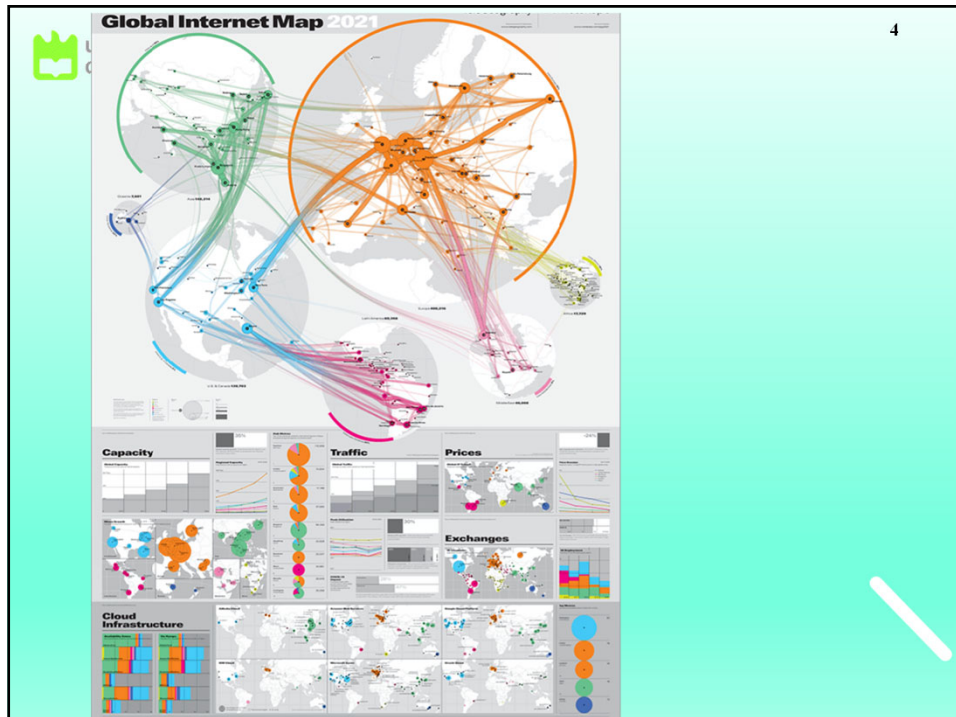


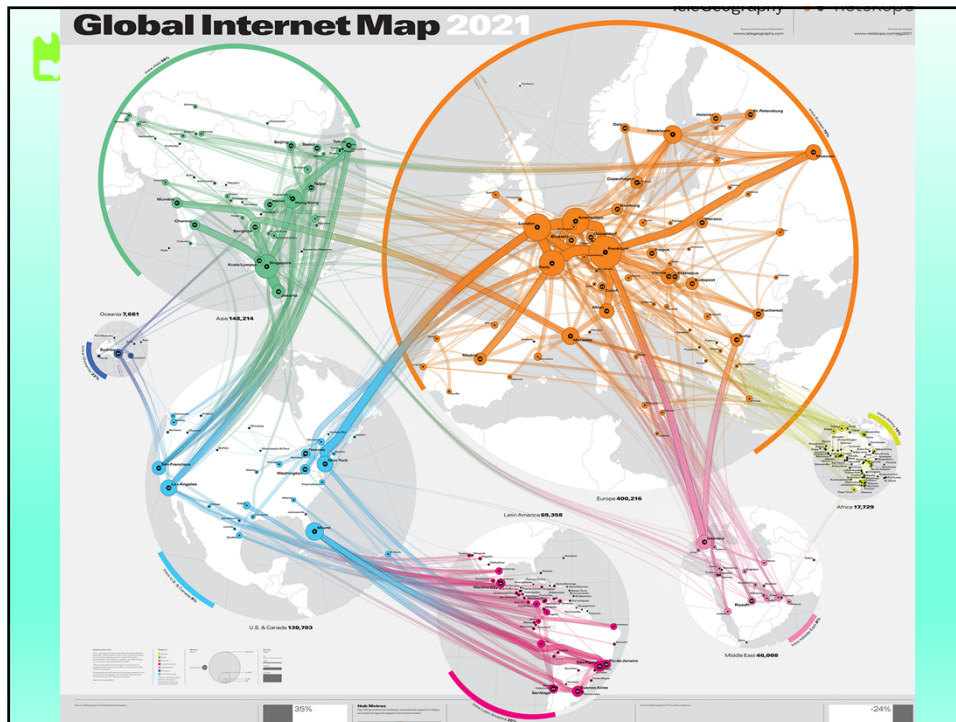
# Gestão/Management

## Management of Local and Global Networks Concepts and Protocols

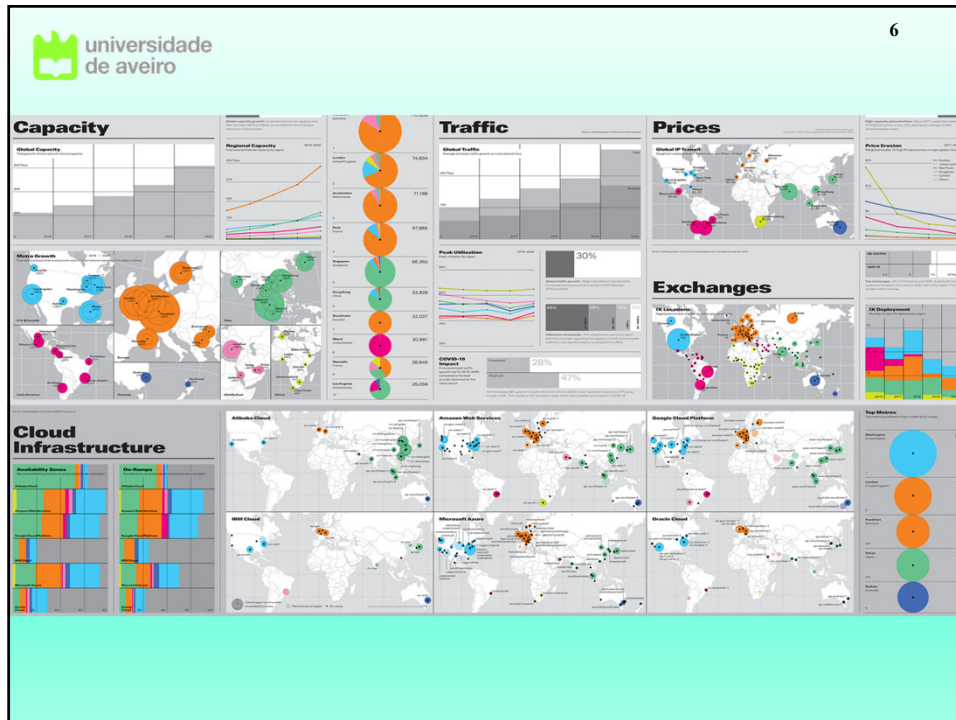
1



4



5



6

universidade  
de aveiro

## Why Networks and Systems Management?

7

- **Lower Cost** – Manual management is costly
- **More efficient** – Automatic systems allow an efficient planning, and mechanisms to predict the utilization trends: lower errors and faster actuation
- **Better service** – The manager is informed at the same time the (client) is, and can make an automatic check of the situation
- **Greater knowledge** – more information exists about the network, allowing better decisions and planning
- Why not human intervention?
  - **Difficult to describe responsibilities**
  - **Technology rapidly evolves**
  - **Management systems rapidly evolve**
  - **Lack of technical resources**

7

universidade  
de aveiro

## Commercial perspective

8

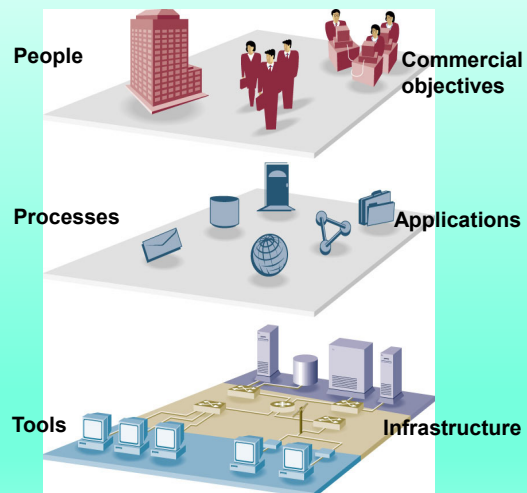
- **Problems need to be quickly solved**
- **Management systems simplify the work of multi-functional networks (e.g. VoIP in multiple networks)**
- **Persons better used – they do not need to perform repetitive tasks**
- **Companies need to optimize their structures, and network management allow resources optimization**



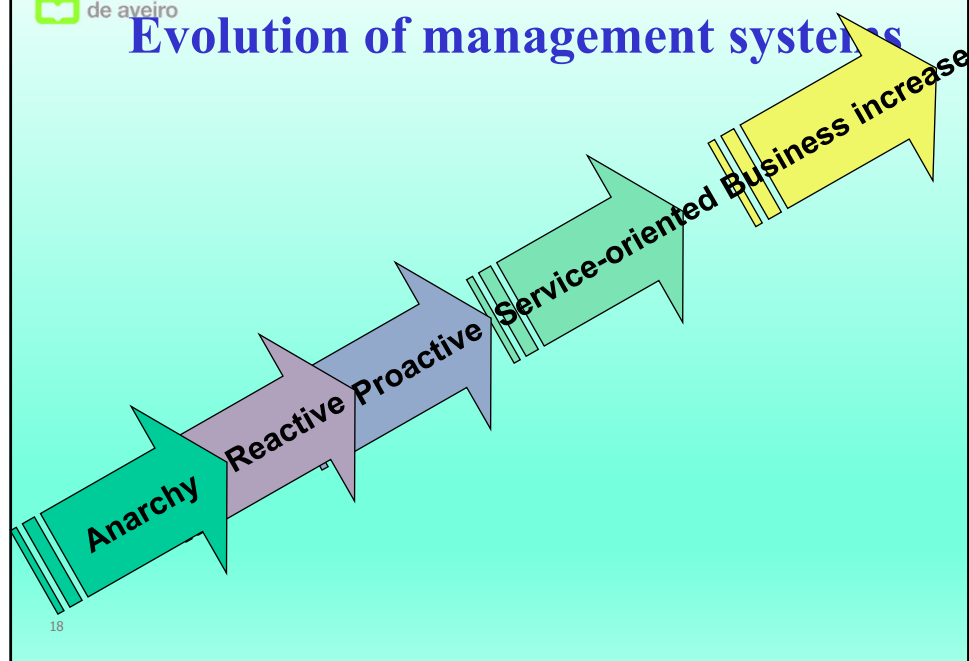
8


## Network management is:

Implement, integrate and coordinate resources (HW, SW and people) to plan, operate, manage, analyze, test, evaluate, design and expand the system to guarantee the service objectives (temporal, performance), with a reasonable cost and capacity.



## Evolution of management systems





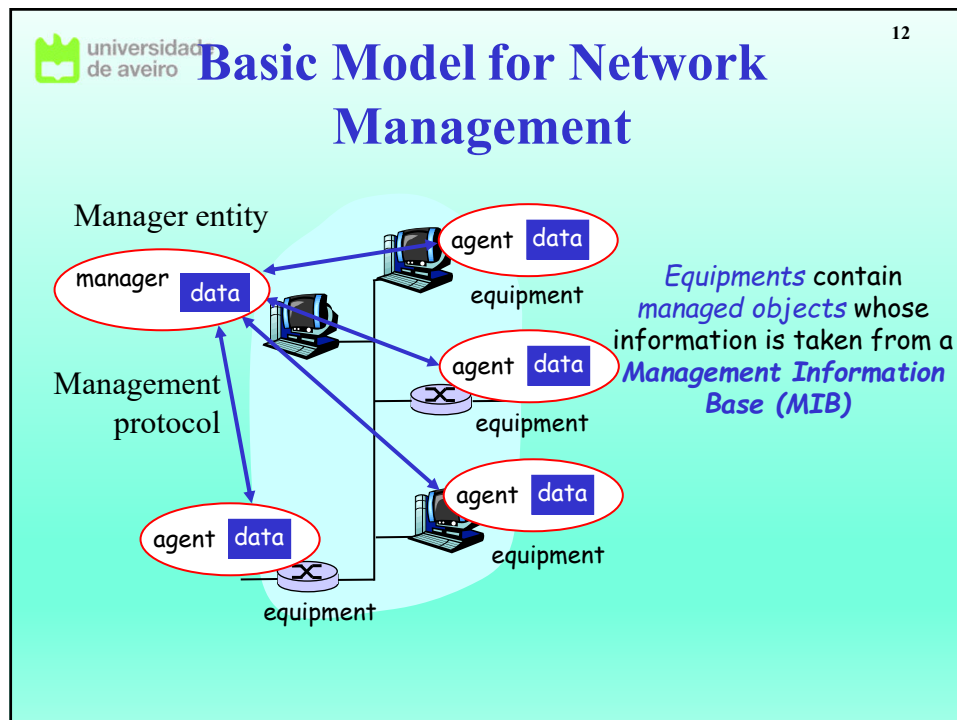
11

## Management alternatives

scope	<ul style="list-style-type: none"> <li>• <b>Systems management</b> – Covers all company aspects</li> <li>• <b>Networks management</b> – Covers mainly network aspects and communications systems and equipment</li> </ul>
communication protocol	<ul style="list-style-type: none"> <li>• <b>Dedicated protocols</b> – dedicated for networks</li> <li>• <b>Web based systems</b> – resort to HTTP models, recently common</li> </ul>
Decision model	<ul style="list-style-type: none"> <li>• <b>Centralized models</b> – Agent-manager model</li> <li>• <b>Distributed models</b> – Share of the management responsibilities</li> <li>• <b>Hierarchical models</b> – Hierarchic structure with centralized information in the root</li> </ul>

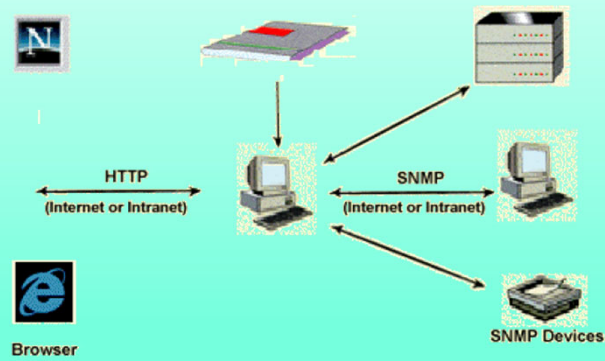
Current real management structures very complex, with several operational models simultaneously

11



12

## WEB-based management

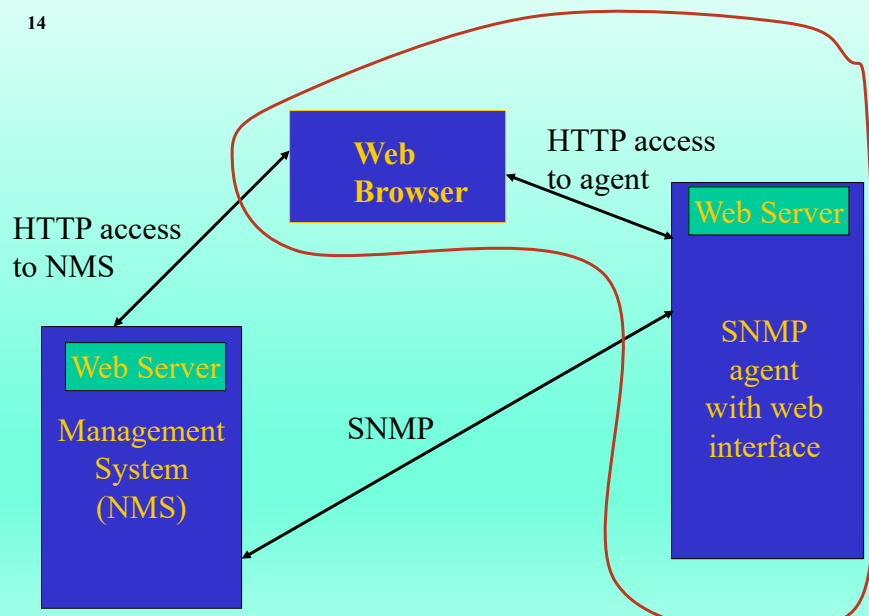


**Very common:**  
**Network and device management via web interfaces**

13

## Web-based management concept

14



14

## Network management

- ISO defined five areas for network management
  - **Fault management** – detection, isolation, and correction of anomaly behaviors

### F AULT

- **Configuration management** – control data for the network elements / collect data from network elements

### C ONFIGURATION

- **Accounting management** – measure network utilization and determine network costs and user accountings

### A CCOUNTING

- **Performance management** – evaluate/report network equipment behavior/efficiency

### P ERFORMANCE

- **Security management** – support communications network secure management

### S ECURITY

15

## Network management

- ISO defined five areas for network management
  - **Fault management** – detection, isolation, and correction of anomaly behaviors

### F AULT

- **Configuration management** – control data for the network elements / collect data from network elements

### C ONFIGURATION

- **Accounting management** – measure network utilization and determine network costs and user accountings

### A CCOUNTING

- **Performance management** – evaluate/report network equipment behavior/efficiency

### P ERFORMANCE

- **Security management** – support communications network secure management

### S ECURITY

Isolating the management problems in distinct areas, ISO model allows conceptual solutions optimized to specific problems in each functional area

16



## Fault management

- **Location of problems (or faults) in the communications network:**
  - Fault detection
  - Fault isolation
  - Fault correction
- **Faults can be:**
  - Transients
  - Persistents
- **Fault management includes functions to:**
  - Mantain and examine error logs
  - Create and act in error notifications
  - Search, identify and correct faults
  - Perform diagnosis test sequences

17

## Accounting management

- **Detect resource usage and its administration to assure its availability for the users**
- **Access control per user**
- **Allow costs per resource usage and association to tariffs**
- **It includes functions to**
  - Inform users about costs and used resources
  - Establish utilization limits
  - Combine costs from multiple resources used to create the communication system

19



## Configuration management

- **The configuration of critical elements controls the network behavior**
  - **The configuration management resides through these critical elements**
- **Configuration management identifies, acts, merges data and provides commands to systems to initialize, start, maintain in continuous operation, and terminate connections**
- **It includes functions to**
  - **Define parameters that control the system operation**
  - **Merge information about the actual system conditions**
  - **Modify the system configuration**

20

## Performance management

- **Measure the network performance (HW, SW). E.g.**
  - **Usage percentage, error rates, answer time, throughput**
- **Performance management supports the evaluation of system actions**
- **It includes functions to**
  - **Obtain statistical information**
  - **Maintain and examine logs of system state**
  - **Determine the system performance in normal and artificial conditions**
  - **Change working modes to perform management and performance functions**

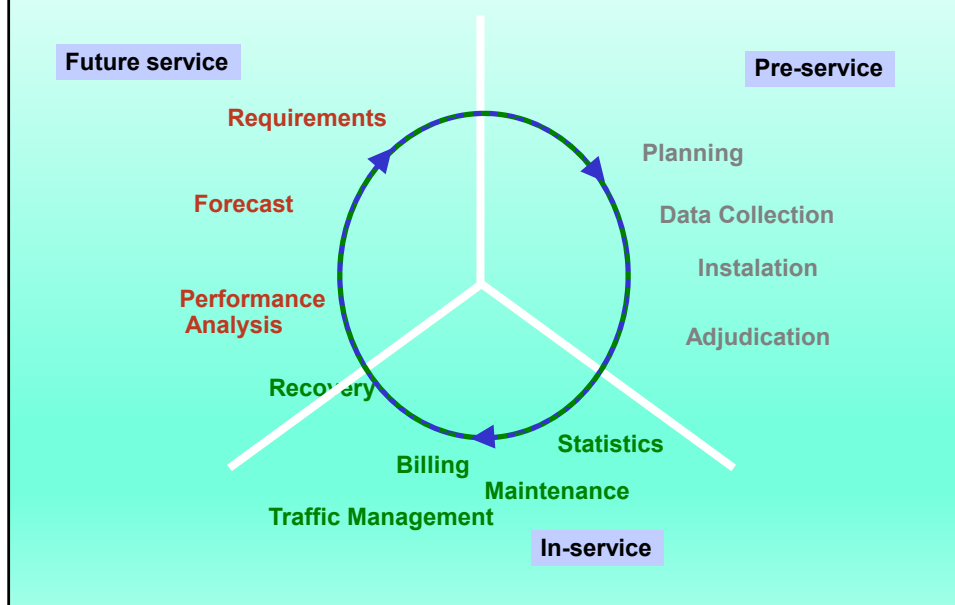
21

## Security management

1. Access control mechanism to network information
2. Security of the service provided
  - Monitors access points, periodically stores information and creates *logs* and alarms for security reasons
  - Supports the appliance of security policies through functions to:
    - Create, remove and control of services and security mechanisms
    - Distribute information related to security
    - Report events associated to security

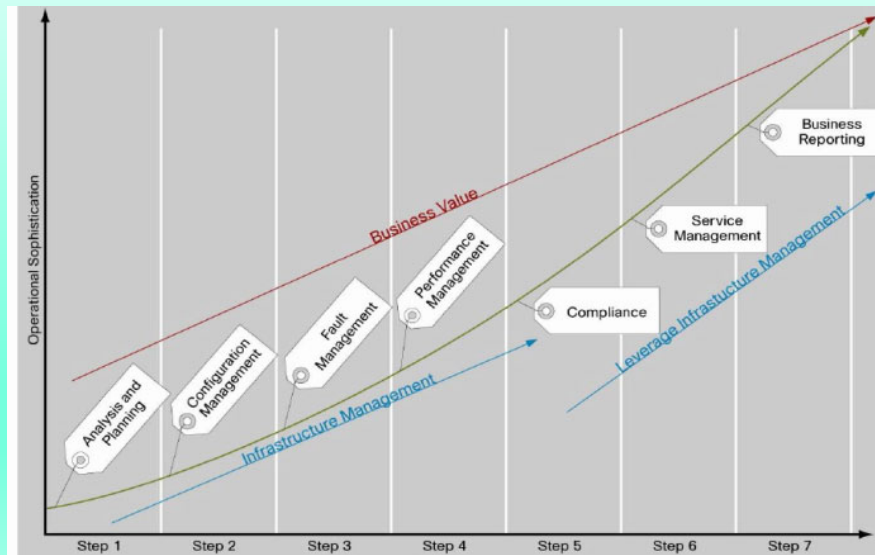
22

## Management Life Cycle



23

## Implementation plans



24

## Aspects of Network Management

- **What to manage?**
  - Network, equipment, systems, users, services, applications
- **How to manage?**
  - Interfaces, actions, abstractions
- **What protocol(s) format(s)?**
  - Protocol abstraction, formats, messages
- **What information format(s)?**
  - Information type

**Standards for all this – including global frameworks**

25

## Management protocols

- Methods to monitor and configure network equipments
- Do not describe how to achieve management objectives

Simple protocols <sup>2</sup> common data and parameters formats allowing easy information transfer

Complex protocols <sup>2</sup> add flexibility and security capacity

Advanced protocols <sup>2</sup> remotely execute network management tasks, without depending on specific protocol layers

26

## Tools for network management

- WAN/LAN monitoring and analyzers
- Software monitors
- Security managers
- Documents, presentations and administrative instruments
- Tools for cross-analysis
- Databases, tools for information management
- Console emulator
- Tools for systems modelling
- Toolkits for development

27

universidade  
de aveiro

## Network management standardization global models

28

- **Internet Engineering Task Force (IETF)**
  - **Simple Network Management Protocol**
    - SNMP, disman
    - *Operations and Management Area*
- **International Telecommunications Union (ITU-T)**
  - **Telecommunications Management Network**
    - *SG IV*
- **International Standard Organization (ISO)**
  - **OSI, CMIP-CSIS**
    - *ISO-IEC/JTC 1/WG 4*
- **Others**
  - **DMTF, TM FORUM, OMG, IEEE, ...**

**Early discussions across bodies. Now cooperation is the normal across bodies.**

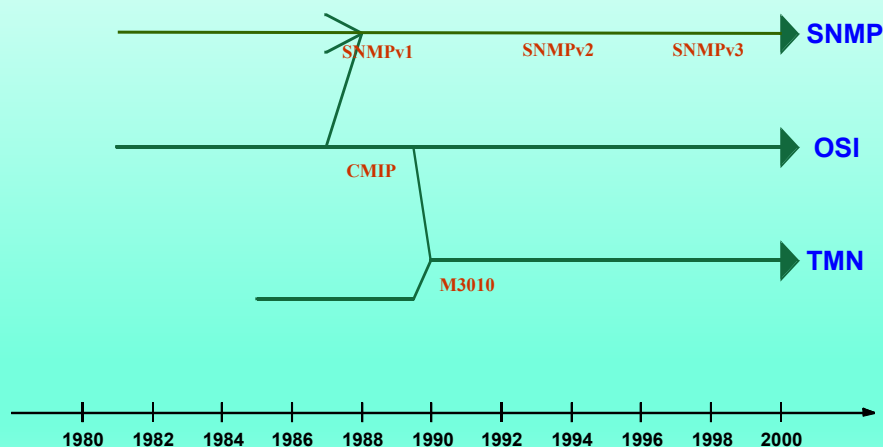
28

universidade  
de aveiro

## Early Cronology

29

(why we reached here)



Note that concepts are different: SNMP copes with part of the OSI management framework, and the TMN expands the OSI framework into a focused environment (telecommunication operators)

29

## SNMP

(short review)

### Simple Network Management Protocol

30

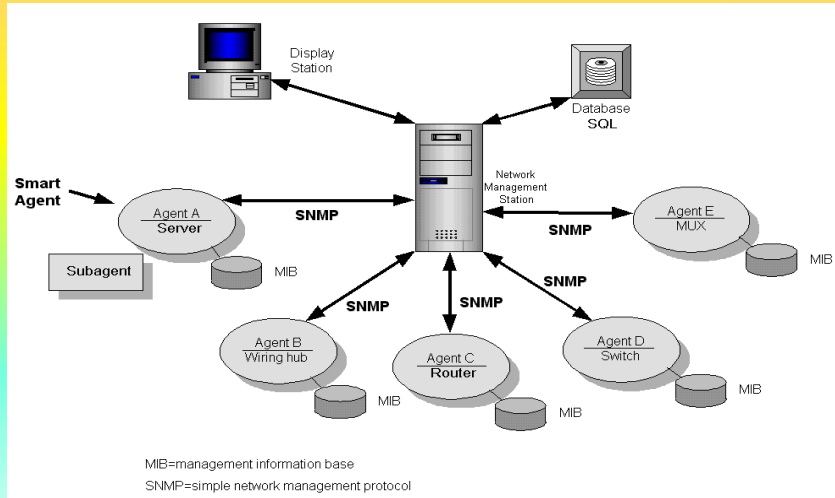
31

## Manager/Agent Paradigm

- **Manager/agent: common in all NMS (especially in SNMP/CMIP)**
- **Idea of a client/server, but many clients and only some servers**
  - (manager ° client; agent ° server)
- **The agent operates with the equipment**
  - Reports problems to the manager, to control all the equipment information
- **The manager contains the intelligence to decide what the agents should do, and gives instructions to them**
  - It controls the agents and manages their interworking

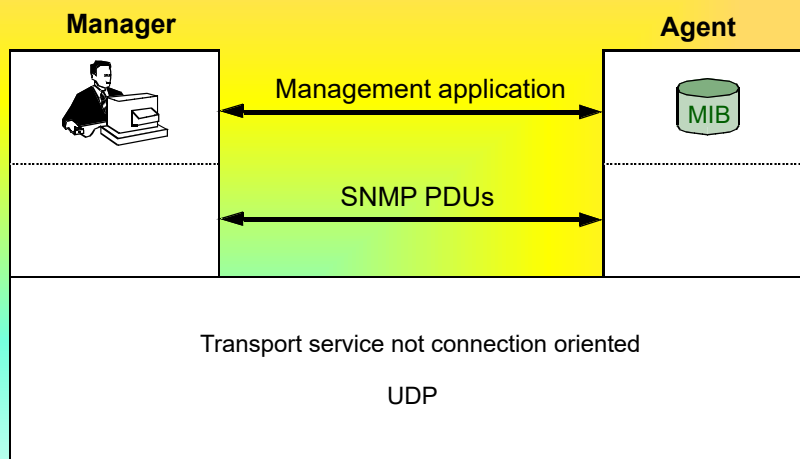
31

## Structure of SNMP management



32

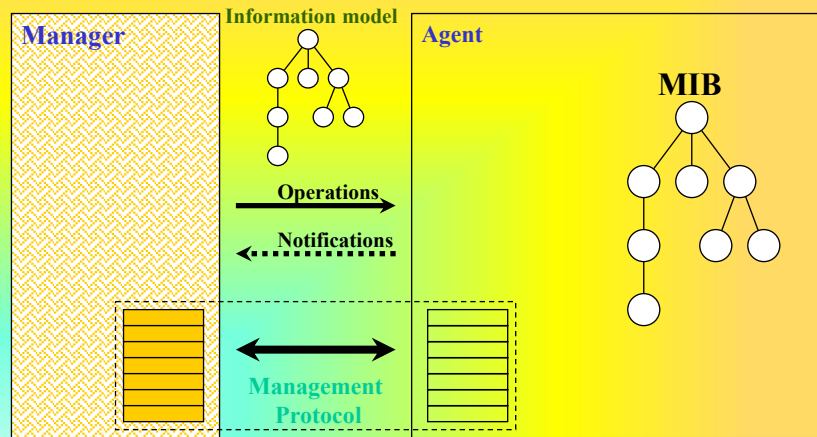
## SNMP Structure



33



## Manager/Agent model



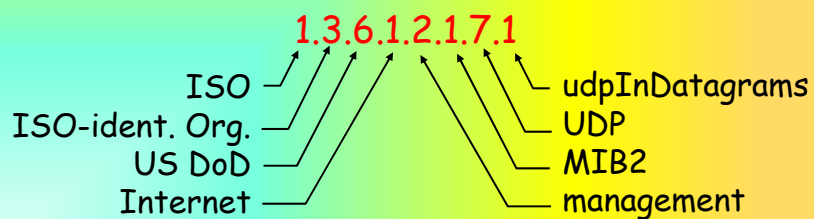
34

## Names (numbers) SNMP

**Problem:** How to name all possible objects  
(protocols, data, etc..) in all possible protocols??

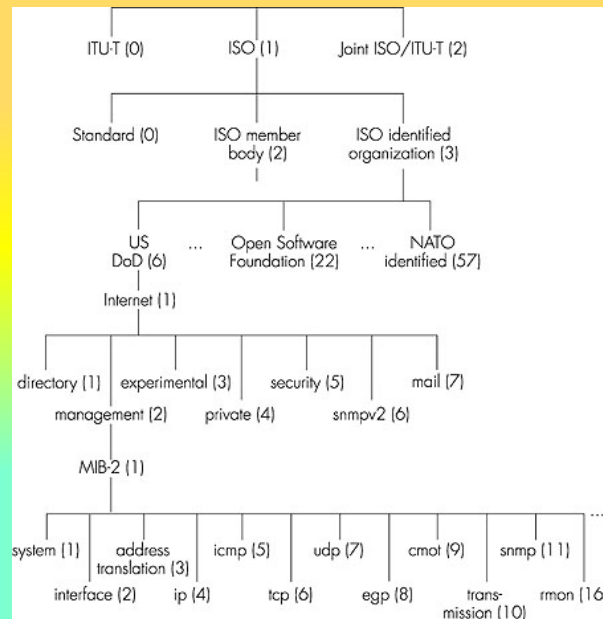
**Answer:** ISO Object Identifier tree:

- Hierarchical naming for objects
- Each node has a name and a number



35

## OSI Object Identifier Tree



[www.alvestrand.no/harald/objectid/top.html](http://www.alvestrand.no/harald/objectid/top.html)

36

## SNMP: Polling

- Manager periodically asks the agent for new information
- ☺ **Advantage:** Manager completely controls the equipment, and knows all network details
- ☹ **Disadvantage:** delay between event and its entry in the system, and unnecessary communication overhead:
  - Slow polling, slow answer to the events
  - Quick polling, quick reaction, but large bandwidth wastage

37

37

## SNMP: Traps

- There is an event <sup>2</sup> trap is sent
- Trap contains appropriate information  
equipment name, time instant of event, type of event
- ☺ **Advantage:** information only generated when required
- ☹ **Disadvantage:**
  - ☹ More resources required in the managed equipment
  - ☹ Traps can be useless
    - If many events occur, bandwidth can be wasted with all traps (thresholds can solve)
    - Since the agent has only a limited scope of the network, NMS may already know about the events.
- **Traps&Polling**
  - Event occurs <sup>2</sup> trap is sent
  - Manager performs polling to obtain the rest of information
  - Manager also performs periodic polling, as backup

38

38

## SNMP Protocol: types of messages

<u>Types of messages</u>	<u>Function</u>
GetRequest GetNextRequest GetBulkRequest	Mgr $\rightarrow$ agent: "get me data" (instantiates, next on the list, block of information)
InformRequest	Agent $\rightarrow$ Mgr: informs the Manager of exception in a reliable way
SetRequest	Mgr $\rightarrow$ agent: defines MIB value
Response	Agent $\rightarrow$ mgr: answer value to Request
Trap	Agent $\rightarrow$ mgr: informs the manager of an exception event

39

39

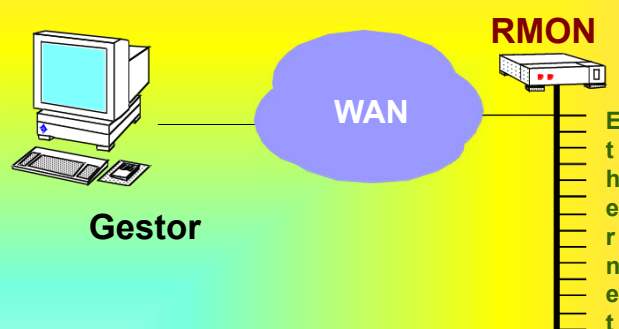
## SNMP: security and authentication

- In its initial version, the authorization and authentication were based in the notion of **“SNMP community string”**
- The “community words” identifying the permissions of the machine that access the agente: read-only ou read-write
- By default, all systems come configured with the strings:
  - **public (read-only)**
  - **private (read-write)**
- These strings are case sensitive.

40

40

## REMOTE MONITORING



- RMON1 (RFC 1757)
- Token Ring extensions to RMON (RFC 1513)
- RMON2 (RFC 2021)
- SMON (RFC 2613)

41

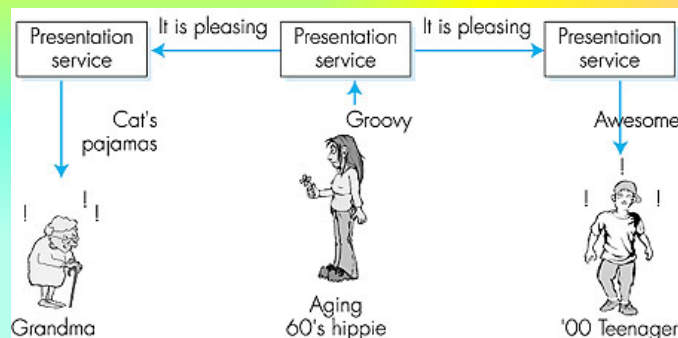
## RMON

- **Remote monitoring MIB – measure network traffic**
  - **Agents – management interface**
  - **Probes – equipment for network analysis (promiscuous); usually configured to specific data types.**
- **Off-line operation (separated from the network)**
- **Preemptive monitoring, providing multiple information in the network.**
- **Support multiple managers and probes**
- **Detection and report of problems**
- **RMON has 9 groups:**
  - Statistics, History, Alarm, Host, HostTopN, Matrix, Filter, Packet Capture, and Event**

42

## The presentation problem?

1. **Translate the local format to a host-independent format.**
2. **Transmit the data in a host-independent format**
3. **Translate the host-independent format in a format adequate to the new machine adequado à nova máquina.**



43

## ASN.1

- **ISO X.680 standard**
  - Formal language to describe SMI
  - Frequent in Internet
  - “Heavy”, but essential for heterogenous environments.
- **Data types, object constructors**
  - As in SMI
- **BER: Basic Encoding Rules**
  - Specified the format as ASN.1 data should be transmitted.
  - Each transmitted object has a coding Type, Length, Value (TLV) encoding

44

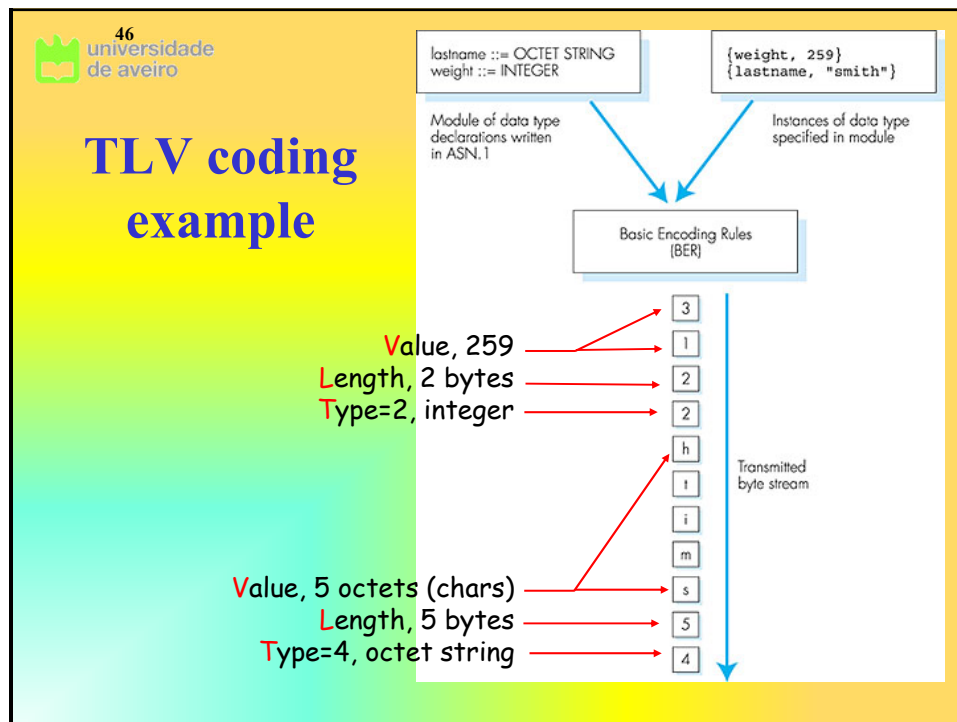
## TLV Coding

- Idea:** Data must be auto-identified
- **T**: data type, (ASN.1-defined)
  - **L**: data lenght in bytes
  - **V**: data, coded according with ASN.1 syntax.

### Valor Tag Tipo

1	Boolean
2	Integer
3	Bitstring
4	Octet string
5	Null
6	Object Identifier
9	Real

45



46

47 universidade de aveiro

## SNMP: Pros and Cons

<ul style="list-style-type: none"> <li>• Agents widely used/known</li> <li>• Simple to implement</li> <li>• Robust e extensible</li> <li>• Polling approach adequate to LAN objects</li> </ul> <p><b>Critical requirement satisfied: available to be developed in the right time</b></p>	<ul style="list-style-type: none"> <li>• Very simple: does not scale</li> <li>• Specific semantics make its integration with other approaches difficult</li> <li>• Large communication overhead due to polling</li> <li>• Many specific implementations (private MIBs)</li> <li>• In several management systems, small agents may be inadequate</li> </ul>
--	--

Note that SNMP became a misnomer, referring both to the management protocol and the management framework. These are different things.

47



## PBM and COPS

**Concept: Policy Based Management**  
**Protocol: Common Open Policy Service**

48

## Policies - Example

- **Network with multiple services support**
  - **Differentiated QoS**
  - **Additional requirements in AAA functions**
    - Different levels
      - User
      - Service
      - QoS
- **Service authorized**
  - **only to some users**
  - **between authorized network points**
  - **with specific QoS requirements**
  - **between specific time intervals**
- **User also needs to be charged according to the service characteristics being received**

49

## Management based on Policies

- **Objective**: globally manage the network and not its elements.
- **Mechanism**:
  - **Define policies (rules) to inform the network of what to do – e.g:**
    - Operation center should have access to all routers
    - Charging department has priority in the last 3 months of each year
    - In the maximum, only 10% of each link can transport video.
  - **The policy rules are translated in equipment configuration changes**

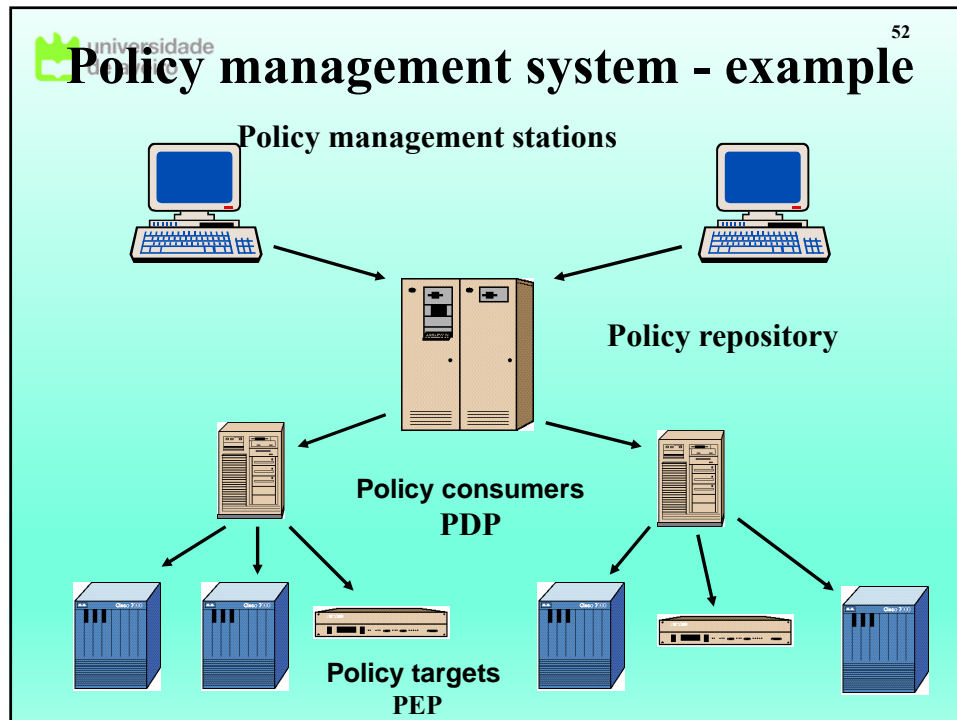
50

## Elements of systems based on policies

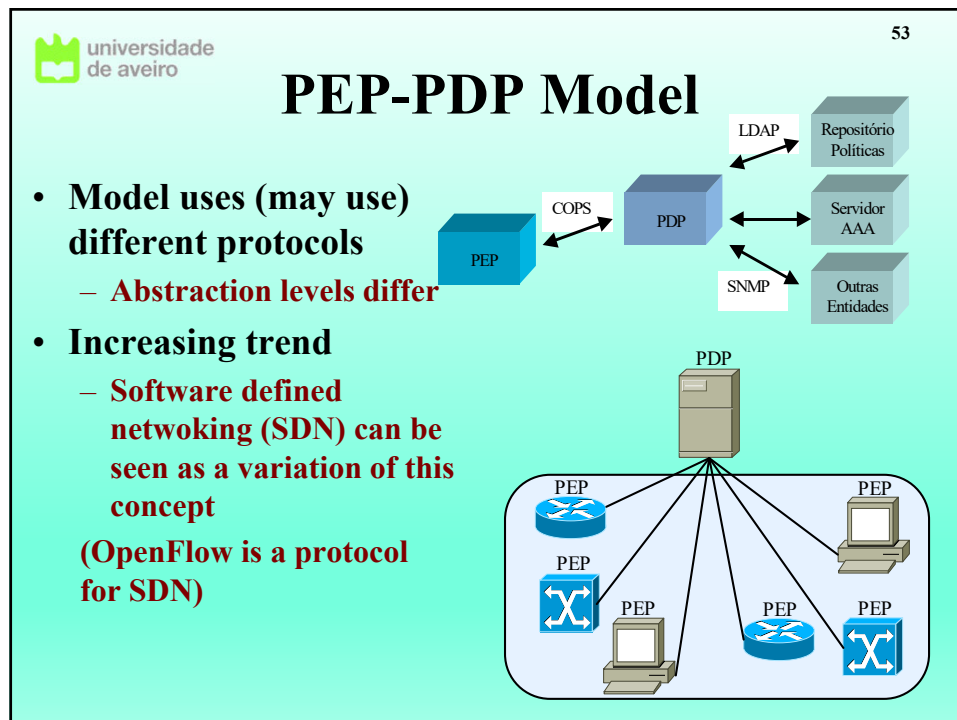
### Conceptual parts:

- **Management policy tools:**
  - Used to create the policy rules
- **Policies repository**
  - Store the policy rules
- **Policy consumers – *policy decision points, PDP***
  - Make decisions and transfer the policy rules (eventually translated) to the policy targets.
- **Policy targets, *policy enforcement points, PEP***
  - Functional elements affected by the policy rules.

51



52

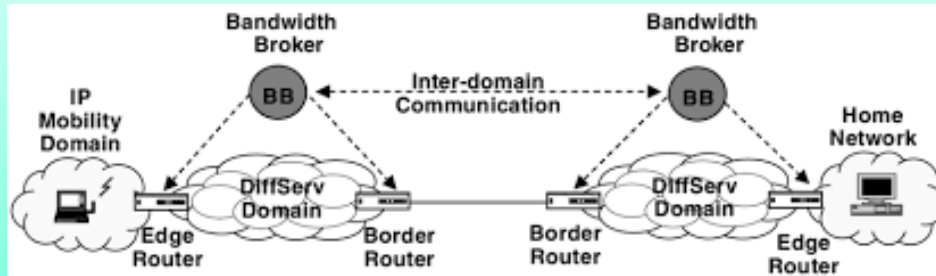


53

universidade  
de aveiro

## Diffserv: Bandwidth/QoS Broker

54



This model is increasingly common with centralized control architectures (e.g. celular)

54

universidade  
de aveiro

## Processing rules - sequence

55

- **Rules definition**
  - **Verify internal conflicts**
  - **Include in a repository (e.g. with LDAP)**
- **Get policies from policy consumers**
  - **Take decisions based on policies**
  - **Processed to create configurations in policy targets**
  - **May use temporal restrictions**
- **Send policies to policy targets**
  - **Can be “pushed” or “pulled” (e.g. by COPS or SNMP)**
- **Policy targets**
  - **Instal configurations**

55



universidade  
de aveiro

## COPS – Common Open Policy Service

56

- Question/answer protocol to PDP-PEP interaction
- Based on TCP
- Maintains state synchronization
  - Recovers from fault
  - State maintenance with keep-alive
- PDP can send notifications to PEP
  - Default concept was for QoS support/control
- PDP can receive policies through LDAP and SNMP
- Supports two types of clients
  - RSVP, outsourcing model
  - Diff-serv, configuration model

56



universidade  
de aveiro

## PDP-PEP Interactions

57

- Outsourcing (RSVP)
  - PEP contacts PDP when a decision is needed
  - Request contains relevant elements for the policy, and admission control information (e.g. flowspec)
  - Best match for RSVP-based QoS systems
- Configuration requests (Diffserv)
  - PDP configures PEP with specific equipment information
  - Considers a PIB (policy information base) that maintains provisioning information
  - Best match for DiffServ-based QoS systems

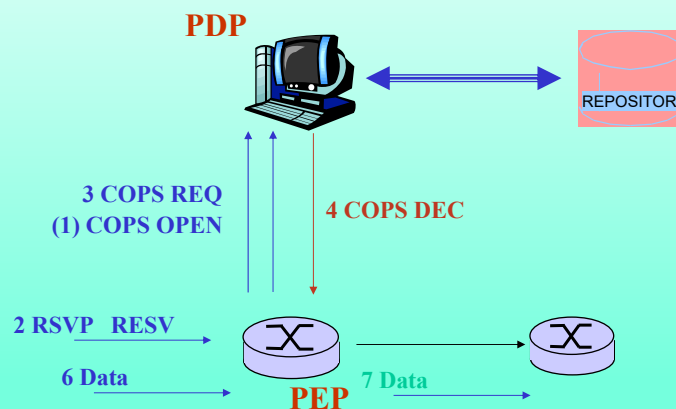
57

## COPS Session

- PEP opens a COPS session  
(specifying a client type: **RSVP, DiffServ**)
- PEP sends requests and receives answers
- PEP can also send non-solicited commands
- PDP can change commands previously sent
- PEP sends messages related to resources utilization (charging)
- *KeepAlives* are sent if there is no activity

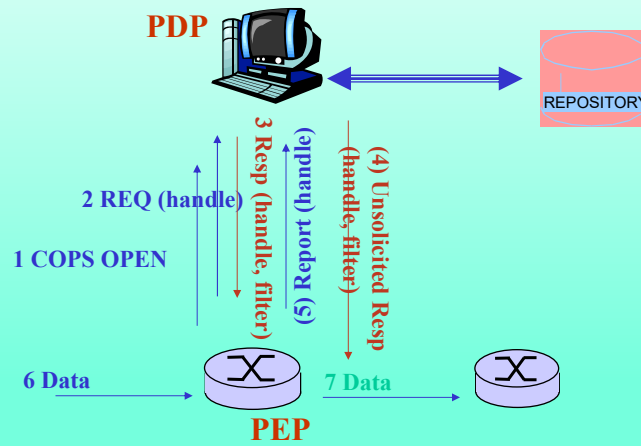
59

## COPS with RSVP



60

## COPS with DiffServ



**It is not required end-to-end signalling**  
**It configures routers with packets lists and actions**

61

## CMIS/CMIP

**Common Management Information  
Services/Protocol**

62



## Management protocols (LAN-oriented)

63

### OSI CMIP

- Common Management Information Protocol
- Designed in 1980's: *the* unifying protocol (**"advanced"**) to network management
- Implemented very slowly

### SNMP: Simple Network Management Protocol

- Internet based (SGMP)
- Very simple in the beginning
- Rapidly spreaded
- It grew in largeness and complexity
- actual: SNMPv3
- Management protocol *de facto*

63

## OSI Management architecture

64

ITU-T	Acronym	Title
X.701		<i>System Management Overview</i>
X.710	CMIS	<i>Common Management Information Service</i>
X.711	CMIP	<i>Common Management Information Protocol</i>
X.712	CMIP-PICS	<i>CMIP Protocol Implementation Conformance State Proforma</i>
X.720	MIM	<i>Management Information Model (defines fundamental concepts of the objects)</i>
X.721	DMI	<i>Definition of Management Information</i>
X.722	GDMO	<i>Guideline for Definition of Management Objects (techniques for specification of objects)</i>

64

## CMIS/CMIP

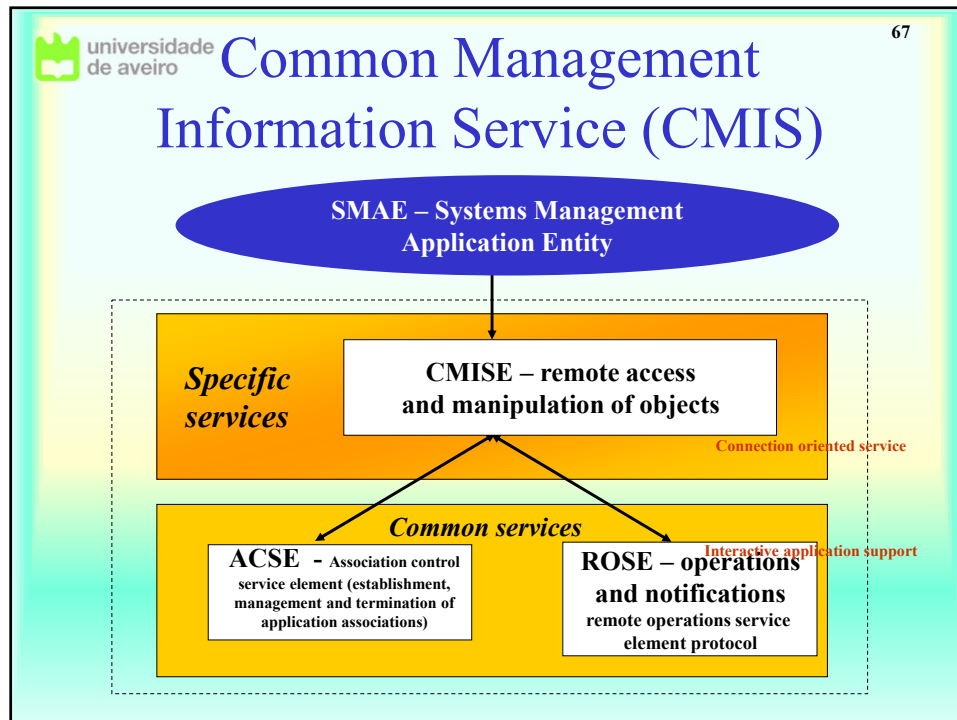
- **Approach object-oriented - objects**
  - **Have attributes**
  - **Generate events/notifications (reliably)**
  - **Execute operations**
- **Objects with same attributes, notifications and operations belong to the same class**
- **Objects inserted in multiples hierarchies, with different inherits and containers**
- **Intelligent agents**
  - **Can use rules or policies defined by the manager**
  - **Can be changed on-line**
- **Actions (verbs)**  
**GET, SET, CREATE, DELETE, ACTION, NOTIFICATION, CANCEL\_GET**
- **Capacity of CMIP actions is related to scoping and filtering capacities - through GDMOs**

65

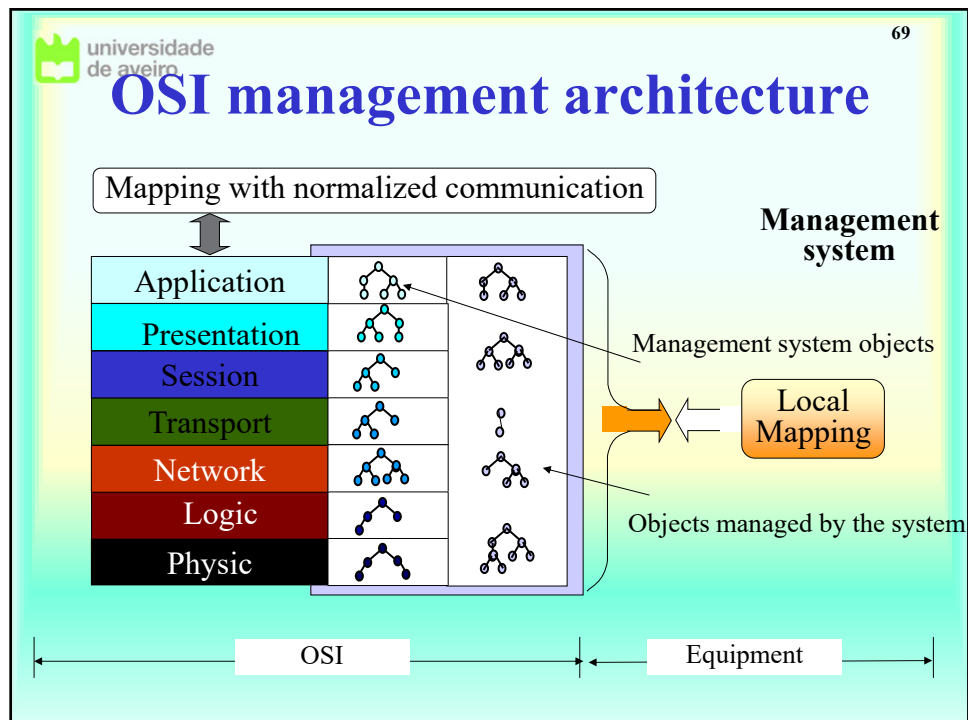
## CMIP - GDMOs

- **Guideline for the Definition of Managed Objects**
  - **The equipment through which the agent operates**
- **Model objects inside the equipment**
  - **Instantiation of GDMOs is called MIB**
- **Do not have well-defined behaviors, with large implementation freedom**
  - **Flexibility**
  - **Problem (complexity)**
- **CMIP is not polling oriented**
  - **Better scalability is achieved**
- **There are not so many defined GDMOs as MIBs**

66



67



69

## CMIP: pros and cons

- **CMIP advantages**
  - Object-oriented approach is flexible and extensible
  - Support from telecommunications industry and international vendors
  - Support of manager-manager interaction
  - Support of automation environments
  - Imposed in some industrial areas
- **CMIP disadvantages**
  - Complex and multi-layer
  - Large management overhead
  - Few management systems based on CMIP
  - Few CMIP agents in use
  - Generally rejected in the Internet.

70

## Frameworks: SNMP and CMIS

### SNMP

- Static MIBs
- Concepts of limited models
- Non-connection oriented protocol
- Polling model
- Implementation-oriented
- Light
- Limited functionalities
- Bulk capacity only in new versions
- Completely dominating the market
- Many SNMP-based products

### CMIS

- Dynamic MIBs
- Object-oriented models
- Connection-oriented protocol
- Event-oriented model
- Specification-oriented
- Heavy
- Functionalities until the system management level
- Bulk capacity with scope and filtering
- Some relevance in the telecommunications market
- Some CMIP-based products in the market

71

# TMN

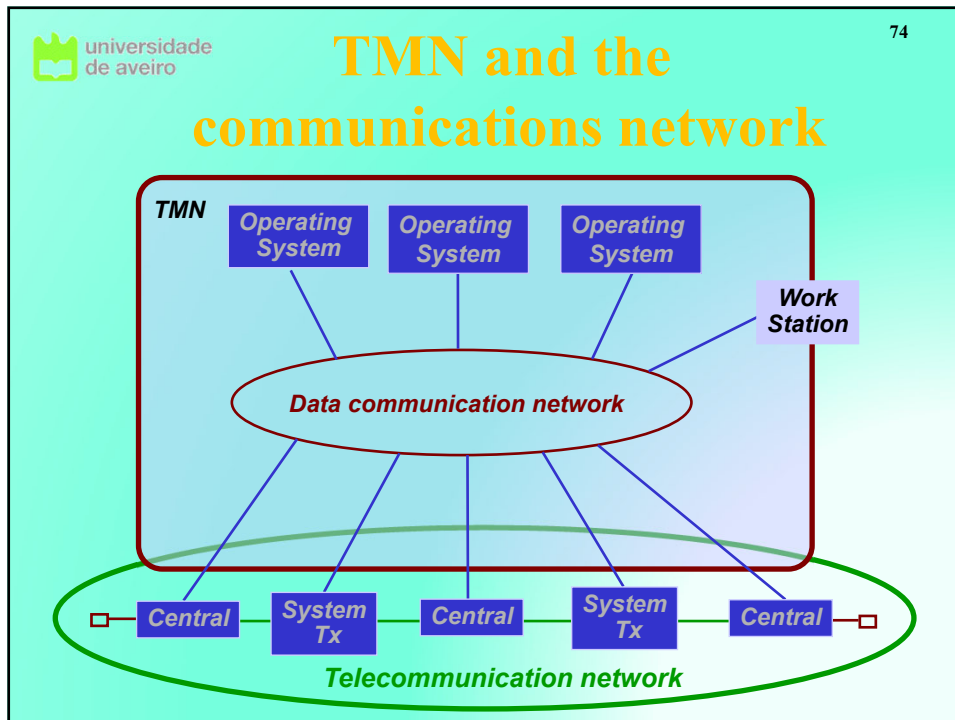
## Telecommunications Management Network

72

## What is TMN ?

- ***Objective***
  - **Support the management of the telecommunication networks and services**
- ***Concept***
  - **Create an organized structure to allow the interconnection of several operating systems and telecommunications equipments, using a well-defined architecture, with normalized protocols and interfaces**

73



74

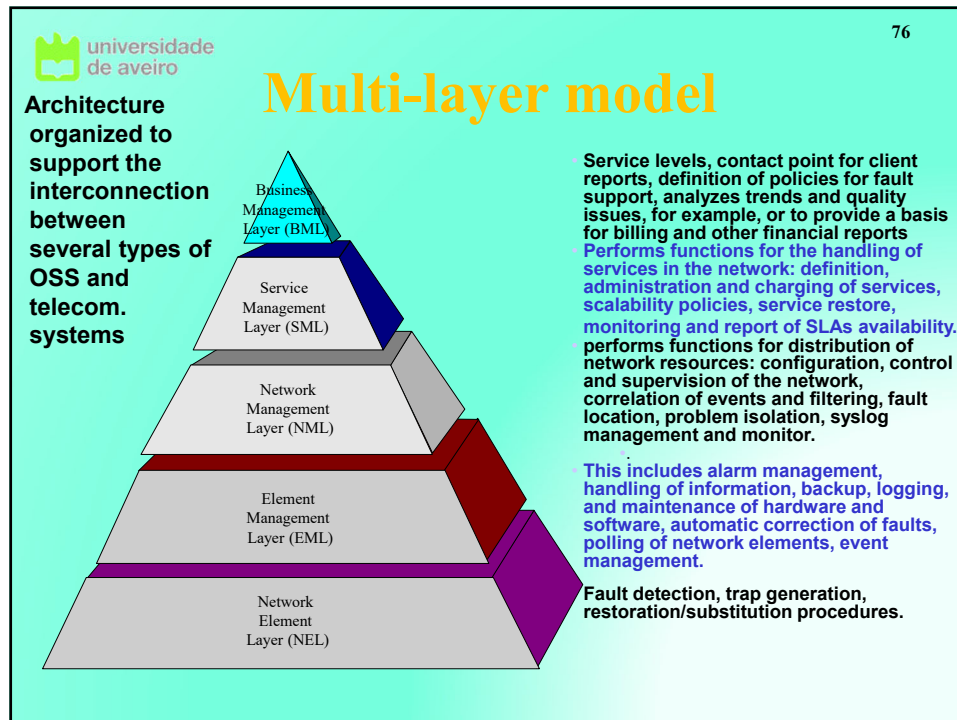
universidade de aveiro

## TMN

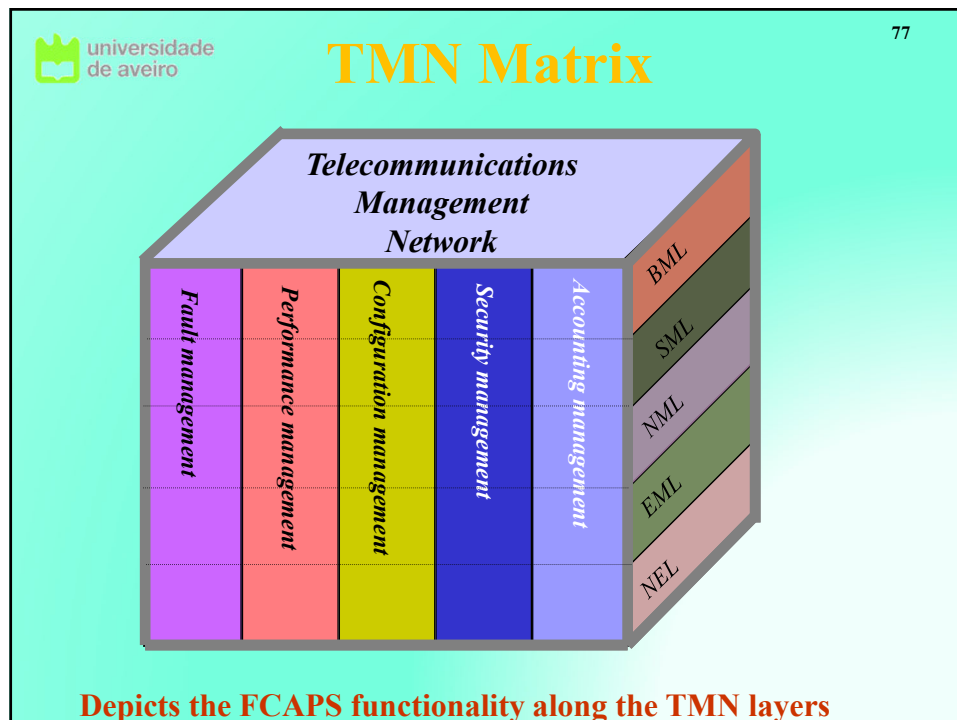
75

- **TMN is the telecommunications management network.**
  - **Relies on other management protocols and concepts.**
  - **Operations systems are where the main management functionality resides**
    - Now also known as OSS operational support systems
  - **The data communications network is where the management information flows**
  - **The TMN boundary intersects NEs (network elements) as they include some CM functionality.**
  - **Workstations provides user access to management functionality.**
    - The workstation glass interface is outside the bounds of standardisation.

75

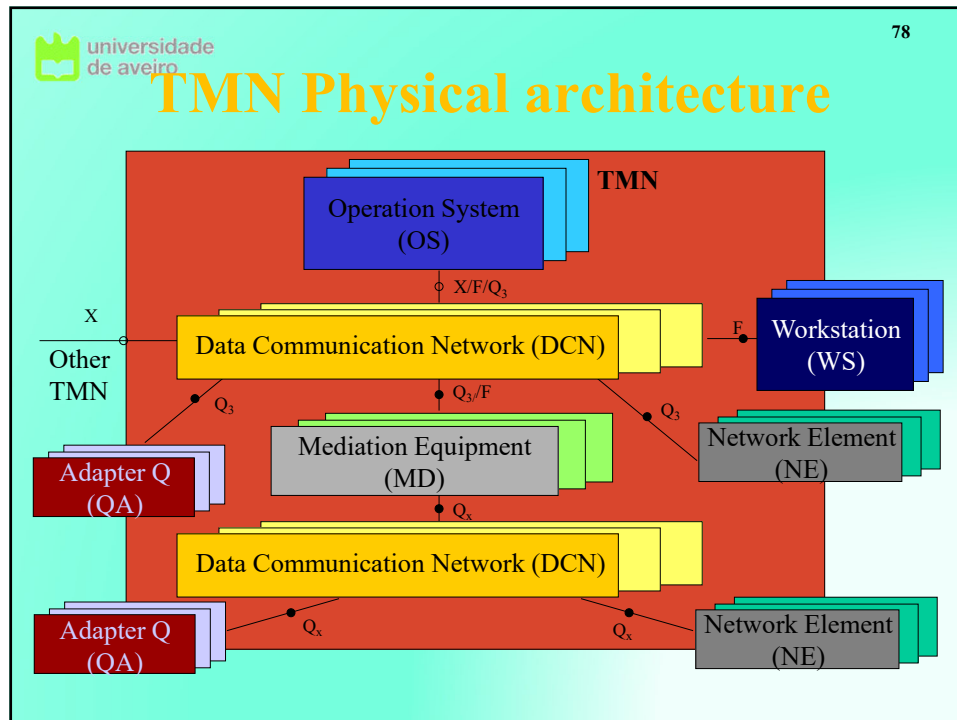


76

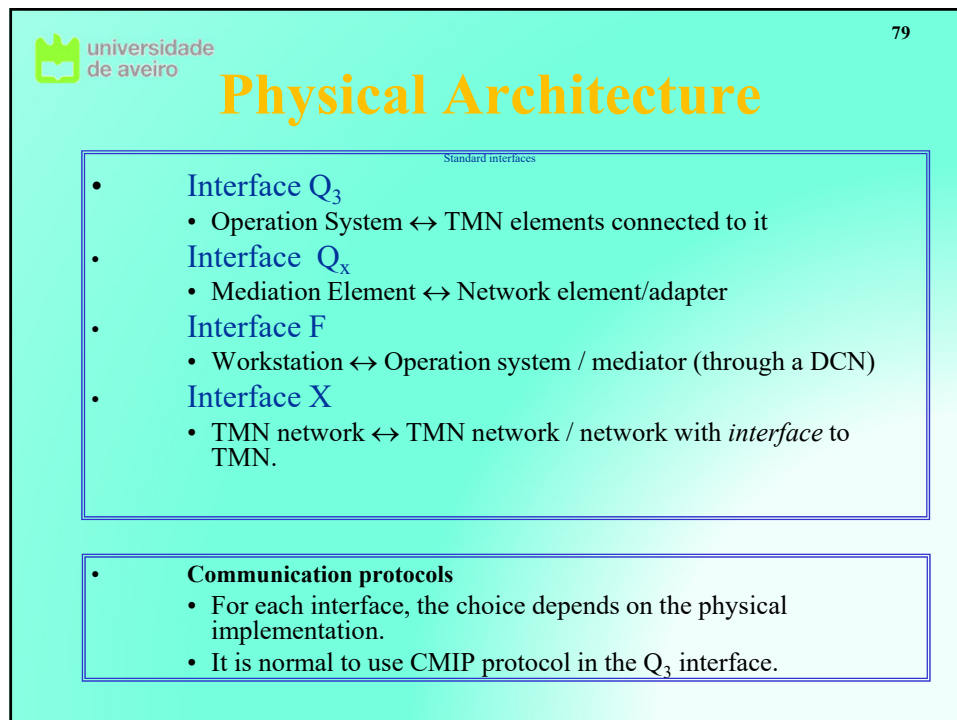


77

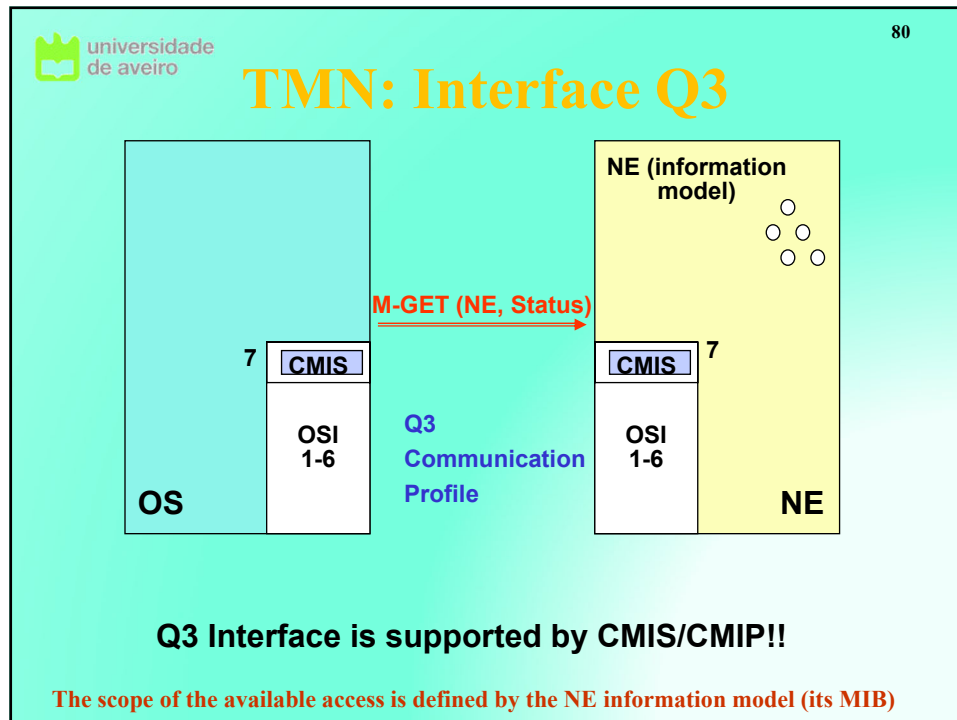




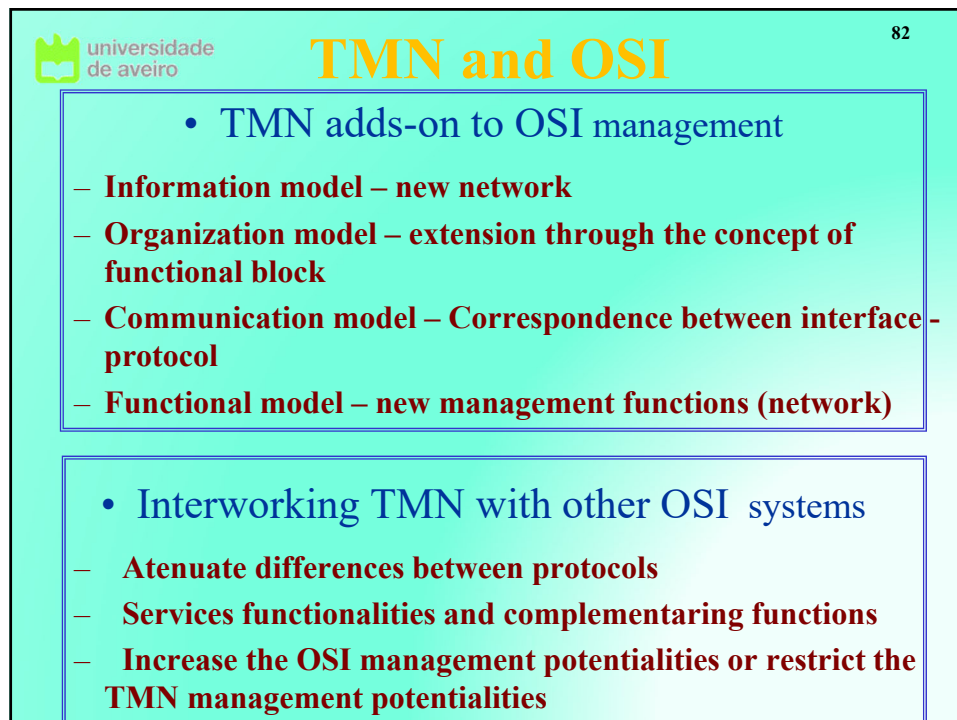
78



79



80



82