

# COMP90038

# Algorithms and Complexity

Lecture 14: Transform and Conquer  
(with thanks to Harald Søndergaard)

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# Transform and Conquer

- **Instance simplification**
- **Representational change**
- Problem reduction

# Instance Simplification

- General principle: Try to make the problem easier through some sort of pre-processing, typically sorting.
- We can pre-sort input to speed up, for example
  - finding the **median**
  - **uniqueness checking**
  - finding the **mode**

# Uniqueness Checking, Brute-Force

- The problem:
- Given an unsorted array  $A[0] \dots A[n-1]$ , is  $A[i] \neq A[j]$  whenever  $i \neq j$ ?
- The obvious approach is brute-force:

```
for  $i \leftarrow 0$  to  $n - 2$  do
  for  $j \leftarrow i + 1$  to  $n - 1$  do
    if  $A[i] = A[j]$  then
      return False
return True
```

- What is the complexity of this?

# Uniqueness Checking, with Presorting

- Sorting makes the problem easier:

```
SORT( $A, n$ )  
  for  $i \leftarrow 0$  to  $n - 2$  do  
    if  $A[i] = A[i + 1]$  then  
      return False  
  return True
```

- What is the complexity of this?

# Exercise: Computing a Mode

- A **mode** is a list or array element which occurs most frequently in the list/array. For example, in

[ 42, 78, 13, 13, 57, 42, 57, 78, 13, 98, 42, 33 ]

the elements 13 and 42 are modes.

- The problem:
- Given array A, find a mode.
- Discuss a brute-force approach vs a pre-sorting approach.

# Mode Finding, with Presorting

```
SORT( $A, n$ )  
 $i \leftarrow 0$   
 $maxfreq \leftarrow 0$   
while  $i < n$  do  
     $runlength \leftarrow 1$   
    while  $i + runlength < n$  and  $A[i + runlength] = A[i]$  do  
         $runlength \leftarrow runlength + 1$   
    if  $runlength > maxfreq$  then  
         $maxfreq \leftarrow runlength$   
         $mode \leftarrow A[i]$   
     $i \leftarrow i + runlength$   
return  $mode$ 
```

- Again, after sorting, the rest takes linear time.

# Searching, with Presorting

- The problem:
- Given unsorted array  $A$ , find item  $x$  (or determine that it is absent).
- Compare these two approaches:
  - Perform a sequential search
  - Sort, then perform binary search
- What are the complexities of these approaches?



# Searching, with Presorting

- What if we need to search for  $m$  items?
- Let us do a back-of-the envelope calculation (consider worst-cases for simplicity):
- Take  $n = 1024$  and  $m = 32$ .
- Sequential search:  $m \times n = 32,768$ .
- Sorting + binsearch:  $n \log_2 n + m \times \log_2 n = 10,240 + 320 = 10,560$ .
- Average-case analysis will look somewhat better for sequential search, but pre-sorting will still win.

# Exercise: Finding Anagrams

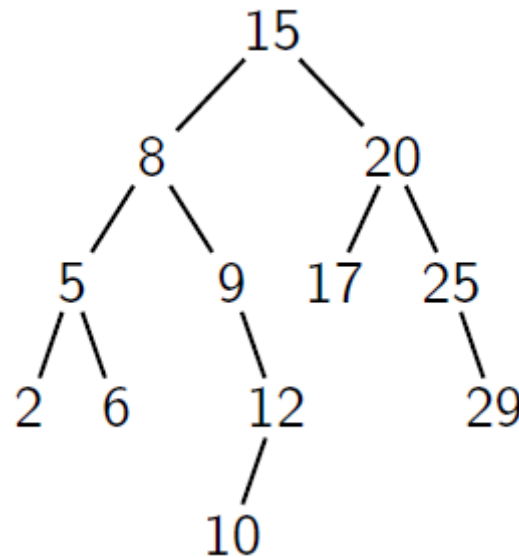
- An **anagram** of a word  $w$  is a word which uses the same letters as  $w$  but in a different order.
- Example: 'ate', 'tea' and 'eat' are anagrams.
- Example: 'post', 'spot', 'pots' and 'tops' are anagrams.
- Example: 'garner' and 'ranger' are anagrams.
- You are given a very long list of words in lexicographic order.
- Devise an algorithm to find all anagrams in the list.

# Binary Search Trees

- A **binary search tree**, or **BST**, is a binary tree that stores elements in all internal nodes, with each sub-tree satisfying the BST property:
- Let the root be  $r$ ; then each element in the left subtree is smaller than  $r$  and each element in the right sub-tree is larger than  $r$ .
- (For simplicity we will assume that all keys are different.)

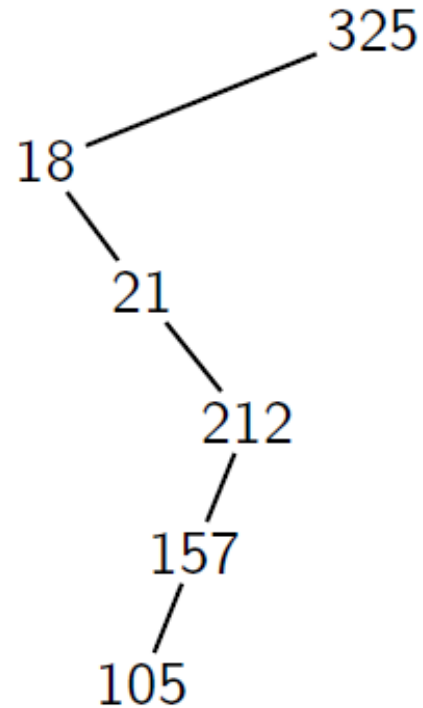
# Binary Search Trees

- BSTs are useful for search applications. To search for  $k$  in a BST, compare against its root  $r$ . If  $r=k$ , we are done; otherwise search in the left or right sub-tree, according as  $k < r$  or  $k > r$ .
- If a BST with  $n$  elements is "reasonably" balanced, search involves, in the worst case,  $\Theta(\log n)$  comparisons.



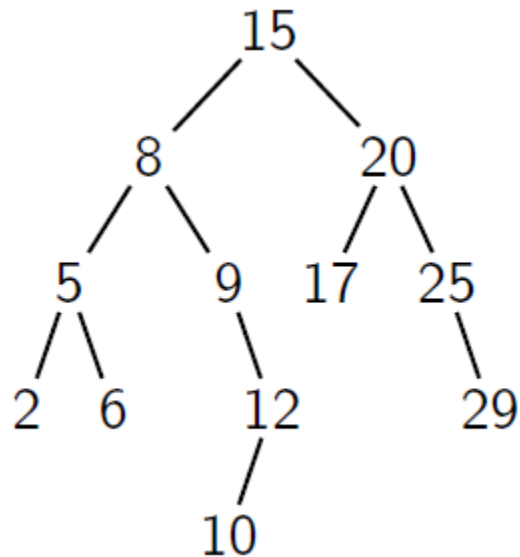
# Binary Search Trees

- If the BST is not well balanced, search performance degrades, and may be as bad as linear search:



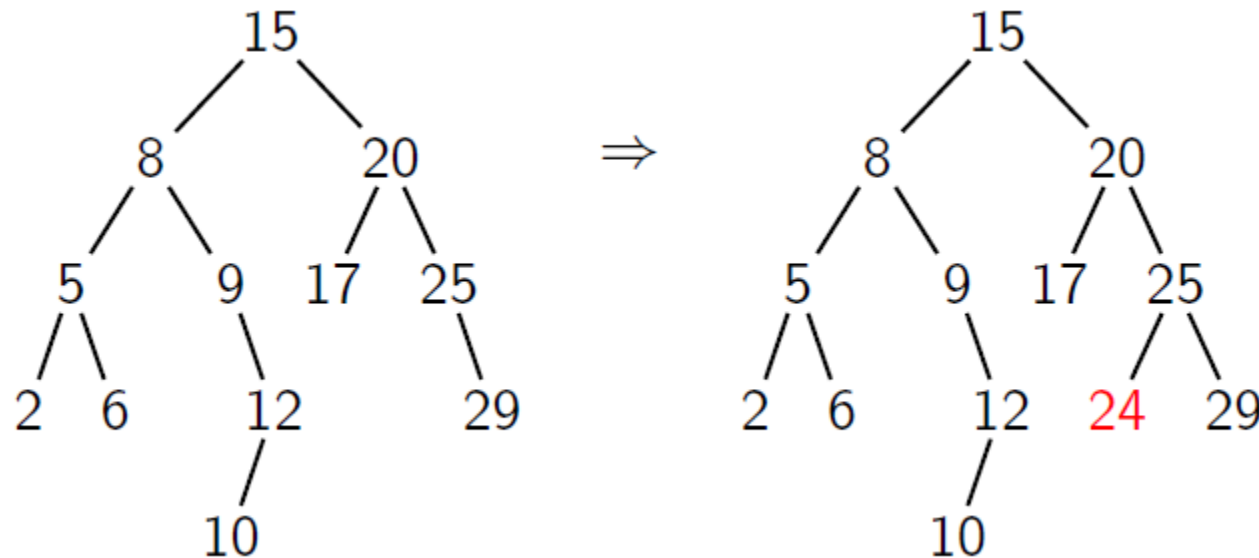
# Insertion in Binary Search Trees

- To insert a new element  $k$  into a BST, we pretend to search for  $k$ .
- When the search has taken us to the fringe of the BST (we find an empty sub-tree), we insert  $k$  where we would expect to find it.
- Where would you insert 24?



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# BST Traversal Quiz

- Performing ..... traversal of a BST will produce its elements in sorted order.



# Next Up: Balancing Binary Search Trees

- To optimise the performance of BST search, it is important to keep trees (reasonably) balanced.
- Next we shall look at **AVL trees** and **2–3 trees**.