Applications of o-minimality to some problems in Diophantine Geometry

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Some Bibliography

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- **J. Pila,** O-minimality and the André–Oort conjecture for \mathbb{C}^n . Ann. of Math. (2), 172(3), 2011, 1779–1840.

Survey papers

- **T. Scanlon**, A proof of the André-Oort conjecture via mathematical logic [after Pila, Wilkie and Zannier], Sèminaire BOURBAKI Avril 2011 63ème année, 2010–2011, no 1037.
- **T. Scanlon**, Counting special points: Logic, diophantine geometry, and transcendence theory, Bull. AMS (N.S.) 49 (2012), no. 1, 51 71.

Setting

Let C = the family of all cosets of \mathbb{C} -linear subspaces of \mathbb{C}^n .

Let $S \subseteq \mathcal{C}$ all cosets H + b, such that H has a basis in \mathbb{Q}^n and $b \in \mathbb{Q}^n$. Call these "special varieties".

Let $S_0 =$ all 0-dimensional $X \in S$ (note: $S_0 = \mathbb{Q}^n$). Call these "special points".

Problem

If $X \in \mathcal{C}$ and the special points are (Zariski) dense in X (i.e. $X \cap \mathbb{S}_0$ dense in X) then X is special (i.e. $X \in \mathbb{S}$).

Solution

An exercise. Also, find a "quantitative" assumption* on $X \cap \mathbb{Q}^n$ which ensures that X is special (e.g. # of points of height ... is ...).

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- C =an underlying family of sets
- $S \subseteq C$ a marked collection of so-called "special" C-sets
- $S_0 =$ a set of so-called "special" points, often these are the S-sets of dimension zero.

The problem scheme

Start with an ambient S-set V and consider an arbitrary C-set $X \subseteq V$. Assume that X has "many" special points ($X \cap S_0$ is Zariski dense in V).

Show that X contains a special set of positive dimension. Under additional assumptions, show that X itself is a special set.

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- Fix $\mathcal{M} = \langle \mathbb{R}, <, +, \cdot, \rangle$ an o-minimal expansion of the real field.
- C =the family of all definable sets in \mathcal{M} .
- $\mathbb{S}=$ The family of connected semi-algebraic sets (defined over \mathbb{Q}).
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The Pila-Wilkie theorem(s)

Assume that $X \subseteq \mathbb{R}^n$ is definable in \mathcal{M} . If $X \cap (\mathbb{Q}^{alg})^n$ is $large^*$ then X contains a connected infinite semi-algebraic set defined over \mathbb{Q} . More precisely, if one removes **all** infinite connected semi-algebraic subsets of X then a $small^*$ number of \mathbb{Q}^{alg} -points remains.

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 $\mathcal{C}=$ a family of complex algebraic (irreducible) varieties, (quasi) affine or projective.

 $\delta = a$ specified subfamily of "special" varieties.

 $S_0 = 0$ -dimensional S-sets: special points.

V = an irreducible S-variety.

 $X \subseteq V$ an irreducible complex algebraic subvariety (so $X \in \mathcal{C}$)

Assumption

The special points $(X \cap S_0)$ are Zariski dense in X.

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The algebraic side

Let $V = (\mathbb{C}^*)^n = (\mathbb{G}_m)^n$ (so here V admits the structure of an algebraic group, which is also a complex Lie group).

 $\mathcal{C} = \{X \subseteq (\mathbb{G}_m)^n : X \text{ an irreducible algebraic variety}\}.$

 $S = \{p * A : A \text{ a conn. algebraic subgrp of } \mathbb{G}_m^n \& p \text{ a torsion point}\}$

 $\mathbb{S}_0 = \mathsf{Torsion} \; \mathsf{points} \; \mathsf{in} \; (\mathbb{G}_m)^n$

Goal-a theorem of Laurent-1984)

If $X \subseteq (\mathbb{G}_m)^n$ an irreducible algebraic variety and $X \cap Tor(\mathbb{G}_m)^n$ is Zariski dense in X then X = p * A for some connected $A \leq (\mathbb{G}_m)^n$ and $p \in Tor(\mathbb{G}_m)^n$.

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If $X \in \mathcal{C}$ and $X \cap S_0$ is Zariski dense in X then $X \in S$.

The Pila-Zannier strategy-vague description

First note: The field $\langle \mathbb{C}, +, \cdot \rangle$ is definable in \mathbb{R} , (via $\mathbb{C} \sim \mathbb{R}^2$). Hence, every complex algebraic variety is definable in the o-minimal structure $\bar{\mathbb{R}} = \langle \mathbb{R}, <, +, \cdot \rangle$.

But the strategy will force us to move to a different o-minimal structure:

An analytic presentation of the algebraic problem

As we'll see, in all cases there is a natural analytic covering map, call it $\Theta: \widetilde{V} \to V$, from an open set $\widetilde{V} \subseteq \mathbb{C}^n$ onto the algebraic variety V.

The idea-vague description

- 1. Using Θ , translate the algebraic problem from V to a problem about sets in \widetilde{V} , definable in some o-minimal expansion \mathcal{M} of \mathbb{R} .
- 2. Apply a Pila-Wilkie theorem in \mathcal{M} .
- 3. Use it to come back to *V* and conclude the result there.

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 $Y \subseteq \mathbb{C}^n$ is called **weakly special** if $Y = \overline{z} + H$, where H is a \mathbb{C} -linear subspace of \mathbb{C}^n **defined over** \mathbb{Q} (but \overline{z} arbitrary).

And $\Theta(Y) = \Theta(H) * \Theta(\overline{z}) \subseteq (\mathbb{C}^*)^n$ is called **a weakly special** subvariety. It is (an arbitrary) coset of a conn. algebraic subgroup of $(\mathbb{C}^*)^n$

Note

If $Y \subseteq \mathbb{C}^n$ is weakly special then both Y and $\Theta(Y)$ are algebraic varieties (although $\Theta = exp$ is a transcendental map).

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Assume that $Y \subseteq \mathbb{C}^n$ is an irreducible **analytic** variety, and $X = \Theta(Y) \subseteq (\mathbb{C}^*)^n$ is an algebraic variety, on which the torsion points are Zariski dense. Then Y is special, namely $Y = \bar{q} + H$, where H is a \mathbb{C} -linear subspace of \mathbb{C}^n **defined over** \mathbb{Q} , and $\bar{q} \in (2\pi i \mathbb{Q})^n$.

- ▶ Using the fact that $X \subseteq \mathbb{C}^n$ has many torsion points we shall conclude that $Y \subseteq (\mathbb{C}^*)^n$ has large*-number of " $2\pi i \mathbb{Q}^n$ -points"
- ▶ Using Pila-Wilkie for *Y*, we shall conclude that *Y* contains an infinite semialgebraic subset of *Y*, and then also and algebraic subset *A*.
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The difficulty

Because $\Gamma = \ker \Theta \subseteq \mathbb{C}^n$ is infinite and discrete, the map Θ , as well as $\Theta^{-1}(X)$ cannot be definable in any o-minimal structure.

We thus need to "truncate" Θ :

Fundamental sets

A fundamental set for Θ , is a set $\mathfrak{F} \subseteq \mathbb{C}^n$, such that (1) $\mathfrak{F} + \Gamma = \mathbb{C}^n$ ($\Rightarrow \Theta(\mathfrak{F}) = (\mathbb{C}^*)^n$.)

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A fundamental set for Θ , is a set $\mathfrak{F} \subseteq \mathbb{C}^n$, such that (1) $\mathfrak{F} + \Gamma = \mathbb{C}^n$ ($\Rightarrow \Theta(\mathfrak{F}) = (\mathbb{C}^*)^n$.)

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We have $\Theta: \mathbb{C}^n \to (\mathbb{C}^*)^n$ given by $\Theta(z_1, \dots, z_n) = (e^{z_1}, \dots, e^{z_n})$.

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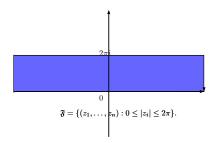
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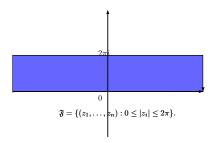
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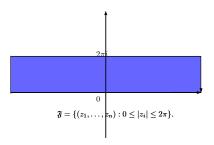
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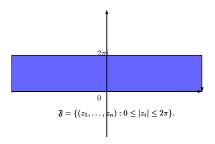
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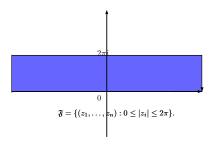


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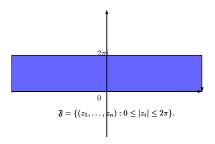
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Claim The set $\Theta^{-1}(X) \cap 2\pi i \mathbb{Q}^n \cap \mathfrak{F}$ is large*:

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- ► The analytic set $\Theta^{-1}(X) \subseteq \mathbb{C}^n$ contains an infinite semi algebraic set S.
- ▶ The Zariski closure of S is a complex algebraic subset of $\Theta^{-1}(X)$ of positive dimension.
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- ► The analytic set $\Theta^{-1}(X) \subseteq \mathbb{C}^n$ contains an infinite semi algebraic set S.
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If \xi_1, \ldots, \xi_n \in \mathbb{C}(A) and lin.dim_{\mathbb{Q}}(\bar{\xi}/\mathbb{C}) = m then tr.deg(\mathbb{C}(e^{\xi_1}, \ldots, e^{\xi_n})/\mathbb{C}) = m.
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Recall the general problem scheme:

 $\mathcal{C}=$ a family of complex algebraic (irreducible) varieties, (quasi) affine or projective.

S = a specified subfamily of "special" varieties.

 $S_0 = 0$ -dimensional S-sets: special points

V = an irreducible S-variety.

 $X \subseteq V$ an irreducible complex algebraic subvariety (so $X \in \mathcal{C}$)

Assumption

The special points $(X \cap S_0)$ are Zariski dense in X.

Goal

The variety X is special ($X \in S$) (or at least contains a special variety).

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In all our settings we have $\widetilde{V}=$ a (semi-algebraic) open subset of \mathbb{C}^n (with $n=\dim V$). And $\Theta:\widetilde{V}\to V$ a **holomorphic**, **transcendental**, surjection.

General strategy

Instead of V and $X \subseteq V$ consider V and the complex analytic subvariety $\Theta^{-1}(X) \subseteq \widetilde{V}$.

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In general, Θ and $\Theta^{-1}(X)$ are not definable in any "tame" structure. We will need to "truncate" it.

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We have G = a real algebraic group acting semi-algebraically and transitively on \widetilde{V} . In some cases $\widetilde{V} = G$.

 Γ = an infinite discrete subgroup of G (not necessarily normal)

The map $\Theta:V\to V$ is Γ -invariant. Namely, $\Theta(x)=\Theta(y)$ if and only if $\Gamma x=\Gamma y$.

So, V can be identified with $\Gamma \setminus \widetilde{V}$.

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From special to special

An irreducible analytic subvariety $Y \subseteq V$ is called a special variety i $\Theta(Y)$ is a special subvariety of V. In particular, $\Theta(Y)$ is algebraic (!).

A point $z \in V$ is **special** if $\Theta(z)$ is a special point. Namely $\Theta(z) \in S_0$.

Fact (an alternative definition): special varieties as orbits

An irreducible complex analytic variety $\widetilde{X} \subseteq \widetilde{V}$ is special iff

- (i) $\Theta(X)$ is an algebraic subvariety of V.
- (ii) There exists a real algebraic subgroup $H \subseteq G$ such that X is an orbit of H. In case $\widetilde{V} = G$ it means that \widetilde{X} is a coset. (Note: it follows in either case that \widetilde{X} is real algebraic).
- (iii) $\widetilde{X} \cap \widetilde{S}_0 \neq \varnothing$
- If only (i) and (ii) hold then \tilde{X} is called **weakly special**.

From special to special

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One needs to establish the existence of a semialgebraic fundamental set $\mathfrak{F}\subseteq\widetilde{V}$ for Γ and the definability of $\Theta\upharpoonright\mathfrak{F}$ in some o-minimal structure \mathcal{M} . In all examples, \mathcal{M} is $\mathbb{R}_{an,exp}$.

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V= an abelian variety in $\mathbb{P}^n(\mathbb{C})$, written additively (V,+).

C = all irreducible algebraic subvarieties of V.

S = all cosets of the form A + p, where $p \in Tor(V)$ and A a connected algebraic subgroup (i.e. abelian subvariety) of V.

 $S_0 = Tor(V)$ the torsion elements of the group (V, +).

The Manin-Mumford conjecture (Raynaud's Theorem, 1983)

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Assume that V is a complex abelian variety defined over a number field, and $X \subseteq V$ an irreducible algebraic subvariety. If $X \cap Tor(V)$ is Zariski dense in V then X = A + p as above.

- There exists a holomorphic group homomorphism $\Theta:(\mathbb{C}^n,+)\to V$.
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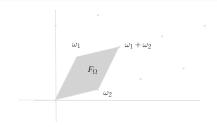
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I. The fundamental set and definability of

Consider the compact semilinear parallelogram

$$\mathfrak{F} = \{\sum_{i=1}^{2n} t_i \omega_i : 0 \leqslant t_i \leqslant 1\}$$
. Then:

- (i) $\Gamma + \mathfrak{F} = \mathbb{C}^n$.
- (ii) The set $\{\gamma \in \Gamma : (\gamma + \mathfrak{F}) \cap \mathfrak{F} \neq \emptyset\}$ is finite
- \mathfrak{F} is a fundamental set for Θ .



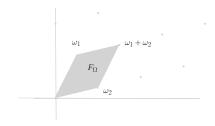
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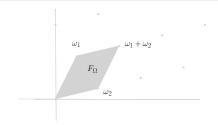
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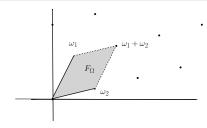
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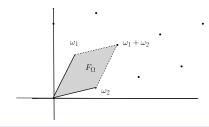
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- V is an abelian variety defined over a number field F.
- $X \subseteq V$ is irreducible algebraic, with $X \cap Tor(V)$ Zariski dense in X
- So, X is also defined over a number field $k \supseteq F$.

Number theoretic input (Masser)

There exists $\rho = \rho(V) > 0$ and a constant c, such that for every $p \in V$, if ord(p) = T then $[F(p) : \mathbb{Q}] \geqslant cT^{\rho}$.

By conjugating $X \cap \mathit{Tor}(V)$ over k we conclude: if $\epsilon < \rho(V)$ then

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Conclusion: on the analytic side

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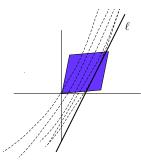
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III. Ax-Lindemann: an o-minimal argument

The Pila-Wilkie input

The analytic variety $\Theta^{-1}(X)$ contains an unbounded semialgebraic curve σ .

By the o-minimality of σ , when we translate it into $\mathfrak F$ by elements of Γ we get (inside $\widetilde X$) curves which are more and more "linear". Since $\widetilde X \cap \mathfrak F$ is compact, at the limit we get an affine line $\ell \subseteq \widetilde X$.

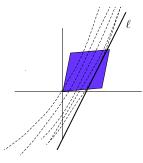


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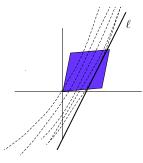


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We saw that $\Theta^{-1}(X)$ contains a real affine line $\ell \subseteq \mathbb{C}^n$.

Back to the algebraic side

The variety $X \subseteq V$ contains a coset of a subgroup $\Theta(\ell)$.

The Zariski closure of $\Theta(\ell)$ is a coset of an algebraic subgroup of V, that is contained in X.

Hence, *X* contains a (weakly) special variety z + A, for $A \leq X$.

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The general analytic setting for Shimura varieties (simplified)

- $G(\mathbb{R})$ is the \mathbb{R} -points of an algebraic semisimple group G over \mathbb{R} .
- $K \leqslant G(\mathbb{R})$ a maximal compact subgroup of $G(\mathbb{R})$.
- (with additional assumptions) the quotient space $G(\mathbb{R})/K$ admits the structure of an open semi-algebraic subset of \mathbb{C}^n . This is our \widetilde{V} .
- $G(\mathbb{R})$ acts on V. Actually, for every $g \in G(\mathbb{R}), g : V \to V$ is a biholomorphism.
- Let $\Gamma = G(\mathbb{Z})$, and consider the quotient $V = \Gamma \backslash \widetilde{V}$.

The Baily-Borel Theorem

There exists a holomorphic embedding $\Theta: \Gamma\backslash \widetilde{V} \to \mathbb{P}^m(\mathbb{C})$ whose image is a quasi-projective variety.

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There exists a holomorphic embedding $\Theta: \Gamma \backslash \widetilde{V} \to \mathbb{P}^m(\mathbb{C})$ whose image is a quasi-projective variety.

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We start with the upper half plane $\mathbb{H} = \{z \in \mathbb{C} : Im(z) > 0\}.$

The group $SL(2,\mathbb{R})$ acts on \mathbb{H} (transitively) as follows

If
$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$
 and $\tau \in \mathbb{H}$ then $A \cdot \tau = \frac{a\tau + b}{c\tau + d}$.

Connection to elliptic curves

 \mathbb{H} is a parameter space for elliptic curves, namely, every τ represents the elliptic curve $\mathbf{E}_{\tau} = \mathbb{C}/\Lambda_{\tau}$ where Λ_{τ} the lattice $\mathbb{Z} \cdot 1 + \mathbb{Z} \cdot \tau$.

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The -invariant

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Special varieties and points

Again, the definition begins on the analytic side.

Definition of special points: The set S_0

 $(\tau_1, \ldots, \tau_n) \in \mathbb{H}^n$ is **special**, if for every i, the elliptic curve E_{τ_i} has complex multiplication $(End(E_{\tau}) \neq \mathbb{Z})$.

Equivalently, au_i belongs to an imaginary quadratic extension of \mathbb{Q} .

(abstract definition of special points in Shimura varieties-omitted here)

Definition of special varieties

Recall: An irreducible analytic variety $Y \subseteq \mathbb{H}^n$ is **special** if

- (i) Y is an orbit of a real algebraic group $H \leq SL(2,\mathbb{R})^n$.
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Examples of special varieties

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Moonen's work

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The statement of theorem

The André-Oort Conjecture for Cⁿ (a theorem of Pila)

If $X \subseteq \mathbb{C}^n$ is an irreducible algebraic variety and $X \cap S_0$ is Zariski dense in X then X is special.

Notice that by the nature of the definitions, we immediately have an analytic presentation of the problem:

- We have $\Theta: \mathbb{H}^n \to \mathbb{C}^n$ given by the J function in each coordinate.
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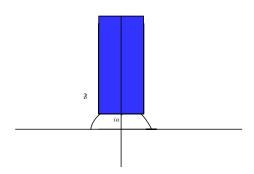
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The Pila Zannier method: I. The fundamental set

By the basic theory of elliptic curves, the following is a fundamental set for $SL(2,\mathbb{Z})$ (for every $0 < a < \sqrt{3}/2$):

$$\mathfrak{F}=\{z\in\mathbb{H}; -1/2\leqslant \textit{Re}(z)\leqslant 1/2\,\&\,\textit{Im}(z)>a\}.$$

So \mathfrak{F}^n is a fundamental set for $SL(2,\mathbb{Z})^n$.

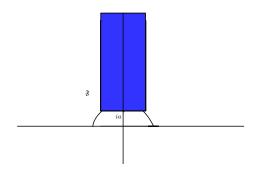


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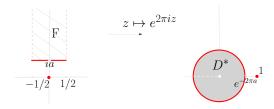


Pila-zanner method I: Definability of $J \upharpoonright \mathfrak{F}$

Theorem

The restriction of J to \mathfrak{F} is definable in $\mathbb{R}_{an,exp}$.

Proof Consider first the map $z \mapsto e^{2\pi l z}$. It sends \mathfrak{F} onto a punctured disc D^* . The "point" $Im(z) = \infty$ is sent to 0.

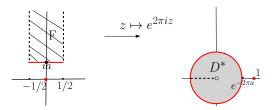


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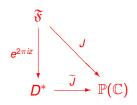
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The proof continues

Because J is \mathbb{Z} -periodic ($z \mapsto z + 1 \in SL(2,\mathbb{Z})$) it factors through $e^{2\pi i z}$.



As before, the restriction of

$$e^{2\pi i z} = e^{2\pi i (x+iy)} = e^{-2\pi y} (\cos x + i \sin x)$$

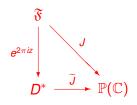
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It is known that as $Im(z) \to +\infty$, $J(z) \to +\infty$. Hence, J(q) tends to ∞ as $q \to 0$ in D^* , so \widetilde{J} is meromorphic on the punctured disc. Hence, \widetilde{J} is definable in \mathbb{R}_{an} .

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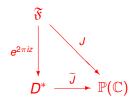
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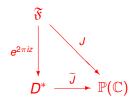
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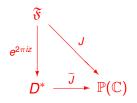
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We have $\Theta: \mathbb{H}^n \to \mathbb{C}^n$, and $X \subseteq \mathbb{C}^n$ algebraic, with $X \cap S_0$ Zariski dense in X. We use \mathfrak{F} for the fundamental set for $\Theta : \mathbb{F}^n$.

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Let $\widetilde{X} \subseteq \mathbb{H}^n$ be an irreducible **analytic** component of $\Theta^{-1}(X)$

We already saw that if $\tau = (\tau_1, \dots, \tau_n) \in \mathbb{H}^n$ is special then each τ_i is imaginary quadratic.

Using a theorem of Siegel on imaginary quadratic fields, Pila proves:

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III. The Ax-Lindemann statement

The Pila-Wilkie input

 \widetilde{X} contains an algebraic set of positive dimension (relative to \mathbb{H}^n). Let A be maximal irreducible such set.

Goal

A is weakly special. Namely

- (i) it is the orbit of a real algebraic subgroup of $SL(2,\mathbb{R})^n$, and
- (ii) $\Theta(A)$ is algebraic.

Ax-Lindemann for \mathbb{H}^n (third type of proof)

We have $\widetilde{X} \subseteq \mathbb{H}^n$ an analytic irreducible component of $\Theta^{-1}(X)$ and $A \subseteq \widetilde{X}$ is a maximal, relatively algebraic subset, of positive dimension. Namely, there exists an algebraic $\overline{A} \subseteq \mathbb{C}^n$ such that $A = \overline{A} \cap \mathbb{H}^n$.

Write $G := SL(2, \mathbb{R})^n$, and $\Gamma = SL(2, \mathbb{Z})^n$.

Without loss of generality $\dim(A \cap \mathfrak{F}) = \dim A$ (if not, replace \widetilde{X} and A by $\gamma \widetilde{X}$ and γA , for some $\gamma \in \Gamma$).

Fact A is not contained in finitely many Γ -translates of \mathfrak{F} .

WHY?

Otherwise $A \subseteq \bigcup_{i=1}^{k} \gamma_i \mathfrak{F}$. Because the real part of \mathfrak{F} is bounded, it follows that Re(z) is bounded for $z \in \overline{A} \cap \mathbb{H}^n$. This would imply (?) that A must be compact. But a compact complex analytic subset of \mathbb{H}^n is finite. Contradiction.

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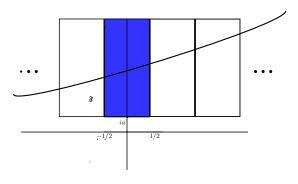
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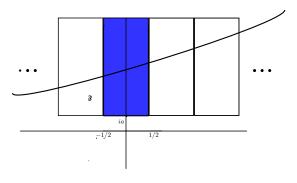
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Consider the real algebraic group $Stab_G(A) \subseteq G$. It is thus infinite and contains infinitely many Γ points (by a finer use of Pila-Wilkie).

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Theorem The André- Oort conjecture holds for A_2 , the moduli space of abelian surfaces.

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