



THE UNIVERSITY OF
MELBOURNE

COMP90038

Algorithms and Complexity

Lecture 10: Decrease-and-Conquer-by-a-Factor
(with thanks to Harald Søndergaard)

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@tobycmurray

Decrease-and-Conquer

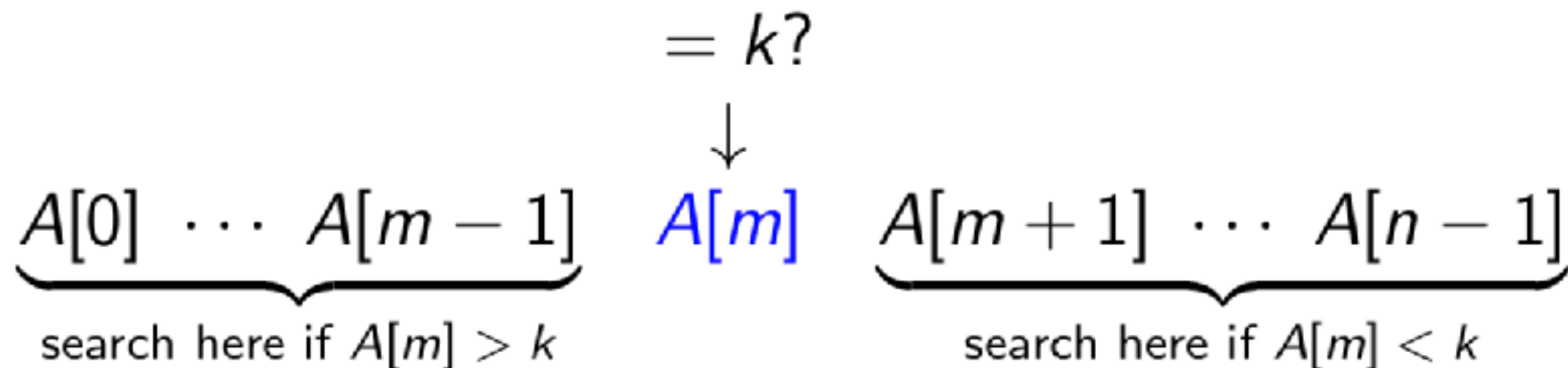
- **Last lecture:** to solve a problem of size n , try to express the solution in terms of a solution to the same problem of size $n-1$.
- A simple example was sorting: To sort an array of length n , just:
 1. sort the first $n - 1$ items, then
 2. locate the cell $A[j]$ that should hold the last item, right-shift all elements to its right, then place the last element in $A[j]$.
- This led to an $O(n^2)$ algorithm called **insertion sort**. We can implement the idea either with recursion or iteration (we chose iteration).

Decrease-and-Conquer by-a-Factor

- We now look at better utilization of the approach, often leading to methods with logarithmic time behaviour or better!
- **Decrease-by-a-constant-factor** is exemplified by binary search.
- **Decrease-by-a-variable-factor** is exemplified by interpolation search.
- Let us look at these and other instances.

Binary Search

- This is a well-known approach for searching for an element k in a sorted array.
- Start by comparing against the array's middle element $A[m]$. If $A[m] = k$ we are done.
- If $A[m] > k$, search the sub-array up to $A[m - 1]$ recursively.
- If $A[m] < k$, search the sub-array from $A[m + 1]$ recursively.



Binary Search

- We have already seen a recursive formulation in Lecture 4. Here is an iterative one.

function BINSEARCH($A[\cdot]$, n , k)

$lo \leftarrow 0$

$hi \leftarrow n - 1$

while $lo \leq hi$ **do**

$m \leftarrow \lfloor (lo + hi) / 2 \rfloor$

if $A[m] = k$ **then**

return m

if $A[m] > k$ **then**

$hi \leftarrow m - 1$

else

$lo \leftarrow m + 1$

return -1

Example:

Binary Search in Sorted Array



```
function BINSEARCH( $A[\cdot]$ ,  $n$ ,  $k$ )
```

```
     $lo \leftarrow 0$ 
```

```
     $hi \leftarrow n - 1$ 
```

```
    while  $lo \leq hi$  do
```

```
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```
        if  $A[m] = k$  then
```

```
            return  $m$ 
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```
        if  $A[m] > k$  then
```

```
             $hi \leftarrow m - 1$ 
```

```
        else
```

```
             $lo \leftarrow m + 1$ 
```

```
    return  $-1$ 
```

k : 41

lo : 0

hi : 6

m : 3

A:

4	9	13	22	41	83	96
0	1	2	3	4	5	6

BinSearch($A, 7, 41$)

Example:

Binary Search in Sorted Array



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function BINSEARCH( $A[\cdot]$ ,  $n$ ,  $k$ )
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Complexity of Binary Search



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- Worst-case input to binary search:
 - When k is not in the array
- In that case, its complexity is given by the following recursive equation:

$$C(n) = \begin{cases} 1 & \text{if } n = 1 \\ C(\lfloor n/2 \rfloor) + 1 & \text{if } n > 1 \end{cases}$$

- A closed form is: $C(n) = \lfloor \log_2 n \rfloor + 1$
- In the worst case, searching for k in an array of size 1,000,000 requires 20 comparisons.
- The average-case time complexity is also $\Theta(\log n)$

Russian Peasant Multiplication



- A way of doing multiplication.

- For even n :

$$n \cdot m = \frac{n}{2} \cdot 2m$$

- For odd n :

$$n \cdot m = \frac{n-1}{2} \cdot 2m + m$$

- Thus, ~halve n repeatedly, until $n = 1$. Add up all odd values of m

n	m	
81	92	92
40	184	
20	368	
10	736	
5	1472	1472
2	2944	
1	5888	5888
		<hr/>
		= 7452

Finding the Median

- Given an array, an important problem is how to find the **median**, that is, an array value which is no larger than half the elements and no smaller than half.

A:

9	23	3	41	22	8	46
0	1	2	3	4	5	6

- More generally, we would like to solve the problem of finding the kth smallest element. (e.g. when $k=3$)

A:

9	23	3	41	22	8	46
0	1	2	3	4	5	6

- If the array is sorted, the solution is straight-forward, so one approach is to start by sorting (as we'll soon see, this can be done in time $O(n \log n)$).

A:

3	8	9	22	23	41	46
0	1	2	3	4	5	6

- However, sorting the array seems like overkill.

A Detour via Partitioning

- Partitioning an array around some pivot element p means reorganizing the array so that all elements to the left of p are no greater than p , while those to the right are no smaller.

A:

9	23	3	41	22	8	46
0	1	2	3	4	5	6

Partitioning around the pivot 9

A:

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Lomuto Partitioning



function LOMUTOPARTITION($A[\cdot]$, lo , hi)

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$s \leftarrow lo$

for $i \leftarrow lo + 1$ **to** hi **do**

if $A[i] < p$ **then**

$s \leftarrow s + 1$

$swap(A[s], A[i])$

$swap(A[lo], A[s])$

return s

lo							hi
9	23	3	41	22	8	46	
0	1	2	3	4	5	6	
s	i						

lo	s		i	hi
p	$< p$		$\geq p$	

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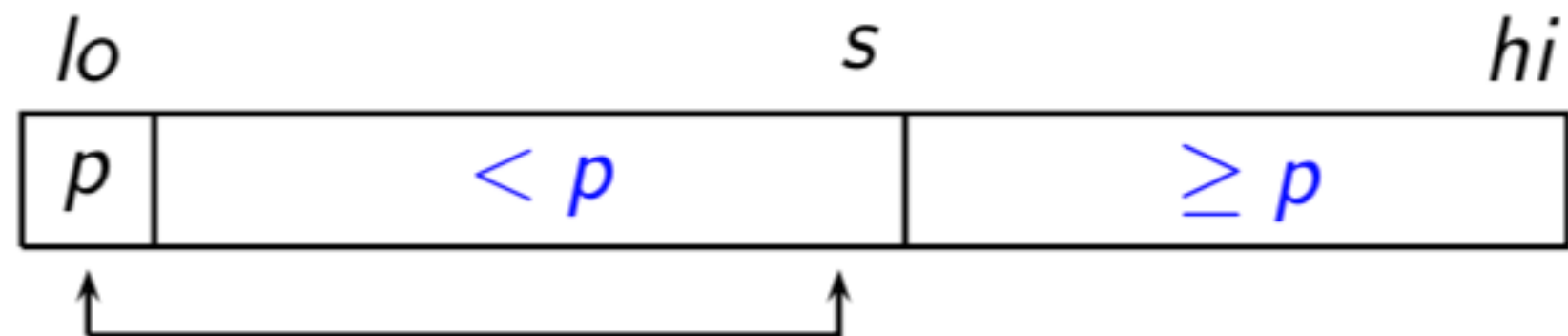
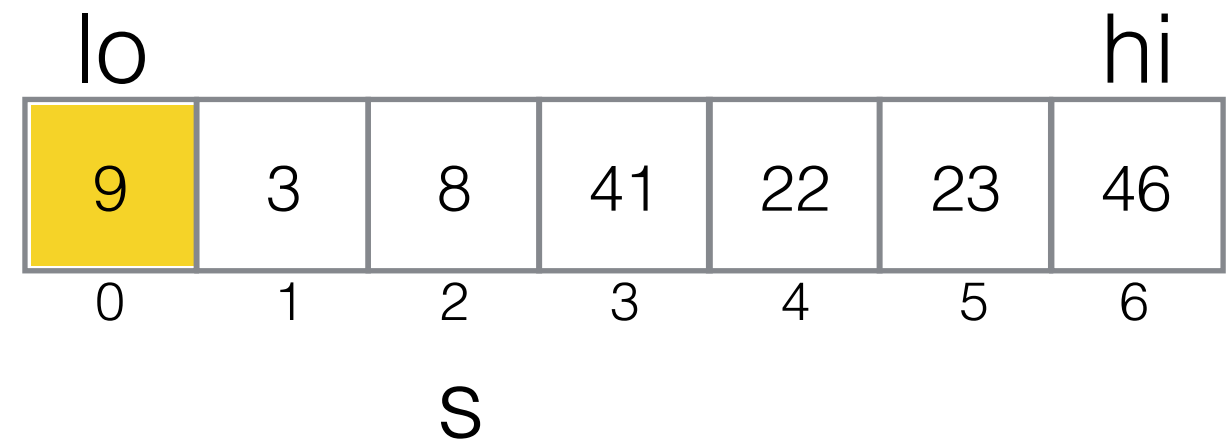
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Lomuto Partitioning



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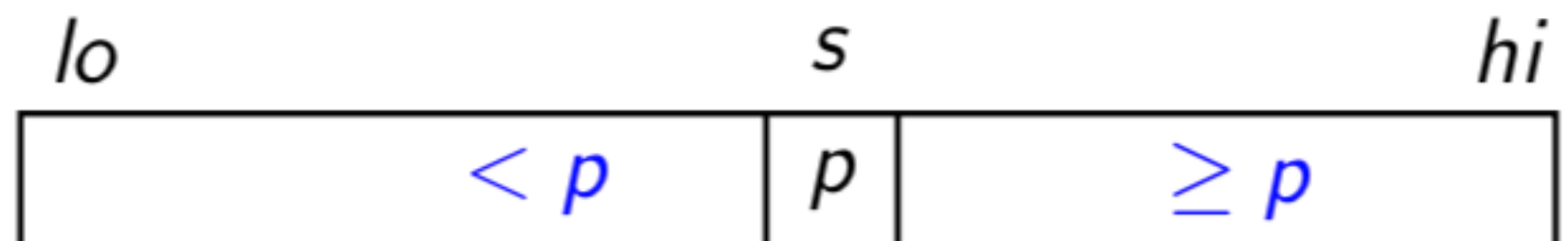
$s \leftarrow s + 1$

$swap(A[s], A[i])$

$swap(A[lo], A[s])$

return s

lo						hi
8	3	9	41	22	23	46
0	1	2	3	4	5	6
		s				



Finding the k th-smallest Element



```
function QUICKSELECT( $A[\cdot]$ ,  $lo$ ,  $hi$ ,  $k$ )  
     $s \leftarrow$  LOMUTOPARTITION( $A$ ,  $lo$ ,  $hi$ )  
    if  $s - lo = k - 1$  then  
        return  $A[s]$   
    else  
        if  $s - lo > k - 1$  then  
            QUICKSELECT( $A$ ,  $lo$ ,  $s - 1$ ,  $k$ )  
        else  
            QUICKSELECT( $A$ ,  $s + 1$ ,  $hi$ ,  $(k - 1) - (s - lo)$ )
```

lo						hi
8	3	9	41	22	23	46
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s						

Example: Find the Sixth Smallest Element



```
function QUICKSELECT( $A[\cdot]$ ,  $lo$ ,  $hi$ ,  $k$ )  
   $s \leftarrow$  LOMUTOPARTITION( $A$ ,  $lo$ ,  $hi$ )  
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    else  
      QUICKSELECT( $A$ ,  $s + 1$ ,  $hi$ ,  $(k - 1) - (s - lo)$ )
```

k : 6

lo							hi
9	23	3	41	22	8	46	
0	1	2	3	4	5	6	

Example: Find the Fifth Smallest Element



```
function QUICKSELECT( $A[\cdot]$ ,  $lo$ ,  $hi$ ,  $k$ )  
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```

$k: 3$

			lo		hi	
8	3	9	41	22	23	46
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Example: Find the Fifth Smallest Element



function LOMUTOPARTITION($A[\cdot]$, lo , hi)

$p \leftarrow A[lo]$

$s \leftarrow lo$

for $i \leftarrow lo + 1$ **to** hi **do**

if $A[i] < p$ **then**

$s \leftarrow s + 1$

$swap(A[s], A[i])$

$swap(A[lo], A[s])$

return s

			lo				hi
8	3	9	41	22	23	46	
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			s	i			

Example: Find the Fifth Smallest Element



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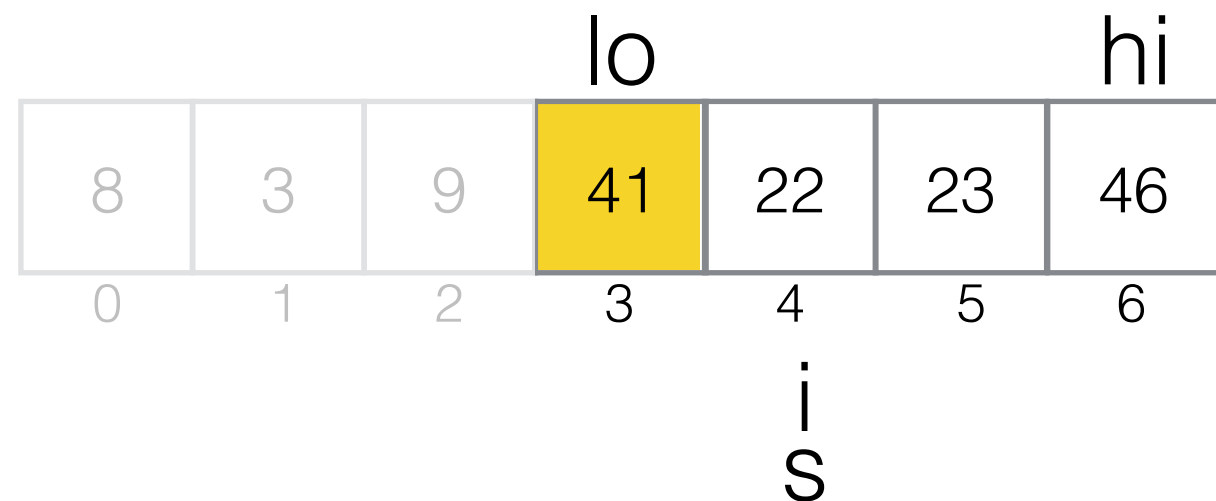
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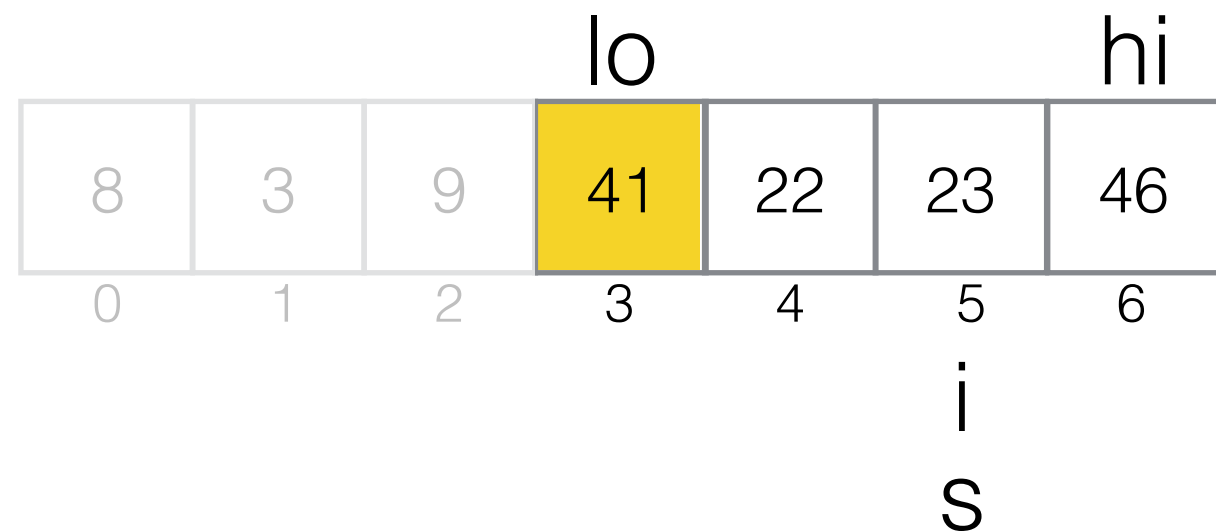
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			lo				hi
8	3	9	41	22	23	46	
0	1	2	3	4	5	6	

S

Example: Find the Fifth Smallest Element



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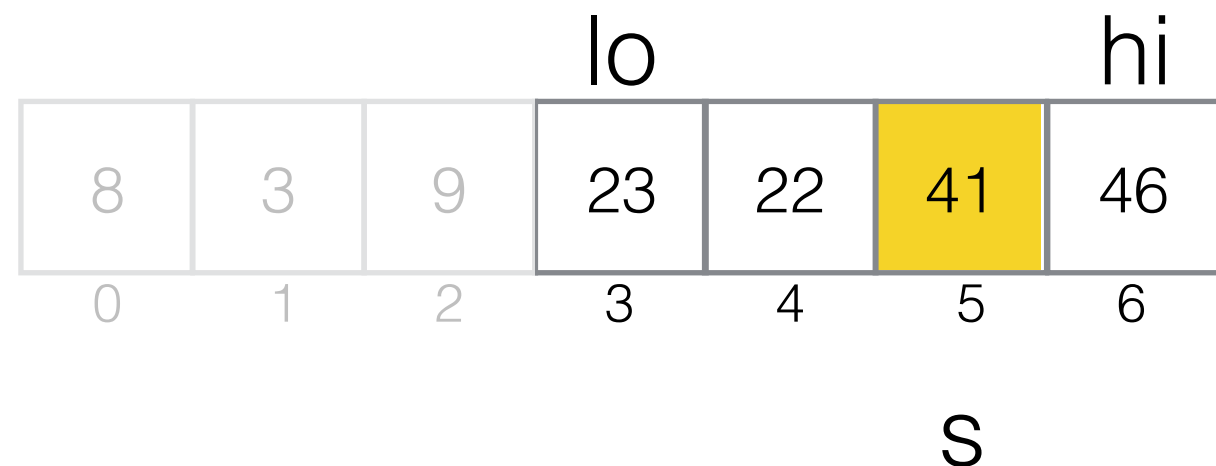
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$k: 3$

returns 41!

			lo			hi
8	3	9	23	22	41	46
0	1	2	3	4	5	6
					s	

QuickSelect Complexity



- **Worst case** complexity for QuickSelect is quadratic,
- **Average-case** complexity is linear.

Interpolation Search

- If the elements of a sorted array are distributed reasonably evenly, we can do better than binary search!
- Think about how you search for an entry in the telephone directory: If you look for ‘Zobel’, you make a rough estimate of where to do the first probe—very close to the end of the directory.
- This is the idea in interpolation search.
- When searching for k in the array segment $A[lo]$ to $A[hi]$, take into account where k is, relative to $A[lo]$ and $A[hi]$.

Interpolation Search

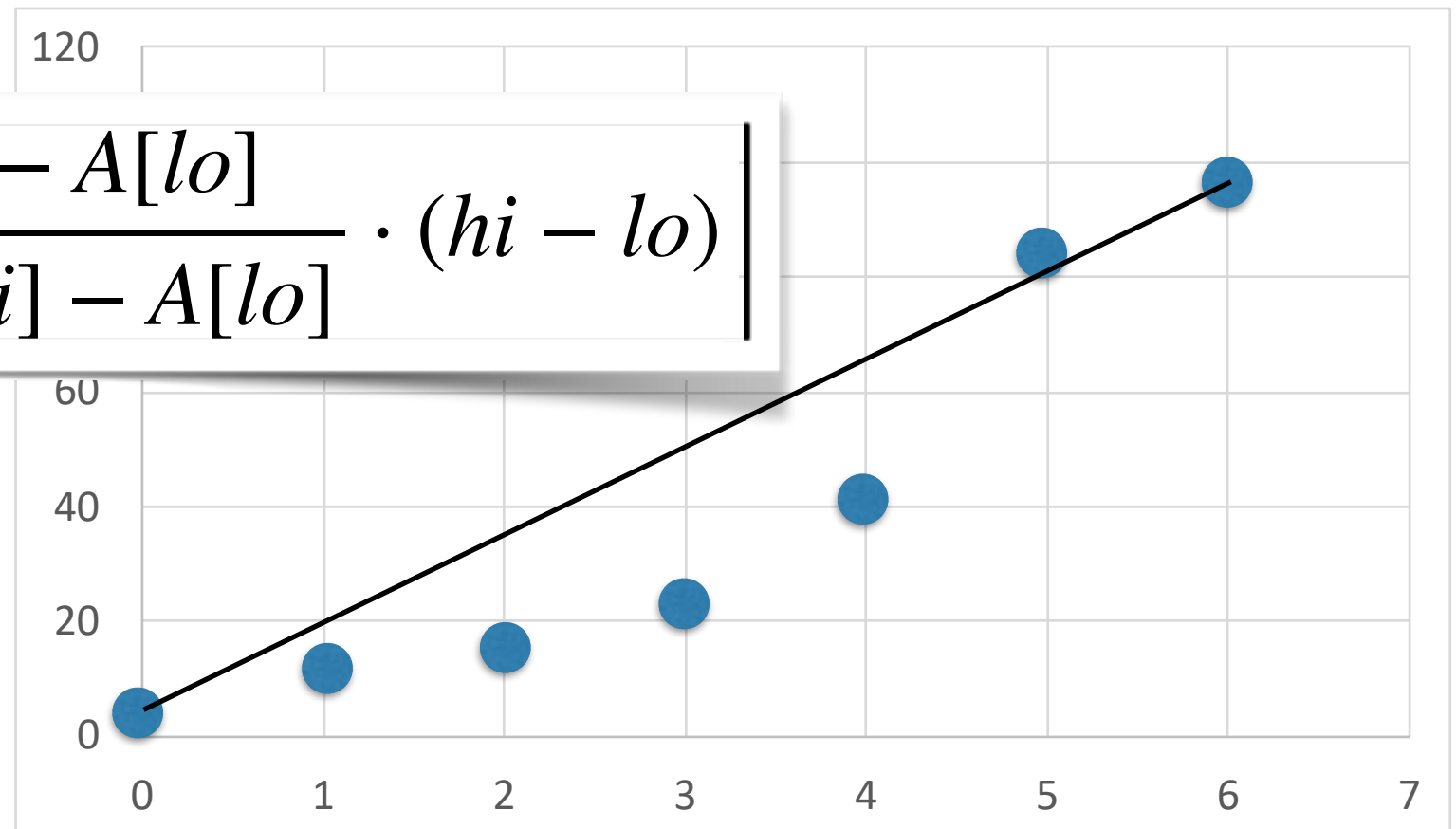


A:

4	9	13	22	41	83	96
0	1	2	3	4	5	6

Suppose we are searching for $k = 83$

$$mid = A[lo] + \left\lfloor \frac{k - A[lo]}{A[hi] - A[lo]} \cdot (hi - lo) \right\rfloor$$



Interpolation Search

- Instead of computing the mid-point m as in binary search:

$$m \leftarrow \lfloor (lo + hi)/2 \rfloor$$

we instead perform linear interpolation between the points $(lo, A[lo])$ and $(hi, A[hi])$. That is, we use:

$$m \leftarrow lo + \left\lfloor \frac{k - A[lo]}{A[hi] - A[lo]} (hi - lo) \right\rfloor$$

- Interpolation search has average complexity $O(\log \log n)$
- It is the right choice for large arrays when elements are **uniformly distributed**

Next Week



- Learn to divide and conquer!
- Read Levitin Chapter 5, but skip 5.4.