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Turret-index optimisation with mathematical programming and metaheuristic approaches

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ABSTRACT

This paper addresses the turret-index optimisation problem by proposing two mathematical programming approaches based on quadratic programming (QP) and constraint programming (CP). In addition, a metaheuristic algorithm based on weighted superposition attraction (WSA) is developed due to the combinatorial complexity of the problem. Despite attempting to linearise the QP formulation for problem resolution, both the QP and Linearized QP models prove ineffective for medium and larger-sized instances, providing solutions only for small-sized problems. In the second mathematical programming approach, a novel CP model is introduced in the literature. While CP can rapidly offer optimal solutions for small-sized problems, it only provides satisfactory solutions for medium and larger-sized problems within the predetermined computational time limits and does not guarantee optimal results. On the other hand, through computational analysis and relevant statistical tests, the proposed WSA algorithm provides the best solutions for all test problems within a reasonable computational time.

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SDG 9; industry; turret-index optimization; constraint programming; integer programming; weighted superposition attraction algorithm

1. Introduction

The turret-index optimisation problem was initially identified by Dereli et al. (1998) during their investigations on a manufacturing process planning. They aimed to reduce non-machining times further when creating optimised process plans for mechanical components (Dereli and Baykasoglu 2005). Indexing within the automatic tool changer (ATC) of CNC (Computerized Numerical Control) machines involves the automatic positioning and/or changing of tools on the ATC in response to commands within the part programme. This definition may vary slightly depending on the type of ATC apparatus used, such as turret, disk, drum, or chain mechanisms. This study specifically focuses on turrettype ATC magazines. In turret-type ATCs, there is no need to manually load or unload cutting tools after they have been loaded into the turret magazine of a CNC machine (Dereli and Filiz 2000). Turret-indexing refers to the positioning of the turret, and turret-indexing time (from tool-to-tool or face-to-face) can be defined as the duration for a turret magazine to move between two adjacent slots (stations) or tools, as illustrated in Figure 1. The turret-index optimisation problem is different from the minimisation of tool switches problem (MTSP). In MTSP, the capacity of the ATC is limited, which means

that the total number of required tools exceeds this capacity. As a result, in order to accommodate the requirements of upcoming parts, some tools may need to be substituted or switched with others, while keeping some tools in their current positions. The MTSP addresses two interconnected problems: sequencing and tooling. The main goal is to determine the optimal sequence of parts in order to minimise the frequency of tool switches. On the other hand, the turret-index optimisation problem (also known as the ATC indexing problem) focuses on finding the best allocation of the required cutting tools in the slots of a turret magazine on a CNC machine (Baykasoglu and Ozsoydan 2017). Therefore, the turret-index optimisation problem specifically deals with deciding the slot indexing of tools, and it differs from the MTSP.

Dereli et al. (1998) mentioned and discussed that the turret-index optimisation problem is combinatorily difficult and resembles the famous travelling sales-rson problem. Therefore, they presented a solution approach by making use of the genetic algorithm implementation on an example problem. Subsequently, Dereli and Filiz (2000) further refined their genetic algorithm approach and demonstrated its applicability in integrating the turret-index optimisation problem into the generation of process plans for mechanical components. After

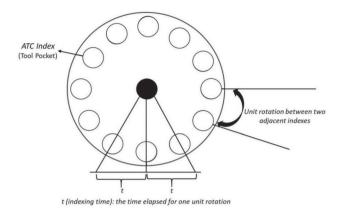


Figure 1. Tool-indexing on a turret (Dereli and Filiz 2000).

these preliminary studies, a few authors also considered the present turret-index optimisation problem. Krishna and Rao (2006) presented an ant colony algorithm for the problem by providing a single example. Ghosh (2016ac) formulated the turret-index optimisation problem as a single-row facility layout problem and applied several metaheuristic algorithms like tabu search and genetic algorithm for its solutions by making use of several data sets, including those provided by Anjos, Kennings, and Vannelli (2005) and Anjos and Yen (2009). Atta, Mahapatra, and Mukhopadhyay (2019) presented several versions of the harmony search algorithm for solving the present turret-index optimisation problem. They also presented a comparative study with other metaheuristic algorithms that were developed by Ghosh (2016a-c) and reported the best-known solutions so far in the literature.

The present turret-index optimisation problem was also extended by Baykasoglu and Dereli (2004) by considering cutting tool duplications. Later, Baykasoglu and Ozsoydan (2016) improved this study by introducing a new method for calculating the objective function via a shortest-path algorithm. Amouzgar, Nourmohammadi, and Ng (2021) also considered this extended problem and presented a multi-objective mathematical model and a genetic algorithm for its solution. We need to mention here that tool duplications are not considered in this study.

The present study contributes to the literature by presenting a constraint-programming model and a new metaheuristic algorithm based on Weighted Superposition Attraction (WSA), both for the first time in the literature. Detailed computation studies with mathematical programming models and WSA are also performed, and some of the best-known results are improved. Moreover, it is shown that WSA is able to provide the best results for all test problem instances. Results are also verified with statistical tests.

The remainder of this paper is organised as follows: Section 2 presents the problem definition, Section 3 outlines modelling and solution approaches, computational studies are reported in Section 4, and finally paper concludes in Section 5.

2. Problem definition

The turret-index optimisation problem can be described as identifying the optimal positions for cutting tools within the tool magazines of a CNC machine tool, such as an ATC or Turret Magazine (Dereli et al. 1998). Finding the most suitable positions for cutting tools within the turret or magazine of CNC machine tools is an important task for developing optimal process plans in component machining. As an example, consider the component presented in Figure 2, which contains 16 operations (O1-O16). These operations need ten different cutting tools (T1-T10), as also depicted in Figure 2. A suitable process plan for this component is shown in Figure 3, which contains information about operation sequences, operation numbers, and corresponding cutting tools (Dereli 1998). This component is planned to be manufactured on a CNC machine, which has a 10-station turret. Rotation of the turret is necessary as the component has 16 operations, and some consecutive operations need different cutting tools. As can be seen from Figure 3, 11 rotations are required (rotations are indicated by curved arrows in Figure 3). In order to develop optimisation models for the turret-index optimisation problem, frequency and distance matrices need to be generated from the aforementioned process-planning information.

Generating frequency and distance matrices: Frequency matrices are generated from the process plans of components by counting consecutive usage of different cutting tool types (see Figure 3). The frequency matrix of the example component that is shown in Figure 2 with its process plan in Figure 3 is depicted in Table 1.

For example, Operation 2 (O2) uses Tool Type 1 (T1), while O3 uses T5. Therefore, the cutting tool is changed from T1 to T5 after completing O2. Since there are no additional transitions between T1 and T5 in the process plan, the frequency of transitions between these tool types remains at 1. If, in the process plan (Figure 3), the tool type to be used in O12 were T7 instead of T9, there would be a total of two transitions from T9 to T7 (O9-O10 and O11-O12). Consequently, the frequency of transitions between T9 and T7 would be 2, reflecting this value in the frequency matrix shown in Table 1.

To compute the distance (i.e. the minimum number of rotations) between slots in turrets with bi-directional indexing capability, we need to consider the absolute differences in calculating the number of unit rotations from

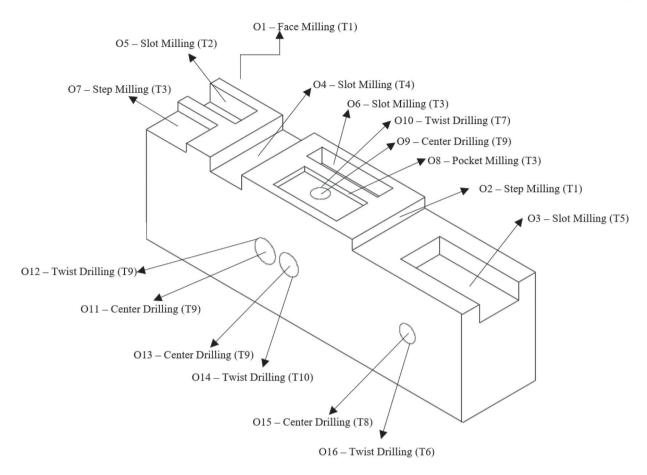


Figure 2. Example component with operations and cutting tools (adapted from (Dereli 1998)).

| Operation Seq. | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|----------------|----|----|----|----|----|----|-----|----|----|----|-----|-----|-----|-----|---------|-----|
| Operation No. | O1 | O2 | О3 | O4 | O5 | 09 | O10 | O8 | O6 | O7 | O11 | O12 | O13 | O14 | O15 | O16 |
| Cutting Tools | T1 | T1 | T5 | T4 | T2 | Т9 | T7 | Т3 | Т3 | T4 | Т9 | Т9 | Т9 | T10 | Т8 | Т6 |
| _ | | | | | | | | | | | | | | | | |

Figure 3. Process plan for the example component.

the current tool to the target tool. The difference between the two consecutive slot indexes (i and j) must be calculated in such a way that its value should be equal to or smaller than half of the turret capacity (n). For instance, for a turret with 10 slots (n = 10), the minimum distance between slot index 1 (S1) and slot index 7 (S7) is 4 and the

maximum distance between S1 and S7 is 6 due to its bidirectional indexing capability. Since the half of the turret capacity is 5, the distance between S1 and S7 becomes 4 for a turret with 10 slots. Using these definitions, the distance matrix ($dist_{ij}$) for the example turret (bi-directional indexing capability) with n slots can be determined by

Table 1. Frequency matrix of the example component.

| | T1 | T2 | Т3 | T4 | T5 | T6 | T7 | Т8 | T9 | T10 |
|-----|----|----|----|----|----|----|----|----|----|-----|
| T1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| T2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| T3 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| T4 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| T5 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| T6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T7 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T8 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| T9 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 |
| T10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |

S1 S2 **S7** S8 S9 S10 56 S1 0 2 5 3 2 S2 3 **S**3 2 0 3 5 4 3 1 S4 5 3 2 n 3 4 S5 3 3 4 S6 0 2 3 5 1 S7 5 2 S8 3 4 5 4 3 2 0 1 2 S9 3 3 0 n

m

Table 2. Distance matrix between slots (S_i, S_i) for the example bi-directional turret.

using Equation (1).

$$dist_{ij} = \min\{|j - i|, |i + n - j|\}$$
 (1)

The distance matrix for n = 10 is presented in Table 2.

3. Modelling and solution approaches

Three solution approaches are proposed to solve the present turret-index optimisation problem. The first approach implements a quadratic assignment formulation that was inspired by the mathematical model of the single-row facility layout problem (Ghosh 2016a, 2016b, 2016c). This model is then linearised and used for the problem solution. The second approach employs a constraint programming formulation for the turret-index optimisation for the first time in the related literature. Due to the combinatorial complexity of the problem (Dereli and Filiz 2000), a new solution approach that is based on a recent metaheuristic algorithm, which is known as WSA, is utilised as the third optimisation strategy. Each employed optimisation approach is explained in the following sub-sections. Table 3 describes the notations used in the solution approaches.

3.1. Quadratic assignment formulation of the turret-index optimisation problem

The present turret-index optimisation problem can be formulated as a quadratic assignment problem similar to the single-row facility layout problem, as also mentioned by Ghosh (2016a). The model is given by Equations (2)-(5):

$$\min \sum_{i \in S} \sum_{\substack{j \in S \\ j \neq i}} \sum_{k \in T} \sum_{\substack{l \in T \\ l \neq k}} t dist_{ij} f_{kl} y_{ik} y_{jl}$$
 (2)

Subject to

$$\sum_{i \in S} y_{ik} = 1 \ \forall k \in T \tag{3}$$

$$\sum_{k \in T} y_{ik} \le 1 \,\forall i \in S \tag{4}$$

Table 3. Nomenclature for indices, parameters and decision

Number of tools

| n | Number of slots, $m \leq n$ |
|--------------------|--|
| Τ | Set of cutting tools, $\overline{T} = \{1,, m\}$ |
| S | Set of slots, $S = \{1,, n\}$ |
| i, j | The indices for slots |
| k, I | The indices for tools |
| f_{mxm} | Frequency matrix for the tools, denoting the frequencies of all the |
| | pairs |
| dist _{ii} | The minimum distance (i.e. the minimum number of rotations) |
| • | between the slot $i \in S$ and the slot $j \in S$ |
| t | Indexing time between two adjacent slots |
| Yik | 1, if the slot $i \in S$ is occupied by the tool $k \in T$; 0, otherwise |
| Z _{ijkl} | 1, if the slot $i \in S$ is occupied by the tool $k \in T$ and slot $j \in S$ is |
| • | occupied by the tool $I \in T$; 0, otherwise |
| H_1 | Set of the first half of the slots on the turret magazine $H_1 \subset$ |
| | $S_1H_1 = \{1, 2, \dots, n/2\}$ |
| H_2 | Set of the second half of the slots on the turret magazine $H_2 \subset$ |
| | $S_1H_2 = \{n/2 + 1, n/2 + 2,, n\}$ |
| u_k | The slot index where the tool $k \in T$ is occupied |
| Xi | 1, if the slot $i \in S$ is occupied by a cutting tool; 0, otherwise |
| τ | Intensification and diversification parameter of WSA |

$$y_{ik} \in \{0, 1\} \ \forall i \in S, \ \forall k \in T \tag{5}$$

The objective function Equation (2) minimises the total tool-indexing time. Constraint set (3) guarantees that each tool is assigned to one slot, and constraint set (4) ensures that each slot can accommodate at most one tool. Constraint set (5) defines the domain of the decision variables.

The objective function (2) is in the quadratic form; therefore, it is non-linear. However, it can be linearised by using various methods. In this study, we employ the approach of Christofides, Mingozzi, and Toth (1980). The linearised model is represented by Equations (3)-(8).

$$\min \sum_{i \in S} \sum_{\substack{j \in S \\ j \neq i}} \sum_{k \in T} \sum_{\substack{l \in T \\ l \neq k}} t \, dist_{ij} \, f_{kl} \, z_{ijkl} \tag{6}$$

Subject to

$$z_{ijkl} \ge y_{ik} + y_{jl} - 1 \quad \forall i \in S, \ \forall j \in S, \ \forall k \in T, \ \forall l \in T$$
(7)

$$z_{ijkl} \in \{0, 1\} \quad \forall i \in S, \ \forall j \in S, \ \forall k \in T, \ \forall l \in T$$
 (8)

& constraints (3)-(5).



The additional constraint set (7) defines the value of the decision variable z_{ijkl} in relation to the values of y_{ik} and y_{jl} . Constraint set (8) defines the domain of the decision variable z_{ijkl} .

3.2. Constraint programming formulation of the turret-index optimisation problem

Due to the effectiveness of constraint programming methods in addressing challenging combinatorial optimisation problems (Schiex and De Givry 2019), this study proposes a constraint programming formulation for the turret-index optimisation problem for the first time in the literature. The equations for the constraint programming formulation are outlined in Equations (9)-(16).

$$\min \sum_{k \in T} \sum_{\substack{l \in T \\ k \neq l}} t \, dist_{u_k u_l} f_{kl} \tag{9}$$

Subject to

$$all_different\{u_1, u_2, ..., u_m\}$$
 (10)

$$\max\{u_1, u_2, ..., u_m\} = m \tag{11}$$

$$\min \{u_1, u_2, ..., u_m\} = 1 \tag{12}$$

$$x_{u_k} = 1 \quad \forall k \in T \tag{13}$$

$$\sum_{i \in S} x_i = m \tag{14}$$

$$\sum_{i \in H_2} x_i \le \sum_{i \in H_1} x_i \tag{15}$$

$$x_i \in \{0, 1\} \quad \forall i \in S \tag{16}$$

The objective function Equation (9) minimises the total tool-indexing time. Constraint set (10) represents a global constraint that mandates the allocation of each tool to a distinct slot, where u_k is the slot index where the tool $k \in T$ is occupied. This constraint guarantees that each tool is assigned to only one slot, and each slot is occupied by at most one tool. Constraints (11)-(15) have been redundantly added to further narrow the solution space by enhancing constraint propagation. Constraints (11) and (12) gurantee that each tool is consecutively positioned on the turret magazine. Constraints (13) and (14) serve to optimise the propagation of constraints and enhance solution efficiency. Constraint set (13) determines whether a slot is loaded or not, while constraint (14) ensures that the total number of loaded slots equals the number of tools. Constraint (15) functions as a symmetry-breaking constraint, and constraint set (16) defines the domain set of the decision variable x.

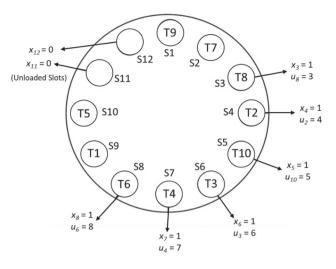


Figure 4. Illustration of the optimal solution of CP for instance O-10 t (10 tools and 12 slots.

3.2.1. An example solution for the CP formulation

The CP formulation is solved for the instance (O-10_t) that contains 10 tools and 12 slots. The values of the decision variables in the optimal solution are given in Table 4 and an illustration of the optimal solution is depicted in Figure 4.

3.3. WSA for the turret-index optimisation problem

WSA is a member of swarm intelligence-based metaheuristic algorithms designed to tackle complex optimisation problems. It has gained prominence since its introduction by Baykasoglu and Akpinar (2015). WSA has been applied to various combinatorial optimisation problems, including resource-constrained project scheduling, permutation flow-shop scheduling, facility layout etc. (Baykasoglu and Senol 2019; Baykasoglu and Subulan 2020). The underlying principles of WSA are rooted in physics-inspired concepts, specifically 'superposition' and the 'attracted movement of agents.' Superposition involves a weighted composition of solution vectors, where higher-quality solutions have greater weights, reflecting higher probabilities of contributing to the superposition. After the superposition of solution vectors is determined, solution vectors may be drawn towards superposition based on their attractiveness. In the WSA algorithm, a solution vector undergoes a random move if its fitness surpasses that of the superposition; otherwise, it adjusts its position towards the superposition.

3.3.1. Main steps of the WSA algorithm:

i. *initialization*: During this stage, a user-specified number of solutions are randomly generated, and their corresponding fitness values are calculated.

Table 4. The optimal result of CP for instance O-10_t (10 tools and 12 slots).

| Objective Value | 2544 | | | | | | | | | | | |
|----------------------|-----------------------|-----------------------|-----------------------|-----------------------|------------|-----------------------|------------|------------|------------|------------------------|------------------------|-----------------|
| Decision Variable, x | <i>x</i> ₁ | <i>x</i> ₂ | <i>X</i> ₃ | <i>X</i> ₄ | <i>X</i> 5 | <i>x</i> ₆ | <i>X</i> 7 | <i>X</i> 8 | X 9 | <i>X</i> ₁₀ | <i>X</i> ₁₁ | X ₁₂ |
| Optimal Value | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 |
| Decision Variable, u | u_1 | u_2 | u_3 | <i>U</i> 4 | u_5 | u_6 | u_7 | u_8 | U 9 | u_{10} | | |
| Optimal Value | 9 | 4 | 6 | 7 | 10 | 8 | 2 | 3 | 1 | 5 | | |

The parameters for the WSA algorithm, including population size, maximum number of iterations, and τ , are also defined at this stage. It is important to mention that the only algorithm-specific parameter for WSA is τ . In the context of the turret-index optimisation problem, a solution vector is represented as a permutation of integers. Refer to Figure 5 for an illustration of a solution vector, where each entry denotes the assignment of a cutting tool to the corresponding turret index (slot).

- ii. *Fitness function calculation*: Equation (2) is used to calculate the fitness of solution vectors.
- iii. Determination of superposition: The procedure for determining the superposition in combinatorial search spaces involves a biased randomised sampling method (Baykasoglu and Senol 2019). The process unfolds as follows:
 - Begin with an empty vector, which will later become the superposition vector.
 - Generate a random number vector in the range [0, 1], with the length of this vector matching the length of the solution/superposition vector. Each position in the random vector corresponds to a position in the solution/superposition vector.
 - Compare the previously calculated rank-based weights of each solution vector with the elements of the random vector. The rank-based weights are computed as $weight(i) = i^{-\tau}$, where i represents the rank of the solution vector (the solution vector with the best fitness value obtains the first rank in determining the superposition). τ is a critical control parameter of the WSA algorithm, typically set to 0.8 to strike a good balance between intensification and diversification (Baykasoglu 2024). Higher τ values lead to a more greedy behaviour, favouring high-quality solutions in the superposition, while lower values introduce more randomness.
 - If a solution vector's rank-based weight is greater than the corresponding element of the random vector, the related solution vector's element becomes a candidate for the empty position in the superposition vector. This process is repeated for all elements of the solution vector.
 - Once the candidate list for the empty position is completed, reevaluate these candidates using a

- roulette wheel approach to determine the winning candidate element. The selected winner is marked as chosen and blocked in all solution vectors in the population, preventing it from being selected again for the remaining empty positions in the superposition vector.
- Repeat this iterative process until all empty positions in the superposition vector are filled. In some iterations, it may be impossible to find a candidate element for assignment. In such cases, a random element is chosen from the unchecked elements for the corresponding empty position.
- This iterative procedure provides a probabilistic chance for solution vectors to determine the superposition vector based on their fitness. Fitter solution vectors have a higher probability of occupying more positions in the superposition vector.
- Neighborhood generation: After the composition of the superposition vector, its fitness value is calculated. Essentially, each solution vector decides its next move by evaluating its fitness in relation to the fitness of the superposition vector. Subsequently, each solution vector determines whether to move towards the superposition or not. This decision is based on comparing the fitness value of the superposition vector with that of the respective solution vector. If the superposition vector exhibits a superior fitness compared to the solution vector being considered, the latter will move towards it through the execution of a specially devised move operator known as linear order-crossover (LOX). Conversely, if the superposition vector's fitness is inferior, the solution vector will move randomly using a move operator. In this study, various well-known random move operators, including 'single swap,' 'two-block swap,' 'threeblock swap, 'inversion,' 'ejection chain,' 'single insertion,' and 'block insertion' are employed (Baykasoglu and Senol 2019). After all solution vectors have been relocated to their new positions through these procedures, a new superposition vector is determined. The iterations of the WSA algorithm then continue in pursuit of finding a better solution.

LOX crossover: The LOX crossover is deliberately chosen as the move mechanism in WSA due to its capability



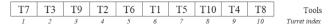


Figure 5. Solution representation for the turret-index optimisation problems.

to copy and transfer segments, enabling solution vectors to converge towards (*become more similar to*) the superposition vector. The LOX crossover method was developed by Falkenauer and Bouffouix (1991). In LOX, a segment chosen from the superposition vector is copied into the offspring (*the new position of the solution vector*). The empty places in the offspring are then filled with the missing elements while preserving the relative order of the solution vector, scanning from left to right. An illustrative example of the LOX crossover move is shown in Figure 6.

In this study, the length of the segment is randomly chosen between 1 and half of the superposition vector's length. The main steps of the WSA algorithm are shown in a flow chart in Figure 7.

An illustrative example for superposition determination: A detailed numerical example related to the superposition determination for the turret-index optimisation problem is presented in Figure 8.

Assume that there are six cutting tools to be assigned to six alternative turret indexes. For example, T3 is assigned to the second index in the first solution vector, which is also the fittest solution vector. In the ranking process, solutions are ordered from 'best to worst.' The parameter τ is set to 0.80, and random number for each dimension is [0.34, 0.52, 0.45, 0.62, 0.54, 0.48] for the empty places of the superposition vector, respectively. Based on these randomly generated threshold values, solution vectors that exceed these thresholds are defined and considered eligible for inclusion in the roulette wheel selection process. For instance, taking the first dimension as an example, the first three solution vectors are included in the roulette wheel selection. Assuming the first solution vector emerges as the winner, the first dimension of the superposition vector becomes T1. To prevent T1 from being selected again (which would create infeasibility for the problem), all instances of T1 in all solution vectors are disqualified as candidates (highlighted in grey in Figure 8, step-2). Applying a similar logic, the second dimension of the superposition vector is determined to be T3. This process is iterated for the remaining dimensions to ascertain the complete superposition vector. It is important to note that the first-ranked solution vector always qualifies for roulette wheel selection, as any power of unity equals unity. Therefore, elements from the fittest solution vector always stand a chance of occupying positions in the superposition vector. Probabilities for

other candidates are regulated by τ . As mentioned earlier, lower values of τ increase the likelihood of poor-quality solution vectors contributing elements to the superposition vector, resulting in a more randomised search. Conversely, higher values of τ lead to a greedier search characteristic.

4. Computational study

In this section, we apply the quadratic assignment model (QP), the linearised quadratic assignment model (LQP), the newly proposed constraint-programming model (CP), and the proposed WSA metaheuristic algorithm to the present turret-index optimisation problem. Moreover, valid inequalities (11)-(15) from the CP model have been introduced into the LQP model as Constraint (17).

$$\sum_{k \in T} y_{ik} = 0 \ i \in S, \ i > m \tag{17}$$

Adding valid inequalities to the mathematical model can effectively reduce the solution space and enhance computational performance. Constraint (17) ensures that no tool is placed on slot indexes greater than the number of available tools. The linearised model with valid inequalities (LQP_vi) is represented by Equations (3)-(8) and constraint (17).

The QP, LQP_vi and CP models are implemented using IBM ILOG CPLEX OPL 22.1 and run on a PC with an Intel Core i7 (4.00 GHz) processor, 16 GB RAM, and a Windows 10 operating system. The IBM ILOG CPLEX OPL implementation codes for the QP, LQP- LQP_vi and CP models are provided in Appendix A-C, respectively. The WSA algorithm is coded using the Matlab 2020a software, and optimisation results are obtained using the same computer. We use several test instances available from the following web page (http://kucse.in/tip) to test and compare the performances of the proposed modelling and solution approaches. These test problems were generated by Anjos, Kennings, and Vannelli (2005) and Anjos and Yen (2009) for the single-row facility layout problems and adapted to the present turret-index optimisation problem by Ghosh (2016a, 2016b, 2016c) and Atta, Mahapatra, and Mukhopadhyay (2019). Atta, Mahapatra, and Mukhopadhyay (2019) also developed two efficient harmony search-based metaheuristics for the problem and provided a comparative study with several other metaheuristics (Tabu Search & Tabu Search with Lin Kernighan neighbourhood structures (Ghosh 2016a, 2016b); Genetic Algorithm (Ghosh 2016c)) by reporting the best-known results in the literature. The best results in the literature were generated by Atta, Mahapatra, and Mukhopadhyay (2019) harmony search algorithm with a harmony refinement strategy (HS_RS).

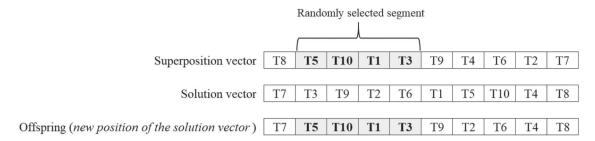


Figure 6. LOX crossover move.

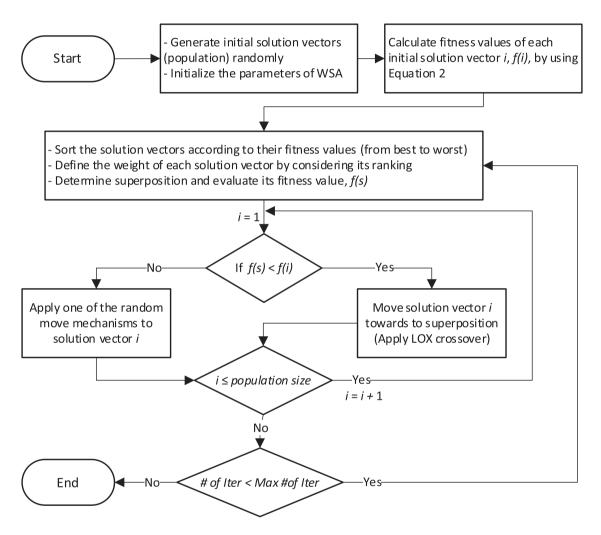


Figure 7. The flowchart of the WSA.

The proposed WSA algorithm is run with the following parameters for all test problems: $\tau=0.8$, Population size = 15, maximum number of iterations = 10000. Several other parameter settings are also used; however, it was observed that WSA provides the same results if τ is set between 0.8-1.5 and population size between 10-25. We did not stop the WSA algorithm after convergence due to considerable differences in test problem sizes. We wait until the maximum number of iterations is met; nevertheless, WSA quickly converges especially for small and

medium-sized test problems. We also need to mention here that we took 30 runs for each problem instance. The WSA exhibits a remarkably high converging capability owing to its ability to attract solutions towards superposition (Baykasoglu and Baykasoglu 2020, 2021). Therefore, as noted by Baykasoglu and Baykasoglu (2020, 2021) the standard deviation is usually small as also observed in this study. We report the best results, along with the standard deviations and computational times, after 10,000 iterations. Moreover, we set a 120 minutes time limit for

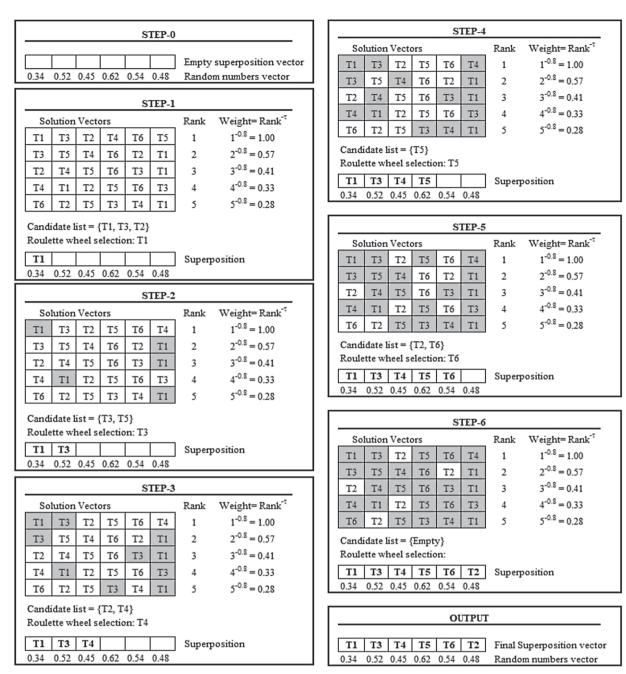


Figure 8. Superposition determination for turret-index optimisation problem.

QP, LQP, and CP models. In order to make direct comparison with the results reported in the literature, the indexing time between two adjacent slots, *t* is set to 1.

4.1. Testing and comparing solution approaches for small and medium sized problem instances

Selected instances from the benchmark data sets (O, S, Y) of Atta, Mahapatra, and Mukhopadhyay (2019) are used to analyse and compare the performances of the QP, LQP, LQP_vi, the proposed CP model, the proposed WSA algorithm and the best results reported in the literature.

Relatively smaller test instances are selected from benchmark data sets (S and Y) and all (O) instances, because, the CPLEX OPL solver is unable to provide results for larger-sized problem instances in a reasonable time for QP, LQP, and LQP_vi models Results of the computational tests (under a time limit of 120 minutes) for exact solution methods, along with the best results reported in the literature, are presented in Table 5. The exact solution methods are also evaluated under a shorter time limit to assess their performance. The QP, LQP, and LQP_vi models are executed within the time when the CP model first detects the best solution (in seconds) and within 5

minutes (300 s). The size of the models and computational test results under these time limits are shown in Table 6 for QP, LQP, LQP_vi, and CP models. Results for the metaheuristic approaches, along with the best results reported in the literature, are presented in Table 7. (The best results are highlighted in bold in Tables 5–7).

As can be seen from Table 5, the proposed CP model generated the best results among exact solution approaches for 31 test problems. The CP model reported optimal results for 20 test problems out of 31 test problem instances, whereas QP reported 18 optimal results, LQP and LQP_vi reported 15 and 18 optimal results, respectively. Valid inequalities enable LQP_vi to achieve superior results in less computational time compared to LQP. CP is able to provide optimal solutions in considerably shorter computational times compared to QP, LQP, and LQP_vi.

The gap metric calculates the relative percentage deviation between the objective values obtained from the CP, QP, LQP, and LQP_vi methods, and the best values reported in the literature. A larger positive value for this gap signifies a poorer performance of the respective solution method. The gap can be calculated by using Equation (18).

$$GAP = \frac{Method_{obj} - BestObj}{BestObj} \times 100$$
 (18)

As shown in Table 6, the CP model, QP, LQP, and LQP_vi methods all reported one optimal result for the time when the best solution was first detected (in seconds). The QP model reported 10 best solutions for that time limit out of 31 instances, while LQP and LQP_vi reported 2 best solutions. Under a 5-minute time limit, QP reported 14 optimal and 23 best results, LQP reported 14 best (optimal) results, and LQP_vi reported 15 best (optimal) results. The CP model reported optimal results for 20 test problems and best results for all 31 instances within the defined times. Since the CP model provides the same results within the first solution time and within 5 minutes, the objective function values are depicted in a single column. The corresponding first solution times are also given in the last column of Table 6. It can be seen that the CP model demonstrates the highest performance among the exact solution methods

As can be seen from Table 7, since the objective values of the HS_RS and WSA algorithms are the same (with gap values equalling 0), these gaps are not displayed in Table 7. Comparing Tables 5-7, it is evident that WSA provides solutions in the shortest computational times when compared to exact solution methods (CP, QP, LQP, and LQP_vi).

On the other hand, CP, QP, LQP and LQP_vi were not able to provide optimal results for 11, 12, 16 and

13 instances, respectively. We can conclude that the proposed CP model and the proposed WSA algorithm are eligible to solve small/medium-sized turret-index optimisation problems as they can produce the best-known results in reasonable computational times reported in the literature.

4.2. Testing and comparing CP and WSA for larger problem instances

Selected larger instances from the benchmark data sets (Y) of Atta, Mahapatra, and Mukhopadhyay (2019) and data sets (Anjos and sko) of Anjos, Kennings, and Vannelli (2005) and Anjos and Yen (2009) are used to analyse and compare the performances of the proposed CP model, the proposed WSA algorithm, and the best results reported in the literature (HS_RS). The OPL CPLEX solver is unable to provide results for these problem instances in a reasonable time for QP, LQP and LQP vi models. The computational results for these test problem instances are reported in Table 8, with the best results are bolded.

As shown in Table 8, the proposed WSA algorithm generated the best results for all 34 test problem instances. Additionally, the WSA algorithm improves results for 15 problem instances. On the other hand, the CP model only provided a better result than HS_RS for only one problem instance (sko-36). For three problem instances (Y-30_t, Y-35_t, Anjos-60-01) CP and HS_RS provided the same results. For the remaining problem instances HS_RS provided better results than CP. Moreover, WSA demonstrated much better computational times compared to CP. The gap values of the HS_RS, CP and WSA are calculated using Equation (17) and the objective value of WSA is used as the best objective value in the equation. Consequently, the gap value of the WSA is 0, while the average gaps of the HS_RS and CP are 0.01 and 0.26, respectively.

In Appendix D, we present the performance comparisons of the proposed CP model and WSA algorithm with other metaheuristics in the literature for Anjos and sko instances. Specifically, we have included the results obtained by Ghosh (2016a, 2016b, 2016c) using various metaheuristic techniques in the columns TS, LS-LK, LS-LK1, TS-LK1, and DFGA. The TS column shows the outcomes obtained through the Tabu Search algorithm, while the LS-LK and LS-LK1 columns represent the results achieved by two heuristics based on Lin-Kernighan neighbourhood structures. In addition, the TS-LK1 column displays the results obtained by utilising a Tabu Search algorithm with the Lin-Kernighan neighbourhood structure, and the DFGA column showcases the results obtained using a customised Genetic

Table 5. Computational results of exact solution methods for small and medium sized test problem instances under a time limit of 120 min.

| | | | The best reported solution via metaheuristics (HS_RS) | - | Programming Model | | arized) Model | - | alid inequalitie _vi) Model | es Cons | traint Progra (CP) Mode | | | GAP | Values | |
|-----------|------------------------------|---------------------|---|---------------|----------------------|----------|-------------------|---------------|--------------------------------|----------|----------------------------|--------------------|------|------|--------|----|
| Instances | Number of tools (<i>m</i>) | Number of slots (n) | Obj. Val. | Obj.Val. | Sol.Time | Obj.Val. | Sol. Time | Obj.Val. | Sol. Time | Obj.Val. | Sol.Time | First Sol. Time | QP | LQP | LQP_vi | СР |
| 0-5 t | 5 | 5 | 248 | 248* | 0.03 | 248* | 0.05 | 248* | 0.05 | 248* | 0.04 | _ | 0 | 0 | 0 | 0 |
| 0-5_t | 5 | 10 | 300 | 300* | 0.55 | 300* | 0.17 | 300* | 0.06 | 300* | 0.05 | - | 0 | 0 | 0 | 0 |
| 0-6_t | 6 | 10 | 584 | 584 * | 3.47 | 584* | 0.25 | 58 4 * | 0.16 | 584* | 0.09 | - | 0 | 0 | 0 | 0 |
| 0-7_t | 7 | 10 | 936 | 936* | 15.7 | 936* | 0.69 | 936* | 0,42 | 936* | 0.5 | - | 0 | 0 | 0 | 0 |
|)-8_t | 8 | 10 | 1448 | 1448* | 68.61 | 1448* | 2.08 | 1448* | 1.34 | 1448* | 3.89 | 1.85 | 0 | 0 | 0 | 0 |
|)-9_t | 9 | 10 | 1732 | 1732* | 189.38 | 1732* | 5.56 | 1732* | 35.63 | 1732* | 39.24 | 1.79 | 0 | 0 | 0 | 0 |
| 0-10_t | 10 | 10 | 2264 | 2264* | 12.75 | 2264* | 21.34 | 2264* | 16.88 | 2264* | 339.45 | 1.95 | 0 | 0 | 0 | 0 |
| 0-10_t | 10 | 12 | 2544 | 2544* | 76.13 | 2544* | 87.41 | 2544* | 227 | 2544* | 586.84 | 2.38 | 0 | 0 | 0 | 0 |
| 0-10_t | 10 | 15 | 2756 | 2756* | 515.48 | 2756* | 5965.42 | 2756* | 244.7 | 2756* | 716.54 | 2.25 | 0 | 0 | 0 | 0 |
| 0-10_t | 10 | 20 | 2804 | 2804* | 931.94 | 2804 | TLim | 2804* | 179.34 | 2804* | 851.18 | 2.22 | 0 | 0 | 0 | 0 |
|)-10_t | 10 | 25 | 2804 | 2804* | 3740.47 | 2804 | TLim | 2804* | 186.02 | 2804* | 995.66 | 2.65 | 0 | 0 | 0 | 0 |
|)-10_t | 10 | 30 | 2804 | 2804* | 4121.67 | 2804 | TLim | 2804* | 221.23 | 2804* | 1411.77 | 5.5 | 0 | 0 | 0 | 0 |
|)-15_t | 15 | 20 | 9636 | 9636 | TLim | 9960 | TLim | 9816 | TLim | 9636 | TLim | 7.7 | 0 | 3.36 | 1.87 | 0 |
|)-15_t | 15 | 30 | 10268 | 10268 | TLim | 10436 | TLim | 10428 | TLim | 10268 | TLim | 24.5 | 0 | 1.64 | 1.56 | 0 |
|)-20_t | 20 | 30 | 25200 | 26056 | TLim | 26320 | TLim | 26204 | TLim | 25200 | TLim | 545 | 3.4 | 4.44 | 3.98 | 0 |
| 5-12_t | 12 | 20 | 8818 | 8818 | TLim | 8858 | TLim | 8832 | TLim | 8818 | TLim | 2.65 | 0 | 0.45 | 0.16 | C |
| 5-13_t | 13 | 20 | 11562 | 11562 | TLim | 11730 | TLim | 11654 | TLim | 11562 | TLim | 4.1 | 0 | 1.45 | 0.80 | C |
| 5-13_t | 13 | 30 | 11794 | 11794 | TLim | 11954 | TLim | 11840 | TLim | 11794 | TLim | 3.5 | 0 | 1.36 | 0.39 | 0 |
| ′-6_t | 6 | 6 | 2126 | 2126* | 0.22 | 2126* | 0.14 | 2126* | 0.16 | 2126* | 0.05 | - | 0 | 0 | 0 | 0 |
| ′-6_t | 6 | 10 | 2744 | 2744* | 5.36 | 2744* | 0.41 | 2744* | 0.26 | 2744* | 0.09 | - | 0 | 0 | 0 | C |
| ′-7_t | 7 | 10 | 3466 | 3466* | 23.59 | 3466* | 1.22 | 3466* | 0.48 | 3466* | 0.59 | - | 0 | 0 | 0 | C |
| ∕-8_t | 8 | 10 | 4176 | 4176 * | 92.84 | 4176* | 86.91 | 4176* | 5.25 | 4176* | 5.56 | 1.78 | 0 | 0 | 0 | 0 |
| /-9_t | 9 | 10 | 4864 | 4864* | 258.08 | 4864* | 28.11 | 4864* | 72.88 | 4864* | 37.64 | 1.77 | 0 | 0 | 0 | 0 |
| /-10_t | 10 | 10 | 5422 | 5422* | 296.33 | 5422* | 48.94 | 5422* | 48.81 | 5422* | 385.98 | 1.86 | 0 | 0 | 0 | 0 |
| ′-11_t | 11 | 11 | 6166 | 6166 | TLim | 6166 | TLim | 6166 | TLim | 6166* | 602.58 | 2.16 | 0 | 0 | 0 | 0 |
| ′-11_t | 11 | 12 | 6700 | 6700 | TLim | 6706 | TLim | 6700 | TLim | 6700* | 620.58 | 3.7 | 0 | 0.09 | 0 | 0 |
| ′-12_t | 12 | 12 | 7354 | 7354 | TLim | 7372 | TLim | 7372 | TLim | 7354 | TLim | 3.66 | 0 | 0.25 | 0.25 | 0 |
| /-13_t | 13 | 13 | 8392 | 8392 | TLim | 8408 | TLim | 8408 | TLim | 8392 | TLim | 2.55 | 0 | 0.19 | 0.19 | 0 |
| ′-14_t | 14 | 14 | 9852 | 9852 | TLim | 9936 | TLim | 9902 | TLim | 9852 | TLim | 4.7 | 0 | 0.85 | 0.51 | 0 |
| Y-15_t | 15 | 30 | 14718 | 14718 | TLim | 14984 | TLim | 14830 | TLim | 14718 | TLim | 3.45 | 0 | 1.81 | 0.76 | 0 |
| Y-20_t | 20 | 30 | 23770 | 24686 | TLim | 24790 | TLim | 24556 | TLim | 23770 | TLim | 55 | 3.85 | 4.29 | 3.31 | 0 |

Obj. Val.: objective function value; Sol. Time: solution time (second); First Sol. Time: the time when the best solution first detected (second); TLim: Time limit (120 min); *: the optimal result

Table 6. Model sizes and computational results of exact solution methods for small and medium sized test problem instances under short time limits.

| | | | | | tic Programm QP) Model | ing | | | arized Model | | | - | id inequalitie vi) Model | S | | | Programmir) Model | ıg |
|-----------|----------------|----------------|-----|-----|-----------------------------|---------------------|--------|--------|-----------------------------|---------------------|--------|--------|-----------------------------|---------------------|-----|------|-----------------------|--------------------|
| Instances | # of tools (m) | # of slots (n) | #NV | #NC | Obj.Val. (Time of CP) | Obj.Val. (5 min) | #NV | #NC | Obj.Val. (Time of CP) | Obj.Val. (5 min) | #NV | #NC | Obj.Val. (Time of CP) | Obj.Val. (5 min) | #NV | #NC | Obj.Val. | First Sol. Time |
| 0-5_t | 5 | 5 | 25 | 10 | 248* | 248* | 650 | 635 | 272 | 248* | 650 | 635 | 272 | 248* | 10 | 221 | 248* | _ |
| 0-5_t | 5 | 10 | 50 | 15 | 300 | 300* | 2550 | 2515 | 392 | 300* | 2550 | 2520 | 348 | 300* | 15 | 221 | 300* | _ |
| 0-6_t | 6 | 10 | 60 | 16 | 584 | 584* | 3660 | 3616 | 712 | 584* | 3660 | 3620 | 712 | 584* | 16 | 324 | 584* | _ |
| 0-7_t | 7 | 10 | 70 | 17 | 948 | 936* | 4970 | 4917 | NoS | 936* | 4970 | 4920 | NoS | 936* | 17 | 447 | 936* | _ |
| 0-8_t | 8 | 10 | 80 | 18 | 1448 | 1448* | 6480 | 6418 | 1448* | 1448* | 6480 | 6420 | 1448* | 1448* | 18 | 590 | 1448* | 1.85 |
| 0-9_t | 9 | 10 | 90 | 19 | 1732 | 1732* | 8190 | 8119 | 1732 | 1732* | 8190 | 8120 | 1732 | 1732* | 19 | 753 | 1732* | 1.79 |
| 0-10_t | 10 | 10 | 100 | 20 | 2264 | 2264* | 10100 | 10020 | 2304 | 2264* | 10100 | 10020 | 2304 | 2264* | 20 | 936 | 2264* | 1.95 |
| 0-10_t | 10 | 12 | 120 | 22 | 2596 | 2544* | 14520 | 14422 | 2600 | 2544* | 14520 | 14424 | 2604 | 2544* | 22 | 936 | 2544* | 2.38 |
| 0-10_t | 10 | 15 | 150 | 25 | 2784 | 2756 | 22650 | 22525 | 2880 | 2780 | 22650 | 22530 | 2816 | 2776 | 25 | 936 | 2756* | 2.25 |
| 0-10_t | 10 | 20 | 200 | 30 | 2860 | 2804 | 40200 | 40030 | 3012 | 2856 | 40200 | 40040 | 2904 | 2804* | 30 | 936 | 2804* | 2.22 |
| 0-10_t | 10 | 25 | 250 | 35 | 2828 | 2804 | 62750 | 62535 | 2972 | 2836 | 62750 | 62550 | 2904 | 2828 | 35 | 936 | 2804* | 2.65 |
| 0-10_t | 10 | 30 | 300 | 40 | 2892 | 2804 | 90030 | 90040 | 3036 | 2900 | 90300 | 90060 | 2900 | 2844 | 40 | 936 | 2804* | 5.5 |
| 0-15_t | 15 | 20 | 300 | 35 | 9884 | 9636 | 90300 | 90035 | 10368 | 10016 | 90300 | 90040 | 10340 | 10216 | 35 | 2151 | 9636 | 7.7 |
| 0-15_t | 15 | 30 | 450 | 45 | 10524 | 10496 | 202950 | 202545 | 11032 | 10580 | 202950 | 202560 | 10736 | 10736 | 45 | 2151 | 10268 | 24.5 |
| O-20_t | 20 | 30 | 600 | 50 | 26708 | 27560 | 360600 | 360050 | 26844 | 27004 | 360600 | 360060 | 26480 | 26480 | 50 | 3866 | 25200 | 545 |
| S-12_t | 12 | 20 | 240 | 32 | 8950 | 8818 | 57840 | 57632 | 9690 | 8886 | 57840 | 57640 | 8950 | 8890 | 32 | 1362 | 8818 | 2.65 |
| S-13_t | 13 | 20 | 260 | 33 | 11666 | 11562 | 67860 | 67633 | 12038 | 11796 | 67860 | 67640 | 11900 | 11772 | 33 | 1605 | 11562 | 4.1 |
| S-13_t | 13 | 30 | 390 | 43 | 11992 | 11820 | 152490 | 152143 | 13194 | 12106 | 152490 | 152160 | 12020 | 12020 | 43 | 1605 | 11794 | 3.5 |
| Y-6_t | 6 | 6 | 36 | 12 | 2126 | 2126* | 1332 | 1308 | 2188 | 2126* | 1332 | 1308 | 2188 | 2126* | 12 | 324 | 2126* | - |
| Y-6_t | 6 | 10 | 60 | 16 | 2744 | 2744* | 3660 | 3616 | NoS | 2744* | 3660 | 3620 | 2832 | 2744* | 16 | 324 | 2744* | - |
| Y-7_t | 7 | 10 | 70 | 17 | 3488 | 3466* | 4970 | 4917 | NoS | 3466* | 4970 | 4920 | 3728 | 3466* | 17 | 447 | 3466* | - |
| Y-8_t | 8 | 10 | 80 | 18 | 4186 | 4176* | 6480 | 6418 | 4218 | 4176* | 6480 | 6420 | 4186 | 4176* | 18 | 590 | 4176* | 1.78 |
| Y-9_t | 9 | 10 | 90 | 19 | 4874 | 4864* | 8190 | 8119 | 4884 | 4864* | 8190 | 8120 | 4870 | 4864* | 19 | 753 | 4864* | 1.77 |
| Y-10_t | 10 | 10 | 100 | 20 | 5428 | 5422* | 10100 | 10020 | 5464 | 5422* | 10100 | 10020 | 5464 | 5422* | 20 | 936 | 5422* | 1.86 |
| Y-11_t | 11 | 11 | 121 | 22 | 6166 | 6166 | 14762 | 14663 | 6216 | 6172 | 14762 | 14663 | 6216 | 6172 | 22 | 1139 | 6166* | 2.16 |
| Y-11_t | 11 | 12 | 132 | 23 | 6700 | 6700 | 17556 | 17447 | 6768 | 6710 | 17556 | 17448 | 6732 | 6710 | 23 | 1139 | 6700* | 3.7 |
| Y-12_t | 12 | 12 | 144 | 24 | 7354 | 7354 | 20880 | 20760 | 7438 | 7394 | 20880 | 20760 | 7438 | 7394 | 24 | 1362 | 7354 | 3.66 |
| Y-13_t | 13 | 13 | 169 | 26 | 8392 | 8392 | 28730 | 28587 | 8494 | 8438 | 28730 | 28587 | 8494 | 8438 | 26 | 1605 | 8392 | 2.55 |
| Y-14_t | 14 | 14 | 196 | 28 | 9904 | 9884 | 38612 | 38444 | 10056 | 9994 | 38612 | 38444 | 10056 | 9994 | 28 | 1868 | 9852 | 4.7 |
| Y-15_t | 15 | 30 | 450 | 45 | 14930 | 14810 | 202545 | 202950 | 15938 | 15046 | 202950 | 202560 | 15102 | 15058 | 45 | 2151 | 14718 | 3.45 |
| Y-20_t | 20 | 30 | 600 | 50 | 26050 | 25170 | 360050 | 360600 | 26416 | 26142 | 360600 | 360060 | 24990 | 24990 | 50 | 3866 | 23770 | 55 |

#NV: number of decision variables; #NC: number of constraints; Obj. Val. (Time of CP): objective function value with a time limit of the first solution time of CP; Obj. Val. (5 min): objective function value with a time limit of 5 minutes (300 s); NoS: no solution is obtained; Obj. Val.: objective function value; First Sol. Time: the time when the best solution first detected (second); TLim: Time limit (120 min); *: the optimal result.



Table 7. Computational results of metaheuristic approaches for small and medium sized test problem instances.

| | | | The best re | ported solution via m | etaheuristics (HS_RS) | | WSA Algorithm | |
|-----------|---------------------|---------------------|-------------|-----------------------|-----------------------|---------|---------------|-----------|
| Instances | Number of tools (m) | Number of slots (n) | Obj. Val. | Standard Dev. | Sol. Time | Obj.Val | Standard Dev. | Sol. Time |
| 0-5_t | 5 | 5 | 248 | 0.00 | 0.72 | 248 | 0.00 | 7.71 |
| 0-5_t | 5 | 10 | 300 | 0.00 | 1.55 | 300 | 0.00 | 7.63 |
| 0-6_t | 6 | 10 | 584 | 0.00 | 1.40 | 584 | 0.00 | 8.07 |
| 0-7_t | 7 | 10 | 936 | 1.40 | 1.57 | 936 | 0.03 | 8.6 |
| 0-8_t | 8 | 10 | 1448 | 1.40 | 1.84 | 1448 | 0.03 | 9.56 |
| 0-9_t | 9 | 10 | 1732 | 2.50 | 1.90 | 1732 | 0.03 | 10 |
| 0-10_t | 10 | 10 | 2264 | N/A | 1.22 | 2264 | 0.05 | 10.43 |
| 0-10_t | 10 | 12 | 2544 | N/A | 1.50 | 2544 | 0.06 | 10.44 |
| 0-10_t | 10 | 15 | 2756 | N/A | 1.69 | 2756 | 0.69 | 10.42 |
| 0-10_t | 10 | 20 | 2804 | 16.10 | 3.00 | 2804 | 0.83 | 10.37 |
| 0-10_t | 10 | 25 | 2804 | N/A | 2.01 | 2804 | 0.77 | 10.34 |
| 0-10_t | 10 | 30 | 2804 | N/A | 2.33 | 2804 | 0.87 | 10.32 |
| 0-15_t | 15 | 20 | 9636 | 38.50 | 3.32 | 9636 | 1.13 | 12.61 |
| 0-15_t | 15 | 30 | 10268 | N/A | 2.59 | 10268 | 1.45 | 12.57 |
| O-20_t | 20 | 30 | 25200 | 72.40 | 4.31 | 25200 | 2.39 | 15.24 |
| S-12_t | 12 | 20 | 8818 | 13.10 | 3.18 | 8818 | 0.34 | 12.15 |
| S-13_t | 13 | 20 | 11562 | 22.30 | 3.12 | 11562 | 0.82 | 13.38 |
| S-13_t | 13 | 30 | 11794 | N/A | 2.47 | 11794 | 1.08 | 13.34 |
| Y-6_t | 6 | 6 | 2126 | 0.00 | 0.70 | 2126 | 0.00 | 8.26 |
| Y-6_t | 6 | 10 | 2744 | 0.00 | 1.51 | 2744 | 0.00 | 8.14 |
| Y-7_t | 7 | 10 | 3466 | 3.70 | 1.91 | 3466 | 0.04 | 8.5 |
| Y-8_t | 8 | 10 | 4176 | 2.00 | 0.46 | 4176 | 0.03 | 9.54 |
| Y-9_t | 9 | 10 | 4864 | 0.50 | 0.46 | 4864 | 0.04 | 9.96 |
| Y-10_t | 10 | 10 | 5422 | N/A | 1.23 | 5422 | 0.02 | 10.36 |
| Y-11_t | 11 | 11 | 6166 | N/A | 1.47 | 6166 | 0.09 | 10.8 |
| Y-11_t | 11 | 12 | 6700 | N/A | 1.58 | 6700 | 0.14 | 10.77 |
| Y-12_t | 12 | 12 | 7354 | N/A | 1.63 | 7354 | 0.20 | 11.12 |
| Y-13_t | 13 | 13 | 8392 | N/A | 1.85 | 8392 | 0.24 | 11.66 |
| Y-14_t | 14 | 14 | 9852 | N/A | 1.84 | 9852 | 0.33 | 12.12 |
| Y-15_t | 15 | 30 | 14718 | N/A | 2.62 | 14718 | 0.77 | 12.67 |
| Y-20_t | 20 | 30 | 23770 | 9.90 | 4.26 | 23770 | 1.13 | 15.13 |

Obj. Val.: objective function value; Standard Dev.: standard deviation; N/A: not available; Sol. Time: solution time (second)

Algorithm (GA) proposed by Dereli et al. (1998) and Dereli and Filiz (2000). The GA column presents the results derived from a GA employing different crossover and mutation operators. Finally, the columns labelled HS_woRS and HS_RS denote the Harmony Search without Harmony Refinement and Harmony Search with Harmony Refinement, respectively, as proposed by Atta, Mahapatra, and Mukhopadhyay (2019). The gap values of each method based on the best results in the literature are reported in Appendix E. It is worth noting that the proposed WSA algorithm demonstrates the most favourable results among all the algorithms mentioned in the literature.

To ascertain whether the performance of WSA is significantly better than HS_RS and CP, we conducted the Friedman and Wilcoxon signed-rank tests as recommended by Derrac et al. (2011). The Friedman test assigns rankings to multiple algorithms, while the Wilcoxon signed-rank test determines whether there is a statistically significant difference between the performances of the compared algorithms. Table 9 reveals that, based on the Friedman test results, the WSA algorithm generates the best results among the other solution approaches (p < 0.05). Moreover, based on the Wilcoxon signed-rank test, the WSA algorithm performs

significantly better than both HS_RS and CP as all *p* values less than 0.05.

5. Conclusions

In this paper, we conduct the modelling and solution of the turret-index optimisation problem using two mathematical programming approaches and a metaheuristic algorithm. The mathematical programming approaches are based on the QP formulation, which is adapted from the single row facility layout problem by extracting frequency and distance matrices from the process plans of the manufactured components. Despite linearising the QP formulation for problem resolution, both the QP and Linearized QP models prove to be inefficient for medium and larger-sized instances, providing solutions only for small-sized problems.

In the second mathematical programming approach, a new CP model is developed for the turret-index optimisation problem, which is the first of its kind in the literature. It is observed that CP is capable of providing optimal solutions for small-sized problems much more quickly, and it can also provide good solutions for medium and larger-sized problems within the specified computational time limits. Therefore, we can conclude that CP can be

 Table 8. Computational results for larger sized test problem instances.

| | | er Number | | he best reporte solution via aheuristics (HS | | | C | onstraint Progi (CP) Mod | | | | WSA Algorithm | | G | A <i>P</i> Values | S . |
|-------------|------------------------------------|---------------------------|----------|--|-----------|-----|-------|-----------------------------|-----------|--------------------|----------|------------------|-----------|-------|-------------------|------|
| Instances | Number of tools (<i>m</i>) | Number of slots (n) | Obj.Val. | Standard Dev. | Sol. Time | #NV | #NC | Obj.Val. | Sol. Time | First Sol. Time | Obj.Val. | Standard Dev. | Sol. Time | HS_RS | СР | WSA |
| sko-36 | 36 | 60 | 20578 | 503.10 | 5.01 | 96 | 12714 | 20574 | TLim | 1360 | 20574 | 12.23 | 26.29 | 0.02 | 0.00 | 0.00 |
| sko-42 | 42 | 60 | 48812 | 249.60 | 5.41 | 102 | 17352 | 48914 | TLim | 6820 | 48812 | 4.13 | 32.1 | 0.00 | 0.21 | 0.00 |
| sko-49 | 49 | 60 | 73076 | 251.70 | 5.58 | 109 | 23673 | 73244 | TLim | 1960 | 73034 | 5.76 | 40.68 | 0.06 | 0.29 | 0.00 |
| sko-56 | 56 | 60 | 105406 | 296.10 | 5.75 | 116 | 30974 | 105734 | TLim | 6780 | 105330 | 7.72 | 49.8 | 0.07 | 0.38 | 0.00 |
| sko-64 | 64 | 100 | 190382 | 707.80 | 8.80 | 164 | 40518 | 190612 | TLim | 3840 | 190380 | 18.62 | 118.34 | 0.00 | 0.12 | 0.00 |
| sko-72 | 72 | 100 | 265226 | 1058.80 | 9.58 | 172 | 51342 | 267780 | TLim | 7195 | 265136 | 23.95 | 78.13 | 0.03 | 1.00 | 0.00 |
| sko-81 | 81 | 100 | 367868 | 824.30 | 10.19 | 181 | 65049 | 373098 | TLim | 5500 | 367776 | 31.11 | 171.81 | 0.03 | 1.45 | 0.00 |
| sko-100 | 100 | 100 | 578138 | 1474.90 | 12.71 | 200 | 99306 | 580742 | TLim | 7150 | 577966 | 45.17 | 271.23 | 0.03 | 0.48 | 0.00 |
| Y-30_t | 30 | 60 | 55346 | 44.60 | 5.30 | 90 | 8796 | 55346 | TLim | 2150 | 55346 | 1.29 | 21.62 | 0.00 | 0.00 | 0.00 |
| Y-35 t | 35 | 60 | 76028 | 161.60 | 5.59 | 95 | 12011 | 76028 | TLim | 2410 | 76028 | 5.22 | 25.53 | 0.00 | 0.00 | 0.00 |
| Y-40_t | 40 | 60 | 92666 | 135.20 | 5.40 | 100 | 15726 | 92798 | TLim | 1830 | 92652 | 5.12 | 29.87 | 0.02 | 0.16 | 0.00 |
| Y-45 t | 45 | 60 | 117630 | 164.10 | 6.32 | 105 | 19941 | 117832 | TLim | 6520 | 117620 | 5.25 | 35.18 | 0.01 | 0.18 | 0.00 |
| Y-50_t | 50 | 60 | 146642 | 217.20 | 6.15 | 110 | 24656 | 147010 | TLim | 7070 | 146630 | 6.96 | 43.94 | 0.01 | 0.26 | 0.00 |
| Y-60_t | 60 | 100 | 222438 | 380.70 | 7.74 | 160 | 35586 | 223850 | TLim | 2770 | 222412 | 7.38 | 55.85 | 0.01 | 0.65 | 0.00 |
| Anjos-60-01 | 60 | 100 | 108106 | 83.10 | 6.85 | 160 | 35586 | 108126 | TLim | 7110 | 108106 | 2.91 | 56.62 | 0.00 | 0.02 | 0.00 |
| Anjos-60-02 | 60 | 100 | 62548 | 84.50 | 6.80 | 160 | 35586 | 62558 | TLim | 7032 | 62548 | 1.87 | 57.25 | 0.00 | 0.02 | 0.00 |
| Anjos-60-03 | 60 | 100 | 47020 | 93.40 | 6.99 | 160 | 35586 | 47246 | TLim | 7070 | 47018 | 2.39 | 59.02 | 0.00 | 0.48 | 0.00 |
| Anjos-60-04 | 60 | 100 | 23182 | 123.60 | 7.45 | 160 | 35586 | 23206 | TLim | 5425 | 23182 | 3.15 | 62.17 | 0.00 | 0.10 | 0.00 |
| Anjos-60-05 | 60 | 100 | 30336 | 140.60 | 7.36 | 160 | 35586 | 30364 | TLim | 5500 | 30336 | 4.37 | 65.3 | 0.00 | 0.09 | 0.00 |
| Anjos-70-01 | 70 | 100 | 84592 | 130.20 | 7.43 | 170 | 48516 | 84866 | TLim | 1410 | 84592 | 6.21 | 88.17 | 0.00 | 0.32 | 0.00 |
| Anjos-70-02 | 70 | 100 | 103446 | 94.60 | 7.49 | 170 | 48516 | 103524 | TLim | 2445 | 103446 | 3.06 | 85.99 | 0.00 | 0.08 | 0.00 |
| Anjos-70-03 | 70 | 100 | 87588 | 117.60 | 7.89 | 170 | 48516 | 87882 | TLim | 5190 | 87588 | 3.53 | 89.69 | 0.00 | 0.34 | 0.00 |
| Anjos-70-04 | 70 | 100 | 55404 | 163.60 | 8.37 | 170 | 48516 | 55498 | TLim | 6990 | 55402 | 4.55 | 86.81 | 0.00 | 0.17 | 0.00 |
| Anjos-70-05 | 70 | 100 | 268476 | 215.50 | 7.29 | 170 | 48516 | 268724 | TLim | 6650 | 268476 | 7.14 | 85.58 | 0.00 | 0.09 | 0.00 |
| Anjos-75-01 | 75 | 100 | 133260 | 178.60 | 7.96 | 175 | 55731 | 133810 | TLim | 6735 | 133260 | 3.58 | 101.47 | 0.00 | 0.41 | 0.00 |
| Anjos-75-02 | 75 | 100 | 223612 | 209.90 | 7.60 | 175 | 55731 | 223744 | TLim | 3650 | 223612 | 6.08 | 95.75 | 0.00 | 0.06 | 0.00 |
| Anjos-75-03 | 75 | 100 | 76302 | 233.10 | 8.19 | 175 | 55731 | 76486 | TLim | 4040 | 76302 | 3.96 | 96.1 | 0.00 | 0.24 | 0.00 |
| Anjos-75-04 | 75 | 100 | 212682 | 234.10 | 8.57 | 175 | 55731 | 212710 | TLim | 7090 | 212682 | 7.07 | 97.48 | 0.00 | 0.01 | 0.00 |
| Anjos-75-05 | 75 | 100 | 94034 | 167.20 | 8.05 | 175 | 55731 | 94090 | TLim | 2680 | 94034 | 3.58 | 97.89 | 0.00 | 0.06 | 0.00 |
| Anjos-80-01 | 80 | 100 | 108926 | 225.20 | 8.67 | 180 | 63446 | 109400 | TLim | 6240 | 108926 | 8.01 | 109.42 | 0.00 | 0.44 | 0.00 |
| Anjos-80-02 | 80 | 100 | 105706 | 101.70 | 8.44 | 180 | 63446 | 105978 | TLim | 7090 | 105702 | 5.92 | 108.35 | 0.00 | 0.26 | 0.00 |
| Anjos-80-03 | 80 | 100 | 190182 | 340.20 | 8.44 | 180 | 63446 | 190242 | TLim | 5710 | 190182 | 10.17 | 106.72 | 0.00 | 0.03 | 0.00 |
| Anjos-80-04 | 80 | 100 | 201656 | 190.40 | 9.15 | 180 | 63446 | 202034 | TLim | 6260 | 201656 | 5.73 | 103.53 | 0.00 | 0.19 | 0.00 |
| Anjos-80-05 | 80 | 100 | 72434 | 210.80 | 8.78 | 180 | 63446 | 72598 | TLim | 5645 | 72428 | 6.34 | 101.13 | 0.01 | 0.23 | 0.00 |

Obj. Val.: objective function value; Standard Dev.: standard deviation; N/A: not available; Sol. Time: solution time (second)

Table 9. Friedman and Wilcoxon signed-rank test results.

| Average rankings Friedman test <i>p</i> -value | | | ned-rank test between er solution approaches |
|---|--------------------------|-------------|---|
| Solution Approaches | Sum of Ranks | WSA vs. | <i>p</i> -value |
| WSA HS RS | 1.3235 (1) 1.7794 (2) | HS_RS CP | 6.1035E-05 1.1735E-06 |
| CP | 2.8971 (3) | C. | 1.17332 00 |

considered as a suitable alternative solution approach for most practical applications, especially since many CNC machine tools have turrets with 16 or fewer slots.

Conversely, larger CNC machine tools often have larger turrets, some with chain-type configurations accommodating over 50 slots. In such cases, it is observed that mathematical programming approaches, especially QP and linearised QP, are not viable alternatives for solving the turret-index optimisation problem. As a result, a new metaheuristic solution approach based on the WSA algorithm is proposed in this study. Through comprehensive computational analysis and relevant statistical evaluations, the WSA algorithm demonstrates its ability to yield the best solutions for all test problems within a reasonable computational time. Furthermore, WSA outperforms some of the best-known results in the literature. Friedman and Wilcoxon signed-rank tests confirm the significant superiority of the proposed algorithm over CP and other metaheuristics.

As a prospect for future research, different metaheuristic algorithms can be utilised to solve the turretindex optimisation problem, allowing for a comparative study. In addition, the implementation of parallel programming can be employed to reduce computational times. Moreover, various versions of the turret-index problem, such as turret-index optimisation with setups and cutting tool duplications, can be addressed by extending the methodologies presented in this study.

Data availability statement

The data that support the findings of this study are openly available at http://kucse.in/tip.

Disclosure statement

No potential conflict of interest was reported by the author(s).

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Appendix A: CPLEX OPL implementation for QP model

Appendices

```
int numofTools = ...;
int numofSlots = ...;
range Tool = 1.. numofTools;
range Slot = 1.. numofSlots;
// Parameters
int F[Tool][Tool] = ...; // frequency matrix
int dist[Slot][Slot];
float t = ...; // Indexing Time Between Two Adjacent Slots
// Calculate the distance btw slots
execute {
for (var i=1; i < numofSlots; i++) {
       for (var j=i+1; j < num of Slots+1; j++) {
               dist[i][j] = Math.min(Math.abs(j-i), Math.abs(i+numofSlots-j));
               dist[j][i]=dist[i][j];}}
// Decision Variables
dvar boolean y[Slot][Tool];
// Objective Function
minimize sum(i in Slot, j in Slot: i!=j, k in Tool, l in Tool: l!=k)
t * dist[i][j] * F[k][l] * y[i][k] * y[j][l];
// Constraints
subject to {
c1:
forall (k in Tool)
      sum(i in Slot) y[i][k]==1;
forall (i in Slot)
       sum(k in Tool) y[i][k] <=1;
```

Appendix B: CPLEX OPL implementation for LQP and LQP vi models

```
int numofTools = ...;
int numofSlots = ...;
range Tool = 1.. numofTools;
range Slot = 1.. numofSlots;
// Parameters
int F[Tool][Tool] = ...; // frequency matrix
int dist[Slot][Slot];
float t = ...; // Indexing Time Between Two Adjacent Slots
// Calculate the distance btw slots
execute {
for (var i=1; i < numofSlots; i++) {
       for (var j=i+1; j < num of Slots+1; j++) {
               dist[i][j]=Math.min(Math.abs(j-i), Math.abs(i+numofSlots-j));
               dist[j][i]=dist[i][j];}}
// Decision Variables
dvar boolean y[Slot][Tool];
dvar boolean z[Slot][Slot][Tool][Tool];
// Objective Function
minimize sum(i in Slot, j in Slot: i!=j, k in Tool, l in Tool: l!=k)
t * dist[i][j] * F[k][l] * z[i][j][k][l];
// Constraints
subject to {
c1:
forall (k in Tool)
      sum(i in Slot) y[i][k]==1;
forall (i in Slot)
```

```
sum(k in Tool) y[i][k]<=1;
c3
forall (i in Slot, j in Slot, k in Tool, l in Slot)
    z[i][j][k][l]>=y[i][k]+y[j][l]-1;}
//END OF THE LQP MODEL

c4: // Valid Inequalities (Additional constraint for LQP_vi model)
forall (i in Slot: i>numofTools)
    sum(k in Tool) y[i][k]==0;}
//END OF THE LQP vi MODEL
```

Appendix C: CPLEX OPL implementation for CP model

```
using CP;
int numofTools = ...;
int numofSlots = ...;
range Tool = 1.. numofTools;
range Slot = 1.. numofSlots;
range nSlot = 1.. numofTools;
range half1Slot= 1..(numofSlots div 2)+numofSlots % 2;
range half2Slot= (numofSlots div 2)+numofSlots % 2+1..numofSlots;
// Parameters
int F[Tool][Tool] = ...; // frequency matrix
int dist[Slot][Slot];
float t = ...; // Indexing Time Between Two Adjacent Slots
// Calculate the distance btw slots
execute {
for (var i=1; i < numofSlots; i++) {
       for (var j=i+1; j < num of Slots+1; j++)
               dist[i][j] = Math.min(Math.abs(j-i), Math.abs(i+numofSlots-j));
               dist[j][i]=dist[i][j];}}}
// Decision Variables
dvar int u[Tool] in nSlot;
dvar boolean x[Slot];
dexpr float cost = sum (i in Tool, j in Tool: i!=j)
dist[u[i]][u[j]]*F[i][j]*t;
// Objective Function
minimize cost;
// Constraints
subject to {
allDifferent(u);
max(i in Tool)u[i]==numofTools;
min(i in Tool)u[i]==1;
c4:
forall (i in Tool)
        x[u[i]] = = 1;
c5:
sum (i in Slot)x[i]==numofTools;
sum (i in half1Slot) x[i] >=sum (j in half2Slot) x[j];
```

Appendix D: Computational results of metaheuristic algorithms and the proposed approaches in the literature for Anjos and sko instances

| | | ihosh 16a) | | Ghosh 16b) | | Ghosh 16b) | | Ghosh 16b) | | Ghosh 16c) | | ihosh 16c) | | RS Atta (2019) | | S Atta (2019) | (| <u>P</u> | W | /SA |
|-------------|-----------|---------------|-----------|---------------|-----------|---------------|-----------|---------------|-----------|---------------|-----------|---------------|-----------|-------------------|-----------|------------------|-----------|-----------|-----------|-----------|
| Instances | Obj. Val. | Sol. Time | Obj. Val. | Sol. Time. | Obj. Val. | Sol. Time | Obj. Val. | Sol. Time | Obj. Val. | Sol. Time | Obj. Val. | Sol. Time | Obj. Val. | Sol. Time |
| Anjos-60-01 | 108106 | 179.47 | 108106 | 9.17 | 108106 | 8.95 | 108106 | 350.30 | 152200 | 21.06 | 108126 | 288.17 | 123998 | 33.04 | 108106 | 6.85 | 108126 | TLim | 108106 | 56.62 |
| Anjos-60-02 | 62556 | 180.11 | 62556 | 9.20 | 62556 | 9.22 | 62556 | 350.08 | 88930 | 21.39 | 62562 | 291.67 | 70522 | 45.04 | 62548 | 6.80 | 62558 | TLim | 62548 | 57.25 |
| Anjos-60-03 | 47020 | 180.11 | 47028 | 8.55 | 47020 | 8.59 | 47020 | 350.31 | 67606 | 21.16 | 47028 | 280.62 | 52420 | 45.44 | 47020 | 6.99 | 47246 | TLim | 47018 | 59.02 |
| Anjos-60-04 | 23184 | 178.24 | 23184 | 8.84 | 23184 | 8.80 | 23184 | 350.23 | 35294 | 21.02 | 23184 | 282.52 | 27950 | 43.30 | 23182 | 7.45 | 23206 | TLim | 23182 | 62.17 |
| Anjos-60-05 | 30364 | 178.23 | 30364 | 8.92 | 30364 | 8.91 | 30364 | 349.50 | 45082 | 21.13 | 30336 | 289.67 | 37132 | 37.52 | 30336 | 7.36 | 30364 | TLim | 30336 | 65.3 |
| Anjos-70-01 | 84594 | 178.45 | 84598 | 9.81 | 84594 | 9.88 | 84594 | 350.64 | 114526 | 21.09 | 84624 | 394.47 | 95306 | 42.23 | 84592 | 7.43 | 84866 | TLim | 84592 | 88.17 |
| Anjos-70-02 | 103446 | 178.05 | 103446 | 10.31 | 103446 | 10.22 | 103446 | 349.33 | 137216 | 21.05 | 103446 | 405.02 | 120480 | 41.19 | 103446 | 7.49 | 103524 | TLim | 103446 | 85.99 |
| Anjos-70-03 | 87590 | 178.50 | 87590 | 9.92 | 87590 | 10.03 | 87590 | 349.75 | 112602 | 21.14 | 87588 | 406.52 | 99164 | 38.71 | 87588 | 7.89 | 87882 | TLim | 87588 | 89.69 |
| Anjos-70-04 | 55410 | 178.84 | 55410 | 10.17 | 55410 | 10.45 | 55410 | 349.42 | 72814 | 21.31 | 55454 | 405.55 | 69246 | 36.58 | 55404 | 8.37 | 55498 | TLim | 55402 | 86.81 |
| Anjos-70-05 | 268538 | 181.48 | 268538 | 9.89 | 268538 | 9.81 | 268538 | 349.72 | 340024 | 21.17 | 268526 | 396.53 | 291096 | 39.71 | 268476 | 7.29 | 268724 | TLim | 268476 | 85.58 |
| Anjos-75-01 | 133312 | 182.20 | 133312 | 10.52 | 133312 | 10.36 | 133312 | 350.55 | 165296 | 21.14 | 133372 | 457.41 | 146820 | 37.68 | 133260 | 7.96 | 133810 | TLim | 133260 | 101.47 |
| Anjos-75-02 | 223612 | 181.45 | 223700 | 10.77 | 223612 | 10.61 | 223612 | 348.64 | 278546 | 21.13 | 223628 | 454.02 | 241516 | 40.93 | 223612 | 7.60 | 223744 | TLim | 223612 | 95.75 |
| Anjos-75-03 | 76316 | 181.86 | 76316 | 10.77 | 76316 | 10.36 | 76316 | 350.05 | 97890 | 21.25 | 76320 | 453.67 | 86328 | 44.08 | 76302 | 8.19 | 76486 | TLim | 76302 | 96.1 |
| Anjos-75-04 | 212682 | 179.53 | 212682 | 11.55 | 212682 | 11.34 | 212682 | 349.74 | 260248 | 21.36 | 212682 | 478.86 | 232120 | 47.25 | 212682 | 8.57 | 212710 | TLim | 212682 | 97.48 |
| Anjos-75-05 | 94062 | 179.14 | 94062 | 11.08 | 94062 | 10.97 | 94062 | 350.45 | 117538 | 21.23 | 94066 | 463.59 | 105022 | 39.09 | 94034 | 8.05 | 94090 | TLim | 94034 | 97.89 |
| Anjos-80-01 | 108926 | 178.86 | 108926 | 11.06 | 108926 | 11.03 | 108926 | 349.31 | 138896 | 21.16 | 108926 | 519.59 | 121202 | 45.46 | 108926 | 8.67 | 109400 | TLim | 108926 | 109.42 |
| Anjos-80-02 | 105706 | 179.02 | 105706 | 11.27 | 105706 | 11.63 | 105706 | 349.52 | 128586 | 21.16 | 105742 | 522.31 | 115204 | 44.73 | 105706 | 8.44 | 105978 | TLim | 105702 | 108.35 |
| Anjos-80-03 | 190182 | 182.61 | 190182 | 11.61 | 190182 | 11.33 | 190182 | 348.45 | 230408 | 21.11 | 190186 | 519.72 | 205896 | 46.57 | 190182 | 8.44 | 190242 | TLim | 190182 | 106.72 |
| Anjos-80-04 | 201900 | 184.23 | 201902 | 11.37 | 201900 | 11.06 | 201900 | 348.53 | 240862 | 21.14 | 201696 | 520.14 | 215882 | 45.58 | 201656 | 9.15 | 202034 | TLim | 201656 | 103.53 |
| Anjos-80-05 | 72454 | 185.53 | 72456 | 11.39 | 72456 | 11.31 | 72454 | 349.70 | 89934 | 21.33 | 72466 | 530.20 | 81466 | 39.34 | 72434 | 8.78 | 72598 | TLim | 72428 | 101.13 |
| sko-36 | N/A | N/A | 22922 | 49.49 | 20578 | 5.01 | 20574 | TLim | 20574 | 26.29 |
| sko-42 | 48816 | 38.28 | 48816 | 1.27 | 48816 | 1.23 | 48816 | 73.41 | 61678 | 8.48 | 48820 | 52.31 | 50456 | 47.98 | 48812 | 5.41 | 48914 | TLim | 48812 | 32.1 |
| sko-49 | 73164 | 38.08 | 73164 | 1.42 | 73164 | 1.48 | 73164 | 73.11 | 84726 | 8.48 | 73304 | 65.83 | 75446 | 54.90 | 73076 | 5.58 | 73244 | TLim | 73034 | 40.68 |
| sko-56 | 105948 | 38.03 | 105948 | 1.67 | 105948 | 1.69 | 105948 | 72.94 | 117956 | 8.45 | 105854 | 81.27 | 109232 | 50.43 | 105406 | 5.75 | 105734 | TLim | 105330 | 49.8 |
| sko-64 | 190674 | 182.06 | 190674 | 10.39 | 190674 | 10.28 | 190674 | 349.73 | 247118 | 21.20 | 191088 | 387.56 | 215998 | 42.07 | 190382 | 8.8 | 190612 | TLim | 190380 | 118.34 |
| sko-72 | 267214 | 182.56 | 267264 | 11.31 | 267214 | 11.16 | 267214 | 349.87 | 324622 | 21.17 | 265742 | 497.02 | 297128 | 41.14 | 265226 | 9.58 | 267780 | TLim | 265136 | 78.13 |
| sko-81 | 371704 | 183.08 | 371704 | 12.53 | 371704 | 12.11 | 371704 | 349.64 | 426844 | 21.11 | 369058 | 587.31 | 401214 | 42.13 | 367868 | 10.19 | 373098 | TLim | 367776 | 171.81 |
| sko-100 | 580992 | 185.00 | 581034 | 14.95 | 580992 | 15.33 | 580992 | 350.19 | 642436 | 21.28 | 578896 | 835.08 | 611072 | 43.69 | 578138 | 12.71 | 580742 | TLim | 577966 | 271.23 |

Obj. Val.: objective function value; N/A: not available; Sol. Time: solution time (second); TLim: Time limit (120 min)

Appendix E: Gap values of the proposed CP and metaheuristic algorithms in the literature according to the best results for Anjos and sko instances

| Instances | TS Ghosh (2016a) | LS-LK Ghosh (2016b) | LS-LK1 Ghosh (2016b) | TS-LK1 Ghosh (2016b) | DFGA Ghosh (2016c) | GA Ghosh (2016c) | HS_woRS Atta, Mahapatra, and Mukhopadhyay (2019) | HS_RS Atta, Mahapatra, and Mukhopadhyay (2019) | СР | WSA |
|-------------|---------------------|---------------------------|----------------------------|----------------------------|--------------------------|------------------------|---|---|-------|-------|
| Anjos-60-01 | 0.000 | 0.000 | 0.000 | 0.000 | 40.788 | 0.019 | 14.700 | 0.000 | 0.019 | 0.000 |
| Anjos-60-02 | 0.013 | 0.013 | 0.013 | 0.013 | 42.179 | 0.022 | 12.749 | 0.000 | 0.016 | 0.000 |
| Anjos-60-03 | 0.004 | 0.021 | 0.004 | 0.004 | 43.787 | 0.021 | 11.489 | 0.004 | 0.485 | 0.000 |
| Anjos-60-04 | 0.009 | 0.009 | 0.009 | 0.009 | 52.247 | 0.009 | 20.568 | 0.000 | 0.104 | 0.000 |
| Anjos-60-05 | 0.092 | 0.092 | 0.092 | 0.092 | 48.609 | 0.000 | 22.402 | 0.000 | 0.092 | 0.000 |
| Anjos-70-01 | 0.002 | 0.007 | 0.002 | 0.002 | 35.386 | 0.038 | 12.666 | 0.000 | 0.324 | 0.000 |
| Anjos-70-02 | 0.000 | 0.000 | 0.000 | 0.000 | 32.645 | 0.000 | 16.467 | 0.000 | 0.075 | 0.000 |
| Anjos-70-03 | 0.002 | 0.002 | 0.002 | 0.002 | 28.559 | 0.000 | 13.216 | 0.000 | 0.336 | 0.000 |
| Anjos-70-04 | 0.014 | 0.014 | 0.014 | 0.014 | 31.428 | 0.094 | 24.988 | 0.004 | 0.173 | 0.000 |
| Anjos-70-05 | 0.023 | 0.023 | 0.023 | 0.023 | 26.650 | 0.019 | 8.425 | 0.000 | 0.092 | 0.000 |
| Anjos-75-01 | 0.039 | 0.039 | 0.039 | 0.039 | 24.040 | 0.084 | 10.176 | 0.000 | 0.413 | 0.000 |
| Anjos-75-02 | 0.000 | 0.039 | 0.000 | 0.000 | 24.567 | 0.007 | 8.007 | 0.000 | 0.059 | 0.000 |
| Anjos-75-03 | 0.018 | 0.018 | 0.018 | 0.018 | 28.293 | 0.024 | 13.140 | 0.000 | 0.241 | 0.000 |
| Anjos-75-04 | 0.000 | 0.000 | 0.000 | 0.000 | 22.365 | 0.000 | 9.139 | 0.000 | 0.013 | 0.000 |
| Anjos-75-05 | 0.030 | 0.030 | 0.030 | 0.030 | 24.995 | 0.034 | 11.685 | 0.000 | 0.060 | 0.000 |
| Anjos-80-01 | 0.000 | 0.000 | 0.000 | 0.000 | 27.514 | 0.000 | 11.270 | 0.000 | 0.435 | 0.000 |
| Anjos-80-02 | 0.004 | 0.004 | 0.004 | 0.004 | 21.650 | 0.038 | 8.989 | 0.004 | 0.261 | 0.000 |
| Anjos-80-03 | 0.000 | 0.000 | 0.000 | 0.000 | 21.151 | 0.002 | 8.263 | 0.000 | 0.032 | 0.000 |
| Anjos-80-04 | 0.121 | 0.122 | 0.121 | 0.121 | 19.442 | 0.020 | 7.055 | 0.000 | 0.187 | 0.000 |
| Anjos-80-05 | 0.036 | 0.039 | 0.039 | 0.036 | 24.170 | 0.052 | 12.479 | 0.008 | 0.235 | 0.000 |
| sko-36 | N/A | N/A | N/A | N/A | N/A | N/A | 11.412 | 0.019 | 0.000 | 0.000 |
| sko-42 | 0.008 | 0.008 | 0.008 | 0.008 | 26.358 | 0.016 | 3.368 | 0.000 | 0.209 | 0.000 |
| sko-49 | 0.178 | 0.178 | 0.178 | 0.178 | 16.009 | 0.370 | 3.303 | 0.058 | 0.288 | 0.000 |
| sko-56 | 0.587 | 0.587 | 0.587 | 0.587 | 11.987 | 0.497 | 3.705 | 0.072 | 0.384 | 0.000 |
| sko-64 | 0.154 | 0.154 | 0.154 | 0.154 | 29.803 | 0.372 | 13.456 | 0.001 | 0.122 | 0.000 |
| sko-72 | 0.784 | 0.803 | 0.784 | 0.784 | 22.436 | 0.229 | 12.066 | 0.034 | 0.997 | 0.000 |
| sko-81 | 1.068 | 1.068 | 1.068 | 1.068 | 16.061 | 0.349 | 9.092 | 0.025 | 1.447 | 0.000 |
| sko-100 | 0.524 | 0.531 | 0.524 | 0.524 | 11.155 | 0.161 | 5.728 | 0.030 | 0.480 | 0.000 |
| Average | 0.137 | 0.141 | 0.138 | 0.137 | 27.936 | 0.092 | 11.429 | 0.009 | 0.271 | 0.000 |