

# The Heavy Photon Search Test Detector

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## Abstract

The Heavy Photon Search (HPS), an experiment to search for a hidden sector photon in fixed target electroproduction, is preparing for installation at the Thomas Jefferson National Accelerator Facility (JLab) in the Fall of 2014. As the first stage of this project, the HPS Test Run apparatus was constructed and operated in 2012 to demonstrate the experiment's technical feasibility and to confirm that the trigger rates and occupancies are as expected. This paper describes the HPS Test Run apparatus and readout electronics and its performance. In this setting, a heavy photon can be identified as a narrow peak in the  $e^+e^-$  invariant mass spectrum, above the trident background or as a narrow invariant mass peak with a decay vertex displaced from the production target, so charged particle tracking and vertexing are needed for its detection. In the HPS Test Run, charged particles are measured with a compact forward silicon microstrip tracker inside a dipole magnet. Electromagnetic showers are detected in a PbWO<sub>4</sub> crystal calorimeter situated behind the magnet, and are used to trigger the experiment and identify electrons and positrons. Both detectors are placed close to the beam line and split top-bottom. This arrangement provides sensitivity to low-mass heavy photons, allows clear passage of the unscattered beam, and avoids the spray of degraded electrons coming from the target. The discrimination between prompt and displaced  $e^+e^-$  pairs requires the first layer of silicon sensors be placed only 10 cm downstream of the target. The expected signal is small, and the trident background huge, so the experiment requires very large statistics. Accordingly, the HPS Test Run utilizes high-rate readout and data acquisition electronics and a fast trigger to exploit the essentially 100% duty cycle of the CEBAF accelerator at JLab.

**Keywords:** silicon, microstrip, tracking, vertexing, heavy photon, dark photon, electromagnetic calorimeter

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1    **1. Introduction**

2    The heavy photon ( $A'$ ), aka a “hidden sector” or  
3    “dark” photon, is a massive particle which couples  
4    weakly to electric charge by mixing with the Standard  
5    Model photon [1, 2]. Consequently, it can be radiated  
6    by electrons and subsequently decay into  $e^+e^-$  pairs, al-  
7   beit at rates far below those of QED trident processes.  
8    Heavy photons have been suggested by numerous be-  
9   yond Standard Model theories [3], to explain the dis-  
10   crepancy between theory and experiment of the muon’s  
11    $g - 2$  [4], and as a possible explanation of recent as-  
12   trophysical anomalies, e.g. [5, 6, 7]. Heavy photons  
13   couple directly to hidden sector particles with “dark”  
14   or “hidden sector” charge; these particles could consti-  
15   tute all or some of the dark matter, e.g. [8, 9]. Current  
16   phenomenology highlights the  $20 - 1000 \text{ MeV}/c^2$  mass  
17   range, and suggests that the coupling to electric charge,  
18    $ee$ , has  $\epsilon$  in the range of  $10^{-3} - 10^{-5}$ . This range of pa-  
19   rameters makes  $A'$  searches viable in medium energy  
20   fixed target electroproduction [10], but requires large  
21   data sets and good mass resolution to identify a small  
22   mass peak above the copious QED background. At  
23   small couplings, the  $A'$  become long-lived, so detection  
24   of a displaced decay vertex can reject the prompt QED  
25   background and boost experimental sensitivity.

26    The HPS experiment [11] uses both invariant mass  
27   and secondary vertex signatures to search for  $A'$ . It  
28   uses a  $\approx 1 \text{ m}$  long silicon tracking and vertexing detector  
29   inside a dipole magnet to measure charged particle  
30   trajectories and a fast electromagnetic calorimeter just  
31   downstream of the magnet to provide a trigger and iden-  
32  tify electrons. The experiment utilizes very high-rate  
33   front-end electronics and runs at high trigger rates (up  
34   to  $50\text{kHz}$ ), exploiting the  $100\%$  duty cycle of the JLab  
35   CEBAF accelerator to accumulate the needed statistics.

36    The HPS Test Run, using a simplified version of the  
37   HPS apparatus, was proposed and approved at JLab as  
38   the first stage of HPS. Its purposes included demon-  
39  strating that the apparatus and data acquisition systems are  
40   technically feasible and the trigger rates and occupan-  
41  cies to be encountered in electron-beam running are as  
42   simulated. Given dedicated running time with electron  
43   beams, the HPS Test Run apparatus is capable of search-  
44  ing for heavy photons in unexplored regions of parame-  
45  ter space. The key design criteria for HPS and the HPS  
46   Test Run are the same:

- 47    • large and uniform acceptance in the forward region  
48    close to the beam in order to catch boosted  $A'$  de-  
49   cay products,
- 50    • beam passage through the apparatus in vacuum, to  
51    eliminate direct interactions with the detector and  
52    minimize beam gas interactions,
- 53    • detector components that can survive and effi-  
54   ciently operate in a high radiation environment  
55   with local doses exceeding 100 Mrad.
- 56    • high-rate electronics with excellent timing resolu-  
57   tion to minimize out of time backgrounds,
- 58    • a flexible, redundant and efficient trigger selecting  
59   electron and positron pairs at rates up to  $50 \text{ kHz}$ ,
- 60    • data handling rates of  $100 \text{ MB/s}$  to permanent stor-  
61   age,
- 62    • excellent track reconstruction efficiency for elec-  
63   trons and positrons,
- 64    • good angular and momentum resolution to recon-  
65   struct invariant mass precisely,
- 66    • excellent vertex resolution to discriminate dis-  
67   placed  $A'$  decays from prompt QED backgrounds,

68    The HPS Test Run apparatus was installed on April  
69   19, 2012, and ran parasitically in the photon beam of  
70   the HDice experiment [12] until May 18. The JLab  
71   run schedule precluded any dedicated electron beam  
72   running, but the HPS Test Run was allowed a short  
73   and valuable dedicated photon beam run at the end of  
74   scheduled CEBAF running. This final running provided  
75   enough data to demonstrate the functionality of the ap-  
76  paratus, document its performance, and explore trigger  
77   rates, as shown below.

78    This paper reviews the HPS Test Run apparatus, doc-  
79  umenting the performance of the trigger, data acqui-  
80  sition, silicon tracking detector, and the electromag-  
81  netic calorimeter at the level required for calculating the  
82   physics reach of the HPS experiment.

83    **2. Detector Overview**

84    The HPS Test Run apparatus was designed to run  
85   in Hall B at JLab using the CEBAF 499MHz electron  
86   beam at energies between 2.2 and 6.6 GeV and cur-  
87  rents between 200 and 600 nA. The overall design of  
88   the experiment follows from the kinematics of  $A'$  pro-  
89  duction which typically results in a final state particle  
90   within a few degrees of the incoming beam, especially

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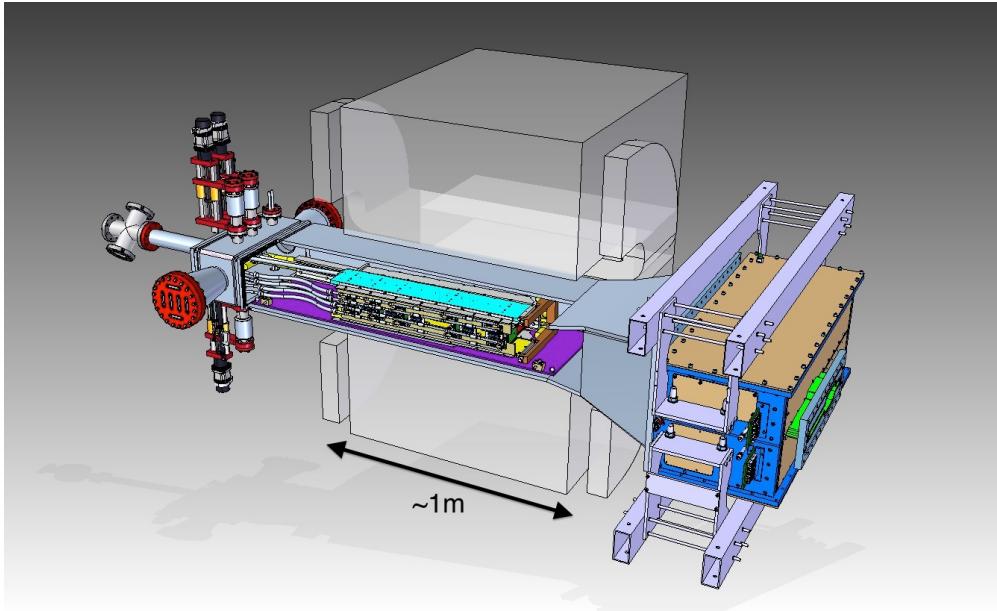


Figure 1: Rendering of the HPS Test Run apparatus installed on the beam line.

91 at low  $m_{A'}$ . Detectors must therefore be placed close  
 92 to the beam. The intense electron beam enlarges down-  
 93 stream after multiple scattering in the target and elec-  
 94 trons which have radiated in the target disperse horizon-  
 95 tally in the field of the analyzing magnet. Together they  
 96 constitute a “wall of flame” which must be completely  
 97 avoided. Accordingly, the apparatus is split vertically  
 98 to avoid a “dead zone”, the region within  $\pm 15$  mrad of  
 99 the beam plane. In addition, the beam is transported in  
 100 vacuum through the tracker to minimize beam-gas inter-  
 101 action backgrounds. Even with these precautions, the  
 102 occupancies of sensors near the beam plane are high,  
 103 dominated by the multiple Coulomb scattering of the  
 104 primary beam, so high-rate detectors, a fast trigger, and  
 105 excellent time tagging are required to minimize their  
 106 impact. The trigger comes from a highly-segmented  
 107 lead-tungstate ( $\text{PbWO}_4$ ) crystal calorimeter located just  
 108 downstream of the dipole magnet.

109  
 110 A rendering of the apparatus installed on the beam line  
 111 is shown in Figure 1 and an overview of the coverage,  
 112 segmentation and performance is given in Table 1.

113 The silicon tracking and vertexing detector for the  
 114 HPS Test Run, or SVT, resides in a vacuum cham-  
 115 ber inside the Pair Spectrometer (PS) dipole magnet in  
 116 Hall B at JLab. The magnetic field strength was 0.5 T  
 117 oriented vertically throughout the run. The SVT has

118 five measurement stations, or “layers,” beginning 10 cm  
 119 downstream of the target. Each layer comprises a pair  
 120 of closely-spaced silicon microstrip sensors respon-  
 121 sible for measuring a single coordinate, or “view”. In-  
 122 troduction of a small (50 or 100 mrad) stereo angle  
 123 between the two sensors of each layer provides three-  
 124 dimensional tracking and vertexing throughout the ac-  
 125 ceptance of the detector. In order to accommodate the  
 126 dead zone, the SVT is built in two halves that are ap-  
 127 proximately mirror reflections of one another about the  
 128 plane of the nominal electron beam. Each layer in one  
 129 half is supported on a common support plate with inde-  
 130 pendent cooling and readout.

131 The electromagnetic calorimeter (ECal) is also split  
 132 into two halves. Each half of the ECal consists of  
 133 221  $\text{PbWO}_4$  crystals arranged in rectangular formation.  
 134 There are five rows with 46 modules in each row except  
 135 the row closest to the beam plane which has 37. The  
 136 light from each crystal is read out by an Avalanche Pho-  
 137 todiode (APD) glued on the back surface of the crys-  
 138 tal. Signals from the APDs are amplified using custom-  
 139 made amplifier boards before being sent to the data ac-  
 140 quisition electronics.

141 The Data Acquisition system combines two architec-  
 142 tures, the Advanced Telecom Communications Archi-  
 143 tecture (ATCA) based SVT readout system and VME-  
 144 bus Switched Serial (VXS) based digitization and trig-  
 145 ger system for the ECal.

Table 1: Overview of the coverage, segmentation and performance of the HPS Test Run detector. The  $\sigma_{d_0}$  is the track impact parameter resolution of the SVT at the nominal electron target position.  $\sigma_{pos}$  is the estimated position resolution perpendicular to the strip direction on the silicon sensors of the SVT.

System	Coverage (mrad)	# channels	ADC (bit)	# layers	Segmentation	Time resolution (ns)	Performance
SVT	$15 < \theta_y < 70$ (5 hits)	12780	14	5 (stereo layers)	30 $\mu\text{m}$ (sense) 60 $\mu\text{m}$ (readout) ( $\sigma_{pos} \approx 6 \mu\text{m}$ )	2.5	$\sigma_{d0,y} \approx 100 \mu\text{m}$ $\sigma_{d0,x} \approx 300 \mu\text{m}$ $\sigma_{d0,z} \approx 1 \text{ mm}$
ECal	$15 < \theta_y < 60$	442	12	1	$1.33 \times 1.33 \text{ cm}^2$ $1.6 \times 1.6 \text{ cm}^2$	4 (trigger)	$\sigma(E)/E \approx 4.5\%$ Ref. [13, 14, 15]

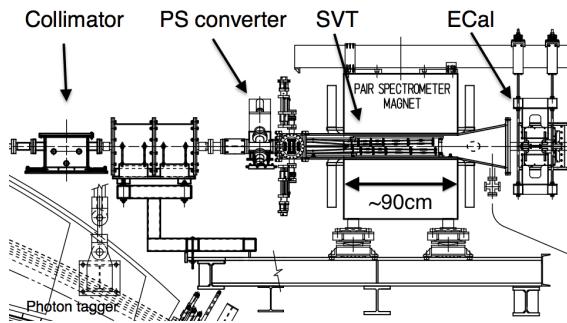


Figure 2: Layout of the HPS parasitic run.

### 3. The HPS Test Run Beamline

Since an electron beam was unavailable, the HPS Test Run detected the electrons and positrons produced by interactions of the secondary photon beam with a thin foil just upstream of the detectors. The HPS Test Run studied the performance of the detectors and the multiple coulomb scattering of the electrons and positrons. Figure 2 shows the layout of the setup on the beam line. The SVT was installed inside the Hall B pair spectrometer magnet vacuum chamber with the ECal mounted downstream of it. Both the SVT and the ECal were retracted off the beam plane compared to nominal electron beam running to allow clean passage of the photon beam through the system.

The photon beam was generated in the interaction of 5.5 GeV electrons with a  $10^{-4} X_0$  gold radiator located  $\approx 9 \text{ m}$  upstream of the PS. The primary beam and scattered electrons are deflected away from detectors by the dipole magnet of the photon tagging system. During the dedicated HPS Test Run period, the collimated (6.4 mm diameter) photon beam passes through the PS pair converter gold foil and later the HPS system. The PS pair converter was located  $\approx 77 \text{ cm}$  upstream of the first layer of the SVT.

Data was taken on three different converter thicknesses with photon fluxes between 0.4 and  $1.3 \times 10^8/\text{s}$

Converter thickn. (% $X_0$ )	Duration (s)	$e^-$ on radiator ( $\mu\text{C}$ )
0	1279	88.1
0.18	2640	193.5
0.45	2149	140.7
1.6	911	24.4

Table 2: Measured integrated currents for the dedicated photon runs.

at photon energies between 0.55 and 5.5 GeV produced by a 30 to 90 nA electron beam. Data was measured for both polarities of the PS dipole magnet.<sup>7</sup> The photon beam line during the HPS Test Run produced a relatively large number of  $e^+e^-$  pairs originating upstream of the converter position. This contribution was measured during data taking with “empty” converter runs, i.e. removing the converter but with all other conditions the same. The runs taken during the time dedicated to HPS Test Run are summarized in Table 2.

### 4. Silicon Vertex Tracker

The Silicon Vertex Tracker (SVT) enables efficient reconstruction of charged particles and precise determination of their trajectories. This allows  $A'$  decays to be distinguished from background via simultaneous measurements of the invariant mass of  $e^+e^-$  decay products and the position of decay vertices downstream of the target.

The design of the SVT is primarily driven by direct physics requirements and constraints from the environment at the interaction region. The  $A'$  decay products have momenta in the range of 1 GeV/c, so multiple scattering dominates mass and vertexing uncertainties for any possible material budget. The SVT must therefore minimize the amount of material in the tracking volume. The signal yield for long-lived  $A'$  is very small, so the rejection of prompt vertices must be exceedingly pure, on the order of  $10^{-7}$ , in order to eliminate all prompt backgrounds. To achieve the required

201 vertexing performance the first layer of the SVT must  
 202 be placed no more than about 10 cm downstream of the  
 203 target. At that distance, it is found that the active region  
 204 of a sensor can be placed as close as 1.5 mm from the  
 205 center of the beam, defining the 15 mrad “dead zone”  
 206 mentioned previously, to maximize low-mass A’ ac-  
 207 ceptance with decay products nearly collinear with the  
 208 beam axis. At the edge of this “dead zone”, the radia-  
 209 tion dose approaches  $10^{15}$  electrons/cm<sup>2</sup>/month, or roughly  
 210  $3 \times 10^{14}$  1 MeV neutron equivalent/cm<sup>2</sup>/month [16], re-  
 211 quiring the sensors to be actively cooled. Meanwhile,  
 212 very low-energy delta rays from beam-gas interactions  
 213 would multiply the density of background hits, so the  
 214 SVT must operate inside the beam vacuum. Finally, in  
 215 order to protect the sensors, the detector must be mov-  
 216 able so that it can be retracted during periods of uncer-  
 217 tain beam conditions or beam tuning.

#### 218 4.1. Layout

219 The layout of the SVT is summarized in Table 3 and  
 220 rendered in Figure 3. Each of the layers is comprised  
 221 of a pair of closely-spaced silicon microstrip sensors  
 222 mounted back-to-back to form a module. A 100 mrad  
 223 stereo angle is used in the first three layers to provide  
 224 higher-resolution 3D space points for vertexing. Using  
 225 50 mrad in the last two layers breaks the tracking de-  
 226 generacy of having five identical layers and minimizes  
 227 fakes from ghost hits to improve pattern recognition.  
 228 Altogether, the SVT has 20 sensors for a total of 12780  
 229 readout channels.

Layer	1	2	3	4	5
<i>z</i> from target (cm)	10	20	30	50	70
Stereo angle (mrad)	100	100	100	50	50
Bend res. ( $\mu\text{m}$ )	$\approx 60$	$\approx 60$	$\approx 60$	$\approx 120$	$\approx 120$
Non-bend res. ( $\mu\text{m}$ )	$\approx 6$	$\approx 6$	$\approx 6$	$\approx 6$	$\approx 6$
# of sensors	4	4	4	4	4
Dead zone (mm)	$\pm 1.5$	$\pm 3.0$	$\pm 4.5$	$\pm 7.5$	$\pm 10.5$
Power cons. (W)	6.9	6.9	6.9	6.9	6.9

Table 3: Layout of the SVT.

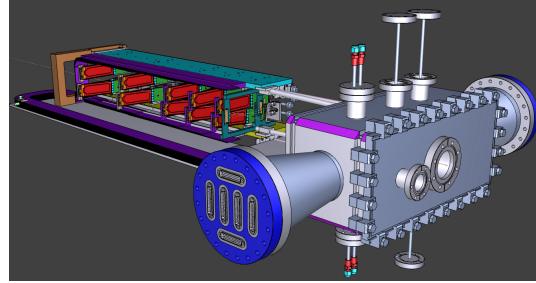


Figure 3: A rendering of the SVT showing the modules on their support plates held by the hinged C-support on the left and the motion levers on the right. The sensors are shown in red and the hybrid readout boards in green. The beam enters from the right through a vacuum box with flanges for services.

239 just beyond the last layer that defines the nominal spac-  
 240 ing between the upper and lower halves of the tracker.  
 241 A shaft attached to each support plate in front of layer  
 242 one extends upstream and connects to a linear shift that  
 243 transfers motion into the vacuum box through bellows  
 244 to open and close the two halves around the dead zone.  
 245 The C-support is mounted to an aluminum baseplate  
 246 that defines the position of the SVT with respect to the  
 247 vacuum chamber. Figure 4 shows a photograph of both  
 completed detector halves prior to final assembly.



Figure 4: Both halves of the HPS Test Run SVT after final assembly at SLAC. The cooling manifolds and integrated cable runs are clearly seen.

230

231

232 The SVT is built in two separate halves that are mirror  
 233 reflections of one another about the plane of the nom-  
 234 inal electron beam. Each half consists of five modules  
 235 mounted on a support plate that provides services to the  
 236 modules and allows them to be moved as a group rel-  
 237 ative to the dead zone. The two halves of the tracker  
 238 are connected to hinges mounted on a C-shaped support

#### 249 4.2. Components

250 The sensors for the SVT are *p*-on-*n*, single-sided,  
 251 AC-coupled, polysilicon-biased microstrip sensors fab-  
 252 ricated on <100> silicon and have 30 (60)  $\mu\text{m}$  sense  
 253 (readout) pitch over their  $4 \times 10 \text{ cm}^2$  surface. This  
 254 sensor technology was selected to match the require-  
 255 ment of  $< 1\% X_0$  per layer, single-hit resolution bet-

256 ter than  $50\text{ }\mu\text{m}$  and tolerance of a radiation dose of ap-  
 257 proximately  $1.5 \times 10^{14} 1\text{ MeV}$  neutron equivalent/cm $^2$   
 258 for a six month run. The sensors, produced by Hamamatsu Photonics Corporation, were originally meant  
 259 for the cancelled Run 2b upgrade of the DØ experiment [17] which satisfied the requirement that the technology must be mature and available within the time and  
 260 budget constraints.  
 261

262 Despite having only small spots with very high occupancy (up to  $4\text{ MHz/mm}^2$ ) closest to the primary beam, the rates are still high and lowering the peak occupancy to approximately 1% for tracking requires a trigger window and hit time tagging of roughly 8 ns. The ECal readout and trigger described in Sec. 5.3 can achieve such resolution. To reach this performance the sensors for the SVT are readout by the APV25 ASIC developed for the CMS experiment at CERN [18]. The APV25 can capture successive samples of the shaper output in groups of three at a sampling rate of approximately 40 MHz. By fitting the known *CR-RC* shaping curve to these samples, the initial time of the hit can be determined to a precision of 2 ns for  $S/N \approx 25$  [19]. For electron beam running, six-sample readout and the shortest possible shaping time (35 ns) is used to best distinguish hits that overlap in time. The APV25 ASICs are hosted on simple FR4 hybrid readout boards, outside the tracking volume, with a short twisted-pair pigtail cable to provide power and configuration and signal readout. Along with a single sensor, these are glued to a polyimide-laminated carbon fiber composite backing making up a half-module. A window is machined in the carbon fiber leaving only a frame around the periphery of the silicon to minimize material. A  $50\text{ }\mu\text{m}$  sheet of polyamide is laminated to the surface of the carbon fiber with 1 mm overhang at all openings to ensure good isolation between the back side of the sensor, carrying high-voltage bias, and the carbon fiber which is held near ground.

294 The sensor modules for the SVT consist of a pair  
 295 of identical half-modules, sandwiched back-to-back  
 296 around an aluminum cooling block at one end and a simi-  
 297 lar PEEK spacer block at the other. Figure 5 shows a  
 298 single module after assembly. The cooling block pro-  
 299 vides the primary mechanical support for the module as  
 300 well as cooling via copper tubes pressed into grooves  
 301 in the plates. The spacer block defines the spacing be-  
 302 tween the sensors at the far end of the module, stiffens  
 303 the module structure, and improves the stability of the  
 304 sensor alignment. The average support material in the  
 305 tracking volume is approximately  $0.06\% X_0$  per double-  
 306 sided module for a total material budget of 0.7% per  
 307 layer.

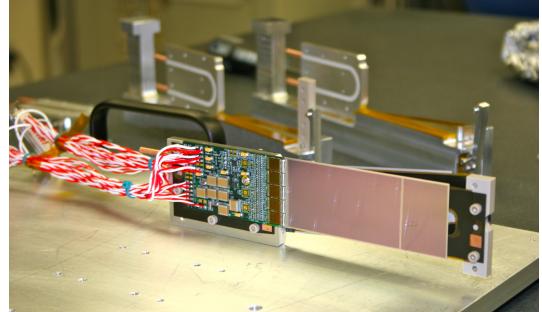


Figure 5: A prototype module assembly (foreground) with the 50 mrad (left) and 100 mrad (right) module assembly fixtures in the background. A pair of cooling blocks and a spacer block can be seen on the fixtures.

The total SVT power consumption budget of about 50 W is removed by a water/glycol mixture circulated through a flexible manifold attached to the copper tubes in the cooling blocks. During the HPS Test Run the sensors were operated at around  $23^\circ\text{ C}$ . The power consumption is dominated by five APV25 ASICs on each hybrid board consuming approximately 2 W, radiant heat load is less than 0.5 W per sensor and leakage current is only significant in a small spot after irradiation.

#### 4.3. Production, Assembly and Shipping

Hybrids with APV25 ASICs underwent quick qualification testing and each half-module was run at low temperature ( $\approx 5^\circ\text{ C}$ ) and fully characterized for pedestals, gains, noise and time response after assembly. Of 29 half-modules built, 28 passed qualification testing, leaving eight spare modules after completion of the SVT. Only sensors capable of 1000 V bias voltage without breakdown were used. Full-module assembly and mechanical surveys were performed at SLAC before final assembly, testing and shipping of the SVT to JLab. A custom shipping container with nested crates and redundant isolation for shock and vibration was built in order to safely send the partly assembled SVT to JLab. At JLab, the entire SVT was integrated with the full DAQ and the power supplies before moving the module-loaded support plates to Hall B for final mechanical assembly and installation inside of the vacuum chamber.

#### 4.4. Alignment

The SVT was aligned using a combination of optical, laser and touch probe surveys at SLAC and JLab. The optical survey of individual modules with a precision of a few  $\mu\text{m}$  was combined with a touch-probe survey of

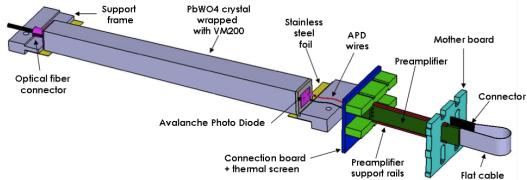


Figure 6: A schematic view of an ECal module.

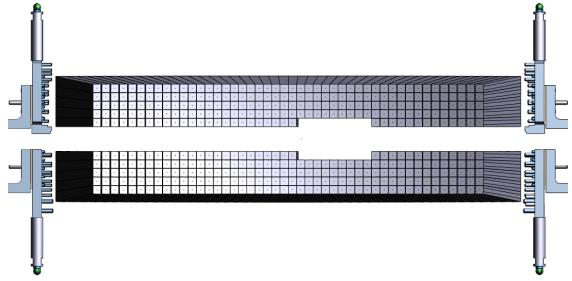


Figure 7: Rendered layout view of the ECal looking downstream.

340 the overall SVT support structure, with 25-100  $\mu\text{m}$  precision,  
 341 to locate the silicon sensor layers with respect  
 342 to the support plates and the mechanical survey balls  
 343 on the base plate. After full assembly and installation  
 344 of the SVT at JLab, a mechanical survey of the SVT  
 345 base plate position inside the pair spectrometer vacuum  
 346 chamber is used to determine the global position of the  
 347 SVT with respect to the CEBAF beam line. The re-  
 348 sulting survey-based alignment has the position of the  
 349 silicon sensors correct to within a few hundred microns  
 350 measured from tracks in the HPS Test Run data. A more  
 351 sophisticated global track-based alignment technique to  
 352 reach final alignment precision well below 50  $\mu\text{m}$  is be-  
 353 ing developed.

## 354 5. Electromagnetic Calorimeter

355 The electromagnetic calorimeter (ECal), installed  
 356 downstream of the PS dipole magnet, performs two es-  
 357 sential functions for the experiment: it provides a trigger  
 358 signal to select what events to read out from the detector  
 359 sub-systems and is used in the analysis to identify elec-  
 360 trons and positrons. The technology and design choices  
 361 are largely driven by the need for a compact forward de-  
 362 sign covering the SVT A' acceptance and able to fully  
 363 absorb electrons and positrons with energy between 0.5-  
 364 6.5 GeV. It needs granularity and signal readout speed to  
 365 handle 1 MHz/cm<sup>2</sup> of electromagnetic background and  
 366 to be radiation hard. The PbWO<sub>4</sub> crystal inner calorime-  
 367 ter of the CLAS detector [13, 14, 15], in operation since  
 368 2005 in Hall B, meets all the requirements set by HPS.  
 369 The modules from this calorimeter have been sub-  
 370 sequently repurposed for HPS.

### 371 5.1. Components

372 The ECal module shown in Figure 6 is based on a  
 373 tapered 160 mm long PbWO<sub>4</sub> crystal with a 13.3  $\times$   
 374 13.3 mm<sup>2</sup> (16  $\times$  16 mm<sup>2</sup>) front (rear) face wrapped in  
 375 VM2000 multilayer polymer mirror film. The scintilla-  
 376 tion light, approximately 8 photoelectrons/MeV, is read  
 377 out by a 5 $\times$ 5 mm<sup>2</sup> Hamamatsu S8664-55 Avalanche

378 Photodiode (APD) with 75% quantum efficiency glued  
 379 to the rear face surface using MeltMount 1.7 thermal  
 380 plastic adhesive. The low gain of APDs ( $\sim 200$ ) was  
 381 compensated with custom-made preamplifier boards,  
 382 which provide a factor of 2333 amplification of the APD  
 383 signal.

### 384 5.2. Layout

385 Similar to the SVT, the ECal is built in two separate  
 386 halves that are mirror reflections of one another about  
 387 the plane of the nominal electron beam to avoid inter-  
 388 fering with the 15 mrad “dead zone”. As shown in Fig-  
 389 ure 7, the 221 modules in each half, supported by alu-  
 390 minum support frames, are arranged in rectangular for-  
 391 mation with five layers and 46 crystals/layer except for  
 392 the layer closest to the beam where nine modules were  
 393 removed to allow a larger opening for the outgoing elec-  
 394 tron and photon beams. Each half was enclosed in a  
 395 temperature controlled box (< 1° F stability and < 4° F  
 396 uniformity) to stabilize the crystal light yield and the op-  
 397 eration of the APDs and its preamplifiers. Four printed  
 398 circuit boards mounted on the backplane penetrated the  
 399 enclosure and were used to supply the  $\pm 5$  V operating  
 400 voltage for the preamplifiers, 400 V bias voltage to the  
 401 APDs, and to read out signals from the APDs. Each half  
 402 of the ECal was divided into 12 bias voltage groups with  
 403 a gain uniformity of about 20%.

404 During the HPS Test Run, both halves were held in  
 405 place by four vertical bars attached to a rail above, plac-  
 406 ing the front face of the crystals 147 cm from the up-  
 407 stream edge of the magnet, with a 8.7 cm gap between  
 408 the innermost edge of the crystals in the two halves.

### 409 5.3. Signal readout

410 After a 2:1 signal splitter, 1/3 of an amplified APD  
 411 signal was fed to a single channel of a JLab flash ADC  
 412 (FADC) board [20]. 2/3 of the signal was sent to a

413 discriminator module and then to a TDC for a timing  
 414 measurement. The FADC boards are high speed VXS  
 415 modules digitizing up to 16 APD signals at 250 MHz  
 416 and storing samples in 8  $\mu$ s deep pipelines with 12-bit  
 417 resolution. When a trigger is received, the part of the  
 418 pipeline from 5 samples before and 30 after the signal  
 419 which crossed a programmable threshold (for the HPS  
 420 Test Run this was set to  $\approx 70$  MeV) are summed and  
 421 stored in a 17-bit register for readout. In addition a  
 422 4 ns resolution timestamp of the threshold crossing is  
 423 reported in the readout for each pulse. This scheme  
 424 significantly compresses the data output of the FADC.  
 425 During offline data analysis, a calibrated pedestal value  
 426 is subtracted to obtain the actual summed energy. Two  
 427 20-slot VXS crates with 14 (13) FADC boards were em-  
 428 ployed in the HPS Test Run to read out the top (bottom)  
 429 half of the ECal. In the HPS Test Run 385 out of 442  
 430 modules (87%) were used in offline reconstruction, 39  
 431 modules were disabled or not read out (no FADC chan-  
 432 nel available, no APD bias voltage or masked out due  
 433 to excessive noise) and 18 were masked offline due to  
 434 noise.

## 435 6. Trigger and Data Acquisition

436 The DAQ system handles acquisition of data from the  
 437 ECal and SVT sub-detectors with two DAQ architec-  
 438 tures. The SVT DAQ is based on Advanced Telecom  
 439 Communications Architecture (ATCA) hardware while  
 440 the ECal uses VMEbus Switched Serial (VXS) based  
 441 hardware. Data from the sub-detectors are only read  
 442 out when a trigger signal from the trigger system is re-  
 443 ceived.

### 444 6.1. Trigger system

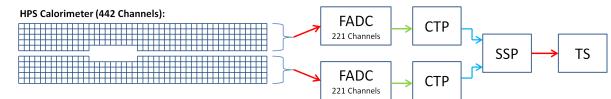
445 The trigger system is designed to select time coinci-  
 446 dences of electromagnetic clusters in the top and bot-  
 447 tom halves of the ECal. Figure 8 shows a schematic  
 448 overview of each stage of the system. Each channel on  
 449 the FADC board has an independent data path to send 5-  
 450 bit pulse energy and 3-bit pulse arrival time information  
 451 every 32 ns to a trigger processing board (CTP), which  
 452 is in the same crate. The 3-bit pulse arrival time allows  
 453 the trigger to know the pulse timing at 4 ns resolution.  
 454 Contrary to the readout path described in Sec. 5.3, this  
 455 energy is a pedestal-subtracted time-over-threshold sum  
 456 with programmable offsets and minimum threshold dis-  
 457 criminator for each channel. With input from all FADC  
 458 channels, i.e. one half of the ECal, the CTP performs  
 459 cluster finding and calculates cluster energy and tim-  
 460 ing information. The 3x3 fixed-window, highly parallel,

461 FPGA-based cluster algorithm simultaneously searches  
 462 for up to 125 clusters with energy sum larger than the  
 463 programmable energy threshold ( $\approx 270$  MeV). Crystals  
 464 in the fixed-window are included in the sum if the lead-  
 465 ing edge of the pulse occurred within a 32 ns time win-  
 466 dow to take into account clock skew and jitter through-  
 467 out the system. The CTP only accepts clusters with the  
 468 locally highest energy 3x3 window to deal with over-  
 469 lapsing and very large clusters. The sub-system board  
 470 (SSP) receives the clusters from the top and bottom half  
 471 CTP at a maximum of 250MHz and searches for pairs  
 472 of clusters in an 8 ns wide coincidence window. The  
 473 SSP sends triggers to the trigger supervisor (TS), which  
 474 generates all the necessary signals and controls the entire  
 475 DAQ system readout through the trigger interface  
 476 units installed in every crate that participate in the read-  
 477 out process.

478 The trigger system is free-running and driven by the  
 479 250 MHz global clock and has essentially zero dead  
 480 time at the occupancies expected for HPS. The trigger  
 481 supervisor can apply dead time if necessary, for exam-  
 482 ple on a ‘busy’ or ‘full’ condition from the front-end  
 483 electronics. The system is designed to handle trigger  
 484 rates above 50 kHz and has a latency set to  $\approx 3$   $\mu$ s to  
 485 match that required by the SVT APV25 ASIC. During  
 486 the HPS Test Run, for the most part the trigger system  
 487 required only a single cluster in either the top or bot-  
 488 tom ECal halves and was tested to trigger rates above  
 489 100 kHz by lowering thresholds.

### 490 6.2. SVT Data Acquisition

491 The SVT DAQ is based on the Reconfigurable Clus-  
 492 ter Element (RCE) and cluster interconnect concept de-  
 493 veloped at SLAC as generic building blocks for DAQ  
 494 systems. The RCE is a generic computational build-  
 495 ing block, housed on a separate daughter card called  
 496 Data Processing Module (DPM), that is realized on an  
 497 ATCA front board called the Cluster On Board (COB),



498 Figure 8: Block diagram of the ECAL trigger system consisting  
 499 of the FADC that samples and digitizes signals for each detec-  
 500 tor channel and sends them for cluster finding in the CTP. The  
 501 CTP clusters are sent to the SSP where the final trigger deci-  
 502 sion is taken based on pairs of clusters in both halves of the  
 503 ECal. The decision is sent to the Trigger Supervisor (TS) that  
 504 generates the necessary signals to read out the sub-detectors.



Figure 9: The SVT DAQ COB board with four data processing daughter cards (DPMs) visible on the left side.

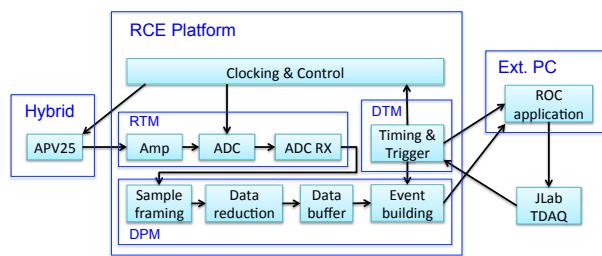


Figure 10: Block diagram overview of the SVT DAQ.

see Figure 9. The first generation RCE used in the HPS Test Run consisted of a Virtex 5 FPGA with 1 GB of DDR3 RAM. A schematic overview of the system is shown in Figure 10. The analog outputs of up to 12 SVT half-modules (60 APV25 ASICs) are digitized on the Rear-Transition-Module (RTM), a custom board on the back side of the ATCA crate, interfacing the HPS-specific readout to the generic DAQ components on the COB. A pre-amplifier converts the APV25 differential current output to a different voltage output scaled to the sensitive range of a 14-bit ADC operating at the system clock of 41.667 MHz. The RTM is organized into four sections with each section supporting three SVT half-module hybrids (15 APV25 ASICs). The RTM also includes a 4-channel fiber-optic module and supporting logic which is used to interface to the JLab trigger system supervisor. Each section of the RTM is input to a DPM which apply thresholds for data reduction and organizes the sample data into UDP datagrams. The DPM also hosts an I<sup>2</sup>C controller used to configure and monitor the APV25 ASICs. A single ATCA crate with two COB cards was used, one supporting four DPMs and one supporting three DPMs and one DPM that is configured as the trigger and data transmission module. The two COB cards and their DPMs are interconnected with a 10 Gb/s switch card [21] which also hosts two 1Gb/s

Ethernet interfaces to the external SVT DAQ PC.

The external PC supports three network interfaces: two standard 1 Gb/s Ethernet and one custom low-latency data reception card. The first is used for slow control and monitoring of the 8 DPM modules and the second serves as the interface to the JLAB data acquisition system. The third custom low-latency network interface is used to receive data from the ATCA crate and supports a low latency, reliable TTL trigger acknowledge interface to the trigger DPM. This PC hosts the SVT control and monitoring software as well as the Read Out Controller application used to interface with the JLab DAQ.

In order to minimize cable length for the analog APV25 output signal the ATCA crate was located approximately 1 m from the beam line, next to our cable vacuum feed-throughs. Before shielding with lead-blankets was arranged, we observed two failures of normally reliable ATCA crate power supplies, time-correlated to beam instabilities.

Although trigger rates during the HPS Test Run were significantly lower, this system was tested at trigger rates up to 20 kHz and 50 MB/s. With optimized event blocking and improved ethernet bandwidth, together with utilizing the overlapping readout and trigger functionality of the APV25, the system is capable of being read out at 50 kHz trigger rate.

### 6.3. General Data Acquisition and Online Computing

Every crate participating in the readout process contains a Readout Controller (ROC) that collects digitized information, processes it, and sends it on to the event builder. For the ECal, both VXS crates run ROC applications in a single blade Intel-based CPU module running CentOS Linux OS. For the SVT DAQ, the ROC application runs on the external PC under RHEL. The event builder assembles information from the ROCs into a single event which is passed to the event recorder that writes it to a RAID5-based data storage system capable of handling up to 100 MB/s. The event builder and other critical components run on multicore Intel-based multi-CPU servers. The DAQ network system is a network router providing 10 Gb/s high-speed connection to the JLab computing facility for long-term storage. For the HPS Test Run, both the SVT and ECal ROC had a 1 Gb/s link to the network router.

## 7. Reconstruction and Performance

### 7.1. SVT Performance

For the duration of the HPS Test Run all SVT modules and APV25 chips were configured to their nominal

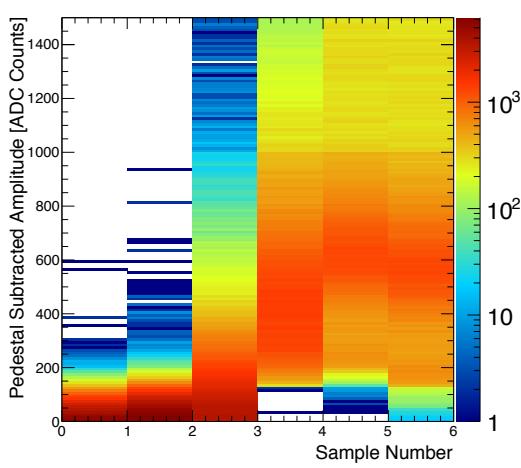


Figure 11: Accumulation of six pedestal-subtracted samples from individual SVT channels associated with hits on tracks.

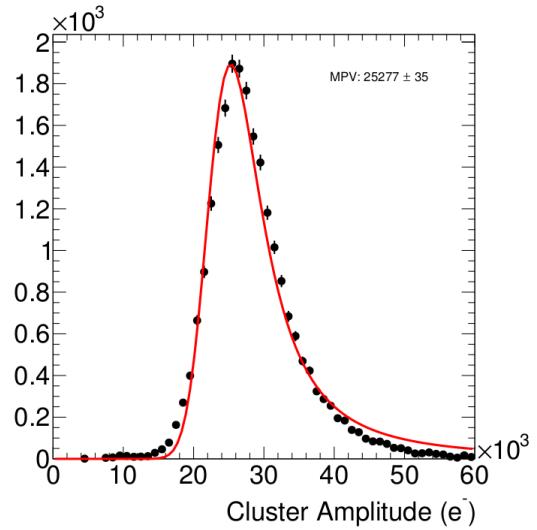


Figure 12: The cluster charge distribution for hits associated with a track follow the characteristic Landau shape.

573 operating points [22] with all sensors reverse-biased at  
 574 180 V. The sensors were operated within a temperature  
 575 range of 20 – 24°C. Approximately 97% of the 12,780  
 576 SVT channels were found to be operating normally; the  
 577 fraction of dead or noisy channels varied from 2.4%  
 578 to 4.7% throughout the HPS Test Run. Most of these  
 579 losses were due to 2-4 misconfigured APV25 ASICs, a  
 580 known noisy half-module and problems in two particu-  
 581 lar APV25 ASICs.

### 582 7.1.1. Cluster and Hit Reconstruction

583 After a trigger is received, the amplitude of every  
 584 APV25 analogue output is sampled and digitized in six  
 585 consecutive time bins, separated by roughly 25 ns. A  
 586 data reduction algorithm is applied requiring three out  
 587 of six samples to be above two times the noise level and  
 588 that the third sample is larger than the second or that  
 589 the fourth sample is larger than the third. The typical,  
 590 pedestal subtracted, pulse shape obtained is shown in  
 591 Figure 11. As the figure demonstrates, the SVT was  
 592 well timed-in to the trigger with the rise of the pulse at  
 593 the 3rd sampling point. In order to find the time,  $t_0$ , and  
 594 amplitude of each hit, the six samples from each chan-  
 595 nel are fitted to an ideal  $CR - RC$  function. Note that  
 596 in the HPS Test Run the APV25 ASICs were operating  
 597 with a 50 ns shaping time. These hits are passed through  
 598 a simple clustering algorithm which forms clusters by  
 599 grouping adjacent strips with the position of a cluster on  
 600 the sensor determined by the amplitude-weighted mean.  
 601 With a linear gain up to  $\approx 3$  MIPs, the cluster charge

602 for hits associated with a track follow the characteris-  
 603 tic Landau shape, see Figure 12. A noise level between  
 604  $1.1 - 1.5 \times 10^3$  electrons was established through mul-  
 605 tiple calibration runs giving a signal to noise ratio of 21 – 25.  
 606 Lab-based radioactive source tests were used to provide  
 607 the absolute charge normalization. After clustering hits  
 608 on a sensor, the hit time for each cluster is computed as  
 609 the amplitude-weighted average of the individually fit-  
 610 ted  $t_0$  on each channel. The  $t_0$  resolution is studied by  
 611 comparing the cluster hit time with the average of all  
 612 cluster hit times on the track shown in Figure 13. After  
 613 correcting for offsets from each sensor (time-of-flight  
 614 and clock phase) and accounting for the correlation be-  
 615 between the  $t_0$  and track time, the extracted  $t_0$  resolution is  
 616 2.6 ns. This is somewhat worse than the approximately  
 617 2 ns resolution expected for S/N=25 which we attribute  
 618 to the true pulse shape differing from our idealized fit  
 619 function which will be improved in the future [23]. Re-  
 620 ducing the APV25 ASIC pulse shaping time to 35 ns  
 621 will also improve time resolution. These results show  
 622 that we can operate with the six sample readout mode  
 623 of the APV25 chip and achieve time resolution adequate  
 624 for pileup rejection during electron running in HPS.

625 Good agreement was obtained between observed and  
 626 simulated occupancies after taking into account dead or  
 627 noisy channels. The hit reconstruction efficiency was  
 628 estimated by measuring the number of good tracks with  
 629 a hit close to the extrapolated intersection of a given  
 630 sensor that was excluded from the track fit itself. Tracks

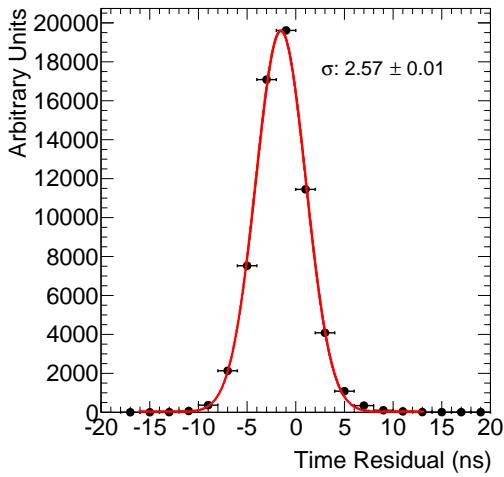


Figure 13: The residual of individual cluster times with the average of all clusters on the track.

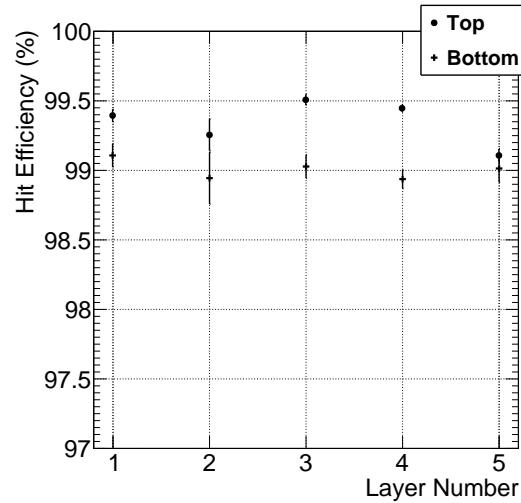


Figure 14: The hit reconstruction efficiency as a function of detector layer.

which intersect regions with known bad channels or pass very close to the edge region were excluded. The hit reconstruction efficiency, see Figure 14, was measured to be above 98% and fairly uniform across the SVT.

The spatial resolution of similar microstrip sensors is well established by test beam data, against which the charge deposition model in the simulation is validated. This resolution can be parameterized as a function of the total signal to single-strip noise and the crossing angle of tracks through the sensor. The single-hit resolution for charged particles with signal-to-noise ratio above 20, as demonstrated here, is relatively constant at approximately  $6 \mu\text{m}$  for tracks that enter approximately normal to the sensors as in HPS.

#### 7.1.2. Momentum and Vertexing Resolution

By selecting  $e^+e^-$  pairs from the triggered events we are able to study basic distributions of pair production kinematics. Pairs of oppositely charged tracks, one in the top and one in the bottom half of the SVT, with momentum larger than 400 MeV were selected. The pair production kinematics are relatively well reproduced as shown in Figure 15.

The expected momentum resolution from simulation is between 4-5% for tracks in the momentum range of the HPS Test Run. By comparing, between data and simulation, the shapes of the kinematic distributions for single- and two-track events, we estimate an agreement with the nominal scale and resolution to within 10%.

In the HPS Test Run, as well as in electron running with HPS, the dominant source of uncertainty in the tracking and vertexing is multiple Coulomb scattering. For the vertexing performance the foremost difference compared to electron beam running is that the target was located approximately 67 cm upstream from our nominal target position; giving almost collinear tracks in the detector. The increased lever arm over which tracks are extrapolated widens the resolution with up to a factor of eight (depending on momentum) compared to what is achieved at the nominal electron target position for HPS. Figure 16 shows the horizontal and vertical positions of the extrapolated track at the converter position. While residual alignments show small shifts, the good agreement between data and simulated events of the widths indicates a good understanding of the material budget and distribution in the SVT. Having the dominant contribution to the vertex resolution approximately right demonstrates that the resolution in HPS, with a target at 10 cm, will be as calculated.

#### 7.2. ECal Performance

The integrated pulse of each FADC channel was converted to energy by subtracting a pedestal and applying a conversion factor to convert ADC counts to energy. The pedestals are measured using special runs where each trigger records 100 samples of signals from the APDs with 4 ns between each sample. The pedestals

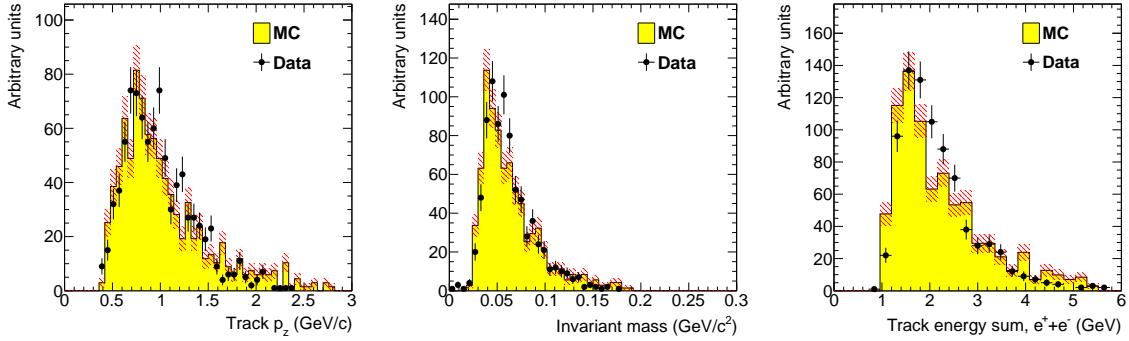


Figure 15: Kinematic distributions for  $e^+e^-$  pairs selected by opposite charged tracks in the top and bottom half of the tracker: track momentum in the top half of the SVT (left), invariant mass (middle) and the sum of the track energy for the pair (right).

were extracted from the part of the window before the actual hit in the calorimeter. Modules with signal above the threshold are clustered using a simple algorithm similar to the one deployed for the trigger (see Sec. 6.1). Due to the high effective crystal readout threshold of 73 MeV the average number of crystals in a cluster was  $\sim 3$  and the simple clustering algorithm worked well for reconstruction of the detected shower energy. An average noise level of approximately 15 MeV per crystal was measured in special pedestal runs.

The ratio of the ECal cluster energy  $E$  to the momentum  $p$  of a matched track in the SVT was used to determine the conversion factors from ADC counts to energy. To compare data and simulation, all inoperable or noisy channels in the SVT and ECal were disabled in both data and simulation so that any efficiency or bias that affect the data should be reflected in the simulation. Iteratively, conversion coefficients for each crystal were adjusted until the  $E/p$  ratio in data and simulation were similar. The distribution of the  $E/p$  ratio in data and simulation are compared in Figure 17. The peak position of the distribution indicates the sampling fraction of the ECal, the fraction of the incident particle energy measured in the cluster. The width and tails of the distribution in data indicates imperfect calibration and noise of the ECal modules. This level of calibration and the agreement with simulation was found to be sufficient to study normalized event rates in the HPS Test Run.

### 7.3. Trigger Performance

As described above in Sec. 6, the energy from each crystal is measured differently in the trigger and what is readout from the ECal. The trigger performance was studied by simulating the trigger for each event and comparing to how the events were actually triggered. To eliminate trigger bias, we use a tag and probe

method: to study the trigger performance in one half of the ECal, we select events which triggered the other half and where there was exactly one probe cluster in the ECal half under study. We then measure trigger efficiency as the fraction of tagged events that fired the trigger in the probe half as a function of the probe cluster energy, shown in Figure 18. The trigger turn-on is slow and reaches an uneven plateau at about 700 MeV for two reasons; gain variations between different crystals lead to the threshold variations and the nonlinearity of the time-over-threshold integral means that the effective threshold is higher for clusters that span multiple crystals. The effective trigger threshold is therefore dependent on position and energy of the particle as well as cluster multiplicity.

As a cross-check we simulate the FADC trigger path by converting from readout hits (with fixed-size window integration) to trigger hits (time-over-threshold integration). The CTP clustering algorithm and the trigger decision from the SSP are simulated before we compare the trigger decision and trigger time to what was reported by the actual trigger. For every event, the trigger reports the trigger decision as a bit mask (top half, bottom half or both) and the time the trigger fired. The turn-on from the trigger threshold was measured to be 1280 in units of ADC counts as expected. The threshold was not perfectly sharp because of uncertainties in the conversion from readout to trigger hits described above, but based on comparisons with simulation we found that the trigger worked exactly as specified.

### 7.4. Trigger Rate Comparisons

Trigger rates observed in the HPS Test Run are dominated by multiple Coulomb scattered  $e^+e^-$  pairs in the converter. In simulated events, the rate of triggers depend on the modeling of the pairs' angular distribution

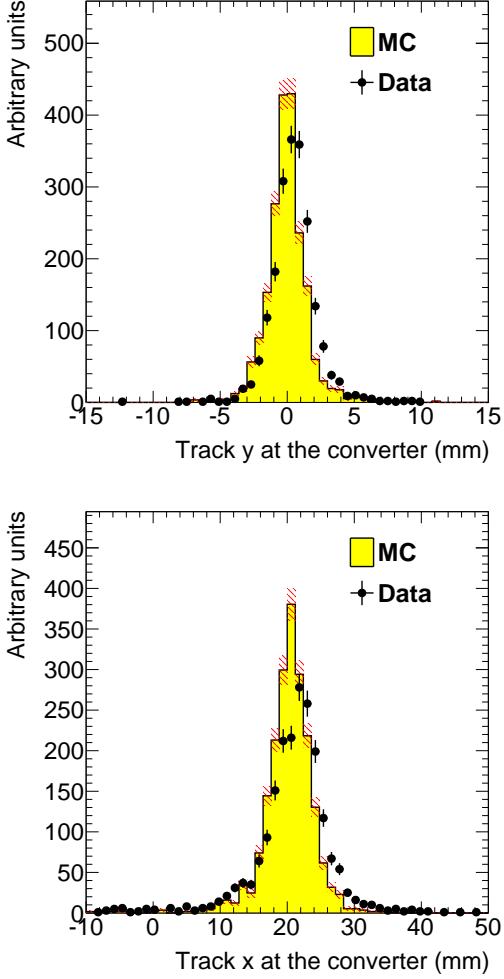


Figure 16: Vertical (top) and horizontal (bottom) extrapolated track position at the converter position taking into account the measured fringe field.

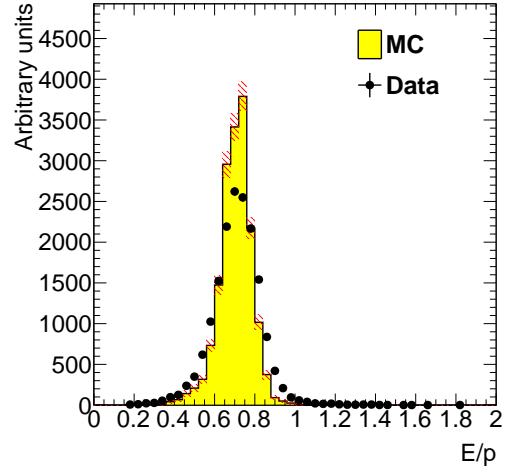


Figure 17: The ECal energy over track momentum ratio ( $E/p$ ) comparing data and simulation for single cluster triggers in the top half of the ECal.

Converter (% $X_0$ )	<b>1.60</b>	<b>0.45</b>	<b>0.18</b>
EGS5	$1162 \pm 112$	$255 \pm 28$	$94 \pm 17$
Geant4	$2633 \pm 250$	$371 \pm 38$	$114 \pm 18$
Observed	$1064 \pm 2$	$196 \pm 1$	$92 \pm 1$

Table 4: Observed and predicted event rate (in Hz) normalized to 90 nA for three different converter thicknesses. The uncertainty on the prediction includes systematic uncertainties from ECal alignment, background normalization, beam current normalization and limited statistics in the simulation.

and the subsequent multiple Coulomb scattering in the converter. Rates from different converter thicknesses are used to study the varying multiple Coulomb scattering contribution (pair production angular distribution is constant), and are compared with Geant4 [24] standard multiple scattering model and EGS5 [25]. Restricting clusters to a well calibrated region of the ECal and subtracting the “no converter” background we see agreement with the rates predicted by the EGS5 simulation program, see Table 4. This gives further confidence that the dominant source of background occupancy for HPS, multiple Coulomb scattered beam electrons, is well described.

## 8. Summary and Outlook

The HPS Test Run experiment, using a simplified version of the apparatus planned for the full HPS experiment in a parasitic photon beam, demonstrated the feasibility of the detector technologies proposed for the silicon vertex tracker, electromagnetic calorimeter,

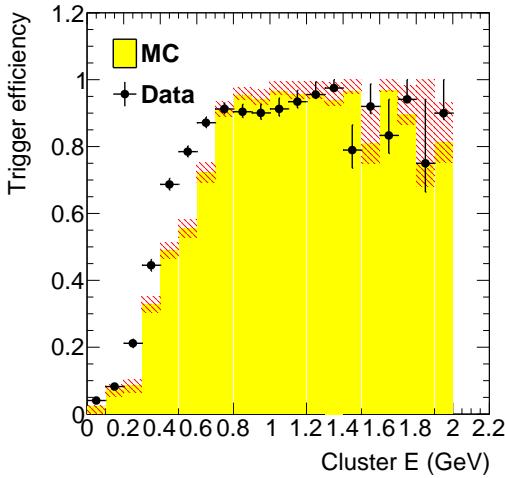


Figure 18: Trigger efficiency in both halves of the ECal for data and simulation as a function of cluster energy.

and data acquisition systems. Performance from each of these subsystems has been shown to be adequate to conduct the full experiment successfully. Studies of multiple Coulomb scattering tails of electrons and positrons from photon conversions further backs expectations from simulation, giving credence to estimates of the detector backgrounds expected in electron beam running for HPS.

## 9. Acknowledgements

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