# Implementing Path 3-Coloring and Path 3-Choosing Algorithms on Plane Graphs

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## Abstract

Path coloring a graph partitions its vertices into sets inducing a disjoint union of paths. In this project we consider several algorithms to compute path colorings of graphs embedded in the plane. We first implement an algorithm path 3-color plane graphs from Poh's proof in [a]. Second, we present a linear time implementation of an algorithm to path 3-choose plane graphs from the independant work of Hartman [b] and Skrekovski [c].

#### 1 Introduction

All graphs discussed in this project will be simple, undirected, and finite. A graph is planar if it may be drawn in the plane without edge crossings. A k-coloring of a graph partitions its vertices into k color classes. Such a coloring is called proper if each color class consists of nonadjacent vertices. In 1976 Appel and Haken [d,e] displayed that all planar graphs have a proper 4-coloring. This result is best possible and solved the century old Four Color Conjecture. Generalizations of proper coloring were introduced in [f,g,h] allowing color classes to form forests, or allowing vertices to have some bounded number of same color neighbors. Cowen et al. ([j]) show a best possible result that planar graphs may be 3-colored such that each vertex recieves at most two same color neighbors.

We will be considering the problem of path coloring, producing a k-coloring of a graph such that each color class induces a disjoint union of paths, or equivalently a forest where each component is a path. This coloring was introduced by Harary in [i]. Note that this is similar to the defective coloring of Cowen et al. above, with the added restriction that path coloring forbids cycles. In [l] Poh displayes that all planar graphs have a path 3-coloring. Here we present an implementation of Poh's algorithm to path 3-color plane graphs.

Given a list of k colors for each vertex, a k-list-coloring assigns each vertex a color from its list. If a graph has a proper k-list-coloring it is said to be k-choosable. List-coloring was first introduced by Erdös et al. in [m]. Thomassen in [n] proves that all planar graphs are 5 choosable. Planar graphs that are not 4-choosable are described by Mirzakhani in [o] and Voigt in [p], so Thomassen's result is best possible.

Hull and Eaton in [q] prove planar graphs are 3-choosable such that each vertex recieves at most two same color neighbors, and furthermore show this result is best possible. Hartman in [r] and

Skrekovski in [s] independently provide similar proofs that planar graphs are path 3-choosable. Hartman claims the proof yields a linear time algorithm for path 3-list-coloring, and thus path 3-coloring, plane graphs. Here we present a linear time implementation of Hartman and Skrekovski's algorithm.

# 2 Path 3-Coloring Plane Graphs

We first restate the algorithm and proof of Poh [1] for path 3-coloring plane graphs.

**Theorem 1.** Let G be a 2-connected weakly triangulated plane graph or a complete graph on two vertices and suppose the outer face C has been 2-colored such that each color class induces a non-empty path. This 2-coloring may be extended to a path 3-coloring of G such that no vertex in V(C) receives a same color neighbor in  $V(G) \setminus V(C)$ .

*Proof.* If  $|V(G)| \leq 3$  the coloring follows trivially. Let |V(G)| > 3 and suppose the theorem holds for all graphs H with |V(H)| < |V(G)|. Let  $P = p_0 \dots p_n$  and  $Q = q_0 \dots q_m$  denote the two induced paths from the 2-coloring of C such that the edges  $p_0q_0$  and  $p_nq_m$  are in C. Suppose there exist uncolored vertices, that is  $V(G) \setminus V(C) \neq \emptyset$ .

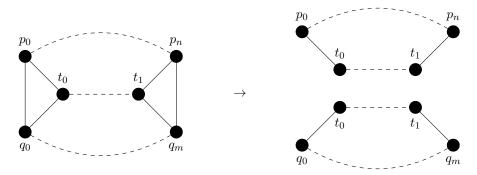
Let  $t_0$  be the vertex forming a face with  $p_0$  and  $q_0$ . If  $t_0 \in P$ , this face is already colored and we consider the graph bounded by  $P - p_0$  and Q. Similarly, if  $t_0 \in Q$  then the inductive hypothesis applies to the graph bounded by P and  $Q - q_0$ . Let  $t_1$  be the vertex forming a face with  $p_n$  and  $q_m$  and proceed in the same manner until  $t_1$  is not in either path.

Suppose there exists an induced path T from  $t_0$  to  $t_1$ . We color T the remaining color not assigned to P or Q and apply the inductive hypothesis to the subgraph bounded by P and T, and the subgraph bounded by T and Q. With only the path T in common between the two subgraphs, the combined 3-coloring forms a path coloring of G.

Suppose no such path exists from  $t_0$  to  $t_1$ . Since G is weakly triangulated there must exist an edge  $p_iq_m \in E(G)$  with  $p_i \in P$  and  $q_i \in Q$ , distinct from  $p_0q_0$  and  $p_nq_m$ . We may then separately apply the inductive hypothesis to the subgraph bounded by  $p_0 \dots p_i$  and  $q_0 \dots q_j$ , and the subgraph bounded by  $p_i \dots p_n$  and  $q_j \dots q_m$ . The two subgraphs only share the vertices  $p_i$  and  $q_j$ , thus the combined 3-coloring forms a path coloring of G.

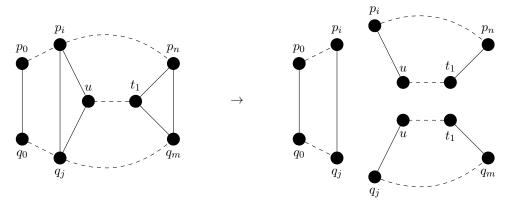
#### **Implementation**

Let the plane graph G be represented as an adjacency list and an ordering of neighbors following a combinatorial embedding. We track the paths P and Q by marking each vertex with its respective path and storing the just path start and end vertices  $p_0$ ,  $p_n$ ,  $q_0$ , and  $q_m$ . To find  $t_0$  we look through the ordered neighbors of  $p_0$  and take the vertex counterclockwise past  $q_0$ . We find  $t_1$  similarly by looking at  $p_n$  and  $q_m$ . These steps are repeated until the graph is colored or both  $t_0$  and  $t_1$  are not in either path.



**Figure 2.1** The case of a  $t_0t_1$ -path.

To check for the path from  $t_0$  to  $t_1$  we perform a breadth first search starting at  $t_1$ , and store parents for each vertex visited. If  $t_0$  is reached, an induced path from  $t_0$  to  $t_1$  is produced by backtracking through the search. We then color and mark each vertex on the new path. Otherwise, we find a vertex  $u \neq t_0$  with consecutive neighbors in opposite paths. These vertices will be  $p_i$  and  $q_j$ . We may then immediately recurse on region bounded by the  $p_i p_n$ -path and  $ut_1$ -path and the region bounded by the  $ut_1$ -path and the  $q_j p_m$ -path. To handle the remaining graph we also recurse using the  $p_0 p_i$ -path and  $q_0 p_j$ -path. Note as each recursive step is independent and marks are shared, this process may also be performed iteratively by instead pushing paths, represented by their start and end vertices, into a stack or queue.



**Figure 2.2** The case of no  $t_0t_1$ -path.

In locating  $t_0$  and  $t_1$  we make a neighbor lookup once for each. The amortized complexity of a neighbor lookup is O(|E|/|V|). Since a vertex may only be  $t_0$  or  $t_1$  once in the algorithm we perform at most |V| neighbor lookups and the amoritized complexity of this step is O(|V||E|/|V|) = O(|E|). In planar graphs  $|E| \leq 3|V| - 6$ , and O(|E|) = O(|V|). Therefore, the amortized complexity is O(|V|). We also perform at most one breadth first search from each  $t_1$ , each with complexity O(|V|). Therefore the complexity of the serach step over the entire graph is  $O(|V|^2)$ . This gives us an overall amortized complexity of  $O(|V| + |V|^2) = O(|V|^2)$ .

# 3 Path 3-Choosing Plane Graphs

The following theorem is a significant restatement of the work of Hartman [r] and Skrekovski [s]. The restatement limits each inductive step to considering a single vertex and its set of neighbors.

Suppose C is the outer cycle of a weakly triangulated plane graph G. Using notation from [r] for  $u, v \in V(C)$  we let C[u, v] denote the path from u to v clockwise along the outer face. If we wish to exclude u or v from this path we will use parenthesis, C(u, v). Similarly, for  $v \in V(G)$  and  $u, w \in N(v)$  we let  $[u, w]_v$  denote the path from u to w clockwise around v, assuming triangulated faces.

**Theorem 2.** Let G be a 2-connected weakly triangulated plane graph with outer face C, or a complete graph on two vertices. Let  $x, y \in V(C)$  be not necessarily distinct, potentially precolored vertices. Let  $p \in V(C[x,y])$  be precolored some color  $\alpha$ . Suppose L(v) assigns a list of colors to each  $v \in V(G) \setminus \{p\}$  such that

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|L(v)| \ge 1 if v = x or v = y;

|L(v)| \ge 2 if v \in V(C) \setminus \{x, y\};

|L(v)| > 3 otherwise.
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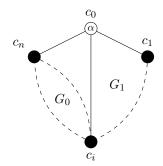
If  $p \neq x$ , let  $\alpha \notin L(v)$  for any  $v \in V(C[x, p))$ .

The coloring may then be extended to a path choosing of G from L such that x, y, and p recieve at most one same color neighbor. If x = y then x and y recieve no same color neighbors. If y = p, or y is immediately prior to p on the outer face and  $\alpha \notin L(y)$ , then y recieves no same color neighbors.

*Proof.* Suppose |V(G)| = 2. If x = y = p color the remaining vertex any color in its list other than  $\alpha$ . Otherwise, color the remaining color any color in its list if it has not been precolored.

Suppose |V(G)| > 2 and the theorem holds for all graphs H with |V(H)| < |V(G)|. Let  $C = c_0c_1 \dots c_n$  denote, in clockwise order, the outer face of G with  $p = c_0$ . There are several cases to consider. Let  $c_i \in V(C)$  be the next neighbor of p counterclockwise from  $c_n$ . Let  $G_0$  be the subgraph bounded by the cycle formed from  $C[c_i, c_n]$  and  $[c_n, c_i]_p$ . If  $c_i \neq c_1$  let  $G_1$  be the sugbraph bounded by the cycle formed from  $C[p, c_i]$  and the edge  $pc_i$ . As seen in Figure 3.1  $G = G_0 \cup G_1$  and  $V(G_0) \cap V(G_1) = \{c_i\}$ . We will display in each case that the inductive hypothesis holds for each subgraph and show their union still forms a path choosing of G from  $G_1$ . If  $G_2$  and  $G_3$  will not exist and we handle this case specially, noting  $G_0 = G - p$ .

Note that in all figures solid circles denote vertices yet to be colored, and colored vertices will be labeled with their assigned color. A label  $\beta$  will denote a color distinct from  $\alpha$ . If a vertex is unlabled it represents arbitrary color assignment from L.



**Figure 3.1** The subdivision of G into  $G_0$  and  $G_1$ .

For all  $v \in N(p) \cap V(G_0) \setminus \{x,y\}$  we note if  $v = c_n$  or  $v = c_i$  then  $|L(v)| \geq 2$ , and  $|L(v)| \geq 3$  otherwise. We define a new list assignment  $L_0$  such that  $L_0(v) = L(v) \setminus \{\alpha\}$  for  $v \in N(p) \cap V(G_0)$ , and  $L_0(v) = L(v)$  for  $v \in V(G_0) \setminus N(p)$ . Note that all  $v \in N(p) \cap V(G_0)$  will be on the outer face of  $G_0$ . Thus  $|L_0(v)| \geq 3$  for all interior vertices v of  $G_0$ . Also,  $|L_0(v)| \geq 1$  for all  $v \in \{x,y,c_i,c_n\}$ . The case  $\alpha \in L(y)$  when  $y \in V(G_i) \cap N(p)$  will potentially result in  $|L_0(y)| = 0$ , but in this case  $y = c_i$  and y will be colored  $\alpha$ . Finally,  $|L_0| \geq 2$  for all other v on the outer face of  $G_0$ . By choosing  $G_0$  from  $L_0$  we ensure p receives no  $\alpha$  colored neighbors in  $G_0$ , except in a few specially handled cases where  $c_i$  is colored  $\alpha$ .

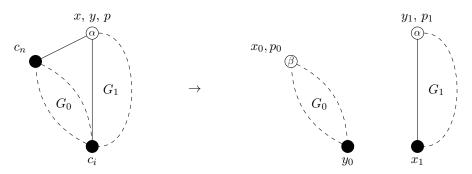
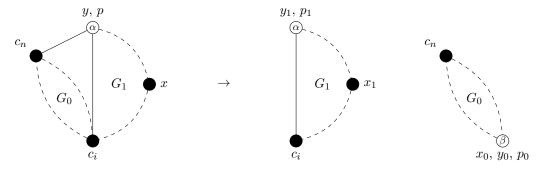


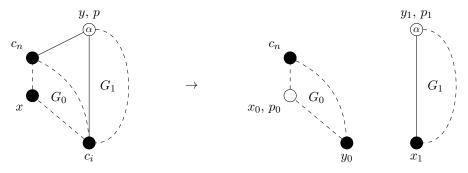
Figure 3.2 The case x = y = p.

Suppose x = y = p. Define  $p_0 = c_n$  and precolor  $p_0$  from  $L_0(p_0)$ . By our note above, clearly  $L_0(v)$  satisfies the inductive hypothesis with  $G_0$ ,  $x_0 = c_n$ ,  $y_0 = c_i$ , and  $p_0$ . After choosing  $G_0$  from  $L_0$ , if  $G_1$  exists we apply the inductive hypothesis again to choose  $G_1$  from L with  $x_1 = c_i$ ,  $y_1 = y$ , and  $p_1 = p$ . Since  $c_i$  receives at most one neighbor in each  $G_0$  and  $G_1$ , the combined coloring forms a path choosing of G from L.



**Figure 3.3** The case y = p,  $x \neq p$ , and  $c_i \in V(C[x, p))$  (shown is the case  $x \neq c_i$ ).

Suppose y = p,  $x \neq p$ , and  $c_i \in V(C[x,p))$ . I  $G_1$  exists, first apply the inductive hypothesis to choose  $G_1$  from L with  $x_1 = x$ ,  $y_1 = y$ , and  $p_1 = p$ . Since  $\alpha \notin L(v)$  for any  $v \in V(C[x,p))$  we may apply the inductive hypothesis to choose  $G_0$  from  $L_0$  with  $x_0 = y_0 = p_0 = c_i$ . If  $G_1$  exists note  $c_i$  precolored from our choosing of  $G_1$  and receives no same color neighbors in  $G_0$ , thus the combined coloring forms a path choosing of G from  $G_1$ .



**Figure 3.4** The case y = p,  $x \neq p$ , and  $c_i \notin V(C[x, p))$ .

Suppose y = p,  $x \neq p$ , and  $c_i \notin V(C[x,p))$ . Since  $\alpha \notin L(v)$  for any  $v \in V(C[x,p))$  we precolor x from  $L_0(x)$  and apply the inductive hypothesis to choose  $G_0$  from  $L_0$  with  $x_0 = p_0 = x$  and  $y_0 = c_i$ . If  $G_1$  exists we apply the inductive hypothesis again to choose  $G_1$  from L with  $x_1 = c_i$ ,  $y_1 = y$ , and  $p_1 = p$ . Notice  $c_i$  receives at most one same color neighbor in each  $G_0$  and  $G_1$ .

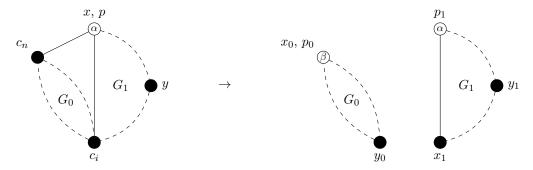
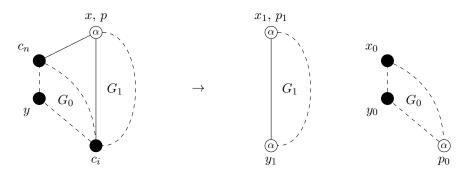


Figure 3.5 The case  $x = p, y \neq p$ , and  $c_i \in V(C(y, x))$ .

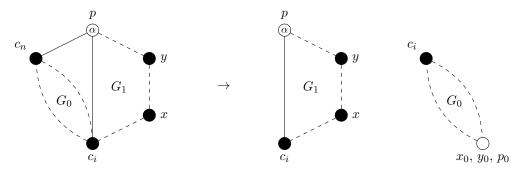
Suppose x = p,  $y \neq p$ , and  $c_i \in V(C(y, x))$ . In this case  $G_1$  must exist, so first apply the inductive hypothesis to choose  $G_0$  from  $L_0$  with  $x_0 = p_0 = c_n$  and  $y_0 = c_i$ . We again apply the inductive hypothesis to choose  $G_1$  from L with  $x_1 = c_i$ ,  $y_1 = y$ , and  $p_1 = p$ . Notice  $c_i$  receives at most one same color neighbor in each  $G_0$  and  $G_1$ .



**Figure 3.6** The case  $x = p, y \neq p$ , and  $c_i \notin V(C(y, x))$  (shown is the case  $y \neq c_i$  and  $\alpha \in L(c_i)$ ).

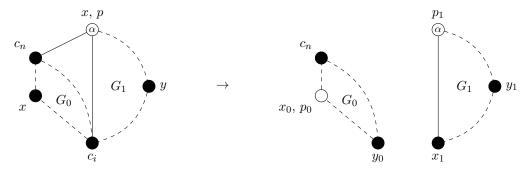
Suppose x = p,  $y \neq p$ , and  $c_i \notin V(C(y, x))$ . If  $\alpha \in L(c_i)$  we set  $p_0 = c_i$  and color  $c_i$  with  $\alpha$ . Otherwise, set  $p_0 = c_n$  and color it with the first color in  $L_0(c_n)$ , note  $|L_0(c_i)| \geq 2$  in this case. We then apply the inductive hypothesis to choose  $G_0$  from  $L_0$  with  $x_0 = c_n$ ,  $y_0 = y$ , and  $p_0$ . If  $G_1$  exists, we again apply the inductive hypothesis to color  $G_1$  with  $x_1 = p_1 = p$  and  $y_1 = c_i$ . Notice if  $p_0 = c_i$ ,  $c_i$  receives at most one same color neighbor in  $G_0$  and the single same color neighbor p in

 $G_1$ . Furthermore, p will recieve no same color neighbor in  $G_1$  other than  $c_i$ . If  $p_0 \neq c_i$ , then  $y_1 = c_i$  is immediately prior to  $p_1 = p$  and  $\alpha \notin L(c_i)$ . Thus  $c_i$  will recieve no same color neighbors in  $G_1$ .



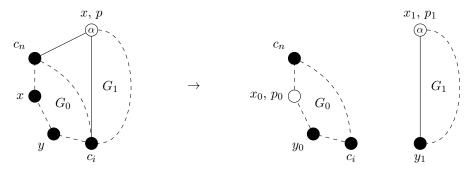
**Figure 3.7** The case  $x \neq p$ ,  $y \neq p$ , and  $c_i \in V(C[x, p))$  (shown is the case  $x \neq c_i$ ).

Suppose  $x \neq p$ ,  $y \neq p$ , and  $c_i \in V(C[x,p))$ . In this case  $G_1$  must exist, so first apply the inductive hypothesis to choose  $G_1$  from L with  $x_1 = x$ ,  $y_1 = y$ , and  $p_1 = p$ . Since  $\alpha \notin L(v)$  for any  $v \in V(C[x,p))$  we may apply the inductive hypothesis to choose  $G_0$  from  $L_0$  with  $x_0 = y_0 = p_0 = c_i$ . Note that  $c_i$  was precolored from our choosing of  $G_1$  and recieves no same color neighbors in  $G_0$  so the combined coloring forms a path choosing of G from L.



**Figure 3.8** The case  $x \neq p$ ,  $y \neq p$ , and  $c_i \in V(C(y, x))$ .

Suppose  $x \neq p$ ,  $y \neq p$ , and  $c_i \in V(C(y,x))$ . In this case  $G_1$  must exist. We apply the inductive hypothesis to color  $G_0$  from  $L_0$  with  $x_0 = p_0 = x$  and  $y_0 = c_i$ . Then apply the inductive hypothesis again to choose  $G_1$  from L with  $x_1 = c_i$ ,  $y_1 = y$ , and  $p_1 = p$ . Notice  $c_i$  receives at most one same color neighbor in each  $G_0$  and  $G_1$ .



**Figure 3.9** The case  $x \neq p$ ,  $y \neq p$ , and  $c_i \in V(C(p, y])$  (shown is the case  $y \neq c_i$  and  $\alpha \notin L(c_i)$ ).

Finally, suppose  $x \neq p$ ,  $y \neq p$ , and  $c_i \in V(C(p, y])$ . If  $\alpha \in L(c_i)$  we set  $p_0 = c_i$  and color  $c_i$  with  $\alpha$ . Otherwise, set  $p_0 = c_1$  and color it with the first color in  $L_0(c_1)$ , noting  $|L_0(c_i)| \geq 2$  in this case.

We then apply the inductive hypothesis to choose  $G_0$  from  $L_0$  with  $x_0 = x$ ,  $y_0 = y$ , and  $p_0$ . If  $G_1$  exists, we again apply the inductive hypothesis to choose  $G_1$  from L with  $x_1 = p_1 = p$  and  $y_1 = c_i$ . Note that  $c_i$  was precolored by our choosing of  $G_0$ . If  $p_0 = c_i$ ,  $c_i$  recieves at most one same color neighbor in  $G_0$  and the single same color neighbor p in  $G_1$ . Furthermore, p will recieve no same color neighbor in  $G_1$  other than  $c_i$ . If  $p_0 \neq c_i$ , then  $y_1 = c_i$  is immediately prior to  $p_1 = p$  and  $\alpha \notin L(c_i)$ . Thus  $c_i$  will recieve no same color neighbors in  $G_1$ .

### **Implementation**

To implement the coloring procedure described in Theorem 2 we must be able to efficiently achieve each of the following:

- 1. maintain the current subgraph G and its outer face C;
- 2. remove a single vertex  $v \in V(C)$  maintaining the new outer face of G v;
- 3. split G into two subgraphs along an edge bridging the outer face;
- 4. for any  $v \in V(C)$ , determine the region of C that v lies in with respect to x, y, and p.

Each vertex will marked with a *state* indicating whether it is currently interior, uncolored on the outer face, or colored. Since G is a plane graph we are provided with an embedded ordering of incident edges for each vertex. For each vertex we will maintain a pair of indices into this embedded ordering of edges, initialized with the start and end of the ordered lists. When removing a vertex  $v \in C$  from G we must simply remove v from the incidence list of each  $u \in N(v)$ . Since v is on the outer face, it will be the first or last index

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