

# EXISTENTIAL CLOSURE OF SOME TOPOLOGICAL EXPONENTIAL DIFFERENTIAL FIELDS

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Question: Does  $Th(\mathbb{R}, <, exp, D)$ , the theory of the real ordered field with exponentiation and derivation, have a model-completion? We answer in a more general setting, by axiomatising the model-completion of some topological exponential differential fields of characteristic 0, as it encompasses the  $p$ -adics case too.

## SETTING and AIMS

### Setting

Let  $(K, \mathcal{V})$  be a topological field, where  $K$  is a field of characteristic 0 with eventually extra structure and  $\mathcal{V}$  is a base of neighborhoods of 0, and let  $(K, D, \mathcal{V})$  be a generic differential expansion. (see [T] for the field language case, and [GP], [So] for the case where relations are added in the language) We consider the case where  $K$  is also endowed with a partial exponential map:

Let  $R$  be a commutative unitary characteristic 0 ring. Let  $\mathcal{U}(R)$  denote the group of units of  $(R^*, \cdots, 1)$ . Let  $E$  be a group morphism from  $(R, +, 0)$  to  $(\mathcal{U}(R), \cdot, 1)$ . Then  $(R, E)$  is called an **exponential ring**.  
**Examples:**  $[W]$   $(\mathbb{R}, exp)$ ,  $[NM]$   $(\mathbb{Z}_p, E_p)$ , where  $exp(x) := \sum_{n=0}^{\infty} \frac{x^n}{n!}$  and  $E_p := exp(px), p \neq 2$ .

We first define **TFES** to be topological field  $K$  of characteristic 0 with a base of neighborhoods of 0  $\mathcal{V}$  and an exponential subring  $(R, E)$  on which  $E$  is continuous.

We then define **TDFES** to be  $TFES (K, R, E, \mathcal{V})$  equipped with an exponential derivation  $D$ , i.e. a derivation on  $K$  s.t.  $\forall x \in R, D(E(x)) = E(x).D(x)$

Let  $\mathcal{L} \supseteq \{+, -, \cdot, ^{-1}, 0, 1, E, D\}$ . We assume that the topology is  $\mathcal{L}$ -definable.

[M] introduces a notion of **exponential algebraicity**:

Let  $R[\bar{X}]^E$  be the ring of  $E$ -polynomials on  $R$ , that is to say, polynomials composed with iterations of  $E$ , e.g.

$$P(X_1, X_2) = E(3rX_2 + E(X_1X_2^3)) + kX_2, \text{ where } r, k \in R$$

If  $(A, E)$  is an exponential subring of  $(B, E)$ , an element  $b \in B$  is **E-algebraic** over  $A$  if it is a coordinate of a tuple solution of a **Hovanskii system** defined over  $A$ :

there is an integer  $n$ , a uple  $(b_1, ..., b_n) \subseteq B$  with  $b = b_1$ , and  $P_1, ..., P_n \in A[\bar{X}]^E$  such that:

$P_i(b_1, ..., b_n) = 0, i = 1, \cdots, n$ , and the Jacobian of the system  $\{P_i(X_1, ..., X_n) = 0 | i = 1, \cdots, n\}$  is different from 0 at  $(b_1, ..., b_n)$ .

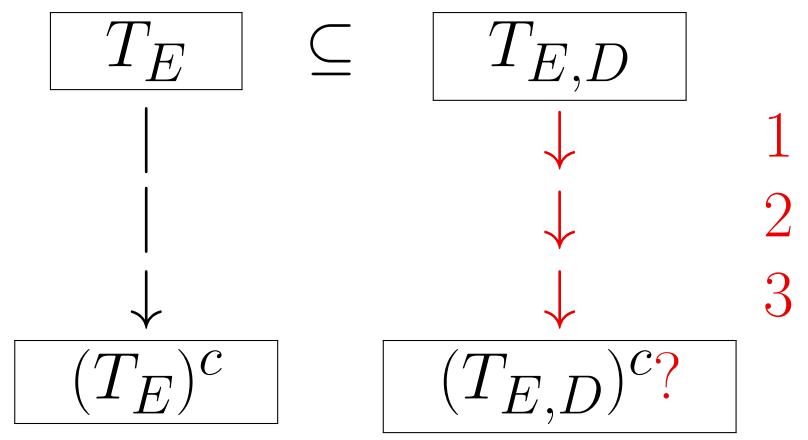
Generalising results of [W], [K] shows that the  $E$ -algebraic closure operator  $ecl^B(\cdot)$  induces a good notion of dimension—an **exponential transcendence degree**—.

### Motivation

In [GP], the authors consider theories of fields  $T$  (without an exponential) that are model-complete and axiomatise the model-completion  $T_D^c$  of their differential expansions  $T_D$ —which also proves model completeness of  $T_D^c$ —.

$Th(\mathbb{R}, <, exp)$ ,  $Th(\mathbb{C}_p, |\cdot|, exp, | \cdot |)$  and  $Th(\mathbb{Q}_p, |\cdot|, exp, P_n, n \in \mathbb{N}, f, f \in F)$ , the theories of the valued fields  $\mathbb{C}_p$  and  $\mathbb{Q}_p$  with a partially defined exponentiation, (where  $P_n(x) \equiv \exists y, y^n = x$  and  $F$  is a family of restricted analytic functions) are model-complete, ( $[W]$  and  $[NM]$ ), thus candidates for an adaptation of the transfer results of [GP] and their strategy to the exponential case:

### Strategy



### Steps

1. Formalise an hypothesis **(I)<sub>E</sub>**—kind of existential closedness in the field of Laurent series  $K((t))$ — and show that a given  $TFES (K, R, E, \mathcal{V})$  is embeddable in a  $TFES$  containing  $K((t))$  and thus satisfies **(I)<sub>E</sub>**.
2. Axiomatise geometrically a “Differential Lifting” axiom scheme **(DL)<sub>E</sub>** using  $E$ -algebraic varieties; which expresses that every differential  $E$ -polynomial has a zero close to a zero of the associated  $E$ -algebraic polynomial (if it has one). Show that a structure satisfying **(I)<sub>E</sub>** can be embedded in a structure satisfying **(DL)<sub>E</sub>**.
3. Show that  $T_{E,D}^c := T_E^c \cup T_{E,D} \cup (DL)_E$  is the **model-completion** of  $T_{E,D}$  the theory of those  $TDFES$  for which the restricted  $TFES$  has a theory  $T_E$  that admits a model-completion  $T_E^c$ .

## MAIN RESULTS

### Ordered field of $E$ -series: known results

- Let  $R$  be a ring and let  $G$  be an ordered abelian group. Let  $R((G))$  be the set of formal power series with monomials in  $G$  and reverse well-ordered support:  $R((G))$  is the set of elements of the form  $s = \sum_{g \in G} c_g g$ , where  $c_g \in R, g \in G$ , and  $\text{Supp } s := \{g \in G : c_g \neq 0\}$  is reverse well-ordered in  $G$ .
  - Beginning with an ordered  $E$ -field  $(K, exp, <)$ , [DMM], [KKS] construct an ordered field of  $E$ -series: Let  $(x^K, \cdot)$  be a multiplicative copy of  $(K, +)$ . Let  $K_0 := K((x^K))$ ,  $A_n := \{s \in K_n : \text{Supp } s > 1\}$ ,  $K_{n+1} := K_n((M(A_n)))$ , where  $M(A_n)$  is a multiplicative copy of the group  $A_n$ .  
 $K((t))^E := \cup K_n$
- Let  $s = k + a + \epsilon \in K_n = K_{n-1} \oplus A_n \oplus \{s \in K_n : \text{Supp } s < 1\}$ .  
Then  $E(s) = exp(k)M(a) \sum_{i=0}^{\infty} \frac{\epsilon^i}{i!} \in K_{n+1}$ .

### **(I)<sub>E</sub>**

We say that a  $TFES (K, R, E, \mathcal{V})$  satisfies hypothesis **(I)<sub>E</sub>** if:

Considering  $K((t)) := K((x^{\mathbb{Z}}))$  as a topological field extension of  $K$  and given  $G$  a Hovanskii system with coefficients in  $K[[t]]$  (where  $E$  applies only on elements of  $R[[t]]$ );  
if we have  $G(\bar{a}) \sim_K \bar{0}$  and  $Jac_G(\bar{a}) \sim_K 0$  for an  $\bar{a} \subseteq K[[t]]$ , then there is a  $TFES (K', R', E, \mathcal{W})$  containing  $K((t))$  such that:

1. There is a subset  $\tilde{\mathcal{W}}$  of  $\mathcal{W}$  which satisfies  $t \sim_{\tilde{\mathcal{W}}} 0$ .
2. There is some  $\bar{b} \in K'$  such that  $G(\bar{b}) = \bar{0}$ ,  $Jac_G(\bar{b}) \sim_{\tilde{\mathcal{W}}} 0$  and  $\bar{a} \sim_{\tilde{\mathcal{W}}} \bar{b}$ .

### **(DL)<sub>E</sub>**

Let  $A \subseteq K^n$  be an irreducible variety defined over  $K$ . The *torseur* of  $A$  is the set

$$\tau(A) := \{(\bar{a}, \bar{b}) : \bar{a} \in A \text{ and } \sum_{i=1}^n \frac{\partial P}{\partial X_i}(\bar{a}) \cdot b_i + P^D(\bar{a}) = 0 \text{ for all } P(\bar{X}) \in I(A(K))\}$$

In [PP]  $(DCF_0)$ , [MR]  $(CODF)$ , [GP] the authors axiomatise geometrically a differential lifting scheme that adapts to our setting:

Let  $(K, R, E, D, \mathcal{V})$  be a  $TDFES$ . We say that it satisfies the scheme **(DL)<sub>E</sub>** if:

For any  $V \in \mathcal{V}$ , for any irreducible  $E$ -algebraic varieties  $A, B$  defined over  $K$  such that  $A$  is regular,  $B$  is finitely generated, with  $A \subseteq \tau(B)$  and if  $A$  projects generically on  $B$  and if there is a tuple  $(\bar{a}, \bar{c}) \in K^{2n} \cap A$ , then there is  $\bar{b} \in K^n$  such that  $(\bar{b}, D(\bar{b})) \in A$  and

$$(\bar{a}, \bar{c}) - (\bar{b}, D(\bar{b})) \in V^{2n}$$

### Our results

Let  $F$  be a topological  $E$ -field of characteristic 0.

- We notice that the additive group of  $F$  is torsion free hence orderable.
- We thus adapt the construction of [DMM], [KKS] to construct a topological (unordered)  $E$ -field  $F((t))^E$  (we extend the topology step by step following [GP]).

Let  $(K, R, E, D, \mathcal{V})$  be a  $TDFES$ .

- We construct a  $TDFES (K((t))^E, R((t))^E, E, D, \mathcal{W})$  extending  $(K, R, E, D, \mathcal{V})$  and containing  $K((t))$ .
- $(K, R, E, \mathcal{V})$  satisfies **(I)<sub>E</sub>**.

Using results of [M], we adapt a result of [S] to our setting:

- Let  $P \subseteq R[\bar{X}]^E$ . Let  $\bar{a} \in V(P) \subseteq R^{|\bar{X}|}$ . There exists  $Q = (Q_1, \cdots, Q_m) \subseteq R[[t]][\bar{X}]^E$  and a clopen definable subset  $S$  of  $V^{reg}(Q) \subseteq R[[t]]^{|\bar{X}|}$  such that  $\bar{a} \in S \subseteq V(P) \subseteq R[[t]]^{|\bar{X}|}$ .

Let  $(K, R, E, D)$  be a  $DFES$  and  $(K', R', E)$  be a  $FES$  such that  $(K, R, E) \subseteq (K', R', E)$ . We prove, using results of [K]:

- It is possible to extend  $D$  on  $E$ -algebraic transcendant elements of  $(K', R', E)$  (relatively to  $(K, R, E)$ )
- It is possible to extend  $D$  uniquely on  $E$ -algebraic elements of  $(K', R', E)$  (relatively to  $(K, R, E)$ )
- $ecl^{K'}$  is closed under  $D$

Let  $\mathcal{K} := (K, R, E, D, \mathcal{V})$  be a  $TDFES$  satisfying **(I)<sub>E</sub>**. We prove, using adapted results from [PP] to the  $E$ -algebraic setting:

- $\mathcal{K}$  has a  $\mathcal{L}$ -extension  $\hat{\mathcal{K}} := (\hat{K}, \hat{R}, \hat{E}, \hat{D}, \hat{\mathcal{V}})$  satisfying **(DL)<sub>E</sub>**.

Let  $T_{E,D}$  the theory of those  $TDFES$  for which the restricted  $TFES$  has a theory  $T_E$  that admits a model-completion  $T_E^c$ . We prove, using adapted results from [S]:

- $T_{E,D}^c := T_E^c \cup T_{E,D} \cup (DL)_E$  is the model-completion of  $T_{E,D}$ .

- Corollary 1: If  $T_E^c = Th(\mathbb{R}, <, exp)$ , then  $T_{E,D}^c$  is the model-completion of the theory of ordered exponential differential fields of characteristic 0.
- Corollary 2: If  $T_E^c = Th(\mathbb{C}_p, |\cdot|, exp, | \cdot |)$ , then  $T_{E,D}^c$  is the model-completion of the theory of algebraically closed valued differential fields of characteristic 0 and residual characteristic  $p$  with an exponential differential valuation ring.
- Corollary 3: If  $T_E^c = Th(\mathbb{Q}_p, |\cdot|, exp, \dots)$ , then  $T_{E,D}^c$  is the model-completion of the theory of  $p$ -valued differential fields of characteristic 0 with an exponential differential valuation ring.

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