# Extending and Embedding Python 发布 3.7.3

Guido van Rossum and the Python development team

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Python Software Foundation Email: docs@python.org

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本文档描述了如何使用 C 或 C++ 编写模块以使用新模块来扩展 Python 解释器的功能。这些模块不仅可以定义新的函数,还可以定义新的对象类型及其方法。该文档还描述了如何将 Python 解释器嵌入到另一个应用程序中,以用作扩展语言。最后,它展示了如何编译和链接扩展模块,以便它们可以动态地(在运行时)加载到解释器中,如果底层操作系统支持此特性的话。

本文档假设你具备有关 Python 的基本知识。有关该语言的非正式介绍,请参阅 tutorial-index 。reference-index 给出了更正式的语言定义。library-index 包含现有的对象类型、函数和模块(内置和用 Python 编写)的文档,使语言具有广泛的应用范围。

关于整个 Python/C API 的详细介绍,请参阅独立的 c-api-index 。

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# CHAPTER 1

## 推荐的第三方工具

本指南仅介绍了作为此 CPython 版本的一部分提供的创建扩展的基本工具。第三方工具,如 Cython 、cffi 、SWIG 和 Numba 提供了更简单和更复杂的方法来为 Python 创建 C 和 C ++ 扩展。

#### 参见:

Python Packaging User Guide: Binary Extensions "Python Packaging User Guide" 不仅涵盖了几个简化二进制扩展创建的可用工具,还讨论了为什么首先创建扩展模块的各种原因。

## 不使用第三方工具创建扩展

本指南的这一部分包括在没有第三方工具帮助的情况下创建 C 和 C ++ 扩展。它主要用于这些工具的创建者,而不是建议你创建自己的 C 扩展的方法。

## 2.1 使用 C 或 C++ 扩展 Python

如果你会用 C,添加新的 Python 内置模块会很简单。以下两件不能用 Python 直接做的事,可以通过 extension modules 来实现:实现新的内置对象类型;调用 C 的库函数和系统调用。

为了支持扩展, Python API (应用程序编程接口) 定义了一系列函数、宏和变量, 可以访问 Python 运行时系统的大部分内容。Python 的 API 可以通过在一个 C 源文件中引用 "Python.h" 头文件来使用。

扩展模块的编写方式取决与你的目的以及系统设置;下面章节会详细介绍。

注解: The C extension interface is specific to CPython, and extension modules do not work on other Python implementations. In many cases, it is possible to avoid writing C extensions and preserve portability to other implementations. For example, if your use case is calling C library functions or system calls, you should consider using the ctypes module or the cffi library rather than writing custom C code. These modules let you write Python code to interface with C code and are more portable between implementations of Python than writing and compiling a C extension module.

#### 2.1.1 一个简单的例子

让我们创建一个扩展模块 spam (Monty Python 粉丝最喜欢的食物...) 并且想要创建对应 C 库函数 system()<sup>1</sup> 的 Python 接口。这个函数接受一个以 null 结尾的字符串参数并返回一个整数。我们希望可以在 Python 中以如下方式调用此函数:

<sup>&</sup>lt;sup>1</sup> An interface for this function already exists in the standard module os — it was chosen as a simple and straightforward example.

```
>>> import spam
>>> status = spam.system("ls -1")
```

首先创建一个 spammodule.c 文件。(传统上,如果一个模块叫 spam,则对应实现它的 C 文件叫 spammodule.c;如果这个模块名字非常长,比如 spammify,则这个模块的文件可以直接叫 spammify.c。)

The first two lines of our file can be:

```
#define PY_SSIZE_T_CLEAN
#include <Python.h>
```

这会导入 Python API (如果你喜欢,你可以在这里添加描述模块目标和版权信息的注释)。

**注解:** 由于 Python 可能会定义一些影响某些系统上标准头文件的预处理器定义,因此在包含任何标准头文件之前,您\*必须\*include 这个文件: *Python.h*。

It is recommended to always define PY\_SSIZE\_T\_CLEAN before including Python.h. See 提取扩展函数的参数 for a description of this macro.

所有用户可见的符号都定义自 Python.h 中,并拥有前缀 Py 或 PY ,除了那些已经定义在标准头文件的。为了方便,以及由于其在 Python 解释器中广泛应用,"Python.h" 也包含了少量标准头文件: <stdio.h>, <string.h>, <errno.h> 和 <stdlib.h>。如果后面的头文件在你的系统上不存在,还会直接声明函数 malloc(),free() 和 realloc()。

下面要做的事是将 C 函数添加到我们的扩展模块, 当 Python 表达式 spam.system(string) 被求值时函数将被调用(我们很快就会看到它最终是如何被调用的):

```
static PyObject *
spam_system(PyObject *self, PyObject *args)
{
    const char *command;
    int sts;

    if (!PyArg_ParseTuple(args, "s", &command))
        return NULL;
    sts = system(command);
    return PyLong_FromLong(sts);
}
```

有个直接翻译参数列表的方法 (例如单独的 "ls-l") 到要传递给 C 函数的参数。C 函数总是有两个参数,通常名字是 self 和 args 。

The self argument points to the module object for module-level functions; for a method it would point to the object instance.

args 参数是指向一个 Python 的 tuple 对象的指针,其中包含参数。每个 tuple 项对应一个调用参数。这些参数也全都是 Python 对象 — 要在我们的 C 函数中使用它们就需要先将其转换为 C 值。Python API 中的函数 PyArg\_ParseTuple() 会检查参数类型并将其转换为 C 值。它使用模板字符串确定需要的参数类型以及存储被转换的值的 C 变量类型。细节将稍后说明。

PyArg\_ParseTuple() 正常返回非零,并已经按照提供的地址存入了各个变量值。如果出错 (零)则应该让函数返回 NULL 以通知解释器出错 (有如例子中看到的)。

#### 2.1.2 关于错误和异常

An important convention throughout the Python interpreter is the following: when a function fails, it should set an exception condition and return an error value (usually a NULL pointer). Exceptions are stored in a static global variable inside the interpreter; if this variable is NULL no exception has occurred. A second global variable stores the "associated value" of the exception (the second argument to raise). A third variable contains the stack traceback in case the error originated in Python code. These three variables are the C equivalents of the result in Python of sys.exc\_info() (see the section on module sys in the Python Library Reference). It is important to know about them to understand how errors are passed around.

Python API 中定义了一些函数来设置这些变量。

最常用的就是 PyErr\_SetString()。其参数是异常对象和 C 字符串。异常对象一般是像PyExc\_ZeroDivisionError 这样的预定义对象。C 字符串指明异常原因,并被转换为一个 Python 字符串对象存储为异常的"关联值"。

另一个有用的函数是 PyErr\_SetFromErrno(), 仅接受一个异常对象, 异常描述包含在全局变量 errno 中。最通用的函数还是 PyErr\_SetObject(), 包含两个参数, 分别为异常对象和异常描述。你不需要使用 Py INCREF()来增加传递到其他函数的参数对象的引用计数。

你可以通过 PyErr\_Occurred() 获知当前异常,返回当前异常对象,如果确实没有则为 *NULL* 。一般来说,你在调用函数时不需要调用 PyErr\_Occurred() 检查是否发生了异常,你可以直接检查返回值。

当函数 f 调用另一个函数 g 时检测到后者出错了,f 自身将返回一个错误值(通常为 NULL 或 -1)。它 不应调用某个  $PyErr_*()$  函数 — 这种函数已经由 g 调用过了。然后 f 的调用者也应该返回一个错误提示 它的调用者,同样 不应调用  $PyErr_*()$ ,依此类推 — 错误的最详细原因已经由首先检测到它的函数报告了。一旦这个错误到达了 Python 解释器的主循环,它将中断当前执行的 Python 代码并尝试找到由 Python 程序编写者所指定的异常句柄。

(在某些情况下,当模块确实能够通过调用其它 PyErr\_\*()函数给出更加详细的错误消息,并且在这些情况是可以这样做的。但是按照一般规则,这是不必要的,并可能导致有关错误原因的信息丢失:大多数操作会由于种种原因而失败。)

想要忽略由一个失败的函数调用所设置的异常,异常条件必须通过调用 PyErr\_Clear() 显式地被清除。C 代码应当调用 PyErr\_Clear() 的唯一情况是如果它不想将错误传给解释器而是想完全由自己来处理它(可能是尝试其他方法,或是假装没有出错)。

Every failing malloc() call must be turned into an exception — the direct caller of malloc() (or realloc()) must call PyErr\_NoMemory() and return a failure indicator itself. All the object-creating functions (for example, PyLong\_FromLong()) already do this, so this note is only relevant to those who call malloc() directly.

还要注意的是,除了 PyArg\_ParseTuple() 等重要的例外,返回整数状态码的函数通常都是返回正值或零来表示成功,而以 -1 表示失败,如同 Unix 系统调用一样。

最后,当你返回一个错误指示器时要注意清理垃圾(通过为你已经创建的对象执行 Py\_XDECREF()或 Py\_DECREF()调用)!

选择引发哪个异常完全取决于你的喜好。所有内置的 Python 异常都有对应的预声明 C 对象,例如 PyExc\_ZeroDivisionError,你可以直接使用它们。当然,你应当明智地选择异常 — 不要使用 PyExc\_TypeError 来表示一个文件无法被打开 (那大概应该用 PyExc\_IOError)。如果参数列表有问题,PyArg\_ParseTuple()函数通常会引发 PyExc\_TypeError。如果你想要一个参数的值必须处于特定范围之内或必须满足其他条件,则适宜使用 PyExc\_ValueError。

你也可以为你的模块定义一个唯一的新异常。需要在文件前部声明一个静态对象变量,如:

#### static PyObject \*SpamError;

and initialize it in your module's initialization function (PyInit\_spam()) with an exception object (leaving out the error checking for now):

```
PyMODINIT_FUNC
PyInit_spam(void)
{
    PyObject *m;

    m = PyModule_Create(&spammodule);
    if (m == NULL)
        return NULL;

    SpamError = PyErr_NewException("spam.error", NULL, NULL);
    Py_INCREF(SpamError);
    PyModule_AddObject(m, "error", SpamError);
    return m;
}
```

注意实际的 Python 异常名字是 spam.error 。PyErr\_NewException() 函数使用 Exception 为基类创建一个类 (除非是使用另外一个类替代 NULL)。描述参考 bltin-exceptions 。

同样注意的是创建类保存了 SpamError 的一个引用,这是有意的。为了防止被垃圾回收掉,否则 SpamError 随时会成为野指针。

一会讨论 PyMODINIT\_FUNC 作为函数返回类型的用法。

spam.error 异常可以在扩展模块中抛出,通过 PyErr\_SetString()函数调用,如下:

```
static PyObject *
spam_system(PyObject *self, PyObject *args)
{
    const char *command;
    int sts;

    if (!PyArg_ParseTuple(args, "s", &command))
        return NULL;
    sts = system(command);
    if (sts < 0) {
        PyErr_SetString(SpamError, "System command failed");
        return NULL;
    }
    return PyLong_FromLong(sts);
}</pre>
```

#### 2.1.3 回到例子

回到前面的例子,你应该明白下面的代码:

```
if (!PyArg_ParseTuple(args, "s", &command))
    return NULL;
```

如果在参数列表中检测到错误,将会返回 NULL (返回对象指针的函数的错误指示器),依据PyArg\_ParseTuple() 所设置的异常。在其他情况下参数的字符串值会被拷贝到局部变量 command。这是一个指针赋值,你不应该修改它所指向的字符串 (所以在标准 C 中,变量 command 应当被正确地声明为const char \*command)。

下一个语句使用 UNIX 系统函数 system() ,传递给他的参数是刚才从 PyArg\_ParseTuple() 取出的:

```
sts = system(command);
```

Our spam.system() function must return the value of sts as a Python object. This is done using the function PyLong\_FromLong().

```
return PyLong_FromLong(sts);
```

在这种情况下,会返回一个整数对象,(这个对象会在 Python 堆里面管理)。

如果你的 C 函数没有有用的返回值 (返回 void 的函数),则必须返回 None 。(你可以用  $Py_RETUN_NONE$  宏来完成):

```
Py_INCREF(Py_None);
return Py_None;
```

Py\_None 是一个 C 名字指定 Python 对象 None 。这是一个真正的 PY 对象,而不是 NULL 指针。

#### 2.1.4 模块方法表和初始化函数

为了展示 spam\_system() 如何被 Python 程序调用。把函数声明为可以被 Python 调用,需要先定义一个方法表"method table"。

```
static PyMethodDef SpamMethods[] = {
    ...
    {"system", spam_system, METH_VARARGS,
    "Execute a shell command."},
    ...
    {NULL, NULL, 0, NULL} /* Sentinel */
};
```

注意第三个参数(METH\_VARARGS),这个标志指定会使用 C 的调用惯例。可选值有 METH\_VARARGS、METH\_VARARGS | METH\_KEYWORDS。值 0 代表使用 PyArg\_ParseTuple() 的陈旧变量。

如果单独使用 METH\_VARARGS, 函数会等待 Python 传来 tuple 格式的参数, 并最终使用 PyArg\_ParseTuple()进行解析。

METH\_KEYWORDS 值表示接受关键字参数。这种情况下 C 函数需要接受第三个 PyObject \* 对象,表示字典参数,使用 PyArg\_ParseTupleAndKeywords() 来解析出参数。

The method table must be referenced in the module definition structure:

This structure, in turn, must be passed to the interpreter in the module's initialization function. The initialization function must be named PyInit\_name(), where *name* is the name of the module, and should be the only non-static item defined in the module file:

```
PyMODINIT_FUNC
PyInit_spam(void)
{
    return PyModule_Create(&spammodule);
}
```

Note that PyMODINIT\_FUNC declares the function as PyObject \* return type, declares any special linkage declarations required by the platform, and for C++ declares the function as extern "C".

When the Python program imports module <code>spam</code> for the first time, <code>PyInit\_spam()</code> is called. (See below for comments about embedding Python.) It calls <code>PyModule\_Create()</code>, which returns a module object, and inserts built-in function objects into the newly created module based upon the table (an array of <code>PyMethodDef</code> structures) found in the module definition. <code>PyModule\_Create()</code> returns a pointer to the module object that it creates. It may abort with a fatal error for certain errors, or return <code>NULL</code> if the module could not be initialized satisfactorily. The init function must return the module object to its caller, so that it then gets inserted into <code>sys.modules</code>.

When embedding Python, the PyInit\_spam() function is not called automatically unless there's an entry in the PyImport\_Inittab table. To add the module to the initialization table, use PyImport\_AppendInittab(), optionally followed by an import of the module:

```
int
main(int argc, char *argv[])
   wchar_t *program = Py_DecodeLocale(argv[0], NULL);
    if (program == NULL) {
        fprintf(stderr, "Fatal error: cannot decode argv[0]\n");
        exit(1);
   }
   /* Add a built-in module, before Py_Initialize */
   PyImport AppendInittab("spam", PyInit spam);
    /* Pass argv[0] to the Python interpreter */
   Py_SetProgramName(program);
    /* Initialize the Python interpreter. Required. */
   Py_Initialize();
    /* Optionally import the module; alternatively,
       import can be deferred until the embedded script
       imports it. */
   PyImport_ImportModule("spam");
   PyMem_RawFree(program);
   return 0;
```

注解: Removing entries from sys.modules or importing compiled modules into multiple interpreters within a process (or following a fork() without an intervening exec()) can create problems for some extension modules. Extension module authors should exercise caution when initializing internal data structures.

更多关于模块的现实的例子包含在 Python 源码包的 Modules/xxmodule.c 中。这些文件可以用作你的代码模板,或者学习。脚本 modulator.py 包含在源码发行版或 Windows 安装中,提供了一个简单的 GUI,用来声明需要实现的函数和对象,并且可以生成供填入的模板。脚本在 Tools/modulator/ 目录。查看 README 以了解用法。

注解: Unlike our spam example, xxmodule uses multi-phase initialization (new in Python 3.5), where a PyModuleDef structure is returned from PyInit\_spam, and creation of the module is left to the import machinery. For details on multi-phase initialization, see PEP 489.

#### 2.1.5 编译和链接

在你能使用你的新写的扩展之前,你还需要做两件事情:使用 Python 系统来编译和链接。如果你使用动态加载,这取决于你使用的操作系统的动态加载机制;更多信息请参考编译扩展模块的章节( $Building\ C\ and\ C++\ Extensions$ 章节),以及在 Windows 上编译需要的额外信息(在 Windows 平台编译 C 和 C++ 扩展章节)。

If you can't use dynamic loading, or if you want to make your module a permanent part of the Python interpreter, you will have to change the configuration setup and rebuild the interpreter. Luckily, this is very simple on Unix: just place your file (spammodule.c for example) in the Modules/ directory of an unpacked source distribution, add a line to the file Modules/Setup.local describing your file:

```
spam spammodule.o
```

然后在顶层目录运行 make 来重新构建解释器。你也可以在 Modules/ 子目录使用 make, 但是你必须先重建 Makefile 文件, 然后运行'make Makefile' 命令。(你每次修改 Setup 文件都需要这样操作。)

If your module requires additional libraries to link with, these can be listed on the line in the configuration file as well, for instance:

```
spam spammodule.o -1X11
```

#### 2.1.6 在 C 中调用 Python 函数

迄今为止,我们一直把注意力集中于让 Python 调用 C 函数,其实反过来也很有用,就是用 C 调用 Python 函数。这在回调函数中尤其有用。如果一个 C 接口使用回调,那么就要实现这个回调机制。

幸运的是, Python 解释器是比较方便回调的, 并给标准 Python 函数提供了标准接口。(这里就不再详述解析 Python 代码作为输入的方式, 如果有兴趣可以参考 Python/pythonmain.c 中的 -c 命令代码。)

调用 Python 函数, 首先 Python 程序要传递 Python 函数对象。应该提供个函数 (或其他接口) 来实现。当调用这个函数时, 用全局变量保存 Python 函数对象的指针, 还要调用 (Py\_INCREF()) 来增加引用计数, 当然不用全局变量也没什么关系。例如如下:

```
static PyObject *my_callback = NULL;
static PyObject *
my_set_callback(PyObject *dummy, PyObject *args)
{
    PyObject *result = NULL;
    PyObject *temp;

    if (PyArg_ParseTuple(args, "O:set_callback", &temp)) {
```

这个函数必须使用 METH\_VARARGS 标志注册到解释器,这在模块方法表和初始化函数 章节会描述。 PyArg\_ParseTuple()函数及其参数的文档在提取扩展函数的参数。

 $Py_XINCREF()$  和  $Py_XDECREF()$  这两个宏可以用来增加或减少对象的引用计数,即使参数是 NULL 指针,操作也是安全的(但在这个例子中 temp 永远不会为 NULL)。更多内容请参考引用计数 段落。

PyEval\_CallObject()返回一个 Python 对象指针表示返回值。该函数有 2 个参数,都是指向 Python 对象的指针: Python 函数,和参数列表。参数列表必须是 tuple 对象,其长度是参数数量。要调用无参数的 Python 函数,可以传递 NULL 或空元组。要用唯一参数调用,传递单一元组。Py\_BuildValue()返回元组,当其格式为字符串或多个编码时,例如:

```
int arg;
PyObject *arglist;
PyObject *result;
...
arg = 123;
...
/* Time to call the callback */
arglist = Py_BuildValue("(i)", arg);
result = PyObject_CallObject(my_callback, arglist);
Py_DECREF(arglist);
```

PyObject\_CallObject()返回 Python 对象指针,这也是 Python 函数的返回值。PyObject\_CallObject()是一个对其参数"引用计数无关"的函数。例子中新的元组创建用于参数列表,并且在 PyObject\_CallObject()之后立即使用了 Py\_DECREF()。

PyEval\_CallObject()的返回值总是"新"的:要么是一个新建的对象;要么是已有对象,但增加了引用计数。所以除非你想把结果保存在全局变量中,你需要对这个值使用 Py\_DECREF(),即使你对里面的内容(特别!)不感兴趣。

在你这么做之前,需要先检查返回值是否是 *NULL* 。如果是,Python 函数会终止并抛出异常。如果 C 代码调用了从 Python 传入的函数 PyObject\_CallObject(),因该立即返回错误来告知 Python 调用者,然后解释器会打印栈回溯,或者调用 Python 代码来处理这个异常。如果无法处理,异常会被 PyErr\_Clear()清除,例如:

```
if (result == NULL)
    return NULL; /* Pass error back */
...use result...
Py_DECREF(result);
```

依赖于具体的回调函数, 你还要提供一个参数列表到 PyEval\_CallObject()。在某些情况下参数列表是由

Python 程序提供的,通过接口再传到回调函数。这样就可以不改变形式直接传递。另外一些时候你要构造一个新的 tuple 来传递参数。最简单的方法就是 Py\_BuildValue() 函数构造 tuple。例如,你要传递一个事件对象时可以用:

```
PyObject *arglist;
...
arglist = Py_BuildValue("(1)", eventcode);
result = PyObject_CallObject(my_callback, arglist);
Py_DECREF(arglist);
if (result == NULL)
    return NULL; /* Pass error back */
/* Here maybe use the result */
Py_DECREF(result);
```

注意 Py\_DECREF(arglist) 所在处会立即调用,在错误检查之前。当然还要注意一些常规的错误,比如 Py\_BuildValue()可能会遭遇内存不足等等。

你还需要注意,用关键字参数调用 PyObject\_Call(),需要支持普通参数和关键字参数。有如如上例子中,我们使用 Py\_BuildValue()来构造字典。

```
PyObject *dict;
...
dict = Py_BuildValue("{s:i}", "name", val);
result = PyObject_Call(my_callback, NULL, dict);
Py_DECREF(dict);
if (result == NULL)
    return NULL; /* Pass error back */
/* Here maybe use the result */
Py_DECREF(result);
```

#### 2.1.7 提取扩展函数的参数

函数 PyArg ParseTuple()的声明如下:

```
int PyArg_ParseTuple(PyObject *arg, const char *format, ...);
```

参数 arg 必须是一个元组对象,包含从 Python 传递给 C 函数的参数列表。format 参数必须是一个格式字符串,语法请参考 Python C/API 手册中的 arg-parsing。剩余参数是各个变量的地址,类型要与格式字符串对应。

注意  $PyArg_ParseTuple()$  会检测他需要的 Python 参数类型,却无法检测传递给他的 C 变量地址,如果这里出错了,可能会在内存中随机写入东西,小心。

注意任何由调用者提供的 Python 对象引用是 借来的引用;不要递减它们的引用计数!

一些调用的例子:

```
int ok;
int i, j;
long k, l;
const char *s;
```

```
(续上页)
Py_ssize_t size;
ok = PyArg_ParseTuple(args, ""); /* No arguments */
   /* Python call: f() */
ok = PyArg_ParseTuple(args, "s", &s); /* A string */
   /* Possible Python call: f('whoops!') */
ok = PyArg_ParseTuple(args, "lls", &k, &l, &s); /* Two longs and a string */
   /* Possible Python call: f(1, 2, 'three') */
ok = PyArg_ParseTuple(args, "(ii)s#", &i, &j, &s, &size);
   /* A pair of ints and a string, whose size is also returned */
   /* Possible Python call: f((1, 2), 'three') */
₹
   const char *file;
   const char *mode = "r";
   int bufsize = 0;
   ok = PyArg_ParseTuple(args, "s|si", &file, &mode, &bufsize);
   /* A string, and optionally another string and an integer */
   /* Possible Python calls:
      f('spam')
      f('spam', 'w')
      f('spam', 'wb', 100000) */
}
{
   int left, top, right, bottom, h, v;
   ok = PyArg_ParseTuple(args, "((ii)(ii))(ii)",
             &left, &top, &right, &bottom, &h, &v);
   /* A rectangle and a point */
   /* Possible Python call:
       f(((0, 0), (400, 300)), (10, 10)) */
}
{
   Py_complex c;
   ok = PyArg_ParseTuple(args, "D:myfunction", &c);
   /* a complex, also providing a function name for errors */
   /* Possible Python call: myfunction(1+2j) */
```

#### 2.1.8 给扩展函数的关键字参数

函数 PyArg\_ParseTupleAndKeywords() 声明如下:

}

参数 arg 和 format 定义同 PyArg\_ParseTuple()。参数 kwdict 是关键字字典,用于接受运行时传来的关键字参数。参数 kwlist 是一个 NULL 结尾的字符串,定义了可以接受的参数名,并从左到右与 format 中各个变量对应。如果执行成功 PyArg\_ParseTupleAndKeywords() 会返回 true,否则返回 false 并抛出异常。

注解: 嵌套的元组在使用关键字参数时无法生效,不在 kwlist 中的关键字参数会导致 TypeError 异常。

如下是使用关键字参数的例子模块,作者是 Geoff Philbrick (phibrick@hks.com):

```
#define PY_SSIZE_T_CLEAN /* Make "s#" use Py_ssize_t rather than int. */
#include <Python.h>
static PyObject *
keywdarg_parrot(PyObject *self, PyObject *args, PyObject *keywds)
   int voltage;
   const char *state = "a stiff";
   const char *action = "voom";
   const char *type = "Norwegian Blue";
   static char *kwlist[] = {"voltage", "state", "action", "type", NULL};
   if (!PyArg_ParseTupleAndKeywords(args, keywds, "i|sss", kwlist,
                                     &voltage, &state, &action, &type))
       return NULL;
   printf("-- This parrot wouldn't %s if you put %i Volts through it.\n",
           action, voltage);
   printf("-- Lovely plumage, the %s -- It's %s!\n", type, state);
   Py_RETURN_NONE;
}
static PyMethodDef keywdarg methods[] = {
   /* The cast of the function is necessary since PyCFunction values
     * only take two PyObject* parameters, and keywdarg parrot() takes
     * three.
    {"parrot", (PyCFunction)keywdarg parrot, METH VARARGS | METH KEYWORDS,
     "Print a lovely skit to standard output."},
    {NULL, NULL, 0, NULL} /* sentinel */
};
static struct PyModuleDef keywdargmodule = {
   PyModuleDef_HEAD_INIT,
   "keywdarg",
   NULL,
   -1,
   keywdarg_methods
};
PyMODINIT FUNC
PyInit_keywdarg(void)
```

```
{
    return PyModule_Create(&keywdargmodule);
}
```

#### 2.1.9 构造任意值

这个函数与 PyArg\_ParseTuple() 很相似, 声明如下:

```
PyObject *Py_BuildValue(const char *format, ...);
```

接受一个格式字符串,与 PyArg\_ParseTuple()相同,但是参数必须是原变量的地址指针(输入给函数,而非输出)。最终返回一个 Python 对象适合于返回 C 函数调用给 Python 代码。

一个与 PyArg\_ParseTuple()的不同是,后面可能需要的要求返回一个元组 (Python 参数里诶包总是在内部描述为元组),比如用于传递给其他 Python 函数以参数。Py\_BuildValue()并不总是生成元组,在多于 1个参数时会生成元组,而如果没有参数则返回 None,一个参数则直接返回该参数的对象。如果要求强制生成一个长度为空的元组,或包含一个元素的元组,需要在格式字符串中加上括号。

例子 (左侧是调用,右侧是 Python 值结果):

```
Py_BuildValue("")
                                          None
Py BuildValue("i", 123)
                                          123
Py_BuildValue("iii", 123, 456, 789)
                                           (123, 456, 789)
Py_BuildValue("s", "hello")
                                           'hello'
Py_BuildValue("y", "hello")
                                          b'hello'
Py BuildValue("ss", "hello", "world")
                                           ('hello', 'world')
Py BuildValue("s#", "hello", 4)
                                          'hell'
Py_BuildValue("y#", "hello", 4)
                                          b'hell'
Py_BuildValue("()")
                                          ()
Py BuildValue("(i)", 123)
                                          (123.)
Py BuildValue("(ii)", 123, 456)
                                           (123, 456)
Py_BuildValue("(i,i)", 123, 456)
                                          (123, 456)
Py_BuildValue("[i,i]", 123, 456)
                                          [123, 456]
Py_BuildValue("{s:i,s:i}",
              "abc", 123, "def", 456)
                                          {'abc': 123, 'def': 456}
Py_BuildValue("((ii)(ii)) (ii)",
              1, 2, 3, 4, 5, 6)
                                          (((1, 2), (3, 4)), (5, 6))
```

#### 2.1.10 引用计数

在 C/C++ 语言中,程序员负责动态分配和回收堆 (heap) 当中的内存。在 C 里,通过函数 malloc() 和 free() 来完成。在 C++ 里是操作 new 和 delete 来实现相同的功能。

Every block of memory allocated with malloc() should eventually be returned to the pool of available memory by exactly one call to free(). It is important to call free() at the right time. If a block's address is forgotten but free() is not called for it, the memory it occupies cannot be reused until the program terminates. This is called a memory leak. On the other hand, if a program calls free() for a block and then continues to use the block, it creates a conflict with re-use of the block through another malloc() call. This is called using freed memory. It has the same bad consequences as referencing uninitialized data—core dumps, wrong results, mysterious crashes.

Common causes of memory leaks are unusual paths through the code. For instance, a function may allocate a block of memory, do some calculation, and then free the block again. Now a change in the requirements for the function may add a test to the calculation that detects an error condition and can return prematurely from the function. It's easy to forget to free the allocated memory block when taking this premature exit, especially when it is added later to the code. Such leaks, once introduced, often go undetected for a long time: the error exit is taken only in a small fraction of all calls, and most modern machines have plenty of virtual memory, so the leak only becomes apparent in a long-running process that uses the leaking function frequently. Therefore, it's important to prevent leaks from happening by having a coding convention or strategy that minimizes this kind of errors.

Since Python makes heavy use of malloc() and free(), it needs a strategy to avoid memory leaks as well as the use of freed memory. The chosen method is called *reference counting*. The principle is simple: every object contains a counter, which is incremented when a reference to the object is stored somewhere, and which is decremented when a reference to it is deleted. When the counter reaches zero, the last reference to the object has been deleted and the object is freed.

An alternative strategy is called *automatic garbage collection*. (Sometimes, reference counting is also referred to as a garbage collection strategy, hence my use of "automatic" to distinguish the two.) The big advantage of automatic garbage collection is that the user doesn't need to call free() explicitly. (Another claimed advantage is an improvement in speed or memory usage — this is no hard fact however.) The disadvantage is that for C, there is no truly portable automatic garbage collector, while reference counting can be implemented portably (as long as the functions malloc() and free() are available — which the C Standard guarantees). Maybe some day a sufficiently portable automatic garbage collector will be available for C. Until then, we'll have to live with reference counts.

While Python uses the traditional reference counting implementation, it also offers a cycle detector that works to detect reference cycles. This allows applications to not worry about creating direct or indirect circular references; these are the weakness of garbage collection implemented using only reference counting. Reference cycles consist of objects which contain (possibly indirect) references to themselves, so that each object in the cycle has a reference count which is non-zero. Typical reference counting implementations are not able to reclaim the memory belonging to any objects in a reference cycle, or referenced from the objects in the cycle, even though there are no further references to the cycle itself.

The cycle detector is able to detect garbage cycles and can reclaim them. The gc module exposes a way to run the detector (the collect() function), as well as configuration interfaces and the ability to disable the detector at runtime. The cycle detector is considered an optional component; though it is included by default, it can be disabled at build time using the --without-cycle-gc option to the configure script on Unix platforms (including Mac OS X). If the cycle detector is disabled in this way, the gc module will not be available.

#### Reference Counting in Python

There are two macros, Py\_INCREF(x) and Py\_DECREF(x), which handle the incrementing and decrementing of the reference count. Py\_DECREF() also frees the object when the count reaches zero. For flexibility, it doesn't call free() directly — rather, it makes a call through a function pointer in the object's type object. For this purpose (and others), every object also contains a pointer to its type object.

The big question now remains: when to use Py\_INCREF(x) and Py\_DECREF(x)? Let's first introduce some terms. Nobody "owns" an object; however, you can own a reference to an object. An object's reference count is now defined as the number of owned references to it. The owner of a reference is responsible for calling Py\_DECREF() when the reference is no longer needed. Ownership of a reference can be transferred. There are three ways to dispose of an owned reference: pass it on, store it, or call Py\_DECREF(). Forgetting to dispose of an owned reference creates a memory leak.

It is also possible to borrow<sup>2</sup> a reference to an object. The borrower of a reference should not call

 $<sup>^{2}</sup>$  The metaphor of "borrowing" a reference is not completely correct: the owner still has a copy of the reference.

Py\_DECREF(). The borrower must not hold on to the object longer than the owner from which it was borrowed. Using a borrowed reference after the owner has disposed of it risks using freed memory and should be avoided completely<sup>3</sup>.

The advantage of borrowing over owning a reference is that you don't need to take care of disposing of the reference on all possible paths through the code — in other words, with a borrowed reference you don't run the risk of leaking when a premature exit is taken. The disadvantage of borrowing over owning is that there are some subtle situations where in seemingly correct code a borrowed reference can be used after the owner from which it was borrowed has in fact disposed of it.

A borrowed reference can be changed into an owned reference by calling Py\_INCREF(). This does not affect the status of the owner from which the reference was borrowed — it creates a new owned reference, and gives full owner responsibilities (the new owner must dispose of the reference properly, as well as the previous owner).

#### **Ownership Rules**

Whenever an object reference is passed into or out of a function, it is part of the function's interface specification whether ownership is transferred with the reference or not.

Most functions that return a reference to an object pass on ownership with the reference. In particular, all functions whose function it is to create a new object, such as PyLong\_FromLong() and Py\_BuildValue(), pass ownership to the receiver. Even if the object is not actually new, you still receive ownership of a new reference to that object. For instance, PyLong\_FromLong() maintains a cache of popular values and can return a reference to a cached item.

Many functions that extract objects from other objects also transfer ownership with the reference, for instance PyObject\_GetAttrString(). The picture is less clear, here, however, since a few common routines are exceptions: PyTuple\_GetItem(), PyList\_GetItem(), PyDict\_GetItem(), and PyDict\_GetItemString() all return references that you borrow from the tuple, list or dictionary.

The function PyImport\_AddModule() also returns a borrowed reference, even though it may actually create the object it returns: this is possible because an owned reference to the object is stored in sys.modules.

When you pass an object reference into another function, in general, the function borrows the reference from you — if it needs to store it, it will use Py\_INCREF() to become an independent owner. There are exactly two important exceptions to this rule: PyTuple\_SetItem() and PyList\_SetItem(). These functions take over ownership of the item passed to them — even if they fail! (Note that PyDict\_SetItem() and friends don't take over ownership — they are "normal.")

When a C function is called from Python, it borrows references to its arguments from the caller. The caller owns a reference to the object, so the borrowed reference's lifetime is guaranteed until the function returns. Only when such a borrowed reference must be stored or passed on, it must be turned into an owned reference by calling Py\_INCREF().

The object reference returned from a C function that is called from Python must be an owned reference — ownership is transferred from the function to its caller.

#### Thin Ice

There are a few situations where seemingly harmless use of a borrowed reference can lead to problems. These all have to do with implicit invocations of the interpreter, which can cause the owner of a reference to dispose of it.

<sup>&</sup>lt;sup>3</sup> Checking that the reference count is at least 1 **does not work** — the reference count itself could be in freed memory and may thus be reused for another object!

The first and most important case to know about is using Py\_DECREF() on an unrelated object while borrowing a reference to a list item. For instance:

```
void
bug(PyObject *list)
{
    PyObject *item = PyList_GetItem(list, 0);

    PyList_SetItem(list, 1, PyLong_FromLong(OL));
    PyObject_Print(item, stdout, 0); /* BUG! */
}
```

This function first borrows a reference to list[0], then replaces list[1] with the value 0, and finally prints the borrowed reference. Looks harmless, right? But it's not!

Let's follow the control flow into PyList\_SetItem(). The list owns references to all its items, so when item 1 is replaced, it has to dispose of the original item 1. Now let's suppose the original item 1 was an instance of a user-defined class, and let's further suppose that the class defined a \_\_del\_\_() method. If this class instance has a reference count of 1, disposing of it will call its \_\_del\_\_() method.

Since it is written in Python, the \_\_del\_\_() method can execute arbitrary Python code. Could it perhaps do something to invalidate the reference to item in bug()? You bet! Assuming that the list passed into bug() is accessible to the \_\_del\_\_() method, it could execute a statement to the effect of del list[0], and assuming this was the last reference to that object, it would free the memory associated with it, thereby invalidating item.

The solution, once you know the source of the problem, is easy: temporarily increment the reference count. The correct version of the function reads:

```
void
no_bug(PyObject *list)
{
    PyObject *item = PyList_GetItem(list, 0);

    Py_INCREF(item);
    PyList_SetItem(list, 1, PyLong_FromLong(OL));
    PyObject_Print(item, stdout, 0);
    Py_DECREF(item);
}
```

This is a true story. An older version of Python contained variants of this bug and someone spent a considerable amount of time in a C debugger to figure out why his \_\_del\_\_() methods would fail...

The second case of problems with a borrowed reference is a variant involving threads. Normally, multiple threads in the Python interpreter can't get in each other's way, because there is a global lock protecting Python's entire object space. However, it is possible to temporarily release this lock using the macro Py\_BEGIN\_ALLOW\_THREADS, and to re-acquire it using Py\_END\_ALLOW\_THREADS. This is common around blocking I/O calls, to let other threads use the processor while waiting for the I/O to complete. Obviously, the following function has the same problem as the previous one:

```
void
bug(PyObject *list)
{
    PyObject *item = PyList_GetItem(list, 0);
    Py_BEGIN_ALLOW_THREADS
    ...some blocking I/O call...
```

```
Py_END_ALLOW_THREADS
PyObject_Print(item, stdout, 0); /* BUG! */
}
```

#### **NULL Pointers**

In general, functions that take object references as arguments do not expect you to pass them NULL pointers, and will dump core (or cause later core dumps) if you do so. Functions that return object references generally return NULL only to indicate that an exception occurred. The reason for not testing for NULL arguments is that functions often pass the objects they receive on to other function — if each function were to test for NULL, there would be a lot of redundant tests and the code would run more slowly.

It is better to test for *NULL* only at the "source:" when a pointer that may be *NULL* is received, for example, from malloc() or from a function that may raise an exception.

The macros Py\_INCREF() and Py\_DECREF() do not check for *NULL* pointers — however, their variants Py\_XINCREF() and Py\_XDECREF() do.

The macros for checking for a particular object type (Pytype\_Check()) don't check for NULL pointers — again, there is much code that calls several of these in a row to test an object against various different expected types, and this would generate redundant tests. There are no variants with NULL checking.

The C function calling mechanism guarantees that the argument list passed to C functions (args in the examples) is never NULL — in fact it guarantees that it is always a tuple<sup>4</sup>.

It is a severe error to ever let a NULL pointer "escape" to the Python user.

#### 2.1.11 Writing Extensions in C++

It is possible to write extension modules in C++. Some restrictions apply. If the main program (the Python interpreter) is compiled and linked by the C compiler, global or static objects with constructors cannot be used. This is not a problem if the main program is linked by the C++ compiler. Functions that will be called by the Python interpreter (in particular, module initialization functions) have to be declared using extern "C". It is unnecessary to enclose the Python header files in extern "C" {...} — they use this form already if the symbol \_\_cplusplus is defined (all recent C++ compilers define this symbol).

#### 2.1.12 Providing a C API for an Extension Module

Many extension modules just provide new functions and types to be used from Python, but sometimes the code in an extension module can be useful for other extension modules. For example, an extension module could implement a type "collection" which works like lists without order. Just like the standard Python list type has a C API which permits extension modules to create and manipulate lists, this new collection type should have a set of C functions for direct manipulation from other extension modules.

At first sight this seems easy: just write the functions (without declaring them static, of course), provide an appropriate header file, and document the C API. And in fact this would work if all extension modules were always linked statically with the Python interpreter. When modules are used as shared libraries, however, the symbols defined in one module may not be visible to another module. The details of visibility depend on the operating system; some systems use one global namespace for the Python interpreter and all extension modules (Windows, for example), whereas others require an explicit list of imported symbols at module link

<sup>&</sup>lt;sup>4</sup> These guarantees don't hold when you use the "old" style calling convention — this is still found in much existing code.

time (AIX is one example), or offer a choice of different strategies (most Unices). And even if symbols are globally visible, the module whose functions one wishes to call might not have been loaded yet!

Portability therefore requires not to make any assumptions about symbol visibility. This means that all symbols in extension modules should be declared **static**, except for the module's initialization function, in order to avoid name clashes with other extension modules (as discussed in section 模块方法表和初始化函数). And it means that symbols that *should* be accessible from other extension modules must be exported in a different way.

Python provides a special mechanism to pass C-level information (pointers) from one extension module to another one: Capsules. A Capsule is a Python data type which stores a pointer (void \*). Capsules can only be created and accessed via their C API, but they can be passed around like any other Python object. In particular, they can be assigned to a name in an extension module's namespace. Other extension modules can then import this module, retrieve the value of this name, and then retrieve the pointer from the Capsule.

There are many ways in which Capsules can be used to export the C API of an extension module. Each function could get its own Capsule, or all C API pointers could be stored in an array whose address is published in a Capsule. And the various tasks of storing and retrieving the pointers can be distributed in different ways between the module providing the code and the client modules.

Whichever method you choose, it's important to name your Capsules properly. The function PyCapsule\_New() takes a name parameter (const char \*); you're permitted to pass in a *NULL* name, but we strongly encourage you to specify a name. Properly named Capsules provide a degree of runtime type-safety; there is no feasible way to tell one unnamed Capsule from another.

In particular, Capsules used to expose C APIs should be given a name following this convention:

```
modulename.attributename
```

The convenience function PyCapsule\_Import() makes it easy to load a C API provided via a Capsule, but only if the Capsule's name matches this convention. This behavior gives C API users a high degree of certainty that the Capsule they load contains the correct C API.

The following example demonstrates an approach that puts most of the burden on the writer of the exporting module, which is appropriate for commonly used library modules. It stores all C API pointers (just one in the example!) in an array of void pointers which becomes the value of a Capsule. The header file corresponding to the module provides a macro that takes care of importing the module and retrieving its C API pointers; client modules only have to call this macro before accessing the C API.

The exporting module is a modification of the spam module from section 一个简单的例子. The function spam.system() does not call the C library function system() directly, but a function PySpam\_System(), which would of course do something more complicated in reality (such as adding "spam" to every command). This function PySpam System() is also exported to other extension modules.

The function PySpam System() is a plain C function, declared static like everything else:

```
static int
PySpam_System(const char *command)
{
    return system(command);
}
```

The function spam\_system() is modified in a trivial way:

```
static PyObject *
spam_system(PyObject *self, PyObject *args)
{
   const char *command;
```

```
int sts;

if (!PyArg_ParseTuple(args, "s", &command))
    return NULL;

sts = PySpam_System(command);
  return PyLong_FromLong(sts);
}
```

In the beginning of the module, right after the line

```
#include <Python.h>
```

two more lines must be added:

```
#define SPAM_MODULE
#include "spammodule.h"
```

The #define is used to tell the header file that it is being included in the exporting module, not a client module. Finally, the module's initialization function must take care of initializing the C API pointer array:

```
PyMODINIT FUNC
PyInit_spam(void)
   PyObject *m;
   static void *PySpam_API[PySpam_API_pointers];
   PyObject *c_api_object;
   m = PyModule_Create(&spammodule);
   if (m == NULL)
       return NULL;
   /* Initialize the C API pointer array */
   PySpam_API[PySpam_System_NUM] = (void *)PySpam_System;
   /* Create a Capsule containing the API pointer array's address */
   c_api_object = PyCapsule_New((void *)PySpam_API, "spam._C_API", NULL);
   if (c_api_object != NULL)
       PyModule_AddObject(m, "_C_API", c_api_object);
   return m;
}
```

Note that PySpam\_API is declared static; otherwise the pointer array would disappear when PyInit\_spam() terminates!

The bulk of the work is in the header file spammodule.h, which looks like this:

```
#ifndef Py_SPAMMODULE_H
#define Py_SPAMMODULE_H
#ifdef __cplusplus
extern "C" {
#endif
```

```
/* Header file for spammodule */
/* C API functions */
#define PySpam System NUM 0
#define PySpam_System_RETURN int
#define PySpam_System_PROTO (const char *command)
/* Total number of C API pointers */
#define PySpam_API_pointers 1
#ifdef SPAM_MODULE
/* This section is used when compiling spammodule.c */
static PySpam_System_RETURN PySpam_System PySpam_System_PROTO;
#else
/* This section is used in modules that use spammodule's API */
static void **PySpam_API;
#define PySpam_System \
(*(PySpam_System_RETURN (*)PySpam_System_PROTO) PySpam_API[PySpam_System_NUM])
/* Return -1 on error, 0 on success.
* PyCapsule_Import will set an exception if there's an error.
*/
static int
import_spam(void)
   PySpam_API = (void **)PyCapsule_Import("spam._C_API", 0);
   return (PySpam_API != NULL) ? 0 : -1;
}
#endif
#ifdef __cplusplus
#endif
#endif /* !defined(Py_SPAMMODULE_H) */
```

All that a client module must do in order to have access to the function PySpam\_System() is to call the function (or rather macro) import\_spam() in its initialization function:

```
PyMODINIT_FUNC
PyInit_client(void)
{
    PyObject *m;

    m = PyModule_Create(&clientmodule);
    if (m == NULL)
```

```
return NULL;
if (import_spam() < 0)
    return NULL;
/* additional initialization can happen here */
    return m;
}</pre>
```

The main disadvantage of this approach is that the file **spammodule.h** is rather complicated. However, the basic structure is the same for each function that is exported, so it has to be learned only once.

Finally it should be mentioned that Capsules offer additional functionality, which is especially useful for memory allocation and deallocation of the pointer stored in a Capsule. The details are described in the Python/C API Reference Manual in the section capsules and in the implementation of Capsules (files Include/pycapsule.h and Objects/pycapsule.c in the Python source code distribution).

### 2.2 自定义扩展类型: 教程

Python 允许编写 C 扩展模块定义可以从 Python 代码中操纵的新类型,这很像内置的 str 和 list 类型。 所有扩展类型的代码都遵循一个模式,但是在您开始之前,您需要了解一些细节。这份文件是对这个主题介绍。

#### 2.2.1 基础

The *CPython* runtime sees all Python objects as variables of type PyObject\*, which serves as a "base type" for all Python objects. The PyObject structure itself only contains the object's *reference count* and a pointer to the object's "type object". This is where the action is; the type object determines which (C) functions get called by the interpreter when, for instance, an attribute gets looked up on an object, a method called, or it is multiplied by another object. These C functions are called "type methods".

So, if you want to define a new extension type, you need to create a new type object.

This sort of thing can only be explained by example, so here's a minimal, but complete, module that defines a new type named Custom inside a C extension module custom:

注解: What we're showing here is the traditional way of defining *static* extension types. It should be adequate for most uses. The C API also allows defining heap-allocated extension types using the PyType\_FromSpec() function, which isn't covered in this tutorial.

```
#define PY_SSIZE_T_CLEAN
#include <Python.h>

typedef struct {
    Py0bject_HEAD
    /* Type-specific fields go here. */
} CustomObject;

static PyTypeObject CustomType = {
    PyVarObject_HEAD_INIT(NULL, 0)
    .tp_name = "custom.Custom",
```

```
.tp_doc = "Custom objects",
    .tp basicsize = sizeof(CustomObject),
    .tp itemsize = 0,
    .tp_flags = Py_TPFLAGS_DEFAULT,
    .tp_new = PyType_GenericNew,
};
static PyModuleDef custommodule = {
    PyModuleDef_HEAD_INIT,
    .m_name = "custom",
    .m_doc = "Example module that creates an extension type.",
    .m_size = -1,
};
PyMODINIT FUNC
PyInit_custom(void)
    PyObject *m;
    if (PyType_Ready(&CustomType) < 0)</pre>
        return NULL;
    m = PyModule_Create(&custommodule);
    if (m == NULL)
        return NULL;
    Py_INCREF(&CustomType);
    PyModule_AddObject(m, "Custom", (PyObject *) &CustomType);
    return m;
}
```

Now that's quite a bit to take in at once, but hopefully bits will seem familiar from the previous chapter. This file defines three things:

- 1. What a Custom object contains: this is the CustomObject struct, which is allocated once for each Custom instance.
- 2. How the Custom type behaves: this is the CustomType struct, which defines a set of flags and function pointers that the interpreter inspects when specific operations are requested.
- 3. How to initialize the custom module: this is the PyInit\_custom function and the associated custommodule struct.

The first bit is:

```
typedef struct {
    PyObject_HEAD
} CustomObject;
```

This is what a Custom object will contain. PyObject\_HEAD is mandatory at the start of each object struct and defines a field called ob\_base of type PyObject, containing a pointer to a type object and a reference count (these can be accessed using the macros Py\_REFCNT and Py\_TYPE respectively). The reason for the macro is to abstract away the layout and to enable additional fields in debug builds.

注解: There is no semicolon above after the PyObject\_HEAD macro. Be wary of adding one by accident:

some compilers will complain.

Of course, objects generally store additional data besides the standard PyObject\_HEAD boilerplate; for example, here is the definition for standard Python floats:

```
typedef struct {
    PyObject_HEAD
    double ob_fval;
} PyFloatObject;
```

The second bit is the definition of the type object.

```
static PyTypeObject CustomType = {
    PyVarObject_HEAD_INIT(NULL, 0)
    .tp_name = "custom.Custom",
    .tp_doc = "Custom objects",
    .tp_basicsize = sizeof(CustomObject),
    .tp_itemsize = 0,
    .tp_new = PyType_GenericNew,
};
```

注解: We recommend using C99-style designated initializers as above, to avoid listing all the PyTypeObject fields that you don't care about and also to avoid caring about the fields' declaration order.

The actual definition of PyTypeObject in object.h has many more fields than the definition above. The remaining fields will be filled with zeros by the C compiler, and it's common practice to not specify them explicitly unless you need them.

We're going to pick it apart, one field at a time:

```
PyVarObject_HEAD_INIT(NULL, 0)
```

This line is mandatory boilerplate to initialize the ob\_base field mentioned above.

```
.tp_name = "custom.Custom",
```

The name of our type. This will appear in the default textual representation of our objects and in some error messages, for example:

```
>>> "" + custom.Custom()
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
TypeError: can only concatenate str (not "custom.Custom") to str
```

Note that the name is a dotted name that includes both the module name and the name of the type within the module. The module in this case is custom and the type is Custom, so we set the type name to custom. Custom. Using the real dotted import path is important to make your type compatible with the pydoc and pickle modules.

```
.tp_basicsize = sizeof(CustomObject),
.tp_itemsize = 0,
```

This is so that Python knows how much memory to allocate when creating new Custom instances. tp\_itemsize is only used for variable-sized objects and should otherwise be zero.

注解: If you want your type to be subclassable from Python, and your type has the same tp\_basicsize as its base type, you may have problems with multiple inheritance. A Python subclass of your type will have to list your type first in its \_\_bases\_\_, or else it will not be able to call your type's \_\_new\_\_() method without getting an error. You can avoid this problem by ensuring that your type has a larger value for tp\_basicsize than its base type does. Most of the time, this will be true anyway, because either your base type will be object, or else you will be adding data members to your base type, and therefore increasing its size.

We set the class flags to Py\_TPFLAGS\_DEFAULT.

```
.tp_flags = Py_TPFLAGS_DEFAULT,
```

All types should include this constant in their flags. It enables all of the members defined until at least Python 3.3. If you need further members, you will need to OR the corresponding flags.

We provide a doc string for the type in tp\_doc.

```
.tp_doc = "Custom objects",
```

To enable object creation, we have to provide a tp\_new handler. This is the equivalent of the Python method \_\_new\_\_(), but has to be specified explicitly. In this case, we can just use the default implementation provided by the API function PyType\_GenericNew().

```
.tp_new = PyType_GenericNew,
```

Everything else in the file should be familiar, except for some code in PyInit\_custom():

```
if (PyType_Ready(&CustomType) < 0)
   return;</pre>
```

This initializes the Custom type, filling in a number of members to the appropriate default values, including  $\texttt{ob\_type}$  that we initially set to NULL.

```
PyModule_AddObject(m, "Custom", (PyObject *) &CustomType);
```

This adds the type to the module dictionary. This allows us to create Custom instances by calling the Custom class:

```
>>> import custom
>>> mycustom = custom.Custom()
```

That's it! All that remains is to build it; put the above code in a file called custom.c and:

in a file called setup.py; then typing

```
$ python setup.py build
```

at a shell should produce a file custom.so in a subdirectory; move to that directory and fire up Python — you should be able to import custom and play around with Custom objects.

That wasn't so hard, was it?

Of course, the current Custom type is pretty uninteresting. It has no data and doesn't do anything. It can't even be subclassed.

注解: While this documentation showcases the standard distutils module for building C extensions, it is recommended in real-world use cases to use the newer and better-maintained setuptools library. Documentation on how to do this is out of scope for this document and can be found in the Python Packaging User's Guide.

#### 2.2.2 Adding data and methods to the Basic example

Let's extend the basic example to add some data and methods. Let's also make the type usable as a base class. We'll create a new module, custom2 that adds these capabilities:

```
#define PY_SSIZE_T_CLEAN
#include <Python.h>
#include "structmember.h"
typedef struct {
   PyObject_HEAD
   PyObject *first; /* first name */
   PyObject *last; /* last name */
    int number;
} CustomObject;
static void
Custom_dealloc(CustomObject *self)
   Py_XDECREF(self->first);
   Py_XDECREF(self->last);
   Py_TYPE(self)->tp_free((PyObject *) self);
}
static PyObject *
Custom_new(PyTypeObject *type, PyObject *args, PyObject *kwds)
   CustomObject *self;
    self = (CustomObject *) type->tp_alloc(type, 0);
    if (self != NULL) {
        self->first = PyUnicode_FromString("");
        if (self->first == NULL) {
            Py_DECREF(self);
            return NULL;
        }
        self->last = PyUnicode FromString("");
        if (self->last == NULL) {
            Py_DECREF(self);
            return NULL;
        }
        self->number = 0;
   return (PyObject *) self;
```

```
}
static int
Custom_init(CustomObject *self, PyObject *args, PyObject *kwds)
   static char *kwlist[] = {"first", "last", "number", NULL};
   PyObject *first = NULL, *last = NULL, *tmp;
   if (!PyArg_ParseTupleAndKeywords(args, kwds, "|OOi", kwlist,
                                     &first, &last,
                                     &self->number))
       return -1;
   if (first) {
       tmp = self->first;
       Py_INCREF(first);
        self->first = first;
       Py_XDECREF(tmp);
   if (last) {
       tmp = self->last;
       Py_INCREF(last);
       self->last = last;
       Py_XDECREF(tmp);
   }
   return 0;
}
static PyMemberDef Custom_members[] = {
   {"first", T_OBJECT_EX, offsetof(CustomObject, first), 0,
     "first name"},
   {"last", T_OBJECT_EX, offsetof(CustomObject, last), 0,
     "last name"},
    {"number", T_INT, offsetof(CustomObject, number), 0,
     "custom number"},
    {NULL} /* Sentinel */
};
static PyObject *
Custom_name(CustomObject *self, PyObject *Py_UNUSED(ignored))
   if (self->first == NULL) {
       PyErr_SetString(PyExc_AttributeError, "first");
       return NULL;
   }
    if (self->last == NULL) {
       PyErr_SetString(PyExc_AttributeError, "last");
       return NULL;
   return PyUnicode_FromFormat("%S %S", self->first, self->last);
}
```

```
static PyMethodDef Custom_methods[] = {
    {"name", (PyCFunction) Custom name, METH NOARGS,
     "Return the name, combining the first and last name"
    },
    {NULL} /* Sentinel */
};
static PyTypeObject CustomType = {
    PyVarObject_HEAD_INIT(NULL, 0)
    .tp_name = "custom2.Custom",
    .tp_doc = "Custom objects",
    .tp_basicsize = sizeof(CustomObject),
    .tp_itemsize = 0,
    .tp_flags = Py_TPFLAGS_DEFAULT | Py_TPFLAGS_BASETYPE,
    .tp_new = Custom_new,
    .tp_init = (initproc) Custom_init,
    .tp_dealloc = (destructor) Custom_dealloc,
    .tp_members = Custom_members,
    .tp_methods = Custom_methods,
};
static PyModuleDef custommodule = {
    PyModuleDef_HEAD_INIT,
    .m_name = "custom2",
    .m_doc = "Example module that creates an extension type.",
    .m_size = -1,
};
PyMODINIT_FUNC
PyInit_custom2(void)
    PyObject *m;
    if (PyType_Ready(&CustomType) < 0)</pre>
        return NULL;
    m = PyModule_Create(&custommodule);
    if (m == NULL)
        return NULL;
    Py_INCREF(&CustomType);
    PyModule_AddObject(m, "Custom", (PyObject *) &CustomType);
    return m;
}
```

This version of the module has a number of changes.

We've added an extra include:

```
#include <structmember.h>
```

This include provides declarations that we use to handle attributes, as described a bit later.

The Custom type now has three data attributes in its C struct, first, last, and number. The first and last variables are Python strings containing first and last names. The number attribute is a C integer.

The object structure is updated accordingly:

```
typedef struct {
    PyObject_HEAD
    PyObject *first; /* first name */
    PyObject *last; /* last name */
    int number;
} CustomObject;
```

Because we now have data to manage, we have to be more careful about object allocation and deallocation. At a minimum, we need a deallocation method:

```
static void
Custom_dealloc(CustomObject *self)
{
    Py_XDECREF(self->first);
    Py_XDECREF(self->last);
    Py_TYPE(self)->tp_free((PyObject *) self);
}
```

which is assigned to the tp\_dealloc member:

```
.tp_dealloc = (destructor) Custom_dealloc,
```

This method first clears the reference counts of the two Python attributes. Py\_XDECREF() correctly handles the case where its argument is *NULL* (which might happen here if tp\_new failed midway). It then calls the tp\_free member of the object's type (computed by Py\_TYPE(self)) to free the object's memory. Note that the object's type might not be CustomType, because the object may be an instance of a subclass.

注解: The explicit cast to destructor above is needed because we defined Custom\_dealloc to take a CustomObject \* argument, but the tp\_dealloc function pointer expects to receive a PyObject \* argument. Otherwise, the compiler will emit a warning. This is object-oriented polymorphism, in C!

We want to make sure that the first and last names are initialized to empty strings, so we provide a tp\_new implementation:

```
static PyObject *
Custom_new(PyTypeObject *type, PyObject *args, PyObject *kwds)
   CustomObject *self;
    self = (CustomObject *) type->tp_alloc(type, 0);
    if (self != NULL) {
        self->first = PyUnicode_FromString("");
        if (self->first == NULL) {
            Py_DECREF(self);
            return NULL;
        }
        self->last = PyUnicode_FromString("");
        if (self->last == NULL) {
            Py_DECREF(self);
            return NULL;
        }
        self->number = 0;
```

```
}
return (PyObject *) self;
}
```

and install it in the tp\_new member:

```
.tp_new = Custom_new,
```

The tp\_new handler is responsible for creating (as opposed to initializing) objects of the type. It is exposed in Python as the \_\_new\_\_() method. It is not required to define a tp\_new member, and indeed many extension types will simply reuse PyType\_GenericNew() as done in the first version of the Custom type above. In this case, we use the tp\_new handler to initialize the first and last attributes to non-NULL default values.

tp\_new is passed the type being instantiated (not necessarily CustomType, if a subclass is instantiated) and any arguments passed when the type was called, and is expected to return the instance created. tp\_new handlers always accept positional and keyword arguments, but they often ignore the arguments, leaving the argument handling to initializer (a.k.a. tp\_init in C or \_\_init\_\_ in Python) methods.

注解: tp\_new shouldn't call tp\_init explicitly, as the interpreter will do it itself.

The tp\_new implementation calls the tp\_alloc slot to allocate memory:

```
self = (CustomObject *) type->tp_alloc(type, 0);
```

Since memory allocation may fail, we must check the tp\_alloc result against NULL before proceeding.

注解: We didn't fill the tp\_alloc slot ourselves. Rather PyType\_Ready() fills it for us by inheriting it from our base class, which is object by default. Most types use the default allocation strategy.

注解: If you are creating a co-operative tp\_new (one that calls a base type's tp\_new or \_\_new\_\_()), you must not try to determine what method to call using method resolution order at runtime. Always statically determine what type you are going to call, and call its tp\_new directly, or via type->tp\_base->tp\_new. If you do not do this, Python subclasses of your type that also inherit from other Python-defined classes may not work correctly. (Specifically, you may not be able to create instances of such subclasses without getting a TypeError.)

We also define an initialization function which accepts arguments to provide initial values for our instance:

```
if (first) {
    tmp = self->first;
    Py_INCREF(first);
    self->first = first;
    Py_XDECREF(tmp);
}
if (last) {
    tmp = self->last;
    Py_INCREF(last);
    self->last = last;
    Py_XDECREF(tmp);
}
return 0;
}
```

by filling the tp\_init slot.

```
.tp_init = (initproc) Custom_init,
```

The tp\_init slot is exposed in Python as the \_\_init\_\_() method. It is used to initialize an object after it's created. Initializers always accept positional and keyword arguments, and they should return either 0 on success or -1 on error.

Unlike the tp\_new handler, there is no guarantee that tp\_init is called at all (for example, the pickle module by default doesn't call \_\_init\_\_() on unpickled instances). It can also be called multiple times. Anyone can call the \_\_init\_\_() method on our objects. For this reason, we have to be extra careful when assigning the new attribute values. We might be tempted, for example to assign the first member like this:

```
if (first) {
    Py_XDECREF(self->first);
    Py_INCREF(first);
    self->first = first;
}
```

But this would be risky. Our type doesn't restrict the type of the first member, so it could be any kind of object. It could have a destructor that causes code to be executed that tries to access the first member; or that destructor could release the *Global interpreter Lock* and let arbitrary code run in other threads that accesses and modifies our object.

To be paranoid and protect ourselves against this possibility, we almost always reassign members before decrementing their reference counts. When don't we have to do this?

- when we absolutely know that the reference count is greater than 1;
- when we know that deallocation of the object<sup>1</sup> will neither release the *GIL* nor cause any calls back into our type's code;
- when decrementing a reference count in a tp\_dealloc handler on a type which doesn't support cyclic garbage collection<sup>2</sup>.

We want to expose our instance variables as attributes. There are a number of ways to do that. The simplest way is to define member definitions:

<sup>&</sup>lt;sup>1</sup> This is true when we know that the object is a basic type, like a string or a float.

<sup>&</sup>lt;sup>2</sup> We relied on this in the tp\_dealloc handler in this example, because our type doesn't support garbage collection.

and put the definitions in the tp\_members slot:

```
.tp_members = Custom_members,
```

Each member definition has a member name, type, offset, access flags and documentation string. See the *Generic Attribute Management* section below for details.

A disadvantage of this approach is that it doesn't provide a way to restrict the types of objects that can be assigned to the Python attributes. We expect the first and last names to be strings, but any Python objects can be assigned. Further, the attributes can be deleted, setting the C pointers to *NULL*. Even though we can make sure the members are initialized to non-*NULL* values, the members can be set to *NULL* if the attributes are deleted.

We define a single method, Custom.name(), that outputs the objects name as the concatenation of the first and last names.

```
static PyObject *
Custom_name(CustomObject *self)
{
    if (self->first == NULL) {
        PyErr_SetString(PyExc_AttributeError, "first");
        return NULL;
    }
    if (self->last == NULL) {
        PyErr_SetString(PyExc_AttributeError, "last");
        return NULL;
    }
    return PyUnicode_FromFormat("%S %S", self->first, self->last);
}
```

The method is implemented as a C function that takes a Custom (or Custom subclass) instance as the first argument. Methods always take an instance as the first argument. Methods often take positional and keyword arguments as well, but in this case we don't take any and don't need to accept a positional argument tuple or keyword argument dictionary. This method is equivalent to the Python method:

```
def name(self):
    return "%s %s" % (self.first, self.last)
```

Note that we have to check for the possibility that our first and last members are *NULL*. This is because they can be deleted, in which case they are set to *NULL*. It would be better to prevent deletion of these attributes and to restrict the attribute values to be strings. We'll see how to do that in the next section.

Now that we've defined the method, we need to create an array of method definitions:

(note that we used the METH\_NOARGS flag to indicate that the method is expecting no arguments other than self)

and assign it to the tp\_methods slot:

```
.tp_methods = Custom_methods,
```

Finally, we'll make our type usable as a base class for subclassing. We've written our methods carefully so far so that they don't make any assumptions about the type of the object being created or used, so all we need to do is to add the Py\_TPFLAGS\_BASETYPE to our class flag definition:

```
.tp_flags = Py_TPFLAGS_DEFAULT | Py_TPFLAGS_BASETYPE,
```

We rename PyInit\_custom() to PyInit\_custom2(), update the module name in the PyModuleDef struct, and update the full class name in the PyTypeObject struct.

Finally, we update our setup.py file to build the new module:

### 2.2.3 Providing finer control over data attributes

In this section, we'll provide finer control over how the first and last attributes are set in the Custom example. In the previous version of our module, the instance variables first and last could be set to non-string values or even deleted. We want to make sure that these attributes always contain strings.

```
#define PY_SSIZE_T_CLEAN
#include <Python.h>
#include "structmember.h"

typedef struct {
    PyObject_HEAD
    PyObject *first; /* first name */
    PyObject *last; /* last name */
    int number;
} CustomObject;

static void
Custom_dealloc(CustomObject *self)
{
    Py_XDECREF(self->first);
```

```
Py_XDECREF(self->last);
   Py_TYPE(self)->tp_free((PyObject *) self);
}
static PyObject *
Custom_new(PyTypeObject *type, PyObject *args, PyObject *kwds)
   CustomObject *self;
   self = (CustomObject *) type->tp_alloc(type, 0);
   if (self != NULL) {
        self->first = PyUnicode_FromString("");
        if (self->first == NULL) {
            Py_DECREF(self);
            return NULL;
        }
        self->last = PyUnicode_FromString("");
        if (self->last == NULL) {
            Py_DECREF(self);
            return NULL;
        }
       self->number = 0;
   }
   return (PyObject *) self;
}
static int
Custom_init(CustomObject *self, PyObject *args, PyObject *kwds)
    static char *kwlist[] = {"first", "last", "number", NULL};
   PyObject *first = NULL, *last = NULL, *tmp;
   if (!PyArg_ParseTupleAndKeywords(args, kwds, "|UUi", kwlist,
                                     &first, &last,
                                     &self->number))
       return -1;
   if (first) {
       tmp = self->first;
       Py_INCREF(first);
        self->first = first;
       Py_DECREF(tmp);
   if (last) {
       tmp = self->last;
        Py_INCREF(last);
        self->last = last;
       Py_DECREF(tmp);
   }
   return 0;
}
static PyMemberDef Custom_members[] = {
```

```
{"number", T_INT, offsetof(CustomObject, number), 0,
     "custom number"},
   {NULL} /* Sentinel */
};
static PyObject *
Custom_getfirst(CustomObject *self, void *closure)
   Py_INCREF(self->first);
   return self->first;
}
static int
Custom_setfirst(CustomObject *self, PyObject *value, void *closure)
   PyObject *tmp;
   if (value == NULL) {
       PyErr_SetString(PyExc_TypeError, "Cannot delete the first attribute");
       return -1;
   if (!PyUnicode_Check(value)) {
       PyErr_SetString(PyExc_TypeError,
                        "The first attribute value must be a string");
        return -1;
   }
   tmp = self->first;
   Py_INCREF(value);
   self->first = value;
   Py_DECREF(tmp);
   return 0;
}
static PyObject *
Custom_getlast(CustomObject *self, void *closure)
   Py_INCREF(self->last);
   return self->last;
}
static int
Custom_setlast(CustomObject *self, PyObject *value, void *closure)
   PyObject *tmp;
   if (value == NULL) {
       PyErr_SetString(PyExc_TypeError, "Cannot delete the last attribute");
       return -1;
   }
   if (!PyUnicode_Check(value)) {
       PyErr_SetString(PyExc_TypeError,
                        "The last attribute value must be a string");
       return -1;
   }
```

```
tmp = self->last;
   Py INCREF(value);
   self->last = value;
   Py_DECREF(tmp);
   return 0;
}
static PyGetSetDef Custom_getsetters[] = {
   {"first", (getter) Custom_getfirst, (setter) Custom_setfirst,
     "first name", NULL},
    {"last", (getter) Custom_getlast, (setter) Custom_setlast,
     "last name", NULL},
    {NULL} /* Sentinel */
};
static PyObject *
Custom_name(CustomObject *self, PyObject *Py_UNUSED(ignored))
   return PyUnicode_FromFormat("%S %S", self->first, self->last);
}
static PyMethodDef Custom_methods[] = {
   {"name", (PyCFunction) Custom_name, METH_NOARGS,
    "Return the name, combining the first and last name"
   },
   {NULL} /* Sentinel */
};
static PyTypeObject CustomType = {
   PyVarObject_HEAD_INIT(NULL, 0)
    .tp_name = "custom3.Custom",
    .tp_doc = "Custom objects",
    .tp_basicsize = sizeof(CustomObject),
    .tp_itemsize = 0,
    .tp_flags = Py_TPFLAGS_DEFAULT | Py_TPFLAGS_BASETYPE,
    .tp_new = Custom_new,
    .tp_init = (initproc) Custom_init,
    .tp_dealloc = (destructor) Custom_dealloc,
    .tp_members = Custom_members,
    .tp_methods = Custom_methods,
    .tp_getset = Custom_getsetters,
};
static PyModuleDef custommodule = {
   PyModuleDef_HEAD_INIT,
    .m_name = "custom3",
    .m_doc = "Example module that creates an extension type.",
    .m_size = -1,
};
PyMODINIT FUNC
PyInit_custom3(void)
```

```
PyObject *m;
if (PyType_Ready(&CustomType) < 0)
    return NULL;

m = PyModule_Create(&custommodule);
if (m == NULL)
    return NULL;

Py_INCREF(&CustomType);
PyModule_AddObject(m, "Custom", (PyObject *) &CustomType);
return m;
}</pre>
```

To provide greater control, over the first and last attributes, we'll use custom getter and setter functions. Here are the functions for getting and setting the first attribute:

```
static PyObject *
Custom_getfirst(CustomObject *self, void *closure)
   Py_INCREF(self->first);
   return self->first;
}
static int
Custom_setfirst(CustomObject *self, PyObject *value, void *closure)
   PvObject *tmp;
    if (value == NULL) {
        PyErr_SetString(PyExc_TypeError, "Cannot delete the first attribute");
        return -1;
   }
   if (!PyUnicode_Check(value)) {
        PyErr_SetString(PyExc_TypeError,
                        "The first attribute value must be a string");
        return -1;
   }
   tmp = self->first;
   Py INCREF(value);
   self->first = value;
   Py_DECREF(tmp);
   return 0;
}
```

The getter function is passed a Custom object and a "closure", which is a void pointer. In this case, the closure is ignored. (The closure supports an advanced usage in which definition data is passed to the getter and setter. This could, for example, be used to allow a single set of getter and setter functions that decide the attribute to get or set based on data in the closure.)

The setter function is passed the Custom object, the new value, and the closure. The new value may be NULL, in which case the attribute is being deleted. In our setter, we raise an error if the attribute is deleted or if its new value is not a string.

We create an array of PyGetSetDef structures:

and register it in the tp\_getset slot:

```
.tp_getset = Custom_getsetters,
```

The last item in a PyGetSetDef structure is the "closure" mentioned above. In this case, we aren't using a closure, so we just pass NULL.

We also remove the member definitions for these attributes:

We also need to update the tp\_init handler to only allow strings<sup>3</sup> to be passed:

```
static int
Custom_init(CustomObject *self, PyObject *args, PyObject *kwds)
    static char *kwlist[] = {"first", "last", "number", NULL};
   PyObject *first = NULL, *last = NULL, *tmp;
   if (!PyArg_ParseTupleAndKeywords(args, kwds, "|UUi", kwlist,
                                     &first, &last,
                                      &self->number))
       return -1;
   if (first) {
       tmp = self->first;
       Py_INCREF(first);
        self->first = first;
       Py_DECREF(tmp);
    if (last) {
        tmp = self->last;
       Py_INCREF(last);
        self->last = last;
        Py_DECREF(tmp);
   }
   return 0;
}
```

<sup>&</sup>lt;sup>3</sup> We now know that the first and last members are strings, so perhaps we could be less careful about decrementing their reference counts, however, we accept instances of string subclasses. Even though deallocating normal strings won't call back into our objects, we can't guarantee that deallocating an instance of a string subclass won't call back into our objects.

With these changes, we can assure that the first and last members are never *NULL* so we can remove checks for *NULL* values in almost all cases. This means that most of the Py\_XDECREF() calls can be converted to Py\_DECREF() calls. The only place we can't change these calls is in the tp\_dealloc implementation, where there is the possibility that the initialization of these members failed in tp new.

We also rename the module initialization function and module name in the initialization function, as we did before, and we add an extra definition to the setup.py file.

### 2.2.4 Supporting cyclic garbage collection

Python has a cyclic garbage collector (GC) that can identify unneeded objects even when their reference counts are not zero. This can happen when objects are involved in cycles. For example, consider:

```
>>> 1 = []
>>> 1.append(1)
>>> del 1
```

In this example, we create a list that contains itself. When we delete it, it still has a reference from itself. Its reference count doesn't drop to zero. Fortunately, Python's cyclic garbage collector will eventually figure out that the list is garbage and free it.

In the second version of the Custom example, we allowed any kind of object to be stored in the first or last attributes<sup>4</sup>. Besides, in the second and third versions, we allowed subclassing Custom, and subclasses may add arbitrary attributes. For any of those two reasons, Custom objects can participate in cycles:

```
>>> import custom3
>>> class Derived(custom3.Custom): pass
...
>>> n = Derived()
>>> n.some_attribute = n
```

To allow a Custom instance participating in a reference cycle to be properly detected and collected by the cyclic GC, our Custom type needs to fill two additional slots and to enable a flag that enables these slots:

```
#define PY_SSIZE_T_CLEAN
#include <Python.h>
#include "structmember.h"

typedef struct {
    PyObject_HEAD
    PyObject *first; /* first name */
    PyObject *last; /* last name */
    int number;
} CustomObject;

static int
Custom_traverse(CustomObject *self, visitproc visit, void *arg)
{
    Py_VISIT(self->first);
    Py_VISIT(self->last);
    return 0;

    (下页继续)
```

<sup>&</sup>lt;sup>4</sup> Also, even with our attributes restricted to strings instances, the user could pass arbitrary str subclasses and therefore still create reference cycles.

```
}
static int
Custom_clear(CustomObject *self)
   Py_CLEAR(self->first);
   Py_CLEAR(self->last);
   return 0;
}
static void
Custom_dealloc(CustomObject *self)
   PyObject_GC_UnTrack(self);
   Custom_clear(self);
   Py_TYPE(self)->tp_free((PyObject *) self);
}
static PyObject *
Custom_new(PyTypeObject *type, PyObject *args, PyObject *kwds)
   CustomObject *self;
   self = (CustomObject *) type->tp_alloc(type, 0);
    if (self != NULL) {
       self->first = PyUnicode_FromString("");
        if (self->first == NULL) {
            Py_DECREF(self);
            return NULL;
        }
        self->last = PyUnicode_FromString("");
        if (self->last == NULL) {
            Py_DECREF(self);
            return NULL;
        }
        self->number = 0;
   return (PyObject *) self;
}
static int
Custom_init(CustomObject *self, PyObject *args, PyObject *kwds)
   static char *kwlist[] = {"first", "last", "number", NULL};
   PyObject *first = NULL, *last = NULL, *tmp;
   if (!PyArg_ParseTupleAndKeywords(args, kwds, "|UUi", kwlist,
                                     &first, &last,
                                     &self->number))
       return -1;
    if (first) {
        tmp = self->first;
```

```
Py_INCREF(first);
        self->first = first;
        Py_DECREF(tmp);
    if (last) {
        tmp = self->last;
        Py_INCREF(last);
        self->last = last;
        Py_DECREF(tmp);
    return 0;
}
static PyMemberDef Custom_members[] = {
    {"number", T_INT, offsetof(CustomObject, number), 0,
     "custom number"},
    {NULL} /* Sentinel */
};
static PyObject *
Custom_getfirst(CustomObject *self, void *closure)
    Py_INCREF(self->first);
    return self->first;
}
static int
Custom_setfirst(CustomObject *self, PyObject *value, void *closure)
    if (value == NULL) {
        PyErr_SetString(PyExc_TypeError, "Cannot delete the first attribute");
        return -1;
    if (!PyUnicode_Check(value)) {
        PyErr_SetString(PyExc_TypeError,
                        "The first attribute value must be a string");
        return -1;
    }
    Py_INCREF(value);
    Py_CLEAR(self->first);
    self->first = value;
    return 0;
}
static PyObject *
Custom_getlast(CustomObject *self, void *closure)
    Py_INCREF(self->last);
    return self->last;
}
static int
```

```
Custom_setlast(CustomObject *self, PyObject *value, void *closure)
   if (value == NULL) {
       PyErr_SetString(PyExc_TypeError, "Cannot delete the last attribute");
        return -1;
   }
    if (!PyUnicode_Check(value)) {
       PyErr_SetString(PyExc_TypeError,
                        "The last attribute value must be a string");
        return -1;
   }
   Py_INCREF(value);
   Py_CLEAR(self->last);
   self->last = value;
   return 0;
}
static PyGetSetDef Custom_getsetters[] = {
   {"first", (getter) Custom_getfirst, (setter) Custom_setfirst,
    "first name", NULL},
    {"last", (getter) Custom_getlast, (setter) Custom_setlast,
    "last name", NULL},
   {NULL} /* Sentinel */
};
static PyObject *
Custom_name(CustomObject *self, PyObject *Py_UNUSED(ignored))
   return PyUnicode_FromFormat("%S %S", self->first, self->last);
static PyMethodDef Custom_methods[] = {
   {"name", (PyCFunction) Custom_name, METH_NOARGS,
    "Return the name, combining the first and last name"
   {NULL} /* Sentinel */
};
static PyTypeObject CustomType = {
   PyVarObject_HEAD_INIT(NULL, 0)
    .tp_name = "custom4.Custom",
    .tp_doc = "Custom objects",
    .tp_basicsize = sizeof(CustomObject),
    .tp_itemsize = 0,
    .tp_flags = Py_TPFLAGS_DEFAULT | Py_TPFLAGS_BASETYPE | Py_TPFLAGS_HAVE_GC,
    .tp_new = Custom_new,
    .tp_init = (initproc) Custom_init,
    .tp_dealloc = (destructor) Custom_dealloc,
    .tp_traverse = (traverseproc) Custom_traverse,
    .tp_clear = (inquiry) Custom_clear,
    .tp_members = Custom_members,
    .tp_methods = Custom_methods,
```

```
.tp_getset = Custom_getsetters,
};
static PyModuleDef custommodule = {
    PyModuleDef_HEAD_INIT,
    .m_name = "custom4",
    .m_doc = "Example module that creates an extension type.",
    .m_size = -1,
};
PyMODINIT_FUNC
PyInit_custom4(void)
    PyObject *m;
    if (PyType_Ready(&CustomType) < 0)</pre>
        return NULL;
    m = PyModule_Create(&custommodule);
    if (m == NULL)
        return NULL;
    Py_INCREF(&CustomType);
    PyModule_AddObject(m, "Custom", (PyObject *) &CustomType);
    return m;
}
```

First, the traversal method lets the cyclic GC know about subobjects that could participate in cycles:

```
static int
Custom_traverse(CustomObject *self, visitproc visit, void *arg)
{
    int vret;
    if (self->first) {
        vret = visit(self->first, arg);
        if (vret != 0)
            return vret;
    }
    if (self->last) {
        vret = visit(self->last, arg);
        if (vret != 0)
            return vret;
    }
    return 0;
}
```

For each subobject that can participate in cycles, we need to call the visit() function, which is passed to the traversal method. The visit() function takes as arguments the subobject and the extra argument arg passed to the traversal method. It returns an integer value that must be returned if it is non-zero.

Python provides a Py\_VISIT() macro that automates calling visit functions. With Py\_VISIT(), we can minimize the amount of boilerplate in Custom\_traverse:

```
static int
Custom_traverse(CustomObject *self, visitproc visit, void *arg)
{
    Py_VISIT(self->first);
    Py_VISIT(self->last);
    return 0;
}
```

注解: The tp\_traverse implementation must name its arguments exactly *visit* and *arg* in order to use Py\_VISIT().

Second, we need to provide a method for clearing any subobjects that can participate in cycles:

```
static int
Custom_clear(CustomObject *self)
{
    Py_CLEAR(self->first);
    Py_CLEAR(self->last);
    return 0;
}
```

Notice the use of the Py\_CLEAR() macro. It is the recommended and safe way to clear data attributes of arbitrary types while decrementing their reference counts. If you were to call Py\_XDECREF() instead on the attribute before setting it to NULL, there is a possibility that the attribute's destructor would call back into code that reads the attribute again (especially if there is a reference cycle).

注解: You could emulate Py\_CLEAR() by writing:

```
PyObject *tmp;
tmp = self->first;
self->first = NULL;
Py_XDECREF(tmp);
```

Nevertheless, it is much easier and less error-prone to always use Py\_CLEAR() when deleting an attribute. Don't try to micro-optimize at the expense of robustness!

The deallocator Custom\_dealloc may call arbitrary code when clearing attributes. It means the circular GC can be triggered inside the function. Since the GC assumes reference count is not zero, we need to untrack the object from the GC by calling PyObject\_GC\_UnTrack() before clearing members. Here is our reimplemented deallocator using PyObject\_GC\_UnTrack() and Custom\_clear:

```
static void
Custom_dealloc(CustomObject *self)
{
    PyObject_GC_UnTrack(self);
    Custom_clear(self);
    Py_TYPE(self)->tp_free((PyObject *) self);
}
```

Finally, we add the Py\_TPFLAGS\_HAVE\_GC flag to the class flags:

```
.tp_flags = Py_TPFLAGS_DEFAULT | Py_TPFLAGS_BASETYPE | Py_TPFLAGS_HAVE_GC,
```

That's pretty much it. If we had written custom tp\_alloc or tp\_free handlers, we'd need to modify them for cyclic garbage collection. Most extensions will use the versions automatically provided.

### 2.2.5 Subclassing other types

It is possible to create new extension types that are derived from existing types. It is easiest to inherit from the built in types, since an extension can easily use the PyTypeObject it needs. It can be difficult to share these PyTypeObject structures between extension modules.

In this example we will create a SubList type that inherits from the built-in list type. The new type will be completely compatible with regular lists, but will have an additional increment() method that increases an internal counter:

```
>>> import sublist
>>> s = sublist.SubList(range(3))
>>> s.extend(s)
>>> print(len(s))
6
>>> print(s.increment())
1
>>> print(s.increment())
2
```

```
#define PY_SSIZE_T_CLEAN
#include <Python.h>
typedef struct {
    PyListObject list;
    int state;
} SubListObject;
static PyObject *
SubList_increment(SubListObject *self, PyObject *unused)
    self->state++;
    return PyLong_FromLong(self->state);
}
static PyMethodDef SubList_methods[] = {
    {"increment", (PyCFunction) SubList_increment, METH_NOARGS,
    PyDoc_STR("increment state counter")},
    {NULL},
};
static int
SubList_init(SubListObject *self, PyObject *args, PyObject *kwds)
    if (PyList_Type.tp_init((PyObject *) self, args, kwds) < 0)</pre>
        return -1;
    self->state = 0;
```

```
return 0;
}
static PyTypeObject SubListType = {
    PyVarObject_HEAD_INIT(NULL, 0)
    .tp_name = "sublist.SubList",
    .tp_doc = "SubList objects",
    .tp_basicsize = sizeof(SubListObject),
    .tp_itemsize = 0,
    .tp_flags = Py_TPFLAGS_DEFAULT | Py_TPFLAGS_BASETYPE,
    .tp_init = (initproc) SubList_init,
    .tp_methods = SubList_methods,
};
static PyModuleDef sublistmodule = {
    PyModuleDef_HEAD_INIT,
    .m_name = "sublist",
    .m_doc = "Example module that creates an extension type.",
    .m_size = -1,
};
PyMODINIT_FUNC
PyInit_sublist(void)
    PyObject *m;
    SubListType.tp_base = &PyList_Type;
    if (PyType_Ready(&SubListType) < 0)</pre>
        return NULL;
    m = PyModule_Create(&sublistmodule);
    if (m == NULL)
        return NULL;
    Py_INCREF(&SubListType);
    PyModule_AddObject(m, "SubList", (PyObject *) &SubListType);
    return m;
}
```

As you can see, the source code closely resembles the Custom examples in previous sections. We will break down the main differences between them.

```
typedef struct {
    PyListObject list;
    int state;
} SubListObject;
```

The primary difference for derived type objects is that the base type's object structure must be the first value. The base type will already include the PyObject\_HEAD() at the beginning of its structure.

When a Python object is a SubList instance, its PyObject \* pointer can be safely cast to both PyListObject \* and SubListObject \*:

```
static int
SubList_init(SubListObject *self, PyObject *args, PyObject *kwds)
{
    if (PyList_Type.tp_init((PyObject *) self, args, kwds) < 0)
        return -1;
    self->state = 0;
    return 0;
}
```

We see above how to call through to the <code>\_\_init\_\_</code> method of the base type.

This pattern is important when writing a type with custom tp\_new and tp\_dealloc members. The tp\_new handler should not actually create the memory for the object with its tp\_alloc, but let the base class handle it by calling its own tp\_new.

The PyTypeObject struct supports a tp\_base specifying the type's concrete base class. Due to cross-platform compiler issues, you can't fill that field directly with a reference to PyList\_Type; it should be done later in the module initialization function:

```
PyMODINIT_FUNC
PyInit_sublist(void)
{
    Py0bject* m;
    SubListType.tp_base = &PyList_Type;
    if (PyType_Ready(&SubListType) < 0)
        return NULL;

    m = PyModule_Create(&sublistmodule);
    if (m == NULL)
        return NULL;

    Py_INCREF(&SubListType);
    PyModule_AddObject(m, "SubList", (PyObject *) &SubListType);
    return m;
}</pre>
```

Before calling PyType\_Ready(), the type structure must have the tp\_base slot filled in. When we are deriving an existing type, it is not necessary to fill out the tp\_alloc slot with PyType\_GenericNew() – the allocation function from the base type will be inherited.

After that, calling PyType\_Ready() and adding the type object to the module is the same as with the basic Custom examples.

# 2.3 定义扩展类型:已分类主题

本章节目标是提供一个各种你可以实现的类型方法及其功能的简短介绍。

这是 C 类型 PyTypeObject 的定义,省略了只用于调试构建的字段:

```
typedef struct _typeobject {
    PyObject_VAR_HEAD
    const char *tp_name; /* For printing, in format "<module>.<name>" */
    Py_ssize_t tp_basicsize, tp_itemsize; /* For allocation */
```

```
/* Methods to implement standard operations */
destructor tp_dealloc;
printfunc tp_print;
getattrfunc tp_getattr;
setattrfunc tp_setattr;
PyAsyncMethods *tp_as_async; /* formerly known as tp_compare (Python 2)
                                or tp_reserved (Python 3) */
reprfunc tp_repr;
/* Method suites for standard classes */
PyNumberMethods *tp_as_number;
PySequenceMethods *tp_as_sequence;
PyMappingMethods *tp_as_mapping;
/* More standard operations (here for binary compatibility) */
hashfunc tp_hash;
ternaryfunc tp_call;
reprfunc tp_str;
getattrofunc tp_getattro;
setattrofunc tp_setattro;
/* Functions to access object as input/output buffer */
PyBufferProcs *tp_as_buffer;
/* Flags to define presence of optional/expanded features */
unsigned long tp_flags;
const char *tp_doc; /* Documentation string */
/* call function for all accessible objects */
traverseproc tp_traverse;
/* delete references to contained objects */
inquiry tp_clear;
/* rich comparisons */
richcmpfunc tp_richcompare;
/* weak reference enabler */
Py_ssize_t tp_weaklistoffset;
/* Iterators */
getiterfunc tp_iter;
iternextfunc tp_iternext;
/* Attribute descriptor and subclassing stuff */
struct PyMethodDef *tp_methods;
struct PyMemberDef *tp_members;
```

```
struct PyGetSetDef *tp_getset;
   struct typeobject *tp base;
   PyObject *tp_dict;
   descrigetfunc to descriget;
   descrsetfunc tp_descr_set;
   Py_ssize_t tp_dictoffset;
   initproc tp_init;
   allocfunc tp alloc;
   newfunc tp_new;
   freefunc tp_free; /* Low-level free-memory routine */
   inquiry tp_is_gc; /* For PyObject_IS_GC */
   PyObject *tp_bases;
   PyObject *tp_mro; /* method resolution order */
   PyObject *tp_cache;
   PyObject *tp_subclasses;
   PyObject *tp_weaklist;
   destructor tp del;
   /* Type attribute cache version tag. Added in version 2.6 */
   unsigned int tp_version_tag;
   destructor tp_finalize;
} PyTypeObject;
```

这里有 很多方法。但是不要太担心,如果你要定义一个类型,通常只需要实现少量的方法。

正如你猜到的一样,我们正要一步一步详细介绍各种处理程序。因为有大量的历史包袱影响字段的排序,所以我们不会根据它们在结构体里定义的顺序讲解。通常非常容易找到一个包含你需要的字段的例子,然后改变值去适应你新的类型。

```
const char *tp_name; /* For printing */
```

类型的名字 - 上一章提到过的,会出现在很多地方,几乎全部都是为了诊断目的。尝试选择一个好名字,对于诊断很有帮助。

```
Py_ssize_t tp_basicsize, tp_itemsize; /* For allocation */
```

这些字段告诉运行时在创造这个类型的新对象时需要分配多少内存。Python 为了可变长度的结构(想下:字符串,元组)有些内置支持,这是 tp\_itemsize 字段存在的原由。这部分稍后解释。

```
const char *tp_doc;
```

这里你可以放置一段字符串(或者它的地址),当你想在 Python 脚本引用 obj.\_\_doc\_\_ 时返回这段文档字符串。

现在我们来看一下基本类型方法-大多数扩展类型将实现的方法。

### 2.3.1 终结和内存释放

```
destructor tp_dealloc;
```

当您的类型实例的引用计数减少为零并且 Python 解释器想要回收它时,将调用此函数。如果你的类型有内存可供释放或执行其他清理,你可以把它放在这里。对象本身也需要在这里释放。以下是此函数的示例:

```
static void
newdatatype_dealloc(newdatatypeobject *obj)
{
    free(obj->obj_UnderlyingDatatypePtr);
    Py_TYPE(obj)->tp_free(obj);
}
```

One important requirement of the deallocator function is that it leaves any pending exceptions alone. This is important since deallocators are frequently called as the interpreter unwinds the Python stack; when the stack is unwound due to an exception (rather than normal returns), nothing is done to protect the deallocators from seeing that an exception has already been set. Any actions which a deallocator performs which may cause additional Python code to be executed may detect that an exception has been set. This can lead to misleading errors from the interpreter. The proper way to protect against this is to save a pending exception before performing the unsafe action, and restoring it when done. This can be done using the PyErr\_Fetch() and PyErr\_Restore() functions:

```
static void
my_dealloc(PyObject *obj)
{
   MyObject *self = (MyObject *) obj;
   PyObject *cbresult;
    if (self->my_callback != NULL) {
       PyObject *err_type, *err_value, *err_traceback;
        /* This saves the current exception state */
        PyErr_Fetch(&err_type, &err_value, &err_traceback);
        cbresult = PyObject CallObject(self->my callback, NULL);
        if (cbresult == NULL)
            PyErr WriteUnraisable(self->my callback);
        else
            Py_DECREF(cbresult);
        /* This restores the saved exception state */
        PyErr_Restore(err_type, err_value, err_traceback);
        Py_DECREF(self->my_callback);
   Py_TYPE(obj)->tp_free((PyObject*)self);
}
```

注解: There are limitations to what you can safely do in a deallocator function. First, if your type supports garbage collection (using tp\_traverse and/or tp\_clear), some of the object's members can have been cleared or finalized by the time tp\_dealloc is called. Second, in tp\_dealloc, your object is in an unstable state: its reference count is equal to zero. Any call to a non-trivial object or API (as in the example above) might end up calling tp\_dealloc again, causing a double free and a crash.

从 Python 3.4 开始,推荐不要在 tp\_dealloc 放复杂的终结代码,而是使用新的 tp\_finalize 类型方法。 **参见:** 

PEP 442 解释了新的终结方案。

## 2.3.2 对象展示

In Python, there are two ways to generate a textual representation of an object: the repr() function, and the str() function. (The print() function just calls str().) These handlers are both optional.

```
reprfunc tp_repr;
reprfunc tp_str;
```

The tp\_repr handler should return a string object containing a representation of the instance for which it is called. Here is a simple example:

If no tp\_repr handler is specified, the interpreter will supply a representation that uses the type's tp\_name and a uniquely-identifying value for the object.

The tp\_str handler is to str() what the tp\_repr handler described above is to repr(); that is, it is called when Python code calls str() on an instance of your object. Its implementation is very similar to the tp\_repr function, but the resulting string is intended for human consumption. If tp\_str is not specified, the tp\_repr handler is used instead.

Here is a simple example:

### 2.3.3 Attribute Management

For every object which can support attributes, the corresponding type must provide the functions that control how the attributes are resolved. There needs to be a function which can retrieve attributes (if any are defined), and another to set attributes (if setting attributes is allowed). Removing an attribute is a special case, for which the new value passed to the handler is *NULL*.

Python supports two pairs of attribute handlers; a type that supports attributes only needs to implement the functions for one pair. The difference is that one pair takes the name of the attribute as a char\*, while the other accepts a PyObject\*. Each type can use whichever pair makes more sense for the implementation's convenience.

If accessing attributes of an object is always a simple operation (this will be explained shortly), there are generic implementations which can be used to provide the PyObject\* version of the attribute management functions. The actual need for type-specific attribute handlers almost completely disappeared starting with Python 2.2, though there are many examples which have not been updated to use some of the new generic mechanism that is available.

### **Generic Attribute Management**

Most extension types only use *simple* attributes. So, what makes the attributes simple? There are only a couple of conditions that must be met:

- 1. The name of the attributes must be known when PyType\_Ready() is called.
- 2. No special processing is needed to record that an attribute was looked up or set, nor do actions need to be taken based on the value.

Note that this list does not place any restrictions on the values of the attributes, when the values are computed, or how relevant data is stored.

When PyType\_Ready() is called, it uses three tables referenced by the type object to create *descriptors* which are placed in the dictionary of the type object. Each descriptor controls access to one attribute of the instance object. Each of the tables is optional; if all three are *NULL*, instances of the type will only have attributes that are inherited from their base type, and should leave the tp\_getattro and tp\_setattro fields *NULL* as well, allowing the base type to handle attributes.

The tables are declared as three fields of the type object:

```
struct PyMethodDef *tp_methods;
struct PyMemberDef *tp_members;
struct PyGetSetDef *tp_getset;
```

If tp\_methods is not *NULL*, it must refer to an array of PyMethodDef structures. Each entry in the table is an instance of this structure:

One entry should be defined for each method provided by the type; no entries are needed for methods inherited from a base type. One additional entry is needed at the end; it is a sentinel that marks the end of the array. The ml\_name field of the sentinel must be NULL.

The second table is used to define attributes which map directly to data stored in the instance. A variety of primitive C types are supported, and access may be read-only or read-write. The structures in the table are defined as:

```
typedef struct PyMemberDef {
   const char *name;
   int       type;
   int       offset;
   int       flags;
   const char *doc;
} PyMemberDef;
```

For each entry in the table, a *descriptor* will be constructed and added to the type which will be able to extract a value from the instance structure. The type field should contain one of the type codes defined in the structmember.h header; the value will be used to determine how to convert Python values to and from C values. The flags field is used to store flags which control how the attribute can be accessed.

The following flag constants are defined in structmember.h; they may be combined using bitwise-OR.

常数	意义
READONLY	Never writable.
READ_RESTRICTED	Not readable in restricted mode.
WRITE_RESTRICTED	Not writable in restricted mode.
RESTRICTED	Not readable or writable in restricted mode.

An interesting advantage of using the tp\_members table to build descriptors that are used at runtime is that any attribute defined this way can have an associated doc string simply by providing the text in the table. An application can use the introspection API to retrieve the descriptor from the class object, and get the doc string using its \_\_doc\_\_ attribute.

As with the tp\_methods table, a sentinel entry with a name value of NULL is required.

### Type-specific Attribute Management

For simplicity, only the char\* version will be demonstrated here; the type of the name parameter is the only difference between the char\* and PyObject\* flavors of the interface. This example effectively does the same thing as the generic example above, but does not use the generic support added in Python 2.2. It explains how the handler functions are called, so that if you do need to extend their functionality, you'll understand what needs to be done.

The tp\_getattr handler is called when the object requires an attribute look-up. It is called in the same situations where the \_\_getattr\_\_() method of a class would be called.

Here is an example:

The tp\_setattr handler is called when the \_\_setattr\_\_() or \_\_delattr\_\_() method of a class instance would be called. When an attribute should be deleted, the third parameter will be *NULL*. Here is an example that simply raises an exception; if this were really all you wanted, the tp\_setattr handler should be set to *NULL*.

```
static int
newdatatype_setattr(newdatatypeobject *obj, char *name, PyObject *v)
{
    PyErr_Format(PyExc_RuntimeError, "Read-only attribute: %s", name);
    return -1;
}
```

### 2.3.4 Object Comparison

```
richcmpfunc tp_richcompare;
```

The tp\_richcompare handler is called when comparisons are needed. It is analogous to the rich comparison methods, like \_\_lt\_\_(), and also called by PyObject\_RichCompare() and PyObject\_RichCompareBool().

This function is called with two Python objects and the operator as arguments, where the operator is one of Py\_EQ, Py\_NE, Py\_LE, Py\_GT, Py\_LT or Py\_GT. It should compare the two objects with respect to the specified operator and return Py\_True or Py\_False if the comparison is successful, Py\_NotImplemented to indicate that comparison is not implemented and the other object's comparison method should be tried, or *NULL* if an exception was set.

Here is a sample implementation, for a datatype that is considered equal if the size of an internal pointer is equal:

```
static PyObject *
newdatatype_richcmp(PyObject *obj1, PyObject *obj2, int op)
{
    PyObject *result;
    int c, size1, size2;
    /* code to make sure that both arguments are of type
       newdatatype omitted */
    size1 = obj1->obj UnderlyingDatatypePtr->size;
    size2 = obj2->obj_UnderlyingDatatypePtr->size;
    switch (op) {
    case Py_LT: c = size1 < size2; break;</pre>
    case Py_LE: c = size1 <= size2; break;</pre>
    case Py_EQ: c = size1 == size2; break;
    case Py_NE: c = size1 != size2; break;
    case Py_GT: c = size1 > size2; break;
    case Py_GE: c = size1 >= size2; break;
    result = c ? Py_True : Py_False;
    Py INCREF(result);
    return result;
}
```

### 2.3.5 Abstract Protocol Support

Python supports a variety of *abstract* 'protocols;' the specific interfaces provided to use these interfaces are documented in abstract.

A number of these abstract interfaces were defined early in the development of the Python implementation. In particular, the number, mapping, and sequence protocols have been part of Python since the beginning. Other protocols have been added over time. For protocols which depend on several handler routines from the type implementation, the older protocols have been defined as optional blocks of handlers referenced by the type object. For newer protocols there are additional slots in the main type object, with a flag bit being set to indicate that the slots are present and should be checked by the interpreter. (The flag bit does not indicate that the slot values are non-*NULL*. The flag may be set to indicate the presence of a slot, but a slot may still be unfilled.)

```
PyNumberMethods *tp_as_number;
PySequenceMethods *tp_as_sequence;
PyMappingMethods *tp_as_mapping;
```

If you wish your object to be able to act like a number, a sequence, or a mapping object, then you place the address of a structure that implements the C type PyNumberMethods, PySequenceMethods, or PyMappingMethods, respectively. It is up to you to fill in this structure with appropriate values. You can find examples of the use of each of these in the Objects directory of the Python source distribution.

```
hashfunc tp_hash;
```

This function, if you choose to provide it, should return a hash number for an instance of your data type. Here is a simple example:

```
static Py_hash_t
newdatatype_hash(newdatatypeobject *obj)
{
    Py_hash_t result;
    result = obj->some_size + 32767 * obj->some_number;
    if (result == -1)
        result = -2;
    return result;
}
```

Py\_hash\_t is a signed integer type with a platform-varying width. Returning -1 from tp\_hash indicates an error, which is why you should be careful to avoid returning it when hash computation is successful, as seen above.

```
ternaryfunc tp_call;
```

This function is called when an instance of your data type is "called", for example, if obj1 is an instance of your data type and the Python script contains obj1('hello'), the tp\_call handler is invoked.

This function takes three arguments:

- 1. *self* is the instance of the data type which is the subject of the call. If the call is obj1('hello'), then *self* is obj1.
- 2. args is a tuple containing the arguments to the call. You can use PyArg\_ParseTuple() to extract the arguments.
- 3. kwds is a dictionary of keyword arguments that were passed. If this is non-NULL and you support keyword arguments, use PyArg\_ParseTupleAndKeywords() to extract the arguments. If you do not

want to support keyword arguments and this is non-NULL, raise a TypeError with a message saying that keyword arguments are not supported.

Here is a toy tp\_call implementation:

```
static PyObject *
newdatatype_call(newdatatypeobject *self, PyObject *args, PyObject *kwds)
{
    PyObject *result;
    const char *arg1;
    const char *arg2;
    const char *arg3;

    if (!PyArg_ParseTuple(args, "sss:call", &arg1, &arg2, &arg3)) {
        return NULL;
    }
    result = PyUnicode_FromFormat(
        "Returning -- value: [%d] arg1: [%s] arg2: [%s] arg3: [%s]\n",
        obj->obj_UnderlyingDatatypePtr->size,
        arg1, arg2, arg3);
    return result;
}
```

```
/* Iterators */
getiterfunc tp_iter;
iternextfunc tp_iternext;
```

These functions provide support for the iterator protocol. Both handlers take exactly one parameter, the instance for which they are being called, and return a new reference. In the case of an error, they should set an exception and return NULL. tp\_iter corresponds to the Python \_\_iter\_\_() method, while tp\_iternext corresponds to the Python \_\_next\_\_() method.

Any *iterable* object must implement the tp\_iter handler, which must return an *iterator* object. Here the same guidelines apply as for Python classes:

- For collections (such as lists and tuples) which can support multiple independent iterators, a new iterator should be created and returned by each call to tp\_iter.
- Objects which can only be iterated over once (usually due to side effects of iteration, such as file
  objects) can implement tp\_iter by returning a new reference to themselves and should also therefore
  implement the tp\_iternext handler.

Any *iterator* object should implement both tp\_iter and tp\_iternext. An iterator's tp\_iter handler should return a new reference to the iterator. Its tp\_iternext handler should return a new reference to the next object in the iteration, if there is one. If the iteration has reached the end, tp\_iternext may return NULL without setting an exception, or it may set StopIteration in addition to returning NULL; avoiding the exception can yield slightly better performance. If an actual error occurs, tp\_iternext should always set an exception and return NULL.

### 2.3.6 Weak Reference Support

One of the goals of Python's weak reference implementation is to allow any type to participate in the weak reference mechanism without incurring the overhead on performance-critical objects (such as numbers).

### 参见:

Documentation for the weakref module.

For an object to be weakly referenceable, the extension type must do two things:

- 1. Include a PyObject\* field in the C object structure dedicated to the weak reference mechanism. The object's constructor should leave it *NULL* (which is automatic when using the default tp\_alloc).
- 2. Set the tp\_weaklistoffset type member to the offset of the aforementioned field in the C object structure, so that the interpreter knows how to access and modify that field.

Concretely, here is how a trivial object structure would be augmented with the required field:

```
typedef struct {
    Py0bject_HEAD
    Py0bject *weakreflist; /* List of weak references */
} TrivialObject;
```

And the corresponding member in the statically-declared type object:

```
static PyTypeObject TrivialType = {
    PyVarObject_HEAD_INIT(NULL, 0)
    /* ... other members omitted for brevity ... */
    .tp_weaklistoffset = offsetof(TrivialObject, weakreflist),
};
```

The only further addition is that tp\_dealloc needs to clear any weak references (by calling PyObject\_ClearWeakRefs()) if the field is non-NULL:

```
static void
Trivial_dealloc(TrivialObject *self)
{
    /* Clear weakrefs first before calling any destructors */
    if (self->weakreflist != NULL)
        PyObject_ClearWeakRefs((PyObject *) self);
    /* ... remainder of destruction code omitted for brevity ... */
    Py_TYPE(self)->tp_free((PyObject *) self);
}
```

### 2.3.7 更多建议

In order to learn how to implement any specific method for your new data type, get the *CPython* source code. Go to the Objects directory, then search the C source files for tp\_ plus the function you want (for example, tp\_richcompare). You will find examples of the function you want to implement.

When you need to verify that an object is a concrete instance of the type you are implementing, use the PyObject\_TypeCheck() function. A sample of its use might be something like the following:

```
if (!PyObject_TypeCheck(some_object, &MyType)) {
    PyErr_SetString(PyExc_TypeError, "arg #1 not a mything");
    return NULL;
}
```

#### 参见:

下载 CPython 源代码版本。 https://www.python.org/downloads/source/

GitHub 上开发 CPython 源代码的 CPython 项目。 https://github.com/python/cpython

## 2.4 Building C and C++ Extensions

A C extension for CPython is a shared library (e.g. a .so file on Linux, .pyd on Windows), which exports an *initialization function*.

To be importable, the shared library must be available on PYTHONPATH, and must be named after the module name, with an appropriate extension. When using distutils, the correct filename is generated automatically.

The initialization function has the signature:

```
PyObject* PyInit_modulename(void)
```

It returns either a fully-initialized module, or a PyModuleDef instance. See initializing-modules for details.

For modules with ASCII-only names, the function must be named PyInit\_<modulename>, with <modulename> replaced by the name of the module. When using multi-phase-initialization, non-ASCII module names are allowed. In this case, the initialization function name is PyInitU\_<modulename>, with <modulename> encoded using Python's punycode encoding with hyphens replaced by underscores. In Python:

```
def initfunc_name(name):
    try:
        suffix = b'_' + name.encode('ascii')
    except UnicodeEncodeError:
        suffix = b'U_' + name.encode('punycode').replace(b'-', b'_')
    return b'PyInit' + suffix
```

It is possible to export multiple modules from a single shared library by defining multiple initialization functions. However, importing them requires using symbolic links or a custom importer, because by default only the function corresponding to the filename is found. See the "Multiple modules in one library" section in PEP 489 for details.

### 2.4.1 Building C and C++ Extensions with distutils

Extension modules can be built using distutils, which is included in Python. Since distutils also supports creation of binary packages, users don't necessarily need a compiler and distutils to install the extension.

A distutils package contains a driver script, setup.py. This is a plain Python file, which, in the most simple case, could look like this:

With this setup.py, and a file demo.c, running

```
python setup.py build
```

will compile demo.c, and produce an extension module named demo in the build directory. Depending on the system, the module file will end up in a subdirectory build/lib.system, and may have a name like demo.so or demo.pyd.

In the setup.py, all execution is performed by calling the setup function. This takes a variable number of keyword arguments, of which the example above uses only a subset. Specifically, the example specifies meta-information to build packages, and it specifies the contents of the package. Normally, a package will contain additional modules, like Python source modules, documentation, subpackages, etc. Please refer to the distutils documentation in distutils-index to learn more about the features of distutils; this section explains building extension modules only.

It is common to pre-compute arguments to setup(), to better structure the driver script. In the example above, the ext\_modules argument to setup() is a list of extension modules, each of which is an instance of the Extension. In the example, the instance defines an extension named demo which is build by compiling a single source file, demo.c.

In many cases, building an extension is more complex, since additional preprocessor defines and libraries may be needed. This is demonstrated in the example below.

```
from distutils.core import setup, Extension
module1 = Extension('demo',
                    define_macros = [('MAJOR_VERSION', '1'),
                                     ('MINOR_VERSION', 'O')],
                    include_dirs = ['/usr/local/include'],
                    libraries = ['tcl83'],
                    library dirs = ['/usr/local/lib'],
                    sources = ['demo.c'])
setup (name = 'PackageName',
       version = '1.0',
       description = 'This is a demo package',
       author = 'Martin v. Loewis',
       author_email = 'martin@v.loewis.de',
       url = 'https://docs.python.org/extending/building',
       long_description = '''
This is really just a demo package.
111,
       ext modules = [module1])
```

In this example, setup() is called with additional meta-information, which is recommended when distribution packages have to be built. For the extension itself, it specifies preprocessor defines, include directories, library directories, and libraries. Depending on the compiler, distutils passes this information in different ways to the compiler. For example, on Unix, this may result in the compilation commands

```
gcc -DNDEBUG -g -03 -Wall -Wstrict-prototypes -fPIC -DMAJOR_VERSION=1 -DMINOR_VERSION=0 -

I/usr/local/include -I/usr/local/include/python2.2 -c demo.c -o build/temp.linux-i686-

2.2/demo.o

gcc -shared build/temp.linux-i686-2.2/demo.o -L/usr/local/lib -ltcl83 -o build/lib.linux-

i686-2.2/demo.so
```

These lines are for demonstration purposes only; distutils users should trust that distutils gets the invocations right.

### 2.4.2 Distributing your extension modules

When an extension has been successfully build, there are three ways to use it.

End-users will typically want to install the module, they do so by running

```
python setup.py install
```

Module maintainers should produce source packages; to do so, they run

```
python setup.py sdist
```

In some cases, additional files need to be included in a source distribution; this is done through a MANIFEST.in file; see manifest for details.

If the source distribution has been build successfully, maintainers can also create binary distributions. Depending on the platform, one of the following commands can be used to do so.

```
python setup.py bdist_wininst
python setup.py bdist_rpm
python setup.py bdist_dumb
```

# 2.5 在 Windows 平台编译 C 和 C++ 扩展

This chapter briefly explains how to create a Windows extension module for Python using Microsoft Visual C++, and follows with more detailed background information on how it works. The explanatory material is useful for both the Windows programmer learning to build Python extensions and the Unix programmer interested in producing software which can be successfully built on both Unix and Windows.

Module authors are encouraged to use the distutils approach for building extension modules, instead of the one described in this section. You will still need the C compiler that was used to build Python; typically Microsoft Visual C++.

注解: This chapter mentions a number of filenames that include an encoded Python version number. These filenames are represented with the version number shown as XY; in practice, 'X' will be the major version number and 'Y' will be the minor version number of the Python release you're working with. For example, if you are using Python 2.2.1, XY will actually be 22.

### 2.5.1 A Cookbook Approach

There are two approaches to building extension modules on Windows, just as there are on Unix: use the distutils package to control the build process, or do things manually. The distutils approach works well for most extensions; documentation on using distutils to build and package extension modules is available in distutils-index. If you find you really need to do things manually, it may be instructive to study the project file for the winsound standard library module.

### 2.5.2 Differences Between Unix and Windows

Unix and Windows use completely different paradigms for run-time loading of code. Before you try to build a module that can be dynamically loaded, be aware of how your system works.

In Unix, a shared object (.so) file contains code to be used by the program, and also the names of functions and data that it expects to find in the program. When the file is joined to the program, all references to those functions and data in the file's code are changed to point to the actual locations in the program where the functions and data are placed in memory. This is basically a link operation.

In Windows, a dynamic-link library (.dll) file has no dangling references. Instead, an access to functions or data goes through a lookup table. So the DLL code does not have to be fixed up at runtime to refer to the program's memory; instead, the code already uses the DLL's lookup table, and the lookup table is modified at runtime to point to the functions and data.

In Unix, there is only one type of library file (.a) which contains code from several object files (.o). During the link step to create a shared object file (.so), the linker may find that it doesn't know where an identifier is defined. The linker will look for it in the object files in the libraries; if it finds it, it will include all the code from that object file.

In Windows, there are two types of library, a static library and an import library (both called .lib). A static library is like a Unix .a file; it contains code to be included as necessary. An import library is basically used only to reassure the linker that a certain identifier is legal, and will be present in the program when the DLL is loaded. So the linker uses the information from the import library to build the lookup table for using identifiers that are not included in the DLL. When an application or a DLL is linked, an import library may be generated, which will need to be used for all future DLLs that depend on the symbols in the application or DLL.

Suppose you are building two dynamic-load modules, B and C, which should share another block of code A. On Unix, you would *not* pass A.a to the linker for B.so and C.so; that would cause it to be included twice, so that B and C would each have their own copy. In Windows, building A.dll will also build A.lib. You do pass A.lib to the linker for B and C. A.lib does not contain code; it just contains information which will be used at runtime to access A's code.

In Windows, using an import library is sort of like using import spam; it gives you access to spam's names, but does not create a separate copy. On Unix, linking with a library is more like from spam import \*; it does create a separate copy.

### 2.5.3 Using DLLs in Practice

Windows Python is built in Microsoft Visual C++; using other compilers may or may not work (though Borland seems to). The rest of this section is MSVC++ specific.

When creating DLLs in Windows, you must pass pythonXY.lib to the linker. To build two DLLs, spam and ni (which uses C functions found in spam), you could use these commands:

```
cl /LD /I/python/include spam.c ../libs/pythonXY.lib
cl /LD /I/python/include ni.c spam.lib ../libs/pythonXY.lib
```

The first command created three files: spam.obj, spam.dll and spam.lib. Spam.dll does not contain any Python functions (such as PyArg\_ParseTuple()), but it does know how to find the Python code thanks to pythonXY.lib.

The second command created ni.dll (and .obj and .lib), which knows how to find the necessary functions from spam, and also from the Python executable.

Not every identifier is exported to the lookup table. If you want any other modules (including Python) to be able to see your identifiers, you have to say \_declspec(dllexport), as in void \_declspec(dllexport) initspam(void) or PyObject \_declspec(dllexport) \*NiGetSpamData(void).

Developer Studio will throw in a lot of import libraries that you do not really need, adding about 100K to your executable. To get rid of them, use the Project Settings dialog, Link tab, to specify *ignore default libraries*. Add the correct msvcrtxx.lib to the list of libraries.

# 在更大的应用程序中嵌入 CPython 运行时

有时,不是要创建在 Python 解释器中作为主应用程序运行的扩展,而是希望将 CPython 运行时嵌入到更大的应用程序中。本节介绍了成功完成此操作所涉及的一些细节。

# 3.1 Embedding Python in Another Application

The previous chapters discussed how to extend Python, that is, how to extend the functionality of Python by attaching a library of C functions to it. It is also possible to do it the other way around: enrich your C/C++ application by embedding Python in it. Embedding provides your application with the ability to implement some of the functionality of your application in Python rather than C or C++. This can be used for many purposes; one example would be to allow users to tailor the application to their needs by writing some scripts in Python. You can also use it yourself if some of the functionality can be written in Python more easily.

Embedding Python is similar to extending it, but not quite. The difference is that when you extend Python, the main program of the application is still the Python interpreter, while if you embed Python, the main program may have nothing to do with Python — instead, some parts of the application occasionally call the Python interpreter to run some Python code.

So if you are embedding Python, you are providing your own main program. One of the things this main program has to do is initialize the Python interpreter. At the very least, you have to call the function Py\_Initialize(). There are optional calls to pass command line arguments to Python. Then later you can call the interpreter from any part of the application.

There are several different ways to call the interpreter: you can pass a string containing Python statements to PyRun\_SimpleString(), or you can pass a stdio file pointer and a file name (for identification in error messages only) to PyRun\_SimpleFile(). You can also call the lower-level operations described in the previous chapters to construct and use Python objects.

#### 参见

c-api-index The details of Python's C interface are given in this manual. A great deal of necessary information can be found here.

## 3.1.1 Very High Level Embedding

The simplest form of embedding Python is the use of the very high level interface. This interface is intended to execute a Python script without needing to interact with the application directly. This can for example be used to perform some operation on a file.

```
#define PY_SSIZE_T_CLEAN
#include <Python.h>
int
main(int argc, char *argv[])
    wchar_t *program = Py_DecodeLocale(argv[0], NULL);
    if (program == NULL) {
        fprintf(stderr, "Fatal error: cannot decode argv[0]\n");
        exit(1);
    Py_SetProgramName(program); /* optional but recommended */
    Py_Initialize();
    PyRun_SimpleString("from time import time,ctime\n"
                       "print('Today is', ctime(time()))\n");
    if (Py FinalizeEx() < 0) {</pre>
        exit(120);
    PyMem_RawFree(program);
    return 0;
}
```

The Py\_SetProgramName() function should be called before Py\_Initialize() to inform the interpreter about paths to Python run-time libraries. Next, the Python interpreter is initialized with Py\_Initialize(), followed by the execution of a hard-coded Python script that prints the date and time. Afterwards, the Py\_FinalizeEx() call shuts the interpreter down, followed by the end of the program. In a real program, you may want to get the Python script from another source, perhaps a text-editor routine, a file, or a database. Getting the Python code from a file can better be done by using the PyRun\_SimpleFile() function, which saves you the trouble of allocating memory space and loading the file contents.

### 3.1.2 Beyond Very High Level Embedding: An overview

The high level interface gives you the ability to execute arbitrary pieces of Python code from your application, but exchanging data values is quite cumbersome to say the least. If you want that, you should use lower level calls. At the cost of having to write more C code, you can achieve almost anything.

It should be noted that extending Python and embedding Python is quite the same activity, despite the different intent. Most topics discussed in the previous chapters are still valid. To show this, consider what the extension code from Python to C really does:

- 1. Convert data values from Python to C,
- 2. Perform a function call to a C routine using the converted values, and
- 3. Convert the data values from the call from C to Python.

When embedding Python, the interface code does:

- 1. Convert data values from C to Python,
- 2. Perform a function call to a Python interface routine using the converted values, and

3. Convert the data values from the call from Python to C.

As you can see, the data conversion steps are simply swapped to accommodate the different direction of the cross-language transfer. The only difference is the routine that you call between both data conversions. When extending, you call a C routine, when embedding, you call a Python routine.

This chapter will not discuss how to convert data from Python to C and vice versa. Also, proper use of references and dealing with errors is assumed to be understood. Since these aspects do not differ from extending the interpreter, you can refer to earlier chapters for the required information.

### 3.1.3 Pure Embedding

The first program aims to execute a function in a Python script. Like in the section about the very high level interface, the Python interpreter does not directly interact with the application (but that will change in the next section).

The code to run a function defined in a Python script is:

```
#define PY_SSIZE_T_CLEAN
#include <Python.h>
main(int argc, char *argv[])
   PyObject *pName, *pModule, *pFunc;
   PyObject *pArgs, *pValue;
   int i;
   if (argc < 3) {
        fprintf(stderr, "Usage: call pythonfile function [args]\n");
        return 1;
   }
   Py_Initialize();
   pName = PyUnicode DecodeFSDefault(argv[1]);
   /* Error checking of pName left out */
   pModule = PyImport_Import(pName);
   Py_DECREF(pName);
    if (pModule != NULL) {
       pFunc = PyObject_GetAttrString(pModule, argv[2]);
        /* pFunc is a new reference */
        if (pFunc && PyCallable_Check(pFunc)) {
            pArgs = PyTuple_New(argc - 3);
            for (i = 0; i < argc - 3; ++i) {
                pValue = PyLong_FromLong(atoi(argv[i + 3]));
                if (!pValue) {
                    Py_DECREF(pArgs);
                    Py_DECREF(pModule);
                    fprintf(stderr, "Cannot convert argument\n");
                    return 1;
                }
```

```
/* pValue reference stolen here: */
                PyTuple_SetItem(pArgs, i, pValue);
            }
            pValue = PyObject_CallObject(pFunc, pArgs);
            Py_DECREF(pArgs);
            if (pValue != NULL) {
                printf("Result of call: %ld\n", PyLong_AsLong(pValue));
                Py_DECREF(pValue);
            }
            else {
                Py_DECREF(pFunc);
                Py_DECREF(pModule);
                PyErr_Print();
                fprintf(stderr, "Call failed\n");
                return 1;
            }
        }
        else {
            if (PyErr_Occurred())
                PyErr_Print();
            fprintf(stderr, "Cannot find function \"%s\"\n", argv[2]);
        }
        Py_XDECREF(pFunc);
        Py_DECREF(pModule);
    }
    else {
        PyErr_Print();
        fprintf(stderr, "Failed to load \"%s\"\n", argv[1]);
        return 1;
    }
    if (Py_FinalizeEx() < 0) {</pre>
        return 120;
    }
    return 0;
}
```

This code loads a Python script using argv[1], and calls the function named in argv[2]. Its integer arguments are the other values of the argv array. If you *compile and link* this program (let's call the finished executable call), and use it to execute a Python script, such as:

```
def multiply(a,b):
    print("Will compute", a, "times", b)
    c = 0
    for i in range(0, a):
        c = c + b
    return c
```

then the result should be:

```
$ call multiply multiply 3 2
Will compute 3 times 2
Result of call: 6
```

Although the program is quite large for its functionality, most of the code is for data conversion between Python and C, and for error reporting. The interesting part with respect to embedding Python starts with

```
Py_Initialize();
pName = PyUnicode_DecodeFSDefault(argv[1]);
/* Error checking of pName left out */
pModule = PyImport_Import(pName);
```

After initializing the interpreter, the script is loaded using PyImport\_Import(). This routine needs a Python string as its argument, which is constructed using the PyUnicode\_FromString() data conversion routine.

```
pFunc = PyObject_GetAttrString(pModule, argv[2]);
/* pFunc is a new reference */

if (pFunc && PyCallable_Check(pFunc)) {
    ...
}
Py_XDECREF(pFunc);
```

Once the script is loaded, the name we're looking for is retrieved using PyObject\_GetAttrString(). If the name exists, and the object returned is callable, you can safely assume that it is a function. The program then proceeds by constructing a tuple of arguments as normal. The call to the Python function is then made with:

```
pValue = PyObject_CallObject(pFunc, pArgs);
```

Upon return of the function, pValue is either *NULL* or it contains a reference to the return value of the function. Be sure to release the reference after examining the value.

## 3.1.4 Extending Embedded Python

Until now, the embedded Python interpreter had no access to functionality from the application itself. The Python API allows this by extending the embedded interpreter. That is, the embedded interpreter gets extended with routines provided by the application. While it sounds complex, it is not so bad. Simply forget for a while that the application starts the Python interpreter. Instead, consider the application to be a set of subroutines, and write some glue code that gives Python access to those routines, just like you would write a normal Python extension. For example:

```
static int numargs=0;

/* Return the number of arguments of the application command line */
static PyObject*
emb_numargs(PyObject *self, PyObject *args)
{
    if(!PyArg_ParseTuple(args, ":numargs"))
        return NULL;
    return PyLong_FromLong(numargs);
}

static PyMethodDef EmbMethods[] = {
    {"numargs", emb_numargs, METH_VARARGS,
        "Return the number of arguments received by the process."},
    {NULL, NULL, 0, NULL}
```

```
};

static PyModuleDef EmbModule = {
    PyModuleDef_HEAD_INIT, "emb", NULL, -1, EmbMethods,
    NULL, NULL, NULL, NULL
};

static PyObject*
PyInit_emb(void)
{
    return PyModule_Create(&EmbModule);
}
```

Insert the above code just above the main() function. Also, insert the following two statements before the call to Py\_Initialize():

```
numargs = argc;
PyImport_AppendInittab("emb", &PyInit_emb);
```

These two lines initialize the numargs variable, and make the emb.numargs() function accessible to the embedded Python interpreter. With these extensions, the Python script can do things like

```
import emb
print("Number of arguments", emb.numargs())
```

In a real application, the methods will expose an API of the application to Python.

#### 3.1.5 Embedding Python in C++

It is also possible to embed Python in a C++ program; precisely how this is done will depend on the details of the C++ system used; in general you will need to write the main program in C++, and use the C++ compiler to compile and link your program. There is no need to recompile Python itself using C++.

#### 3.1.6 Compiling and Linking under Unix-like systems

It is not necessarily trivial to find the right flags to pass to your compiler (and linker) in order to embed the Python interpreter into your application, particularly because Python needs to load library modules implemented as C dynamic extensions (.so files) linked against it.

To find out the required compiler and linker flags, you can execute the python X. Y-config script which is generated as part of the installation process (a python3-config script may also be available). This script has several options, of which the following will be directly useful to you:

• pythonX.Y-config --cflags will give you the recommended flags when compiling:

• pythonX.Y-config --ldflags will give you the recommended flags when linking:

```
$ /opt/bin/python3.4-config --ldflags
-L/opt/lib/python3.4/config-3.4m -lpthread -ldl -lutil -lm -lpython3.4m -Xlinker -
→export-dynamic
```

注解: To avoid confusion between several Python installations (and especially between the system Python and your own compiled Python), it is recommended that you use the absolute path to pythonX.Y-config, as in the above example.

If this procedure doesn't work for you (it is not guaranteed to work for all Unix-like platforms; however, we welcome bug reports) you will have to read your system's documentation about dynamic linking and/or examine Python's Makefile (use sysconfig.get\_makefile\_filename() to find its location) and compilation options. In this case, the sysconfig module is a useful tool to programmatically extract the configuration values that you will want to combine together. For example:

```
>>> import sysconfig
>>> sysconfig.get_config_var('LIBS')
'-lpthread -ldl -lutil'
>>> sysconfig.get_config_var('LINKFORSHARED')
'-Xlinker -export-dynamic'
```

## 术语表

- >>> 交互式终端中默认的 Python 提示符。往往会显示于能以交互方式在解释器里执行的样例代码之前。
- ... 交互式终端中输入特殊代码行时默认的 Python 提示符,包括:缩进的代码块,成对的分隔符之内(圆括号、方括号、花括号或三重引号),或是指定一个装饰器之后。
- **2to3** 一个将 Python 2.x 代码转换为 Python 3.x 代码的工具,能够处理大部分通过解析源码并遍历解析树可检测到的不兼容问题。

2to3 包含在标准库中,模块名为 lib2to3;并提供一个独立人口点 Tools/scripts/2to3。参见 2to3-reference。

- abstract base class **抽象基类** 抽象基类简称 ABC,是对duck-typing 的补充,它提供了一种定义接口的新方式,相比之下其他技巧例如 hasattr()显得过于笨拙或有微妙错误(例如使用魔术方法)。ABC引入了虚拟子类,这种类并非继承自其他类,但却仍能被 isinstance()和 issubclass()所认可;详见 abc 模块文档。Python 自带许多内置的 ABC 用于实现数据结构(在 collections.abc 模块中)、数字(在 numbers 模块中)、流(在 io 模块中)、导入查找器和加载器(在 importlib.abc 模块中)。你可以使用 abc 模块来创建自己的 ABC。
- annotation 标注 关联到某个变量、类属性、函数形参或返回值的标签、被约定作为type hint 来使用。

局部变量的标注在运行时不可访问,但全局变量、类属性和函数的标注会分别存放模块、类和函数的 \_\_annotations\_\_ 特殊属性中。

参见variable annotation、function annotation、PEP 484 和 PEP 526,对此功能均有介绍。

**argument** – **参数** 在调用函数时传给function (或method )的值。参数分为两种:

• 关键字参数: 在函数调用中前面带有标识符 (例如 name=) 或者作为包含在前面带有 \*\* 的字典里的值传入。举例来说, 3 和 5 在以下对 complex() 的调用中均属于关键字参数:

```
complex(real=3, imag=5)
complex(**{'real': 3, 'imag': 5})
```

• 位置参数: 不属于关键字参数的参数。位置参数可出现于参数列表的开头以及/或者作为前面带有 \* 的*iterable* 里的元素被传入。举例来说, 3 和 5 在以下调用中均属于位置参数:

```
complex(3, 5)
complex(*(3, 5))
```

参数会被赋值给函数体中对应的局部变量。有关赋值规则参见 calls 一节。根据语法,任何表达式都可用来表示一个参数;最终算出的值会被赋给对应的局部变量。

另参见parameter 术语表条目,常见问题中参数与形参的区别以及 PEP 362。

- asynchronous context manager 异步上下文管理器 此 种 对 象 通 过 定 义 \_\_aenter\_\_() 和 \_\_aexit\_\_() 方法来对 async with 语句中的环境进行控制。由 PEP 492 引入。
- asynchronous generator 异步生成器 返回值为asynchronous generator iterator 的函数。它与使用 async def 定义的协程函数很相似,不同之处在于它包含 yield 表达式以产生一系列可在 async for 循环中使用的值。

此术语通常是指异步生成器函数,但在某些情况下则可能是指 异步生成器迭代器。如果需要清楚表达 具体含义,请使用全称以避免歧义。

- 一个异步生成器函数可能包含 await 表达式或者 async for 以及 async with 语句。
- asynchronous generator iterator 异步生成器迭代器 asynchronous generator 函数所创建的对象。

此对象属于asynchronous iterator, 当使用 \_\_anext\_\_() 方法调用时会返回一个可等待对象来执行异步生成器函数的代码直到下一个 yield 表达式。

每个 yield 会临时暂停处理,记住当前位置执行状态 (包括局部变量和挂起的 try 语句)。当该 异步生成器迭代器与其他 \_\_anext\_\_()返回的可等待对象有效恢复时,它会从离开位置继续执行。参见 PEP 492 和 PEP 525。

- asynchronous iterable **异步可迭代对象** 可在 async for 语句中被使用的对象。必须通过它的 \_\_aiter\_\_() 方法返回一个asynchronous iterator。由 PEP 492 引入。
- asynchronous iterator **异步迭代器** 实现了 \_\_aiter\_\_() 和 \_\_anext\_\_() 方法的对象。\_\_anext\_\_ 必 须返回一个awaitable 对象。async for 会处理异步迭代器的 \_\_anext\_\_() 方法所返回的可等待对象,直到其引发一个 StopAsyncIteration 异常。由 PEP 492 引入。
- attribute **属性** 关联到一个对象的值,可以使用点号表达式通过其名称来引用。例如,如果一个对象 o 具有一个属性 a,就可以用 o.a 来引用它。
- awaitable 可等待对象 能在 await 表达式中使用的对象。可以是 coroutine 或是具有 \_\_await\_\_() 方法的对象。参见 PEP 492。
- BDFL"终身仁慈独裁者"的英文缩写,即 Guido van Rossum, Python 的创造者。
- binary file 二进制文件 file object 能够读写类字节对象。二进制文件的例子包括以二进制模式 ('rb', 'wb' or 'rb+') 打开的文件、sys.stdin.buffer、sys.stdout.buffer 以及 io.BytesIO 和 gzip. GzipFile 的实例。

另请参见text file 了解能够读写 str 对象的文件对象。

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bytes-like object – 字节类对象 支持 bufferobjects 并且能导出 C-contiguous 缓冲的对象。这包括所有 bytes、bytearray 和 array.array 对象,以及许多普通 memoryview 对象。字节类对象可在多种二进制数据操作中使用;这些操作包括压缩、保存为二进制文件以及通过套接字发送等。

某些操作需要可变的二进制数据。这种对象在文档中常被称为"可读写字节类对象"。可变缓冲对象的例子包括 bytearray 以及 bytearray 的 memoryview。其他操作要求二进制数据存放于不可变对象 ("只读字节类对象");这种对象的例子包括 bytes 以及 bytes 对象的 memoryview。

bytecode - 字节码 Python 源代码会被编译为字节码,即 CPython 解释器中表示 Python 程序的内部代码。字节码还会缓存在.pyc 文件中,这样第二次执行同一文件时速度更快(可以免去将源码重新编译为字节码)。这种"中间语言"运行在根据字节码执行相应机器码的*virtual machine* 之上。请注意不同Python 虚拟机上的字节码不一定通用,也不一定能在不同Python 版本上兼容。

字节码指令列表可以在 dis 模块的文档中查看。

- class 类 用来创建用户定义对象的模板。类定义通常包含对该类的实例进行操作的方法定义。
- class variable 类变量 在类中定义的变量,并且仅限在类的层级上修改(而不是在类的实例中修改)。
- **coercion** 强制类型转换 在包含两个相同类型参数的操作中,一种类型的实例隐式地转换为另一种类型。例如, int(3.15) 是将原浮点数转换为整型数 3, 但在 3+4.5 中,参数的类型不一致(一个是 int, 一个是 float),两者必须转换为相同类型才能相加,否则将引发 TypeError。如果没有强制类型转换机制,程序员必须将所有可兼容参数归一化为相同类型,例如要写成 float(3)+4.5 而不是 3+4.5。
- complex number 复数 对普通实数系统的扩展,其中所有数字都被表示为一个实部和一个虚部的和。虚数是虚数单位(-1 的平方根)的实倍数,通常在数学中写为 i,在工程学中写为 j。Python 内置了对复数的支持,采用工程学标记方式;虚部带有一个 j 后缀,例如 3+1j。如果需要 math 模块内对象的对应复数版本,请使用 cmath,复数的使用是一个比较高级的数学特性。如果你感觉没有必要,忽略它们也几乎不会有任何问题。
- **context manager 上下文管理器** 在 with 语句中使用,通过定义 \_\_enter\_\_() 和 \_\_exit\_\_() 方法来控制环境状态的对象。参见 PEP 343。
- **contiguous 连续** 一个缓冲如果是 *C*-连续或 *Fortran* 连续就会被认为是连续的。零维缓冲是 C 和 Fortran 连续的。在一维数组中,所有条目必须在内存中彼此相邻地排列,采用从零开始的递增索引顺序。在 多维 C-连续数组中,当按内存地址排列时用最后一个索引访问条目时速度最快。但是在 Fortran 连续 数组中则是用第一个索引最快。
- coroutine **协程** 协程是子例程的更一般形式。子例程可以在某一点进入并在另一点退出。协程则可以在 许多不同的点上进入、退出和恢复。它们可通过 async def 语句来实现。参见 PEP 492。
- coroutine function 协程函数 返回一个 coroutine 对象的函数。协程函数可通过 async def 语句来定义, 并可能包含 await、async for 和 async with 关键字。这些特性是由 PEP 492 引入的。
- **CPython** Python 编程语言的规范实现,在 python.org 上发布。"CPython" 一词用于在必要时将此实现与 其他实现例如 Jython 或 IronPython 相区别。
- **decorator 装饰器** 返回值为另一个函数的函数,通常使用 @wrapper 语法形式来进行函数变换。装饰器的常见例子包括 classmethod() 和 staticmethod()。

装饰器语法只是一种语法糖,以下两个函数定义在语义上完全等价:

同的样概念也适用于类,但通常较少这样使用。有关装饰器的详情可参见 函数定义和 类定义的文档。

**descriptor** – **描述器** 任何定义了 \_\_get\_\_(), \_\_set\_\_() 或 \_\_delete\_\_() 方法的对象。当一个类属性为描述器时,它的特殊绑定行为就会在属性查找时被触发。通常情况下,使用 a.b 来获取、设置或删除一个属性时会在 a 的类字典中查找名称为 b 的对象,但如果 b 是一个描述器,则会调用对应的描述器方法。理解描述器的概念是更深层次理解 Python 的关键,因为这是许多重要特性的基础,包括函数、方法、属性、类方法、静态方法以及对超类的引用等等。

有关描述符的方法的详情可参看 descriptors。

dictionary - 字典 一个关联数组,其中的任意键都映射到相应的值。键可以是任何具有 \_\_hash\_\_() 和 \_\_eq\_\_() 方法的对象。在 Perl 语言中称为 hash。

- dictionary view 字典视图 从 dict.keys(), dict.values() 和 dict.items() 返回的对象被称为字典视图。它们提供了字典条目的一个动态视图,这意味着当字典改变时,视图也会相应改变。要将字典视图强制转换为真正的列表,可使用 list(dictview)。参见 dict-views。
- docstring 文档字符串 作为类、函数或模块之内的第一个表达式出现的字符串字面值。它在代码执行时会被忽略,但会被解释器识别并放入所在类、函数或模块的 \_\_doc\_\_ 属性中。由于它可用于代码内省,因此是对象存放文档的规范位置。
- duck-typing 鸭子类型 指一种编程风格,它并不依靠查找对象类型来确定其是否具有正确的接口,而是直接调用或使用其方法或属性("看起来像鸭子,叫起来也像鸭子,那么肯定就是鸭子。")由于强调接口而非特定类型,设计良好的代码可通过允许多态替代来提升灵活性。鸭子类型避免使用 type()或isinstance()检测。(但要注意鸭子类型可以使用抽象基类 作为补充。)而往往会采用 hasattr()检测或是*EAFP* 编程。
- **EAFP** "求原谅比求许可更容易"的英文缩写。这种 Python 常用代码编写风格会假定所需的键或属性存在,并在假定错误时捕获异常。这种简洁快速风格的特定就是大量运用 try 和 except 语句。于其相对的则是所谓*LBYL* 风格,常见于 C 等许多其他语言。
- expression 表达式 可以求出某个值的语法单元。换句话说,一个表达式就是表达元素例如字面值、名称、属性访问、运算符或函数调用的汇总,它们最终都会返回一个值。与许多其他语言不同,并非所有语言构件都是表达式。还存在不能被用作表达式的statement,例如while。赋值也是属于语句而非表达式。
- **extension module** 扩展模块 以 C 或 C++ 编写的模块,使用 Python 的 C API 来与语言核心以及用户代码进行交互。
- **f-string f-字符串** 带有 'f' 或 'F' 前缀的字符串字面值通常被称为 "f-字符串"即 格式化字符串字面值的简写。参见 PEP 498。
- file object 文件对象 对外提供面向文件 API 以使用下层资源的对象(带有 read() 或 write() 这样的方法)。根据其创建方式的不同,文件对象可以处理对真实磁盘文件,对其他类型存储,或是对通讯设备的访问(例如标准输入/输出、内存缓冲区、套接字、管道等等)。文件对象也被称为 文件类对象或流。

实际上共有三种类别的文件对象: 原始二进制文件, 缓冲二进制文件 以及文本文件。它们的接口定义均在 io 模块中。创建文件对象的规范方式是使用 open() 函数。

- file-like object 文件类对象 file object 的同义词。
- finder 查找器 一种会尝试查找被导入模块的loader 的对象。

从 Python 3.3 起存在两种类型的查找器: 元路径查找器 配合 sys.meta\_path 使用,以及path entry finders 配合 sys.path\_hooks 使用。

更多详情可参见 PEP 302, PEP 420 和 PEP 451。

- floor division 向下取整除法 向下舍入到最接近的整数的数学除法。向下取整除法的运算符是 // 。例如,表达式 11 // 4 的计算结果是 2,而与之相反的是浮点数的真正除法返回 2.75。注意 (-11) // 4 会返回 -3 因为这是 -2.75 向下舍入得到的结果。见 PEP 238。
- **function 函数** 可以向调用者返回某个值的一组语句。还可以向其传入零个或多个参数 并在函数体执行中被使用。另见*parameter*, *method* 和 function 等节。
- function annotation 函数标注 即针对函数形参或返回值的annotation。

函数标注通常用于类型提示: 例如以下函数预期接受两个 int 参数并预期返回一个 int 值:

```
def sum_two_numbers(a: int, b: int) -> int:
    return a + b
```

函数标注语法的详解见 function 一节。

请参看variable annotation 和 PEP 484 对此功能的描述。

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\_\_\_future\_\_\_ 一种伪模块,可被程序员用来启用与当前解释器不兼容的新语言特性。

通过导入 \_\_future\_\_ 模块并对其中的变量求值,你可以查看新特性何时首次加入语言以及何时成为 默认:

```
>>> import __future__
>>> __future__.division
_Feature((2, 2, 0, 'alpha', 2), (3, 0, 0, 'alpha', 0), 8192)
```

- garbage collection 垃圾回收 释放不再被使用的内存空间的过程。Python 是通过引用计数和一个能够 检测和打破循环引用的循环垃圾回收器来执行垃圾回收的。可以使用 gc 模块来控制垃圾回收器。
- **generator 生成器** 返回一个 *generator iterator* 的函数。它看起来很像普通函数,不同点在于其包含 yield 表达式以便产生一系列值供给 for-循环使用或是通过 next() 函数逐一获取。

通常是指生成器函数,但在某些情况下也可能是指 生成器迭代器。如果需要清楚表达具体含义,请使 用全称以避免歧义。

generator iterator - 生成器迭代器 generator 函数所创建的对象。

每个 yield 会临时暂停处理,记住当前位置执行状态(包括局部变量和挂起的 try 语句)。当该 生成器迭代器恢复时,它会从离开位置继续执行(这与每次调用都从新开始的普通函数差别很大)。

generator expression – 生成器表达式 返回一个迭代器的表达式。它看起来很像普通表达式后面带有定义了一个循环变量、范围的 for 子句,以及一个可选的 if 子句。以下复合表达式会为外层函数生成一系列值:

```
>>> sum(i*i for i in range(10))  # sum of squares 0, 1, 4, ... 81
285
```

**generic function** – **泛型函数** 为不同的类型实现相同操作的多个函数所组成的函数。在调用时会由调度算法来确定应该使用哪个实现。

另请参见single dispatch 术语表条目、functools.singledispatch() 装饰器以及 PEP 443。

- GIL 参见global interpreter lock。
- global interpreter lock **全局解释器锁** *CPython* 解释器所采用的一种机制,它确保同一时刻只有一个 线程在执行 Python *bytecode*。此机制通过设置对象模型(包括 dict 等重要内置类型)针对并发访问 的隐式安全简化了 CPython 实现。给整个解释器加锁使得解释器多线程运行更方便,其代价则是牺牲 了在多处理器上的并行性。

不过,某些标准库或第三方库的扩展模块被设计为在执行计算密集型任务如压缩或哈希时释放 GIL。此外,在执行 I/O 操作时也总是会释放 GIL。

创建一个(以更精细粒度来锁定共享数据的)"自由线程"解释器的努力从未获得成功,因为这会牺牲在普通单处理器情况下的性能。据信克服这种性能问题的措施将导致实现变得更复杂,从而更难以维护。

- hash-based pyc 基于哈希的 pyc 使用对应源文件的哈希值而非最后修改时间来确定其有效性的字节码 缓存文件。参见 pyc-invalidation。
- hashable **可哈希** 一个对象的哈希值如果在其生命周期内绝不改变,就被称为 可哈希(它需要具有 \_\_hash\_\_() 方法),并可以同其他对象进行比较(它需要具有 \_\_eq\_\_() 方法)。可哈希对象必须具有 相同的哈希值比较结果才会相同。

可哈希性使得对象能够作为字典键或集合成员使用、因为这些数据结构要在内部使用哈希值。

所有 Python 中的不可变内置对象都是可哈希的;可变容器(例如列表或字典)都不可哈希。用户定义类的实例对象默认是可哈希的。它们在比较时一定不相同(除非是与自己比较),它们的哈希值的生成基于其 id()。

- **IDLE** Python 的 IDE, "集成开发与学习环境"的英文缩写。是 Python 标准发行版附带的基本编程器和解释器环境。
- immutable **不可变** 具有固定值的对象。不可变对象包括数字、字符串和元组。这样的对象不能被改变。如果必须存储一个不同的值,则必须创建新的对象。它们在需要常量哈希值的地方起着重要作用,例如作为字典中的键。
- import path **导人路径** 由多个位置(或路径条目)组成的列表,会被模块的*path based finder* 用来查找导入目标。在导入时,此位置列表通常来自 sys.path,但对次级包来说也可能来自上级包的 \_\_path\_\_ 属性。
- **importing** 导人 令一个模块中的 Python 代码能为另一个模块中的 Python 代码所使用的过程。
- importer 导人器 查找并加载模块的对象;此对象既属于finder 又属于loader。
- interactive **交互** Python 带有一个交互式解释器,即你可以在解释器提示符后输入语句和表达式,立即执行并查看其结果。只需不带参数地启动 python 命令(也可以在你的计算机开始菜单中选择相应菜单项)。在测试新想法或检验模块和包的时候用这种方式会非常方便(请记得使用 help(x))。
- interpreted 解释型 Python 一是种解释型语言,与之相对的是编译型语言,虽然两者的区别由于字节码编译器的存在而会有所模糊。这意味着源文件可以直接运行而不必显式地创建可执行文件再运行。解释型语言通常具有比编译型语言更短的开发/调试周期,但是其程序往往运行得更慢。参见interactive。
- interpreter shutdown 解释器关闭 当被要求关闭时, Python 解释器将进入一个特殊运行阶段并逐步释放所有已分配资源, 例如模块和各种关键内部结构等。它还会多次调用垃圾回收器。这会触发用户定义析构器或弱引用回调中的代码执行。在关闭阶段执行的代码可能会遇到各种异常, 因为其所依赖的资源已不再有效(常见的例子有库模块或警告机制等)。
  - 解释器需要关闭的主要原因有 \_\_main\_\_ 模块或所运行的脚本已完成执行。
- iterable **可迭代对象** 能够逐一返回其成员项的对象。可迭代对象的例子包括所有序列类型(例如 list、str 和 tuple)以及某些非序列类型例如 dict、文件对象 以及定义了 \_\_iter\_\_() 方法或是实现了 Sequence 语义的 \_\_getitem\_\_() 方法的任意自定义类对象。
  - 可迭代对象被可用于 for 循环以及许多其他需要一个序列的地方(zip()、map()…)。当一个可迭代对象作为参数传给内置函数 iter()时,它会返回该对象的迭代器。这种迭代器适用于对值集合的一次性遍历。在使用可迭代对象时,你通常不需要调用 iter()或者自己处理迭代器对象。for 语句会为你自动处理那些操作,创建一个临时的未命名变量用来在循环期间保存迭代器。参见iterator、sequence以及generator。
- iterator 迭代器 用来表示一连串数据流的对象。重复调用迭代器的 \_\_next\_\_() 方法(或将其传给内置函数 next()) 将逐个返回流中的项。当没有数据可用时则将引发 StopIteration 异常。到这时迭代器对象中的数据项已耗尽,继续调用其 \_\_next\_\_() 方法只会再次引发 StopIteration 异常。迭代器必须具有 \_\_iter\_\_() 方法用来返回该迭代器对象自身,因此迭代器必定也是可迭代对象,可被用于其他可迭代对象适用的大部分场合。一个显著的例外是那些会多次重复访问迭代项的代码。容器对象(例如 list)在你每次向其传入 iter() 函数或是在 for 循环中使用它时都会产生一个新的迭代器。如果在此情况下你尝试用迭代器则会返回在之前迭代过程中被耗尽的同一迭代器对象,使其看起来就像是一个空容器。
  - 更多信息可查看 typeiter。
- key function **键函数** 键函数或称整理函数,是能够返回用于排序或排位的值的可调用对象。例如,locale.strxfrm()可用于生成一个符合特定区域排序约定的排序键。
  - Python 中有许多工具都允许用键函数来控制元素的排位或分组方式。其中包括 min(), max(), sorted(), list.sort(), heapq.merge(), heapq.nsmallest(), heapq.nlargest() 以及 itertools. groupby()。
  - 要创建一个键函数有多种方式。例如, str.lower() 方法可以用作忽略大小写排序的键函数。另外, 键函数也可通过 lambda 表达式来创建, 例如 lambda r: (r[0], r[2])。还有 operator 模块提供了三

个键函数构造器: attrgetter()、itemgetter()和 methodcaller()。请查看 如何排序一节以获取 创建和使用键函数的示例。

- keyword argument 关键字参数 参见argument。
- lambda 由一个单独 expression 构成的匿名内联函数,表达式会在调用时被求值。创建 lambda 函数的句法 为 lambda [parameters]: expression
- LBYL"先查看后跳跃"的英文缩写。这种代码编写风格会在进行调用或查找之前显式地检查前提条件。此 风格与*EAFP* 方式恰成对比,其特点是大量使用 if 语句。

在多线程环境中,LBYL 方式会导致"查看"和"跳跃"之间发生条件竞争风险。例如,以下代码 if key in mapping: return mapping[key] 可能由于在检查操作之后其他线程从 mapping 中移除了 key 而出错。这种问题可通过加锁或使用 EAFP 方式来解决。

- **list 列表** Python 内置的一种*sequence*。虽然名为列表,但更类似于其他语言中的数组而非链接列表,因为访问元素的时间复杂度为 O(1)。
- **list comprehension 列表推导式** 处理一个序列中的所有或部分元素并返回结果列表的一种紧凑写法。 result = ['{:#04x}'.format(x) for x in range(256) if x % 2 == 0] 将生成一个 0 到 255 范围内的十六进制偶数对应字符串 (0x...) 的列表。其中 if 子句是可选的,如果省略则 range(256) 中的所有元素都会被处理。
- loader 加载器 负责加载模块的对象。它必须定义名为 load\_module() 的方法。加载器通常由一个finder 返回。详情参见 PEP 302, 对于abstract base class 可参见 importlib.abc.Loader。
- 魔术方法 一个非正式的同义词special method。
- mapping 映射 一种支持任意键查找并实现了 Mapping 或 MutableMapping 抽象基类中所规定方法的 容器对象。此类对象的例子包括 dict, collections.defaultdict, collections.OrderedDict 以及 collections.Counter。
- meta path finder 元路径查找器 sys.meta\_path 的搜索所返回的finder。元路径查找器与path entry finders 存在关联但并不相同。

请查看 importlib.abc.MetaPathFinder 了解元路径查找器所实现的方法。

metaclass - 元类 一种用于创建类的类。类定义包含类名、类字典和基类列表。元类负责接受上述三个参数并创建相应的类。大部分面向对象的编程语言都会提供一个默认实现。Python 的特别之处在于可以创建自定义元类。大部分用户永远不需要这个工具,但当需要出现时,元类可提供强大而优雅的解决方案。它们已被用于记录属性访问日志、添加线程安全性、跟踪对象创建、实现单例,以及其他许多任务。

更多详情参见 metaclasses。

- **method 方法** 在类内部定义的函数。如果作为该类的实例的一个属性来调用,方法将会获取实例对象作为其第一个*argument* (通常命名为 **self**)。参见*function* 和 *nested scope*。
- method resolution order 方法解析顺序 方法解析顺序就是在查找成员时搜索全部基类所用的先后顺序。请查看 Python 2.3 方法解析顺序 了解自 2.3 版起 Python 解析器所用相关算法的详情。
- **module 模块** 此对象是 Python 代码的一种组织单位。各模块具有独立的命名空间,可包含任意 Python 对象。模块可通过*importing* 操作被加载到 Python 中。

另见package。

- module spec 模块规格 一个命名空间,其中包含用于加载模块的相关导入信息。是 importlib. machinery.ModuleSpec 的实例。
- MRO 参见method resolution order。
- mutable 可变 可变对象可以在其 id() 保持固定的情况下改变其取值。另请参见immutable。

named tuple – **具名元组** 任何类似元组的类,其中的可索引元素也能使用名称属性来访问。(例如,time.localtime()会返回一个类似元组的对象,其中的 *year* 既可以通过索引访问如 t[0] 也可以通过名称属性访问如 t.tm\_year)。

具名元组可以是一个内置类型例如 time.struct\_time, 也可以通过正规的类定义来创建。一个完备的具名元组还可以通过工厂函数 collections.namedtuple()来创建。后面这种方式会自动提供一些额外特性, 例如 Employee(name='jones', title='programmer') 这样的自包含文档表示形式。

- namespace 命名空间 命名空间是存放变量的场所。命名空间有局部、全局和内置的,还有对象中的嵌套命名空间(在方法之内)。命名空间通过防止命名冲突来支持模块化。例如,函数 builtins.open 与 os.open() 可通过各自的命名空间来区分。命名空间还通过明确哪个模块实现那个函数来帮助提高可读性和可维护性。例如,random.seed() 或 itertools.islice() 这种写法明确了这些函数是由 random 与 itertools 模块分别实现的。
- **namespace package 命名空间包 PEP 420** 所引入的一种仅被用作子包的容器的*package*,命名空间包可以没有实体表示物,其描述方式与*regular package* 不同,因为它们没有 \_\_init\_\_.py 文件。 另可参见*module*。
- nested scope 嵌套作用域 在一个定义范围内引用变量的能力。例如,在另一函数之内定义的函数可以引用前者的变量。请注意嵌套作用域默认只对引用有效而对赋值无效。局部变量的读写都受限于最内层作用域。类似的,全局变量的读写则作用于全局命名空间。通过 nonlocal 关键字可允许写入外层作用域。
- **new-style class 新式类** 对于目前已被应于所有类对象的类形式的旧称谓。在早先的 Python 版本中,只有新式类能够使用 Python 新增的更灵活特性,例如 \_\_slots\_\_、描述符、特征属性、\_\_getattribute\_\_()、类方法和静态方法等。
- **object 对象** 任何具有状态(属性或值)以及预定义行为(方法)的数据。object 也是任何*new-style class* 的最顶层基类名。
- **package 包** 一种可包含子模块或递归地包含子包的 Python *module*。从技术上说,包是带有 \_\_path\_\_ 属性的 Python 模块。

另参见regular package 和namespace package。

- **parameter 形参** *function* (或方法) 定义中的命名实体,它指定函数可以接受的一个*argument* (或在某些情况下,多个实参)。有五种形参:
  - positional-or-keyword: 位置或关键字,指定一个可以作为位置参数 传入也可以作为关键字参数 传入的实参。这是默认的形参类型,例如下面的 foo 和 bar:

```
def func(foo, bar=None): ...
```

- positional-only: 仅限位置,指定一个只能按位置传入的参数。Python 中没有定义仅限位置形参的语法。但是一些内置函数有仅限位置形参(比如 abs())。
- keyword-only: 仅限关键字,指定一个只能通过关键字传入的参数。仅限关键字形参可通过在函数定义的形参列表中包含单个可变位置形参或者在多个可变位置形参之前放一个\*来定义,例如下面的 kw-only1 和 kw-only2:

```
def func(arg, *, kw_only1, kw_only2): ...
```

• var-positional: 可变位置,指定可以提供由一个任意数量的位置参数构成的序列(附加在其他形 参已接受的位置参数之后)。这种形参可通过在形参名称前加级\*来定义,例如下面的 args:

```
def func(*args, **kwargs): ...
```

• var-keyword: 可变关键字,指定可以提供任意数量的关键字参数(附加在其他形参已接受的关键字参数之后)。这种形参可通过在形参名称前加级\*\*来定义,例如上面的kwargs。

80 Appendix A. 术语表

形参可以同时指定可选和必选参数,也可以为某些可选参数指定默认值。

另参见argument 术语表条目、参数与形参的区别中的常见问题、inspect.Parameter 类、function 一节以及 PEP 362。

path entry - 路径人口 import path 中的一个单独位置,会被path based finder 用来查找要导入的模块。

path entry finder - 路径人口查找器 任一可调用对象使用 sys.path\_hooks (即path entry hook) 返回 的finder, 此种对象能通过path entry 来定位模块。

请参看 importlib.abc.PathEntryFinder 以了解路径人口查找器所实现的各个方法。

- **path entry hook 路径人口钩子** 一种可调用对象,在知道如何查找特定*path entry* 中的模块的情况下能够使用 sys.path\_hook 列表返回一个*path entry finder*。
- path based finder 基于路径的查找器 默认的一种元路径查找器,可在一个import path 中查找模块。
- path-like object 路径类对象 代表一个文件系统路径的对象。类路径对象可以是一个表示路径的 str 或者 bytes 对象,还可以是一个实现了 os.PathLike 协议的对象。一个支持 os.PathLike 协议的对象可通过调用 os.fspath()函数转换为 str 或者 bytes 类型的文件系统路径; os.fsdecode()和 os.fsencode()可被分别用来确保获得 str 或 bytes 类型的结果。此对象是由 PEP 519 引入的。
- **PEP** "Python 增强提议"的英文缩写。一个 PEP 就是一份设计文档,用来向 Python 社区提供信息,或描述一个 Python 的新增特性及其进度或环境。PEP 应当提供精确的技术规格和所提议特性的原理说明。

PEP 应被作为提出主要新特性建议、收集社区对特定问题反馈以及为必须加入 Python 的设计决策编写文档的首选机制。PEP 的作者有责任在社区内部建立共识,并应将不同意见也记入文档。

参见 PEP 1。

portion – **部分** 构成一个命名空间包的单个目录内文件集合(也可能存放于一个 zip 文件内), 具体定义见 PEP 420。

positional argument - 位置参数 参见argument。

provisional API – **暂定** API 暂定 API 是指被有意排除在标准库的向后兼容性保证之外的应用编程接口。 虽然此类接口通常不会再有重大改变,但只要其被标记为暂定,就可能在核心开发者确定有必要的情况下进行向后不兼容的更改(甚至包括移除该接口)。此种更改并不会随意进行 – 仅在 API 被加入之前未考虑到的严重基础性缺陷被发现时才可能会这样做。

即便是对暂定 API 来说,向后不兼容的更改也会被视为"最后的解决方案"——任何问题被确认时都会尽可能先尝试找到一种向后兼容的解决方案。

这种处理过程允许标准库持续不断地演进,不至于被有问题的长期性设计缺陷所困。详情见 PEP 411。

provisional package - 暂定包 参见provisional API。

- **Python 3000** Python 3.x 发布路线的昵称(这个名字在版本 3 的发布还遥遥无期的时候就已出现了)。有时也被缩写为"Py3k"。
- Pythonic 指一个思路或一段代码紧密遵循了 Python 语言最常用的风格和理念,而不是使用其他语言中通用的概念来实现代码。例如,Python 的常用风格是使用 for 语句循环来遍历一个可迭代对象中的所有元素。许多其他语言没有这样的结构,因此不熟悉 Python 的人有时会选择使用一个数字计数器:

```
for i in range(len(food)):
    print(food[i])
```

而相应的更简洁更 Pythonic 的方法是这样的:

for piece in food:
 print(piece)

qualified name - 限定名称 一个以点号分隔的名称,显示从模块的全局作用域到该模块中定义的某个类、函数或方法的"路径",相关定义见 PEP 3155。对于最高层级的函数和类,限定名称与对象名称一致:

当被用于引用模块时,完整限定名称意为标示该模块的以点号分隔的整个路径,其中包含其所有的父包,例如 email.mime.text:

```
>>> import email.mime.text
>>> email.mime.text.__name__
'email.mime.text'
```

- reference count 引用计数 对特定对象的引用的数量。当一个对象的引用计数降为零时,所分配资源将被释放。引用计数对 Python 代码来说通常是不可见的,但它是*CPython* 实现的一个关键元素。sys 模块定义了一个 getref count () 函数,程序员可调用它来返回特定对象的引用计数。
- regular package 正规包 传统型的package, 例如包含有一个 \_\_init\_\_.py 文件的目录。

另参见namespace package。

- \_\_\_\_slots\_\_\_ 一种写在类内部的声明,通过预先声明实例属性等对象并移除实例字典来节省内存。虽然这种技巧很流行,但想要用好却并不容易,最好是只保留在少数情况下采用,例如极耗内存的应用程序,并且其中包含大量实例。
- sequence **序列** 一种*iterable*,它支持通过 \_\_getitem\_\_() 特殊方法来使用整数索引进行高效的元素访问,并定义了一个返回序列长度的 \_\_len\_\_() 方法。内置的序列类型有 list、str、tuple 和 bytes。注意虽然 dict 也支持 \_\_getitem\_\_() 和 \_\_len\_\_(),但它被认为属于映射而非序列,因为它查找时使用任意的*immutable* 键而非整数。
  - collections.abc.Sequence 抽象基类定义了一个更丰富的接口,它超越了 \_\_getitem\_\_()和 \_\_len\_\_(),添加了 count(),index(),\_\_contains\_\_()和 \_\_reversed\_\_()。可以使用 register()显式注册实现此扩展接口的类型。
- single dispatch 单分派 一种generic function 分派形式,其实现是基于单个参数的类型来选择的。
- slice 切片 通常只包含了特定 sequence 的一部分的对象。切片是通过使用下标标记来创建的,在[]中给出几个以冒号分隔的数字,例如 variable\_name [1:3:5]。方括号(下标)标记在内部使用 slice 对象。
- special method 特殊方法 种由 Python 隐式调用的方法,用来对某个类型执行特定操作例如相加等等。这种方法的名称的首尾都为双下划线。特殊方法的文档参见 specialnames。
- **statement 语句** 语句是程序段(一个代码"块")的组成单位。一条语句可以是一个*expression* 或某个带有关键字的结构,例如 if、while 或 for。
- struct sequence 结构序列 具有命名元素的元组。结构序列所暴露的接口类似于named tuple, 其元素既可通过索引也可作为属性来访问。不过,它们没有任何具名元组的方法,例如 \_make()或 \_asdict()。结构序列的例子包括 sys.float\_info 以及 os.stat()的返回值。
- text encoding 文本编码 用于将 Unicode 字符串编码为字节串的编码器。

text file - 文本文件 一种能够读写 str 对象的file object。通常一个文本文件实际是访问一个面向字节的数据流并自动处理 text encoding。文本文件的例子包括以文本模式('r' 或 'w') 打开的文件、sys.stdin、sys.stdout 以及 io.StringIO 的实例。

另请参看binary file 了解能够读写字节类对象 的文件对象。

- triple-quoted string 三**引号字符**串 首尾各带三个连续双引号(")或者单引号(')的字符串。它们在功能上与首尾各用一个引号标注的字符串没有什么不同,但是有多种用处。它们允许你在字符串内包含未经转义的单引号和双引号,并且可以跨越多行而无需使用连接符,在编写文档字符串时特别好用。
- type 类型 类型决定一个 Python 对象属于什么种类;每个对象都具有一种类型。要知道对象的类型,可以访问它的\_\_class\_\_ 属性,或是通过 type(obj)来获取。
- type alias 类型别名 一个类型的同义词,创建方式是把类型赋值给特定的标识符。

类型别名的作用是简化类型提示。例如:

```
from typing import List, Tuple

def remove_gray_shades(
          colors: List[Tuple[int, int, int]]) -> List[Tuple[int, int, int]]:
    pass
```

可以这样提高可读性:

```
from typing import List, Tuple

Color = Tuple[int, int, int]

def remove_gray_shades(colors: List[Color]) -> List[Color]:
    pass
```

参见 typing 和 PEP 484, 其中有对此功能的详细描述。

type hint - 类型提示 annotation 为变量、类属性、函数的形参或返回值指定预期的类型。

类型提示属于可选项, Python 不要求提供, 但其可对静态类型分析工具起作用, 并可协助 IDE 实现代码补全与重构。

全局变量、类属性和函数的类型提示可以使用 typing.get\_type\_hints() 来访问, 但局部变量则不可以。

参见 typing 和 PEP 484, 其中有对此功能的详细描述。

universal newlines – 通用换行 一种解读文本流的方式,将以下所有符号都识别为行结束标志: Unix 的行结束约定 '\n'、Windows 的约定 '\r\n' 以及旧版 Macintosh 的约定 '\r'。参见 PEP 278 和 PEP 3116 和 bytes.splitlines() 了解更多用法说明。

variable annotation – 变量标注 对变量或类属性的annotation。

在标注变量或类属性时,还可选择为其赋值:

```
class C:
field: 'annotation'
```

变量标注通常被用作类型提示: 例如以下变量预期接受 int 类型的值:

```
count: int = 0
```

变量标注语法的详细解释见 annassign 一节。

- 请参看function annotation、PEP 484 和 PEP 526, 其中对此功能有详细描述。
- virtual environment **虚拟环境** 一种采用协作式隔离的运行时环境,允许 Python 用户和应用程序在安装和升级 Python 分发包时不会干扰到同一系统上运行的其他 Python 应用程序的行为。 另参见 venv。
- **virtual machine 虚拟机** 一台完全通过软件定义的计算机。Python 虚拟机可执行字节码编译器所生成的bytecode。
- Zen of Python Python 之禅 列出 Python 设计的原则与哲学,有助于理解与使用这种语言。查看其具体内容可在交互模式提示符中输入"import this"。

# APPENDIX B

## 文档说明

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#### 非常感谢:

- Fred L. Drake, Jr., 创造了用于早期 Python 文档的工具链, 以及撰写了非常多的文档;
- Docutils 软件包 项目, 创建了 reStructuredText 文本格式和 Docutils 软件套件;
- Fredrik Lundh, Sphinx 从他的 Alternative Python Reference 项目中获得了很多好的想法。

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## C.1 软件历史

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1995 年,Guido 在弗吉尼亚州的国家创新研究公司(CNRI,见 https://www.cnri.reston.va.us/)继续他的Python 工作,在那里他发布了该软件的几个版本。

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A C-program for MT19937, with initialization improved 2002/1/26. Coded by Takuji Nishimura and Makoto Matsumoto.

Before using, initialize the state by using init\_genrand(seed) or init\_by\_array(init\_key, key\_length).

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http://www.math.sci.hiroshima-u.ac.jp/~m-mat/MT/emt.html email: m-mat @ math.sci.hiroshima-u.ac.jp (remove space)

#### C.3.2 套接字

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The socket module uses the functions, getaddrinfo(), and getnameinfo(), which are coded in separate source files from the WIDE Project, http://www.wide.ad.jp/.

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Author: Zooko O'Whielacronx

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Modified by Jack Jansen, CWI, July 1995:

- Use binascii module to do the actual line-by-line conversion between ascii and binary. This results in a 1000-fold speedup. The C version is still 5 times faster, though.
- Arguments more compliant with Python standard

#### C.3.7 XML Remote Procedure Calls

The xmlrpc.client module contains the following notice:

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#### C.3.9 Select kqueue

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#### C.3.10 SipHash24

The file Python/pyhash.c contains Marek Majkowski' implementation of Dan Bernstein's SipHash24 algorithm. The contains the following note:

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   Jean-Philippe Aumasson (https://131002.net/siphash/siphash24.c)
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#### C.3.11 strtod and dtoa

The file Python/dtoa.c, which supplies C functions dtoa and strtod for conversion of C doubles to and from strings, is derived from the file of the same name by David M. Gay, currently available from http://www.netlib.org/fp/. The original file, as retrieved on March 16, 2009, contains the following copyright and licensing notice:

#### C.3.12 OpenSSL

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#### C.3.16 cfuhash

The implementation of the hash table used by the tracemalloc is based on the cfuhash project:

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