

# COMP90038

# Algorithms and Complexity

Lecture 18: Dynamic Programming  
(with thanks to Harald Søndergaard & Michael Kirley)

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# Recap

- **Hashing** is a standard way of implementing the abstract data type “dictionary”, a collection of <attribute name, value> pairs.
- A **key**  $k$  identifies each record, and should map efficiently to a positive integer. The set  $K$  of keys can be unbounded.
- The **hash address** is calculated through a **hash function**  $h(k)$ , which points to a location in a **hash table**.
  - Two different keys could have the same address (a collision).
- The challenges in implementing a **hash table** are:
  - Design a robust hash function
  - Handling of same addresses (collisions) for different key values

# Hash Functions

- The hash function:
  - Must be easy (cheap) to compute.
  - Ideally distribute keys evenly across the hash table.
- Three examples:
  - Integer:  $h(n) = n \bmod m$ .
  - Strings: sum of integers or concatenation of binaries

# Concatenation of binaries

- Assume a binary representation of the 26 characters
  - We need **5 bits** per character (0 to 31)
- Instead of adding, we **concatenate** the binary strings
- Our hash table is of size 101 (***m* is prime**)
- Our key will be 'MYKEY'

char	a	bin(a)
A	0	00000
B	1	00001
C	2	00010
D	3	00011
E	4	00100
F	5	00101
G	6	00110
H	7	00111
I	8	01000

char	a	bin(a)
J	9	01001
K	10	01010
L	11	01011
M	12	01100
N	13	01101
O	14	01110
P	15	01111
Q	16	10000
R	17	10001

char	a	bin(a)
S	18	10010
T	19	10011
U	20	10100
V	21	10101
W	22	10110
X	23	10111
Y	24	11000
Z	25	11001

# Concatenating binaries

	STRING					KEY	KEY mod 101
	M	Y	K	E	Y		
int	12	24	10	4	24		
bin(int)	01100	11000	01010	00100	11000		
Index	4	3	2	1	0		
$32^{\text{index}}$	1048576	32768	1024	32	1		
$a \cdot (32^{\text{index}})$	12582912	786432	10240	128	24	13379736	64

- By concatenating the strings, we are basically multiplying by 32
- We use Horner's rule to calculate the Hash:

$$p(x) = (((((a_3 \boxtimes x) \boxplus a_2) \boxtimes x) \boxplus a_1) \boxtimes x) \boxplus a_0$$

# Handling Collisions

- Two main types:
  - Separate Chaining
    - Compared with **sequential search**, it reduces the number of comparisons by a factor of  $m$
    - Good for dynamic environments
    - Deletion is easy
    - Uses more storage
  - Linear probing
    - Space efficient
    - Worst case performance is poor
    - It may lead to **clusters of contiguous cells** in the table being occupied
    - Deletion is almost impossible

# Double Hashing

- **Double hashing** uses a second hash function  $s$  to determine an **offset** to be used in probing for a free cell.
  - It is used to alleviate the clustering problem in linear probing.
- For example, we may choose  $s(k) = 1 + k \bmod 97$ .
- By this we mean, if  $h(k)$  is occupied, next try  $h(k) + s(k)$ , then  $h(k) + 2s(k)$ , and so on.
- This is another reason why **it is good to have  $m$  being a prime number**. That way, using  $h(k)$  as the offset, we will eventually find a free cell if there is one.

# Rehashing

- The standard approach to avoiding performance deterioration in hashing is to keep track of the load factor and to **rehash** when it reaches, say, 0.9.
- Rehashing means allocating a larger hash table (typically about twice the current size), revisiting each item, calculating its hash address in the new table, and inserting it.
- This **“stop-the-world”** operation will introduce long delays at unpredictable times, but it will happen relatively infrequently.



# An exam question type

- With the hash function  $h(k) = k \bmod 7$ . Draw the hash table that results after inserting in the given order, the following values

[19 26 13 48 17]

- When collisions are handled by:
  - separate chaining
  - linear probing
  - double hashing using  $h'(k) = 5 - (k \bmod 5)$
- Which are the hash addresses?

# Solution

Index	0	1	2	3	4	5	6
Separate Chaining							
Linear Probing							
Double Hashing							

# Rabin-Karp String Search

- The Rabin-Karp string search algorithm is based on string hashing.
- To search for a string  $p$  (of length  $m$ ) in a larger string  $s$ , we can calculate  $hash(p)$  and then check every substring  $s_i \dots s_{i+m-1}$  to see if it has the same hash value. Of course, if it has, the strings may still be different; so we need to compare them in the usual way.
- If  $p = s_i \dots s_{i+m-1}$  then the hash values are the same; otherwise the values are **almost certainly** going to be different.
- Since false positives will be so rare, the  $O(m)$  time it takes to actually compare the strings can be ignored.

# Rabin-Karp String Search

- Repeatedly hashing strings of length  $m$  seems like a bad idea. However, the hash values can be calculated **incrementally**. The hash value of the length- $m$  substring of  $s$  that starts at position  $j$  is:

$$\text{hash}(s, j) = \sum_{i=0}^{m-1} \text{chr}(s_{j+i}) \times a^{m-i-1}$$

- where  $a$  is the alphabet size. From that we can get the next hash value, for the substring that starts at position  $j+1$ , **quite cheaply**:

$$\text{hash}(s, j + 1) = (\text{hash}(s, j) - a^{m-1} \text{chr}(s_j)) \times a + \text{chr}(s_{j+m})$$

- modulo  $m$ . Effectively we just subtract the contribution of  $s_j$  and add the contribution of  $s_{j+m}$ , for the cost of two multiplications, one addition and one subtraction.

# An example

- The data '31415926535'
- The hash function  $h(k) = k \bmod 11$
- The pattern '26'

STRING	3	1	4	1	5	9	2	6	5	3	5
31 MOD 11		9									
14 MOD 11			3								
41 MOD 11				8							
15 MOD 11					4						
59 MOD 11						4					
92 MOD 11							4				
26 MOD 11								4			

# Why Not Always Use Hashing?

- Some drawbacks:
  - If an application calls for traversal of all items in sorted order, a hash table is no good.
  - Also, unless we use separate chaining, deletion is virtually impossible.
  - It may be hard to predict the volume of data, and rehashing is an expensive “stop-the-world” operation.

# When to Use Hashing?

- All sorts of information retrieval applications involving thousands to millions of keys.
- Typical example: Symbol tables used by compilers. The compiler hashes all (variable, function, etc.) names and stores information related to each – no deletion in this case.
- When hashing is applicable, it is usually superior; a well-tuned hash table will outperform its competitors.
- **Unless** you let the load factor get too high, or you botch up the hash function. It is a good idea to print statistics to check that the function really does spread keys uniformly across the hash table.

# Dynamic programming

- **Dynamic programming** is a bottom-up problem solving technique. The idea is to divide the problem into smaller, overlapping ones. The results are tabulated and used to find the complete solution.
- An example is the approach that used tabulated results to find the Fibonacci numbers:

```
function FIB(n)  
  if n = 0 or n = 1 then  
    return 1  
  result  $\leftarrow$  F[n]  
  if result = 0 then  
    result  $\leftarrow$  FIB(n - 1) + FIB(n - 2)  
    F[n]  $\leftarrow$  result  
  return result
```

- Note that:
  - *F*[0...*n*] is an array that stores partial results, initialized to zero
  - If *F*[*n*]=0, then this partial result has not been calculated, hence the recursion is calculated
  - If *F*[*n*] $\neq$ 0, then this value is used.



# Dynamic Programming and Optimization

- Dynamic programming is often used on **Optimization** problems.
  - The objective is to find the solution with the lowest cost or highest profit.
- For dynamic programming to be useful, the **optimality principle** must be true:
  - An optimal solution to a problem is composed of optimal solutions to its subproblems.
- While not always true, this principle holds more often than not.

# The coin row problem

- You are shown a group of coins of different denominations ordered in a row.
- **You can keep some of them**, as long as you do not pick two adjacent ones.
  - Your objective is to **maximize your profit** , i.e., you want to take the largest amount of money.
- This type of problems are called **combinatorial**, as we are trying to find the **best** possible **combination** subject to some **constraints**

# The coin row problem

- Let's visualize the problem. Our coins are [20 10 20 50 20 10 20]



# The coin row problem

- We cannot take these two.
  - It does not fulfil our constraint (We cannot pick adjacent coins)



# The coin row problem

- We could take all the 20s (Total of 80).
  - Is that the maximum profit? Is this a greedy solution?



# The coin row problem

- Can we think of a **recursion** that help us solve this problem?
- If instead of a row of seven coins we only had one coin
  - We have only one choice.
- What about if we had a row of two?
  - We either pick the first or second coin.



# The coin row problem

- If we have a row of three, we can pick the middle coin or the two in the sides. Which one is the optimal?



# The coin row problem

- If we had a row of four, there are sixteen combinations
- For simplicity, I will represent these combinations as binary strings:
  - '0' = leave the coin
  - '1' = pick the coin
- Eight of them are not valid (in optimization lingo **unfeasible**), one has the worst profit (0)
- Picking one coin will always lead to lower profit (in optimization lingo **suboptimal**)

0	0000	PICK NOTHING (NO PROFIT)
1	0001	SUBOPTIMAL
2	0010	SUBOPTIMAL
3	0011	UNFEASIBLE
4	0100	SUBOPTIMAL
5	0101	
6	0110	UNFEASIBLE
7	0111	UNFEASIBLE
8	1000	SUBOPTIMAL
9	1001	
10	1010	
11	1011	UNFEASIBLE
12	1100	UNFEASIBLE
13	1101	UNFEASIBLE
14	1110	UNFEASIBLE
15	1111	UNFEASIBLE



# The coin row problem

- Let's give the coins their values  $[c_1 \ c_2 \ c_3 \ c_4]$ , and focus on the **feasible** combinations:
  - Our choice is to pick two coins  $[c_1 \ 0 \ c_3 \ 0]$   $[0 \ c_2 \ 0 \ c_4]$   $[c_1 \ 0 \ 0 \ c_4]$
- If the coins arrived in sequence, by the time that we reach  $c_4$ , the best that we can do is either:
  - Take a solution at step 3  $[c_1 \ 0 \ c_3 \ 0]$
  - Add to one of the solutions at step 2 the new coin:  $[0 \ c_2 \ 0 \ c_4]$   $[c_1 \ 0 \ 0 \ c_4]$
- Generally, we can express this as the recurrence:

$$S(n) = \max(c_n + S(n-2), S(n-1)) \text{ for } n > 1$$

$$S(1) = c_1$$

$$S(0) = 0$$

# The coin row problem

- Given that we have to backtrack to  $S(0)$  and  $S(1)$ , we store these results in an array.
- Then the algorithm is:

```
function COINROW( $C[\cdot]$ ,  $n$ )  
     $S[0] \leftarrow 0$   
     $S[1] \leftarrow C[1]$   
    for  $i \leftarrow 2$  to  $n$  do  
         $S[i] \leftarrow \max(S[i - 1], S[i - 2] + C[i])$   
    return  $S[n]$ 
```

# The coin row problem

- Lets run our algorithm in the example. Step 0.



- $S[0] = 0$ .

# The coin row problem

- Step 1



- $S[1] = 20$

# The coin row problem

- Step 2



- $S[3] = \max(S[1] = 20, S[0] + 10 = 0 + 10) = 20$

# The coin row problem

- Step 3



- $S[3] = \max(S[2] = 20, S[1] + 20 = 20 + 20 = 40) = 40$

# The coin row problem

- Step 4



- $S[4] = \max(S[3] = 40, S[2] + 50 = 20 + 50 = 70) = 70$

# The coin row problem

- At step 5, we can pick between:
  - $S[4] = 70$
  - $S[3] + 20 = 60$
- At step 6, we can pick between:
  - $S[5] = 70$
  - $S[4] + 10 = 80$
- At step 7, we can pick between:
  - $S[6] = 80$
  - $S[5] + 20 = 90$

		1	2	3	4	5	6	7
	0	20	10	20	50	20	10	20
STEP 0	0							
STEP 1	0	20						
STEP 2	0	20	20					
STEP 3	0	20	20	40				
STEP 4	0	20	20	40	70			
STEP 5	0	20	20	40	70	70		
STEP 6	0	20	20	40	70	70	80	
STEP 7	0	20	20	40	70	70	80	90

		1	1	1	1	1	1	1
SOLUTION				3	4	4	4	4
							6	7

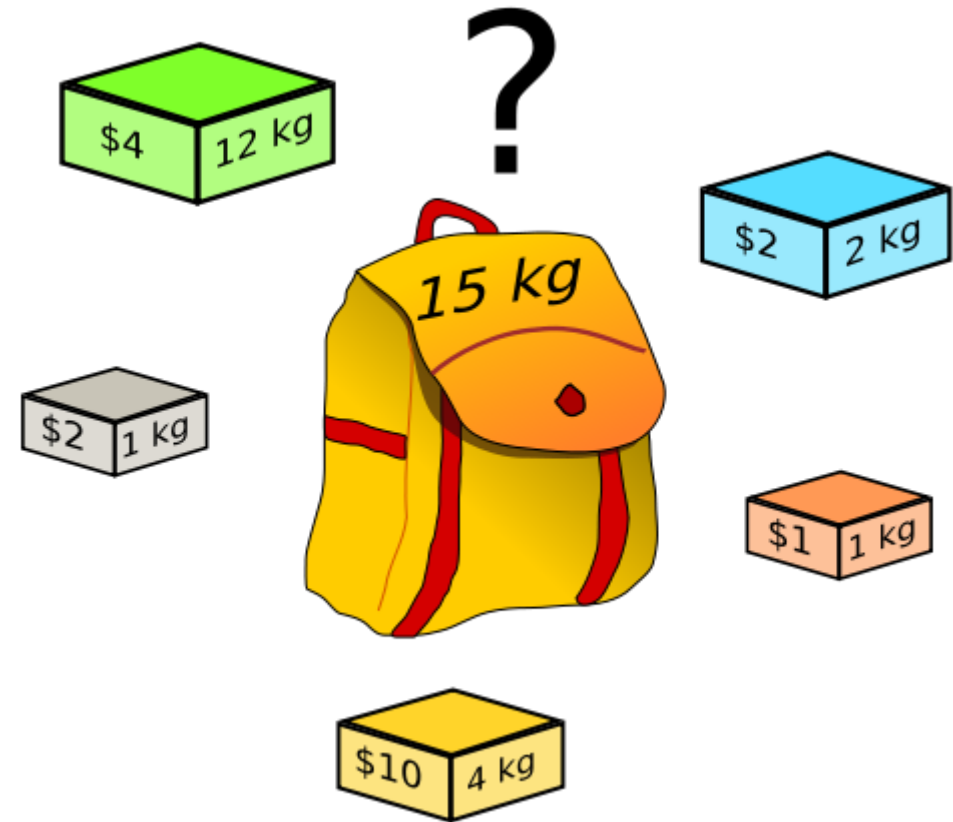


# Two insights

- In a sense, dynamic programming allows us to take a step back, such that we pick the best solution **considering newly arrived information**.
- If we used a brute-force approach such as **exhaustive search** for this problem:
  - We had to test 33 feasible combinations.
  - Instead we tested 5 combinations.

# The knapsack problem

- You previously encountered the **knapsack problem**:
- Given a list of  $n$  items with:
  - Weights  $\{w_1, w_2, \dots, w_n\}$
  - Values  $\{v_1, v_2, \dots, v_n\}$
- and a knapsack (container) of capacity  $W$
- Find the **combination** of items with the **highest value** that would **fit into the knapsack**
- All values are positive integers



# The knapsack problem

- This is another combinatorial optimization problem:
  - In both the coin row and knapsack problems, we are **maximizing profit**
  - Unlike the coin row problem which had **one variable** <coin value>, we now have **two variables** <item weight, item value>

# The knapsack problem

- The critical step is to find a good answer to the question **what is the sub-problem?**
- Given that we have **two variables**, the recurrence relation is formulated over **two parameters**:
  - the **sequence of items considered so far**  $\{1, 2, \dots i\}$ , and
  - the **remaining capacity**  $w \leq W$ .
- Let  $K(i, w)$  be the value of the best choice of items amongst the first  $i$  using knapsack capacity  $w$ .
- Then we are after  $K(n, W)$ .

# The knapsack problem

- By focusing on  $K(i, w)$  we can express a recursive solution.
- Once a new item  $i$  arrives, we can either pick it or not.
  - **Excluding  $i$**  means that the solution is  $K(i-1, w)$ , that is, which items were selected before  $i$  arrived with the same knapsack capacity.
  - **Including  $i$**  means that the solution also includes the subset of previous items **that will fit into a bag of capacity  $w - w_i \geq 0$** , i.e.,  $K(i-1, w - w_i) + v_i$ .

# The knapsack problem

- Let us express this as a recursive function.
- First the base **state**:

$$K(i, w) = 0 \text{ if } i = 0 \text{ or } w = 0$$

- Otherwise:

$$K(i, w) = \begin{cases} \max(K(i-1, w), K(i-1, w - w_i) + v_i) & \text{if } w \geq w_i \\ K(i-1, w) & \text{if } w < w_i \end{cases}$$

# The knapsack problem

- That gives a correct, although inefficient, algorithm for the problem.
- For a bottom-up solution we need to write the code that systematically fills a **two-dimensional table** of  $n+1$  rows and  $W+1$  columns.
- The algorithm has both time and space complexity of  $O(nW)$

```
for  $i \leftarrow 0$  to  $n$  do
     $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
     $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
    for  $j \leftarrow 1$  to  $W$  do
        if  $j < w_i$  then
             $K[i, j] \leftarrow K[i - 1, j]$ 
        else
             $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
```

# The knapsack problem

- Lets look at the algorithm, step-by-step
- The data is:
  - The knapsack capacity  $W = 8$
  - The values are  $\{42, 12, 40, 25\}$
  - The weights are  $\{7, 3, 4, 5\}$



# The knapsack problem

- On the first **for loop**:

```
for  $i \leftarrow 0$  to  $n$  do
```

```
   $K[i, 0] \leftarrow 0$ 
```

```
for  $j \leftarrow 1$  to  $W$  do
```

```
   $K[0, j] \leftarrow 0$ 
```

```
for  $i \leftarrow 1$  to  $n$  do
```

```
  for  $j \leftarrow 1$  to  $W$  do
```

```
    if  $j < w_i$  then
```

```
       $K[i, j] \leftarrow K[i - 1, j]$ 
```

```
    else
```

```
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
```

```
return  $K[n, W]$ 
```

			j	0	1	2	3	4	5	6	7	8
v	w	i										
		0		0								
42	7	1		0								
12	3	2		0								
40	4	3		0								
25	5	4		0								

# The knapsack problem

- On the second **for loop**:

```
for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
  for  $j \leftarrow 1$  to  $W$  do
     $K[0, j] \leftarrow 0$ 
  for  $i \leftarrow 1$  to  $n$  do
    for  $j \leftarrow 1$  to  $W$  do
      if  $j < w_i$  then
         $K[i, j] \leftarrow K[i - 1, j]$ 
      else
         $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
```

			j	0	1	2	3	4	5	6	7	8
v	w	i										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0								
12	3	2		0								
40	4	3		0								
25	5	4		0								

# The knapsack problem

- Now we advance row by row:

```
for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
  for  $j \leftarrow 1$  to  $W$  do
     $K[0, j] \leftarrow 0$ 
  for  $i \leftarrow 1$  to  $n$  do
    for  $j \leftarrow 1$  to  $W$  do
      if  $j < w_i$  then
         $K[i, j] \leftarrow K[i - 1, j]$ 
      else
         $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
```

			j	0	1	2	3	4	5	6	7	8
v	w	i										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0								
12	3	2		0								
40	4	3		0								
25	5	4		0								

# The knapsack problem

- Is the current capacity ( $j=1$ ) sufficient?

```
for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
```

			j	0	1	2	3	4	5	6	7	8
v	w	i										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0	?							
12	3	2		0								
40	4	3		0								
25	5	4		0								

# The knapsack problem

- We won't have enough capacity until  $j=7$

```

for  $i \leftarrow 0$  to  $n$  do
     $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
     $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
    for  $j \leftarrow 1$  to  $W$  do
        if  $j < w_i$  then
             $K[i, j] \leftarrow K[i - 1, j]$ 
        else
             $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 

```

			j	0	1	2	3	4	5	6	7	8
v	w	i										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0	0	0	0	0	0	0	42	42
12	3	2		0								
40	4	3		0								
25	5	4		0								

- $i = 1$
- $j = 7$
- $K[1-1, 7] = K[0, 7] = 0$
- $K[1-1, 7-7] + 42 = K[0, 0] + 42 = 0 + 42 = 42$

# The knapsack problem

- Next row. We won't have enough capacity until  $j=3$

```

for  $i \leftarrow 0$  to  $n$  do
   $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
   $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
  for  $j \leftarrow 1$  to  $W$  do
    if  $j < w_i$  then
       $K[i, j] \leftarrow K[i - 1, j]$ 
    else
       $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 

```

			j	0	1	2	3	4	5	6	7	8
v	w	i										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0	0	0	0	0	0	0	42	42
12	3	2		0	0	0	12					
40	4	3		0								
25	5	4		0								

- $i = 2$
- $j = 3$
- $K[2-1,3] = K[1,3] = 0$
- $K[2-1,3-3] + 12 = K[1,0] + 12 = 0 + 12 = 12$

# The knapsack problem

- But at  $j=7$ , it is better to pick 42

```

for  $i \leftarrow 0$  to  $n$  do
     $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
     $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
    for  $j \leftarrow 1$  to  $W$  do
        if  $j < w_i$  then
             $K[i, j] \leftarrow K[i - 1, j]$ 
        else
             $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
    
```

			j	0	1	2	3	4	5	6	7	8
v	w	i										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0	0	0	0	0	0	0	42	42
12	3	2		0	0	0	12	12	12	12	42	
40	4	3		0								
25	5	4		0								

- $i = 2$
- $j = 7$
- $K[2-1, 7] = K[1, 7] = 42$
- $K[2-1, 7-3] + 12 = K[1, 4] + 12 = 0 + 12 = 12$

# The knapsack problem

- Next row: at  $j=4$ , it is better to pick 40

```

for  $i \leftarrow 0$  to  $n$  do
     $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
     $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
    for  $j \leftarrow 1$  to  $W$  do
        if  $j < w_i$  then
             $K[i, j] \leftarrow K[i - 1, j]$ 
        else
             $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
    
```

			j	0	1	2	3	4	5	6	7	8
v	w	i										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0	0	0	0	0	0	0	42	42
12	3	2		0	0	0	12	12	12	12	42	42
40	4	3		0	0	0	12	40				
25	5	4		0								

- $i = 3$
- $j = 4$
- $K[3-1,4] = K[2,4] = 12$
- $K[3-1,4-4] + 40 = K[2,0] + 40 = 0 + 40 = 40$



# The knapsack problem

- What would happen at  $j=7$ ?
- Can you complete the table?

```

for  $i \leftarrow 0$  to  $n$  do
     $K[i, 0] \leftarrow 0$ 
for  $j \leftarrow 1$  to  $W$  do
     $K[0, j] \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
    for  $j \leftarrow 1$  to  $W$  do
        if  $j < w_i$  then
             $K[i, j] \leftarrow K[i - 1, j]$ 
        else
             $K[i, j] \leftarrow \max(K[i - 1, j], K[i - 1, j - w_i] + v_i)$ 
return  $K[n, W]$ 
    
```

			$j$	0	1	2	3	4	5	6	7	8
$v$	$w$	$i$										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0	0	0	0	0	0	0	42	42
12	3	2		0	0	0	12	12	12	12	42	42
40	4	3		0	0	0	12	40	40	40		
25	5	4		0								

# Solving the Knapsack Problem with Memoing

- To some extent the bottom-up (table-filling) solution is overkill:
  - It finds the solution to **every conceivable sub-instance**, most of which are unnecessary
- In this situation, a top-down approach, with **memoing**, is preferable.
  - There are many implementations of the memo table.
  - We will examine a simple array type implementation.

# The knapsack problem

- Lets look at this algorithm, step-by-step
- The data is:
  - The knapsack capacity  $W = 8$
  - The values are  $\{42, 12, 40, 25\}$
  - The weights are  $\{7, 3, 4, 5\}$
- $F$  is initialized to all -1, with the exceptions of  $i=0$  and  $j=0$ , which are initialized to 0.

```
function MFKNAP( $i, j$ )  
    if  $i < 1$  or  $j < 1$  then  
        return 0  
    if  $F(i, j) < 0$  then  
        if  $j < w(i)$  then  
            value = MFKNAP( $i - 1, j$ )  
        else  
            value = max(MFKNAP( $i - 1, j$ ),  $v(i) + \text{MFKNAP}(i - 1, j - w(i))$ )  
         $F(i, j) = \text{value}$   
    return  $F(i, j)$ 
```

# The knapsack problem

- We start with  $i=4$  and  $j=8$

```

function MFKNAP( $i, j$ )
  if  $i < 1$  or  $j < 1$  then
    return 0
  if  $F(i, j) < 0$  then
    if  $j < w(i)$  then
      value = MFKNAP( $i - 1, j$ )
    else
      value = max(MFKNAP( $i - 1, j$ ),  $v(i) + \text{MFKNAP}(i - 1, j - w(i))$ )
       $F(i, j) = \text{value}$ 
  return  $F(i, j)$ 
  
```

			$j$	0	1	2	3	4	5	6	7	8
$v$	$w$	$i$										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0	-1	-1	-1	-1	-1	-1	-1	-1
12	3	2		0	-1	-1	-1	-1	-1	-1	-1	-1
40	4	3		0	-1	-1	-1	-1	-1	-1	-1	-1
25	5	4		0	-1	-1	-1	-1	-1	-1	-1	-1

- $i = 4$
- $j = 8$
- $K[4-1, 8] = K[3, 8]$
- $K[4-1, 8-5] + 25 = K[3, 3] + 25$

# The knapsack problem

- Next is  $i=3$  and  $j=8$

```

function MFKNAP( $i, j$ )
  if  $i < 1$  or  $j < 1$  then
    return 0
  if  $F(i, j) < 0$  then
    if  $j < w(i)$  then
      value = MFKNAP( $i - 1, j$ )
    else
      value = max(MFKNAP( $i - 1, j$ ),  $v(i) + \text{MFKNAP}(i - 1, j - w(i))$ )
       $F(i, j) = \text{value}$ 
  return  $F(i, j)$ 
  
```

			$j$	0	1	2	3	4	5	6	7	8
$v$	$w$	$i$										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0	-1	-1	-1	-1	-1	-1	-1	-1
12	3	2		0	-1	-1	-1	-1	-1	-1	-1	-1
40	4	3		0	-1	-1	-1	-1	-1	-1	-1	-1
25	5	4		0	-1	-1	-1	-1	-1	-1	-1	-1

- $i = 3$
- $j = 8$
- $K[3-1, 8] = K[2, 8]$
- $K[3-1, 8-4] + 40 = K[2, 4] + 40$

# The knapsack problem

- Next is  $i=2$  and  $j=8$

```

function MFKNAP( $i, j$ )
  if  $i < 1$  or  $j < 1$  then
    return 0
  if  $F(i, j) < 0$  then
    if  $j < w(i)$  then
      value = MFKNAP( $i - 1, j$ )
    else
      value = max(MFKNAP( $i - 1, j$ ),  $v(i) + \text{MFKNAP}(i - 1, j - w(i))$ )
       $F(i, j) = \text{value}$ 
  return  $F(i, j)$ 
  
```

			$j$	0	1	2	3	4	5	6	7	8
$v$	$w$	$i$										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0	-1	-1	-1	-1	-1	-1	-1	-1
12	3	2		0	-1	-1	-1	-1	-1	-1	-1	-1
40	4	3		0	-1	-1	-1	-1	-1	-1	-1	-1
25	5	4		0	-1	-1	-1	-1	-1	-1	-1	-1

- $i = 2$
- $j = 8$
- $K[2-1, 8] = K[1, 8]$
- $K[2-1, 8-3] + 12 = K[1, 5] + 12$

# The knapsack problem

- Next is  $i=1$  and  $j=8$
- Here we reach the bottom of this recursion

```

function MFKNAP( $i, j$ )
  if  $i < 1$  or  $j < 1$  then
    return 0
  if  $F(i, j) < 0$  then
    if  $j < w(i)$  then
      value = MFKNAP( $i - 1, j$ )
    else
      value = max(MFKNAP( $i - 1, j$ ),  $v(i) + \text{MFKNAP}(i - 1, j - w(i))$ )
       $F(i, j) = \text{value}$ 
  return  $F(i, j)$ 
  
```

			$j$	0	1	2	3	4	5	6	7	8
$v$	$w$	$i$										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0	-1	-1	-1	-1	-1	-1	-1	42
12	3	2		0	-1	-1	-1	-1	-1	-1	-1	-1
40	4	3		0	-1	-1	-1	-1	-1	-1	-1	-1
25	5	4		0	-1	-1	-1	-1	-1	-1	-1	-1

- $i = 1$
- $j = 8$
- $K[1-1, 8] = K[0, 8] = 0$
- $K[1-1, 8-7] + 42 = K[0, 1] + 42 = 0 + 42 = 42$

# The knapsack problem

- Next is  $i=1$  and  $j=5$ .
- As before, we also reach the bottom of this branch.

```

function MFKNAP( $i, j$ )
  if  $i < 1$  or  $j < 1$  then
    return 0
  if  $F(i, j) < 0$  then
    if  $j < w(i)$  then
      value = MFKNAP( $i - 1, j$ )
    else
      value = max(MFKNAP( $i - 1, j$ ),  $v(i) + \text{MFKNAP}(i - 1, j - w(i))$ )
       $F(i, j) = \text{value}$ 
  return  $F(i, j)$ 
  
```

			$j$	0	1	2	3	4	5	6	7	8
$v$	$w$	$i$										
		0		0	0	0	0	0	0	0	0	0
42	7	1		0	-1	-1	-1	-1	0	-1	-1	42
12	3	2		0	-1	-1	-1	-1	-1	-1	-1	-1
40	4	3		0	-1	-1	-1	-1	-1	-1	-1	-1
25	5	4		0	-1	-1	-1	-1	-1	-1	-1	-1

- $i = 1$
- $j = 5$
- $K[1-1, 5] = K[0, 5] = 0$
- $j - w[1] = 5 - 8 < 0 \rightarrow \text{return } 0$



# The knapsack problem

- We can trace the complete algorithm, until we find our solution.
- The states visited (18) are shown in the table.
  - Unlike the bottom-up approach, in which we visited all the states (40).
- Given that there are a lot of places in the table never used, the algorithm is less space-efficient.
  - You may use a hash table to improve space efficiency.

i	j	value
0	8	0
0	1	0
1	8	42
0	5	0
1	5	0
2	8	42
0	4	0
1	4	0
0	1	0
1	1	0
2	4	12
3	8	52
0	3	0
1	3	0
1	0	0
2	3	12
3	3	12
4	8	52

# Next lecture

- We apply dynamic programming to two graph problems (transitive closure and all-pairs shortest-paths); the resulting algorithms are known as **Warshall's** and **Floyd's**.