# Introduction Formal Methods

# Application of theoretical computer science fundamentals

- Logic calculi
- Formal languages
- Automata theory
- Program semantics
- Type systems
- Algebraic data types
- Formal Language

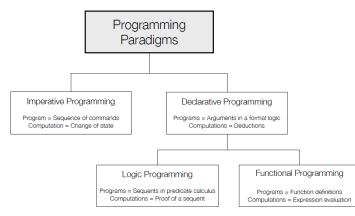
# Pormai Language

- Set of strings of symbols
- · Constrained by specific rules
- Programming languages
- Usage: Specify, invent, transform, analyse, verify, reason about programming languages
- Informal: living natural languages

# Execution-based vs. Rule-based thinking

	Execution-based thinking	Rule-based thinking		
Basis	Implementation	Rule-based calculus		
Focus	Execution runs	Properties		
Example 0	Counting with one's fingers	Algebra		
Example 1	ple 1 Truth tables Propositional calculus			
Example 2	Debugging / Test cases	Reasoning about program correctness		
First steps	ps Initially easy to visualize Needs mental training			
Abstraction	Abstraction Low degree of abstraction possible High degree of abstraction possible			
Scalability	Limits the complexity of problems that can be solved (e.g. truth table with 20 variables)	Allows larger and more complex problems to be solved		

# Programming Paradigms



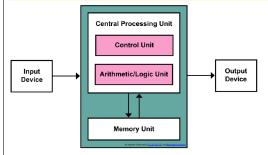
# Imperative

- Befehlend
- $\bullet$  Focuses on  $\mathbf{how}$  a program operates
- Commands change a program's state

# Common building blocks:

- $\bullet \ \ \text{Assignment:} \ x := x+1$
- $\bullet \;$  Sequential composition:  $(\ldots;\ldots)$
- Conditional execution: (if...then...else)
  Repetition: (while...do...) / (goto...)

Von Neumann architecture



# Declarative

- $\bullet$  Expresses the logic of a computation without describing its control flow
- Describes what the program should accomplish
- how left to the language's implementation
- eliminates / minimises side effects

# Examples:

- Spreadsheets
- Regular expressions
- Query languages
- Functional programming languages
- Logic programming

# Functional Programming Introduction

# Basic Features

- Referential transparency
- Functions as first-class citizens
- Higher-order functions
- Algebraic data types
- Pattern matching
- Recursion
- Types & type inference
- Haskell specific: Type classes, Functors, Applicatives, Monads

# What is FP?

- Declarative programming paradigm
- $\bullet\,$  Foundation: Chruch's Lambda calculus
- $\bullet\,$  Pure: No (or controlled) mutable state
- $\bullet\,$  Pure: Expressions are by default side effect free

# Why functions?

- Simple concept and properties
- High level of abstraction possible
- Powerful reasoning more easily possible

# Why use FP?

- Easier to reason about
- Easier to write
- Easier to get right

# No Mutable State

- $\bullet\,$  Referential Transparency: WYSIWYG for programmers
- f(x) only depends on the def. of f and the value of x
- No mutable variables
- No assignments
- $\bullet~No~{\rm imperative~control~structures}$
- All data structures are immutable

# Problem with mutable state

- $\bullet$  Every statement can potentially change the underlying state of the program
- Executions of a statement can depend on previously executed statements

# Functions are first-class Citizens

- just like any other values: 1, true
- Can be anonymous:  $(\lambda x.x + 1)$
- Can be input/output to other functions
- Can be composed in powerful ways fog

# More FP in the future

- Increased expectations on reliability of software
- Increased demands on scalability, complexity, performance
- FP can surpass the limitations of the mainstream
- FP is an active area of applied research
- Increased adoption of FP features in mainstream languages (generics)

# Haskell Introduction

Standard Prelude

Select the first element of a list

head [1,2,3,4,5]

Remove the first element of a list

tail [1,2,3,4,5]

[2,3,4,5]

Select the nth element of a list

[1,2,3,4,5] !! 2

Select the first n elements of a list

take 3 [1,2,3,4,5]

[1, 2, 3]

120

Remove the first n elements from a list

drop 3 [1,2,3,4,5]
[4,5]

Calculate the length of a list

length [1,2,3,4,5]

5

Calculate the sum of a list of numbers

sum [1,2,3,4,5]

Calculate the product of a list of numbers product [1,2,3,4,5]

Append two lists

[1,2,3] ++ [4,5] [1,2,3,4,5]

Reverse a list

reverse [1,2,3,4,5] [5,4,3,2,1]

Function Application Syntax

fab+c\*d

- Function application is denoted using space
- Multiplication is denoted using \*
- Function application has higher prio than other operators

Mathematics	Haskell
f(x)	f x
f(x,y)	f x y
f(g(x))	f (g x)
f(x,g(y))	f x (g y)
f(x) g(y)	fx*gy

# Useful GHCi Commands

oscial errer communas					
Command	Meaning				
:load <i>name</i>	load script name				
:reload	reload current script				
:set editor <i>name</i>	set editor to <i>name</i>				
:edit <i>name</i>	edit script <i>name</i>				
:edit	edit current script				
:type <i>expr</i>	show type of expr				
:?	show all commands				
:quit	quit GHCi				

# Naming Requirements

- Function and argument names: begin with lowercase letter
- List arguments: s-suffix, by convention: xs, ns, nss

# The Lavout Rule

- In a sequence of definitions, definitions must begin in the same column
- · Avoids the need for braces and semicolons

# Types and Classes

# Type

# • Name for a collection of related values

- e.g. Bool = False|True
- Every well-formed expression has a type
- Type can be automatically calculated at compile time: type inference
- Removing the need for type checks at run time =; safer /faster

# Type Error

• Applying a function to one or more arguments of the wrong type

# Basic Types

- Bool: logical values
- Char: single values
- String: strings of chars
- Int: fixed-precision int
- Integer: arbitrary-precision integers
- Float: floating-point numbers

# List Types

- Sequence of values of the same type
- Type of a list says nothing about the length
- · Lists of lists possible

[['a'], ['b','c']] :: [[Char]]

- Sequence of values of different types
- Type of a tuple encodes its size
- Type of components is unrestricted

(False, 'a', True) :: (Bool, Char, Bool)

# Function Types

- Mapping from values of one type to values of another
- Argument and result types are unrestricted
- Functions with multiple arguments / results possible using lists / tuples

add :: (Int, Int) -> Int add (x, y) = x + y

# Curried Functions

- Multiple input arguments typically implemented by returning functions as re-
- Functions that take their arguments one at a time
- Functions with more that two arguments can be curried by returning nested functions

add' :: Int -> (Int -> Int) add' x y = x + y

# Currying Conventions

- − > operator is right associative
- Rightmost type is the result
- Precending types are the input
- Consequence: Function application is left associative
- All functions in Haskell are normally defined in curried form

- Function type contains one or more type variables
- Type variables must begin with lowercase letters

length :: [a] -> Int

# Overloaded Functions

- Function type contains one or more class constraints
- Class constraints are expressed as type classes
- Can be instantiated to any types that satisfy the constraints

(+) :: Num a => a -> a -> a

# Type classes in Haskell:

- Num: Numeric types
- Eq: Equality types
- Ord: Ordered types
- (+) :: Num a => a -> a -> a (==) :: Eq a => a -> a -> Bool (<) :: Ord a => a -> a -> Bool

# Defining Functions

# Conditional Expressions

- Can be nested
- Must always have an else branch

abs :: Int -> Int abs n = if n >= 0 then n else -n

# Guarded Equations

- Make definitions using multiple conditions
- Easier to read

abs n | n >= 0 = n| otherwise = -n

# Pattern Matching

not False = True

not True = False

- Can be defined many different ways
- Some ways may be more efficient
- \_: wildcard pattern, matches any argument
- Patterns are matched in order

• Patterns may not repeat variables not :: Bool -> Bool

# List Patterns

- Non-empty lists are constructed by repeated use of cons operator: (:)
- Functions on lists can be defined using x: xs patterns

[1,2,3,4] = 1 : (2 : (3 : (4 : [])))

# Lambda Expressions

- Used to define anonymous functions
- Can give a formal meaning to functions defined using currying

add x y = x + y $add = \langle x - \rangle (\langle y - \rangle x + y)$ 

Avoid naming functions that are only referenced once:

odds n = map f [0 ... n - 1]where f x = x \* 2 + 1odss  $n = map (\x -> x * 2 + 1) [0 .. n - 1]$ 

- Prefix notation is used for function application
- Is Infix notation desired, one may use operators (e.g. +)
- Functions can be converted into operators using backticks: 'div'
- Operators can be converted to functions using brackets: (+)

# List Comprehension

- Define functions in very compact manner
- Elegant way to perform iteration in declarative style

factors  $n = [x \mid x < -[1 .. n], n 'mod' x == 0]$ prime n = factors n == [1, n]  $primes n = [x \mid x \leftarrow [2 .. n], prime x]$ 

• States how to generate values for a variable

x <- [1 .. 5]

# Multiple Generators

- Order of generators changes order of elements
- Multiple generators act like nested loops

 $[(x,y) \mid x \leftarrow [1,2,3], y \leftarrow [4,5]]$ [(1,4),(1,5),(2,4),(2,5),(3,4),(3,5)]

# Dependent Generators

• Later generators can depend on variables, introduced by earlier generators

 $[(x,y) \mid x \leftarrow [1 .. 3], y \leftarrow [x .. 3]]$ 

# Guards

• Restrict values produced by earlier generators

 $[x \mid x \leftarrow [1 .. 10], even x]$ 

# zip - Function

• Maps two lists to a list of pairs

**zip** :: [a] -> [b] -> [(a, b)]

# String Comprehensions

- String: sequence of chars enclosed in double quotes
- Internally strings are represented as lists of chars
- Polymorphic list-functions can be applied to strings

"abc" :: String ['a', 'b', 'c'] :: [Char]

# Recursive Functions

- Some functions are simpler to define using recursion
- Properties can be proven using induction

# Higher-Order Functions

A function is called higher-order if it takes a function as an argument or returns a function as a result

```
twice :: (a -> a) -> a -> a
twice f x = f (f x)
```

# Why are they useful?

- Common programming idioms can be encoded as functions within the language itself
- Domain specific languages can be defined as collections of higher-order func-
- Algebraic properties of higher-order functions can be used to reason about programs

# Examples

# map

Applies a function to every element of a list.

```
map :: (a -> b) -> [a] -> [b]
— defined using list comprehension ——
map f xs = [f x | x < - xs]
— defined using recursion ——
map f [] = []
map f (x : xs) = f x : map f xs
-- for example: --
map (+1) [1,3,5,7]
[2,4,6,8]
```

Selects every element from a list that satisfies a predicate.

**filter** :: (a -> Bool) -> [a] -> [a] — defined using list comprehension — filter p xs =  $[x \mid x \leftarrow xs, p x]$ — defined using recursion — **filter** p [] = [] filter p (x : xs)  $\mid p x = x :$  **filter** p xs| otherwise = filter p xs -- example -filter even [1 .. 10] [2,4,6,8,10]

# Why is foldr Useful?

- Some recursive functions on lists are easier to define
- Properties of functions can be proved using albegraic properties of foldr
- Advanced program optimisations can be simpler if foldr is used in place of explicit recurison

A number of functions on lists can be defined using the following simple pattern of recursion:

```
f(x:xs) = x \oplus fxs
```

Some function  $\bigoplus$  is applied to the head of non-empty lists, and f to its tail. The value v is typically the identity element of

For example: **sum** [] = 0 sum (x : xs) = x + sum xsproduct [] = 1 product (x : xs) = x \* product xs and [] = True and (x : xs) = x && and xs

The higher-order library function foldr (fold right) uses this pattern of recursion with the function  $\bigoplus$  and the value  $\mathbf{v}$  as arguments:

-- defined using recursion -foldr :: (a -> b -> b) -> b -> [a] -> b foldr f v [] = v foldr f v (x : xs) = f x (foldr f v xs) -- example -sum = foldr (+) 0product = foldr (\*) 1 and = foldr (&&) True — other examples — length = foldr (\ \_ n -> 1 + n) 0 reverse = foldr (\ x xs -> xs ++ [x]) [] — append function (++) —— (++ ys) = foldr (:) ys

# Other Library Functions

(.): returns the composition of two functions as a single function.

```
(.) :: (b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow (a \rightarrow c)
f \cdot g = \langle x - \rangle f (g x)
-- example --
odd :: Int -> Bool
odd = not . even
```

all: decides if every element of a list satisfies a given predicate.

```
all :: (a -> Bool) -> [a] -> Bool
all p xs = and [p x | x <- xs]
-- example --
all even [2,4,6,8,10]
True
adslnasdlasd
```

any: decides if at least one element of a list satisfies a predicate.

```
anv :: (a -> Bool) -> [a] -> Bool
any p xs = or [p x | x <- xs]
-- example --
any (== ' ') "abc def"
True
```

takeWhile: selects elements from a list while a predicate holds

```
takeWhile :: (a -> Bool) -> [a] -> [a]
takeWhile p [] = []
takeWhile p (x : xs)
  | p x = x : takeWhile p xs
 | otherwise = []
-- example --
takeWhile (/= ' ') "abc def"
```

dropWhile: drops elements from a list while a predicate holds.

```
dropWhile :: (a -> Bool) -> [a] -> [a]
dropWhile p [] = []
dropWhile p (x : xs)
  | p x = dropWhile p xs
  | otherwise = x : xs
 - example --
dropWhile (== ' ') " abc"
```

# Declaring Types and Classes

# Type Declarations

- · New name for an existing type
- Can make other types easier to read
- Can have type parameters
- Can be nested
- Cannot be recursive

```
type String = [Char]
type Pos = (Int, Int)
origin :: Pos
origin = (0, 0)
left :: Pos -> Pos
left (x,y) = (x-1, y)
-- Type parameter --
type Pair a = (a,a)
mult :: Pair Int -> Int
mult (m.n) ) m*n
-- Nested --
type Trans = Pos -> Pos
```

# Data Declarations

- Completely new type by specifying its values
- Values of new types can be used the same ways as built in types
- Constructors may also have parameters
- May also have type parameters
- Can be recursive

```
data Bool = False | True
data Answer = Yes | No | Unknown
-- Parameter --
data Shape = Circle Float | Rect Float Float
square :: Float -> Shape
```

```
square n = Rect n n
-- Type parameters --
data Maybe a = Nothing | Just a
-- Recursive --
data Nat = Zero | Succ Nat
Succ (Succ (Succ Zero)) = 3
```

# Newtype Declarations

If a new type has a single constructor with a single element, it can be declared using the newtype mechanism. For example, a type of natural numbers:

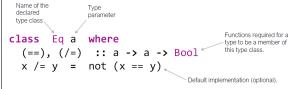
newtype Nat = N Int

# Polymorphism

- 1. Ad-hoc Polymorphism: function with the same name denotes different implementations (function overloading / interfaces)
- 2. Parametric Polymorphism: Code written to work with many possible types
- 3. Subtype Polymorphism: one type can be substituted for another (subtype / supertype)

# Type Classes

# Class Declarations



# Extending Classes

```
Name of the
                           Name of the resulting
type class to
                           extended type class
                                                             Additional
class Eq a => Ord a where
                                                            / functions required
  (<), (<=), (>=), (>) :: a -> a -> Bool
```

- All members of class Ord are also members of type class Eq
- Members of Ord therefore also require (==) to be defined

# Instance Declaration

A Type can be declared to be an instance of a type class using an instance decla-

In order for (Maybe m) to be a

member of the type class Eq , it is

```
Constraint
                             required that the type m belongs to
           on type m
                             the type class Eq.
instance Eq m => Eq (Maybe m) where
  Just x == Just y = x == y
 Nothing == Nothing = True
  _ == _
```

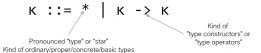
# Derived Instances

Default implementations for the built-in type classes Eq. Ord. Show. Read can be generated automatically for data declarations using the deriving keyword:

```
data Bool = False | True
 deriving (Eq, Ord, Show, Read)
-- examples --
> False == False
True
> False < True
True
> show False
"False"
>read "False" :: Bool
False
```

# Type Constructors & Kinds

Type Constructors construct one type from another. This behaviour is expressed using kinds. A kind is the type of a 'type'.



# Examples:

```
data Maybe a = Nothing | Just a
type Pair a = (a, a)
```

# Values, Types & Kinds

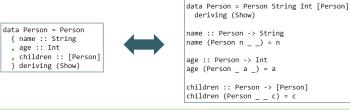
Only types of kind \* can have a value.

Kind	*	*	*	*	*	* -> *	* -> * -> *
Type	Bool	Char	[a] -> [a]	Maybe Char	a -> Maybe a	Maybe	(,)
Value	True	'a'	reverse	Just 'a'	Just		

# Why are Kinds useful?

- Types are used to prevent the user from making errors at the value level
- Kinds are used to prevent the user from making errors at the type level

Convenient syntax when data constructors have multiple parameters:



# Modules

- Collection of related values, types, classes, etc.
- Name: Identifier that starts with a capital letter
- Naming convention for hierarchy: seperated using '.
- Each module Module.Name must be contained in a file called Module.Name.hs

# Main Aims:

- Organising
- Defining visibility

# Lambda Calculus

- Indroduced by Alonzo Church in 1930s
- Part of an investigation into the foundations of mathematics
- Expresses computation based on function abstraction
- Expresses application using variable binding and substitution
- Most compact and elegant programming language
- Basics for functional programming
- Pure: no pre-defined constants
- Only reserved words: 'λ', '.', '(' and ')',
- Proofs that function evaluation is enough for functional programming

Sequents in LC have the identical form as in

PC (Propositional Calculus) and

FoPCe (First-Order Predicate Calculus with Equality).

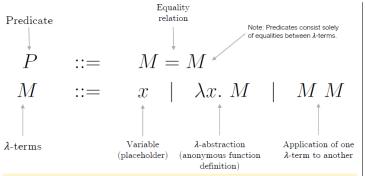


Under the hypotheses H, prove the goal G

# Syntax in LC

Formulae in LC can eiter be:

- predicates (P)
- λ-Terms (M)



# Conventions

# Application binds tighter than abstraction

 $\lambda x. M_1 M_2$  represents  $\lambda x. (M_1 M_2)$  and not  $(\lambda x. M_1) M_2$ 

Application is left associative

 $M_1M_2M_3$  represents  $(M_1M_2)M_3$  and not  $M_1(M_2M_3)$ 

# Free and Bound Variables

Bound variables are basically placeholders. Their names have no significance and can be renamed.



# $\alpha$ -equivalence:

$$\lambda x. \ M \ \widehat{=} \ \lambda y. \ [x := y] M \quad \text{if} \quad (y \ \underline{\text{nfin}} \ M) \qquad \qquad (\widehat{=}_{\lambda \alpha})$$
 substitution not free in aka \$\alpha\$-equivalence

# Proof rules of LC

Lambda Calculus contains only one proof rule schema of major significance:  $\beta$ reduction

$$\overline{\mathsf{H} \vdash (\lambda x.\ M)\ N = [x := N]M}\ ^{\beta}$$

... used to generate equalities such as:

$$(\lambda x. \ x) \ a = a$$
  
 $(\lambda x. \ x \ b \ c) \ a = a \ b \ c.$ 

 $\beta$ -Reduction defines what function application means in the context of lambda calculus. It states that applying a lambda abstraction  $(\lambda x.M)$  to another lambda term (N) results in all occurrences of the formal parameter (x) within the body of the abstraction (M) being replaced with the actual parameter (N) supplied.

$$\frac{(\lambda x.\ square\ x)\ 5}{=\ square\ 5}$$

$$\frac{(\lambda x. \ square \ x) \ (\lambda y. \ square \ y) \ 5}{= (square \ (\lambda y. \ square \ y)) \ 5}$$

Computation in the lambda calculus is done by repeatedly applying the rule schema  $\beta$  to achieve  $\beta$ -reduction.

# Evaluation in LC = Reduction

# Normal Form

A  $\lambda$ -term is said to be in **normal form** if no further reductions can be applied to it. It is possible for a  $\lambda$ -term to offer several opportunities for reduction simultaneously:

Confluence: Every  $\lambda$ -term has at most one normal form

# Function Application Syntax

Functions are first-class citizens. Functions and their arguments belong to the same syntactic category.

- Prefix notation is used instead of infix notation
- Parameters do not need to be enclosed in parantheses

# Currying

Motivation: LC only allows unary (1 argument) function application.

Currying: A function with several arguments can be thought of as a series of higher order functions, each with being unary.

- Not just a smart syntactic trick
- Makes functional definitions more concise, modular and reusable

# Definitions

- Not strictly necessary
- Sometimes convenient
- Adding definitions as equalities within the hypotheses of LC sequents

$$square = \lambda x. * x x$$
  $\vdash (\lambda x. square x) ((\lambda y. square y) 5) = (* (* 5 5) (* 5 5))$ 

 $\delta$ -Reduction: substitution of a defined symbol with its definition

$$square = \lambda x. * x x + (\lambda x. square x) ((\lambda y. square y) 5) = (* (* 5 5) (* 5 5))$$

# **Evaluation Strategies**

redex: reducible expression (any  $\beta\delta$ -reducible sub-term) evaluation strategy: order in which redexes are reduced

- $\lambda$ -terms may have more than one redex at any stage of the evaluation
- LC does not place any constraints on the order in which redexes are reduced
- The order plays an important role in the length of derivations and their termination

# Leftmost Innermost (aka. applicative order / innermost first)

- 1. The innermost redex is reduced First
- 2. In case there is more than one innermost redex, the leftmost innermost redex is reduced First

- A functions arguments are substituted into the body of a function after they
- A functions arguments are reduced exactly once
- Parameter passing: Call by value

# Leftmost Outermost (aka. normal order / outermost first)

- 1. The outermost redex is reduced First
- 2. In case there is more than one outermost redex, the leftmost-outermost redex is reduced First

	$= (\lambda x. * x x) (square 5)$	$:: \delta$
Note: A redex is	= (* (square 5) (square 5))	$\therefore \beta$
outermost, if there is no	$= (* ((\lambda x. * x x) 5) (square 5))$	$:: \delta$
other redex outside it.	$= (* (* 5 5) (\underline{square} 5))$	$\therefore \beta$
	$= (* (* 5 5) (((\lambda x. * x x) 5))$	$:: \delta$
	= (* (* 5 5) (* 5 5))	$\therefore \beta$

square (square 5)

- A functions arguments are substituted into the body of a function before they are reduced
- A functions arguments are reduced as often as they are needed
- If a normal form exists, leftmost outermost will find it
- Parameter passing: Call by name

# Lazv Evaluation

Implementation technique to make call by name more efficient. Uses memorizing (caching) to avoid computing the same expression more than once. Default for Haskell

# **Encoding Data and Operations**

The pure lambda calculus does not have any primitive data types such as Booleans, numbers, tuples, etc.

# Boolean Algebra

Data:

# Arithmetic

$$\begin{aligned} & \text{Operations:} & & \textit{succ} = \lambda n. \lambda f. \lambda x. \ f \ (n \ f \ x) \\ & & + = \lambda m. \lambda n. \ m \ succ \ n \\ & * = \lambda m. \lambda n. \ m \ (+ n) \ 0 \\ & \text{Power} = \lambda b. \lambda e. \ e \ b \end{aligned}$$
 
$$\begin{aligned} & \text{Data:} & & 0 = \lambda f. \lambda x. \ x & power = \lambda b. \lambda e. \ e \ b \\ & 1 = \lambda f. \lambda x. \ f \ x & pred = \lambda n. \lambda f. \lambda x. \ n \ (\lambda g. \lambda h. \ h \ (g \ f)) \ (\lambda u. \ x) \ (\lambda u. \ u) \\ & 2 = \lambda f. \lambda x. \ f \ (f \ f \ x) & is Zero = \lambda n. \ n \ (\lambda x. \ \bot) \ T \\ & 3 = \lambda f. \lambda x. \ f \ (f \ (f \ x)) & \leq = \lambda m. \lambda n. \ is Zero \ (-m \ n) \\ & \vdots & are Equal = \lambda m. \lambda n. \ (\land (\leq m \ n) \ (\leq n \ m)) \end{aligned}$$

# Operations:

 $fst = \lambda p. \ p \ \top$ 

Data:  $pair = \lambda x. \lambda y. \lambda f. f. x. y$ 

 $snd = \lambda p, p \perp$ 

# Interactive Programming

# The Problem:

- Haskell programs are pure mathematical functions:
- Haskell programs have no side effects
- Reading from the keyboard and writing to the screen are side effects
- Interactive programs have side effects

# The Solution:

Interactive programs can be written in Haskell by using types to distinguish pure expressions from impure actions (aka. commands) that may involve side effects.

> Intuition for the type IO a: Note: The type IO a is a built-in type in Haskell.

- IO Char: The type of actions that return a character
- IO (): The type of purely side effecting actions that return no result value - (): Type of tuples with no value (unit type), like void

# Basic Actions

getChar :: IO Char

Reads a character from the keyboard, echoes it to the screen and returns the character as its result value.

putChar :: Char -> IO ()

Writes the character c to the screen and returns no result value.

return :: a -> IO a

Returns the value v without performing any interaction.

# Sequencing

A sequence of actions can be combined as a single composite action using the keyword  $\mathbf{do}$ :

```
act :: IO (Char, Char)
act = do {
   x <- getChar;
   getChar;
   y <- getChar;
   return (x, y)
}</pre>
```

# Reading a string from the keyboard:

```
getLine:: IO String
getLine = do {
    x <- getChar
    if x == '\n'
        then
        return []
else
    do {
        xs <- getLine
        return (x : xs)
    }
}</pre>
```

# Writing a string to the screen:

# Writing a string and moving to a new line

# Programming in Haskell

# Functors

# The Idea

- $\bullet~$  Both  $\mathit{Lists}$  and  $\mathit{Maybe}$  wrap values of vertain types
- We need a generic way to apply functions to the wrapped values

# Definition

 ${\bf Functors}$  are types that wrap values of other types and allow us to map functions over the wrapped value.

```
class Functor f where
fmap :: (a -> b) -> f a -> f b
```

Restriction: Functors can only map unary functions over them.

# Type Class Laws

- Concerning the compiler: the only requirement to be a Functor is an implementation of **fmap** with the proper type
- Nevertheless, The fmap function should not change the structure of the Functor, only its elements
- Such requirements are expressed as type class laws
- The compiler will not notice if you violate a type class law, but users of your interface will

# 1. fmap has to preserve identity:

fmap id = id

# 2. fmap has to preserve function composition:

fmap (g . h) = fmap g . fmap h

# Instances

List and Maybe are instances of Functor:

```
instance Functor [] where
  fmap = map

instance Functor Maybe where
  fmap _ Nothing = Nothing
  fmap f (Just x) = Just (f x)
```

# Other instances of Functor in the standard Prelude:

- []
- MaybeIO
- Option
- ((->) r)
- Usage

# Generalizing inc and sqr:

inc :: Functor f => f Int -> f Int inc = fmap (+1)

```
sqr :: Functor f => f Int -> f Int
sqr = fmap (^2)

-- Usage --
> inc [1,2,3]
[2,3,4]
> inc (Just 1)
Just 2
> inc Nothing
Nothing
```

# Applicative Functors

# Idea

Provide a generic function to wrap values:

pure :: a -> f a

# Provide generalized function application:

(<\*>) :: f (a -> b) -> f a -> f b

# Definition

class Functor f => Applicative f where
 pure :: a -> f a
 (<\*>) :: f (a -> b) -> f a -> f b

## nstances

```
instance Applicative Maybe where
  pure x = Just x
  Nothing <*> _ = Nothing
  (Just f) <*> mx = fmap f mx
```

# instance Applicative [] where pure x = [x] fs <\*> xs = [f x | f <- fs, x <- xs]</pre>

..

# > pure (+) <\*> (Just 11) <\*> (Just 31) (Just 42) > pure (\*) <\*> [1,2] <\*> [3,4] [3,4,6,8] > pure (+) <\*> [1,2] <\*> [3,4] [4,5,5,6] > [(\*), (+)] <\*> [1,2] <\*> [3,4]

# Evaluation

[3, 4, 6, 8, 4, 5, 5, 6]

```
pure (+) <*> [1,2] <*> [3,4]
= [(+)] <*> [1,2] <*> [3,4]
= [(+) 1,(+) 2] <*> [3,4]
= [(+) 1 3,(+) 1 4,(+) 2 3,(+) 2 4]
= [4,5,5,6]
```

# Types

```
[ Int -> Int -> Int ]

[(+)] <*> [1,2] <*> [3,4]

[Int->Int]
```

# Int -> Int -> Int (+) 1 3 Int->Int

Notice the

similarities to:

# Type Class Laws

1. Identity: pure has to preserve identity:

pure id <\*> x = x

# 2. Homomorphism: pure has to preserve function application:

pure (g x) = pure g <\*> pure x

3. Interchange: Order of evaluation must not matter when applying an effectful function to a pure argument:

 $x \leftrightarrow pure y = pure (\f \rightarrow f y) \leftrightarrow x$ 

# 4. Composition: < \* > must be associative

 $x \leftrightarrow (y \leftrightarrow z) = (pure (.) \leftrightarrow x \leftrightarrow y) \leftrightarrow z$ 

# The IO Applicative Functor

```
instance Applicative IO where
  pure = return
  mf <*> mx = do
    f <- mf
    x <- mx
    return (f x)
-- allows for simple composition of IO actions --
  getChars :: Int -> IO String
getChars 0 = return []
getChars n = pure (:) <*> getChar <*> getChars (n-1)
```

# Effectful Programming

Effectful means that arguments and return values are no longer just plain (pure) values, but many also have so-called effects:

- The possibility of failure: e.g. option type Maybe
- Aggregating multiple results: e.g. using the list type []
- Performing IO: e.g. using the action type IO

# Monads

# Motivation

```
-- ADT for expressions --
data Expr = Val Int
  | Div Expr Expr
— problematic eval fn ——
eval (Val n) = n
eval (Div 1 r = eval 1 'div' eval r)
-- employ Maybe to fail gracefully --
safediv 0 = Nothing
safediv l r = Just (l 'div' r)
-- eval using safediv (ugly AF) --
eval (Val n) = Just n
eval (Div l r) = case eval l of
  Nothing -> Nothing
   (Just x) -> case eval r of
     Nothing -> Nothing
      (Just y) -> safediv x y
-- eval using Maybe Monad --
eval (Val n) = Just n
eval (Div l r) = do
  x <- eval l
  v <- eval r
  safediv x y
```

# The Idea

- We cannot use **fmap** to simplify **eval** due to mismatching types
- We can exploit the repeating pattern we observed

Provide a function called: (>>=) (aka. bind) Binds an effectful value into an effectful function:

(>>=) :: m a  $\rightarrow$  (a  $\rightarrow$  m b)  $\rightarrow$  m b

# Definition

class Applicative m => Monad m where
 return :: a -> m a
 (>>=) :: m a -> (a -> m b) -> m b

# Instance

```
instance Monad Maybe where
Nothing >>= _ = Nothing
(Just x) >>= f = f x

instance Monad [] where
   xs >>= f = [y | x <- xs, y <- f x]</pre>
```

```
\( \text{of } \( \text{or } \) pure 10; m <- pure 2; safediv n m \)
\( = \text{pure } 10 \) >>= (\n -> (\pure 2 >>= (\m -> safediv n m))) \)
\( = \text{Just } 10 \) >= (\n -> (\pure 2 >>= (\m -> safediv n m))) \)
\( = (\n -> (\pure 2 >>= (\m -> safediv n m))) \) 10
                                                                                                          (do syntax with explicit \lambda parentheses)
                                                                                                          (definition of pure)
(definition of >>=)
= <u>pure 2</u> >>= (\m -> safediv 10 m)
= Just 2 <u>>>=</u> (\m -> safediv 10 m)
                                                                                                  (function application)
                                                                        (definition of pure)
= (\m -> safediv 10 m) 2
                                                                       (definition of >>=)
= safediv 10 2
                                                                       (function application)
= Just (10 `div` 2)
                                                     (definition of safediv)
```

# Maybe Int

# Type Class Laws

1. return is the identity for bind (>>=):

```
return x >>= f = f x
```

mx >>= return = mx

2. bind (>>=) must be associative:

$$(mx >>= f) >>= g = mx >>= (\x -> (f x >>= g))$$

# Overview

	Application operator	Type of application operator	Function	Argument	Result
Pure function application	juxtapose, (\$)	(a -> b) -> a -> b	pure	pure	pure
Functor	fmap, (<\$>)	(a -> b) -> f a -> f b	pure	effectual	effectual
Applicative functor		f (a -> b) -> f a -> f b	pure (generalisation of (<\$>) to support multiple arguments)	effectual	effectual
Monad	(>>=)	ma->(a->mb)->mb	effectual	effectual	effectual