

# A Path 3-List Coloring Algorithm for Plane Graphs

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## Abstract

We present an algorithm to path 3-list-color plane graphs based on the work by Skrekovski [2] and Hartman [1].

## Introduction

All graphs discussed are assumed to be simple, undirected, and plane embedded. For plane embeddings we assume the edges around each vertex are arranged in clockwise order. For a graph  $G$ , let  $V(G)$  denote its vertex set and  $E(G)$  denote the edge set.

Using notation from [1], for  $v \in V(G)$  we will denote the *neighborhood* of  $v$  in  $G$  as  $N_G(x) = \{u \in V(G) \mid uv \in E(G)\}$ . For  $u, w \in N_G(v)$  we will use  $[u, w]_v$  and  $(u, w)_v$  to denote the ordered list of vertices between  $u$  and  $w$  in  $N_G(v)$  in clockwise embedded order, inclusive and exclusive respectively. We will use  $[u, w]'_v$  and  $(u, w)'_v$  for the equivalent counterclockwise listings. If  $C$  is a cycle, for  $u, v \in C$  let  $C[u, v]$  and  $C(u, v)$  denote the set of vertices between  $u$  and  $v$  in clockwise embedded order, inclusive and exclusive respectively.

If  $L(v)$  assigns a list of  $k$  colors to each vertex  $v \in V(G)$ , a *k-list-coloring* colors  $G$  such that each  $v \in V(G)$  is colored from  $L(v)$ . A *path k-list-coloring* is a  $k$ -list-coloring such that each color class induces a disjoint union of paths.

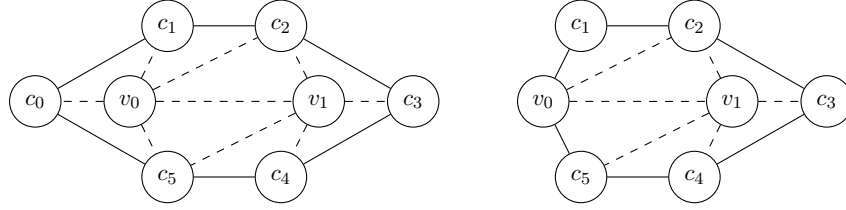
## Vertex Removal

In this section we present a lemma describing the effects of vertex removal on weakly triangulated plane graphs. This will be necessary to remove already colored paths from our graph. We consider removing a vertex from the outer face that has only two neighbors in the outer face (i.e. no chords).

**Lemma 1.** Let  $G$  be a weakly triangulated 2-connected graph with  $|V(G)| \geq 4$  and outer face  $C = c_0c_1 \dots c_n$  in clockwise embedded order. Then if  $N_C(c_0) = \{c_1, c_n\}$ ,  $G_0 = G - c_0$  is a weakly triangulated graph with  $|V(G_0)| \geq 3$  and outer face  $C_0 = c_1 \dots c_n(c_1, c_n)'_{c_0}$ .

*Proof.* First notice that  $G_0$  is clearly weakly triangulated since  $G$  was weakly triangulated and we removed a vertex from the outer face. Furthermore,  $C_0$  is a cycle the path  $(c_1, c_n)'_{c_0}$  was disjoint from  $C$ . Therefore for each  $u, v \in C$  there will be two vertex disjoint  $uv$ -paths. Since  $G_0$  is weakly

triangulated, each  $v \in G_0 - C$  will have at least two vertex disjoint paths to vertices on the outer face. Thus,  $G_0$  is 2-connected.  $\square$



Removing  $c_0$  with Lemma 1.

## Path 3-List Coloring Plane Graphs

In this section we present a correct algorithm for producing path 3-list coloring of a plane graph. The following theorem is equivalent to the results produced by Hartman in [1] and independantly by Skrekovski in [3]. The objective in restructuring the theorem is to empasize the mechanical operations that would take place in an algorithm implementation to produce such a coloring.

**Theorem 2.** Let  $G$  be a weakly triangulated 2-connected graph with outer face  $C = c_0c_1 \dots c_n$  and let  $x, y \in C$ , not necessarily distinct. Suppose  $L(v)$  assigns a list of colors to each  $v \in V(G)$  such that

$$\begin{aligned} |L(v)| &\geq 1 && \text{if } v = x \text{ or } v = y; \\ |L(v)| &\geq 2 && \text{if } v \in C, v \neq x, v \neq y; \\ |L(v)| &\geq 3 && \text{otherwise.} \end{aligned}$$

Let  $P$  be a (potentially null) induced path in  $G$  of vertices in  $C[x, y]$ . Assume there exists color  $\alpha$  such that for all  $v \in P$ ,  $\alpha \in L(v)$  and  $v$  has been colored  $\alpha$ . Also, for all  $u \in C[x, y] - P$ ,  $\alpha \notin L(u)$ . If  $x = y = p$ , with  $p$  the first vertex of  $P$ , then  $p$  may be colored  $\beta \neq \alpha$ .

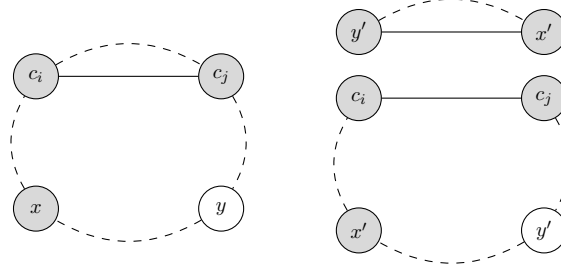
There exists a path list-coloring of  $G$  from list assignment  $L(v)$  such that  $x$  and  $y$  have at most one neighbor of the same color and no neighbors of  $P$  recieve color  $\alpha$ .

*Proof.* We proceed by induction on  $|V(G)|$ . If  $|V(G)| \leq 3$  the statement is trivial. Let  $|V(G)| \geq 4$  and suppose the statement holds for all graphs  $G'$  with  $|G'| < |G|$ . Let  $C = xc_1 \dots c_n$  be the outer face of  $G$ .

Suppose  $P$  is a null path. We will construct a new induced path  $P$  starting with  $x$  and satisfying the parameters of the Theorem statement. Let  $\alpha$  be the first, and possibly only, color in  $L(x)$ . Initialize  $P$  to be the singleton path  $x$ . Let  $c_i \in C[x, y]$  be the current end of the path  $P$ . Let  $c_j$  be the closest vertex to  $y$  in  $N_C(c_i) \cap C(c_i, y]$  such that  $\alpha \in L(c_j)$ . If such a  $c_j$  exists, append it to  $P$  and consider this new end vertex. Otherwise we are done and we color each vertex in  $P$  with  $\alpha$ .

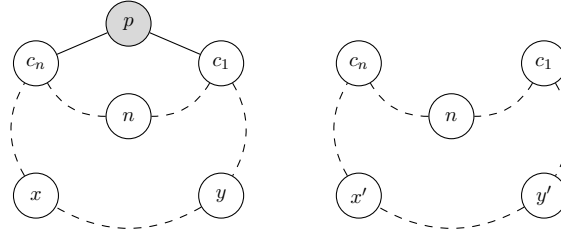
Suppose there exists a non-null induced path  $P$ . There are several cases to consider.

Case 1: Suppose  $P$  takes a chord  $c_i c_j$ ,  $j > (i + 1)$ , across  $C$ . Then the inductive hypothesis holds for the subgraph  $G_0$  bounded by  $C[c_i, c_j]$  with  $x = c_j$ ,  $y = c_i$ , and  $P = c_j c_i$ . Furthermore, the inductive hypothesis holds for the subgraph  $G_1$  bounded by  $C[c_j, c_i]$  with  $P' = P$ ,  $x' = x$ ,  $y' = y$ . Since  $G_0$  and  $G_1$  share only vertices in  $P$ , the coloring of  $G = G_0 \cup G_1$  will be a path coloring.



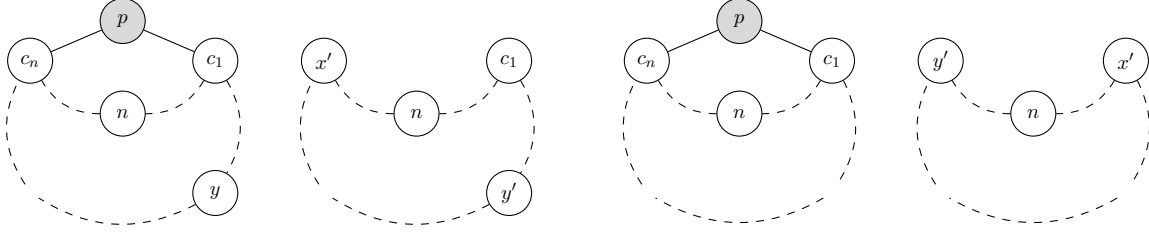
Case 1:  $P$  takes a chord along  $C$ .

Case 2: Suppose  $P$  does not take any chords of  $C$  (i.e.  $P$  is a continuous section of the outer face). We will remove  $p$ , the first vertex in the the path  $P$ , and show the inductive hypothesis holds for each of the remaining blocks of  $G - p$ . Let denote the vertices of the outer face in clockwise order as  $C = pc_1 \dots c_n$ .



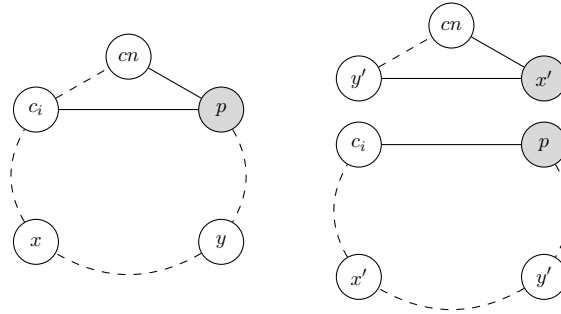
Case 2.1:  $p \neq x$ ,  $p \neq y$ .

Case 2.1: Suppose  $N_C(p) = \{c_1, c_n\}$ . Let  $\alpha$  be the color of  $p$ . For each vertex  $n \in N_G(p) - P$  assign  $L'(n) = L(n) \setminus \{\alpha\}$ , and set  $L'(v) = L(v)$  for all other vertices  $v$ . After removing  $p$ , there may be vertices in  $P' = P - p$  and it must be maintained that  $P'$  is between  $x'$  and  $y'$  clockwise on the outer face. If  $x = y = p$  ( $x$  and  $y$  are removed), set  $x' = c_1$  and  $y' = c_n$ . If  $p = x$ ,  $p \neq y$  ( $x$  is removed) we set  $x' = c_n$ , otherwise  $x' = x$ . Similarly, if  $y = p$  set  $y' = c_1$ , and otherwise  $y' = y$ . Since for any  $v \in C[x, y]$ ,  $\alpha \notin L(v)$ , for all  $n \in N_G(p)$  such that  $n \in C[x, y]$ ,  $|L'(n)| \geq 2$ . Furthermore, for  $n \in N_G(p)$ ,  $n \notin C$ ,  $|L'(v)| \geq 2$ .

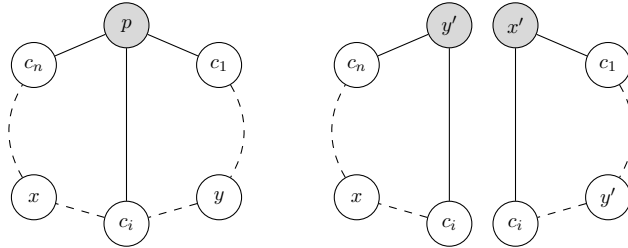
Case 2.1:  $p = x, p \neq y$  (left), and Case 2.1:  $p = x = y$  (right).

If  $p \neq x$  and  $p \neq y$ , then clearly all  $n \in N_G(p)$  fit these the above criteria. Thus, using Lemma 1 the inductive hypothesis holds for  $G_0 = G - p$ ,  $x'$ ,  $y'$ ,  $P'$ , and  $L'(v)$ . If  $x = y = p$ , we may have  $|L'(c_n)| = 1$  and  $|L'(c_1)| = 1$ , but we have  $x' = c_1$  and  $y' = c_n$  so the hypothesis holds. In the case  $x = p$  (or  $y = p$ ), we may have  $|L'(c_n)| = 1$  (or  $|L'(c_1)| = 1$ ), but  $x' = c_n$  (or  $y' = c_1$ ) so the inductive hypothesis still holds.

Case 2.2: Suppose  $|N_C(p)| > 2$ . Let us select the largest  $i \in \mathbb{Z}^+$  such that  $c_i \in N_C(p)$  and  $c_i \in C(c_1, c_n)$ . Since  $P$  is induced in  $G$ ,  $c_i \in C(p', c_n)$ .

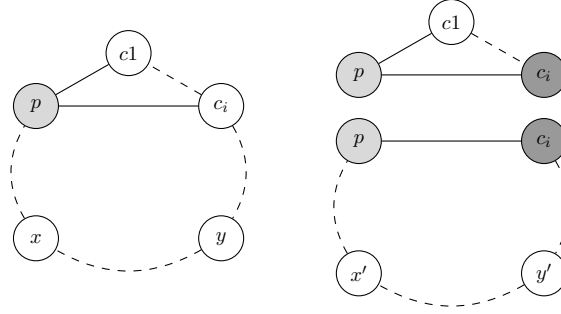
Case 2.2.1:  $c_i \in C[x, c_n]$ .

Case 2.2.1: Suppose  $c_i \in C[x, c_n]$ . Notice the inductive hypothesis holds for the to the subgraph  $G_0$  bounded by  $C[c_i, p]$  and the edge  $pc_i$  with  $P' = p$ ,  $x' = p$  and  $y' = c_i$ . Furthermore, the inductive hypothesis holds for the subgraph  $G_1$  bounded by  $C[p, c_i]$  and the edge  $pc_i$  with  $P' = P$ ,  $x' = x$ , and  $y' = y$ . So the coloring of  $G = G_0 \cup G_1$  is a path coloring.

Case 2.2.2:  $c_i \in C(x, y]$ .

Case 2.2.2: Suppose  $c_i \in C[y, x]$ . Notice the inductive hypothesis holds for the subgraph  $G_0$  bounded by  $C[c_i, p]$  and the edge  $pc_i$  with  $P' = p$ ,  $x' = x$ , and  $y' = p$ . Furthermore, the inductive hypothesis holds for the subgraph  $G_1$  bounded by  $C[p, c_i]$  and the edge  $pc_i$ ,  $P' = P$ ,  $x' = p$ ,  $y' = y$ . Since the removal of  $p$  in  $G_0$  and  $G_1$  will set  $c_i$  to  $x$  and  $y$  respectively,  $c_i$  will have at most one

neighbor in each subgraph. Therefore the coloring of  $G = G_0 \cup G_1$  is a path coloring.



Case 2.2.3:  $c_i \in C(y, p')$ .

Case 2.2.3: Suppose  $c_i \in C(p', y)$ . This is similar to Case 2.2.1, but with the added difficulty that there might be remaining vertices of  $P$  in the subgraph not containing  $x$  and  $y$ . First notice that the inductive hypothesis holds for the subgraph  $G_0$  bounded by  $C[c_i, p]$  and the edge  $pc_i$ ,  $P' = p$ ,  $x' = x$ ,  $y' = y$ . Now  $c_i$  is colored with some color  $\beta \neq \alpha$  by the path coloring of  $G_0$ . Let  $G_1$  be the subgraph bounded by  $C[p, c_i]$  and the edge  $pc_i$ . Then the inductive hypothesis holds for  $G_1$  with  $P' = c_iP$ ,  $x' = c_i$ ,  $y' = c_i$ . Since  $c_i$  will have no same color neighbors in  $G_1$ , the coloring of  $G = G_0 \cup G_1$  is a path coloring.  $\square$

Since adding edges does not make a graph easier to color, we may add edges to weakly triangulate any graph. Then we may assign color lists of size 3 to each vertex, set  $x$  and  $y$  to be arbitrary vertices on the outer face, and apply Theorem 2.

## References

- [1] Hartman, C., "Extremal problems in graph theory," Ph.D. thesis, Department of Mathematics, University of Illinois at Urbana-Champaign, 1997.
- [2] Skrekovski, R., "List improper colourings of planar graphs," *Combinatorics, Probability and Computing*, vol. 8, pp. 293-299, 1999.