missingness and Coding Challenges

Some observations are missing, and this typically occurred if a text said the rebel group established "services" but never specified which, or if the insurgency set up a health or education ministry, but no further information about whether the group actually enacted any service provision could be found. As I note below, the Nationalist Socialist Council of Nagaland (NSCN) created an education ministry, but no information about whether this ministry actually offered services could be identified.<sup>29</sup>

Because excludability is critical to the research question of this manuscript, observations without information on exclusion are coded as missing in the current analysis. If I found data that the rebel group provided services, but could not find information on exclusion, I coded the *Inclusive Service Provision* variable as missing, and coded that insurgency as providing *any* education or health in a separate variable not used for this analysis. This was the case for five different insurgencies, such as the United Democratic Resistance Movement in the Soviet Union.

 $<sup>^{28}</sup>$ Shapiro 2013

<sup>&</sup>lt;sup>29</sup>South Asian Terrorism Portal 2014

Alternatively, if any observations had unclear provision that could be considered as providing inclusively or exclusively, I created a second, alternative coding and I use this measure as a robustness check (Appendix Table A.XII). This was an issue with two cases: the Popular Front for the Liberation of Oman and the Arab Gulf (PFLOAG) and Hamas, which produced particularly conflicting accounts.

To test whether missingness systematically biases in favor of my results, I replace all missing values with a "0" signifying that missingness should be considered no inclusive provision (Model 3, Appendix Table A.X). These results are robust, indicating that missingness does not systematically bias in favor of my results.

Ultimately, these data are likely imperfect, but I anticipate updating these data as new information becomes available. Like most datasets that have undergone multiple iterations, this work represents a first-cut innovation that nevertheless improves our understanding of rebel governance.

#### Focus on Education and Healthcare Provision

The dataset focuses on the provision of education and healthcare specifically. I use these services for three reasons. The first is that there is great variation in insurgent services provision, and I needed services that were comparable across time and space. As an example of this variation, insurgencies have provided everything from food aid or "justice" to building hydroelectric power plants (Burmese Communist Party).<sup>30</sup> Due to the variation in the types of services insurgencies provide, I limit my focus to education and healthcare to ensure that I am comparing similar services across space and time. Education and healthcare are two such services that are comparable across cases and across time. A literacy or mathematics course in the 1970s in Africa will be similar to mathematics or literacy courses in Asia in the 1950s or in Latin America in the 1980s. Similarly, because what is generally healthy for one person is likely also going to be beneficial for another person anywhere else in the world or at any other time since 1945, healthcare is broadly similar across space and time.

The second reason I focus on these two services is that education and healthcare are broadly

<sup>&</sup>lt;sup>30</sup>Lintner 1990, Appendix II

desirable to all people and are services from which all people can benefit. As a result, exclusion from education or healthcare institutions clearly demonstrates the populations to which the insurgency is or is not providing social services. For example, insurgencies such as the Front for the National Liberation of Congo (FNLC) may provide food to the starving or most impoverished.<sup>31</sup> Yet, because the majority of people are not starving or impoverished, they may be ineligible to receive these services at any given point. Because the social services data I collected also takes into account who can benefit from services, I do not examine any services that people might be ineligible to receive, however reasonable their exclusion. If an insurgency offered food to some civilians, and not others, it would be difficult to determine if the insurgency were limiting its provision to only those with economic need, or if the insurgency limited its provision to people with economic need and to people who were also likely to support the insurgency. Therefore, I do not include in my analysis any social service that might exclude members of the population, however reasonably, to ensure the greatest accuracy possible. Education and healthcare do not suffer from this exclusion problem, as ostensibly anyone at any time could benefit from education or healthcare.

Finally, all people have a reasonable expectation of receiving, either freely or in a deeply subsidized form, education or healthcare. Education and healthcare are codified as essential human rights in the Universal Declaration of Human Rights. In the vast majority of countries, education is constitutionally guaranteed and guaranteed to be free.<sup>32</sup> Almost all countries have free or compulsory education.<sup>33</sup> Although healthcare is sometimes more complicated (particularly in countries like the United States), governments in almost all countries still allocate resources to subsidize and supplement healthcare throughout their state.<sup>34</sup> Although these services are not always considered classic state-provided public goods like national security or justice, people have a reasonable expectation of receiving these services from the state with little to no cost to themselves.

<sup>&</sup>lt;sup>31</sup>Los Angeles Times 1977; Wright 1977

<sup>&</sup>lt;sup>32</sup>World Policy Center 2016

<sup>&</sup>lt;sup>33</sup>Tomasevski 2001, 32-80

<sup>&</sup>lt;sup>34</sup>World Bank 2016

## **Defining Key Terms**

Below, I delineate how I defined "provision," "education" and "healthcare" while coding.

<u>Provision:</u> I code insurgencies as "providing" services if they diverted their personnel and financial resources to ensure that a certain group of people received education and healthcare. This typically manifests in two ways:

- 1. Insurgencies offered education or healthcare themselves through their construction of schools, development of curriculum, service as teachers and doctors, or building of hospitals as needed.
- 2. Insurgencies ensured that services continued to operate in the area they controlled, typically through the administration and financing of these services, although these institutions already existed.

I do not code groups as providing services if they allow an NGO, religious group, or the incumbent government to provide services in the areas they control, but the insurgencies themselves did not contribute to this provision. For example, the Liberation Tamil Tigers of Eelam (LTTE) allowed the Sri Lankan government to continue its healthcare provision in the areas the LTTE controlled. The LTTE taxed this service, but was not involved in the direct administration of it. As a result, I do not consider the LTTE to have provided healthcare services.<sup>35</sup>

Education: In the context of a civil war, insurgents or authors of secondary source texts could use the term "education" ambiguously, and may refer to propaganda campaigns or general military training as education. If the insurgent organization itself or the secondary literature refers to an insurgency as "training" recruits or supporters, and not educating them, I do not consider this to be education. If what the insurgency is providing is not described as training, then I code education as the instruction of skills that can be applied outside of the context of the military operations, such as language, mathematics, or history. If these skills are applicable to both the insurgents' military goals as well as useful outside the context of the insurgency, such as teaching mathematics so that insurgents know how many explosives to use and how to budget resources, I still code this as education. A clear example of education provision is exemplified by the follow-

<sup>&</sup>lt;sup>35</sup>Mampilly 2011, 118-9

ing passage: Hezbollah's "Educational Center of the Martyr Bojeii opened in 1992 in the village of Mashghara... [I]t has nineteen sections covering both nursery and elementary classes and also serves the children of seven neighboring villages." <sup>36</sup> On the other hand, the Nationalist Socialist Council of Nagaland (NSCN) has an education ministry in their structure, but no texts referred to their explicit provision of education to insurgent members or civilians. <sup>37</sup> From this information above, it is not clear if the NSCN education ministry developed education policy, created propaganda campaigns or actually provided education to others. As a result of this ambiguity, I code this entry as missing.

<u>Health Care</u>: I code an insurgency as providing healthcare if the insurgency offered medical treatment. Because of the influence of Mao and China's sponsorship of liberation movements in the Middle East and Africa, some insurgencies provided acupuncture to the populations under its control. Even if an insurgency provided acupuncture, such as the Ethiopian People's Revolutionary Party (EPRP), I consider the group to provide healthcare.<sup>38</sup> This is to avoid a bias in coding medical care as only "Western" medical practices.

# **Examples of Coding**

To demonstrate more clearly how these data were coded, I present examples of exclusive or inclusive provision. For rebels that provided exclusive services, I rely on the Sandinista National Liberation Front (FSLN), Zimbabwe's African People's Union (ZAPU), and the case of Ethiopian People's Revolutionary Party (EPRP). For insurgencies that provided to unlikely joiners (inclusive services), I present the case of the Eritrean People's Liberation Front (EPLF).

1. Exclusive provision to only combatants: The Sandinista National Liberation Front (FSLN). The evidence I found for the FSLN supports the idea that the guerrillas only provided education within their own camps. The text below is an excerpt from a newspaper report. A journalist from the St. Petersburg Times visited a Sandinista hideaway. There,

<sup>&</sup>lt;sup>36</sup>Jaber 1997, 164

<sup>&</sup>lt;sup>37</sup>South Asian Terrorism Portal 2014

<sup>&</sup>lt;sup>38</sup>Tadesse 1998, 368-9

guerrillas had classes and learned basic mathematics, albeit for the purpose of learning how to calculate the appropriate amount of explosives to use in certain situations. From the report:

"Our reporter was taken to the camp by Eden Pastora, the famous "Commander Zero" of last summer's attack on the National Palace in Managau . . . The guerrillas are almost all young. Many are seasoned combat veterans by the time they reach age 20. . . . The guerrillas sleep in an area that doubles as a classroom during daylight hours. The day begins at 4:45am . . . The Commandos train in the field until the afternoon rains arrive, and they take cover for weapons instruction. Men who have never mastered simple mathematics are trained to compute such essential calculations such as the proper charge to blow up a bridge, or a tree, or a house. The training does not end at nightfall. Squads of men hold political meetings to discuss the principles for which they are fighting."

As the quote demonstrates, guerrillas living in the camps in 1978 were learning mathematics, albeit for military purposes, but nonetheless received some education. This supports my coding of the FSLN as providing exclusive services to only combatants in 1978. Because no other texts mention the FSLN providing services outside its core guerrilla camp, we can be reasonably confident that education was primarily restricted to group members.

2. Exclusive provision to only supporters and combatants: Zimbabwe's African People's Union (ZAPU). The case of ZAPU represents an insurgency that provided services to supporters and members. ZAPU provided these services within a refugee camp, the only place they were able to hold territory.<sup>39</sup> During the war, "the Rhodesian regime retaliated ever more viciously and the civilians became the victims. The majority supported the guerrillas" and they fled across the borders where they became "ZAPU refugees" primarily in one of two refugee camps: Victory Camp and JZ Moyo Camp.<sup>40</sup> Though the camp was poorly equipped initially, "ZAPU did eventually have good medical provision for

<sup>&</sup>lt;sup>39</sup>Cunningham, Gleditsch, and Salehyan 2009

<sup>&</sup>lt;sup>40</sup>South African History Archive 2016

the refugees in the camps and did make an effort to avoid those problems which might be caused by poor sanitation or poor hygiene." <sup>41</sup> ZAPU did not control territory outside of the refugee camp, and no other textual evidence indicated more extensive or inclusive provision elsewhere. As is clear from the text, ZAPU supporters fled to the refugee camp and became "ZAPU refugees." Because ZAPU only provided to supporters and members, its services are exclusive.

3. Exclusive provision to neutral civilians: The Ethiopian People's Revolutionary Front (EPRP). The EPRP was a communist group. Therefore, the EPRP's primary political base, the people most likely to support the EPRP's political platform and the people most likely to be targeted for recruitment were rural peasants. For peasants lacking any education, the EPRP conducted literacy campaigns while more advanced recruits "conducted political discussion sessions on a regular basis and departments prepared reading materials." 42 In addition, the EPRP "had its own clinic where members and peasants were treated. The clinics were staffed by medical doctors, pharmacists, qualified health officers and nurses." <sup>43</sup> One of the common treatments the EPRP administered to both its members as well as civilians was acupuncture. Acupuncture was "introduced by [EPRP] members who had been trained by Chinese medical groups in South Yemen who in turn trained others." 44 The EPRP gained considerable popularity among the peasantry because of its acupuncture treatments and their seeming effectiveness. Moreover, the EPRP in the western part of the Begemidir province of Ethiopia also established abortion clinics. 45 The text here demonstrates that the EPRP provided services to neutral civilians and members, making the distinction in the text between "members" and "peasants." The text itself was written by a member of the EPRP as well, and thus had inside knowledge of the EPRP's behavior. More inclusive provision was not mentioned in any other texts by the EPRP, and thus we can be assured that the EPRP's provision though extensive, was nonetheless exclusive.

<sup>&</sup>lt;sup>41</sup>South African History Archive 2016

<sup>&</sup>lt;sup>42</sup>Tadesse 1998, 366

<sup>&</sup>lt;sup>43</sup>Tadesse 1998, 368

<sup>&</sup>lt;sup>44</sup>Tadesse 1998, 368

<sup>&</sup>lt;sup>45</sup>Tadesse 1998, 369

4. Inclusive provision to unlikely joiners: The Eritrean People's Liberation Front (EPLF). Eritrea has nine different ethnicities and two major religions (Islam and Christianity). Ethnicities tended to fall along religious lines. The EPLF was primarily a Christian organization and drew many of its core supporters from co-religionists. The EPLF also fought against the rival secessionist organization, the Eritrean Liberation Front (ELF). The ELF was primarily Muslim. Therefore, Muslims would be unlikely supporters. Moreover, the EPLF was a communist organization, so conservative, wealthier merchants and businesspeople were also unlikely supporters. Finally people living in towns previously controlled by the ELF or people who were ELF supporters would be unlikely to join or support the EPLF. Pool<sup>46</sup> provides an overview of the EPLF's 1977 conquest of a town in Eritrea called Keren. Keren was very conservative, wealthy and had a larger Muslim population. Additionally, the ELF, the main rival of the EPLF, had previously maintained a significant presence and support there. 47 and the ELF propaganda had led some of the local population to nickname the EPLF the "Eritrean Derg." 48 As a result, Keren "was a more difficult proposition" for liberation by the EPLF than other towns. 49 Despite these challenges, the EPLF proceeded with liberation and reconstruction, and implemented a series of wage reforms, price controls, taxes and education and healthcare initiatives that became very popular. One member of the town:

"[S]tate[d] that even the bourgeoisie was pleased with liberation. They had expected the EPLF to be like the Derg, but it was not because their property and riches were preserved. The EPLF placed great stress on the provision of services. Keren hospital, badly damaged during the fighting, was repaired and reopened. An EPLF clinic with an EPLF doctor was attached to the hospital and medical supplies were brought from the central pharmacy. Increasingly, rural people with serious conditions were referred to Keren hospital by squad doctors operating in the surrounding areas. Schools were reopened and continued to function

 $<sup>^{46}</sup>$ Pool 2001

<sup>&</sup>lt;sup>47</sup>Pool 2001, 121

<sup>&</sup>lt;sup>48</sup>Pool 2001, 123

<sup>&</sup>lt;sup>49</sup>Pool 2001, 123

despite the fact that teachers' salaries were not coming through from Asmara [the Ethiopian-controlled capital of Eritrea]. The EPLF succeeded to the array of local taxes and levies collected by the Ethiopian government: rent from nationalized properties, charges for veterinary checks on animals brought for sale in the market, for example. Ethiopian taxes were diverted for the provision of goods and services." <sup>50</sup>

The quote above demonstrates that the EPLF provided services to unlikely supporters or joiners of the rebel group, and this was a systematic approach to governance. In addition to providing to unlikely joiners, the EPLF also provided education and healthcare to *Ethiopian* prisoners of war.<sup>51</sup> Because these soldiers were Ethiopian and would never benefit from the Eritrean after the war, this is another indication of provision to people unlikely to support the rebel group.

## External Validation and False Positives

I turn to two existing datasets to externally validate the coding results, to address concerns of bias in coding arising from the hand-collected nature of the dataset. If the data I collected correspond to these existing datasets well, then confidence in my coding procedures should be improved. Nation-states are the traditional providers of inclusive services, especially healthcare and education. One may anticipate that insurgencies that provide inclusive goods behave as if they are states. Thus, insurgencies that provide inclusive goods may appear in the De Facto States in International Politics (1945-2011) Dataset.<sup>52</sup> Florea<sup>53</sup> lists 34 de facto states and of these, 21 are also included in the NSA Dataset as either insurgencies or the de facto states that are products of insurgencies.<sup>54</sup> Among this group of 21 de facto states, over 80% are coded as providing inclusive goods (16 groups). Furthermore, Kalyvas and Balcells (2010) argue that some civil wars are conventional civil wars where "military confrontation is direct, either across well-defined front-

 $^{50}$ Pool 2001, 124-5

<sup>&</sup>lt;sup>51</sup>Wilson 1991, 91

 $<sup>^{52}</sup>$ Florea 2014

 $<sup>^{53}</sup>$ Florea 2014, 5-6

<sup>&</sup>lt;sup>54</sup>For example the Karen National Union in the NSA Dataset created what Florea calls "the Karen State," and what the Karens call "Kawthoolei."

lines or between armed columns."<sup>55</sup> One would predict that insurgencies that provide inclusive services could be acting as if they were states, and thus engage in conventional warfare as states do. Of the 46 conventional wars, insurgencies provided inclusive services in 15 of these.<sup>56</sup> The correlation between these two datasets and the *Inclusive Service Provision* variable offers external support for the reliability of the data.

These data are also highly responsive to instances that appear to be inclusive provision when rebel groups in fact exclude certain constituencies from the insurgencies' services. These occurrences are most likely to arise in two ways. First, an insurgency may provide services to anyone within a town or territory it controlled, but only after expelling or killing anyone outside the insurgency's core political constituency. These insurgencies are coded as providing exclusive services. Second, when civilians move to refugee camps during wartime, they may choose from several different locations. People who support the insurgency might move to the camp that an insurgent group controls, while people who support the government may move to camps the government controls. As a result, when the insurgency provides social services in the refugee camp, it appears that the insurgency is providing to everyone when it really provides to supporters, creating a false-positive. To address this issue, I examine the demographics of the refugee camp. If the refugee camp population contains more than 90% of people who are likely to support the insurgency (co-ethnics, co-religionists, etc.), I do not code this group as providing inclusive services.

## Descriptive Overview of Insurgent Social Services Dataset

The Insurgent Social Services Dataset contains 313 unique rebel groups. Of these, 104 insurgent groups provided some form of education, or approximately 33% of rebel groups provided any education between 1945 and 2003. Nearly 49%, or 154 groups, provided no education, and 55 groups have missing observations (18%).

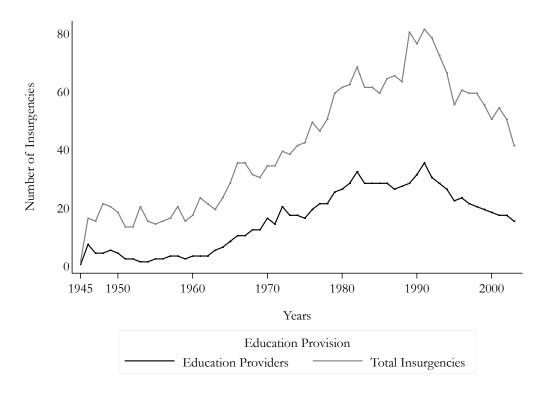
Correspondingly, approximately 102 groups provided healthcare, meaning that about 33% of insurgencies provided healthcare, while 148 insurgencies provided nothing, or 45%. For 63 groups, or 21% of insurgencies, the data are missing.

<sup>&</sup>lt;sup>55</sup>Kalyvas and Balcells 2010, 419

 $<sup>^{56}</sup>$ Kalyvas and Balcells 2010

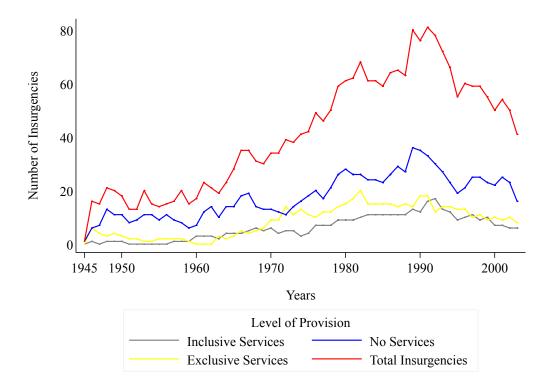
Most insurgencies either provided no education and no healthcare, or provided both education and healthcare. Just 7% of insurgencies provided healthcare but not education, and just 5% of insurgencies provided just education but not healthcare. About 95% of groups that provided education inclusively also provided and healthcare inclusively.

Figure A.13: Annual Total Insurgent Education Provision, Globally 1945-2003



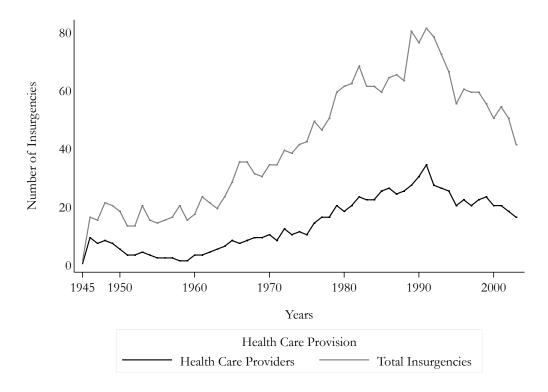
Note: The figure demonstrates the number of insurgencies providing education globally from 1945-2003.

Figure A.14: Annual Insurgent Education Provision, Globally 1945-2003



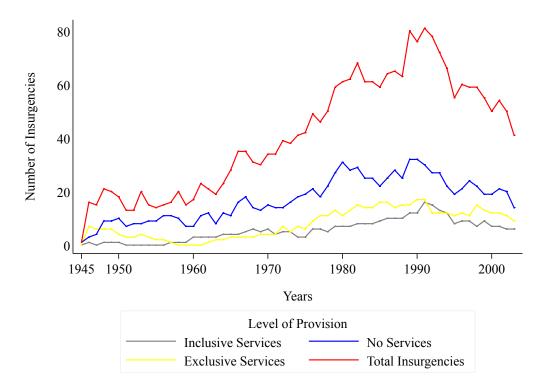
Note: The figure demonstrates the annual level of insurgent education provision globally from 1945-2003.

Figure A.15: Annual Total Insurgent Healthcare Provision, Globally 1945-2003



Note: The figure demonstrates the number of insurgencies providing healthcare globally from 1945-2003.

Figure A.16: Annual Insurgent Healthcare Provision, Globally 1945-2003



Note: The figure demonstrates the annual level of insurgent healthcare provision globally from 1945-2003.