February 27, 2024

## HOMEWORK 2 — Tree-based Models

## 1 Math Questions

## 1.1 Information Gain (20 points)

NOTE: This is not a programming assignment, so you may NOT use programming tools to help solve this problem. Show your work.

Suppose you are given 6 training points as seen below, for a classification problem with two binary attributes  $X_1$  and  $X_2$  and three classes  $Y \in \{1, 2, 3\}$ . You will use a decision tree learner based on information gain

1. Calculate the conditional entropy for both  $X_1$  and  $X_2$ .

$$\nabla f(x,y) = \left(\frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}\right) \tag{0-1}$$

2. Calculate the information gain if we split based on 1)  $X_1$  or 2)  $X_2$ 

$$\nabla f(x, y, z) = \left(\frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z}\right) \tag{0-2}$$

3. Report which attribute is used for the first split. Draw the decision tree using this split.

$$\nabla f(x, y, z) = \left(\frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z}\right) \tag{0-3}$$

4. Conduct classification for the test example  $X_1 = 0$  and  $X_2 = 1$ .

$$\nabla f(x, y, z) = \left(\frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z}\right) \tag{0-4}$$

$$\begin{array}{c|cccc} X_1 & X_2 & Y \\ \hline 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 2 \\ 1 & 0 & 3 \\ 0 & 0 & 2 \\ 0 & 0 & 3 \\ \end{array}$$

## 2 Programming Questions

Answers for these are located in the attached Casey Pei HW2.ipynb file.

Submitted by Casey Pei on February 27, 2024.