

# Global Interpreter Lock

## Episode I - Break the Seal

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# Introduction

- Global Interpreter Lock<sup>[1]</sup>
    - other implementations
      - fine-grained lock<sup>[3]</sup>
      - lock-free<sup>[4]</sup>
    - giant lock<sup>[2]</sup>
  - GIL in CPython<sup>[5]</sup> protects:
    - interpreter state, thread state, ...
    - reference count
    - “a guarantee”
- some CPython features and extensions depend on the agreement

# GIL over Multi-Processor<sup>[6]</sup>

We want to produce efficient program.

To achieve higher throughputs, we usually divide a program into several independent logic segments and execute them simultaneously over MP architecture by leveraging multi-threading technology.

Unfortunately, only one of the threads gets executed at a time if they compete for a same GIL.

Some people are working on how to remove the giant lock which shall be a difficult job<sup>[7][8][9]</sup>. Before the wonderful world comes, we will need to learn how to live along with GIL well.

# Brainless Solution

## multi-process

- Embarrassingly parallel<sup>[10]</sup>
  - no dependency between those parallel tasks
- IPC<sup>[11]</sup>-required parallel task
  - share states with other peers
- Examples:
  - multiprocessing<sup>[12]</sup>, pp<sup>[13]</sup>, pyCSP<sup>[14]</sup>

# Example<sup>[15]</sup>

## multiprocessing: process pool

```

1 import os
2 from multiprocessing import Pool
3
4 def worker(i):
5     print 'pid=%d ppid=%d i=%d' % (os.getpid(), os.getppid(), i)
6
7 print 'pid=%d' % os.getpid()
8 pool = Pool(processes=4)
9 pool.map(worker, xrange(10))
10 pool.terminate()

```

### Round 1:

```

pid=11326
pid=11327 ppid=11326 i=0
pid=11328 ppid=11326 i=1
pid=11328 ppid=11326 i=3
pid=11329 ppid=11326 i=2
pid=11329 ppid=11326 i=5
pid=11329 ppid=11326 i=6
pid=11329 ppid=11326 i=7
pid=11329 ppid=11326 i=8
pid=11327 ppid=11326 i=4
pid=11328 ppid=11326 i=9

```

### Round 2:

```

pid=11372
pid=11373 ppid=11372 i=0
pid=11373 ppid=11372 i=2
pid=11374 ppid=11372 i=1
pid=11376 ppid=11372 i=3
pid=11374 ppid=11372 i=4
pid=11374 ppid=11372 i=7
pid=11373 ppid=11372 i=6
pid=11376 ppid=11372 i=8
pid=11375 ppid=11372 i=5
pid=11375 ppid=11372 i=9

```

nondeterministic<sup>[16]</sup>:  
the same input, different output

# Example

## multiprocessing: further observations (1/2)

- Adopts un-named pipe to handle IPC
- Workers are forked when initializing the pool
  - so that workers can “see” the target function (they will share the same memory copy)

=> What if I create the target function after the pool initialized?

```
1 import os
2 from multiprocessing import Pool
3
4 print 'pid=%d' % os.getpid()
5 pool = Pool(processes=4)
6
7 def worker(i):
8     print 'pid=%d ppid=%d i=%d' % (os.getpid(), os.getppid(), i)
9
10 pool.map(worker, xrange(10))
11 pool.terminate()
```

# Example

## multiprocessing: further observations (2/2)

Output:

pid=12093

Process PoolWorker-1:

Process PoolWorker-2:

Traceback (most recent call last):

Process PoolWorker-3:

Traceback (most recent call last):

File "/usr/lib/python2.7/multiprocessing/process.py", line 258, in \_bootstrap

Traceback (most recent call last):

File "/usr/lib/python2.7/multiprocessing/process.py", line 258, in \_bootstrap

File "/usr/lib/python2.7/multiprocessing/process.py", line 258, in \_bootstrap

*...ignored...*

AttributeError: 'module' object has no attribute 'worker'

*...ignored...*

pid=12101 ppid=12093 i=4

pid=12101 ppid=12093 i=5

pid=12101 ppid=12093 i=6

pid=12101 ppid=12093 i=7

pid=12101 ppid=12093 i=8

pid=12101 ppid=12093 i=9

^CProcess PoolWorker-6:

Traceback (most recent call last):

File "/usr/lib/python2.7/multiprocessing/process.py", line 258, in \_bootstrap  
self.run()

File "/usr/lib/python2.7/multiprocessing/process.py", line 114, in run  
self.\_target(\*self.\_args, \*\*self.\_kwargs)

File "/usr/lib/python2.7/multiprocessing/pool.py", line 102, in worker  
task = get()

File "/usr/lib/python2.7/multiprocessing/queues.py", line 374, in get  
racquire()

KeyboardInterrupt

lost 0~3

process hanging

ctrl+c pressed

worker #6

#1~4 were terminated due to the exception  
following workers will be forked

# Example

## overhead of IPC and GIL battle<sup>[17]</sup> comparison

```

1 import time
2 from multiprocessing import Process
3 from threading import Thread
4 from multiprocessing import Queue as MPQ
5 from Queue import Queue
6
7 MAX = 1000000
8
9 def test_(w_class, q_class):
10     def worker(queue):
11         for i in xrange(MAX):
12             queue.put(i)
13
14     q = q_class()
15     w = w_class(target=worker, args=(q,))
16
17     begin = time.time()
18     w.start()
19     for i in xrange(MAX):
20         q.get()
21     w.join()
22     end = time.time()
23
24     return end - begin

```

```

26 def test_sthread():
27     q = Queue()
28
29     begin = time.time()
30     for i in xrange(MAX):
31         q.put(i)
32         q.get()
33     end = time.time()
34
35     return end - begin
36
37 print 'mprocess: %.6f' % test_(Process, MPQ)
38 print 'mthread: %.6f' % test_(Thread, Queue)
39 print 'stthread: %.6f' % test_sthread()

```

Output:

|           |           |
|-----------|-----------|
| mprocess: | 14.225408 |
| mthread:  | 7.759567  |
| stthread: | 2.743325  |

→ IPC is the most costly  
 overhead of the GIL battle

API of multiprocessing is similar to threading<sup>[18]</sup>



# Example

## pp remote node

# of workers

listen to wait remote jobs

Server:

\$ ppserver.py -w 1 -p 10000 &amp;

[1] 16512

\$ ppserver.py -w 1 -p 10001 &amp;

[2] 16514

\$ ppserver.py -w 1 -p 10002 &amp;

[3] 16516

\$ netstat -nlp

| Proto | Recv-Q | Send-Q | Local Address | Foreign Address | State  | PID/Program name |
|-------|--------|--------|---------------|-----------------|--------|------------------|
| tcp   | 0      | 0      | 0.0.0.0:10000 | 0.0.0.0:*       | LISTEN | 16512/python     |
| tcp   | 0      | 0      | 0.0.0.0:10001 | 0.0.0.0:*       | LISTEN | 16514/python     |
| tcp   | 0      | 0      | 0.0.0.0:10002 | 0.0.0.0:*       | LISTEN | 16516/python     |

\$ pstree -p \$\$

```

bash(11971)-+-ppserver.py(16512)---python(16513)
              | -ppserver.py(16514)---python(16515)
              | -ppserver.py(16516)---python(16517)
              `--pstree(16547)
  
```

workers

# Example

## pp local node

pp worker collects stdout

# of workers

computed by local node

```

1 import os
2 import pp
3 import time
4 import random
5
6 print 'pid=%d' % os.getpid()
7
8 def worker(i):
9     print 'pid=%d ppid=%d i=%d' % (os.getpid(), os.getppid(), i)
10    time.sleep(random.randint(1, 3))
11
12 servers = ('127.0.0.1:10000', '127.0.0.1:10001', '127.0.0.1:10002')
13 job_server = pp.Server(1, ppservers=servers)
14
15 jobs = list()
16 for i in xrange(10):
17     job = job_server.submit(worker, args=(i,), modules=('time', 'random'))
18     jobs.append(job)
19
20 for job in jobs:
21     job()
  
```

Output:

```

pid=16633
pid=16634 ppid=16633 i=0
pid=16513 ppid=16512 i=1
pid=16517 ppid=16516 i=2
pid=16515 ppid=16514 i=3
pid=16513 ppid=16512 i=4
pid=16517 ppid=16516 i=5
pid=16515 ppid=16514 i=6
pid=16634 ppid=16633 i=7
pid=16517 ppid=16516 i=8
pid=16513 ppid=16512 i=9
  
```

determine the result order (deterministic)

accumulative,  
beware of RSIZE of remote node

A pp local node is an execution node too. It dispatches jobs to itself first.

# Example

## ppserver.py gives some exceptions

### Exception:

Exception in thread client\_socket:

Traceback (most recent call last):

```
File "/usr/lib/python2.7/threading.py", line 810, in __bootstrap_inner
    self.run()
```

```
File "/usr/lib/python2.7/threading.py", line 763, in run
    self.__target(*self.__args, **self.__kwargs)
```

```
File "/usr/local/bin/ppserver.py", line 176, in crun
    ctype = mysocket.receive()
```

```
File "/usr/local/lib/python2.7/dist-packages/pptransport.py", line 196, in receive
    raise RuntimeError("Socket connection is broken")
```

RuntimeError: Socket connection is broken

Don't worry. Expected.

# Release the GIL

- Especially suitable for processor-bound tasks
- Examples:
  - `ctypes`<sup>[19]</sup>
  - Python/C extension<sup>[20][21]</sup>
  - Cython<sup>[22]</sup>
  - Pyrex<sup>[23]</sup>

# Example

## ctypes (1/2)

```

3 duration = 10
4
5 def internal_busy():
6     import time
7
8     count = 0
9     begin = time.time()
10    while True:
11        if time.time() - begin > duration:
12            break
13        count += 1
14
15    print 'internal_busy(): count = %u' % count
16
17 def external_busy():
18     from ctypes import CDLL
19     from ctypes import c_uint, c_void_p
20
21     libbusy = CDLL('./busy.so')
22     busy_wait = libbusy.busy_wait
23     busy_wait.argtypes = [c_uint]
24     busy_wait.restype = c_void_p
25
26     busy_wait(duration)
27
28 print 'two internal busy threads, CPU utilization cannot over 100%'
29 t1 = threading.Thread(target=internal_busy); t1.start()
31 t2 = threading.Thread(target=internal_busy); t2.start()
33 t1.join(); t2.join()
35
36 print 'with one external busy thread, CPU utilization gains to 200%'
37 t1 = threading.Thread(target=internal_busy); t1.start()
39 t2 = threading.Thread(target=external_busy); t2.start()
41 t1.join(); t2.join()

```

```

6 void busy_wait(unsigned int duration)
7 {
8     uint64_t count = 0;
9     time_t begin = time(NULL);
10
11    while(1) {
12        if(time(NULL) - begin > duration)
13            break;
14        count++;
15    }
16
17    printf("busy_wait(): count = %" PRIu64 "\n", count);
18 }

```

consume CPU resource

specify input/output types  
(strongly recommended)

# Example

## ctypes (2/2)

### Output:

```
two internal busy threads, CPU utilization cannot over 100%
internal_busy(): count = 12911610
internal_busy(): count = 16578663
with one external busy thread, CPU utilization gains to 200%
internal_busy(): count = 45320393
busy_wait(): count = 3075909775
```

### Atop Display:

|     |     |     |      |     |     |    |      |     |          |    |
|-----|-----|-----|------|-----|-----|----|------|-----|----------|----|
| CPU | sys | 46% | user | 72% | irq | 0% | idle | 82% | wait     | 0% |
| cpu | sys | 26% | user | 39% | irq | 1% | idle | 35% | cpu001 w | 0% |
| cpu | sys | 20% | user | 33% | irq | 0% | idle | 46% | cpu000 w | 1% |

### Atop Display:

|     |     |    |      |      |     |    |      |    |          |    |
|-----|-----|----|------|------|-----|----|------|----|----------|----|
| CPU | sys | 1% | user | 199% | irq | 0% | idle | 0% | wait     | 0% |
| cpu | sys | 1% | user | 99%  | irq | 0% | idle | 0% | cpu000 w | 0% |
| cpu | sys | 0% | user | 100% | irq | 0% | idle | 0% | cpu001 w | 0% |

# Example

## Python/C extension (1/3)

```

20 static PyObject *with_lock(PyObject *self, PyObject *args)
21 {
22     unsigned int duration;
23
24     if(!PyArg_ParseTuple(args, "I", &duration))
25         return NULL;
26
27     busy_wait(duration);
28
29     Py_INCREF(Py_None);
30     return Py_None;
31 }

```

require an unsigned integer argument (busy duration)

return None

```

33 static PyObject *without_lock(PyObject *self, PyObject *args)
34 {
35     unsigned int duration;
36
37     if(!PyArg_ParseTuple(args, "I", &duration))
38         return NULL;
39
40     PyThreadState *_save;
41     _save = PyEval_SaveThread();
42     busy_wait(duration);
43     PyEval_RestoreThread(_save);
44
45     Py_INCREF(Py_None);
46     return Py_None;
47 }

```

### Compilation:

```

$ cat Makefile
busy.so: busy.c
        $(CC) -o $@ -fPIC -shared -I/usr/include/python2.7 busy.c
$ make

```

release the GIL before being busy

exported symbol name

```

49 static PyMethodDef busy_methods[] = {
50     {"with_lock", with_lock, METH_VARARGS, "Busy wait for a given duration with GIL"},
51     {"without_lock", without_lock, METH_VARARGS, "Busy wait for a given duration without GIL"},
52     {NULL, NULL, 0, NULL}
53 };

```

accept positional args.

```

54
55 PyMODINIT_FUNC initsubprocess(void)
56 {
57     if(Py_InitModule("subprocess", busy_methods) == NULL)
58         return PyErr_SetString(PyExc_RuntimeError, "failed to Py_InitModule");
59 }

```

module name

# Example

## Python/C extension (2/3)

```
1 import threading
2
3 duration = 10
4
5 def internal_busy():
6     import time
7
8     count = 0
9     begin = time.time()
10    while True:
11        if time.time() - begin > duration:
12            break
13        count += 1
14
15    print 'internal_busy(): count = %u' % count
16
17 def external_busy_with_lock():
18     from busy import with_lock
19
20     with_lock(duration)
21
22 def external_busy_without_lock():
23     from busy import without_lock
24
25     without_lock(duration)
26
27 print 'two busy threads compete for GIL, CPU utilization cannot over 100%'
28 t1 = threading.Thread(target=internal_busy); t1.start()
30 t2 = threading.Thread(target=external_busy_with_lock); t2.start()
32 t1.join(); t2.join()
34
35 print 'with one busy thread released GIL, CPU utilization gains to 200%'
36 t1 = threading.Thread(target=internal_busy); t1.start()
38 t2 = threading.Thread(target=external_busy_without_lock); t2.start()
40 t1.join(); t2.join()
```

linking to the busy.so extension



# Example

## Python/C extension (3/3)

### Output:

```
two busy threads compete for GIL, CPU utilization cannot over 100%
busy_wait(): count = 3257960533
internal_busy(): count = 45524
with one busy thread released GIL, CPU utilization gains to 200%
internal_busy(): count = 48049276
busy_wait(): count = 3271300229
```

### Atop Display:

|     |     |    |      |      |     |    |      |     |          |    |
|-----|-----|----|------|------|-----|----|------|-----|----------|----|
| CPU | sys | 2% | user | 100% | irq | 0% | idle | 99% | wait     | 0% |
| cpu | sys | 0% | user | 100% | irq | 0% | idle | 0%  | cpu001 w | 0% |
| cpu | sys | 1% | user | 0%   | irq | 0% | idle | 99% | cpu000 w | 0% |

### Atop Display:

|     |     |    |      |      |     |    |      |    |          |    |
|-----|-----|----|------|------|-----|----|------|----|----------|----|
| CPU | sys | 2% | user | 198% | irq | 0% | idle | 0% | wait     | 0% |
| cpu | sys | 0% | user | 100% | irq | 0% | idle | 0% | cpu000 w | 0% |
| cpu | sys | 1% | user | 98%  | irq | 0% | idle | 0% | cpu001 w | 0% |

# Cooperative Multitasking

- Only applicable to IO-bound tasks
- Single process, single thread
  - no other thread, no GIL battle
- Executing the code when exactly needed
- Examples:
  - generator<sup>[24]</sup>
  - pyev<sup>[25]</sup>
  - gevent<sup>[26]</sup>

# Example

## pyev

```

1 import pyev
2 import signal
3 import sys
4
5 def alarm_handler(watcher, revents):
6     sys.stdout.write('.')
7     sys.stdout.flush()
8
9 def timeout_handler(watcher, revents):
10     loop = watcher.loop
11     loop.stop()
12
13 def int_handler(watcher, revents):
14     loop = watcher.loop
15     loop.stop()
16
17 if __name__ == '__main__':
18     loop = pyev.Loop()
19
20     alarm = loop.timer(0.0, 1.0, alarm_handler)
21     alarm.start()
22
23     timeout = loop.timer(10.0, 0.0, timeout_handler)
24     timeout.start()
25
26     sigint = loop.signal(signal.SIGINT, int_handler)
27     sigint.start()
28
29     loop.start()

```

Case 1 Output:

.....

11 dots

Case 2 Output:

..^C

libev Timer:

(after) | (repeat) | (repeat) | (repeat) | ...

interval

event raised

the example:

after 0.0 second, raise  
every 1.0 second, raise  
raises 11 times in total

# Example

## pyev: further observations

```
20     loop.timer(0.0, 1.0, alarm_handler).start()
21
22     loop.start()
```



### Output:

Exception SystemError: 'null argument to internal routine' in Segmentation fault (core dumped)

```
20     timeout = loop.timer(0.0, 1.0, alarm_handler)
21     timeout.start()
22
23     timeout = loop.timer(10.0, 0.0, timeout_handler)
24     timeout.start()
25
26     loop.start()
```



manual of `ev`<sup>[27]</sup>:  
you are responsible for allocating the  
memory for your watcher structures

```
20     alarm = loop.timer(0.0, 1.0, alarm_handler)
21     alarm.start()
22     sigint = loop.timer(10.0, 0.0, timeout_handler)
23     sigint.start()
24     sigint = loop.signal(signal.SIGINT, int_handler)
25     sigint.start()
26     loop.start()
```



### Output:

.....Exception SystemError: 'null argument to internal routine' in Segmentation fault (core dumped)

# Example

## gevent

```
1 import gevent
2 from gevent import signal
3 import signal as o_signal
4 import sys
5
6 if __name__ == '__main__':
7     ctx = dict(stop_flag=False)
8
9     def int_handler():
10         ctx['stop_flag'] = True
11     gevent.signal(o_signal.SIGINT, int_handler)
12
13     count = 0
14     while not ctx['stop_flag']:
15         sys.stdout.write('.')
16         sys.stdout.flush()
17
18         gevent.sleep(1)
19
20         count += 1
21         if count > 10:
22             break
```

Case 1 Output:

.....

Case 2 Output:

..^C

# Interpreter as an Instance

- Rough idea, not a concrete solution yet
- C program, single process, multi-thread
  - still can share states with relatively low penalty
- Allocate memory space for interpreter context
  - that is, accept an address to put instance context in `Py_Initialize()`

# Conclusion

- How to live along with GIL well?
  - Multi-process
  - Release the GIL
  - Cooperative Multitasking
  - Perhaps, Interpreter as an Instance

# References

- [1]: [http://en.wikipedia.org/wiki/Global\\_Interpreter\\_Lock](http://en.wikipedia.org/wiki/Global_Interpreter_Lock)
- [2]: [http://en.wikipedia.org/wiki/Giant\\_lock](http://en.wikipedia.org/wiki/Giant_lock)
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- [4]: [http://en.wikipedia.org/wiki/Non-blocking\\_algorithm](http://en.wikipedia.org/wiki/Non-blocking_algorithm)
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- [22]: <http://cython.org/>
- [23]: <http://www.cosc.canterbury.ac.nz/greg.ewing/python/Pyrex/>
- [24]: <http://www.dabeaz.com/coroutines/Coroutines.pdf>
- [25]: <http://pythonhosted.org/pyev/>
- [26]: <http://www.gevent.org/>
- [27]: <http://linux.die.net/man/3/ev>