# Data & Materials Sharing<sup>1</sup> Why, when, where, & how

Rick Gilmore Bruce Desmarais

Psychology/CSC

Political Science/SoDA

<sup>&</sup>lt;sup>1</sup>NSF & NIH

# Preliminaries

#### Agenda

- ► Why share data & materials
- ▶ When to share...
- Where to share...
- ► How to share...



### Transparency

#### The Mind of a Con Man



Diederik Stapel, a Dutch social psychologist, perpetrated an audacious academic fraud by making up studies that told the world what it wanted to hear about human nature. Koos Breukel for The New York Times

Figure 1: (Bhattacharjee 2013)

|   |   |                    |               |  | <u>Login</u>      |  |
|---|---|--------------------|---------------|--|-------------------|--|
| Version: 1.0.7.0  |   |                    |               |  |                   |  |
|   |   |                    | The           | Retraction Watch Datab                   | oase              |  |
| ISSN <b>2692-465X</b>   |   | Ple                | ase see this  | user quide before                        | you get started.  |  |
|   | And please consider a   |                    |               | nation to allow us to                    |                   |  |
|   | Author(s):  | Stapel, Diederik A |               | untry(s):                                |                   |  |
|   | Title:  | Type to search     |               |  |                   |  |
|   | Reason(s) for Retraction:   | .,,.               |               |  |                   |  |
|   | Subject(s):   |                    |               | Article                                  |                   |  |
|   | Subject(s).   |                    | <b>→</b>      | Type(s):                                 |                   |  |
|   | Journal:  |                    |               | •• |                   |  |
|   | Publisher:  |                    |               |  |                   |  |
|   | Affiliation(s):   |                    |               |  |                   |  |
|   |   |                    |               |  | Na                |  |
|   | Notes:  |                    |               |  |                   |  |
|   | URL:  |                    |               |  |                   |  |
|   | Clear Search  |                    |               |  | Search            |  |
|   |   |                    |               |  |                   |  |
|   | Your search returned a large number of results. Only 50 are displayed. Narrow your search to view all results |                    |               |  |                   |  |
|   | Retraction or Other   | Notices            |               | Reason(s)                                | Author(s          |  |
|   | ırnal Publisher/Affiliatio  |                    | Post URL(s)   |  |                   |  |
|   | 50 Items Displayed Out of 5   |                    | at Efforts in | +Falsification/Fabrication               | Diadarik A Stanel |  |
| nterpretation versus Reference Framing: Assimilation and Contrast Effects in he Organizational Domain |   |                    |               |  | Willem Koomen     |  |
| (SOC) Psychology; (SOC) Sociology;  |   |                    |               | +Investigation by                        |                   |  |
| Organizational Behavior and Human Decision Processes — Elsevier                                       |   |                    |               | Company/Institution                      |                   |  |
| Department of Social Psychology, University of Amsterdam  |   |                    |               | +Investigation by Third<br>Party         |                   |  |
|   |   |                    |               | +Misconduct - Official                   |                   |  |
|   |   |                    |               | Investigation/Finding                    |                   |  |
|   |   |                    |               | +Misconduct by Author                    |                   |  |

Figure 2: (Marcus and Oransky, n.d.)

#### Accelerate discovery



Science

Products

Stories

Newsroom

About

Pfizer and BioNTech Announce Vaccine Candidate Against COVID-19 Achieved Success in First Interim Analysis from Phase 3 Study

Monday, November 09, 2020 - 06:45am

Figure 3: (Pfizer 2020)

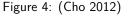
#### Improve rigor

Once Again, Physicists Debunk Faster-Than-Light Neutrinos

Five different groups agree that the elusive particles obey Einstein's speed limit after all

BJUN 2012 - BY ADRIAN CHO

Enough already. Five different teams of physicists have now independently verified that elusive subatomic particles called neutrinos do *not* travel faster than light. New results, announced today in Japan, contradict those announced last September by a 170-member crew working with the OPERA particle detector in Italy's subterranean Gran Sasso National Laboratory. The OPERA team made headlines after they suggested neutrinos traveled 0.002% faster than light, thus violating Einstein's theory of special relativity. The OPERA results were debunked months ago, however. So instead of the nail in the coffin of fasterthan-light neutrinos, the new suite of results is more like the sod planted atop their grave.



# Strengthen reproducibility



- ▶ What's your project's "bus number"?
- Could someone else on your team reproduce analyses done by X?
- Methods reproducibility (Goodman, Fanelli, and Ioannidis 2016)

# Meet a requirement

- Funder
- Journal
- Institutional
- Professional organization

#### 8.14 Sharing Research Data for Verification

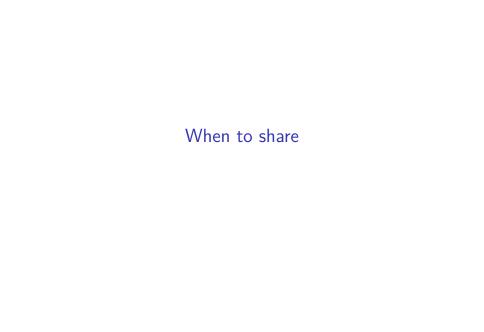
(a) After research results are published, psychologists do not withhold the data on which their conclusions are based from other competent professionals who seek to verify the substantive claims through reanalysis and who intend to use such data only for that purpose, provided that the confidentiality of the participants can be protected and unless legal rights concerning proprietary data preclude their release. This does not preclude psychologists from requiring that such individuals or groups be responsible for costs associated with the provision of such information.

(b) Psychologists who request data from other psychologists to verify the substantive claims through reanalysis may use shared data only for the declared purpose. Requesting psychologists obtain prior written agreement for all other uses of the data.

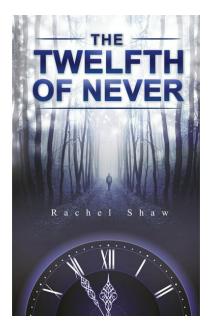
Figure 5: (American Psychological Association, n.d.)

#### Ethical duty

Whereas regulators of human subjects research often view data sharing solely in terms of potential risks to subjects, we argue that the principles of human subject research require an analysis of both risks and benefits, and that such an analysis suggests that researchers may have a positive duty to share data in order to maximize the contribution that individual participants have made. (Brakewood and Poldrack 2013)



#### When NOT to share...



When you've milked every last ounce of information out of a dataset, and all that remains is a lifeless, shriveled husk

#### On submission

Some journals require that authors submit data for review. Ex, Nature Scientific Data

# Select a repository for your data

When submitting a **Data Descriptor**, authors must deposit all relevant datasets in an appropriate public repository prior submission, and the completeness of these datasets will be considered during editorial evaluation and peer-review. Datasets must be made publicly available without restriction in the event that the Data Descriptor is accepted for publication (except reasonable controls related to human privacy issues or public safety).

- Reviewers have the option to review the data along with the manuscript.
- Bruce had experience of reviewer at NSD pointing out that numbers from the manuscript did not match data repository.

#### After acceptance

 Pre-publication verification (separate from review) increasingly common GUIDELINES FOR DATA REPLICATION

The Journal of Politics operates a strict data replication policy effective January 1, 2021. It is the policy of the JOP to publish papers only if the data used in the analysis are clearly documented, available, and the empirical findings reproducible. Authors of accepted papers that contain empirical as well as simulation-based analyses are required to provide datasets, codes, and other relevant information necessary to facilitate replication. All manuscripts are accepted contingent on their replicability, which will initially be assessed by the JOP replication analyst(s) who will be assigned to your manuscript at the conditional acceptance stage. Manuscripts that are not replicable will be rejected for publication. This document provides guidelines on how to prepare data replication materials.

- Can take up to six months of back-and-forth with journal assistant.
- Leads to more careful organization pre-submission.

#### On publication

- Post and disseminate data and code with paper.
- Creates independent way to get cites.

SNAP judgments into the digital age: Reporting on food stamps varies significantly with time, publication type, a... Benjamin W. Chrisinger, Eliza W. Kinsey, Ellie Pavlick, Chris Callison-Burch

Abstract

Introduction

Materials and methods

Results

Discussion

 Bakshy E, Messing S, Adamic L. Replication Data for: Exposure to Ideologically Diverse News and Opinion on Facebook. Harvard Dataverse, V2; 2015.

View Article . Google Scholar

 Roberts ME, Stewart BM, Tingley D, Lucas C, Leder-Luis J, Gadarian SK, et al. Structural Topic Models for Open-Ended Survey Responses. American Journal of Political Science. 2014;58: 1064–1082.

View Article . Google Scholar

## End of grant period

▶ Major funders require data/material/publication sharing

#### **NSF's data sharing policy**

NSF-funded investigators are expected to share with other researchers, at no more than incremental cost and within a reasonable time, the primary data, samples, physical collections and other supporting materials created or gathered in the course of work under NSF awards.

# Where to share data

## Data repository

- Dataverse (linked to journal article)
- Databrary.org (esp. video/audio, identifiable data)
- ► ICPSR
- ▶ OSF.io

- ► PSU ScholarSphere
- ▶ PSU Data Commons
- NIH Data Archive
- Zenodo.org

## Alternatives to repositories

- Supplemental materials
- ► Lab/project website

#### Data journal

#### List of data journals

(b) Kindling, Maxi; (b) Strecker, Dorothea

This document describes a dataset that aggregates information about 135 data journals.

Data journals focus on the publication of data papers – a specialized publication type describing datasets, their collection and reuse potential that is peer-reviewed, citable and indexed.

This dataset includes a comprehensive list of data journals that was compiled by aggregating existing sources, as well as an overview of these sources.

The list is continually updated on GitHub, where additional information on data journals (URLs of data journal homepages) is provided: https://github.com/MaxiKi/data-journals

| Preview       |  |   |                   |  |  |
|---------------|--|---|-------------------|--|--|
| issn          | journal_title  | publisher                                   | data_journal_type |  |  |
| 2363-<br>4952 | RIDE - A review journal for digital editions and resources | Institut für Dokumentologie und<br>Editorik | pure              |  |  |
| 2059-<br>481X | Journal of Open Humanities Data                            | Ubiquity Press                              | pure              |  |  |
| 2296-<br>7745 | Frontiers in Marine Science                                | Frontiers                                   | mixed             |  |  |
| 2054-<br>345X | Human Genome Variation                                     | Springer Nature                             | mixed             |  |  |
| 2032-<br>6378 | Journal of Astronomical Data                               | Vrije Universiteit Brussel                  | pure              |  |  |
| 2603-         | Viticulture Data Journal                                   | Pensoft Publishers                          | pure              |  |  |

#### scientific data

View all journals Search Q Log in

Explore content v About the journal v Publish with us v

Sign up for alerts 🚨

RSS feed

nature > scientific data





Elise Kole Aspray, Timothy A. Mies ... Elizabeth A. Ainsworth Data Descriptor 20 April 2023

#### Announcements

#### Collection open for submissions

Scientific Data is open to submissions for this special collection; Meteorology and hydroclimate observations and models

Open for submissions

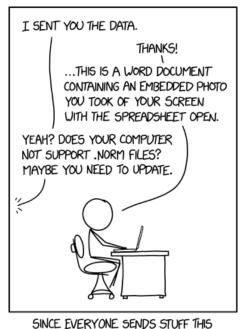


#### www.nature.com/sdata/

n.b.- Gilmore is on editorial board.

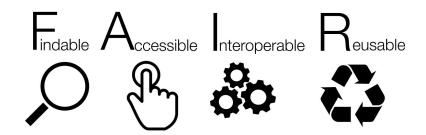
- Could be extra pub
- Especially good for early-career researchers





WAY ANYWAY, WE SHOULD JUST FORMALIZE IT AS A STANDARD.

# FAIR principles



(Wilkinson et al. 2016)

## FAIR in-practice

- ► Findable
  - ▶ Repository or journal with persistent identifier
- Accessible
  - Not behind paywall
- Interoperable
  - \[ \{ .txt, .csv\} vs. \{ .docx, .xlsx\} \]
- **▶** Reusable
  - Data definitions/dictionary
  - Code to visualize/analyze

## CARE principles



Figure 7: (Carroll et al. 2021)

#### Seek permission to share

- Sensitive & identifiable data can (often) be shared with restricted audiences (i.e., researchers)
  - e.g., Databrary (databrary.org)
- Qualitative Data Repository (QDR; qdr.syracuse.edu)

## Final thoughts

- $\triangleright$  Sharing  $\neq$  public
- Plan for sharing as early as possible
- ightharpoonup Data + code  $\rightarrow$  reproducible analyses
- lacktriangle Internally reproducible ightarrow easier to share



# Resources

#### **About**

This talk was produced using Quarto.

The source files are in R and R Markdown, then rendered to PDF slides in the beamer format. The PDF slides are hosted in a GitHub repo:

https://github.com/penn-state-open-science/bootcamp-2023-data-sharing

#### References

American Psychological Association. n.d. "Ethical Principles of Psychologists and Code of Conduct."

https://www.apa.org/ethics/code. https://www.apa.org/ethics/code.

Data 8 (1): 108.

Bhattacharjee, Yudhijit. 2013. "The Mind of a Con Man." The New York Times, April.

https://www.nytimes.com/2013/04/28/magazine/diederik-stapels-audacious-academic-fraud.html.

Brakewood, Beth, and Russell A Poldrack. 2013. "The Ethics of

Secondary Data Analysis: Considering the Application of Belmont Principles to the Sharing of Neuroimaging Data."

NeuroImage 82 (November): 671–76. https://doi.org/10.1016/j.neuroimage.2013.02.040. Carroll, Stephanie Russo, Edit Herczog, Maui Hudson, Keith Russell, and Shelley Stall. 2021. "Operationalizing the CARE and FAIR Principles for Indigenous Data Futures." Scientific

https://doi.org/10.1038/s41597-021-00892-0.