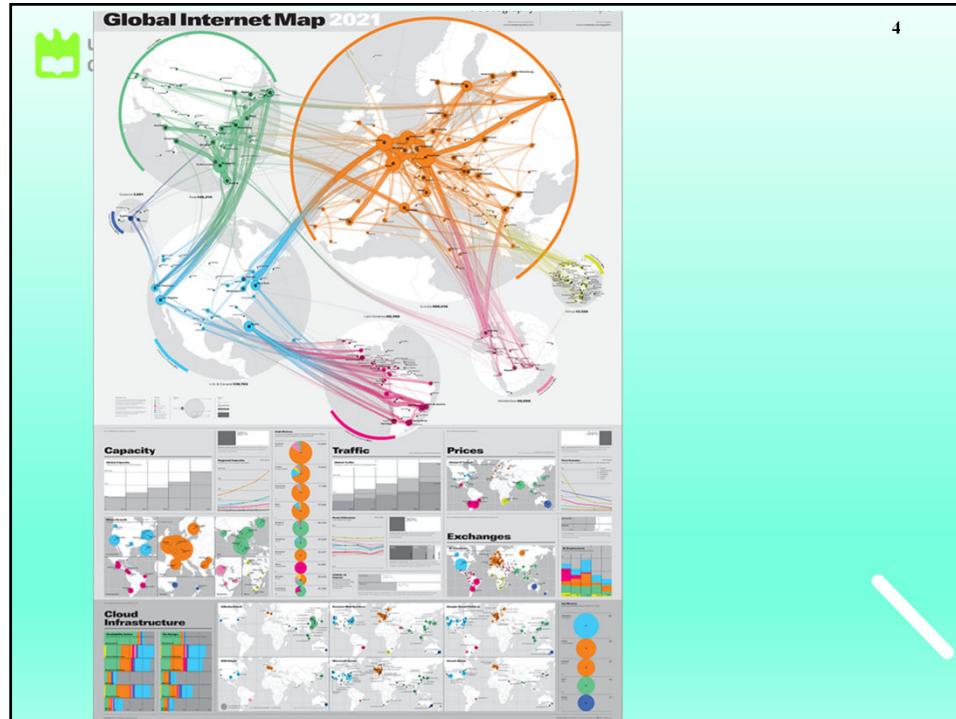


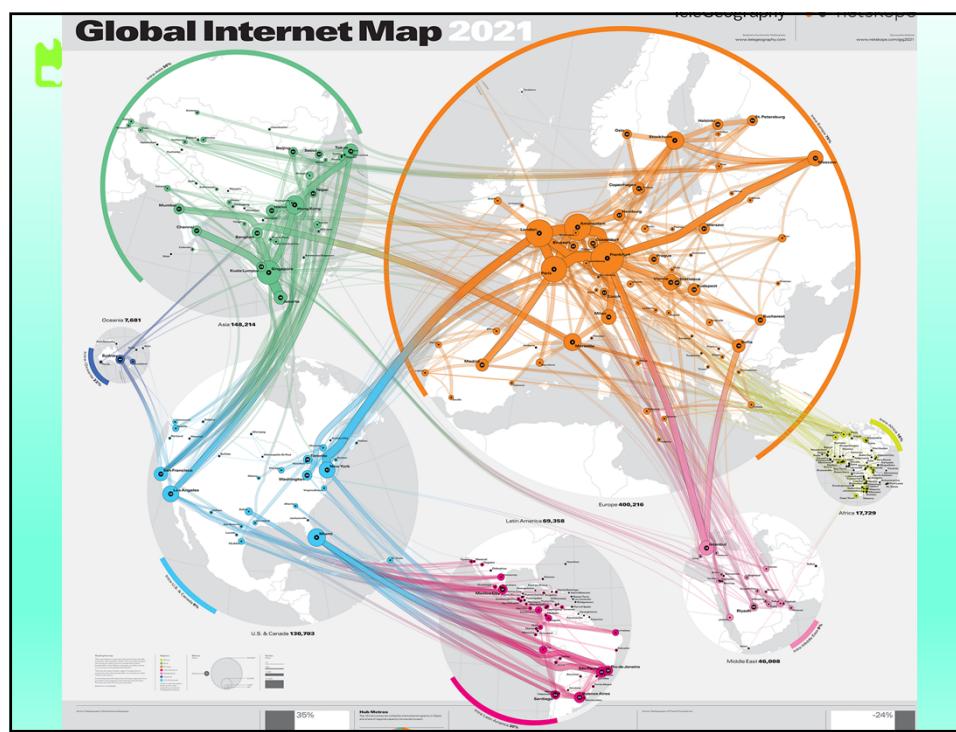
# Gestão/Management

Management of Local and Global  
Networks  
Concepts and Protocols

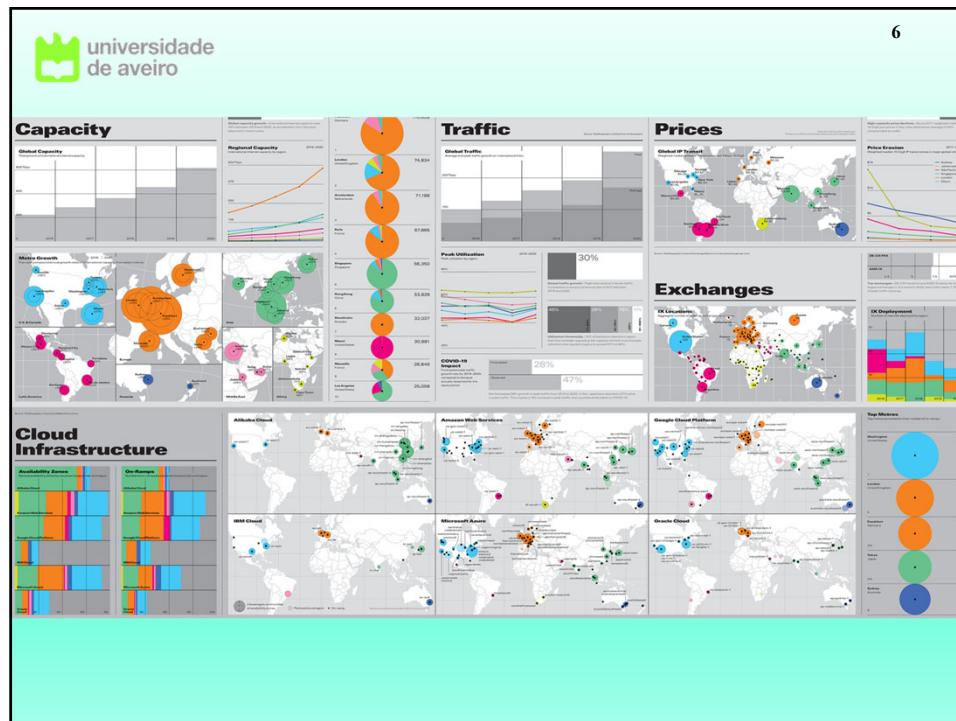
1



4



5



6



# Why Networks and Systems Management?

7

- Lower Cost – Manual management is costly
- More efficient – Automatic systems allow an efficient planning, and mechanisms to predict the utilization trends: lower errors and faster actuation
- Better service – The manager is informed at the same time the (client) is, and can make an automatic check of the situation
- Greater knowledge – more information exists about the network, allowing better decisions and planning
- Why not human intervention?
  - Difficult to describe responsibilities
  - Technology rapidly evolves
  - Management systems rapidly evolve
  - Lack of technical resources

7

universidade  
de aveiro

# Commercial perspective

8

- Problems need to be quickly solved
- Management systems simplify the work of multi-functional networks (e.g. VoIP in multiple networks)
- Persons better used – they do not need to perform repetitive tasks
- Companies need to optimize their structures, and network management allow resources optimization

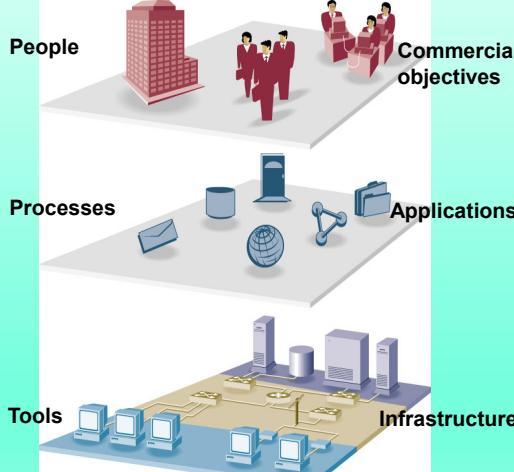


8

 universidade  
de aveiro

## Network management is:

**Implement, integrate and coordinate resources (HW, SW and people) to plan, operate, manage, analize, test, evaluate, design and expand the system to guarantee the service objectives (temporal, performance), with a reasonable cost and capacity.**

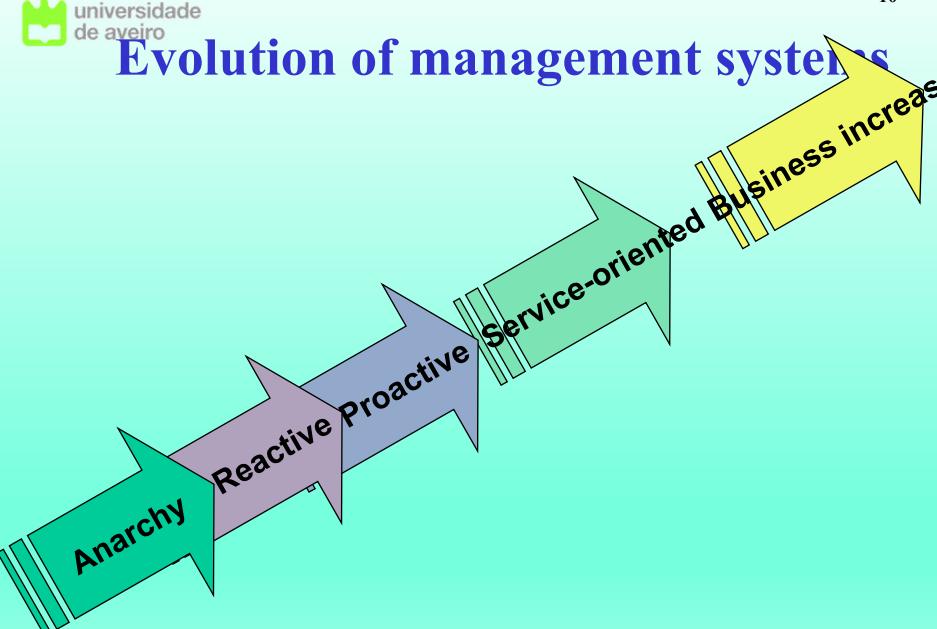


9

9

 universidade  
de aveiro

## Evolution of management systems



18

10

10

 universidade de aveiro

## Management alternatives

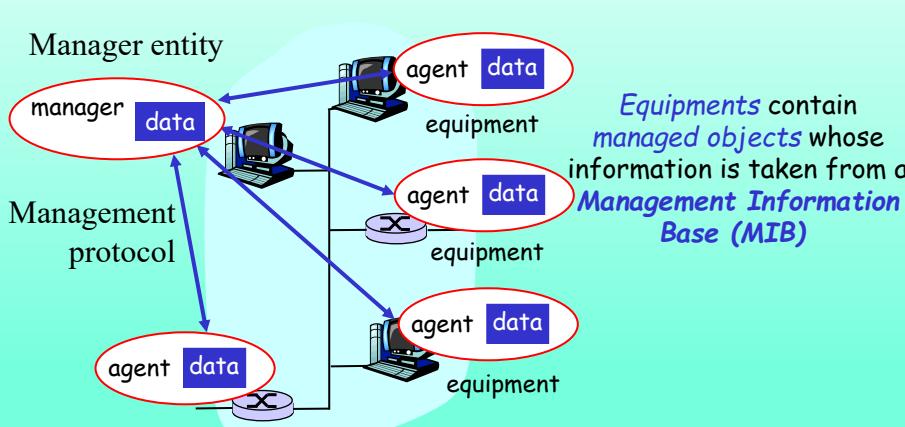
scope	<ul style="list-style-type: none"> <li>• <b>Systems management</b> – Covers all company aspects</li> <li>• <b>Networks management</b> – Covers mainly network aspects and communications systems and equipment</li> </ul>
communication protocol	<ul style="list-style-type: none"> <li>• <b>Dedicated protocols</b> – dedicated for networks</li> <li>• <b>Web based systems</b> – resort to HTTP models, recently common</li> </ul>
Decision model	<ul style="list-style-type: none"> <li>• <b>Centralized models</b> – Agent-manager model</li> <li>• <b>Distributed models</b> – Share of the management responsibilities</li> <li>• <b>Hierarchical models</b> – Hierarchic structure with centralized information in the root</li> </ul>

Current real management structures very complex, with several operational models simultaneously

11

 universidade de aveiro

## Basic Model for Network Management



Manager entity

Management protocol

agent data

equipment

agent data

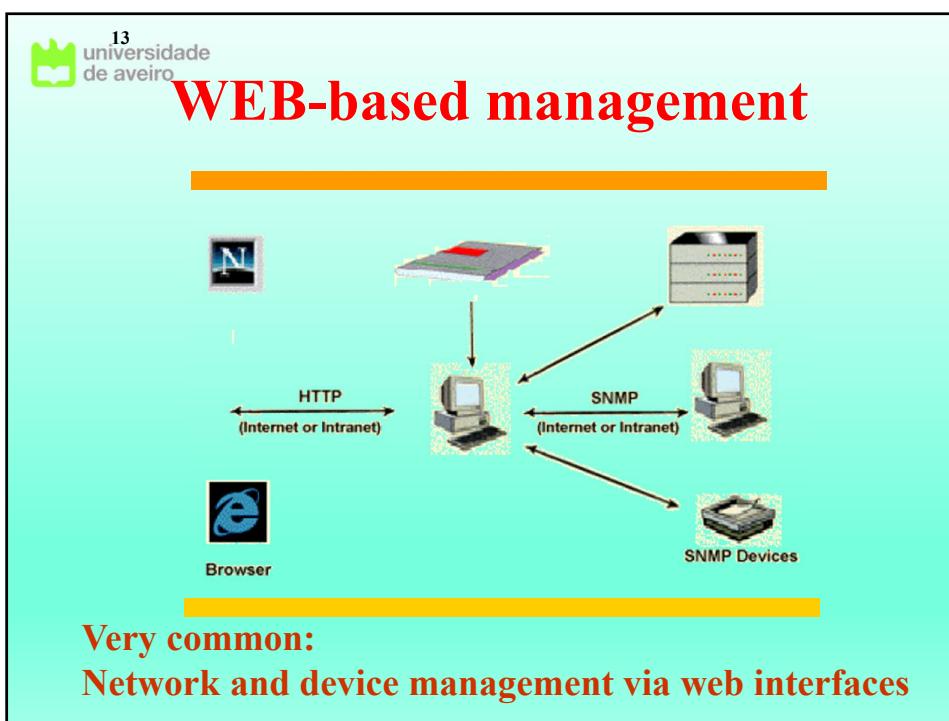
equipment

agent data

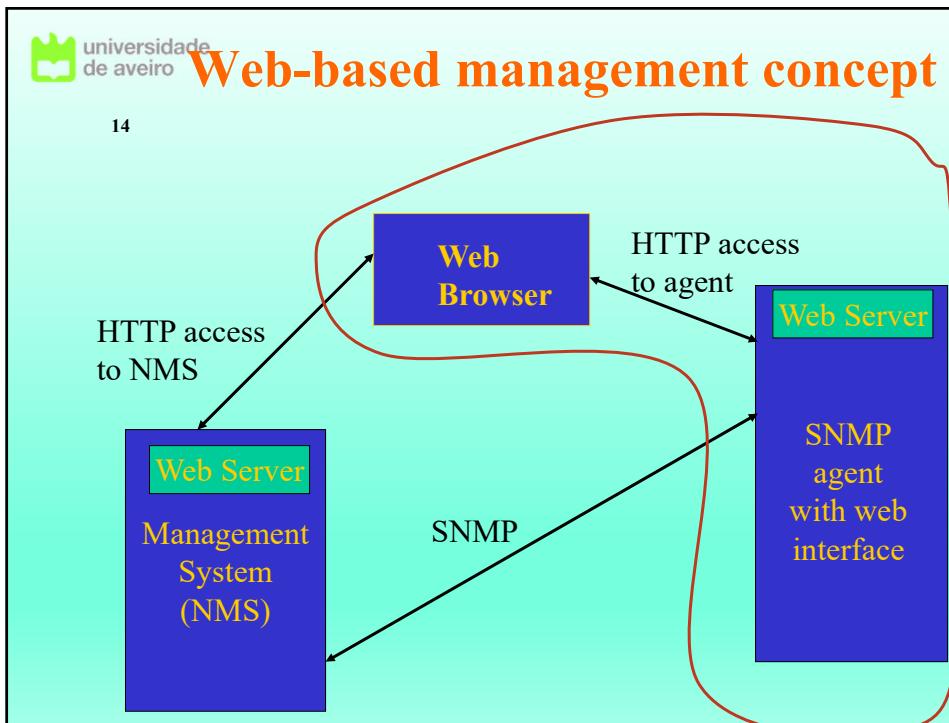
equipment

Equipments contain managed objects whose information is taken from a **Management Information Base (MIB)**

12



13



14

## Network management

- ISO defined five areas for network management
  - Fault management – detection, isolation, and correction of anomaly behaviors

**F**AULT

- Configuration management – control data for the network elements / collect data from network elements

**C**ONFIGURATION

- Accounting management – measure network utilization and determine network costs and user accountings

**A**CCOUNTING

- Performance management – evaluate/report network equipment behavior/efficiency

**P**ERFORMANCE

- Security management – support communications network secure management

**S**ECURITY

## Network management

- ISO defined five areas for network management
  - Fault management – detection, isolation, and correction of anomaly behaviors

**F**AULT

- Configuration management – control data for the network elements / collect data from network elements

**C**ONFIGURATION

- Accounting management – measure network utilization and determine network costs and user accountings

**A**CCOUNTING

- Performance management – evaluate/report network equipment behavior/efficiency

**P**ERFORMANCE

- Security management – support communications network secure management

**S**ECURITY

## Fault management

- **Location of problems (or faults) in the communications network:**
  - Fault detection
  - Fault isolation
  - Fault correction
- **Faults can be:**
  - Transients
  - Persistents
- **Fault management includes functions to:**
  - Maintain and examine error logs
  - Create and act in error notifications
  - Search, identify and correct faults
  - Perform diagnosis test sequences

17

## Accounting management

- **Detect resource usage and its administration to assure its availability for the users**
- **Access control per user**
- **Allow costs per resource usage and association to tariffs**
- **It includes functions to**
  - Inform users about costs and used resources
  - Establish utilization limits
  - Combine costs from multiple resources used to create the communication system

19

## Configuration management

- The configuration of critical elements controls the network behavior
  - The configuration management resides through these critical elements
- Configuration management identifies, acts, merges data and provides commands to systems to initialize, start, maintain in continuous operation, and terminate connections
- It includes functions to
  - Define parameters that control the system operation
  - Merge information about the actual system conditions
  - Modify the system configuration

20

## Performance management

- Measure the network performance (HW, SW). E.g.
  - Usage percentage, error rates, answer time, throughput
- Performance management supports the evaluation of system actions
- It includes functions to
  - Obtain statistical information
  - Maintain and examine logs of system state
  - Determine the system performance in normal and artificial conditions
  - Change working modes to perform management and performance functions

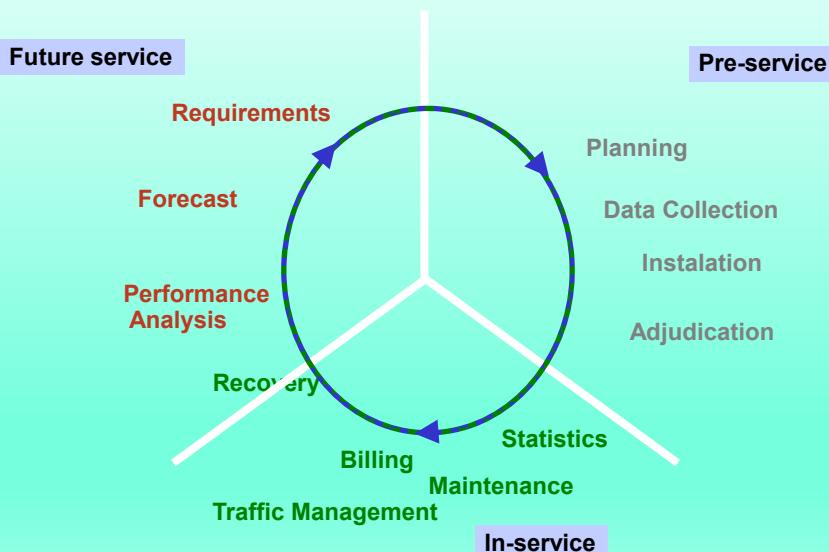
21

## Security management

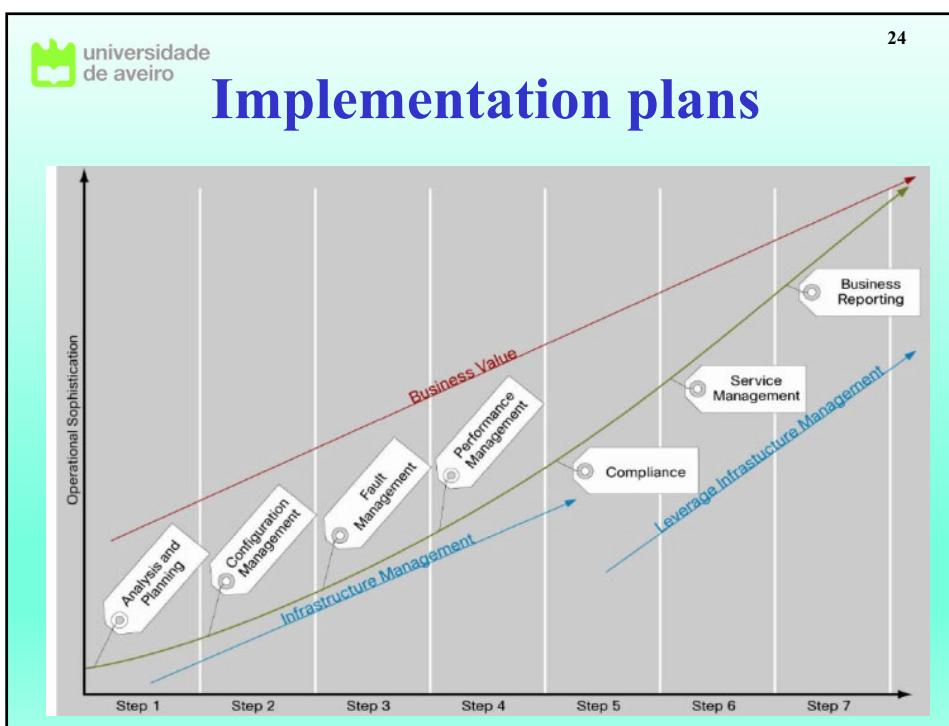
1. Access control mechanism to network information
2. Security of the service provided
  - Monitors access points, periodically stores information and creates *logs* and alarms for security reasons
  - Supports the appliance of security policies through functions to:
    - Create, remove and control of services and security mechanisms
    - Distribute information related to security
    - Report events associated to security

22

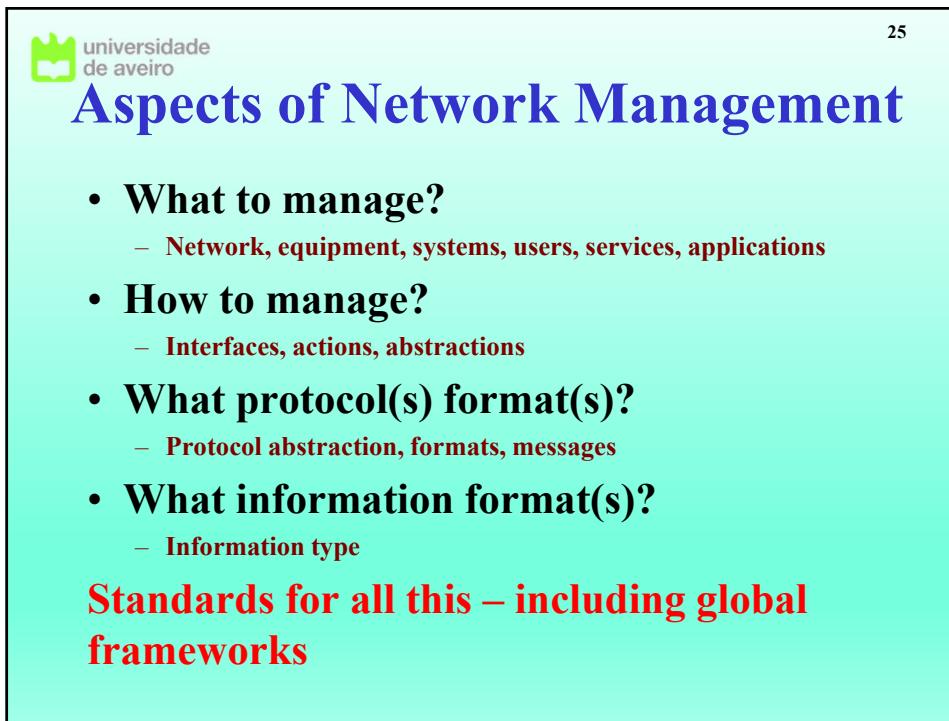
## Management Life Cycle



23



24



25

## Management protocols

- Methods to monitor and configure network equipments
- Do not describe how to achieve management objectives

Simple protocols <sup>2</sup> common data and parameters formats allowing easy information transfer

Complex protocols <sup>2</sup> add flexibility and security capacity

Advanced protocols <sup>2</sup> remotely execute network management tasks, without depending on specific protocol layers

## Tools for network management

- WAN/LAN monitoring and analyzers
- Software monitors
- Security managers
- Documents, presentations and administrative instruments
- Tools for cross-analysis
- Databases, tools for information management
- Console emulator
- Tools for systems modelling
- Toolkits for development



# Network management standardization global models

28

- Internet Engineering Task Force (IETF)
  - Simple Network Management Protocol
    - SNMP, disman
    - Operations and Management Area
- International Telecommunications Union (ITU-T)
  - Telecommunications Management Network
    - SG IV
- International Standard Organization (ISO)
  - OSI, CMIP-CSIS
    - ISO-IEC/JTC 1/WG 4
- Others
  - DMTF, TM FORUM, OMG, IEEE, ...

**Early discussions across bodies. Now cooperation is the normal across bodies.**

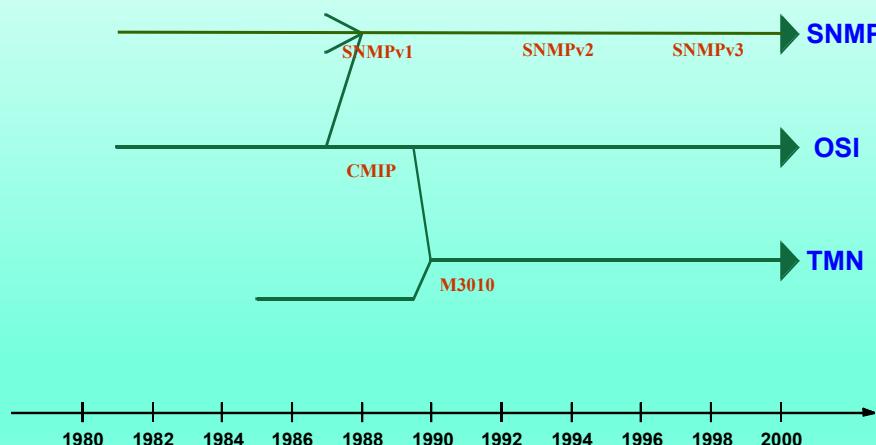
28



## Early Cronology

29

(why we reached here)



Note that concepts are different: SNMP copes with part of the OSI management framework, and the TMN expands the OSI framework into a focused environment (telecommunication operators)

29

## SNMP

(short review)

### Simple Network Management Protocol

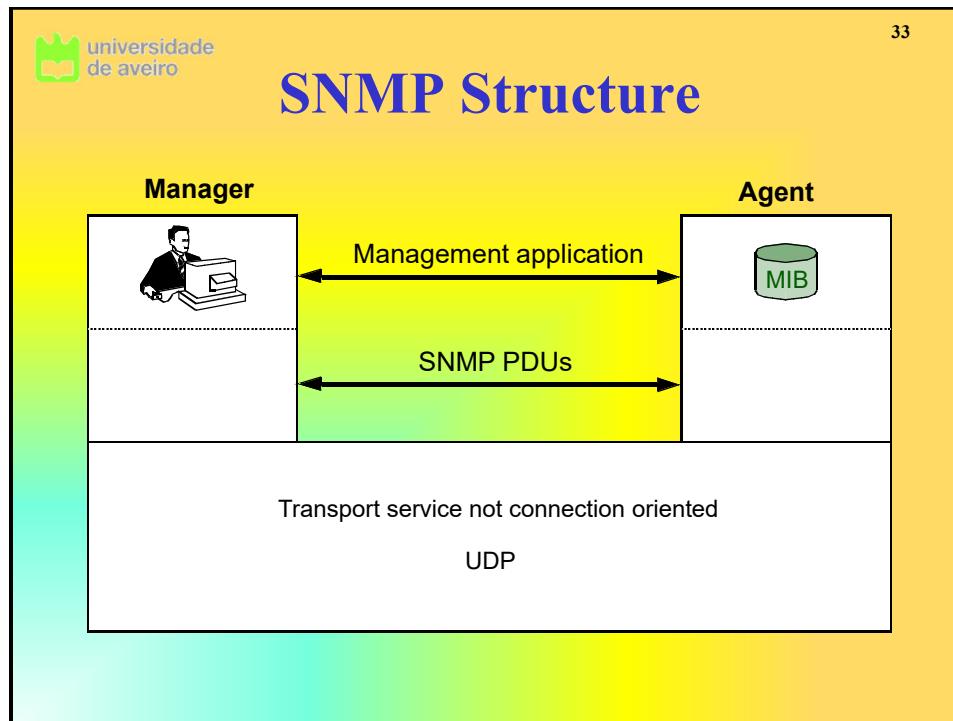
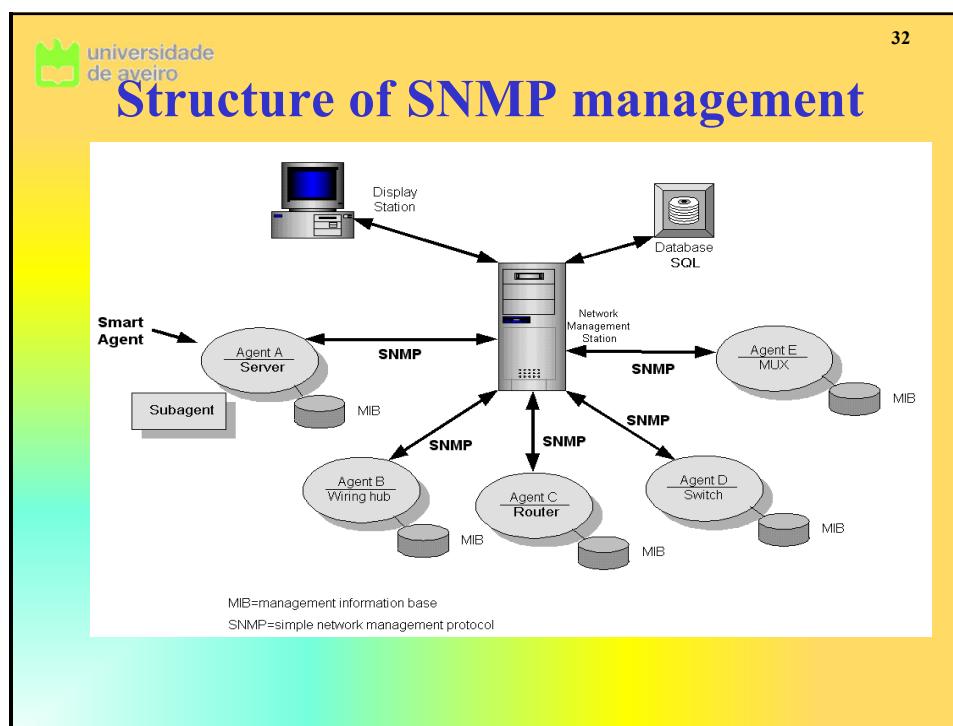
30

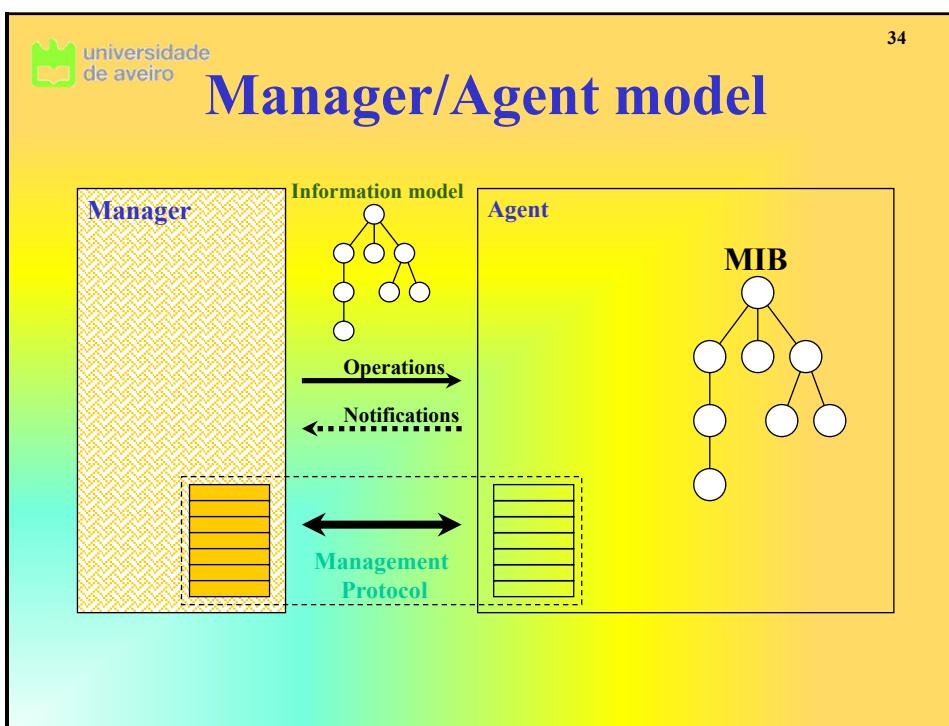
31

## Manager/Agent Paradigm

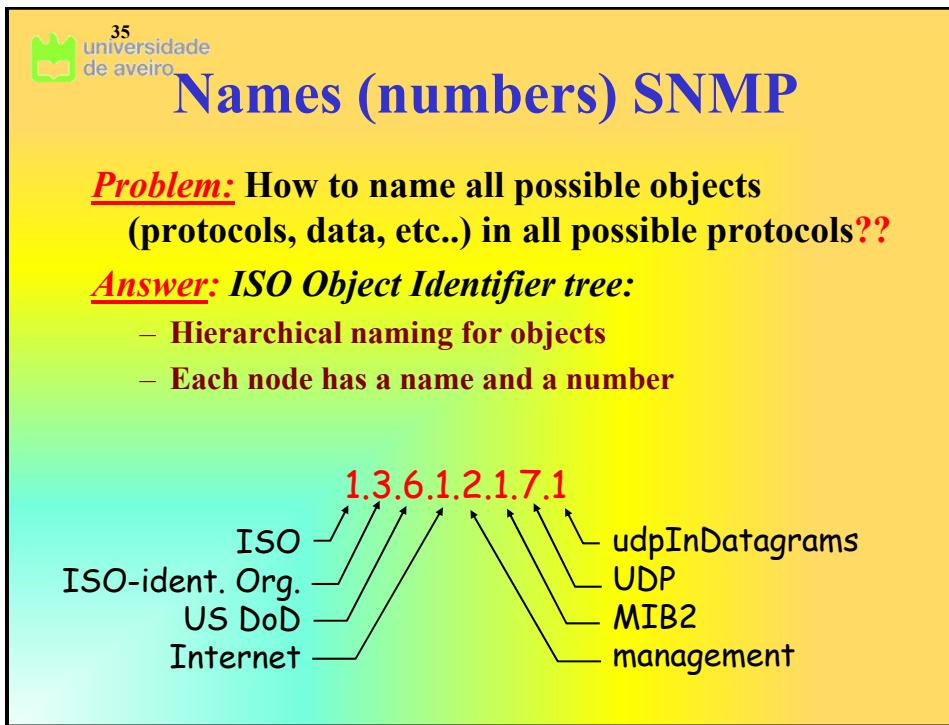
- Manager/agent: common in all NMS (especially in SNMP/CMIP)
- Idea of a client/server, but many clients and only some servers
  - (manager ° client; agent ° server)
- The agent operates with the equipment
  - Reports problems to the manager, to control all the equipment information
- The manager contains the intelligence to decide what the agents should do, and gives instructions to them
  - It controls the agents and manages their interworking

31

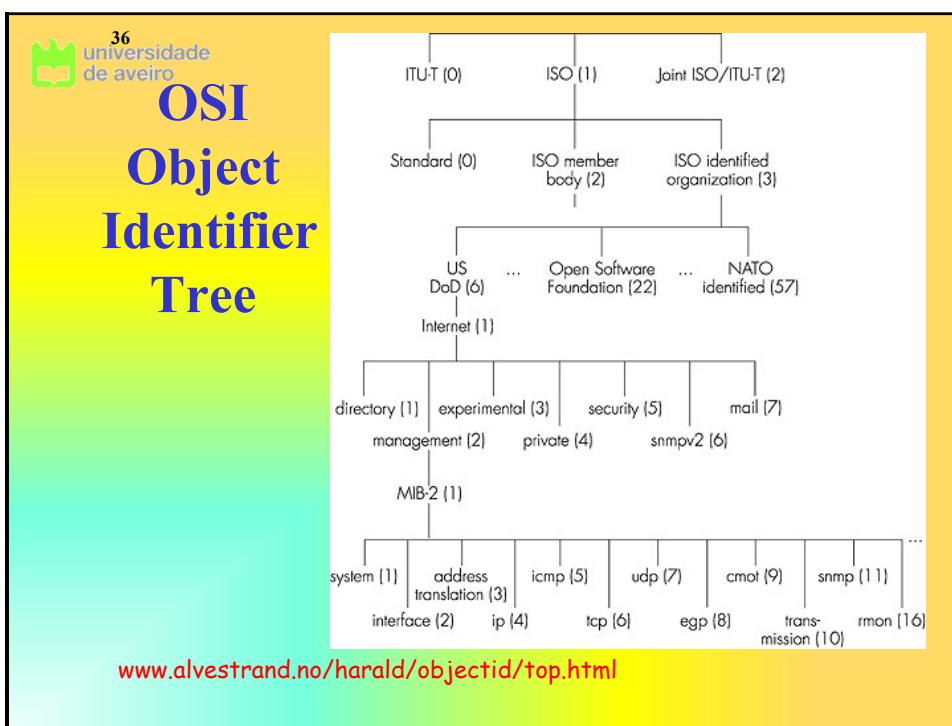




34



35



36



## SNMP: Polling

- Manager periodically asks the agent for new information
- ☺ **Advantage:** Manager completely controls the equipment, and knows all network details
- ☹ **Disadvantage:** delay between event and its entry in the system, and unnecessary communication overhead:
  - Slow polling, slow answer to the events
  - Quick polling, quick reaction, but large bandwidth wastage

37

37

## SNMP: Traps

- There is an event → trap is sent
  - Trap contains appropriate information  
equipment name, time instant of event, type of event
- ☺ **Advantage:** information only generated when required
- ☹ **Disadvantage:**
- ☺ More resources required in the managed equipment
  - ☺ Traps can be useless
    - If many events occur, bandwidth can be wasted with all traps (thresholds can solve)
    - Since the agent has only a limited scope of the network, NMS may already know about the events.
  - **Traps&Polling**
    - Event occurs → trap is sent
    - Manager performs polling to obtain the rest of information <sup>38</sup>
    - Manager also performs periodic polling, as backup

38

## SNMP Protocol: types of messages

<u>Types of messages</u>	<u>Function</u>
GetRequest	Mgr → agent: "get me data"
GetNextRequest	(instantiates, next on the list, block of information)
GetBulkRequest	
InformRequest	Agent → Mgr: informs the Manager of exception in a reliable way
SetRequest	Mgr → agent: defines MIB value way
Response	Agent → mgr: answer value to Request
Trap	Agent → mgr: informs the manager of an exception event <sup>39</sup>

39

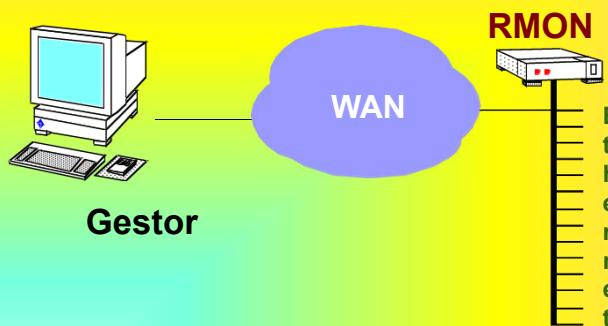
## SNMP: security and authentication

- In its initial version, the authorization and authentication were based in the notion of “**SNMP community string**”
- The “community words” identifying the permissions of the machine that access the agent: read-only ou read-write
- By default, all systems come configured with the strings:
  - **public** (read-only)
  - **private** (read-write)
- These strings are case sensitive.

40

40

## REMOTE MONITORING



- RMON1 ([RFC 1757](#))
- Token Ring extensions to RMON ([RFC 1513](#))
- RMON2 ([RFC 2021](#))
- SMON ([RFC 2613](#))

41

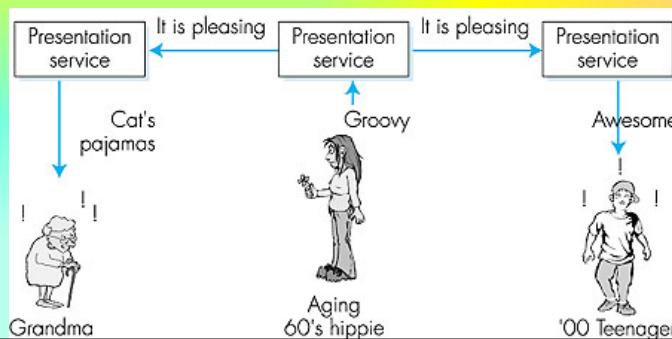
## RMON

- Remote monitoring MIB – measure network traffic
  - Agents – management interface
  - Probes – equipment for network analysis (promiscuous); usually configured to specific data types.
- Off-line operation (separated from the network)
- Preemptive monitoring, providing multiple information in the network.
- Support multiple managers and probes
- Detection and report of problems
- RMON has 9 groups:  
**Statistics, History, Alarm, Host, HostTopN, Matrix, Filter, Packet Capture, and Event**

42

## The presentation problem?

1. Translate the local format to a host-independent format.
2. Transmit the data in a host independent format
3. Translate the host-independent format in a format adequate to the new machine adequado à nova máquina.



43

## ASN.1

- ISO X.680 standard
  - Formal language to describe SMI
  - Frequent in Internet
  - “Heavy”, but essential for heterogenous environments.
- Data types, object constructors
  - As in SMI
- BER: Basic Encoding Rules
  - Specified the format as ASN.1 data should be transmitted.
  - Each transmitted object has a coding Type, Length, Value (TLV) encoding

44

## TLV Coding

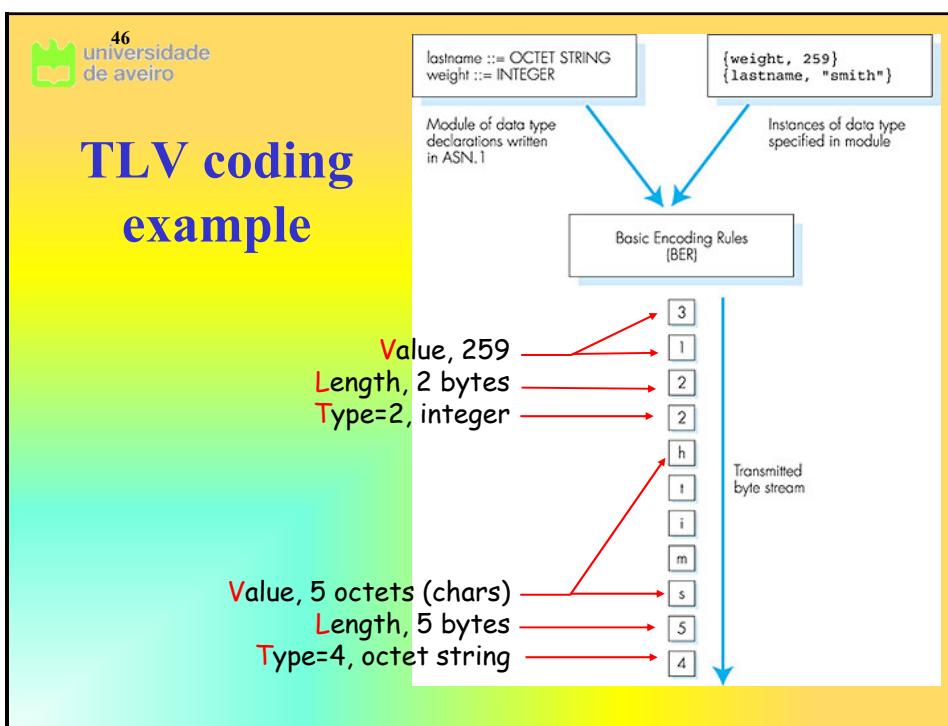
Idea: Data must be auto-identified

- **T**: data type, (ASN.1-defined)
- **L**: data lenght in bytes
- **V**: data, coded according with ASN.1 syntax.

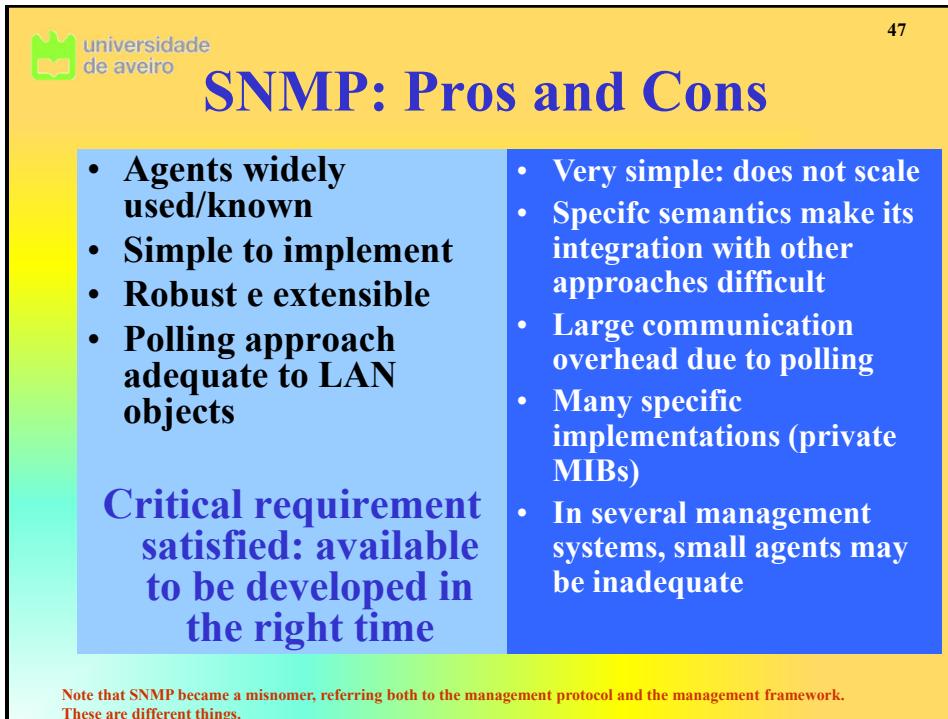
Valor Tag Tipo

1	Boolean
2	Integer
3	Bitstring
4	Octet string
5	Null
6	Object Identifier
9	Real

45



46



47

## PBM and COPS

**Concept: Policy Based Management**  
**Protocol: Common Open Policy Service**

48

49

## Policies - Example

- Network with multiple services support
  - Differentiated QoS
  - Additional requirements in AAA functions
    - Different levels
      - User
      - Service
      - QoS
- Service authorized
  - only to some users
  - between authorized network points
  - with specific QoS requirements
  - between specific time intervals
- User also needs to be charged according to the service characteristics being received

49

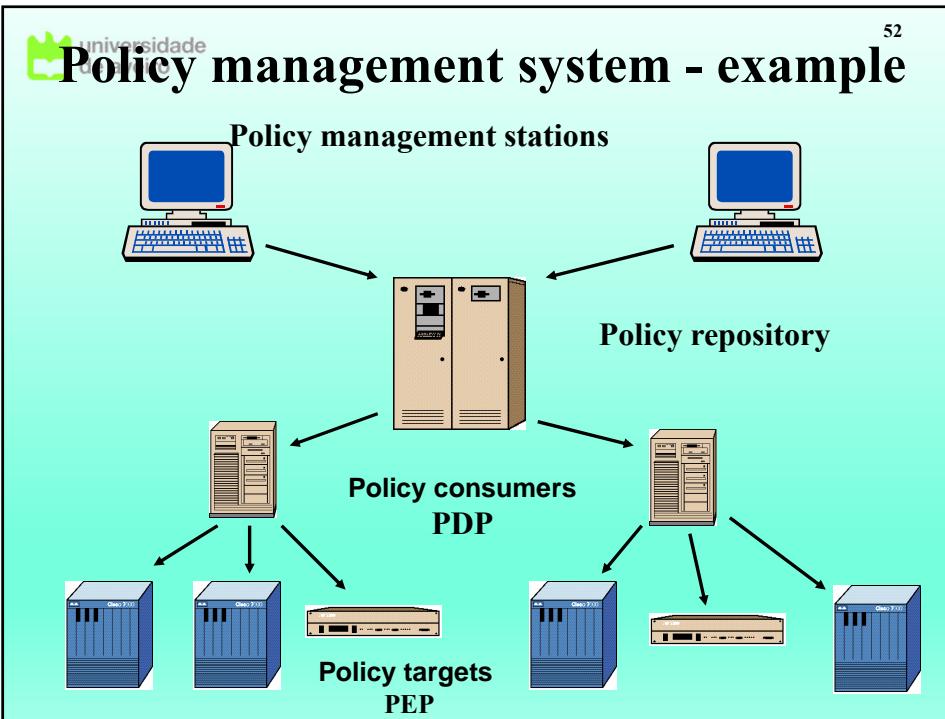
## Management based on Policies

- **Objective:** globally manage the network and not its elements.
- **Mechanism:**
  - Define policies (rules) to inform the network of what to do – e.g:
    - Operation center should have access to all routers
    - Charging department has priority in the last 3 months of each year
    - In the maximum, only 10% of each link can transport video.
  - The policy rules are translated in equipment configuration changes

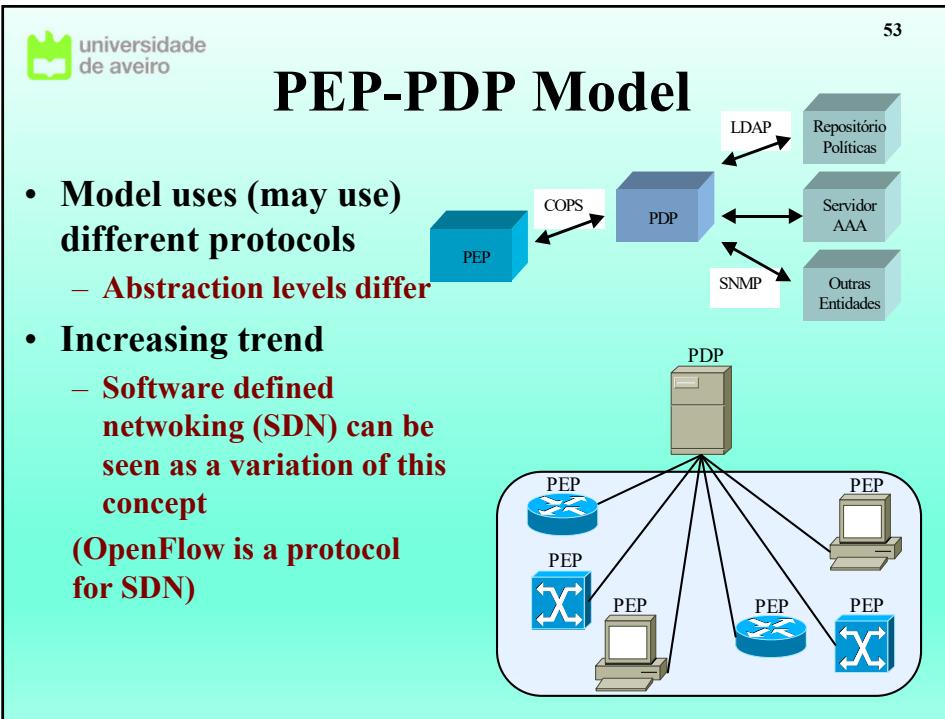
## Elements of systems based on policies

### Conceptual parts:

- **Management policy tools:**
  - Used to create the policy rules
- **Policies repository**
  - Store the policy rules
- **Policy consumers – *policy decision points, PDP***
  - Make decisions and transfer the policy rules (eventually translated) to the policy targets.
- **Policy targets, *policy enforcement points, PEP***
  - Functional elements affected by the policy rules.



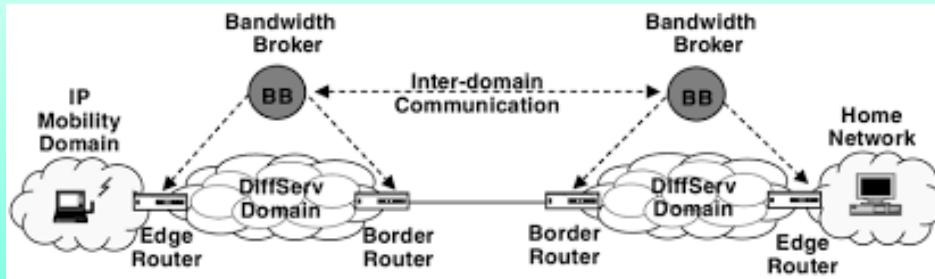
52



53

## Diffserv: Bandwidth/QoS Broker

54



This model is increasingly common with centralized control architectures (e.g. cellular)

54

## Processing rules - sequence

55

- Rules definition
  - Verify internal conflicts
  - Include in a repository (e.g. with LDAP)
- Get policies from policy consumers
  - Take decisions based on policies
  - Processed to create configurations in policy targets
  - May use temporal restrictions
- Send policies to policy targets
  - Can be “pushed” or “pulled” (e.g. by COPS or SNMP )
- Policy targets
  - Instal configurations

55



## COPS – Common Open Policy Service<sup>56</sup>

- Question/answer protocol to PDP-PEP interaction
- Based on TCP
- Maintains state synchronization
  - Recovers from fault
  - State maintenance with keep-alive
- PDP can send notifications to PEP
  - Default concept was for QoS support/control
- PDP can receive policies through LDAP and SNMP
- Supports two types of clients
  - RSVP, outsourcing model
  - Diff-serv, configuration model

56



## PDP-PEP Interactions

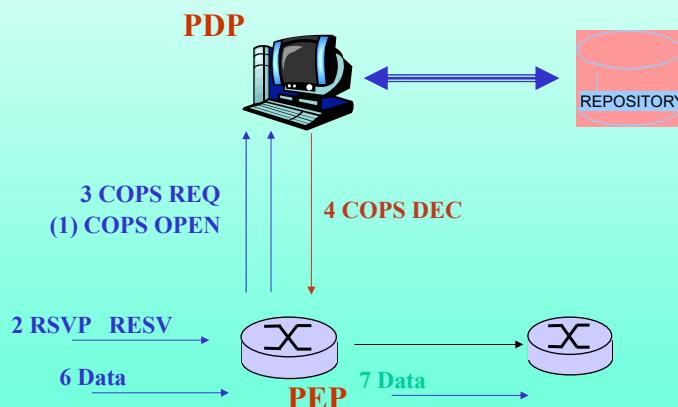
- Outsourcing (RSVP)
  - PEP contacts PDP when a decision is needed
  - Request contains relevant elements for the policy, and admission control information (e.g. flowspec)
  - Best match for RSVP-based QoS systems
- Configuration requests (Diffserv)
  - PDP configures PEP with specific equipment information
  - Considers a PIB (policy information base) that maintains provisioning information
  - Best match for DiffServ-based QoS systems

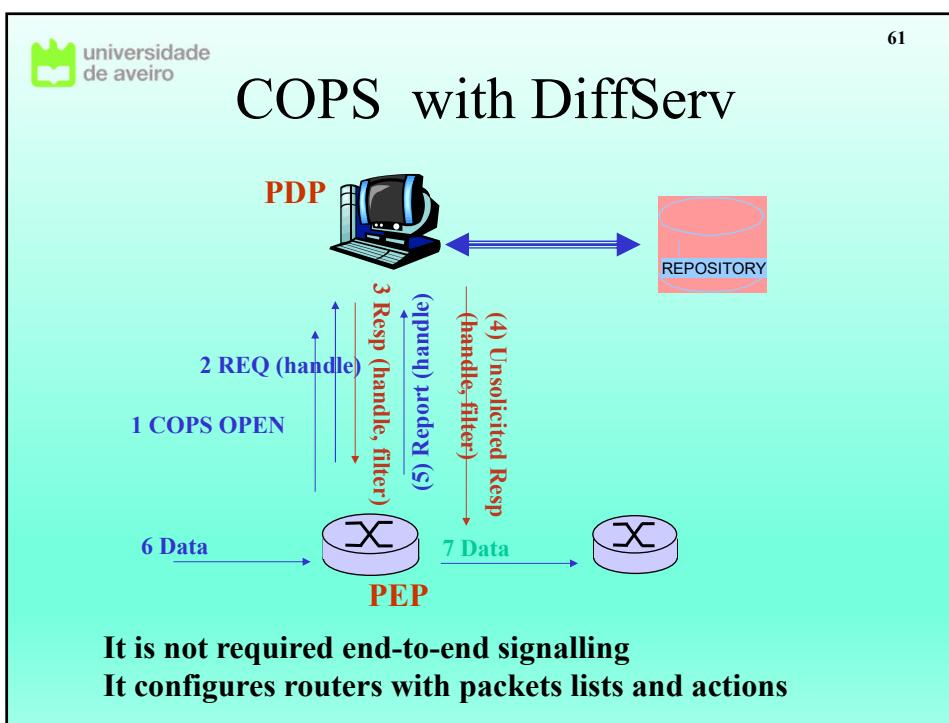
57

## COPS Session

- PEP opens a COPS session (specifying a client type: RSVP, DiffServ)
- PEP sends requests and receives answers
- PEP can also send non-solicited commands
- PDP can change commands previously sent
- PEP sends messages related to resources utilization (charging)
- *KeepAlives* are sent if there is no activity

## COPS with RSVP





61



62

## Management protocols (LAN-oriented)

63

### OSI CMIP

- Common Management Information Protocol
- Designed in 1980's: *the* unifying protocol ("advanced") to network management
- Implemented very slowly

### SNMP: Simple Network Management Protocol

- Internet based (SGMP)
- Very simple in the beginning
- Rapidly spreaded
- It grew in largeness and complexity
- actual: SNMPv3
- Management protocol *de facto*

63

## OSI Management architecture

64

ITU-T	Acronym	Title
X.701		<i>System Management Overview</i>
X.710	CMIS	<i>Common Management Information Service</i>
X.711	CMIP	<i>Common Management Information Protocol</i>
X.712	CMIP-PICS	<i>CMIP Protocol Implementation Conformance State Proforma</i>
X.720	MIM	<i>Management Information Model (defines fundamental concepts of the objects)</i>
X.721	DMI	<i>Definition of Management Information</i>
X.722	GDMO	<i>Guideline for Definition of Management Objects (techniques for specification of objects)</i>

64

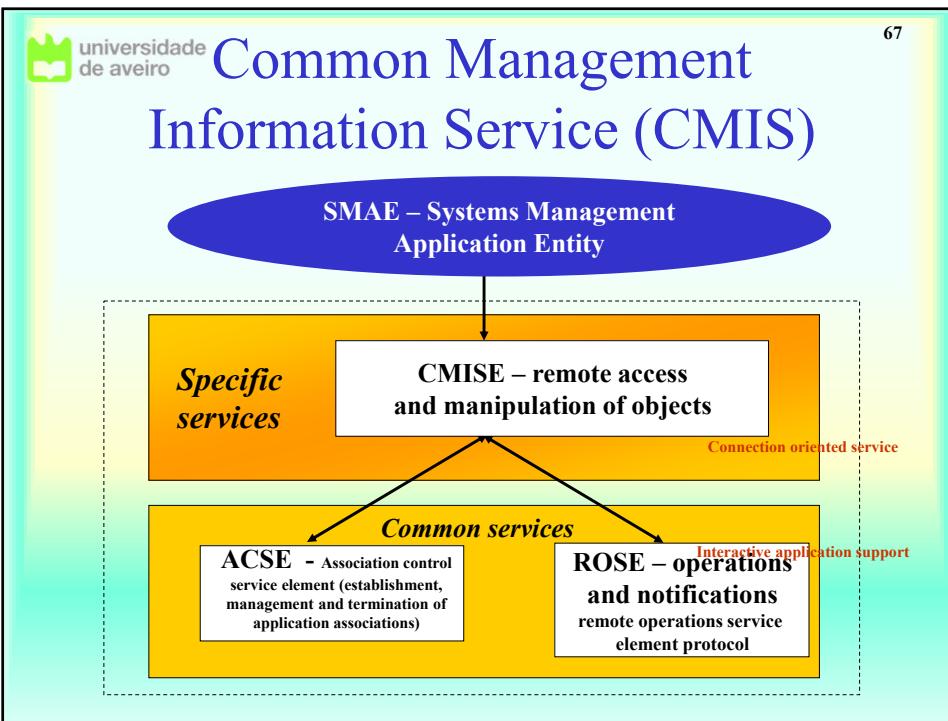
## CMIS/CMIP

- Approach object-oriented - objects
  - Have attributes
  - Generate events/notifications (reliably)
  - Execute operations
- Objects with same attributes, notifications and operations belong to the same class
- Objects inserted in multiples hierarchies, with different inherits and containers
- Intelligent agents
  - Can use rules or policies defined by the manager
  - Can be changed on-line
- Actions (verbs)
 

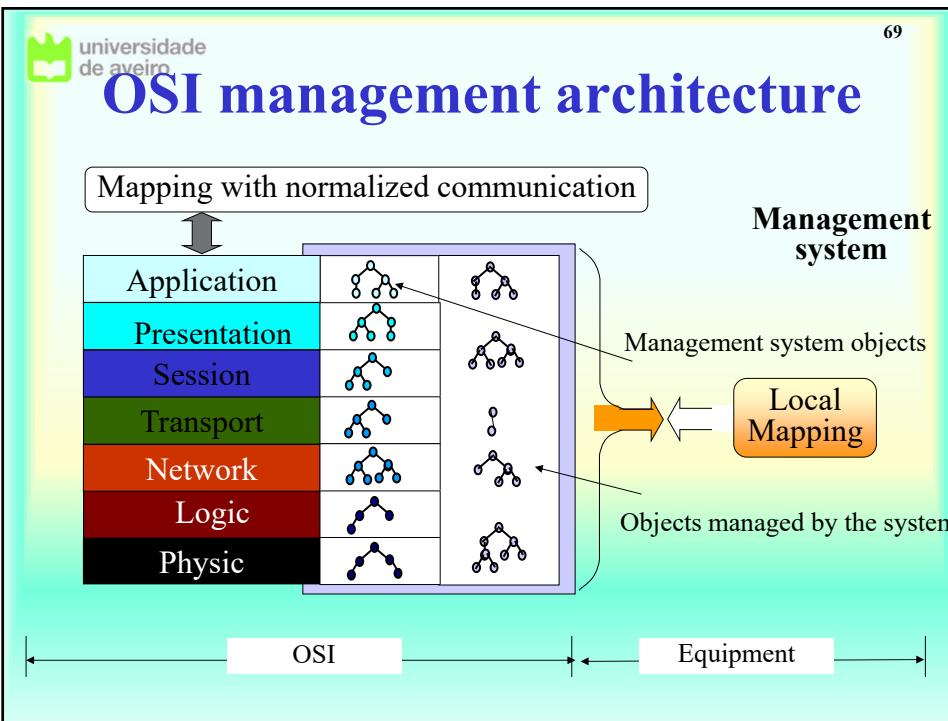
GET, SET, CREATE, DELETE, ACTION, NOTIFICATION,  
CANCEL\_GET
- Capacity of CMIP actions is related to scoping and filtering capacities - through GDMOs

## CMIP - GDMOs

- Guideline for the Definition of Managed Objects
  - The equipment through which the agent operates
- Model objects inside the equipment
  - Instantiation of GDMOs is called MIB
- Do not have well-defined behaviors, with large implementation freedom
  - Flexibility
  - Problem (complexity)
- CMIP is not polling oriented
  - Better scalability is achieved
- There are not so many defined GDMOs as MIBs



67



69

## CMIP: pros and cons

- CMIP advantages
  - Object-oriented approach is flexible and extensible
  - Support from telecommunications industry and international vendors
  - Support of manager-manager interaction
  - Support of automation environments
  - Imposed in some industrial areas
- CMIP disadvantages
  - Complex and multi-layer
  - Large management overhead
  - Few management systems based on CMIP
  - Few CMIP agents in use
  - Generally rejected in the Internet.

## Frameworks: SNMP and CMIS

### SNMP

- Static MIBs
- Concepts of limited models
- Non-connection oriented protocol
- Polling model
- Implementation-oriented
- Light
- Limited functionalities
- 
- Bulk capacity only in new versions
- Completely dominating the market
- Many SNMP-based products

### CMIS

- Dynamic MIBs
- Object-oriented models
- Connection-oriented protocol
- 
- Event-oriented model
- Specification-oriented
- Heavy
- Functionalities until the system management level
- Bulk capacity with scope and filtering
- Some relevance in the telecommunications market
- Some CMIP-based products in the market

# TMN

## Telecommunications Management Network

72

73

## What is TMN ?

- *Objective*

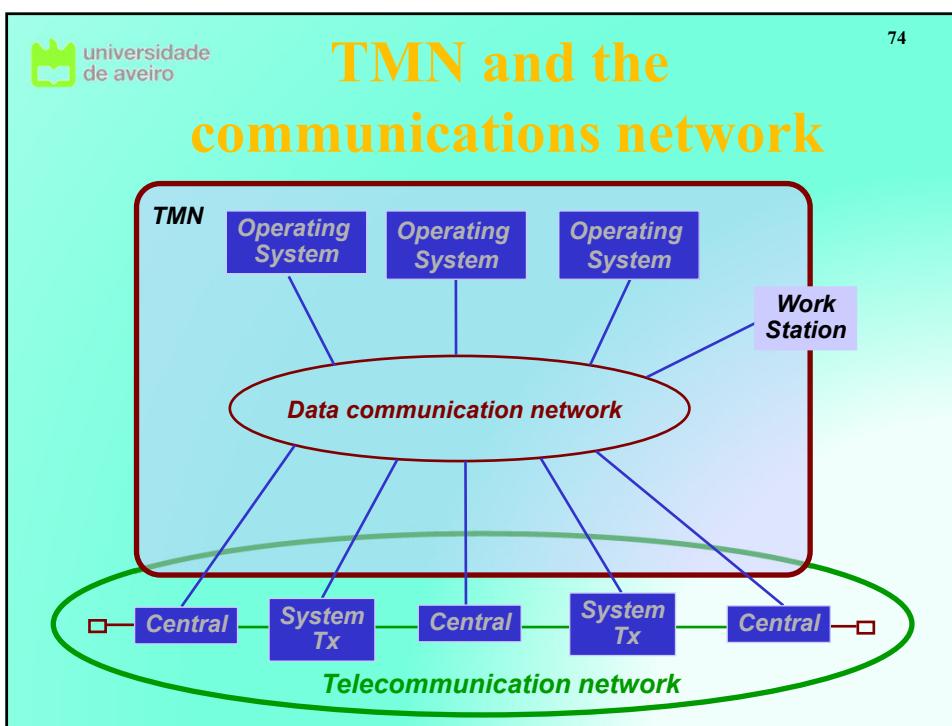
- Support the management of the telecommunication networks and services

- *Concept*

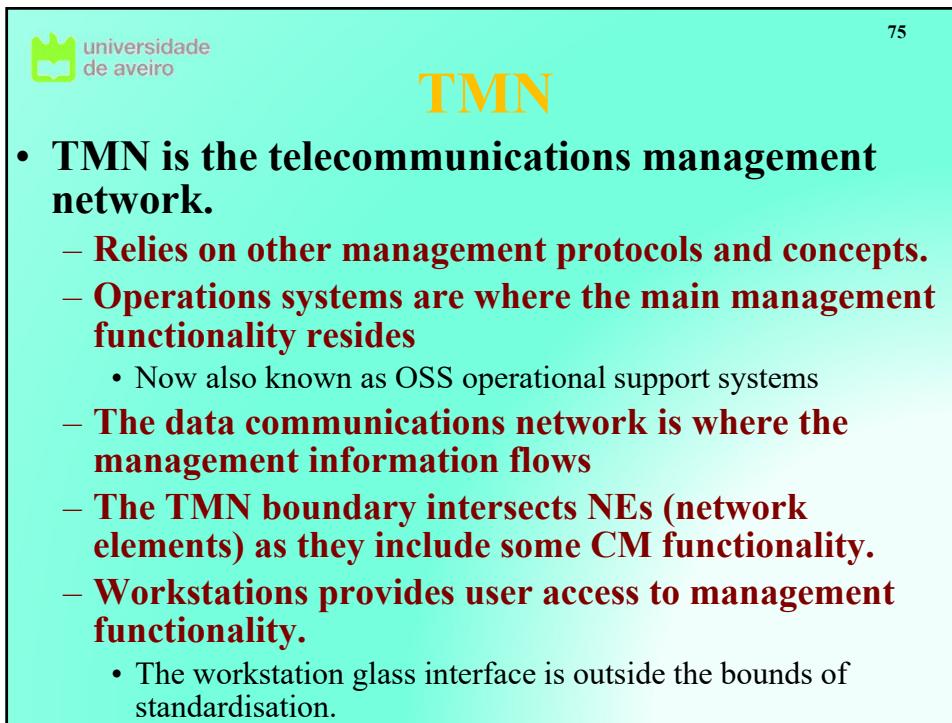
- Create an organized structure to allow the interconnection of several operating systems and telecommunications equipments, using a well-defined architecture, with normalized protocols and interfaces

73

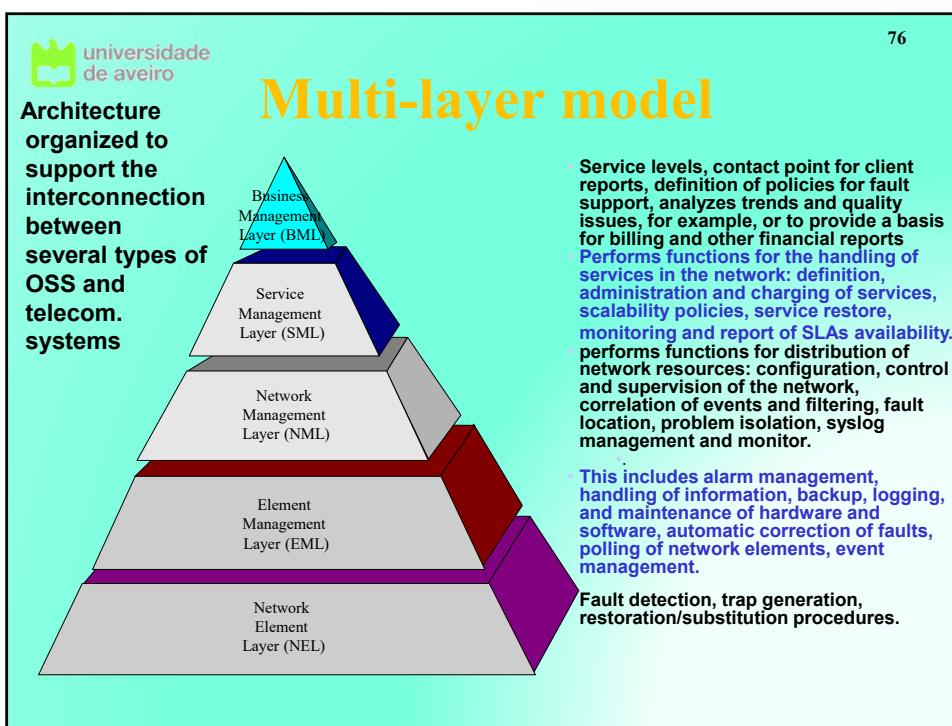
34



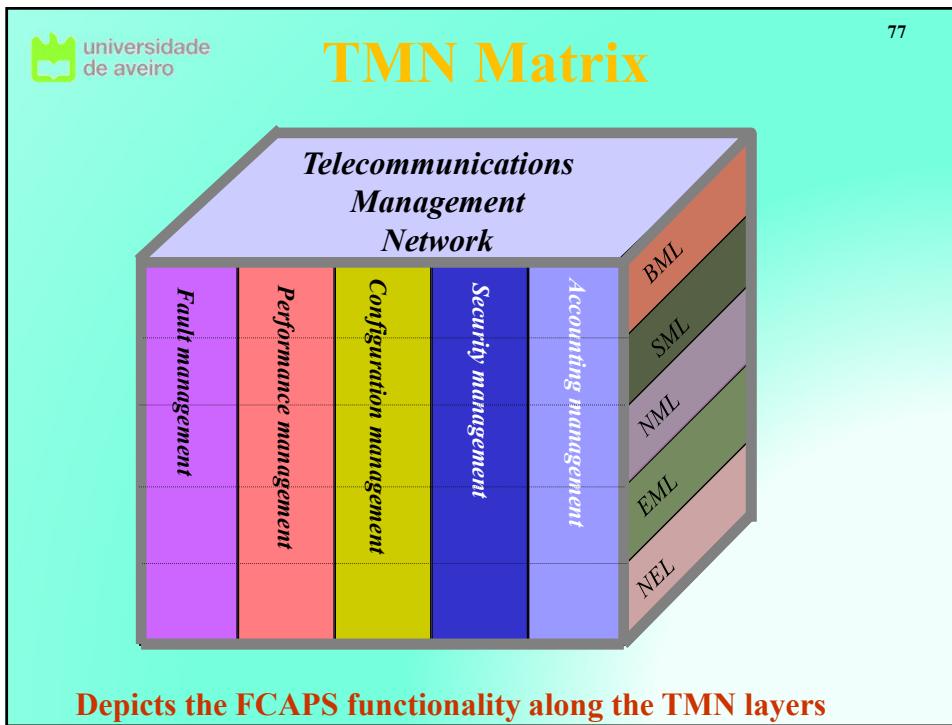
74



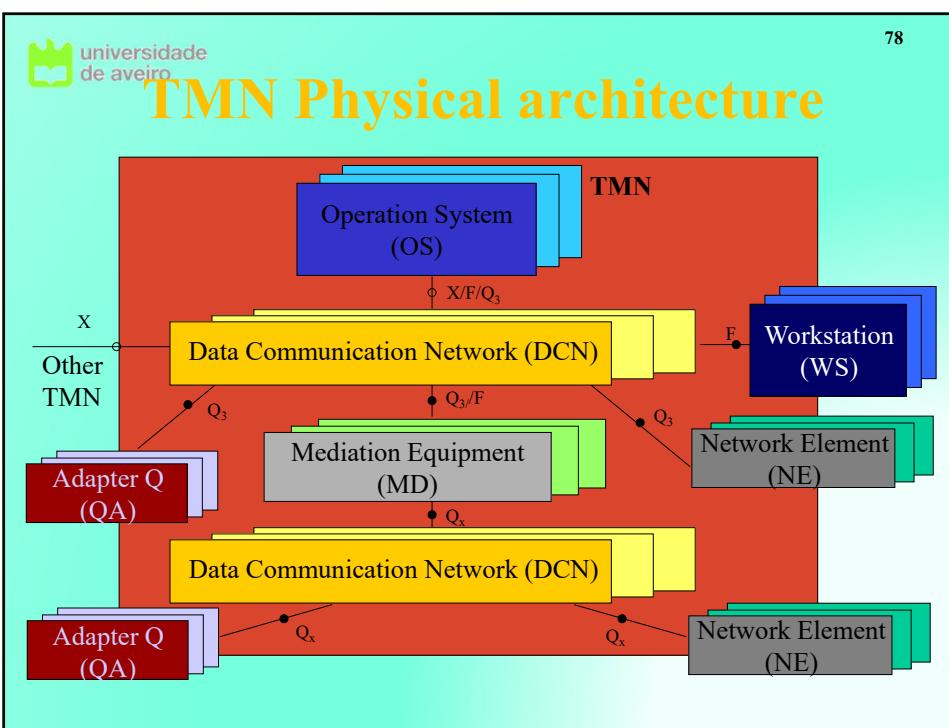
75



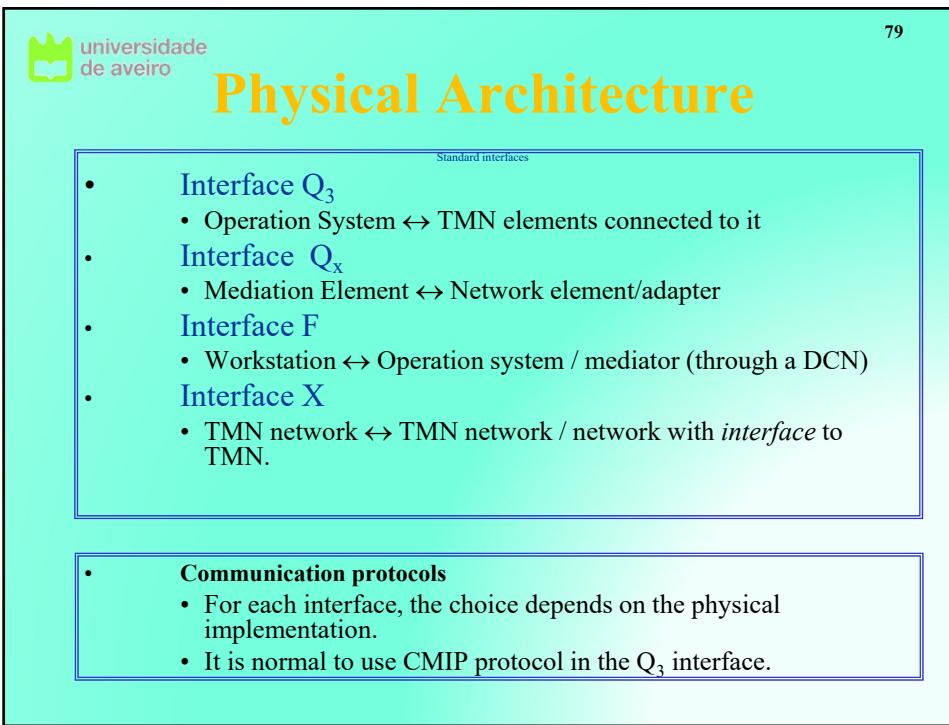
76



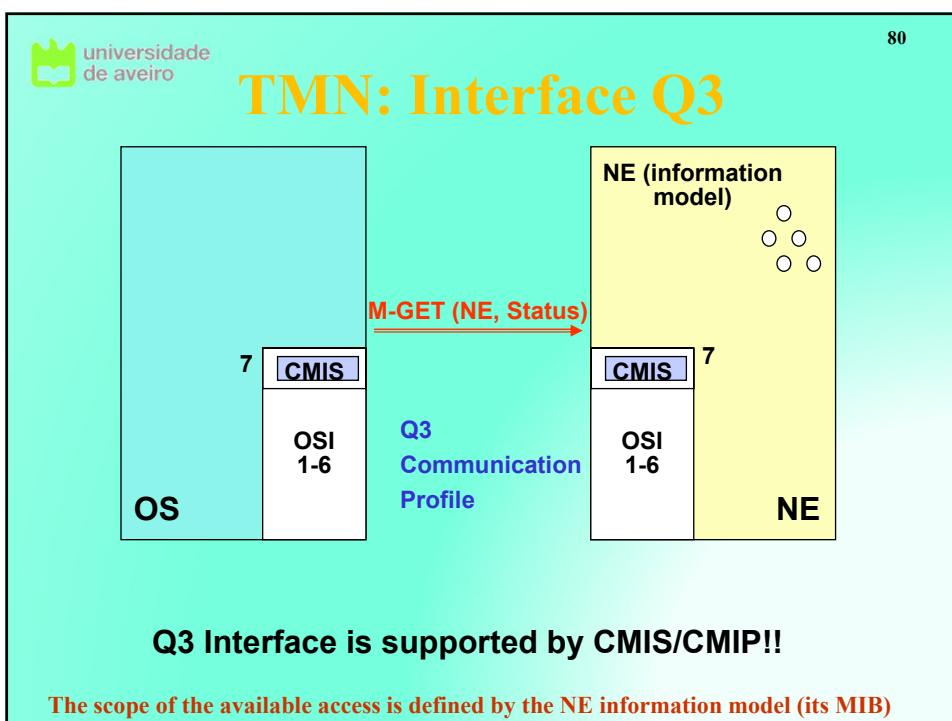
77



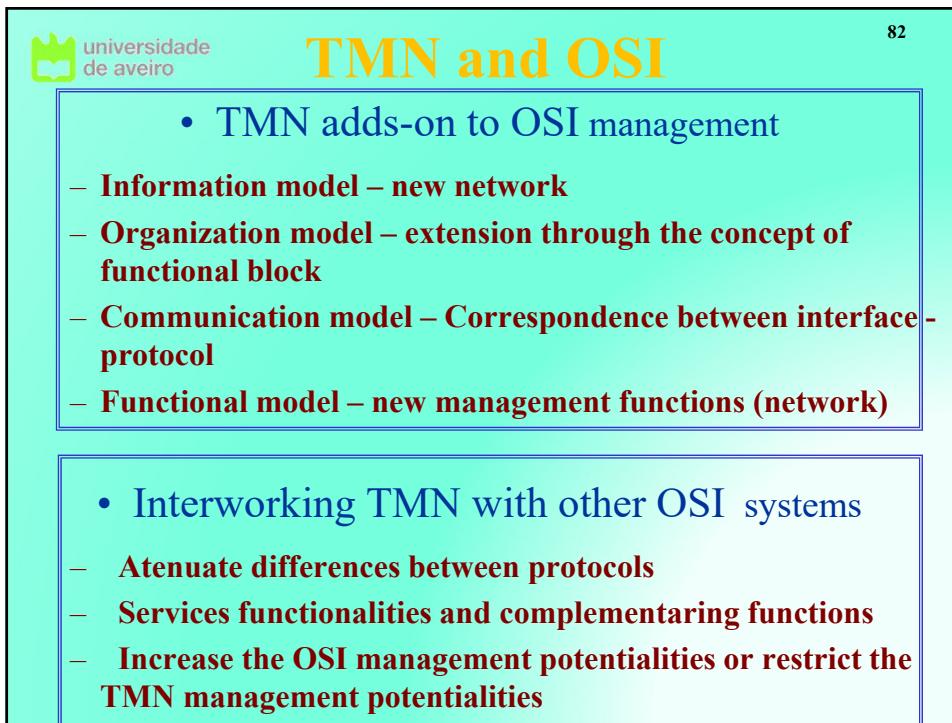
78



79



80



82