# Implementing Path Coloring Algorithms on Planar Graphs

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### Abstract

A path coloring of a graph partitions its vertex set into color classes such that each class induces a disjoint union of paths. In this project we implement several algorithms to compute path colorings of graphs embedded in the plane.

We present two algorithms to path color plane graphs with 3 colors based on a proof by Poh in 1990. First we describe a naive algorithm that directly follows Poh's procedure, then we give a modified algorithm that runs in linear time.

Independent results of Hartman and Skrekovski describe a procedure that takes a plane graph G and a list of 3 colors for each vertex, and computes a path coloring of G such that each vertex receives a color from its list. We present a linear time implementation based on Hartman and Škrekovski's proofs.

A C++ implementation is provided for all three algorithms, utilizing the Boost Graph Library. Instructions are given on how to use the implementation to construct colorings for plane graphs represented by Boost data structures.

## 1 Plane Graphs

We will be concerned only with simple plane graphs. Informally, a plane graph is a network drawn in the plane consisting of a set of points, and a set of lines between points such that no lines cross.

Formally a *simple graph* is an ordered pair G = (V, E) consisting of a finite set V of *vertices* and a set E of two element subsets of V known as *edges*. We will refer to the vertex and edge sets of a graph G by V(G) and E(G), respectively. All graphs in this project are simple.

As shorthand we will denote an edge  $\{u, v\} \in E(G)$  simply as uv or vu. Furthermore, if it is clear from context that  $v \in V(G)$  is a vertex, or  $uv \in E(G)$  an edge, then we will use the notation  $v \in G$  or  $uv \in G$ .

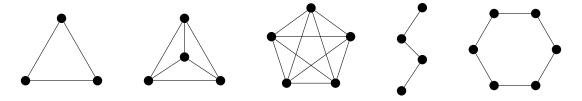


Figure 1.1: Drawings of  $K_3$ ,  $K_4$ ,  $K_5$  (nonplanar), an 4-vertex path, and a 6-cycle.

Two vertices  $u, v \in V(G)$  are adjacent if  $uv \in E(G)$ . Vertices u and v are known as the *endpoints* of uv. The edge uv is said to be *incident* to the vertices u and v. The vertices in G adjacent to a vertex v are known as the *neighbors* of v. The number of neighbors of a vertex v is its degree, denoted deg(v).

A graph H is a subgraph of G if  $V(H) \subseteq V(G)$  and  $E(H) \subseteq E(G)$ . If  $S \subseteq V(G)$  then the induced subgraph of S on G is the subgraph H defined by V(H) = S and  $E(H) = \{uv \in E(G) \mid u, v \in S\}$ . We say a subgraph H of a graph G is induced if it is the induced subgraph of its vertex set on G.

If  $v \in V(G)$  then we will use G - v to denote the subgraph obtained by removing v and its incident edges from G. Similarly, if H is a subgraph of a graph G, then we define G - H to be the subgraph obtained by removing from G all vertices in H and all edges incident to a vertex in H.

A *n*-vertex path consists of the vertices  $v_1, v_2, \ldots, v_n$  and the edges  $v_1 v_2, v_2 v_3, \ldots, v_{n-1} v_n$ . A length *n* cycle, or *n*-cycle, consists of an *n*-vertex path and the additional edge  $v_1 v_n$ . We will often denote a path or cycle *G* by simply listing its vertices in order, i.e.  $G = v_1 v_2 \ldots v_n$ .

If a path  $P = v_1 v_2 \dots v_n$  is a subgraph of a graph G then we say P is a  $v_1 v_n$ -path in G. A graph G is connected if for every  $u, v \in V(G)$  there exists a uv-path in G. If any k-1 vertices may be removed from a graph G with G remaining connected, then we say G is k-connected.

A drawing of a graph maps each vertex to a point in the plane and each edge to a curve connecting its endpoints. A planar embedding is a drawing where edge curves intersect only at their endpoints. We say a graph is planar if it admits a planar embedding. A planar graph together with a particular planar embedding is called a plane graph.

Let G be a plane graph. A face of G is a maximal region of the plane not containing any point used in the embedding. The unbounded face is known as the *outer face*. We will always refer to a face by the subgraph of vertices and edges that lie on its border.

For brevity, we have not fully formalized curves, regions, or borders. However, the above definitions and results are fairly standard and may be found in many graph theory texts, for example [27].

**Theorem 1.1** (Euler's Formula). If G is a connected plane graph with n vertices, m

edges, and f faces, then n - m + f = 2.

A simple corollary of Euler's Formula states that if  $n \geq 3$ , then  $m \leq 3n - 6$ . A plane graph is said to be *triangulated* if adding any new edge results in a nonplanar graph. Triangulated plane graphs with  $n \geq 3$  vertices have exactly 3n - 6 edges.

A face is said to be a *triangle* if it is a 3-cycle. It is easy to see that all faces in a triangulated plane graph are triangles: if any face has more than three vertices, then we may add an edge curve connecting two face vertices without crossing existing edges. Conversely if all faces in a plane graph are triangles, then it is triangulated.

If a plane graph has triangles for all but one face we shall say it is *weakly triangulated*. We will always assume that the non-triangle face is the outer face. A 2-connected weakly triangulated plane graph has a cycle for its nontriangle face.

Suppose C is a cycle in a weakly triangulated plane graph G. Then the subgraph consisting of C and all interior vertices and edges is denoted Int(C). If  $u, v \in V(C)$  then we denote the uv-path in C running clockwise around the cycle with C[u, v]. Finally, if  $u, v \in V(C)$  we call any edge  $uv \in E(G) \setminus E(C)$  a *chord* of the cycle C.

A rotation scheme for a graph is a cyclic ordering of the incident edges around each vertex. Planar embeddings naturally induce a rotation scheme by the order in which edge curves are positioned around each vertex. In fact, with respect to graph algorithms, the induced rotation scheme contains all the useful information of an embedding. Therefore, while we may often visualize plane graphs with drawings, planar embeddings will always be represented solely by their induced rotation scheme.

## 2 A Brief History of Coloring Plane Graphs

A k-coloring of a graph maps each vertex to one of k possible colors. Equivalently, a k-coloring partitions the vertices of a graph into k disjoint sets called  $color \ classes$ . A coloring is proper if no pair of adjacent vertices receive the same color, or equivalently if the color classes all consist of nonadjacent vertices.

It is clear that not all planar graphs admit a proper 3-coloring: the complete graph on four vertices is planar and requires 4 colors. Whether all planar graphs admit a proper coloring with 4 colors, the Four Color Problem, remained one of the premier open questions in graph theory until it was verified by Appel and Haken in 1976 [2, 3].

A (k, l)-coloring, or a k-coloring with defect l, is a k-coloring such that each vertex shares a color with at most l neighbors. Generalizations of proper colorings were first introduced in 1968 by Chartrand et al. in [9]. Defective colorings in particular were introduced about simultaneously around 1985 by Cowen et al. [11], Harary et al. [18], and Andrews et al. [1]. It was shown in [11] that all planar graphs admit a (3, 2)-coloring.

A path k-coloring is a k-coloring such that the induced subgraph of each color class consists of one or more disjoint paths. Note that path k-coloring is equivalent to (k, 2)-coloring with the added restriction that path coloring forbids cycles. It was

conjectured by Broere et al. [6] that all planar graphs may be path 3-colored. In 1990 Poh [21] and Goddard [15] independently proved the conjecture. Planar graphs that do not admit a path 3-coloring were described by Chartrand et al. [10], and thus the result is best possible.

Poh's proof is constructive and may easily be adapted to an algorithm for path 3-coloring plane graphs. We describe a naive version of Poh's algorithm, as well as a modified algorithm that runs in  $\mathcal{O}(n)$  time.

Let G be a graph. A list assignment for G is a map L assigning each vertex  $v \in V(G)$  a list of colors. Given a list assignment L an L-list-coloring of G, first introduced by Erdös et al. in [13], maps each  $v \in V(G)$  to a color in L(v). We say a graph G is k-choosable if given any list assignment L such that  $|L(v)| \ge k$  for all  $v \in V(G)$ , G admits a proper L-list-coloring.

In 1994 Thomassen [25] proved that if G is planar, then G is 5-choosable. A planar graph that is not 4-choosable was described by Voigt [26] in 1993, so Thomassen's result is best possible.

We may equivalently define the properties (k,l)-choosable and path k-choosable. In 1997 Hartman [19] proved that all planar graphs are path 3-choosable. Hartman's result is best possible since path 3-coloring is a special case of path L-list-coloring with lists of size 3. In 1999 Hull and Eaton [12] and Škrekovski [24] independently proved that if G is a planar graph, then G is (3, 2)-choosable.

Hartman's proof provides a constructive procedure to find a path L-list-coloring for a plane graph that has been given a list assignment L with lists of size at least 3. Interestingly, the proofs of Hartman and Škrekovski follow the same coloring algorithm, and thus Škrekovski unknowingly showed the stronger path 3-choosability result. We describe an algorithm based on Hartman and Škrekovski's work and show it runs in  $\mathcal{O}(n)$  time.

## 3 Graph Representations and Time Complexity

Let G be a connected plane graph. Vertices will be represented by integers, that is, we shall assume that  $V(G) = \{0, 1, \dots, n-1\}$ . We will always denote the number of vertices in G by n and the number of edges by m.

The input size for each algorithm, given input graph G, will be the number of vertices n. However, since G is a connected plane graph, if  $n \geq 3$  then  $m \leq 3n - 6$ . Thus  $\mathcal{O}(m) = \mathcal{O}(n)$ . Hence it is equivalent to take the input size to be the number of edges m.

We assume an integer RAM model of computation in which integers require fixed space and integer operations take constant time. The basic operation for all time complexity discussions will therefore be a single memory reference lookup, integer arithmetic operation, or integer comparison.

We will ignore the allocation of memory with respect to time complexity, such as in the creation of arrays or other data structures. The operations required to initialize elements in a structure are counted. In accordance with these assumptions, inserting or removing an element in a linked list or at the back of an array will require  $\mathcal{O}(1)$  time.

Vertex properties will be stored in size n arrays indexed by vertices. Thus accessing or comparing vertex properties shall, in general, be constant time. Colors are assumed to be integers. A coloring of G will thus be represented by an integer vertex property.

For each  $v \in V(G)$  we define a linked list called an *adjacency list* containing the neighbors of v ordered according to the rotation scheme of the embedding. The full plane graph G may then represented by a vertex property Adj storing the adjacency list for each vertex. That is, each vertex  $v \in V(G)$  has the adjacency list Adj[v].

We will sometimes wish for the ability to quickly find a neighbor u in v's adjacency list directly from v's entry in u's list. To allow this lookup in  $\mathcal{O}(1)$  time we will instead define a linked list of pairs  $\mathrm{Adj}[v]$  for each  $v \in V(G)$  called an augmented adjacency list. Each node in the list  $\mathrm{Adj}[v]$  will store a neighboring vertex u as well as a reference to the node for v in  $\mathrm{Adj}[u]$ .

An augmented adjacency list representation of a graph G may be constructed from a standard adjacency list representation in  $\mathcal{O}(m)$  time via the following algorithm due to Glenn Chappell [8].

#### Algorithm 3.1. (Augment Adjacency Lists)

**Input:** An adjacency list representation Adj of a graph G.

**Output:** An augmented adjacency list representation Adj' of G with the neighbors of each vertex listed in the same order as in Adj.

**Description:** We will begin by using Adj to construct an augmented adjacency list representation Adj' of G with the reference portion of each node uninitialized. Next we construct an array Wrk[v] of size deg(v) for each  $v \in V(G)$ .

We fill in Wrk as follows. For each v from 0 to n-1 let us walk through Adj'[v]. At each neighbor u in Adj'[v] let  $r_{v,u}$  be the reference to u's position in Adj'[v] and append the pair  $(v, r_{v,u})$  to Wrk[u].

After this process finishes each  $u \in V(G)$  will have an array Wrk[u] containing the pairs  $(v, r_{v,u})$  for each neighbor v, sorted in ascending order by the vertices v.

We will now initialize the references of each node of the augmented adjacency lists. Iterate through the vertices in descending order. Let v be the current vertex. For each  $uw \in E(G)$  such that u < w and v < w we shall have initialized the reference for u in  $\mathrm{Adj}'[u]$  and the reference for w in  $\mathrm{Adj}'[u]$ . We will also have removed the entry  $(w, r_{w,u})$  from  $\mathrm{Wrk}[u]$ . It remains to handle edges  $uv \in E(G)$  with v > u.

For each v from n-1 to 0 let us walk through  $\operatorname{Wrk}[v]$ . For i from 1 to  $\deg(v)$  take  $(u, r_{u,v}) = \operatorname{Wrk}[v][i]$ . Note that u < v by our assumptions above. Moreover,  $\operatorname{Wrk}[u]$  contains no entries for neighbors greater than v so  $(v, r_{v,u})$  is the last element of  $\operatorname{Wrk}[u]$ . Thus we may lookup  $r_{v,u}$  to find u's node in  $\operatorname{Adj}'[v]$  and initialize the reference with  $r_{u,v}$ . We may similarly initialize the reference for v's node in  $\operatorname{Adj}'[u]$ . Finally, we remove  $(v, r_{v,u})$  from  $\operatorname{Wrk}[u]$ .

**Time Complexity:** For each edge  $uv \in E(G)$ , u < v, we make a constant number of assignments to Adj' and Wrk, two reference lookups, and one entry removal from the back of Wrk[u]. Therefore the overall complexity of the algorithm is  $\mathcal{O}(m)$ .

If G is a planar graph without a given embedding we may still construct an adjacency list representation of G, with neighbors simply listed in arbitrary order. There exist numerous  $\mathcal{O}(n)$  time algorithms to then reorder the adjacency list representation of G so that it corresponds to a valid planar embedding of G [17, 20, 5, 4]. Additionally, there exist  $\mathcal{O}(n)$  time algorithms to add edges to the adjacency list representation in order to connect, 2-connect, and triangulate G, while maintaining planarity [16, 22, 14]. Thus while the algorithms presented will often assume that input graphs are triangulated and plane embedded, arbitrary planar graphs may be modified in linear time to fit these criteria.

Some of the algorithms we discuss, for example *Poh* 3-Coloring (4.1), will describe procedures on abstract graphs. Others, for example Augment Adjacency Lists (3.1), will describe algorithms working with computer graph representations. We will provide time complexity analysis for all algorithms working with concrete representations.

Each algorithm presented in this project will allocate some fixed number of vertex properties, independent of the size of the graph. The size of all other data structures constructed will be  $\mathcal{O}(n)$  at all points during the operation of each algorithm. Therefore the space complexity of every algorithm is  $\mathcal{O}(n)$ .

## 4 Path Coloring and the Poh Algorithm

In this section we detail two algorithms for path 3-coloring plane graphs. We begin by describing the general procedure proposed by Poh [21].

#### **Algorithm 4.1.** (Poh 3-Coloring)

**Input:** A 2-connected weakly triangulated plane graph G with outer cycle  $C = v_1v_2...v_k$  and a 2-coloring of C such that the color classes induce the paths  $P = v_1v_2...v_l$  and  $Q = v_kv_{k-1}...v_{l+1}$ .

**Output:** An extension of the 2-coloring of C to a path 3-coloring of G such that no vertex in C receives a same color neighbor in G - C.

**Description:** If G - C is empty there are no vertices remaining to color. Otherwise the algorithm proceeds as follows.

Case 1: Suppose there is a chord of C, that is, an edge  $v_i v_j \in E(G) \setminus E(C)$  with i < j. Since P and Q are induced paths it must be that  $v_i \in P$  and  $v_j \in Q$ . Let  $C_1$  by the cycle consisting of  $C[v_j, v_i]$  and the edge  $v_i v_j$ , and  $C_2$  the cycle consisting of  $C[v_i, v_j]$  and the edge  $v_i v_j$ . Observe that  $C_1$  and  $C_2$  are each 2-colored such that each color class induces a path. Thus we may apply the algorithm to path 3-color  $Int(C_1)$ 



Figure 4.1: The case of a chord (left) and the case no chord exists (right).

and  $\operatorname{Int}(C_2)$ . Since the subgraphs  $\operatorname{Int}(C_1)$  and  $\operatorname{Int}(C_2)$  have only the vertices of the chord  $v_i v_j$  in common, the combined coloring forms a path 3-coloring of G.

Case 2: Suppose no chords of C exist. Let u be the neighbor of  $v_k$  immediately clockwise from  $v_1$  and let w be the neighbor of  $v_l$  immediately clockwise from  $v_{l+1}$ . That is,  $u, w \in \text{Int}(C)$  are the unique, but possibly not distinct vertices such that the cycles  $uv_1v_k$  and  $wv_lv_{l+1}$  are each faces of G.

Since G is weakly triangulated, G-C is nonempty, and C has no chords, it follows that G-C is connected. Thus there exists a uw-path in G-C. Let T be the shortest such path, and note that therefore T is an induced path. Color T with the remaining color not used on P or Q.

Let  $C_1$  be the cycle consisting of P, T, and the edges  $v_1u$  and  $v_lw$ . Similarly, let  $C_2$  be the cycle consisting of T, Q, and the edges  $v_ku$  and  $v_{l+1}w$ . Then we may apply the algorithm to path 3-color  $Int(C_1)$  and  $Int(C_2)$ . Since  $Int(C_1)$  and  $Int(C_2)$  have only the vertices of the path T in common, the combined coloring forms a path 3-coloring of G.

Given any plane graph G we may add edges until it is triangulated. Observe that any path coloring of G with the additional edges is also a path coloring of the original G. Therefore by path 2-coloring the outer triangle we may apply Poh's algorithm to path 3-color G. This observation yields the following result.

**Theorem 4.1** (Poh [21] and Goddard [15]). All planar graphs are path 3-colorable.

## The Poh Algorithm with Breadth First Search

In order to implement Poh's algorithm with adjacency lists there are two main obstacles. First, we must have a method to efficiently represent colored paths, as we will be recursively constructing paths and dividing the graph along them. Second, we will need an efficient algorithm to locate the chords of C and the uw-path.

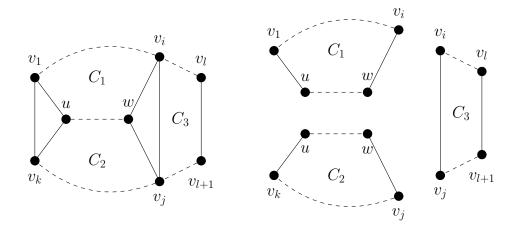


Figure 4.2: Dividing G along the edge  $v_i v_j$  and the uw-path.

Let G be a 2-connected weakly triangulated plane graph with an adjacency list representation. Each call of the algorithm will be provided with a cycle C in G and produce a path 3-coloring of Int(C) according to the specifications of Poh's algorithm.

To represent induced paths in G we will simply use the color vertex property. Suppose  $P = v_1 v_2 \dots v_k$  is an induced path in G, and each vertex of P has been assigned  $c_P$ . Assume that the coloring constructed so far is a path coloring. If  $v_i \in P$  then a neighbor u of  $v_i$  will have the color  $c_P$  if and only if  $u \in P$ , that is,  $u = v_{i-1}$  or  $u = v_{i+1}$ . Therefore we may represent the entire path by storing just the vertices  $v_1$  and  $v_k$ .

We will now describe the first version of Poh's algorithm on adjacency list graphs, using a breadth first search to find induced paths and chords.

#### Algorithm 4.2. (Poh – BFS)

**Assumptions:** Suppose  $P = v_1 v_2 \dots v_l$  and  $Q = v_k v_{k-1} \dots v_{l+1}$  are induced paths such that  $C = v_1 v_2 \dots v_k$  is a cycle. Additionally, assume that each path has been colored with a distinct color.

**Input:** The paths P and Q, each represented by their endpoints as described above. **Output:** An extension of the 2-coloring of C to a path 3-coloring of Int(C) such that no vertex in Int(C) - C receives a the same color as a neighbor of that vertex in C. **Description:** Locate the position of  $v_k$  in  $Adj[v_1]$ . Proceeding one vertex further in  $Adj[v_1]$  gives us a vertex u such that the cycle  $uv_1v_k$  is a triangle.

Case 1: Suppose  $u \in C$ . If u is in P, i.e.  $u = v_2$ , we apply the algorithm to the paths P - u and Q. Similarly if w is in Q we apply the algorithm to P and Q - u. In either case, if the two remaining paths each consist of single vertex then there are no remaining uncolored vertices and we terminate the algorithm.

Case 2: Suppose  $u \notin C$ . Perform a breadth first search from u in Int(C) - C,

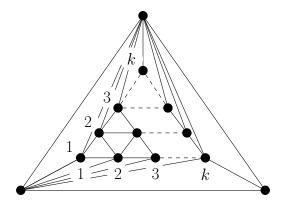


Figure 4.3: The collection of graphs  $\{G_k\}_{k\in\mathbb{N}}$  on which Poh performs poorly.

that is, ignoring vertices in C. Terminate the search when we reach a vertex w with neighbors  $v_i \in P$  and  $v_j \in Q$  such that  $v_i$  is immediately past  $v_j$  in Adj[w]. Such a vertex must exist by the same argument as in Poh 3-Coloring (4.1). Backtracking from w along the breadth first search and coloring vertices produces an induced uw-path T, colored with the remaining color not used on P or Q.

Define the paths  $P_1 = v_1 v_2 \dots v_i$ ,  $P_2 = v_i p_{i+1} \dots v_l$ ,  $Q_1 = v_k v_{k-1} \dots v_j$ , and  $Q_2 = v_j q_{j-1} \dots v_{l+1}$ . Observe that we have a cycle  $C_1$  consisting of  $P_1$ , T, and the edges  $v_1 u$  and  $v_i w$ . Similarly we have a cycle  $C_2$  consisting of T,  $Q_1$ , and the edges  $v_k u$  and  $v_j w$ . We apply the algorithm to  $P_1$  and T to color  $Int(C_1)$  and similarly to T and  $Q_1$  to color  $Int(C_2)$ .

If i = l and j = l + 1 we are done. Otherwise, we have the cycle  $C_3$  consisting of  $P_2$ ,  $Q_2$  and the edges  $v_i v_j$  and  $v_l v_{l+1}$ , and we may apply the algorithm to color  $Int(C_3)$ .

Note that the combined coloring forms a path 3-coloring of Int(C) by the same argument as in Poh 3-Coloring (4.1).

**Complexity:** In the first step we rotate through  $\mathrm{Adj}[v_1]$  to find  $v_k$  and get an orientation within the graph. This orientation must be performed at most once for each vertex, for a total of at most  $\sum_{v=0}^{n-1} \deg(v) = 2m$  operations.

In the next step we perform a breadth first search from the vertex u. A breadth first search requires at most m lookups. Moreover, the vertex will u will be colored following the search. Thus we perform at most one breadth first search from each vertex, requiring at most nm operations. Therefore the complexity of the algorithm is  $\mathcal{O}(2m + nm) = \mathcal{O}(n^2)$ .

We define the collection of graphs  $\{G_k\}_{k\in\mathbb{N}}$ , depicted in Figure 4.3. Let us fix  $k\in\mathbb{N}$  and note that  $G_k$  has  $n=\frac{k^2+k}{2}+3$  vertices.

Suppose we apply  $Poh\ BFS\ (4.\overline{2})$  to path 3-color  $G_k$ . Let the initial 2-coloring of the outer triangle of  $G_k$  assign the top vertex a color distinct from the bottom two. The (k-i+1)th recursive call will perform a breadth first search visiting each vertex

in a subgraph of size  $\frac{i^2+i}{2}$ , hence requiring  $\Theta(\frac{i^2+i}{2})$  operations. The total number of operations required to path 3-color  $G_k$  is therefore

$$\Theta\left(\sum_{i=1}^{k} \frac{i^2 + i}{2}\right) = \Theta(n^{3/2}).$$

Thus the time complexity of the algorithm is  $\Omega(n^{3/2})$ . In particular the algorithm is not linear.

## The Poh Algorithm in Linear Time

Poh's proof requests that we find the shortest uv-path in Int(C). Therefore Poh's algorithm as written does not appear to admit a linear time algorithm.

However, the correctness of Poh's algorithm does not require that T be the shortest uw-path, only that T be an induced uw-path. We will show that Poh's algorithm becomes linear if we instead construct an induced uw-path consisting of vertices in G-C with at least one neighbor in the colored path P.

#### Algorithm 4.3. (Poh – Path Walk)

**Assumptions:** Assume that  $P = v_1 v_2 \dots v_l$  and  $Q = v_k v_{k-1} \dots v_{l+1}$  are induced paths, each colored with a distinct color, such that  $C = v_1 v_2 \dots v_k$  is a cycle.

**Input:** The path P, represented by its endpoints, and the color of the path Q.

**Output:** An extension of the 2-coloring of C to a path 3-coloring of Int(C) such that no vertex in Int(C) - C receives a the same color as a neighbor of that vertex in C. **Description:** We will iterate through the vertices of P until we find a chord. All interior vertices visited will be marked to indicate they have a neighbor in P. For each i from 1 to l let us walk through  $Adj[v_i]$  from  $v_{i-1}$  to  $v_{i+1}$ , not including  $v_{i-1}$  and  $v_{i+1}$ .

Let v be the current neighbor. If  $v = v_j \in Q$  then  $v_i v_j$  is a chord of C and we stop. Otherwise  $v \in \text{Int}(C) - C$  with the neighbor  $v_i \in P$  and we mark it.

Define the cycles  $C_1$  and  $C_2$  as usual by dividing C along the chord  $v_i v_j$ . Note that  $C_1$  is chordless as P and Q are induced paths and  $v_i v_j$  is the first chord of C encountered. Apply  $Path\ Walk\ (4.3)$  to path 3-color  $Int(C_2)$ . It remains to color  $Int(C_1)$ .

If we never encountered a vertex in Int(C) - C during our walk through the neighbors of  $v_1, \ldots, v_i$ , then  $Int(C_1) - C_1$  is empty and thus  $Int(C_1)$  is already colored. Otherwise let u be the first such neighbor encountered. Note that u is the unique vertex such that  $uv_1v_l$  is a face. We may therefore apply  $Path\ Trace\ (4.4)$  to path 3-color  $Int(C_1)$ .

The combined coloring is a path 3-coloring of Int(C) by the same argument as in Poh 3-Coloring (4.1).

Complexity: See Path Trace (4.4).

**Algorithm 4.4.** (Poh – Path Trace)

**Assumptions:** Let  $P = v_1 v_2 \dots v_l$  and  $Q = v_k v_{k-1} \dots v_{l+1}$  be induced paths, each colored with a distinct color, such that  $C = v_1 v_2 \dots v_k$  is a chordless cycle. In addition, suppose  $\operatorname{Int}(C) - C$  is nonempty and all vertices in  $\operatorname{Int}(C) - C$  with at least one neighbor in P have been marked.

**Input:** The vertex  $u \in \text{Int}(C) - C$  such that the cycle  $uv_1v_k$  is a face, as well as the respective colors of the paths P and Q.

**Output:** An extension of the 2-coloring of C to a path 3-coloring of Int(C) such that no vertex in C receives the same color as a neighbor of that vertex in Int(C) - C.

**Description:** Initialize T as the path consisting of the single vertex u, coloring u with the remaining color. We will recursively add vertices to T until we reach the unique vertex w such that  $wv_lv_{l+1}$  is a face.

Suppose we have constructed the induced path  $T = t_1 t_2 \dots t_d$ , such that  $t_1 = u$  and each  $t_i$  has at least one neighbor in P. Iterate through  $\mathrm{Adj}[t_d]$ , starting from  $t_{d-1}$ . Let v be the current neighbor. If v has a neighbor in P we color v, assign  $T = t_1 \dots t_d v$ , and repeat the process with v as the new end vertex. If  $v \in P$  it must be that  $t_d = w$  and we are finished constructing T. Otherwise ignore v and move to the next neighbor.

Note that the process above must terminate since each vertex in T has at least one neighbor in P.

Let  $T = t_1 t_2 \dots t_d$  be the path constructed above. Suppose  $t_i t_j$  is an edge with  $t_i, t_j \in T$ , i < j. If i = 1 let us define the vertex  $t_0$  to be  $v_1$ . Since each vertex in T has a neighbor in P, by planarity it must be that  $t_j$  is between  $t_{i-1}$  and  $t_{i+1}$  counterclockwise in  $Adj[t_i]$ . But by the construction of T,  $t_{i+1}$  is the first neighbor of  $t_i$  counterclockwise from  $t_{i-1}$ . Thus j = i + 1.

Therefore the only edges between vertices in T are the edges  $t_1t_2, \ldots, t_{d-1}t_d$ . So T is an induced path.

We apply  $Path\ Walk\ (4.3)$  to path 3-color  $Int(C_2)$ . It remains to color any uncolored vertices in  $Int(C_1)$ .

All vertices in T have at least one neighbor in P. Therefore any uncolored vertex in  $Int(C_1)$  must lie in a path 2-colored chordless cycle of the form  $v_iv_{i+1} \dots v_jt_{p+1}t_p$  or  $v_iv_{i+1} \dots v_jt_p$ . We will use the following procedure to locate all such cycles that contain uncolored vertices and color them using  $Path\ Trace\ (4.4)$ .

For each p from 1 to d let us iterate through  $\operatorname{Adj}[t_p]$ , starting with  $t_{p+1}$ . In the case p = d we will define  $t_{p+1} = v_l$ . Suppose we visit a neighbor  $y \in \operatorname{Int}(C_1) - C_1$  followed counterclockwise by a neighbor  $v_i \in P$ . There are two possible cases.

Case 1: Suppose none of the neighbors of  $t_p$  between  $t_{p+1}$  and  $v_i$  counterclockwise are in P. Let j be the smallest integer such that  $t_{p+1}v_j$  is an edge, noting again that i < j by planarity. Note that since P is an induced path the cycle  $C_y = t_p v_i v_{i+1} \dots v_j t_{p+1}$  is chordless by the our selection of  $v_j$ . Thus we may apply Path

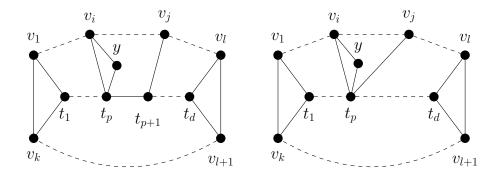


Figure 4.4: Coloring vertices above T in  $Path\ Trace\ (4.4)$  case 1 (left), case 2 (right).

Trace (4.4) to color  $Int(C_y)$  with the vertex y forming the face  $yt_pv_i$ .

Case 2: Suppose we have previously visited a neighbor of  $t_p$  in P, and let  $v_j \in P$  be the most recent such neighbor visited. Note that by planarity it must be that i < j. Thus the cycle  $C_y = v_i v_{i+1} \dots v_j t_p$  is chordless since P is an induced path. We may therefore apply  $Path\ Trace\ (4.4)$  to color  $Int(C_y)$  with the vertex y forming the face  $yt_pv_i$ .

Complexity: Let G be a plane graph that has been colored with Poh. Let P be a path induced by the path 3-coloring of G. Note that each vertex is in exactly one such path.

Let  $v \in P$ . We iterated through Adj[v] exactly once during  $Path\ Walk\ (4.3)$ . We iterated through Adj[v] at most twice during  $Path\ Trace\ (4.4)$ : once to locate the starting neighbor, and once to find the next vertex to add to the path and find uncolored vertices above T.

Thus the time complexity of the algorithm is

$$\mathcal{O}\left(\sum_{v=0}^{n-1} 3 \cdot \deg(v)\right) = \mathcal{O}(6m) = \mathcal{O}(n).$$

More specifically, it is  $\Theta(n)$ .

## 5 Path List-Coloring and the Hartman-Škrekovski Algorithm

In this section we describe an algorithm for path list-coloring plane graphs with lists of size 3. The following algorithm on abstract graphs is due to the independent work of Hartman [19] and Škrekovski [24].

Note that the description of the algorithm given below is structured differently from the descriptions given by both Hartman and Škrekovski. This restructuring is,

in many ways, less elegant than both original proofs, but helps illuminate how the algorithm will operate on a graph with an adjacency list representation.

Algorithm 5.1. (Hartman-Škrekovski – Path Color)

**Input:** Let G be a 2-connected weakly triangulated plane graph with outer cycle  $C = v_1 v_2 \dots v_k$ . Let  $x = v_1$  and  $y \in C - x$ . Suppose L is a list assignment for G such that for each vertex  $v \in G$ 

$$\begin{vmatrix} L(v) \\ L(v) \\ \geq 1 & \text{if } v = x \text{ or } v = y; \\ \geq 2 & \text{if } v \in C - x - y; \\ L(v) \geq 3 & \text{otherwise.} \end{vmatrix}$$

We will call x and y the fixed vertices. Assume that all vertices are uncolored except for potentially x and y. If x or y are colored, assume that L(x), L(y) contain only the color they have been assigned.

**Output:** A path L-list-coloring of G such that the fixed vertices x and y each share a color with at most one of their neighbors.

**Description:** If x is already colored let c be the color of x. Otherwise select arbitrary  $c \in L(x)$ . We will construct an induced path P, colored with c, and consisting of vertices from C. The path will begin at x and proceed clockwise along the outer face a far as possible towards y. Initialize P to consist of the single vertex x.

Suppose we have constructed an induced path  $P = v_{j_1}v_{j_2}\dots v_{j_l}$  with  $1 = j_1 < j_2 < \dots < j_l < k$ . Let us select the largest integer i such that  $v_i \in C[v_{j_l}, y]$  and  $c \in L(v_i)$ . If no such i exists we have finished constructing P. Otherwise we append  $v_i$  to P and repeat.

Let L' be a list assignment for G defined such that for  $v \in G$ 

$$L'(v) = \begin{cases} \{c\}, & \text{if } v \in P; \\ L(v), & \text{otherwise.} \end{cases}$$

Let  $P = v_{j_1} v_{j_2} \dots v_{j_l}$  be the path constructed above. For each vertex in  $v_{j_i} \in P$ , color  $v_i$  with c.

Suppose  $i \in \{1, ..., l-1\}$  such that  $j_i + 1 < j_{i+1}$ . We apply Remove Path (5.2) to path L'-list-color the subgraph bounded by the cycle consisting of  $C[v_{j_i}, v_{j_{i+1}}]$  and the edge  $v_{j_i}v_{j_{i+1}}$ , with colored path  $v_{j_{i+1}}v_{j_i}$ , and fixed vertices  $v_{(j_i)+1}$  and  $v_{(j_{i+1})-1}$ .

If  $y \in P$  let us define  $y' = v_{j_{i+1}}$ , otherwise y' = y. We may apply Remove Path (5.2) to path L'-list-color the subgraph bounded by the cycle consisting of P and  $C[v_{i_1}, v_{i_1}]$ , with colored path P, and fixed vertices  $v_k$  and y'.

Pairwise all subgraphs above have only vertices in the path P in common. By  $Remove\ Path\ (5.2)$ , no vertex with a neighbor in P will receive the color c. Therefore the combined coloring is a path L-list-coloring of G such that x,y each share a color with at most one of their neighbors.

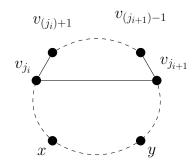


Figure 5.1: The case the path P uses a chord of C.

Algorithm 5.2. (Hartman-Škrekovski – Remove Path)

**Input:** Let G be a 2-connected weakly triangulated plane graph with outer cycle  $C = v_1 v_2 \dots v_k$ . Let  $P = v_1 v_2 \dots v_l$  be an induced path in C. Let  $x = v_k$  and  $y \in C - P$ . Let L be a list assignment for G such that for  $v \in G$ 

$$L(v) = \{c\} \text{ if } v \in P;$$

$$|L(v)| \ge 1 \text{ if } v = x \text{ or } v = y;$$

$$|L(v)| \ge 2 \text{ if } v \in C - P - x - y;$$

$$|L(v)| \ge 3 \text{ otherwise.}$$

Assume that if |L(x)| = 1 then  $c \notin L(x)$ . Additionally, assume that for every  $v \in C[v_{l+1}, y]$ , if v has a neighbor in P then  $c \notin L(v)$ .

We will once again refer to x and y as fixed vertices, although in this algorithm it may be the case that x = y. Assume that all vertices are uncolored except for potentially x and y. If x or y are colored assume that L(x), L(y) contain only the color they have been assigned.

**Output:** A path L-list-coloring of G such that x and y each share a color with at most one their neighbors, and no vertex in G - P with a neighbor in P receives the color c. If x = y then x will not share a color with any of its neighbors in G.

**Description:** Note that G is 2-connected and weakly triangulated. Thus to disconnect G by removing vertices from C we would need to remove vertices  $v_i, v_j \in C$  such that  $v_i v_j$  is a chord of C. Observe that P is a subgraph of C and an induced path in G, so no vertices in P induce a chord of C. So G - P is connected.

Case 1: Suppose there is a chord of C with an endpoint in P. Let us select the smallest  $i \in \{1, \ldots, l\}$  and largest  $j \in \{l+2, \ldots, k-1\}$  such that  $v_i \in P$  and  $v_i v_j$  is a chord of C. Let  $C_1$  be the cycle consisting of  $C[v_j, v_i]$  and the edge  $v_i v_j$ . Similarly, let  $C_2$  be the cycle consisting of  $C[v_i, v_j]$  and the edge  $v_i v_j$ . Let  $P_1 = v_1 v_2 \ldots v_i$  and  $P_2 = v_i v_{i+1} \ldots v_l$ .

Case 1.1: Suppose  $y \in C[v_{l+2}, x]$  and  $v_j \in C[v_{l+2}, y]$ . Then  $x, y \in C_1$ . We will first apply Remove Path (5.2) to path L-list-color Int( $C_1$ ) with the colored path  $P_1$ ,

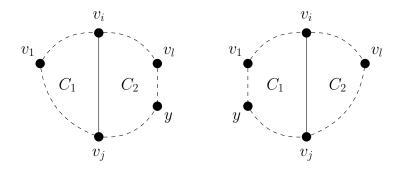


Figure 5.2: Hartman-Škrekovski case 1.1 (left) and case 1.2 (right).

and fixed vertices x and y. We then apply  $Remove\ Path\ (5.2)$  to path L-list-color  $Int(C_2)$  with colored path  $P_2$ , and the single fixed vertex  $v_j$ .

The subgraphs  $\operatorname{Int}(C_1)$  and  $\operatorname{Int}(C_2)$  have only the chord  $v_iv_j$  in common. The vertex  $v_i$  is an endpoint of the colored path in both  $\operatorname{Int}(C_1)$  and  $\operatorname{Int}(C_2)$ . Thus  $v_i$  will share a color with at most one neighbor in each of  $\operatorname{Int}(C_1)$  and  $\operatorname{Int}(C_2)$ . Since  $v_j$  is the single fixed vertex in  $\operatorname{Int}(C_2)$ ,  $v_j$  will not share a color with any of its neighbors in  $\operatorname{Int}(C_2)$ . Thus the combined coloring is a path L-list-coloring of G with x, y receiving the correct number of shared color neighbors.

Case 1.2: Otherwise  $v_j \in C[y, v_{k-1}]$ ,  $v_j \neq y$ . Again, we first apply Remove Path (5.2) to path L-list-color  $Int(C_1)$  with the colored path  $P_1$ , and fixed vertices x and  $v_j$ . We may then apply Remove Path (5.2) to path L-list-color  $Int(C_2)$  with colored path  $P_2$ , and fixed vertices  $v_j$  and y.

Again  $Int(C_1)$  and  $Int(C_2)$  have only the chord  $v_iv_j$  in common. In both  $Int(C_1)$  and  $Int(C_2)$  the vertex  $v_j$  is fixed vertex, and  $v_i$  is an endpoint of the colored path. Therefore  $v_i$  will share a color with at most one of its neighbors in each subgraph, and similarly for  $v_j$ . Thus the combined coloring is a path L-list-coloring of G with x, y receiving the correct number of shared color neighbors.

Case 2: Suppose there are no chords of C with endpoints in P. Let L' be a list assignment for G-P defined by

$$L'(v) = \begin{cases} L(v) \setminus \{c\}, & \text{if } v \text{ has at least one neighbor in } P; \\ L(v), & \text{otherwise.} \end{cases}$$

Case 2.1: Suppose G-P is 2-connected. Let  $v \in G-P$ .

Suppose v is not on the outer face of G - P. Then v has no neighbors in P and  $|L'(v)| = |L(v)| \ge 3$ .

Suppose v is on the outer face of G - P,  $v \notin C$ . Then v has at least one neighbor in P and  $|L'(v)| \ge |L(v)| - 1 \ge 2$ .

Finally, suppose  $v \in C$ . Since there are no chords of C with endpoints in P the only vertices in C - P with neighbors in P are x and  $v_{l+1}$ . Recall we assumed that if

 $v \in C[v_{l+1}, y]$  and v has at least one neighbor in P then  $c \notin L(v)$ . Thus if  $v \neq x$  and  $v \neq y$ , then  $|L'(v)| = |L(v)| \geq 2$ . We ensured  $c \notin L(x)$  if |L(x)| = 1. Thus if v = x or v = y,  $|L'(v)| \geq 1$ .

Therefore L' meets the requirements of  $Path\ Color\ (5.1)$  with fixed vertices x and y. Moreover, by the definition of L', in a path L'-list-coloring of G-P no vertex with a neighbor in P will receive the color c.

Case 2.1.1: Suppose  $x \neq y$ . Then we may apply Path Color (5.1) to path L'-list-color G - P with fixed vertices x and y, the new path starting at x.

Case 2.1.2: Suppose x = y. If x is uncolored select arbitrary  $c_x \in L'(x)$ , color x with  $c_x$ , and define  $L'(x) = \{c_x\}$ . Apply Remove Path (5.2) to path L'-list-color G-P with the colored path consisting of the single vertex x, and the vertices adjacent to x on the outer cycle of G-P as fixed vertices. This ensures x receives no same colored neighbors in G.

Case 2.2: Finally, if G-P is not 2-connected, then G-P must be a complete graph on one or two vertices. It is simple to check we may L'-list-color G-P such that the requirements hold.

Let G be a plane graph and L a list assignment such that  $|L(v)| \geq 3$  for all  $v \in G$ . We may add edges to G until it is triangulated. Then we may apply Path Color (5.1), with arbitrary fixed vertices, to construct a path L-list-coloring. This yields the following result.

**Theorem 5.1** (Hartman [19]). All planar graphs are path 3-choosable.

## The Hartman-Škrekovski Algorithm with Adjacency Lists

In order to implement Hartman and Škrekovski's algorithm with adjacency lists there are two main challenges. First, we must be able to remove paths and locate the subgraphs for recursive calls. Second, we must be able to track the location of vertices on the outer face with respect to the fixed vertices x, and y. For example: when adding a vertex to the path  $P = v_{j_1}v_{j_2}\dots v_{j_l}$  in  $Path\ Color\ (5.1)$ , we need to know which neighbors of  $v_{j_l}$  lie in  $C[v_{j_l}, y]$ .

For now, let us assume that we have solved the second challenge described above. That is, given vertices  $u, v, w \in C$ , assume that we can determine whether  $v \in C[u, w]$  in  $\mathcal{O}(1)$  time.

Let G be a 2-connected weakly triangulated plane graph with an augmented adjacency list representation. Just as in Poh's algorithm, each call will be provided with a cycle  $C = v_1 v_2 \dots v_k$  in G. The job of a particular recursive call is then to color the subgraph Int(C) such that the requirements of the Hartman-Škrekovski algorithm hold.

We will provide each vertex in G with a boolean vertex property to represent its state. All vertices in C will be have a state indicating they are on the outer face, and likewise vertices in Int(C) - C will have a state indicating they are not in C.

The list assignment L will be represented by vertex property storing a linked list of colors L[v] for each  $v \in G$ . We will denote the number of colors in the linked list by |L[v]|. We will produce a coloring of G by reducing the size of each color list to one. Thus we consider a vertex v colored if |L[v]| = 1.

For each vertex  $v_i \in C$  we will store a vertex property  $Nbr[v_i]$  called a *neighbor range*. The neighbor range of  $v_i$  will contain a pair of references to nodes in  $Adj[v_i]$ , that is  $Nbr[v_i] = (r_1, r_2)$ . The first reference  $r_1$  will point to the node for  $v_{i-1}$  in  $Adj[v_i]$  and the reference  $r_2$  will point to the node for  $v_{i+1}$ .

Neighbor ranges provide immediate access to the preceding and subsequent vertices of  $v_i$  in C. Additionally, they give start and stop nodes in  $Adj[v_i]$  for the list of neighbors of  $v_i$  that are contained in the subgraph Int(C).

Algorithm 5.3. (Hartman-Škrekovski – Path Color)

**Assumptions:** Suppose  $C = v_1 v_2 \dots v_k$  is a cycle,  $x = v_1$ , and  $y \in C - x$ . Assume that for each  $v \in \text{Int}(C)$ 

$$\begin{vmatrix} L[v] \\ L[v] \end{vmatrix} \ge 1 & \text{if } v = x \text{ or } v = y; \\ L[v] \end{vmatrix} \ge 2 & \text{if } v \in C - x - y; \\ L[v] \end{vmatrix} \ge 3 & \text{otherwise.}$$

Assume that the vertices of  $\operatorname{Int}(C)$  have been marked according to whether they are in C or  $\operatorname{Int}(C) - C$ . Finally, assume that for each  $v_i \in C$  we have constructed  $\operatorname{Nbr}[v_i]$  as described above.

**Input:** The fixed vertices x and y.

**Output:** A path L-list-coloring of Int(C) such that x and y each share a color with at most one of their neighbors.

**Description:** If x is colored let c be the color of x. Otherwise let c be the first color in L[x] and assign  $L[x] = \{c\}$ .

Initialize P to contain the single vertex x. We will now append vertices to P following the procedure of  $Path\ Color\ (5.1)$ .

Suppose we have constructed an induced path  $P = v_{j_1}v_{j_2}\dots v_{j_l}$  with  $1 = j_1 < j_2 < \dots < j_l < k$ . Let  $v = v_{j_l}$  be the last vertex added to P. Let us iterate through  $\mathrm{Adj}[v]$  counterclockwise from  $v_{j_{l-1}}$ , if l = 1 start from  $v_k$ . Let u be the current neighbor.

Case 1: If  $u \notin C[v,y]$  or  $c \notin L(u)$  then we ignore u and continue to the next vertex in  $\mathrm{Adj}[v]$ .

Case 2: Suppose  $u = v_i \in C$ ,  $u \in C[v, y]$ , and  $c \in L(u)$ , that is, suppose we may add u to P. There are two cases to consider.

Case 2.1: Suppose the start node of Nbr[u] is not v. Then  $u \neq v_{j_l+1}$ . Let  $Nbr[u] = (r_1, r_2)$  and  $Nbr[v_{j_l}] = (s_1, s_2)$ . Let  $r_v$  be the reference to the node for v in Adj[u] and  $s_u$  be the reference to the node for u in Adj[v].

Let us assign  $Nbr[u] = (r_1, r_v)$  and  $Nbr[v] = (s_u, s_2)$ . We will then call *Remove Path* (5.4) on the cycle consisting of C[v, u] and the edge uv, with colored path uv, and fixed vertices  $v_{i-1}$  and  $v_{i+1}$ .

We then assign  $Nbr[u] = (r_v, r_2)$  and  $Nbr[v] = (s_1, s_u)$ . Finally, color u with c, assign  $L[u] = \{c\}$ , append u to P, and attempt to continue the path from u.

Case 2.2: Suppose the start node of Nbr[u] is v. Then we may color u with c, assign  $L[u] = \{c\}$ , append u to P, and attempt to continue the path from u.

Let  $P = v_{j_1}v_{j_2}\dots v_{j_l}$  be the path constructed above. If  $y \in P$  let us define  $y' = v_{j_l+1}$ , otherwise y' = y. We may finally apply Remove Path (5.4) to the cycle formed by P and  $C[v_{j_l}, v_{j_1}]$ , with colored path P, and fixed vertices  $v_k$  and y'.

Complexity: See Remove Path (5.4).

#### Algorithm 5.4. (Hartman-Škrekovski – Remove Path)

**Assumptions:** Suppose  $C = v_1 v_2 \dots v_k$  is a cycle. Let  $P = v_1 v_2 \dots v_l$  be an induced path in C colored with some color c. Let  $y \in C - P$  and  $x \in C[y, v_k]$ . Let L be a list assignment for G such that for each  $v \in G$ 

$$L[v] = \{c\} \quad \text{if } v \in P;$$

$$|L[v]| \ge 1 \quad \text{if } v = x \text{ or } v = y;$$

$$|L[v]| \ge 2 \quad \text{if } v \in C - P - x - y;$$

$$|L[v]| \ge 3 \quad \text{otherwise.}$$

Assume that for every  $v \in C[x, v_k]$ ,  $c \notin L(v)$ . Assume that for every  $v \in C[v_{l+1}, y]$ , if v has a neighbor in P then  $c \notin L(v)$ . Finally, assume that for each  $v_i \in C$  we have constructed  $Nbr[v_i]$  as described above.

**Input:** The vertices  $v_1$ , x, and y.

**Output:** A path L-list-coloring of G such that x and y each share a color with at most one of their neighbors, and no vertex in G - P with a neighbor in P receives the color c. If x = y then no neighbor of x in G will receive the same color as x.

**Description:** We will remove the path P one vertex at a time. In this call we will be "removing" edges  $v_1u$  around  $v_1$ , by updating  $\mathrm{Nbr}[u]$  to exclude the edge. Note if  $u \notin C$  we must first construct  $\mathrm{Nbr}[u]$ . We will completely remove  $v_1$  from  $\mathrm{Int}(C)$  if there are no chords  $v_1v_i$  of C.

Let us iterate counterclockwise through  $Adj[v_1]$  beginning from  $v_k$ . Let u be the current neighbor of  $v_1$ .

Case 1: Suppose  $u \notin C$ . Look through L[u] and remove the color c if it exists. After removing  $v_1$ , u will be on the outer face. Thus we set the state of u to indicate it is on the outer face. Construct  $Nbr[u] = (r_1, r_2)$  such that  $r_1$  is a reference to the node immediately prior to  $v_1$  in Adj[u] and  $r_2$  is a reference to the node immediately subsequent to  $v_1$ .

Case 2: Suppose  $u \in C$ . There are several cases to consider.

Case 2.1: Suppose  $u = v_k$ . Let  $Nbr[u] = (r_1, r_2)$ . By our assumptions  $r_2$  is a reference to the node for  $v_1$  in Adj[u]. Reassign  $r_2$  to be a reference to the node immediately prior to  $v_1$  in Adj[u]. This removes  $v_1$  from the set of neighbors of u contained in the cycle.



Figure 5.3: From left to right: case 2.2.1, case 2.2.2, and case 2.2.3.

Case 2.2: Suppose  $u \neq v_k$ . In this case the edge  $v_1u$  is either a chord of C or  $u = v_2$ . Let N be the  $v_ku$ -path consisting of the neighbors of  $v_1$ . Let  $C_1$  be the cycle consisting of N and  $C[u, v_k]$ . If  $u \neq v_2$  let  $C_2$  be the cycle consisting of  $C[v_1, u]$  and the edge  $v_1u$ .

Let  $Nbr[u] = (r_1, r_2)$  and  $Nbr[v] = (s_1, s_2)$ . Let  $r_v$  be the reference to the node for v in Adj[u] and  $s_u$  be the reference to the node for u in Adj[v].

In all cases below, before we apply an algorithm to color  $Int(C_1)$  we will assign  $Nbr[u] = (r_v, r_2)$  and  $Nbr[v] = (s_1, s_v)$ . Similarly, before applying an algorithm to color  $Int(C_2)$  we will assign  $Nbr[u] = (r_1, r_v)$  and  $Nbr[v] = (s_u, s_2)$ .

We will now path L-list-color  $Int(C_1)$  and, if  $u \neq v_2$ ,  $Int(C_2)$ . There are several cases to consider.

Case 2.2.1: Suppose  $u \in C[x, v_1]$ . Note that in this case it must be that  $u \neq v_2$ . We will first apply Remove Path (5.4) to path L-list-color  $Int(C_2)$  with fixed vertices x and y. Note that this colors the vertex u. We will apply Remove Path (5.4) with colored path consisting of just the vertex u, and the vertices immediately adjacent to u on  $C_1$  as the fixed vertices. This ensures no neighbor of u in  $Int(C_1)$  receives the same color as u.

Case 2.2.2: Suppose  $u \in C[y, x]$ ,  $u \neq y$ . Again it must be that  $u \neq v_2$ . We apply Color Path (5.3) to path L-list-color Int( $C_1$ ) with fixed vertices x and u, the new path starting at x. Next we apply Remove Path (5.4) to Int( $C_2$ ) with fixed vertices u and y.

Case 2.2.3: Suppose  $u \in C[v_1, y]$ ,  $u \neq v_2$ . We apply Color Path (5.3) to path L-list-color Int( $C_1$ ) with fixed vertices x and y, the new path starting at x. We then apply Remove Path (5.4) to path L-list-color Int( $C_2$ ) with the single fixed vertex u. This ensures no neighbor of u in Int( $C_2$ ) receives the same color as u.

Case 2.2.4: Suppose  $u = v_2$ . If  $c \in L[u]$  then u is a path vertex and we apply  $Remove\ Path\ (5.4)$  to path L-list-color  $Int(C_1)$  with fixed vertices x and y. Otherwise, we have reached the end of the path. We apply  $Color\ Path\ (5.3)$  to  $Int(C_1)$  with fixed vertices x and y, the new path starting from x.

**Complexity:** Let  $v \in G$ . We iterate through  $\operatorname{Adj}[v]$  at most once during *Color Path* (5.3) when looking for the next vertex to add to the path containing v. In *Remove Path* (5.4) we iterate through  $\operatorname{Adj}[v]$  exactly once. We also iterate through  $\operatorname{Adj}[v]$  once when we initially construct  $\operatorname{Nbr}[v]$  to locate start and stop nodes. Therefore the overall complexity of the algorithm is

$$\mathcal{O}\left(\sum_{v=0}^{n-1} 3 \cdot \deg(v)\right) = \mathcal{O}(6m) = \mathcal{O}(n).$$

More specifically, it is  $\Theta(n)$ .

## Tracking Vertices on the Outer Cycle

The Hartman-Škrekovski algorithm described in the previous section relied on the assumption that we could immediately know the relative location of vertices on the outer cycle. In  $Path\ Color\ (5.3)$  we assumed that we could determine whether a given vertex  $u \in C$  was in the path C[v,y], where v was the last vertex added to our colored path. Additionally, in  $Remove\ Path\ (5.4)$  we assumed that for  $u \in C$  we could determine whether u was in  $C[x,v_l]$ , C[y,x], or  $C[v_1,y]$ . We will now describe how this check may be accomplished in  $\mathcal{O}(1)$  time.

Let us define an integer vertex property to store a location mark for each vertex on the outer cycle. Assume that we are given the input for *Remove Path* (5.4). Also, assume that vertices in  $C[v_1, y]$  have been assigned the mark  $n_1$ , vertices in C[y, x] have the mark  $n_2$ , and vertices in  $C[y, v_k]$  have the mark  $n_3$ .

Let us iterate through  $\operatorname{Adj}[v_1]$  starting from  $v_k$  as in (5.4). Let u be the current neighbor. If  $u \notin C$  we will assign u the mark  $n_1$ . This is because u will be in  $C_1[x, v_2]$  if there are no chords  $v_1v_i$ .

Now suppose we reach the end of the colored path, or we hit a chord  $v_1u$  with  $u \in C[v_1, x]$ . Then in the subsequent call to  $Color\ Path\ (5.3)$  on  $Int(C_1)$  we will need to treat vertices marked  $n_1$  and  $n_2$  as the same segment, since  $C_1[x, y]$  consists of both  $C_1[x, v_k]$  and  $C_1[u, y]$ . One solution is to walk along  $C_1$  and remark vertices, but this is very inefficient. Another solution is to simply compare with both marks to check whether a vertex is in  $C_1[x, y]$ . However, we will be drawing further colored paths and generating further marks, hence the collection of marks to compare may grow very large.

Our solution is to use a disjoint set structure to compare location marks. All marks begin as singleton sets. To join the segments marked with  $n_1$  and  $n_2$  above we may simply perform a union operation in the disjoint set structure.

The mark  $n_1$  for the segment  $C_1[x, v_k]$  will always be a singleton set in the disjoint set structure. This is because the only vertices marked with  $n_1$  are vertices that have been added to the outer face while removing vertices from the colored path. Thus in each union operation performed at least one of the two sets is always a singleton.

Because of this, standard disjoint set optimizations allow set lookups in constant time. Therefore performing  $\mathcal{O}(n)$  make set, union, and lookup operations in the disjoint set structure requires  $\mathcal{O}(n)$  time. Hence the overall performance of the algorithm remains linear.

For full details on managing location marks and disjoint set operations, see the provided C++ implementation [7].

## 6 Path 3-Coloring and Path List-Coloring in C++

In this section we detail the C++ implementation of each algorithm above. Instructions for using each algorithm are provided, as well as brief examples.

The Boost Graph Library (BGL) [23] details a generic interface for working with graphs, as well as numerous data structures and algorithms. We will begin with a brief introduction to the BGL. Then we will discuss implementing the Poh and Hartman-Škrekovski algorithms using BGL abstractions.

We will assume familiarity with the C++ language and the C++ Standard Template Library (STL). Full hyperlinked documentation is available, with links to the relevant Boost and STL concepts [7].

#### The Boost Graph Library

The BGL provides several abstract concepts for graph data structures. The basic Graph concept requires that vertex and edge types are defined, as well as a few other properties such as whether the graph is directed or undirected. The VertexListGraph and EdgeListGraph refine this concept to additionally require an interface to iterate over the vertex and edge sets, respectively. The VertexAndEdgeListGraph concept simply combines the two refinements.

Although other concepts exist such as AdjacencyGraph, we will only require that input graphs model VertexListGraph or VertexAndEdgeListGraph. The Adjacency Graph concept might seem like an obvious choice, but we follow the BGL's decision and represent the rotation scheme for planar embeddings as an exterior property map. Thus the graph data structure itself remains fairly simple.

There are two different types of vertex and edge properties in the BGL: interior properties and exterior properties. Interior properties are properties that are are stored within the graph data structure. They are accessed or assigned via get or put functions, respectively, on the graph structure itself. Exterior properties are properties stored in a separate data structure. Calls to get and/or put on the property map structure then allow reads and/or writes to individual vertex or edge properties.

All our properties will be stored in exterior property maps that satisfy the Lvalue PropertyMap concept. The LvaluePropertyMap concept requires that get calls on the property map return values by reference. The BGL defines the PlanarEmbedding

```
// Define the graph, edge, and vertex types
typedef adjacency_list <
        vecS,
        vecS.
        undirectedS,
        property < vertex_index_t, size_t >,
        property < edge_index_t, size_t >
    > graph_t;
typedef typename graph_traits < graph_t >
    :: vertex_descriptor vertex_t;
typedef typename graph_traits < graph_t >
    :: edge_descriptor edge_t;
// Construct a simple planar graph on 5 vertices
graph_t graph(5);
add_edge(0, 1, graph);
add_edge(1, 2, graph);
add_edge(2, 0, graph);
add_edge(1, 3, graph);
add_edge(0, 3, graph);
add_edge(2, 3, graph);
add_edge(0, 4, graph);
add_edge(2, 4, graph);
add_edge(3, 4, graph);
```

Figure 6.1: Example code to construct a graph in the BGL.

concept to refine LvaluePropertyMap to require that each vertex is assigned a range of edges, representing the embedding ordered rotation scheme.

The BGL provides the concrete boost::adjacency\_list graph data structure that models VertexAndEdgeListGraph, among other concepts. We provide wrapper functions that will construct fast property maps (boost::iterator\_property\_map) for all the property maps just used as working space for the algorithms. In the examples here we will only discuss boost::adjacency\_list structures, but functions are available in the library to allow the algorithms to work on arbitrary data structures modeling the necessary BGL concepts.

Code to construct a simple triangulated planar graph may be seen in Figure 6.1. A planar embedding for the graph is constructed in Figure 6.3. This graph and embedding will be used as an example input in the later sections.

We will use graph\_t to refer to some definition of boost::adjacency\_list. We will use vertex\_t and edge\_t to refer to the vertex and edge types of graph\_t (see

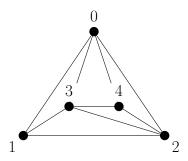


Figure 6.2: A drawing of the graph constructed in Figure 6.1.

```
// A vector to store embedding ordered incidence lists
vector<vector<edge_t>>> planar_embedding_storage(
        num_vertices (graph)
    );
// Map each vertex to its incidence list
iterator_property_map <
        typename vector<vector<edge_t>>::iterator,
        property_map<graph_t , vertex_index_t >::const_type
   > planar_embedding(
        planar_embedding_storage.begin(),
        get (vertex_index, graph)
    );
// Reserve space so push_back is O(1)
for(size_t v = 0; v < num_vertices(graph); ++v) 
    planar_embedding[v].reserve(out_degree(v, graph));
// Construct the planar embedding
boyer_myrvold_planarity_test(
        boyer_myrvold_params::graph = graph,
        boyer_myrvold_params::embedding = planar_embedding
    );
```

Figure 6.3: Example code to construct a planar embedding in the BGL.

Figure 6.4: Publicly visible function prototype for Poh with Path Walking.

Type	Concept	Additional Requirements
graph_t	none	must be adjacency_list
${\tt color\_t}$	EqualityComparable,	none
	CopyAssignable	
${\tt embedding\_t}$	PlanarEmbedding	none
$vertex\_iterator\_t$	InputIterator	value_type is vertex_t
${\tt color\_map\_t}$	LvaluePropertyMap	value_type is color_t

Figure 6.5: Template requirements for Poh with Path Walking.

the type definitions in Figure 6.1 for an example).

## Poh's Algorithm

Here we describe our implementation of the linear time Poh algorithm described in (4.3) and (4.4). The function prototype, type definitions, and template requirements are shown in Figures 6.4 and Figure 6.5, respectively.

We assume that the provided graph is simple and weakly triangulated and the given planar embedding structure represents a valid planar embedding of the graph. We assume that the two ranges of vertices map are paths in the graph satisfying the requirements of  $Poh - Path \ Walk \ (4.3)$ . Finally, we assume that no vertex is already colored any of  $c_0$ ,  $c_1$ , or  $c_2$ .

When the algorithm is complete color\_map will be assigned such that it represents

a valid path 3-coloring of the subgraph bounded by the cycle formed by the two provided paths, Int(C). The coloring will also satisfy the output requirements of (4.4).

The implementation follows algorithms (4.3) and (4.4) with the following implementation decisions an modifications. We use a property map to track start and stop points in the cyclic ordering of neighbors provided by planar\_embedding, similar to the neighbor range vertex property described in section 5. This allows us to do a single iteration through the neighbors of a vertex to get an "orientation", then remember this orientation for the remainder of the algorithm.

Additionally, we optimize the implementation by combining the steps of (4.3) and (4.4). Notice the path that is colored in (4.4) is the same path we will walk through in a subsequent call to (4.3). We may therefore perform the marking and chord finding operations of (4.3) as the path is colored in (4.4). This reduces the number of times we visit a particular edge by a factor of 2.

A brief code snippet in Figure 6.6 shows how to apply Poh to the graph constructed earlier in Figure 6.1. Source code, documentation, and complete examples are available online [7].

#### Poh with BFS

We also provide an implementation of of Poh - BFS (4.2). Note that the BFS algorithm is slower than the algorithm described in (4.3, 4.4). The function prototype, type definitions, and template requirements are shown in Figure 6.8 and Figure 6.9, respectively.

We make the exact same assumptions about input structures as in our implementation of (4.3, 4.4) in the previous section.

When the algorithm is complete color\_map will be assigned such that it represents a valid path 3-coloring of the subgraph bounded by the cycle formed by the two provided paths (Int(C)). The coloring will also satisfy the output requirements of (4.2).

The implementation almost directly follows the description of (4.2), although some decisions had to be made. In order to keep the algorithm  $\mathcal{O}(n^2)$ , we must ensure we iterate through the adjacency list of a given vertex precisely once during the orientation phase of (4.2). This is the step where we would locate the position of  $v_k$  in  $\mathrm{Adj}[v_1]$ . To do this we note that at least one of the path endpoint vertices  $v_1$  or  $v_k$  has never been an path endpoint vertex in any call before. We then always choose this endpoint as the vertex whose adjacency list we search through.

Source code, documentation, and complete examples are available online [7].

```
// Create a vertex property map to store the coloring
vector < int > color_map_storage(num_vertices(graph));
iterator_property_map <
        vector < int > :: iterator,
        typename property_map<
                 graph_t, vertex_index_t
             >::const_type
    > color_map(
        color_map_storage.begin(), get(vertex_index, graph)
    );
// Construct the paths P and Q for the example graph
vector<vertex_t> path_p = { 0 };
vector < vertex_t > path_q = \{ 1, 2 \};
// Color the graph with Poh
poh_color(
        graph,
        planar_embedding,
        path_p.begin(), path_p.end(),
        path_q.begin(), path_q.end(),
        1, 2, 3,
        color_map
    );
```

Figure 6.6: Example code to color a graph with Poh.

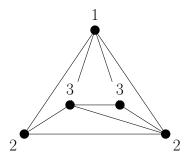


Figure 6.7: The coloring produced by the code in Figure 6.6.

Figure 6.8: Publicly visible function prototype for Poh with BFS.

Type	Concept	Additional Requirements
${\tt graph\_t}$	none	must be adjacency_list
${\tt color\_t}$	EqualityComparable,	none
	CopyAssignable	
${\tt embedding\_t}$	PlanarEmbedding	none
$vertex\_iterator\_t$	InputIterator	value_type is vertex_t
${\tt color\_map\_t}$	LvaluePropertyMap	value_type is color_t

Figure 6.9: Template requirements for Poh with BFS.

Type	
augmented_embedding_t	a type modeling AugmentedEmbedding
${\tt node\_t}$	boost::property_traits <augmented_embedding_t></augmented_embedding_t>
	::value_type::value_type
$iterator_{-}t$	boost::property_traits <augmented_embedding_t></augmented_embedding_t>
	::value_type::iterator
${ t graph}_{ extsf{-}}{ t t}$	the type of the underlying graph
vertex_t	boost::graph_traits <graph_t>::vertex_descriptor</graph_t>

Figure 6.10: Types for the AugmentedEmbedding concept.

Object(s)	Description
u,v	objects of type vertex_t
embedding	an object of type augmented_embedding_t
n	an object of type node_t

Figure 6.11: Notation for our discussion of augmented embeddings.

#### Augmented Embeddings

In this section we describe the AugmentedEmbedding concept used to store embedding ordered augmented adjacency lists for a graph.

The AugmentedEmbedding concept refines LvaluePropertyMap, placing additional restrictions on the value\_type of the map. The types are described in Figure 6.10. Valid expressions are described in Figure 6.12.

The object embedding will assign a range of objects of type node\_t to each vertex v in the underlying graph. There will be exactly one node in this range for each neighbor of v in the underlying graph. We will call this range of nodes the augmented adjacency list for v.

The type node\_t will represent a neighboring vertex u in the augmented adjacency list for a vertex v. The type iterator\_t will be an iterator for the range of node\_t objects for a vertex v.

For a vertex v each node n in the range embedding[v].begin() to embedding [v].end() will have n.vertex be a neighboring vertex u and n.iterator be the unique iterator in the range embedding[u].begin() to embedding[u].end() such that n.iterator->vertex is equal to v.

We implement an algorithm to construct a data structure modeling Augmented Embedding from a structure modeling PlanarEmbedding based on Augment Embedding (3.1).

A code snippet in Figure 6.13 shows how to construct an augmented embedding structure for the graph from Figure 6.1.

Expression	Type	Description
n.vertex	vertex_t	vertex member for the node n
n.iterator	iterator_t	iterator member for the node <b>n</b>
<pre>embedding[v].begin()</pre>	iterator_t	beginning of the range of nodes
<pre>embedding[v].end()</pre>	iterator_t	end of the range of nodes
<pre>embedding[v].push_back(n)</pre>	void	append n to the range of nodes
<pre>embedding[v].clear()</pre>	void	clear the range of nodes

Figure 6.12: Valid expressions for an object modeling AugmentedEmbedding.

#### Hartman-Škrekovski in the BGL

Here we detail our implementation of the Hartman-Škrekovski algorithm described in (5.3, 5.4). The function prototype and template requirements are shown in Figure 6.14 and Figure 6.15, respectively.

We assume that the provided graph is simple and weakly triangulated and the given augmented\_embedding structure represents a valid planar embedding of the graph. We assume that the range of vertices is a cycle in the provided plane graph, with vertices listed in clockwise order. We finally assume that color\_list\_map assigns each vertex in the cycle a sequence of at 2 or more colors, and each vertex interior to the cycle a sequence of 3 or more colors.

When the algorithm is complete color\_list\_map will have been modified such that a single color remains in each list. The remaining colors will represent a valid path coloring of the subgraph bounded by the provided cycle (Int(C)).

The implementation follows algorithms (5.3) and (5.4) with the following optimization. By combining the cases of (5.3) and (5.4) we may draw and remove the path simultaneously, one vertex at a time. In the implementation therefore perform the operations of both (5.3) and (5.4) simultaneously as each vertex is colored. This reduces the number of times we visit a particular edge by a factor of 2.

A brief code snippet in Figure 6.16 shows how to apply Hartman-Skrekovski to the graph constructed earlier in Figure 6.1. Source code, documentation, and complete examples are available online [7].

## 7 Conclusion

In this project we considered two inductive procedures on plane graphs: one computing a path 3-coloring, and one computing a path list-coloring given lists of size at least 3. We adapted each procedure to an algorithm for finding path colorings of graphs with adjacency list representations. Additionally, we showed each procedure admits an algorithm that runs in linear time. Finally, we provided a documented implementation of each algorithm in C++.

```
// Struct to store (v,r) pairs for augmented adjacency list
struct adjacency_node_t {
    vertex_t vertex;
    typename vector<adjacency_node_t>::iterator iterator;
};
// Create a vector to store augmented adjacency lists
vector < vector < adjacency_node_t >> augmented_embedding_storage (
        num_vertices(graph)
    );
// Map each vertex to its augmented adjacency list
iterator_property_map <
        vector < vector < adjacency_node_t >>::iterator ,
        typename property_map<
                graph_t, vertex_index_t
            >::const_type
    > augmented_embedding(
        augmented_embedding_storage.begin(),
        get (vertex_index, graph)
    );
// Reserve space so push_back is O(1)
for(size_t v = 0; v < num_vertices(graph); ++v) 
    augmented_embedding[v].reserve(out_degree(v, graph));
}
// Fill in the augmented embedding structure
augment_embedding(
        graph, planar_embedding, augmented_embedding
    );
```

Figure 6.13: Example code to construct an augmented embedding.

Figure 6.14: Publicly visible function prototype for Hartman-Škrekovski.

Type	Concept	Additional Requirements
graph_t	none	must be adjacency_list
${\tt color\_t}$	EqualityComparable,	none
	CopyAssignable	
${\tt embedding\_t}$	PlanarEmbedding	none
$vertex\_iterator\_t$	InputIterator	value_type is vertex_t
${\tt color\_list\_t}$	SequenceContainer	value_type is color_t
$color\_list\_map\_t$	LvaluePropertyMap	value_type is color_list_t

Figure 6.15: Template requirements for Hartman-Škrekovski.

```
// Vector listing vertices on the outer cycle
vector < vertex_t > cycle = \{ 0, 1, 2 \};
// Create a structure to store the list assignment
vector < list < int >> color_list_storage (num_vertices (graph));
iterator_property_map <
        vector < list < int >> :: iterator,
        typename property_map<
                 graph_t, vertex_index_t
             >::const_type
    > color_list_map(
        color_list_storage.begin(), get(vertex_index, graph)
    );
// Assign each vertex a list of the appropriate size
color_list_map[0] = \{ 1, 2 \};
color_list_map[1] = \{ 2, 3 \};
color_list_map[2] = \{ 1, 4 \};
color_list_map[3] = \{ 1, 3, 4 \};
color_list_map[4] = \{ 1, 2, 4 \};
// Construct the path list-coloring
hartman_skrekovski_color(
        graph, augmented_embedding,
        cycle.begin(), cycle.end(),
        color_list_map
    );
```

Figure 6.16: Example code to color a graph with Hartman-Škrekovski.

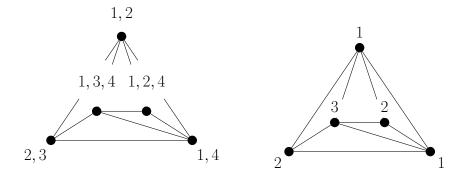


Figure 6.17: The coloring produced by the code in Figure 6.16.

Future work in this area might consider Hartman's procedure for path 4-coloring torus graphs, also found in [19]. The procedure first cuts and collapses a noncontractible cycle in the torus graph to form a plane graph. It then divides the resulting plane graph into several subgraphs which are individually colored with Poh's algorithm. The combined coloring is then adapted to a path 4-coloring of the original torus graph. It would be interesting to see if this procedure can be adapted to a linear time algorithm.

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