

# Implementing Path 3-Coloring and Path 3-Choosing Algorithms on Plane Graphs

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## Abstract

Path coloring a graph partitions its vertices into sets inducing a disjoint union of paths. In this project we consider several algorithms to compute path colorings of graphs embedded in the plane. We first implement an algorithm path 3-color plane graphs from Poh's proof in [a]. Second, we present a linear time implementation of an algorithm to path 3-choose plane graphs from the independent work of Hartman [b] and Skrekovski [c].

## 1 Introduction

All graphs discussed in this project will be simple, undirected, and finite. A graph is planar if it may be drawn in the plane without edge crossings. A  $k$ -coloring of a graph partitions its vertices into  $k$  color classes. Such a coloring is called proper if each color class consists of nonadjacent vertices.

In 1976 Appel and Haken [d,e] displayed that all planar graphs have a proper 4-coloring. This result is best possible and solved the century old Four Color Conjecture. Generalizations of proper coloring were introduced in [f,g,h] allowing color classes to form forests, or allowing vertices to have some bounded number of same color neighbors. Cowen et al. ([j]) show a best possible result that planar graphs may be 3-colored such that each vertex receives at most two same color neighbors.

We will be considering the problem of path coloring, producing a  $k$ -coloring of a graph such that each color class induces a disjoint union of paths, or equivalently a forest where each component is a path. This coloring was introduced by Harary in [i]. Note that this is similar to the defective coloring of Cowen et al. above, with the added restriction that path coloring forbids cycles. In [l] Poh displays that all planar graphs have a path 3-coloring. Here we present an implementation of Poh's algorithm to path 3-color plane graphs.

Given a list of  $k$  colors for each vertex, a  $k$ -list-coloring, or  $k$ -choosing, assigns each vertex a color from its list. If a graph has a proper  $k$ -choosing it is said to be  $k$ -choosable. List-coloring was first introduced by Erdős et al. in [m]. Thomassen in [n] proves that all planar graphs are 5-choosable. Planar graphs that are not 4-choosable are described by Mirzakhani in [o] and Voigt in [p], so Thomassen's result is best possible. Jensen and Toft in [t] note that Thomassen's proof yields a linear algorithm for 5-choosing plane graphs.

Hull and Eaton in [q] prove planar graphs are 3-choosable such that each vertex receives at most two same color neighbors, and furthermore show this result is best possible. Hartman in [r] and Skrekovski in [s] independently provide similar proofs that planar graphs are path 3-choosable. Hartman claims the proof yields a linear time algorithm for path 3-list-coloring, and thus path 3-coloring, plane graphs. Here we present a linear time implementation of Hartman and Skrekovski's algorithm.

## 2 Path 3-Coloring Plane Graphs

We first restate the algorithm and proof of Poh [l]. This proof yields a simple algorithm for path 3-coloring plane graphs.

**Theorem 1.** Let  $G$  be a 2-connected weakly triangulated plane graph or a complete graph on two vertices and suppose the outer face  $C$  has been 2-colored such that each color class induces a non-empty path. This 2-coloring may be extended to a path 3-coloring of  $G$  such that no vertex in  $V(C)$  receives a same color neighbor in  $V(G) \setminus V(C)$ .

*Proof.* If  $|V(G)| \leq 3$  the coloring follows trivially. Let  $|V(G)| > 3$  and suppose the theorem holds for all graphs  $H$  with  $|V(H)| < |V(G)|$ . Let  $P = p_0 \dots p_n$  and  $Q = q_0 \dots q_m$  denote the two induced paths from the 2-coloring of  $C$  such that the edges  $p_0q_0$  and  $p_nq_m$  are in  $C$ . Suppose there exist uncolored vertices, that is  $V(G) \setminus V(C) \neq \emptyset$ .

Let  $t_0$  be the vertex forming a face with  $p_0$  and  $q_0$ . If  $t_0 \in P$ , this face is already colored and we consider the graph bounded by  $P - p_0$  and  $Q$ . Similarly, if  $t_0 \in Q$  then the inductive hypothesis applies to the graph bounded by  $P$  and  $Q - q_0$ . Let  $t_1$  be the vertex forming a face with  $p_n$  and  $q_m$  and proceed in the same manner until  $t_1$  is not in either path.

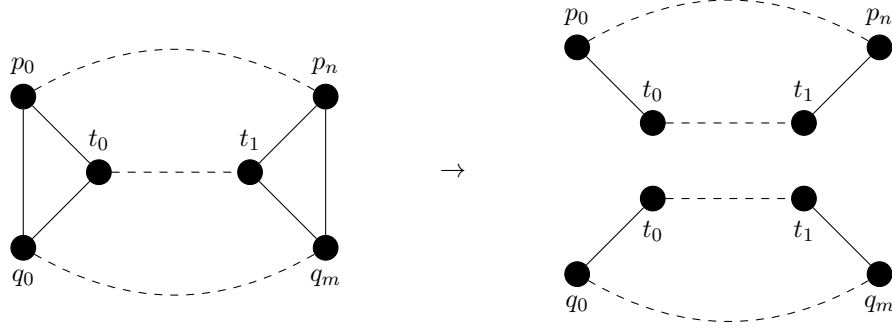
Suppose there exists an induced path  $T$  from  $t_0$  to  $t_1$ . We color  $T$  the remaining color not assigned to  $P$  or  $Q$  and apply the inductive hypothesis to the subgraph bounded by  $P$  and  $T$ , and the subgraph bounded by  $T$  and  $Q$ . With only the path  $T$  in common between the two subgraphs, the combined 3-coloring forms a path coloring of  $G$ .

Suppose no such path exists from  $t_0$  to  $t_1$ . Since  $G$  is weakly triangulated there must exist an edge  $p_iq_j \in E(G) \setminus E(C)$  with  $p_i \in P$  and  $q_j \in Q$ . We separately apply the inductive hypothesis to the subgraph bounded by  $p_0 \dots p_i$  and  $q_0 \dots q_j$ , and the subgraph bounded by  $p_i \dots p_n$  and  $q_j \dots q_m$ . The two subgraphs only share the vertices  $p_i$  and  $q_j$ , thus the combined 3-coloring forms a path coloring of  $G$ .  $\square$

### Implementing Poh's Algorithm

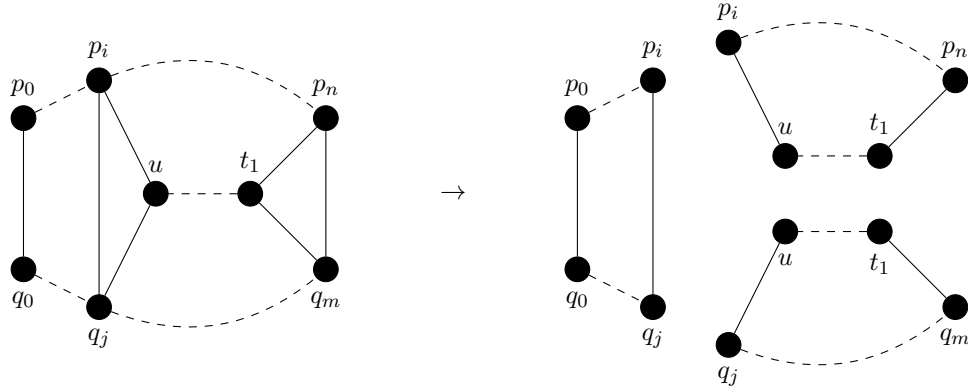
Let the plane graph  $G$  be represented as an adjacency list and an ordering of neighbors following a combinatorial embedding. We track the paths  $P$  and  $Q$  by marking each vertex with its respective path and storing the path start and end vertices  $p_0$ ,  $p_n$ ,  $q_0$ , and  $q_m$ . We first find  $t_1$  by looking through the ordered neighbors of  $q_m$  and take the vertex counterclockwise past  $p_n$ . This step is repeated until the graph is colored or  $t_1 \notin P \cup Q$ .

We perform a breadth first search starting at  $t_1$  and storing parents for each vertex visited. Vertices marked to be in  $P$  or  $Q$  will be ignored, in this way containing the search within the current bounded subgraph. The search terminates once a vertex  $u$  with adjacent neighbors  $p_i \in P$  and  $q_j \in Q$  has been reached. An induced  $ut_1$ -path is produced by backtracking through the search from  $u$ . We color and mark each vertex on the new path with the remaining color not used to color  $P$  or  $Q$ .



**Figure 2.1** The case  $p_i = p_0$  and  $q_j = q_0$ .

To color the remaining graph we recurse on both the region bounded by the  $p_i p_n$ -path and  $ut_1$ -path, and the region bounded by the  $ut_1$ -path and  $q_j p_m$ -path. If  $p_i = p_0$  and  $q_j = q_0$  we are done and this mimicks the case of a  $t_0 t_1$  path in with  $u = t_0$ . If  $p_i \neq p_0$  or  $q_j \neq q_0$  we handle the remaining subgraph by recursing on the region bounded by the  $p_0 p_i$ -path and  $q_0 p_j$ -path. Note each recursive step is independant and vertex marks are shared, thus the algorithm may instead proceed iteratively by pushing paths, represented by their start and end vertices, into a stack or queue.



**Figure 2.2** The case  $p_i \neq p_0$  or  $q_i \neq q_0$ .

Locating  $t_1$  requires a single neighbor lookup. The amortized complexity of a neighbor lookup is  $O(|E|/|V|)$ . Each vertex may be  $t_1$  at most once so over the entire graph we perform at most  $|V|$  neighbor lookups. In planar graphs  $|E| \leq 3|V| - 6$ , and  $O(|E|) = O(|V|)$ . Therefore, the amortized complexity of this step is  $O(|V|^2/|V|) = O(|V|)$ . We also perform at most one breadth first search from each  $t_1$  with complexity  $O(|V|)$ . Therefore the complexity of the serach step over the entire graph is  $O(|V|^2)$ . This gives us an overall amortized complexity of  $O(|V| + |V|^2) = O(|V|^2)$ .

### 3 Path 3-Choosing Plane Graphs

The following is a restatment of a theorem of Hartman [r] and Skrekovski [s]. We provide a modification of the proof that limits each inductive step to considering a single vertex and its set of

neighbors. In this way the proof follows a similar process to that of Thomassen [n] and yields a linear time implementation as suggested by Hartman [r] detailed in following sections.

Suppose  $C$  is the outer cycle of a weakly triangulated plane graph  $G$ . Using notation from [r] for  $u, v \in V(C)$  we let  $C[u, v]$  denote the path from  $u$  to  $v$  clockwise along the outer face. If we wish to exclude  $u$  or  $v$  from this path we will use parenthesis,  $C(u, v)$ . Similarly, for  $v \in V(G)$  and  $u, w \in N(v)$  we let  $[u, w]_v$  denote the path from  $u$  to  $w$  clockwise around  $v$ , assuming triangulated faces.

Note that in all figures solid circles denote vertices yet to be colored, and colored vertices will be labeled with their assigned color. Labels  $\alpha$  and  $\beta$  will denote distinct colors. If a vertex is unlabeled it represents arbitrary color assignment from its list.

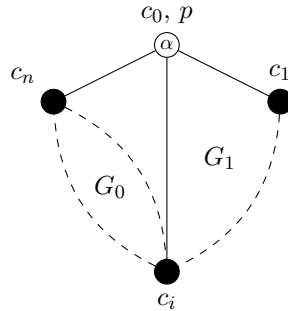
**Theorem 2.** Let  $G$  be a 2-connected weakly triangulated plane graph, or a complete graph on one or two vertices, with outer face  $C$ . Let  $x, y \in V(C)$  be not necessarily distinct, potentially precolored vertices. Let  $p \in C[x, y]$  be precolored some color  $\alpha$ . Suppose  $L(v)$  assigns a list of colors to each  $v \in V(G)$  that has not been precolored such that

$$\begin{aligned} |L(v)| &\geq 1 && \text{if } v = x \text{ or } v = y; \\ |L(v)| &\geq 2 && \text{if } v \in V(C) \setminus \{x, y\}; \\ |L(v)| &\geq 3 && \text{otherwise.} \end{aligned}$$

If  $p \neq x$ , let  $\alpha \notin L(v)$  for any  $v \in V(C[x, p])$ .

This coloring may be extended to a path choosing of  $G$  from  $L$  such that  $x, y$ , and  $p$  each receive at most one same color neighbor. If  $x = y$  then  $x$  and  $y$  receive no same color neighbors. If  $y = p$ , or  $y$  is immediately prior to  $p$  on the outer face and  $\alpha \notin L(y)$ , then  $y$  receives no same color neighbors.

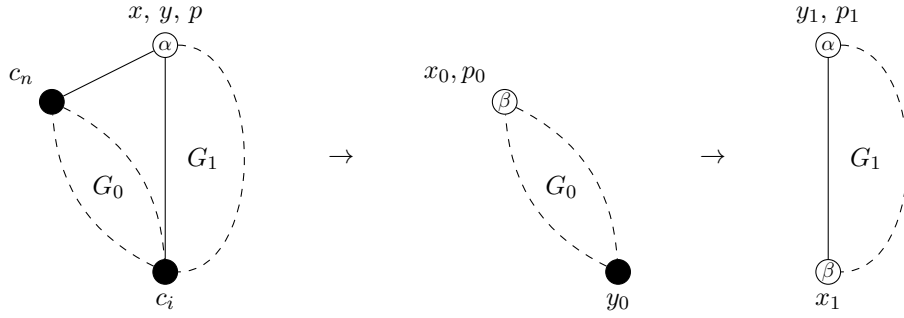
*Proof.* If  $|V(G)| \leq 2$  the theorem easily follows. Suppose  $|V(G)| > 2$  and the theorem holds for all graphs  $H$  with  $|V(H)| < |V(G)|$ . Let  $C = c_0 c_1 \dots c_n$  denote, in clockwise order, the outer face of  $G$  with  $p = c_0$ . There are several cases to consider. Let  $c_i$  be the next vertex in  $V(C) \cap N(p)$  counterclockwise from  $c_n$ . Let  $G_0$  be the subgraph bounded by the cycle formed from  $C[c_i, c_n]$  and  $[c_n, c_i]_p$ . If  $c_i \neq c_1$  let  $G_1$  be the subgraph bounded by the cycle formed from  $C[p, c_i]$  and the edge  $pc_i$ . As seen in Figure 3.1  $G = G_0 \cup G_1$  and  $V(G_0) \cap V(G_1) = \{c_i\}$ . We will display in each case that the inductive hypothesis holds for each subgraph and their union still forms a path choosing of  $G$  from  $L$ . If  $c_i = c_1$  we will say  $G_1$  does not exist and handle this case specially noting  $G_0 = G - p$ .



**Figure 3.1** The subdivision of  $G$  into  $G_0$  and  $G_1$ .

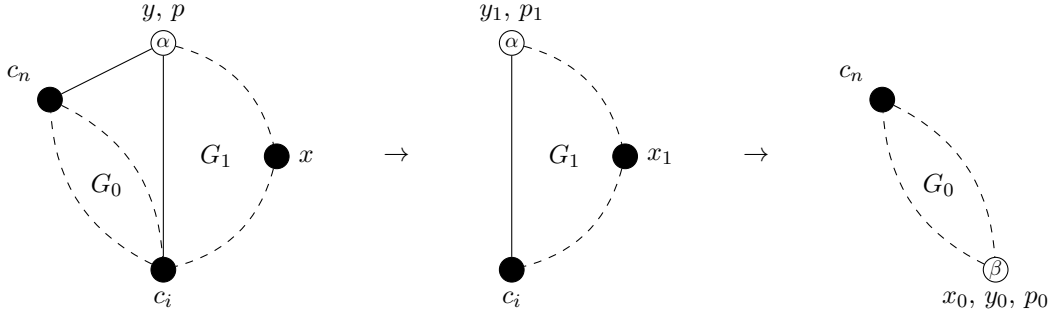
For all  $v \in (N(p) \cap V(G_0)) \setminus \{x, y\}$  we note if  $v = c_n$  or  $v = c_i$  then  $|L(v)| \geq 2$ , and  $|L(v)| \geq 3$  otherwise. We define a new list assignment  $L_0$  such that  $L_0(v) = L(v) \setminus \{\alpha\}$  for  $v \in N(p) \cap V(G_0)$ , and  $L_0(v) = L(v)$  for  $v \in V(G_0) \setminus N(p)$ . Note that all  $v \in N(p) \cap V(G_0)$  will be on the outer face of  $G_0$ . Thus  $|L_0(v)| \geq 3$  for all interior vertices  $v$  of  $G_0$ . Except for a few special cases for  $y$  mentioned below,  $|L_0(v)| \geq 1$  for all  $v \in \{x, y, c_i, c_n\}$ . Finally,  $|L_0(v)| \geq 2$  for all other  $v$  on the outer face of  $G_0$ . By choosing  $G_0$  from  $L_0$  we ensure  $p$  receives no  $\alpha$  colored neighbors in  $G_0$ , except in a few specially handled cases where  $c_i$  is colored  $\alpha$ .

If  $\alpha \in L(y)$  and  $y \in V(G_0) \cap N(p)$  it may be that  $|L_0(y)| = 0$ . To handle this we first note  $y \in \{c_n, c_i\}$ . The case  $y = c_i$  will require no special treatment as  $c_i$  will naturally be colored  $\alpha$ . Suppose  $y = c_n$ . Then  $x = p$  as otherwise  $y \in C[x, p]$  and  $\alpha \notin L(y)$ . Color  $y$  with  $\alpha$  and apply the inductive hypothesis to choose  $G$  from  $L$  with  $x' = y$ ,  $y' = x$ , and  $p' = y$ . Otherwise suppose  $y \neq c_n$ .



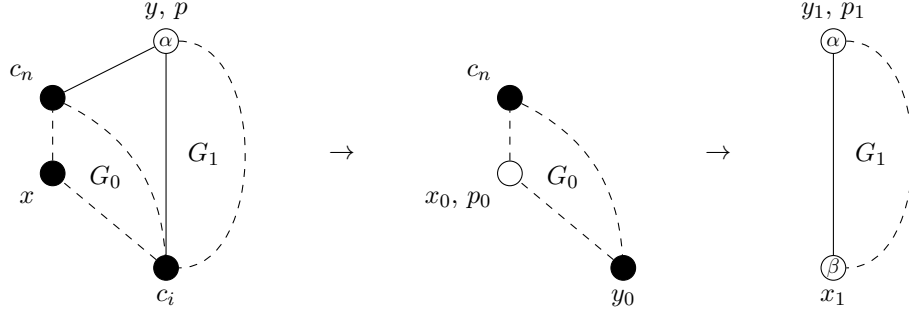
**Figure 3.2** The case  $x = y = p$ .

Suppose  $x = y = p$ . Color  $c_i$  from  $L_0(c_i)$ . Apply the inductive hypothesis to choose  $G_0$  from  $L$  with  $x_0 = c_n$ ,  $y_0 = p_0 = c_i$ . If  $G_1$  exists we apply the inductive hypothesis again to choose  $G_1$  from  $L$  with  $x_1 = c_i$ ,  $y_1 = y$ , and  $p_1 = p$ . Since  $c_i$  receives at most one neighbor in each  $G_0$  and  $G_1$ , the combined coloring forms a path choosing of  $G$  from  $L$ .



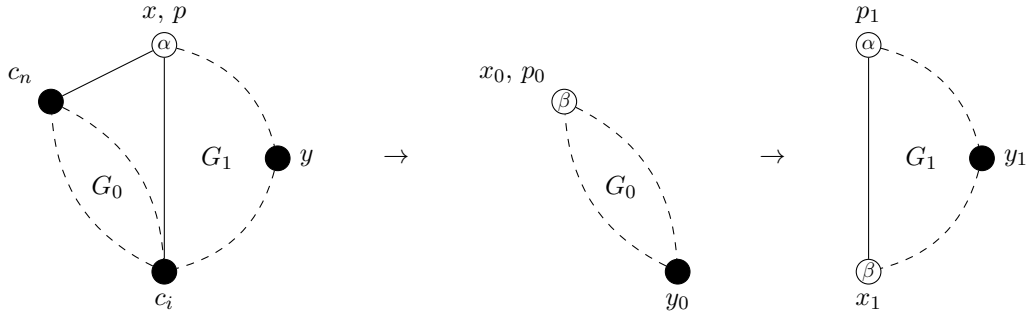
**Figure 3.3** The case  $y = p$ ,  $x \neq p$ , and  $c_i \in C[x, p]$  (shown is the case  $x \neq c_i$ ).

Suppose  $y = p$ ,  $x \neq p$ , and  $c_i \in C[x, p]$ . If  $G_1$  exists, apply the inductive hypothesis to choose  $G_1$  from  $L$  with  $x_1 = x$ ,  $y_1 = y$ , and  $p_1 = p$ . Apply the inductive hypothesis to choose  $G_0$  from  $L_0$  with  $x_0 = y_0 = p_0 = c_i$ . If  $G_1$  exists note  $c_i$  was precolored from the choosing of  $G_1$  and receives no same color neighbors in  $G_0$ . Thus the combined coloring forms a path choosing of  $G$  from  $L$ .



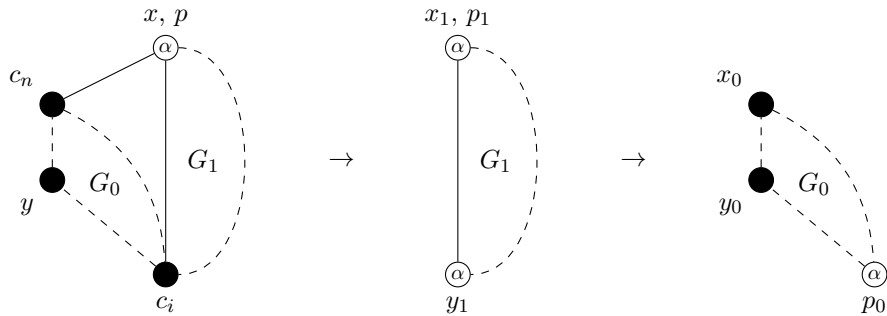
**Figure 3.4** The case  $y = p$ ,  $x \neq p$ , and  $c_i \notin C[x, p]$ .

Suppose  $y = p$ ,  $x \neq p$ , and  $c_i \notin C[x, p]$ . Color  $x$  from  $L_0(x)$  and apply the inductive hypothesis to choose  $G_0$  from  $L_0$  with  $x_0 = p_0 = x$  and  $y_0 = c_i$ . If  $G_1$  exists we apply the inductive hypothesis again to choose  $G_1$  from  $L$  with  $x_1 = c_i$ ,  $y_1 = y$ , and  $p_1 = p$ . Notice  $c_i$  receives at most one same color neighbor in each  $G_0$  and  $G_1$ .



**Figure 3.5** The case  $x = p$ ,  $y \neq p$ , and  $c_i \in C(y, x)$ .

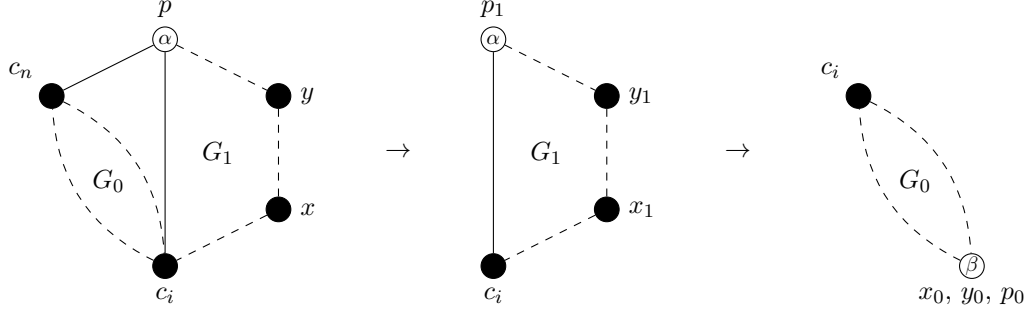
Suppose  $x = p$ ,  $y \neq p$ , and  $c_i \in C(y, x)$ . Apply the inductive hypothesis to choose  $G_0$  from  $L_0$  with  $x_0 = p_0 = c_n$  and  $y_0 = c_i$ . In this case  $G_1$  must exist and we apply the inductive hypothesis to choose  $G_1$  from  $L$  with  $x_1 = c_i$ ,  $y_1 = y$ , and  $p_1 = p$ . Notice  $c_i$  receives at most one same color neighbor in each  $G_0$  and  $G_1$ .



**Figure 3.6** The case  $x = p$ ,  $y \neq p$ , and  $c_i \notin C(y, x)$  (shown is the case  $y \neq c_i$  and  $\alpha \in L(c_i)$ ).

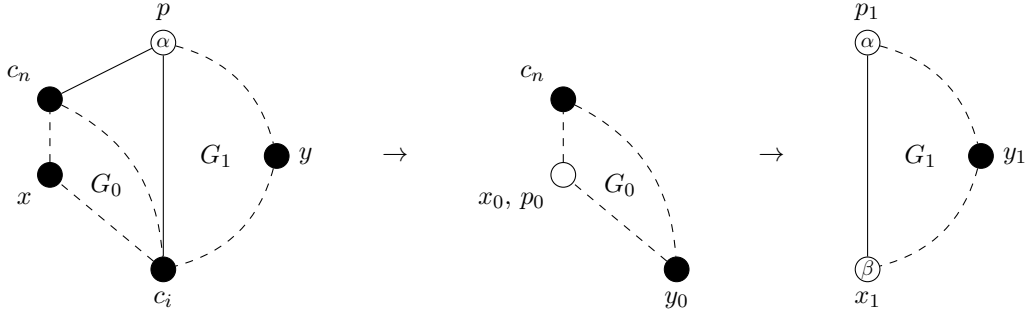
Suppose  $x = p$ ,  $y \neq p$ , and  $c_i \notin C(y, x)$ . If  $\alpha \in L(c_i)$  we set  $p_0 = c_i$  and color  $c_i$  with  $\alpha$ . Otherwise, set  $p_0 = c_n$  and color it with the first color in  $L_0(c_n)$ , note  $|L_0(c_i)| \geq 2$  in this case. Apply the inductive hypothesis to choose  $G_0$  from  $L_0$  with  $x_0 = c_n$ ,  $y_0 = y$ , and  $p_0$ . If  $G_1$  exists, apply the inductive hypothesis to choose  $G_1$  from  $L$  with  $x_1 = p_1 = p$  and  $y_1 = c_i$ . Notice if  $p_0 = c_i$ ,  $c_i$  receives at most one same color neighbor in  $G_0$  and the single same color neighbor  $p$  in  $G_1$ .

Furthermore,  $p$  will receive no same color neighbor in  $G_1$  other than  $c_i$ . If  $p_0 \neq c_i$ , then  $y_1 = c_i$  is immediately prior to  $p_1 = p$  and  $\alpha \notin L(c_i)$ . Thus  $c_i$  will receive no same color neighbors in  $G_1$ .



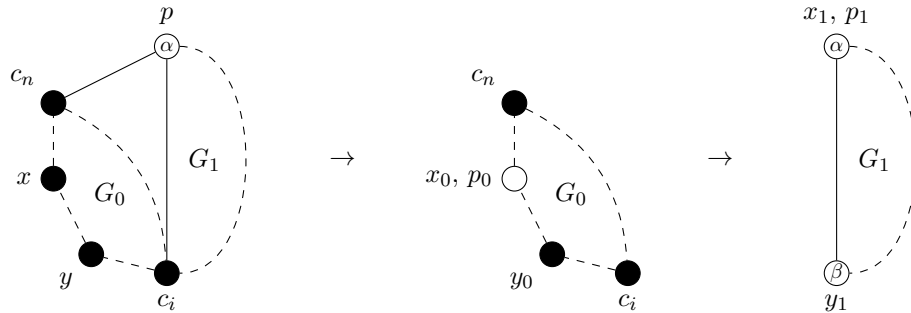
**Figure 3.7** The case  $x \neq p$ ,  $y \neq p$ , and  $c_i \in C[x, p]$  (shown is the case  $x \neq c_i$ ).

Suppose  $x \neq p$ ,  $y \neq p$ , and  $c_i \in C[x, p]$ . In this case  $G_1$  must exist and we apply the inductive hypothesis to choose  $G_1$  from  $L$  with  $x_1 = x$ ,  $y_1 = y$ , and  $p_1 = p$ . Apply the inductive hypothesis again to choose  $G_0$  from  $L_0$  with  $x_0 = y_0 = p_0 = c_i$ . Note that  $c_i$  was precolored from the choosing of  $G_1$  and receives no same color neighbors in  $G_0$  so the combined coloring forms a path choosing of  $G$  from  $L$ .



**Figure 3.8** The case  $x \neq p$ ,  $y \neq p$ , and  $c_i \in C(y, x)$ .

Suppose  $x \neq p$ ,  $y \neq p$ , and  $c_i \in C(y, x)$ . Apply the inductive hypothesis to choose  $G_0$  from  $L_0$  with  $x_0 = p_0 = x$  and  $y_0 = c_i$ . In this case  $G_1$  must exist and we apply the inductive hypothesis again to choose  $G_1$  from  $L$  with  $x_1 = c_i$ ,  $y_1 = y$ , and  $p_1 = p$ . Notice  $c_i$  receives at most one same color neighbor in each  $G_0$  and  $G_1$ .



**Figure 3.9** The case  $x \neq p$ ,  $y \neq p$ , and  $c_i \in C(p, y]$  (shown is the case  $y \neq c_i$  and  $\alpha \notin L(c_i)$ ).

Finally, suppose  $x \neq p$ ,  $y \neq p$ , and  $c_i \in C(p, y]$ . If  $\alpha \in L(c_i)$  we set  $p_0 = c_i$  and color  $c_i$  with  $\alpha$ . Otherwise, define  $p_0 = c_1$  and color it from  $L_0(c_i)$ , noting  $|L_0(c_i)| \geq 2$  in this case. Apply the

inductive hypothesis to choose  $G_0$  from  $L_0$  with  $x_0 = x$ ,  $y_0 = y$ , and  $p_0$ . If  $G_1$  exists, apply the inductive again hypothesis to choose  $G_1$  from  $L$  with  $x_1 = p_1 = p$  and  $y_1 = c_i$ . Note that  $c_i$  was precolored by our choosing of  $G_0$ . If  $p_0 = c_i$ ,  $c_i$  receives at most one same color neighbor in  $G_0$  and the single same color neighbor  $p$  in  $G_1$ . Furthermore,  $p$  will receive no same color neighbor in  $G_1$  other than  $c_i$ . If  $p_0 \neq c_i$ , then  $y_1 = c_i$  is immediately prior to  $p_1 = p$  and  $\alpha \notin L(c_i)$ . Thus  $c_i$  will receive no same color neighbors in  $G_1$ .  $\square$

## Implementation

To implement the coloring procedure described in Theorem 2 we must be able to efficiently achieve each of the following:

1. maintain the current subgraph  $G$  and its outer face  $C$ ;
2. remove a single vertex  $v \in C$  maintaining the new outer face of  $G - v$ ;
3. split  $G$  into two subgraphs along an edge bridging the outer face;
4. for any  $v \in C$ , determine the region of  $C$  that  $v$  lies in with respect to  $x$ ,  $y$ , and  $p$ .

Each vertex will be marked with a *state* indicating whether it is currently interior, uncolored on the outer face, or colored. Since  $G$  is a plane graph we are provided with an embedded ordering of incident edges for each vertex. For each vertex we will maintain a pair of indices into this embedded ordering of edges, initialized with the start and end of the ordered lists. When removing a vertex  $v \in C$  from  $G$  we must simply remove  $v$  from the incidence list of each  $u \in N(v)$ . Since  $v$  is on the outer face, it will be the first or last index

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