

DD2424 - Assignment 4

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1 Introduction

The aim of this assignment is to build and train a Recurrent Neural Network to predict upcoming characters in a text. This will be done by implementing the calculations and algorithms given by the instructions for this assignment in Matlab.

1.1 Data set

The data used for this assignment is Harry Potter

2 Results

2.1 Analytical gradient checking

The analytically computed gradients were compared to numerically computed gradients, to compute the numerical gradients the code given with the assignment was used.

2.1.1 2-Layer Network

	Max	Mean	Rel. max	Rel. mean
b	6.951524e-10	2.089486e-10	3.615587e-08	3.511327e-09
c	8.490581e-10	5.324573e-10	1.341218e-09	7.265602e-10
U	3.859340e-10	1.284493e-11	1.065243e-06	2.149218e-09
W	4.301770e-10	8.402790e-11	9.309883e-04	6.037901e-07
V	4.228567e-10	8.573024e-11	9.919559e-06	1.824390e-07

Table 1: Absolute and relative differences between analytically and numerically calculated gradients

Largest relative error is $1e-4$, or .01 %, Which I consider close enough to be within margin of error.

2.1.2 Smooth loss

The network was trained for 20 epochs with $\eta = .1$ and $m = 100$. The following graph show how the smooth loss evolved during those 20 epochs.

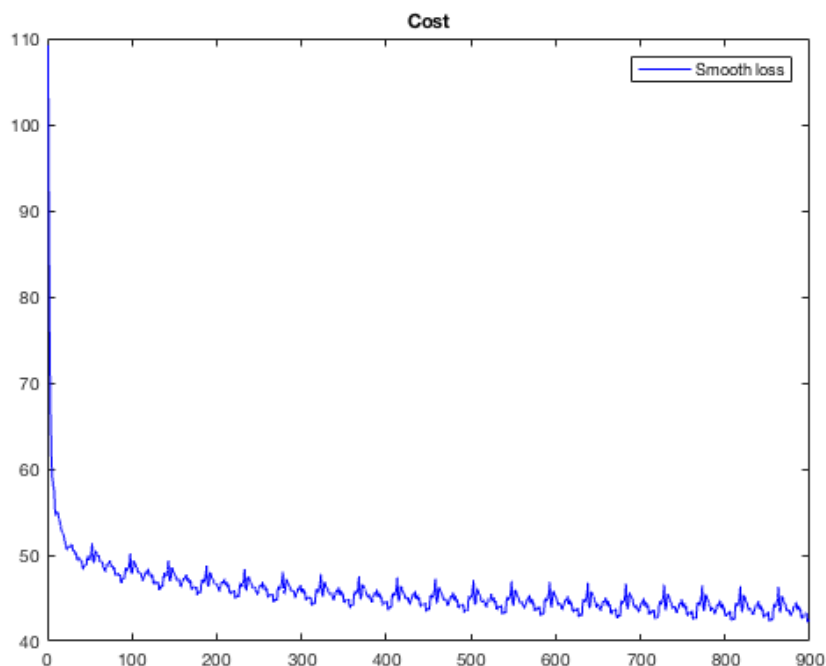


Figure 1: Smooth loss with 20 epochs of training.

2.2 Synthesized text

2.2.1 First three synthesizes

epoch: 1, iteration: 1, smooth_loss: 109.236206

}Bb:1KK!4 L2TTpy)qGjRuJzmbP?(DFK2?qTMhA4-cRHxC2pAV7avLvFigIIS3zQ16mfHA
hh')4D!' -9'CZgjpK6Qk9}6GU T
BwGVXUyrAQpfPrE_6,iSJHS,IonIh?pb1.l?6YO' _70P'xuy4jLvBht p7'J4wK1}Lui?FYXi
IwpY.beWfw:l.xFox-GrNav

epoch: 1, iteration: 10001 smooth_loss: 54.721049

duld the Dosgrint sork "I'r fory the asout bobagike ath wa were jas aed on st they fo 'led
afling toke cou hightevaippankersd,s, ha wank in viccked the toith an cadbecrert anat a
haveden laste fre b

epoch: 1,iteration: 20001 smooth_loss: 51.507630

ing eas gikn?" "Mr werro erarout fist will to was seertued you tebt a in a Krcitwe zartid pire
bith pore matiry wamain tas Chap ik whey be sering ow an chis betored teer as intheagh-
inste sead d "At t

2.2.2 Final three synthesizes

epoch: 20,iteration: 20001 smooth_loss: 43.479912

ild cerese ple sorty.. no beying go though at the - Mr they wgraagly brike artyed paghtly.
Peass come meet worry artin; he gair, whithis creak a hige, Harry. "He he howly and in
yeh it weveride, wen

epoch: 20,iteration: 30001 smooth_loss: 43.995303

rickenge stick was was currac's laher quick whing tide sic a Batie's the telon, hand the two
leat take been beeally. Harry it halp highter ovit, said? He ferilrig, but lyttwion Moody
spicket plan at,

epoch: 20,iteration: 40001 smooth_loss: 43.311042

med. . . . woldnged to the -Noak and the his it refacter ous offowly tatust it blentre,
Profes. "Its, qit he'd something might, his eled hand the linding Slompiont, stopped, his
wirver masthatiliest,

2.3 Best model

$m = 100$, $\eta = .1$

smooth_loss = 41.850540

"Oh dwy firse "Cran" were a a mady reevering e some finully fac likh bethater streached,"
Harry," sards git whingecret," said Mrs. Clalle, Mrs. He same hisser. "Yever a bott from
Dusbs annthing oneed water theess hurring the rouch as over Kauban't arrow, Kreadn't
spepter stawn Marks. "I've toid. "You've yeveraire. "Dake's greet not out oseey inting
hear him facked, would veryore Sirush of there sudne mude row!" I lever out for they're
scling!" said My-chere, shiss of Ced teachts at the weze you?" hot ha down's dmend, smautdy
prosly lialled mise the nehe off -" Harry premoner it," on "Yloksiguply mand deres neered.
"I'mid at Harry.

"Gey prypoot overearss. He dound. You re." Harry. "Ercand. "Ther, wis youls telle
and stiund the figuer reeved then been Dabbe fore. Faits," "Luptur?" svery uffor he -" You
the Crouch. Partly." "Sways flang towh opled you nown. "I were. Harrisshry ouldn't
nush's?" saily of chever for their out -" " "No! Moody mehe, I droft way foe sate. Wh

3 Code

3.1 Main

```
1 clear all;
2 clc;
3 close all;
4 format longg
5
6 %% 0.1 Read in the data
7
8 book_fname = 'Datasets/Goblet_book.txt';
9 fid = fopen(book_fname, 'r');
10 book_data = fscanf(fid, '%c');
11 fclose(fid);
12
13 book_chars = unique(book_data);
14 char_cnt = length(book_data);
15 char_to_ind = containers.Map('KeyType','char','ValueType','int32')
16     );
17 ind_to_char = containers.Map('KeyType','int32','ValueType','char')
18     );
19
20 for i = 1:length(book_chars)
21     char_to_ind(book_chars(i)) = i;
22     ind_to_char(i) = book_chars(i);
23 end;
24
25 %% 0.2 Set hyper-parameters & initialize the RNN's parameters
26
27 m = 100;
28 K = length(book_chars);
29 eta = .1;
30 seq_length = 25;
31 sig = .01;
32
33 RNN.b = zeros(m,1);
34 RNN.c = zeros(K,1);
35 RNN.U = randn(m, K)*sig;
36 RNN.W = randn(m, m)*sig;
37 RNN.V = randn(K, m)*sig;
38
39 ada.b = zeros(size(RNN.b));
```

```

38 ada.c = zeros(size(RNN.c));
39 ada.U = zeros(size(RNN.U));
40 ada.W = zeros(size(RNN.W));
41 ada.V = zeros(size(RNN.V));
42
43 %% training
44
45 epochs = 20;
46 losses = [];
47 smooth_loss = -log(1 / length(book_chars)) * seq_length;
48 lowest_loss = smooth_loss;
49 best_RNN = RNN;
50 iterations = round(char_cnt / seq_length - .5);
51
52 for e = 1 : epochs
53     for i = 1 : iterations
54         X = zeros(K, seq_length);
55         Y = zeros(K, seq_length);
56
57         X_chars = book_data((i-1)*seq_length+1:i*seq_length);
58         Y_chars = book_data((i-1)*seq_length+2:i*seq_length+1);
59
60         for p = 1:seq_length
61             X(char_to_ind(X_chars(p)), p) = 1;
62             Y(char_to_ind(Y_chars(p)), p) = 1;
63         end;
64
65         if isequal(mod(i, 10000), 1)
66             h0 = zeros(m, 1);
67             synth = Synthesize(RNN, X(:, 1), h0, 200);
68         end
69
70         [loss, a, h, p] = ForwardPass(RNN, X, Y, h0, seq_length);
71         [RNN, ada] = BackwardPass(RNN, ada, X, Y, a, h, p,
72                                   seq_length);
73
74         smooth_loss = .999 * smooth_loss + .001 * loss;
75
76         if smooth_loss < lowest_loss
77             lowest_loss = smooth_loss;
78             best_RNN = RNN;
79         end
80     end
81 end

```

```

79
80         if isequal(mod(i, 10000), 1)
81             fprintf('epoch: %d, iteration: %d smooth_loss: %f\n', e
82                 , i, smooth_loss);
83             c = [];
84             for j = 1 : length(synth)
85                 c = [c ind_to_char(synth(j))];
86             end
87             disp(c);
88         end
89         if isequal(mod(i, 1000), 1)
90             losses = [losses smooth_loss];
91         end
92     end
93 end
94
95 %% Display 1000 synthesized characters
96 fprintf('Best model, smooth_loss = %f\n', lowest_loss);
97 h0 = zeros(m,1);
98 synth = Synthesize(best_RNN, X(:,1), h0, 1000);
99 c = [];
100 for j = 1 : length(synth)
101     c = [c ind_to_char(synth(j))];
102 end
103 disp(c);
104
105 %% Plot smooth_loss
106 % Plots evolution of the cost
107 figure(1);
108 x = 1:1:epochs * round(iterations / 1000 + .5);
109 plot(x, losses, 'b');
110 title('Cost')
111 legend('Smooth loss')

```

3.2 Synthesize()

```

1 function synth_indexes = Synthesize(RNN, xt, ht, n)
2 %% 0.3 Synthesize text from your randomly initialized RNN
3     synth_indexes = int16.empty(n,0);
4     K = length(xt);
5     for t = 1:n
6         at = RNN.W * ht + RNN.U * xt + RNN.b;

```

```

7         ht = tanh(at);
8         ot = RNN.V * ht + RNN.c;
9         pt = softmax(ot);
10
11         cp = cumsum(pt);
12         a = rand;
13         ix = find(cp-a > 0);
14         ii = ix(1);
15
16         xt = zeros(K,1);
17         xt(ii) = 1;
18
19         synth_indexes(t) = ii;
20     end;

```

3.3 ForwardPass()

```

1 function [loss, a, h, p] = ForwardPass(RNN, X, Y, h0, n)
2 K = length(X);
3 m = length(h0);
4
5 p = zeros(K, n); % p_1, p_2, ..., p_n
6 h = zeros(m, n+1); % h_0, h_1, ..., h_n
7 a = zeros(m, n); % a_1, a_2, ..., a_n
8 h(:, 1) = h0;
9 loss = 0;
10
11 for t = 1:n
12     a(:, t) = RNN.U * X(:, t) + RNN.W * h(:, t) + RNN.b;
13     h(:, t+1) = tanh(a(:, t));
14     ot = RNN.V * h(:, t+1) + RNN.c;
15     p(:, t) = softmax(ot);
16     loss = loss - log(Y(:, t)' * p(:, t));
17 end

```

3.4 BackwardPass()

```

1 function [RNN, ada] = BackwardPass(RNN, ada, X, Y, a, h, p, n)
2     grads = ComputeGrads(X, Y, RNN, a, h, p, n);
3
4     % Gradient check
5     % dh = 1e-4;
6     % num_grads = ComputeGradsNum(X, Y, RNN, dh);
7     % for f = fieldnames(RNN)

```

```

8 %         num_g = num_grads.(f{1});
9 %         ana_g = grads.(f{1});
10 %
11 %         diff = abs(num_g - ana_g);
12 %         max_diff = max(diff(:));
13 %         avg_diff = mean(diff(:));
14 %         rel_diff = abs(num_g - ana_g)./abs(num_g + ana_g);
15 %         rel_diff(isnan(rel_diff)) = 0;
16 %         max_rel_diff = max(rel_diff(:));
17 %         avg_rel_diff = mean(rel_diff(:));
18 %
19 %         fprintf('Field name: %s, max diff: %d, average diff: %d
, max relative diff: %d, average relative diff: %d\n', f{1},
max_diff, avg_diff, max_rel_diff, avg_rel_diff);
20 %     end
21
22     eta = .1;
23     eps = 1e-8;
24
25     for f = fieldnames(grads) '
26         grads.(f{1}) = max(min(grads.(f{1}), 5), -5);
27     end
28
29     for f = fieldnames(RNN) '
30         ada.(f{1}) = ada.(f{1}) + grads.(f{1}).^2;
31         RNN.(f{1}) = RNN.(f{1}) - eta * (grads.(f{1}) ./ sqrt(ada
.(f{1}) + eps));
32     end;

```

3.5 ComputeGrads()

```

1 function grads = ComputeGrads(X, Y, RNN, a, h, p, n)
2     m = length(h);
3
4     grad_o = (p - Y)';
5     grads.c = (sum(grad_o))';
6     grads.V = grad_o' * h(:,2 : end)';
7
8     grad_h = grad_o(n, :) * RNN.V;
9
10    grad_a = zeros(n, m);
11    grad_a(n, :) = grad_h * diag(1 - (tanh(a(:, n))).^2);
12

```



```

13     for t = n-1 : -1 : 1
14         grad_h = grad_o(t, :) * RNN.V + grad_a(t+1, :) * RNN.W;
15         grad_a(t, :) = grad_h * diag(1 - (tanh(a(:, t))).^2);
16     end
17
18     grads.b = (sum(grad_a))';
19
20     grads.U = grad_a' * X';
21     grads.W = grad_a' * h(:, 1 : end-1)';

```