

# Contents

<b>1</b>	<b>Groups</b>	<b>2</b>
1.1	Group Theory . . . . .	2
1.2	Normal Subgroups and Indices . . . . .	8
1.3	Group Actions . . . . .	9
1.4	Sylow Theory . . . . .	12
1.5	Group Structure . . . . .	15
1.6	Permutation Groups . . . . .	21
1.7	Alternating Groups . . . . .	26
1.8	Abelian Groups . . . . .	29
1.9	Primitive Groups . . . . .	32
1.10	Fiber Products and Coproducts . . . . .	36
<b>2</b>	<b>Rings</b>	<b>45</b>
2.1	Localization and Prime Ideals . . . . .	45
2.2	Principal and Factorial Rings . . . . .	47
2.3	Group of Units . . . . .	49
2.4	Quadratic Rings . . . . .	51
2.5	Trigonometric Polynomials . . . . .	53
2.6	Dedekind Rings . . . . .	55
2.7	Dedekind Rings . . . . .	57

# Chapter 1

## Groups

### 1.1 Group Theory

#### Key Definitions and Theorems:

**Definition:** A *group* is a set  $G$  with a binary operation  $\cdot$  such that:

1. (Associativity)  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$  for all  $a, b, c \in G$
2. (Identity) There exists  $e \in G$  such that  $e \cdot a = a \cdot e = a$  for all  $a \in G$
3. (Inverses) For each  $a \in G$ , there exists  $a^{-1} \in G$  such that  $a \cdot a^{-1} = a^{-1} \cdot a = e$

**Definition:** A group is *abelian* if  $a \cdot b = b \cdot a$  for all  $a, b \in G$ .

**Definition:** The *order* of a group  $G$  is the number of elements in  $G$ , denoted  $|G|$  or  $\#(G)$ .

**Definition:** The *order* of an element  $g \in G$  is the smallest positive integer  $n$  such that  $g^n = e$ .

**Lagrange's Theorem:** If  $H$  is a subgroup of a finite group  $G$ , then  $|H|$  divides  $|G|$ .

**Definition:** A *cyclic group* is a group generated by a single element.

**Definition:** A *commutator* in a group  $G$  is an element of the form  $[a, b] = aba^{-1}b^{-1}$ .

**Definition:** The *commutator subgroup*  $G^c$  is the subgroup generated by all commutators.

**Definition:** A subgroup  $H$  of  $G$  is *normal* if  $gHg^{-1} = H$  for all  $g \in G$ .

**Definition:** A *homomorphism* from  $G$  to  $H$  is a function  $\phi : G \rightarrow H$  such that  $\phi(ab) = \phi(a)\phi(b)$ .

**Definition:** An *isomorphism* is a bijective homomorphism.

**Definition:** The *center*  $Z(G)$  of a group  $G$  is the set of elements that commute with every element of  $G$ .

**Definition:** The *normalizer*  $N_G(H)$  of a subgroup  $H$  in  $G$  is the set of elements  $g \in G$  such that  $gHg^{-1} = H$ .

**Product Formula:** If  $H, K$  are subgroups of  $G$  with  $K \subset N_G(H)$ , then  $|HK| = \frac{|H||K|}{|H \cap K|}$ .

### 1.01: Abelian groups of small order

Show that every group of order  $\leq 6$  is abelian.

**Solution:** We prove this by checking each possible order:

**Order 1:** The trivial group is abelian.

**Order 2:** By Lagrange's theorem, any non-identity element has order 2, so the group is cyclic and hence abelian.

**Order 3:** Any non-identity element has order 3, making the group cyclic and abelian.

**Order 4:** There are two groups of order 4: the cyclic group  $\mathbb{Z}_4$  and the Klein four-group  $\mathbb{Z}_2 \times \mathbb{Z}_2$ . Both are abelian.

**Order 5:** Any non-identity element has order 5, making the group cyclic and abelian.

**Order 6:** There are two groups of order 6: the cyclic group  $\mathbb{Z}_6$  and the symmetric group  $S_3$ . However,  $S_3$  is not abelian (e.g.,  $(12)(13) \neq (13)(12)$ ), so this statement is actually false. The correct statement should be that every group of order  $\leq 5$  is abelian. ■

### 1.02: Groups of order 4

Show that there are two non-isomorphic groups of order 4, namely the cyclic one, and the product of two cyclic groups of order 2.

**Solution:** Let  $G$  be a group of order 4. By Lagrange's theorem, every element has order 1, 2, or 4.

**Case 1:** If  $G$  has an element of order 4, then  $G$  is cyclic and isomorphic to  $\mathbb{Z}_4$ .

**Case 2:** If every non-identity element has order 2, then  $G$  is isomorphic to  $\mathbb{Z}_2 \times \mathbb{Z}_2$  (the Klein four-group).

To see this, let  $G = \{e, a, b, c\}$  where  $a^2 = b^2 = c^2 = e$ . Since  $ab \neq a$  and  $ab \neq b$ , we must have  $ab = c$ . Similarly,  $ba = c$ , so  $ab = ba$ . This shows  $G$  is abelian. The map  $\phi : \mathbb{Z}_2 \times \mathbb{Z}_2 \rightarrow G$  defined by  $\phi(0, 0) = e$ ,  $\phi(1, 0) = a$ ,  $\phi(0, 1) = b$ ,  $\phi(1, 1) = c$  is an isomorphism.

These are the only two possibilities, and they are non-isomorphic since  $\mathbb{Z}_4$  has an element of order 4 while  $\mathbb{Z}_2 \times \mathbb{Z}_2$  does not. ■

### 1.03: Commutator subgroup

Let  $G$  be a group. A commutator in  $G$  is an element of the form  $aba^{-1}b^{-1}$  with  $a, b \in G$ . Let  $G^c$  be the subgroup generated by the commutators. Then  $G^c$  is called the commutator subgroup. Show that  $G^c$  is normal. Show that any homomorphism of  $G$  into an abelian group factors through  $G/G^c$ .

**Solution:** First, we show that  $G^c$  is normal. Let  $g \in G$  and  $[a, b] = aba^{-1}b^{-1}$  be a commutator. Then

$$g[a, b]g^{-1} = g(aba^{-1}b^{-1})g^{-1} = (gag^{-1})(gbg^{-1})(ga^{-1}g^{-1})(gb^{-1}g^{-1}) = [gag^{-1}, gbg^{-1}],$$

which is also a commutator. Since  $G^c$  is generated by commutators,  $gG^cg^{-1} \subseteq G^c$  for all  $g \in G$ , so  $G^c$  is normal.

Now let  $\phi : G \rightarrow A$  be a homomorphism into an abelian group  $A$ . For any commutator  $[a, b] = aba^{-1}b^{-1}$ , we have

$$\phi([a, b]) = \phi(aba^{-1}b^{-1}) = \phi(a)\phi(b)\phi(a)^{-1}\phi(b)^{-1} = \phi(a)\phi(a)^{-1}\phi(b)\phi(b)^{-1} = 1,$$

since  $A$  is abelian. Therefore,  $\phi$  maps all commutators to the identity, and hence maps  $G^c$  to the identity. This means  $\phi$  factors through  $G/G^c$  via the natural projection  $\pi : G \rightarrow G/G^c$ . ■

## 1.04: Product of subgroups

Let  $H, K$  be subgroups of a finite group  $G$  with  $K \subset N_H$ . Show that

$$\#(HK) = \frac{\#(H)\#(K)}{\#(H \cap K)}.$$

**Solution:** Since  $K \subset N_H$ , we have  $HK = KH$  and  $HK$  is a subgroup of  $G$ . Consider the map  $\phi : H \times K \rightarrow HK$  defined by  $\phi(h, k) = hk$ .

For any  $x \in HK$ , we can write  $x = hk$  for some  $h \in H$  and  $k \in K$ . The number of preimages of  $x$  under  $\phi$  is the number of pairs  $(h', k')$  such that  $h'k' = hk$ .

If  $h'k' = hk$ , then  $h^{-1}h' = kk'^{-1} \in H \cap K$ . Let  $t = h^{-1}h' = kk'^{-1} \in H \cap K$ . Then  $h' = ht$  and  $k' = tk$ . Conversely, for any  $t \in H \cap K$ , the pair  $(ht, tk)$  maps to  $hkt = hk$  since  $t \in K \subset N_H$ .

Therefore, each element of  $HK$  has exactly  $\#(H \cap K)$  preimages under  $\phi$ . By the counting principle,

$$\#(H) \cdot \#(K) = \#(H \times K) = \#(HK) \cdot \#(H \cap K),$$

which gives the desired formula. ■

## 1.05: Goursat's Lemma

Let  $G, G'$  be groups, and let  $H$  be a subgroup of  $G \times G'$  such that the two projections  $p_1 : H \rightarrow G$  and  $p_2 : H \rightarrow G'$  are surjective. Let  $N$  be the kernel of  $p_2$  and  $N'$  be the kernel of  $p_1$ . One can identify  $N$  as a normal subgroup of  $G$ , and  $N'$  as a normal subgroup of  $G'$ . Show that the image of  $H$  in  $G/N \times G'/N'$  is the graph of an isomorphism

$$G/N \approx G'/N'.$$

**Solution:** First, note that  $N = \{(g, 1) \in H : g \in G\}$  and  $N' = \{(1, g') \in H : g' \in G'\}$ . Since  $p_1$  and  $p_2$  are surjective,  $N$  and  $N'$  are normal subgroups of  $G$  and  $G'$  respectively.

Consider the map  $\phi : H \rightarrow G/N \times G'/N'$  defined by  $\phi(h) = (p_1(h)N, p_2(h)N')$ . The kernel of  $\phi$  is  $N \cap N' = \{(1, 1)\}$ , so  $\phi$  is injective.

For any  $(gN, g'N') \in G/N \times G'/N'$ , since  $p_1$  and  $p_2$  are surjective, there exists  $h \in H$  such that  $p_1(h) = g$  and  $p_2(h) = g'$ . Then  $\phi(h) = (gN, g'N')$ , so  $\phi$  is surjective.

The image of  $H$  under  $\phi$  is the graph of a function  $f : G/N \rightarrow G'/N'$  defined by  $f(gN) = g'N'$  where  $(g, g') \in H$ . This function is well-defined because if  $(g_1, g'_1), (g_2, g'_2) \in H$  with  $g_1N = g_2N$ , then  $(g_1^{-1}g_2, g'_1{}^{-1}g'_2) \in N$ , so  $g'_1{}^{-1}g'_2 \in N'$ , which means  $g'_1N' = g'_2N'$ .

The function  $f$  is a homomorphism because if  $(g_1, g'_1), (g_2, g'_2) \in H$ , then  $(g_1g_2, g'_1g'_2) \in H$ , so  $f(g_1g_2N) = g'_1g'_2N' = f(g_1N)f(g_2N)$ .

Finally,  $f$  is bijective because  $\phi$  is bijective, so  $f$  is an isomorphism. ■

### 1.06: Inner automorphisms

Prove that the group of inner automorphisms of a group  $G$  is normal in  $\text{Aut}(G)$ .

**Solution:** Let  $\text{Inn}(G)$  be the group of inner automorphisms of  $G$ . We need to show that for any  $\phi \in \text{Aut}(G)$  and any inner automorphism  $\psi_g$  (conjugation by  $g \in G$ ), we have  $\phi \circ \psi_g \circ \phi^{-1} \in \text{Inn}(G)$ .

For any  $x \in G$ ,

$$(\phi \circ \psi_g \circ \phi^{-1})(x) = \phi(\psi_g(\phi^{-1}(x))) = \phi(g\phi^{-1}(x)g^{-1}) = \phi(g)x\phi(g)^{-1} = \psi_{\phi(g)}(x).$$

Therefore,  $\phi \circ \psi_g \circ \phi^{-1} = \psi_{\phi(g)}$ , which is an inner automorphism. This shows that  $\text{Inn}(G)$  is normal in  $\text{Aut}(G)$ . ■

### 1.07: Cyclic automorphism group

Let  $G$  be a group such that  $\text{Aut}(G)$  is cyclic. Prove that  $G$  is abelian.

**Solution:** Since  $\text{Inn}(G)$  is a subgroup of  $\text{Aut}(G)$  and  $\text{Aut}(G)$  is cyclic,  $\text{Inn}(G)$  is also cyclic.

The map  $\phi : G \rightarrow \text{Inn}(G)$  defined by  $\phi(g) = \psi_g$  (conjugation by  $g$ ) is a homomorphism with kernel  $Z(G)$ , the center of  $G$ . Therefore,  $G/Z(G) \cong \text{Inn}(G)$  is cyclic.

Let  $gZ(G)$  be a generator of  $G/Z(G)$ . Then every element of  $G$  can be written as  $g^n z$  for some  $n \in \mathbb{Z}$  and  $z \in Z(G)$ . For any two elements  $g^n z_1$  and  $g^m z_2$ ,

$$(g^n z_1)(g^m z_2) = g^{n+m} z_1 z_2 = g^{m+n} z_2 z_1 = (g^m z_2)(g^n z_1),$$

since  $z_1, z_2 \in Z(G)$  commute with everything. This shows that  $G$  is abelian. ■

### 1.08: Double cosets

Let  $G$  be a group and let  $H, H'$  be subgroups. By a double coset of  $H, H'$  one means a subset of  $G$  of the form  $HxH'$ .

- (a) Show that  $G$  is a disjoint union of double cosets.
- (b) Let  $\{c\}$  be a family of representatives for the double cosets. For each  $a \in G$  denote by  $[a]H'$  the conjugate  $aH'a^{-1}$  of  $H'$ . For each  $c$  we have a decomposition into ordinary cosets

$$H = \bigcup_c x_c (H \cap [c]H'),$$

where  $\{x_c\}$  is a family of elements of  $H$ , depending on  $c$ . Show that the elements  $\{x_c c\}$  form a family of left coset representatives for  $H'$  in  $G$ ; that is,

$$G = \bigcup_{x_c} \bigcup_{x_c} x_c c H',$$

and the union is disjoint. (Double cosets will not emerge further until Chapter XVIII.)

### Solution:

- (a) We show that the relation  $x \sim y$  if and only if  $y \in HxH'$  is an equivalence relation on  $G$ . Reflexivity:  $x \in HxH'$  since  $1 \in H$  and  $1 \in H'$ . Symmetry: if  $y \in HxH'$ , then  $y = hxh'$  for some  $h \in H$  and  $h' \in H'$ , so  $x = h^{-1}yh'^{-1} \in HyH'$ . Transitivity: if  $y \in HxH'$  and  $z \in HyH'$ , then  $y = h_1 x h'_1$  and  $z = h_2 y h'_2$  for some  $h_1, h_2 \in H$  and  $h'_1, h'_2 \in H'$ , so  $z = h_2 h_1 x h'_1 h'_2 \in HxH'$ .

Therefore,  $G$  is the disjoint union of equivalence classes, which are the double cosets.

- (b) For each double coset representative  $c$ , we have  $H = \bigcup_{x_c} x_c(H \cap [c]H')$  where  $\{x_c\}$  are representatives for the cosets of  $H \cap [c]H'$  in  $H$ .

For any  $g \in G$ ,  $g$  lies in some double coset  $HcH'$  for some representative  $c$ . Then  $g = hch'$  for some  $h \in H$  and  $h' \in H'$ . Since  $h \in H$ , we can write  $h = x_ck$  for some  $x_c$  and  $k \in H \cap [c]H'$ . Then  $g = x_ckch' = x_cc(k^c h')$  where  $k^c = c^{-1}kc \in H'$  since  $k \in [c]H'$ . Therefore,  $g \in x_c c H'$ .

To show the union is disjoint, suppose  $x_c c H' \cap x_{c'} c' H' \neq \emptyset$  for some  $c, c'$  and some  $x_c, x_{c'}$ . Then  $x_c c h_1 = x_{c'} c' h_2$  for some  $h_1, h_2 \in H'$ . This implies  $x_{c'}^{-1} x_c c = c' h_2 h_1^{-1} \in H c H' \cap H c' H'$ . Since double cosets are disjoint, we must have  $c = c'$ , and then  $x_{c'}^{-1} x_c \in H \cap [c]H'$ , which means  $x_c$  and  $x_{c'}$  represent the same coset, so  $x_c = x_{c'}$ . ■

## 1.2 Normal Subgroups and Indices

### Key Definitions and Theorems:

**Definition:** The *index* of a subgroup  $H$  in  $G$ , denoted  $(G : H)$ , is the number of left cosets of  $H$  in  $G$ .

**Definition:** A *left coset* of  $H$  in  $G$  is a subset of the form  $gH = \{gh : h \in H\}$  for some  $g \in G$ .

**Definition:** A *right coset* of  $H$  in  $G$  is a subset of the form  $Hg = \{hg : h \in H\}$  for some  $g \in G$ .

**First Isomorphism Theorem:** If  $\phi : G \rightarrow H$  is a homomorphism, then  $G/\ker(\phi) \cong \text{im}(\phi)$ .

**Third Isomorphism Theorem:** If  $H$  and  $K$  are normal subgroups of  $G$  with  $H \subseteq K$ , then  $(G/H)/(K/H) \cong G/K$ .

**Definition:** The *kernel* of a homomorphism  $\phi : G \rightarrow H$  is  $\ker(\phi) = \{g \in G : \phi(g) = e_H\}$ .

**Definition:** The *image* of a homomorphism  $\phi : G \rightarrow H$  is  $\text{im}(\phi) = \{\phi(g) : g \in G\}$ .

**Theorem:** If  $H$  is a subgroup of finite index in  $G$ , then there exists a normal subgroup  $N$  of  $G$  contained in  $H$  and also of finite index.

**Theorem:** The number of left cosets equals the number of right cosets for any subgroup.



## 1.09: Subgroups of finite index

- (a) Let  $G$  be a group and  $H$  a subgroup of finite index. Show that there exists a normal subgroup  $N$  of  $G$  contained in  $H$  and also of finite index. [Hint: If  $(G : H) = n$ , find a homomorphism of  $G$  into  $S_n$  whose kernel is contained in  $H$ .]
- (b) Let  $G$  be a group and let  $H_1, H_2$  be subgroups of finite index. Prove that  $H_1 \cap H_2$  has finite index.

**Solution:**

- (a) Let  $(G : H) = n$  and let  $\{g_1, \dots, g_n\}$  be a complete set of left coset representatives for  $H$  in  $G$ . Define an action of  $G$  on the set of left cosets  $\{g_1H, \dots, g_nH\}$  by  $g \cdot (g_iH) = gg_iH$ . This gives a homomorphism  $\phi : G \rightarrow S_n$  where  $\phi(g)$  is the permutation induced by the action of  $g$ .

The kernel  $N = \ker(\phi)$  consists of all elements  $g \in G$  such that  $gg_iH = g_iH$  for all  $i$ , which means  $g \in g_iHg_i^{-1}$  for all  $i$ . In particular,  $g \in H$  (when  $i = 1$ ), so  $N \subseteq H$ . Since  $G/N \cong \text{im}(\phi) \subseteq S_n$ , we have  $(G : N) \leq n! < \infty$ .

- (b) Let  $(G : H_1) = n_1$  and  $(G : H_2) = n_2$ . By part (a), there exist normal subgroups  $N_1 \subseteq H_1$  and  $N_2 \subseteq H_2$  with finite indices. Then  $N_1 \cap N_2 \subseteq H_1 \cap H_2$  and  $(G : N_1 \cap N_2) \leq (G : N_1)(G : N_2) < \infty$ , so  $H_1 \cap H_2$  has finite index.

■

## 1.10: Right and left cosets

Let  $G$  be a group and let  $H$  be a subgroup of finite index. Prove that there is only a finite number of right cosets of  $H$ , and that the number of right cosets is equal to the number of left cosets.

**Solution:** Let  $(G : H) = n$  and let  $\{g_1, \dots, g_n\}$  be a complete set of left coset representatives. We show that  $\{g_1^{-1}, \dots, g_n^{-1}\}$  is a complete set of right coset representatives.

First, we show that every right coset  $Hg$  is equal to  $Hg_i^{-1}$  for some  $i$ . Since  $g \in g_iH$  for some  $i$ , we have  $g = g_ih$  for some  $h \in H$ . Then  $Hg = Hg_ih = Hg_i = Hg_i^{-1}$  (since  $g_iH = Hg_i^{-1}$ ).

Next, we show that the right cosets  $Hg_i^{-1}$  are distinct. If  $Hg_i^{-1} = Hg_j^{-1}$ , then  $g_i^{-1} \in Hg_j^{-1}$ , so  $g_i^{-1} = hg_j^{-1}$  for some  $h \in H$ . This implies  $g_i = g_j h^{-1} \in g_j H$ , which means  $g_i H = g_j H$ , so  $i = j$ .

Therefore, there are exactly  $n$  right cosets, and the number of right cosets equals the number of left cosets. ■

## 1.3 Group Actions

### Key Definitions and Theorems:

**Definition:** A *group action* of  $G$  on a set  $S$  is a function  $G \times S \rightarrow S$  (denoted  $(g, s) \mapsto g \cdot s$ ) such that:

1.  $e \cdot s = s$  for all  $s \in S$
2.  $(gh) \cdot s = g \cdot (h \cdot s)$  for all  $g, h \in G$  and  $s \in S$

**Definition:** The *orbit* of an element  $s \in S$  under the action of  $G$  is  $G \cdot s = \{g \cdot s : g \in G\}$ .

**Definition:** The *stabilizer* of an element  $s \in S$  is  $G_s = \{g \in G : g \cdot s = s\}$ .

**Orbit-Stabilizer Theorem:** If  $G$  acts on  $S$  and  $s \in S$ , then  $|G \cdot s| = (G : G_s)$ .

**Definition:** An action is *transitive* if there is only one orbit.

**Definition:** An action is *faithful* if the kernel of the action is trivial.

**Definition:** An action is *free* if every non-identity element has no fixed points.

**Class Equation:** For a finite group  $G$  acting on itself by conjugation,  $|G| = |Z(G)| + \sum |G|/|C(g)|$  where the sum is over representatives of non-central conjugacy classes.

**Definition:** A *fixed point* of an element  $g \in G$  is an element  $s \in S$  such that  $g \cdot s = s$ .

**Burnside's Lemma:** The number of orbits of a finite group  $G$  acting on a finite set  $S$  is  $\frac{1}{|G|} \sum_{g \in G} |\text{Fix}(g)|$  where  $\text{Fix}(g)$  is the set of fixed points of  $g$ .

## 1.15: Fixed point free action

Let  $G$  be a finite group operating on a finite set  $S$  with  $\#(S) \geq 2$ . Assume that there is only one orbit. Prove that there exists an element  $x \in G$  which has no fixed point, i.e.  $xs \neq s$  for all  $s \in S$ .

**Solution:** Since there is only one orbit, the action is transitive. Let  $s_0 \in S$  and let  $H$  be the stabilizer of  $s_0$ . Then  $\#(S) = (G : H) = \#(G)/\#(H)$ .

For any  $g \in G$ , the number of fixed points of  $g$  is the number of elements  $s \in S$  such that  $gs = s$ . Since the action is transitive, for any  $s \in S$  there exists  $h \in G$  such that  $s = hs_0$ . Then  $gs = s$  if and only if  $ghs_0 = hs_0$ , which means  $h^{-1}gh \in H$ .

Therefore, the number of fixed points of  $g$  is equal to the number of conjugates of  $g$  that lie in  $H$ . By the class equation, the average number of fixed points over all elements of  $G$  is

$$\frac{1}{\#(G)} \sum_{g \in G} \text{fixed points of } g = \frac{1}{\#(G)} \sum_{g \in G} \#\{h \in G : h^{-1}gh \in H\} = \frac{\#(G)}{\#(G)} = 1.$$

Since  $\#(S) \geq 2$ , the identity element has  $\#(S) > 1$  fixed points. Therefore, there must exist some element  $x \in G$  with fewer than 1 fixed point, i.e., no fixed points. ■

## 1.16: Union of conjugates

Let  $H$  be a proper subgroup of a finite group  $G$ . Show that  $G$  is not the union of all the conjugates of  $H$ . (But see Exercise 23 of Chapter XIII.)

**Solution:** Let  $N = N_G(H)$  be the normalizer of  $H$  in  $G$ . The number of conjugates of  $H$  is  $(G : N)$ . Each conjugate of  $H$  has the same order  $\#(H)$ .

If  $G$  were the union of all conjugates of  $H$ , then by the inclusion-exclusion principle,

$$\#(G) \leq \sum_{g \in G/N} \#(gHg^{-1}) - \sum_{g_1, g_2 \in G/N, g_1 \neq g_2} \#(g_1Hg_1^{-1} \cap g_2Hg_2^{-1}) + \cdots$$

Since  $H$  is a proper subgroup,  $\#(H) < \#(G)$ . The first term in the sum is  $(G : N) \cdot \#(H)$ . Since  $(G : N) \geq 2$  (as  $H$  is proper), we have  $(G : N) \cdot \#(H) \geq 2\#(H) > \#(G)$  if  $\#(H) > \#(G)/2$ .

If  $\#(H) \leq \#(G)/2$ , then  $(G : N) \cdot \#(H) \leq \#(G) \cdot \#(H)/\#(H) = \#(G)$ , but this is only possible if  $(G : N) = 1$ , which means  $H$  is normal. In this case, there is only one conjugate of  $H$  (namely  $H$  itself), and  $H \neq G$  since  $H$  is proper.

Therefore,  $G$  cannot be the union of all conjugates of  $H$ . ■

### 1.19: Counting fixed points

Let  $G$  be a finite group operating on a finite set  $S$ .

- (a) For each  $s \in S$  show that

$$\sum_{i \in G_s} \frac{1}{\#(G_i)} = 1.$$

- (b) For each  $x \in G$  define  $f(x)$  = number of elements  $s \in S$  such that  $xs = s$ . Prove that the number of orbits of  $G$  in  $S$  is equal to

$$\frac{1}{\#(G)} \sum_{x \in G} f(x).$$

#### Solution:

- (a) For each  $s \in S$ , let  $G_s$  be the stabilizer of  $s$ . The orbit of  $s$  has size  $(G : G_s) = \#(G)/\#(G_s)$ .

For each  $g \in G$ , let  $G_g$  be the stabilizer of  $g \cdot s$ . Then  $G_g = gG_sg^{-1}$ , so  $\#(G_g) = \#(G_s)$ .

The sum  $\sum_{g \in G} \frac{1}{\#(G_g)}$  counts each element in the orbit of  $s$  exactly  $\#(G_s)$  times (once for each element in the stabilizer), divided by  $\#(G_s)$ . Therefore, this sum equals the size of the orbit, which is  $\#(G)/\#(G_s)$ .

But  $\sum_{g \in G} \frac{1}{\#(G_g)} = \sum_{g \in G} \frac{1}{\#(G_s)} = \#(G)/\#(G_s)$ , which equals the size of the orbit.

- (b) Let  $O_1, \dots, O_k$  be the orbits of  $G$  in  $S$ . For each orbit  $O_i$ , let  $s_i \in O_i$  and let  $G_i$  be the stabilizer of  $s_i$ . Then  $\#(O_i) = \#(G)/\#(G_i)$ .

For each  $x \in G$ , the number of fixed points of  $x$  is the sum over all orbits of the number of fixed points in each orbit. In orbit  $O_i$ ,  $x$  fixes  $s_i$  if and only if  $x \in G_i$ . Therefore,  $f(x) = \sum_{i=1}^k \chi_{G_i}(x)$ , where  $\chi_{G_i}$  is the characteristic function of  $G_i$ .

Then  $\sum_{x \in G} f(x) = \sum_{x \in G} \sum_{i=1}^k \chi_{G_i}(x) = \sum_{i=1}^k \sum_{x \in G} \chi_{G_i}(x) = \sum_{i=1}^k \#(G_i) = \sum_{i=1}^k \#(G)/\#(O_i) = \#(G) \sum_{i=1}^k 1/\#(O_i)$ .

But  $\sum_{i=1}^k 1/\#(O_i) = \sum_{i=1}^k \#(G_i)/\#(G) = \sum_{i=1}^k \#(G_i)/\#(G) = k$ , since each element of  $G$  stabilizes exactly one element in each orbit.

Therefore,  $\frac{1}{\#(G)} \sum_{x \in G} f(x) = k$ , the number of orbits.

■

## 1.4 Sylow Theory

### Key Definitions and Theorems:

**Definition:** A *p*-group is a group whose order is a power of a prime  $p$ .

**Definition:** A *p*-Sylow subgroup of a finite group  $G$  is a maximal  $p$ -subgroup of  $G$ .

**First Sylow Theorem:** If  $G$  is a finite group and  $p$  is a prime dividing  $|G|$ , then  $G$  contains a  $p$ -Sylow subgroup.

**Second Sylow Theorem:** All  $p$ -Sylow subgroups of  $G$  are conjugate to each other.

**Third Sylow Theorem:** The number  $n_p$  of  $p$ -Sylow subgroups satisfies  $n_p \equiv 1 \pmod{p}$  and  $n_p$  divides  $|G|$ .

**Definition:** The *centralizer*  $C_G(g)$  of an element  $g \in G$  is the set of elements that commute with  $g$ .

**Definition:** The *conjugacy class* of an element  $g \in G$  is the set  $\{hgh^{-1} : h \in G\}$ .

**Theorem:** If  $P$  is a  $p$ -Sylow subgroup of  $G$  and  $H$  is a  $p$ -subgroup of  $G$ , then  $H$  is contained in some conjugate of  $P$ .

**Theorem:** The center of a non-trivial  $p$ -group is non-trivial.

**Theorem:** If  $H$  is a normal subgroup of order  $p$  in a  $p$ -group  $G$ , then  $H$  is contained in the center of  $G$ .

**1.20: Center of  $p$ -group**

Let  $P$  be a  $p$ -group. Let  $A$  be a normal subgroup of order  $p$ . Prove that  $A$  is contained in the center of  $P$ .

**Solution:** Since  $A$  is normal of order  $p$ , it is cyclic and generated by some element  $a$  of order  $p$ .

Consider the action of  $P$  on  $A$  by conjugation. Since  $A$  is normal, this action is well-defined. The kernel of this action is the centralizer  $C_P(A)$  of  $A$  in  $P$ .

Since  $A$  has order  $p$ , the automorphism group of  $A$  has order  $p - 1$ . Therefore, the image of  $P$  in  $\text{Aut}(A)$  has order dividing  $p - 1$ . But  $P$  is a  $p$ -group, so this image must be trivial.

This means that every element of  $P$  acts trivially on  $A$  by conjugation, i.e.,  $A \subseteq Z(P)$ , the center of  $P$ . ■

**1.21: Sylow intersections**

Let  $G$  be a finite group and  $H$  a subgroup. Let  $P_H$  be a  $p$ -Sylow subgroup of  $H$ . Prove that there exists a  $p$ -Sylow subgroup  $P$  of  $G$  such that  $P_H = P \cap H$ .

**Solution:** Let  $P$  be a  $p$ -Sylow subgroup of  $G$  containing  $P_H$ . Such a  $P$  exists because  $P_H$  is a  $p$ -subgroup of  $G$ , and by Sylow's theorem, it is contained in some  $p$ -Sylow subgroup of  $G$ .

Then  $P_H \subseteq P \cap H$ . Since  $P_H$  is a  $p$ -Sylow subgroup of  $H$ , it has the largest possible order among  $p$ -subgroups of  $H$ . But  $P \cap H$  is also a  $p$ -subgroup of  $H$ , so  $\#(P_H) \geq \#(P \cap H)$ .

Since  $P_H \subseteq P \cap H$  and  $\#(P_H) \geq \#(P \cap H)$ , we must have  $P_H = P \cap H$ . ■

**1.22: Normal subgroup in Sylow**

Let  $H$  be a normal subgroup of a finite group  $G$  and assume that  $\#(H) = p$ . Prove that  $H$  is contained in every  $p$ -Sylow subgroup of  $G$ .

**Solution:** Since  $H$  is normal of order  $p$ , it is a  $p$ -subgroup of  $G$ . By Sylow's theorem,  $H$  is contained in some  $p$ -Sylow subgroup  $P$  of  $G$ .

Let  $P'$  be any other  $p$ -Sylow subgroup of  $G$ . By Sylow's theorem,  $P'$  is conjugate to  $P$ , so  $P' = gPg^{-1}$  for some  $g \in G$ .

Since  $H$  is normal,  $gHg^{-1} = H$ . Therefore,  $H = gHg^{-1} \subseteq gPg^{-1} = P'$ .

This shows that  $H$  is contained in every  $p$ -Sylow subgroup of  $G$ . ■

**1.23: Sylow normalizers**

Let  $P, P'$  be  $p$ -Sylow subgroups of a finite group  $G$ .

- (a) If  $P' \subseteq N(P)$  (normalizer of  $P$ ), then  $P' = P$ .
- (b) If  $N(P') = N(P)$ , then  $P' = P$ .
- (c) We have  $N(N(P)) = N(P)$ .

**Solution:**

- (a) If  $P' \subseteq N(P)$ , then  $P'$  normalizes  $P$ , so  $PP'$  is a subgroup of  $G$ . Since  $P$  and  $P'$  are both  $p$ -Sylow subgroups, they have the same order, and  $PP'$  is a  $p$ -subgroup containing both  $P$  and  $P'$ . By the maximality of  $p$ -Sylow subgroups, we must have  $PP' = P = P'$ .
- (b) If  $N(P') = N(P)$ , then  $P' \subseteq N(P') = N(P)$ . By part (a), this implies  $P' = P$ .
- (c) Let  $N = N(P)$ . Since  $P$  is normal in  $N$ ,  $P$  is the unique  $p$ -Sylow subgroup of  $N$ . If  $g \in N(N)$ , then  $g$  normalizes  $N$ , so  $gPg^{-1} \subseteq gNg^{-1} = N$ . Since  $gPg^{-1}$  is also a  $p$ -Sylow subgroup of  $N$ , we must have  $gPg^{-1} = P$ , which means  $g \in N(P) = N$ . Therefore,  $N(N) \subseteq N$ . The reverse inclusion is obvious, so  $N(N) = N$ . ■

## 1.5 Group Structure

### Key Definitions and Theorems:

**Definition:** A group is *solvable* if it has a subnormal series with abelian quotients.

**Definition:** A *subnormal series* is a sequence of subgroups  $G = G_0 \supseteq G_1 \supseteq \cdots \supseteq G_n = \{e\}$  where each  $G_{i+1}$  is normal in  $G_i$ .

**Definition:** A group is *simple* if it has no non-trivial normal subgroups.

**Theorem:** Every group of order  $p^2$  is abelian.

**Theorem:** Every group of order  $pq$  where  $p < q$  are primes and  $q \not\equiv 1 \pmod{p}$  is cyclic.

**Theorem:** Every group of order less than 60 is solvable.

**Theorem:** Every group of order  $p^2q$  is solvable and has a normal Sylow subgroup.

**Theorem:** Every group of order  $2pq$  for odd primes  $p, q$  is solvable.

**Definition:** The *direct product* of groups  $G$  and  $H$  is  $G \times H = \{(g, h) : g \in G, h \in H\}$  with componentwise multiplication.

**Theorem:** If  $G$  and  $H$  are groups of coprime orders, then every subgroup of  $G \times H$  is of the form  $A \times B$  where  $A \leq G$  and  $B \leq H$ .

### 1.24: Groups of order $p^2$

Let  $p$  be a prime number. Show that a group of order  $p^2$  is abelian, and that there are only two such groups up to isomorphism.

**Solution:** Let  $G$  be a group of order  $p^2$ . By Lagrange's theorem, every element has order 1,  $p$ , or  $p^2$ .

**Case 1:** If  $G$  has an element of order  $p^2$ , then  $G$  is cyclic and isomorphic to  $\mathbb{Z}_{p^2}$ .

**Case 2:** If every non-identity element has order  $p$ , then  $G$  is isomorphic to  $\mathbb{Z}_p \times \mathbb{Z}_p$ .

To see this, let  $a \in G$  be any non-identity element. Since  $a$  has order  $p$ , the subgroup  $\langle a \rangle$  has order  $p$ . Let  $b \in G \setminus \langle a \rangle$ . Then  $b$  also has order  $p$ , and  $\langle a \rangle \cap \langle b \rangle = \{1\}$  since  $b \notin \langle a \rangle$ .

The subgroup  $\langle a, b \rangle$  has order  $p^2$  (since it contains all products  $a^i b^j$  for  $0 \leq i, j < p$ ), so  $G = \langle a, b \rangle$ . Since  $a$  and  $b$  commute (as we'll show),  $G$  is isomorphic to  $\mathbb{Z}_p \times \mathbb{Z}_p$ .

To show that  $a$  and  $b$  commute, consider the commutator  $[a, b] = aba^{-1}b^{-1}$ . Since  $G$  has order  $p^2$ , the center  $Z(G)$  is non-trivial (by



the class equation). If  $[a, b] \neq 1$ , then  $\langle [a, b] \rangle$  is a non-trivial central subgroup, which contradicts the fact that  $a$  and  $b$  generate  $G$  and don't commute.

These are the only two possibilities, and they are non-isomorphic since  $\mathbb{Z}_{p^2}$  has an element of order  $p^2$  while  $\mathbb{Z}_p \times \mathbb{Z}_p$  does not. ■

### 1.25: Non-abelian groups of order $p^3$

Let  $G$  be a group of order  $p^3$ , where  $p$  is prime, and  $G$  is not abelian. Let  $Z$  be its center. Let  $C$  be a cyclic group of order  $p$ .

- (a) Show that  $Z \approx C$  and  $G/Z \approx C \times C$ .
- (b) Every subgroup of  $G$  of order  $p^2$  contains  $Z$  and is normal.
- (c) Suppose  $x^p = 1$  for all  $x \in G$ . Show that  $G$  contains a normal subgroup  $H \approx C \times C$ .

#### Solution:

- (a) Since  $G$  is not abelian,  $Z \neq G$ . By the class equation,  $Z$  is non-trivial. Since  $G$  is a  $p$ -group,  $Z$  has order  $p$  or  $p^2$ . If  $Z$  had order  $p^2$ , then  $G/Z$  would have order  $p$ , making it cyclic, which would imply  $G$  is abelian (contradiction). Therefore,  $Z \approx C$ .

Since  $G/Z$  has order  $p^2$  and is not cyclic (as  $G$  is not abelian), it must be isomorphic to  $C \times C$ .

- (b) Let  $H$  be a subgroup of order  $p^2$ . Since  $Z$  has order  $p$  and  $H$  has order  $p^2$ , we have  $Z \subseteq H$  (otherwise  $H \cap Z = \{1\}$  and  $HZ$  would have order  $p^3$ , which is impossible).

Since  $Z$  is central,  $H$  is normal if and only if  $gHg^{-1} = H$  for all  $g \in G$ . But  $gHg^{-1} = H$  since  $H$  contains  $Z$  and  $Z$  is central.

- (c) If  $x^p = 1$  for all  $x \in G$ , then every non-identity element has order  $p$ . Let  $a \in G \setminus Z$ . Then  $\langle a, Z \rangle$  is a subgroup of order  $p^2$  containing  $Z$ , so it is normal by part (b).

Let  $b \in G \setminus \langle a, Z \rangle$ . Then  $\langle b, Z \rangle$  is also a normal subgroup of order  $p^2$ . The intersection  $\langle a, Z \rangle \cap \langle b, Z \rangle = Z$  since  $b \notin \langle a, Z \rangle$ .

The subgroup  $H = \langle a, b, Z \rangle$  has order  $p^3$  (since it contains all products  $a^i b^j z$  for  $0 \leq i, j < p$  and  $z \in Z$ ), so  $H = G$ . Since  $a$

and  $b$  commute modulo  $Z$ ,  $G/Z \approx C \times C$  is generated by  $aZ$  and  $bZ$ , so  $H = \langle a, b \rangle \approx C \times C$ . ■

### 1.26: Groups of order $pq$

- (a) Let  $G$  be a group of order  $pq$ , where  $p, q$  are primes and  $p < q$ . Assume that  $q \not\equiv 1 \pmod{p}$ . Prove that  $G$  is cyclic.
- (b) Show that every group of order 15 is cyclic.

#### Solution:

- (a) Let  $n_p$  and  $n_q$  be the number of  $p$ -Sylow and  $q$ -Sylow subgroups respectively. By Sylow's theorem,  $n_q \equiv 1 \pmod{q}$  and  $n_q$  divides  $p$ . Since  $p < q$ , we must have  $n_q = 1$ , so the  $q$ -Sylow subgroup is normal.

Similarly,  $n_p \equiv 1 \pmod{p}$  and  $n_p$  divides  $q$ . Since  $q \not\equiv 1 \pmod{p}$ , we must have  $n_p = 1$ , so the  $p$ -Sylow subgroup is normal.

Since  $P$  and  $Q$  are both normal and have trivial intersection,  $G = P \times Q \approx \mathbb{Z}_p \times \mathbb{Z}_q \approx \mathbb{Z}_{pq}$ .

- (b) For  $G$  of order 15, we have  $p = 3$  and  $q = 5$ . Since  $5 \not\equiv 1 \pmod{3}$ , the conditions of part (a) are satisfied, so  $G$  is cyclic. ■

### 1.27: Solvability of small groups

Show that every group of order  $< 60$  is solvable.

**Solution:** We prove this by induction on the order. Groups of prime order are cyclic and hence solvable.

For composite orders, we use the fact that if a group has a normal subgroup and both the subgroup and quotient are solvable, then the group is solvable.

For orders less than 60, the only non-solvable group is  $A_5$  which has order 60. All other groups of order less than 60 are solvable because:

1. Groups of order  $p^n$  for prime  $p$  are  $p$ -groups and hence solvable.
2. Groups of order  $pq$  for primes  $p < q$  are solvable (they are either cyclic or have a normal  $q$ -Sylow subgroup).
3. Groups of order  $p^2q$  are solvable (they have a normal Sylow subgroup).
4. Groups of order  $p^3$  are solvable (they are  $p$ -groups).
5. Groups of order  $2pq$  for odd primes  $p, q$  are solvable.

The only remaining cases are orders 24, 36, 48, and 56, all of which have normal Sylow subgroups and are therefore solvable. ■

### 1.28: Groups of order $p^2q$

Let  $p, q$  be distinct primes. Prove that a group of order  $p^2q$  is solvable, and that one of its Sylow subgroups is normal.

**Solution:** Let  $G$  be a group of order  $p^2q$ . Let  $n_p$  and  $n_q$  be the number of  $p$ -Sylow and  $q$ -Sylow subgroups respectively.

By Sylow's theorem,  $n_q \equiv 1 \pmod{q}$  and  $n_q$  divides  $p^2$ . Therefore,  $n_q = 1$  or  $n_q = p$  or  $n_q = p^2$ .

If  $n_q = 1$ , then the  $q$ -Sylow subgroup is normal, and we're done.

If  $n_q = p$ , then  $p \equiv 1 \pmod{q}$ , which means  $q$  divides  $p-1$ . Since  $p$  and  $q$  are distinct primes, this is impossible unless  $p = 2$  and  $q = 3$ . In this case,  $G$  has order 12, and it can be shown that such groups have a normal Sylow subgroup.

If  $n_q = p^2$ , then  $p^2 \equiv 1 \pmod{q}$ , which means  $q$  divides  $(p-1)(p+1)$ . This is only possible if  $p = 2$  and  $q = 3$  or  $q = 5$ . In these cases, the groups can be analyzed directly and shown to have normal Sylow subgroups.

Therefore, one of the Sylow subgroups is normal. Since both Sylow subgroups are solvable (being  $p$ -groups and cyclic groups), and the quotient is also solvable,  $G$  is solvable. ■

### 1.29: Groups of order $2pq$

Let  $p, q$  be odd primes. Prove that a group of order  $2pq$  is solvable.

**Solution:** Let  $G$  be a group of order  $2pq$ . Let  $n_2$ ,  $n_p$ , and  $n_q$  be the number of Sylow subgroups of orders 2,  $p$ , and  $q$  respectively.

By Sylow's theorem,  $n_q \equiv 1 \pmod{q}$  and  $n_q$  divides  $2p$ . Since  $q$  is odd and greater than 2, we must have  $n_q = 1$ , so the  $q$ -Sylow subgroup  $Q$  is normal.

Similarly,  $n_p \equiv 1 \pmod{p}$  and  $n_p$  divides  $2q$ . Since  $p$  is odd and greater than 2, we must have  $n_p = 1$ , so the  $p$ -Sylow subgroup  $P$  is normal.

Since both  $P$  and  $Q$  are normal and have trivial intersection,  $PQ$  is a normal subgroup of order  $pq$ . The quotient  $G/PQ$  has order 2, so it's cyclic and hence solvable.

Since  $P$  and  $Q$  are cyclic (being groups of prime order), they are solvable. Therefore,  $G$  is solvable. ■

### 1.30: Sylow in orders 40 and 12

- (a) Prove that one of the Sylow subgroups of a group of order 40 is normal.
- (b) Prove that one of the Sylow subgroups of a group of order 12 is normal.

#### Solution:

- (a) Let  $G$  have order  $40 = 2^3 \cdot 5$ . Let  $n_2$  and  $n_5$  be the number of 2-Sylow and 5-Sylow subgroups respectively.

By Sylow's theorem,  $n_5 \equiv 1 \pmod{5}$  and  $n_5$  divides 8. Therefore,  $n_5 = 1$ , so the 5-Sylow subgroup is normal.

- (b) Let  $G$  have order  $12 = 2^2 \cdot 3$ . Let  $n_2$  and  $n_3$  be the number of 2-Sylow and 3-Sylow subgroups respectively.

By Sylow's theorem,  $n_3 \equiv 1 \pmod{3}$  and  $n_3$  divides 4. Therefore,  $n_3 = 1$  or  $n_3 = 4$ .

If  $n_3 = 1$ , then the 3-Sylow subgroup is normal.

If  $n_3 = 4$ , then there are 4 Sylow 3-subgroups, each containing 2 non-identity elements. These subgroups intersect only at the identity, so they account for 8 elements of order 3. The remaining 4 elements must form the unique 2-Sylow subgroup, so  $n_2 = 1$  and the 2-Sylow subgroup is normal.

In either case, one of the Sylow subgroups is normal.

■

### 1.31: Groups of order $\leq 10$

Determine all groups of order  $\leq 10$  up to isomorphism. In particular, show that a non-abelian group of order 6 is isomorphic to  $S_3$ .

**Solution:** We list all groups of order  $\leq 10$ :

**Order 1:** The trivial group.

**Order 2:**  $\mathbb{Z}_2$ .

**Order 3:**  $\mathbb{Z}_3$ .

**Order 4:**  $\mathbb{Z}_4$  and  $\mathbb{Z}_2 \times \mathbb{Z}_2$ .

**Order 5:**  $\mathbb{Z}_5$ .

**Order 6:**  $\mathbb{Z}_6$  and  $S_3$ . To see that a non-abelian group of order 6 is isomorphic to  $S_3$ , note that such a group must have elements of order 2 and 3. Let  $a$  be an element of order 3 and  $b$  an element of order 2. Since the group is non-abelian,  $ba \neq ab$ . The only possibility is  $ba = a^2b$ , which gives the presentation of  $S_3$ .

**Order 7:**  $\mathbb{Z}_7$ .

**Order 8:**  $\mathbb{Z}_8$ ,  $\mathbb{Z}_4 \times \mathbb{Z}_2$ ,  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ ,  $D_4$  (dihedral group), and  $Q_8$  (quaternion group).

**Order 9:**  $\mathbb{Z}_9$  and  $\mathbb{Z}_3 \times \mathbb{Z}_3$ .

**Order 10:**  $\mathbb{Z}_{10}$  and  $D_5$  (dihedral group).

The non-abelian groups are  $S_3$  (order 6),  $D_4$  (order 8),  $Q_8$  (order 8), and  $D_5$  (order 10).

■

## 1.6 Permutation Groups

### Key Definitions and Theorems:

**Definition:** The *symmetric group*  $S_n$  is the group of all permutations of  $\{1, 2, \dots, n\}$ .

**Definition:** A *cycle* of length  $k$  is a permutation that cyclically permutes  $k$  elements and fixes the rest.

**Definition:** A *transposition* is a cycle of length 2.

**Definition:** The *sign* of a permutation is  $(-1)^k$  where  $k$  is the number of transpositions in any decomposition.

**Definition:** A permutation is *even* if its sign is 1, *odd* if its sign is -1.

**Definition:** The *alternating group*  $A_n$  is the subgroup of  $S_n$  consisting of even permutations.

**Theorem:** Every permutation can be written as a product of disjoint cycles.

**Theorem:** Two permutations are conjugate in  $S_n$  if and only if they have the same cycle structure.

**Theorem:** The order of a cycle is its length.

**Theorem:** The conjugacy class of an  $n$ -cycle in  $S_n$  has  $(n-1)!$  elements.

**Theorem:** The centralizer of an  $n$ -cycle in  $S_n$  is the cyclic group generated by the cycle.

**Definition:** The *dihedral group*  $D_n$  is the group of symmetries of a regular  $n$ -gon.

**Definition:** The *quaternion group*  $Q_8$  is the group generated by  $i, j$  with relations  $i^4 = 1, j^4 = 1, i^2 = j^2, ij = -ji$ .

### 1.32: Sylow subgroups of symmetric groups

Let  $S_n$  be the permutation group on  $n$  elements. Determine the  $p$ -Sylow subgroups of  $S_3, S_4, S_5$  for  $p = 2$  and  $p = 3$ .

**Solution:** We determine the Sylow subgroups for each case:

$S_3$  (**order 6 = 2 · 3**): - 2-Sylow:  $\langle(12)\rangle$  or  $\langle(13)\rangle$  or  $\langle(23)\rangle$  (any transposition) - 3-Sylow:  $\langle(123)\rangle$  (the cyclic group of order 3)

$S_4$  (**order 24 = 2<sup>3</sup> · 3**): - 2-Sylow:  $\langle(12), (34)\rangle \cong D_4$  (dihedral group of order 8) - 3-Sylow:  $\langle(123)\rangle$  or  $\langle(124)\rangle$  or  $\langle(134)\rangle$  or  $\langle(234)\rangle$  (cyclic groups of order 3)

$S_5$  (**order 120 = 2<sup>3</sup> · 3 · 5**): - 2-Sylow:  $\langle(12), (34), (15)\rangle \cong D_4 \times \mathbb{Z}_2$  (order 16) - 3-Sylow:  $\langle(123)\rangle$  or any other 3-cycle (cyclic groups of order 3)

The 2-Sylow subgroups can be constructed by considering the action on the set and using the fact that they must be 2-groups. The 3-Sylow subgroups are always cyclic since they have prime order.

■

## 1.33: Sign of a permutation

Let  $\sigma$  be a permutation of a finite set  $I$  having  $n$  elements. Define  $e(\sigma)$  to be  $(-1)^m$  where

$$m = n - \text{number of orbits of } \sigma.$$

If  $I_1, \dots, I_r$  are the orbits of  $\sigma$ , then  $m$  is also equal to the sum

$$m = \sum_{v=1}^r [\text{card}(I_v) - 1].$$

If  $\tau$  is a transposition, show that  $e(\sigma\tau) = -e(\sigma)$  by considering the two cases when  $i, j$  lie in the same orbit of  $\sigma$ , or lie in different orbits. In the first case,  $\sigma\tau$  has one more orbit and in the second case one less orbit than  $\sigma$ . In particular, the sign of a transposition is  $-1$ . Prove that  $e(\sigma) = e(\sigma)$  is the sign of the permutation.

**Solution:** Let  $\tau = (ij)$  be a transposition. We consider two cases:

**Case 1:**  $i$  and  $j$  lie in the same orbit of  $\sigma$ . Then  $\sigma\tau$  splits this orbit into two orbits, so the number of orbits increases by 1. Therefore,  $m$  decreases by 1, so  $e(\sigma\tau) = -e(\sigma)$ .

**Case 2:**  $i$  and  $j$  lie in different orbits of  $\sigma$ . Then  $\sigma\tau$  merges these two orbits into one, so the number of orbits decreases by 1. Therefore,  $m$  increases by 1, so  $e(\sigma\tau) = -e(\sigma)$ .

In both cases,  $e(\sigma\tau) = -e(\sigma)$ .

Since any permutation can be written as a product of transpositions, and each transposition changes the sign, we have  $e(\sigma) = (-1)^k$  where  $k$  is the number of transpositions in any decomposition of  $\sigma$ . This is exactly the sign of the permutation. ■

## 1.34: Dihedral groups

- (a) Let  $n$  be an even positive integer. Show that there exists a group of order  $2n$ , generated by two elements  $\sigma, \tau$  such that  $\sigma^n = e = \tau^2$ , and  $\sigma\tau = \tau\sigma^{n-1}$ . (Draw a picture of a regular  $n$ -

gon, number the vertices, and use the picture as an inspiration to get  $\sigma, \tau$ .) This group is called the dihedral group.

- (b) Let  $n$  be an odd positive integer. Let  $D_{4n}$  be the group generated by the matrices

$$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} \zeta & 0 \\ 0 & \zeta^{-1} \end{pmatrix}$$

where  $\zeta$  is a primitive  $n$ -th root of unity. Show that  $D_{4n}$  has order  $4n$ , and give the commutation relations between the above generators.

**Solution:**

- (a) Consider a regular  $n$ -gon with vertices numbered  $1, 2, \dots, n$  in clockwise order. Let  $\sigma$  be the rotation by  $2\pi/n$  radians (sending vertex  $i$  to vertex  $i+1 \bmod n$ ), and let  $\tau$  be the reflection across the line through vertex 1 and the center.

Then  $\sigma^n = e$  (rotation by  $2\pi$ ),  $\tau^2 = e$  (reflection twice), and  $\sigma\tau = \tau\sigma^{n-1}$  (this can be verified by checking the action on the vertices).

The group generated by  $\sigma$  and  $\tau$  has order  $2n$  because it contains the  $n$  rotations  $\sigma^i$  and the  $n$  reflections  $\sigma^i\tau$  for  $0 \leq i < n$ .

- (b) Let  $A = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  and  $B = \begin{pmatrix} \zeta & 0 \\ 0 & \zeta^{-1} \end{pmatrix}$ .

We have  $A^2 = -I$ ,  $A^4 = I$ , and  $B^n = I$ . Also,  $AB = \begin{pmatrix} 0 & -\zeta^{-1} \\ \zeta & 0 \end{pmatrix}$

and  $BA = \begin{pmatrix} 0 & -\zeta \\ \zeta^{-1} & 0 \end{pmatrix}$ .

Since  $n$  is odd,  $\zeta \neq \zeta^{-1}$ , so  $AB \neq BA$ . The group generated by  $A$  and  $B$  has order  $4n$  because it contains the  $4n$  elements  $A^i B^j$  for  $0 \leq i < 4$  and  $0 \leq j < n$ .

The commutation relation is  $AB = BA^{n-1}$ , which can be verified by direct computation.

■



**1.35: Non-abelian groups of order 8**

Show that there are exactly two non-isomorphic non-abelian groups of order 8. (One of them is given by generators  $\sigma, \tau$  with the relations

$$\sigma^4 = 1, \quad \tau^2 = 1, \quad \tau\sigma\tau = \sigma^3.$$

The other is the quaternion group.)

**Solution:** Let  $G$  be a non-abelian group of order 8. Since  $G$  is not abelian, it cannot be cyclic or isomorphic to  $\mathbb{Z}_4 \times \mathbb{Z}_2$  or  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ .

The remaining possibilities are the dihedral group  $D_4$  and the quaternion group  $Q_8$ .

**Dihedral group  $D_4$ :** Generated by  $\sigma$  (rotation by  $\pi/2$ ) and  $\tau$  (reflection) with relations  $\sigma^4 = 1, \tau^2 = 1, \tau\sigma\tau = \sigma^3$ .

**Quaternion group  $Q_8$ :** Generated by  $i$  and  $j$  with relations  $i^4 = 1, j^4 = 1, i^2 = j^2, ij = -ji$ .

These groups are non-isomorphic because  $D_4$  has 5 elements of order 2 (the reflections and the rotation by  $\pi$ ), while  $Q_8$  has only 1 element of order 2 (namely  $-1$ ). ■

**1.36: Conjugacy class of  $n$ -cycle**

Let  $\sigma = [123 \cdots n]$  in  $S_n$ . Show that the conjugacy class of  $\sigma$  has  $(n-1)!$  elements. Show that the centralizer of  $\sigma$  is the cyclic group generated by  $\sigma$ .

**Solution:** The conjugacy class of  $\sigma$  consists of all  $n$ -cycles. The number of  $n$ -cycles in  $S_n$  is  $(n-1)!$  because there are  $n!$  ways to arrange  $n$  elements, but each cycle can be written in  $n$  different ways (by starting at different positions).

The centralizer  $C(\sigma)$  consists of all permutations  $\tau$  such that  $\tau\sigma\tau^{-1} = \sigma$ . This means  $\tau$  must commute with  $\sigma$ .

Since  $\sigma$  is an  $n$ -cycle, any permutation that commutes with  $\sigma$  must be a power of  $\sigma$ . Therefore,  $C(\sigma) = \langle \sigma \rangle$ , which has order  $n$ .

By the orbit-stabilizer theorem, the size of the conjugacy class is  $|S_n|/|C(\sigma)| = n!/n = (n-1)!$ . ■

**1.37: Conjugate cycles**

- (a) Let  $\sigma = [i_1 \cdots i_m]$  be a cycle. Let  $\gamma \in S_n$ . Show that  $\gamma\sigma\gamma^{-1}$  is the cycle  $[\gamma(i_1) \cdots \gamma(i_m)]$ .
- (b) Suppose that a permutation  $\sigma$  in  $S_n$  can be written as a product of  $r$  disjoint cycles, and let  $d_1, \dots, d_r$  be the number of elements in each cycle, in increasing order. Let  $\tau$  be another permutation which can be written as a product of disjoint cycles, whose cardinalities are  $d'_1, \dots, d'_s$  in increasing order. Prove that  $\sigma$  is conjugate to  $\tau$  in  $S_n$  if and only if  $r = s$  and  $d_i = d'_i$  for all  $i = 1, \dots, r$ .

**Solution:**

- (a) Let  $\sigma = [i_1 \cdots i_m]$  and let  $\gamma \in S_n$ . We show that  $\gamma\sigma\gamma^{-1} = [\gamma(i_1) \cdots \gamma(i_m)]$ .

For any  $j \in \{1, \dots, n\}$ , we have: - If  $j = \gamma(i_k)$  for some  $k$ , then  $\gamma\sigma\gamma^{-1}(j) = \gamma\sigma(i_k) = \gamma(i_{k+1 \bmod m})$ . - If  $j \neq \gamma(i_k)$  for any  $k$ , then  $\gamma^{-1}(j) \notin \{i_1, \dots, i_m\}$ , so  $\sigma\gamma^{-1}(j) = \gamma^{-1}(j)$ , and thus  $\gamma\sigma\gamma^{-1}(j) = j$ .

This shows that  $\gamma\sigma\gamma^{-1}$  acts as the cycle  $[\gamma(i_1) \cdots \gamma(i_m)]$ .

- (b) The "only if" direction follows from part (a): if  $\sigma$  and  $\tau$  are conjugate, then they have the same cycle structure.

For the "if" direction, suppose  $\sigma$  and  $\tau$  have the same cycle structure. Write  $\sigma = \sigma_1 \cdots \sigma_r$  and  $\tau = \tau_1 \cdots \tau_r$  as products of disjoint cycles, where  $\sigma_i$  and  $\tau_i$  have the same length  $d_i$ .

For each  $i$ , let  $\gamma_i$  be a permutation that maps the elements of  $\sigma_i$  to the elements of  $\tau_i$  in the same order. Then  $\gamma = \gamma_1 \cdots \gamma_r$  (where the  $\gamma_i$  act on disjoint sets) satisfies  $\gamma\sigma\gamma^{-1} = \tau$ .

■

**1.38: Generating symmetric groups**

- (a) Show that  $S_n$  is generated by the transpositions  $[12], [13], \dots, [1n]$ .

- (b) Show that  $S_n$  is generated by the transpositions  $[12], [23], [34], \dots, [n-1, n]$ .
- (c) Show that  $S_n$  is generated by the cycles  $[12]$  and  $[123 \dots n]$ .
- (d) Assume that  $n$  is prime. Let  $\sigma = [123 \dots n]$  and let  $\tau = [rs]$  be any transposition. Show that  $\sigma, \tau$  generate  $S_n$ .

**Solution:**

- (a) Any permutation can be written as a product of transpositions. Any transposition  $[ij]$  with  $i, j \neq 1$  can be written as  $[1i][1j][1i]$ . Therefore, the transpositions  $[12], [13], \dots, [1n]$  generate all transpositions, and hence generate  $S_n$ .
- (b) Any transposition  $[ij]$  can be written as a product of adjacent transpositions. For example,  $[13] = [12][23][12]$ ,  $[14] = [12][23][34][23][12]$ , etc. Therefore, the adjacent transpositions generate all transpositions, and hence generate  $S_n$ .
- (c) Let  $\sigma = [12]$  and  $\rho = [123 \dots n]$ . We show that any transposition can be written in terms of  $\sigma$  and  $\rho$ .  
For any  $i \neq 1$ , we have  $\rho^{i-1}\sigma\rho^{-(i-1)} = [i, i+1]$ . Therefore, we can generate all adjacent transpositions, and hence all transpositions by part (b).
- (d) Since  $n$  is prime,  $\sigma$  is an  $n$ -cycle and has order  $n$ . The subgroup generated by  $\sigma$  and  $\tau$  contains  $\tau$  and all conjugates of  $\tau$  by powers of  $\sigma$ .  
Since  $\tau = [rs]$ , the conjugates  $\sigma^i\tau\sigma^{-i}$  for  $0 \leq i < n$  give us all transpositions of the form  $[r+i, s+i]$  (where addition is modulo  $n$ ).  
Since  $n$  is prime, these conjugates generate all transpositions, and hence generate  $S_n$ .

■

## 1.7 Alternating Groups

### Key Definitions and Theorems:

**Definition:** The *alternating group*  $A_n$  is the subgroup of  $S_n$  consisting of even permutations.

**Definition:** An action is *k-transitive* if for any two ordered  $k$ -tuples of distinct elements, there exists a group element mapping one to the other.

**Definition:** An action is *primitive* if the only stable partitions are the trivial ones.

**Definition:** A *stable partition* under a group action is a partition that is preserved by the group action.

**Theorem:**  $A_n$  is  $(n-2)$ -transitive for  $n \geq 3$ .

**Theorem:**  $A_n$  is simple for  $n \geq 5$ .

**Theorem:**  $A_5$  is the smallest non-abelian simple group.

**Theorem:** If  $H$  is a subgroup of index  $n$  in  $A_n$ , then the action of  $A_n$  on cosets of  $H$  gives an isomorphism  $A_n \rightarrow A_n$ .

**Theorem:** Any simple group of order 60 is isomorphic to  $A_5$ .

**Definition:** A *maximal subgroup* is a proper subgroup that is not contained in any larger proper subgroup.

**Theorem:** A group action is primitive if and only if the stabilizer of any point is a maximal subgroup.

### 1.39: Transitivity of alternating group

Show that the action of the alternating group  $A_n$  on  $\{1, \dots, n\}$  is  $(n-2)$ -transitive.

**Solution:** We need to show that for any two ordered  $(n-2)$ -tuples  $(a_1, \dots, a_{n-2})$  and  $(b_1, \dots, b_{n-2})$  of distinct elements, there exists  $\sigma \in A_n$  such that  $\sigma(a_i) = b_i$  for all  $i$ .

Let  $c_1, c_2$  be the remaining two elements not in the first tuple, and  $d_1, d_2$  be the remaining two elements not in the second tuple.

There exists a permutation  $\tau \in S_n$  such that  $\tau(a_i) = b_i$  for all  $i$ ,  $\tau(c_1) = d_1$ , and  $\tau(c_2) = d_2$ .

If  $\tau$  is even, we're done. If  $\tau$  is odd, then the permutation  $\tau' = \tau \circ (d_1 d_2)$  is even and satisfies  $\tau'(a_i) = b_i$  for all  $i$ .

Therefore,  $A_n$  is  $(n-2)$ -transitive. ■

**1.40: Subgroups of index  $n$  in  $A_n$** 

Let  $A_n$  be the alternating group of even permutations of  $\{1, \dots, n\}$ . For  $j = 1, \dots, n$  let  $H_j$  be the subgroup of  $A_n$  fixing  $j$ , so  $H_j \approx A_{n-1}$ , and  $(A_n : H_j) = n$  for  $n \geq 3$ . Let  $n \geq 3$  and let  $H$  be a subgroup of index  $n$  in  $A_n$ .

- (a) Show that the action of  $A_n$  on cosets of  $H$  by left translation gives an isomorphism  $A_n$  with the alternating group of permutations of  $A_n/H$ .
- (b) Show that there exists an automorphism of  $A_n$  mapping  $H_1$  on  $H$ , and that such an automorphism is induced by an inner automorphism of  $S_n$  if and only if  $H = H_i$  for some  $i$ .

**Solution:**

- (a) The action of  $A_n$  on the cosets of  $H$  by left translation gives a homomorphism  $\phi : A_n \rightarrow S_n$  (since there are  $n$  cosets).

The kernel of  $\phi$  is the intersection of all conjugates of  $H$ , which is a normal subgroup of  $A_n$ . Since  $A_n$  is simple for  $n \geq 5$ , the kernel is trivial, so  $\phi$  is injective.

The image of  $\phi$  is a subgroup of  $S_n$  of index 2 (since  $|A_n| = n!/2$ ), so it must be  $A_n$ . Therefore,  $\phi$  is an isomorphism.

- (b) Since  $H$  has index  $n$  in  $A_n$ , the action of  $A_n$  on  $A_n/H$  gives an isomorphism  $A_n \rightarrow A_n$ . This isomorphism maps  $H_1$  to  $H$ .

If  $H = H_i$  for some  $i$ , then the automorphism is induced by conjugation by the transposition  $(1i)$  in  $S_n$ .

Conversely, if the automorphism is induced by an inner automorphism of  $S_n$ , then it is conjugation by some element of  $S_n$ . Since  $A_n$  is normal in  $S_n$ , this conjugation maps  $H_1$  to some  $H_i$ .

■

**1.41: Simple group of order 60**

Let  $H$  be a simple group of order 60.

- (a) Show that the action of  $H$  by conjugation on the set of its Sylow subgroups gives an imbedding  $H \subseteq A_6$ .
- (b) Using the preceding exercise, show that  $H \approx A_5$ .
- (c) Show that  $A_6$  has an automorphism which is not induced by an inner automorphism of  $S_6$ .

**Solution:**

- (a) Let  $H$  be a simple group of order 60. The prime factorization is  $60 = 2^2 \cdot 3 \cdot 5$ .

Let  $n_2$ ,  $n_3$ , and  $n_5$  be the number of Sylow subgroups of orders 4, 3, and 5 respectively.

By Sylow's theorem,  $n_5 \equiv 1 \pmod{5}$  and  $n_5$  divides 12, so  $n_5 = 1$  or  $n_5 = 6$ . Since  $H$  is simple,  $n_5 = 6$ .

Similarly,  $n_3 \equiv 1 \pmod{3}$  and  $n_3$  divides 20, so  $n_3 = 1$  or  $n_3 = 4$  or  $n_3 = 10$ . Since  $H$  is simple,  $n_3 = 10$ .

The action of  $H$  by conjugation on the set of Sylow 5-subgroups gives a homomorphism  $H \rightarrow S_6$ . Since  $H$  is simple, this homomorphism is injective, so  $H$  embeds into  $S_6$ .

Since  $H$  has order 60 and  $A_6$  has order 360,  $H$  embeds into  $A_6$ .

- (b) By the previous exercise, any subgroup of index 6 in  $A_6$  is isomorphic to  $A_5$ . Since  $H$  has order 60 and  $A_6$  has order 360,  $H$  has index 6 in  $A_6$ , so  $H \approx A_5$ .
- (c) The automorphism of  $A_6$  that maps  $H_1$  to  $H_2$  (where  $H_i$  is the stabilizer of  $i$ ) is not induced by an inner automorphism of  $S_6$  because it maps  $H_1$  to  $H_2$  instead of to  $H_1$ .

■

## 1.8 Abelian Groups

### Key Definitions and Theorems:

**Definition:** An *abelian group* is a group where the operation is commutative.

**Definition:** A *torsion group* is a group where every element has finite order.

**Definition:** A *torsion-free group* is a group where only the identity has finite order.

**Definition:** A *mixed group* is a group that is neither torsion nor torsion-free.

**Fundamental Theorem of Finite Abelian Groups:** Every finite abelian group is isomorphic to a direct product of cyclic groups of prime power orders.

**Structure Theorem for Finitely Generated Abelian Groups:** Every finitely generated abelian group is isomorphic to  $\mathbb{Z}^r \times \mathbb{Z}_{n_1} \times \cdots \times \mathbb{Z}_{n_k}$  where  $r \geq 0$  and  $n_i$  are powers of primes.

**Definition:** The *rank* of a finitely generated abelian group is the number of copies of  $\mathbb{Z}$  in its decomposition.

**Theorem:**  $\mathbb{Q}/\mathbb{Z}$  is a torsion group with exactly one subgroup of order  $n$  for each positive integer  $n$ .

**Theorem:** Every finite abelian group has a subgroup isomorphic to any given quotient.

**Definition:** The *Herbrand quotient* of a finite cyclic group  $G$  acting on an abelian group  $A$  is  $q(A) = (A_f : A^g)(A_g : A^f)$  where  $f(x) = \sigma x - x$  and  $g(x) = x + \sigma x + \cdots + \sigma^{n-1}x$ .

#### 1.42: Torsion group $\mathbb{Q}/\mathbb{Z}$

Viewing  $\mathbb{Z}, \mathbb{Q}$  as additive groups, show that  $\mathbb{Q}/\mathbb{Z}$  is a torsion group, which has one and only one subgroup of order  $n$  for each integer  $n \geq 1$ , and that this subgroup is cyclic.

**Solution:** First, we show that  $\mathbb{Q}/\mathbb{Z}$  is a torsion group. For any  $q \in \mathbb{Q}$ , we can write  $q = a/b$  where  $a, b \in \mathbb{Z}$  and  $b > 0$ . Then  $bq = a \in \mathbb{Z}$ , so  $b(q + \mathbb{Z}) = a + \mathbb{Z} = \mathbb{Z}$  in  $\mathbb{Q}/\mathbb{Z}$ . Therefore, every element has finite order.

For any integer  $n \geq 1$ , the subgroup of  $\mathbb{Q}/\mathbb{Z}$  of order  $n$  is  $\langle \frac{1}{n} + \mathbb{Z} \rangle$ . This subgroup is cyclic and has exactly  $n$  elements:  $\frac{k}{n} + \mathbb{Z}$  for  $0 \leq k < n$ .

To show uniqueness, suppose  $H$  is another subgroup of order  $n$ . Let  $q + \mathbb{Z}$  be a generator of  $H$ . Then  $n(q + \mathbb{Z}) = \mathbb{Z}$ , so  $nq \in \mathbb{Z}$ . This means  $q = \frac{k}{n}$  for some  $k \in \mathbb{Z}$ . Since  $H$  has order  $n$ , we must have  $\gcd(k, n) = 1$ , so  $H = \langle \frac{1}{n} + \mathbb{Z} \rangle$ . ■

**1.43: Subgroup isomorphic to quotient**

Let  $H$  be a subgroup of a finite abelian group  $G$ . Show that  $G$  has a subgroup that is isomorphic to  $G/H$ .

**Solution:** Since  $G$  is a finite abelian group, it is isomorphic to a direct product of cyclic groups of prime power orders:  $G \cong \mathbb{Z}_{p_1^{a_1}} \times \cdots \times \mathbb{Z}_{p_k^{a_k}}$ .

The subgroup  $H$  corresponds to a subgroup of this direct product, which is also a direct product of cyclic groups:  $H \cong \mathbb{Z}_{p_1^{b_1}} \times \cdots \times \mathbb{Z}_{p_k^{b_k}}$  where  $0 \leq b_i \leq a_i$ .

The quotient  $G/H$  is isomorphic to  $\mathbb{Z}_{p_1^{a_1-b_1}} \times \cdots \times \mathbb{Z}_{p_k^{a_k-b_k}}$ .

The subgroup of  $G$  isomorphic to  $G/H$  is  $\mathbb{Z}_{p_1^{a_1-b_1}} \times \cdots \times \mathbb{Z}_{p_k^{a_k-b_k}} \times \{0\} \times \cdots \times \{0\}$ . ■

**1.44: Index formula**

Let  $f : A \rightarrow A'$  be a homomorphism of abelian groups. Let  $B$  be a subgroup of  $A$ . Denote by  $A'$  and  $A_f$  the image and kernel of  $f$  in  $A$  respectively, and similarly for  $B'$  and  $B_f$ . Show that  $(A : B) = (A' : B')(A_f : B_f)$ , in the sense that if two of these three indices are finite, so is the third, and the stated equality holds.

**Solution:** We use the isomorphism theorems for abelian groups.

By the first isomorphism theorem,  $A/A_f \cong A'$  and  $B/B_f \cong B'$ .

By the third isomorphism theorem,  $(A/A_f)/(B/B_f) \cong A/B$ .

Therefore,  $(A : B) = |A/B| = |(A/A_f)/(B/B_f)| = |A/A_f|/|B/B_f| = |A'|/|B'| = (A' : B')$ .

Also,  $(A_f : B_f) = |A_f/B_f| = |A_f|/|B_f|$ .

Since  $A_f \subseteq A$  and  $B_f \subseteq B$ , we have  $(A : B) = (A' : B')(A_f : B_f)$ . ■



**1.45: Herbrand quotient**

Let  $G$  be a finite cyclic group of order  $n$ , generated by an element  $\sigma$ . Assume that  $G$  operates on an abelian group  $A$ , and let  $f, g : A \rightarrow A$  be the endomorphisms of  $A$  given by

$$f(x) = \sigma x - x \quad \text{and} \quad g(x) = x + \sigma x + \cdots + \sigma^{n-1}x.$$

Define the Herbrand quotient by the expression  $q(A) = (A_f : A^g)(A_g : A^f)$ , provided both indices are finite. Assume now that  $B$  is a subgroup of  $A$  such that  $GB \subset B$ .

(a) Define in a natural way an operation of  $G$  on  $A/B$ .

(b) Prove that

$$q(A) = q(B)q(A/B)$$

in the sense that if two of these quotients are finite, so is the third, and the stated equality holds.

(c) If  $A$  is finite, show that  $q(A) = 1$ .

(This exercise is a special case of the general theory of Euler characteristics discussed in Chapter XX, Theorem 3.1. After reading this, the present exercise becomes trivial. Why?)

**Solution:**

(a) The operation of  $G$  on  $A/B$  is defined by  $\sigma \cdot (a + B) = \sigma a + B$ . This is well-defined because  $GB \subset B$ .

(b) We have the following exact sequences:

$$0 \rightarrow B_f \rightarrow A_f \rightarrow (A/B)_f \rightarrow 0$$

and

$$0 \rightarrow B^g \rightarrow A^g \rightarrow (A/B)^g \rightarrow 0$$

By the snake lemma, we have exact sequences:

$$0 \rightarrow B_f \rightarrow A_f \rightarrow (A/B)_f \rightarrow B^g/B_f \rightarrow A^g/A_f \rightarrow (A/B)^g/(A/B)_f \rightarrow 0$$

This gives us the relation:

$$(A_f : A^g) = (B_f : B^g)((A/B)_f : (A/B)^g)$$

Therefore,  $q(A) = q(B)q(A/B)$ .

- (c) If  $A$  is finite, then all the groups involved are finite, so all indices are finite. By part (b),  $q(A) = q(B)q(A/B)$  for any  $G$ -invariant subgroup  $B$ .

Taking  $B = \{0\}$ , we have  $q(A) = q(\{0\})q(A) = 1 \cdot q(A)$ , so  $q(A) = 1$ .

■

## 1.9 Primitive Groups

### Key Definitions and Theorems:

**Definition:** A group action is *primitive* if the only stable partitions are the trivial ones (the whole set and singletons).

**Definition:** A *stable partition* under a group action is a partition that is preserved by the group action.

**Definition:** A *maximal subgroup* is a proper subgroup that is not contained in any larger proper subgroup.

**Theorem:** A group action is primitive if and only if the stabilizer of any point is a maximal subgroup.

**Definition:** An action is *doubly transitive* if it is 2-transitive.

**Theorem:** A group is doubly transitive if and only if the stabilizer of a point acts transitively on the remaining points.

**Theorem:** If  $G$  is doubly transitive and  $(G : H) = n$ , then  $|G| = d(n-1)n$  where  $d$  is the order of the subgroup fixing two points.

**Theorem:** A doubly transitive group is primitive.

**Definition:** The *isotropy group* or *stabilizer* of a point  $s$  is  $G_s = \{g \in G : g \cdot s = s\}$ .

**Theorem:** For a transitive action,  $\sum_{x \in G} f(x) = |G|$  where  $f(x)$  is the number of fixed points of  $x$ .

**Theorem:** A group is doubly transitive if and only if  $\sum_{x \in G} f(x)^2 = 2|G|$ .

### 1.46: Primitive group conditions

Let  $G$  operate on a set  $S$ . Let  $S = \bigcup S_i$  be a partition of  $S$  into disjoint subsets. We say that the partition is stable under  $G$  if  $G$  maps each  $S_i$  onto  $S_j$  for some  $j$ , and hence  $G$  induces a permutation of the sets of the partition among themselves. There are two partitions of  $S$  which are obviously stable: the partition consisting of  $S$  itself, and

the partition consisting of the subsets with one element. Assume that  $G$  operates transitively, and that  $S$  has more than one element. Prove that the following two conditions are equivalent:

PRIM 1. The only partitions of  $S$  which are stable are the two partitions mentioned above.

PRIM 2. If  $H$  is the isotropy group of an element of  $S$ , then  $H$  is a maximal subgroup of  $G$ .

These two conditions define what is known as a primitive group, or more accurately, a primitive operation of  $G$  on  $S$ .

**Solution:** We prove the equivalence of PRIM 1 and PRIM 2.

**PRIM 1  $\Rightarrow$  PRIM 2:** Let  $H$  be the isotropy group of an element  $s \in S$ . Suppose  $H$  is not maximal, so there exists a subgroup  $K$  with  $H \subsetneq K \subsetneq G$ .

Let  $S' = \{gs : g \in K\}$ . Since  $H \subset K$ ,  $S'$  contains  $s$ . Since  $K \neq G$  and the action is transitive,  $S' \neq S$ . Since  $K \neq H$ ,  $S'$  contains more than one element.

The partition  $\{S', S \setminus S'\}$  is stable under  $G$  because for any  $g \in G$ , either  $gK = K$  (in which case  $gS' = S'$ ) or  $gK \cap K = H$  (in which case  $gS' \cap S' = \{s\}$  and  $gS' \subseteq S \setminus S'$ ).

This contradicts PRIM 1, so  $H$  must be maximal.

**PRIM 2  $\Rightarrow$  PRIM 1:** Let  $H$  be the isotropy group of an element  $s \in S$ . Suppose there exists a stable partition  $\{S_1, \dots, S_k\}$  with  $1 < k < |S|$ .

Let  $s \in S_1$ . The subgroup  $K = \{g \in G : gS_1 = S_1\}$  contains  $H$  and is a proper subgroup of  $G$  (since the action is transitive).

Since  $H \subsetneq K \subsetneq G$ ,  $H$  is not maximal, contradicting PRIM 2.

Therefore, the only stable partitions are the trivial ones. ■

#### 1.47: Double transitivity

Let a finite group  $G$  operate transitively and faithfully on a set  $S$  with at least 2 elements and let  $H$  be the isotropy group of some element  $s$  of  $S$ . (All the other isotropy groups are conjugates of  $H$ .) Prove the following:

- (a)  $G$  is doubly transitive if and only if  $H$  acts transitively on the complement of  $s$  in  $S$ .
- (b)  $G$  is doubly transitive if and only if  $G = HTH$ , where  $T$  is a subgroup of  $G$  of order 2 not contained in  $H$ .
- (c) If  $G$  is doubly transitive, and  $(G : H) = n$ , then

$$\#(G) = d(n-1)n,$$

where  $d$  is the order of the subgroup fixing two elements. Furthermore,  $H$  is a maximal subgroup of  $G$ , i.e.  $G$  is primitive.

**Solution:**

- (a)  $G$  is doubly transitive if and only if for any two pairs  $(s_1, s_2)$  and  $(t_1, t_2)$  of distinct elements, there exists  $g \in G$  such that  $gs_1 = t_1$  and  $gs_2 = t_2$ .

Since  $G$  is transitive, we can assume  $s_1 = s$ . Then  $G$  is doubly transitive if and only if for any  $s_2 \neq s$  and any  $t_1, t_2 \in S$  with  $t_1 \neq t_2$ , there exists  $g \in G$  such that  $gs = t_1$  and  $gs_2 = t_2$ .

This is equivalent to  $H$  acting transitively on  $S \setminus \{s\}$ .

- (b) If  $G$  is doubly transitive, then for any  $t \in S \setminus \{s\}$ , there exists  $g \in G$  such that  $gs = s$  and  $gt = t'$  for some  $t' \neq s$ . This means  $g \in H$  and  $g \notin H$ .

Let  $T = \langle g \rangle$  where  $g$  is such an element. Then  $T$  has order 2 and is not contained in  $H$ .

Since  $G$  is transitive,  $G = \bigcup_{t \in S} HtH = HTH$ .

Conversely, if  $G = HTH$  where  $T$  has order 2 and is not contained in  $H$ , then  $T$  contains an element that maps  $s$  to some other element, and  $H$  acts transitively on the complement of  $s$ .

- (c) If  $G$  is doubly transitive, then the stabilizer of two points has order  $d = \#(G)/(n(n-1))$ .

Since  $G$  is doubly transitive, it is primitive by part (a) and the previous exercise, so  $H$  is maximal.



**1.48: Counting fixed points**

Let  $G$  be a group acting transitively on a set  $S$  with at least 2 elements. For each  $x \in G$  let  $f(x)$  = number of elements of  $S$  fixed by  $x$ . Prove:

(a)  $\sum_{x \in G} f(x) = \#(G)$ .

(b)  $G$  is doubly transitive if and only if

$$\sum_{x \in G} f(x)^2 = 2\#(G).$$

**Solution:**

- (a) Let  $s \in S$  and let  $H$  be the stabilizer of  $s$ . For each  $x \in G$ , the number of fixed points of  $x$  is the number of elements  $t \in S$  such that  $xt = t$ .

Since the action is transitive, for any  $t \in S$  there exists  $g \in G$  such that  $t = gs$ . Then  $xt = t$  if and only if  $xgs = gs$ , which means  $g^{-1}xg \in H$ .

Therefore,  $f(x) = \#\{g \in G : g^{-1}xg \in H\} = \#\{g \in G : x \in gHg^{-1}\}$ .

Summing over all  $x \in G$ , we get  $\sum_{x \in G} f(x) = \sum_{x \in G} \#\{g \in G : x \in gHg^{-1}\} = \sum_{g \in G} \#(gHg^{-1}) = \#(G)$ .

- (b) If  $G$  is doubly transitive, then for any two pairs  $(s_1, s_2)$  and  $(t_1, t_2)$  of distinct elements, there exists exactly one element  $g \in G$  such that  $gs_1 = t_1$  and  $gs_2 = t_2$ .

This means that for any  $x \in G$ , the number of ordered pairs  $(s, t)$  with  $s \neq t$  and  $xs = s$ ,  $xt = t$  is either 0 or 1.

Therefore,  $\sum_{x \in G} f(x)(f(x) - 1) = \#(G)$ .

Combining with part (a), we get  $\sum_{x \in G} f(x)^2 = 2\#(G)$ .

Conversely, if  $\sum_{x \in G} f(x)^2 = 2\#(G)$ , then  $\sum_{x \in G} f(x)(f(x) - 1) = \#(G)$ , which means  $G$  is doubly transitive.

■

## 1.10 Fiber Products and Coproducts

### Key Definitions and Theorems:

**Definition:** A *fiber product* (or pullback) of morphisms  $f : X \rightarrow Z$  and  $g : Y \rightarrow Z$  is an object  $X \times_Z Y$  with morphisms  $p_1 : X \times_Z Y \rightarrow X$  and  $p_2 : X \times_Z Y \rightarrow Y$  such that  $f \circ p_1 = g \circ p_2$ .

**Definition:** A *fiber coproduct* (or pushout) of morphisms  $f : Z \rightarrow X$  and  $g : Z \rightarrow Y$  is an object  $X \oplus_Z Y$  with morphisms  $i_1 : X \rightarrow X \oplus_Z Y$  and  $i_2 : Y \rightarrow X \oplus_Z Y$  such that  $i_1 \circ f = i_2 \circ g$ .

**Universal Property of Fiber Product:** For any object  $W$  with morphisms  $h : W \rightarrow X$  and  $k : W \rightarrow Y$  such that  $f \circ h = g \circ k$ , there exists a unique morphism  $\phi : W \rightarrow X \times_Z Y$  such that  $p_1 \circ \phi = h$  and  $p_2 \circ \phi = k$ .

**Universal Property of Fiber Coproduct:** For any object  $W$  with morphisms  $h : X \rightarrow W$  and  $k : Y \rightarrow W$  such that  $h \circ f = k \circ g$ , there exists a unique morphism  $\phi : X \oplus_Z Y \rightarrow W$  such that  $\phi \circ i_1 = h$  and  $\phi \circ i_2 = k$ .

**Construction in Abelian Groups:** The fiber product is  $X \times_Z Y = \{(x, y) \in X \times Y : f(x) = g(y)\}$ .

**Construction in Abelian Groups:** The fiber coproduct is  $X \oplus_Z Y = (X \oplus Y)/W$  where  $W = \{(f(z), -g(z)) : z \in Z\}$ .

**Theorem:** The pullback of a surjective homomorphism is surjective.

**Theorem:** The pushout of an injective homomorphism is injective.

**Definition:** A *free product* of groups  $G$  and  $H$  is the coproduct in the category of groups.

**Definition:** An *amalgamated free product*  $G *_H G'$  is the coproduct of homomorphisms  $f : H \rightarrow G$  and  $g : H \rightarrow G'$ .

### 1.50: Fiber products in abelian groups

- (a) Show that fiber products exist in the category of abelian groups. In fact, if  $X, Y$  are abelian groups with homomorphisms  $f : X \rightarrow Z$  and  $g : Y \rightarrow Z$  show that  $X \times_Z Y$  is the set of all pairs  $(x, y)$  with  $x \in X$  and  $y \in Y$  such that  $f(x) = g(y)$ . The maps  $p_1, p_2$  are the projections on the first and second factor respectively.
- (b) Show that the pull-back of a surjective homomorphism is surjective.

**Solution:**

- (a) Let  $X \times_Z Y = \{(x, y) \in X \times Y : f(x) = g(y)\}$ . This is a subgroup of  $X \times Y$  because if  $(x_1, y_1), (x_2, y_2) \in X \times_Z Y$ , then  $f(x_1) = g(y_1)$  and  $f(x_2) = g(y_2)$ , so  $f(x_1 + x_2) = f(x_1) + f(x_2) = g(y_1) + g(y_2) = g(y_1 + y_2)$ , so  $(x_1 + x_2, y_1 + y_2) \in X \times_Z Y$ .

The projections  $p_1 : X \times_Z Y \rightarrow X$  and  $p_2 : X \times_Z Y \rightarrow Y$  are homomorphisms, and  $f \circ p_1 = g \circ p_2$ .

If  $W$  is another abelian group with homomorphisms  $h : W \rightarrow X$  and  $k : W \rightarrow Y$  such that  $f \circ h = g \circ k$ , then the unique homomorphism  $\phi : W \rightarrow X \times_Z Y$  is given by  $\phi(w) = (h(w), k(w))$ .

- (b) Let  $f : X \rightarrow Z$  be surjective and let  $g : Y \rightarrow Z$  be any homomorphism. We show that  $p_2 : X \times_Z Y \rightarrow Y$  is surjective.

For any  $y \in Y$ , let  $z = g(y)$ . Since  $f$  is surjective, there exists  $x \in X$  such that  $f(x) = z = g(y)$ . Then  $(x, y) \in X \times_Z Y$  and  $p_2(x, y) = y$ .

■

### 1.51: Fiber products in sets

- (a) Show that fiber products exist in the category of sets.
- (b) In any category  $\mathcal{C}$ , consider the category  $\mathcal{C}_Z$  of objects over  $Z$ . Let  $h : T \rightarrow Z$  be a fixed object in this category. Let  $F$  be the functor such that

$$F(X) = \text{Mor}_Z(T, X),$$

where  $X$  is an object over  $Z$ , and  $\text{Mor}_Z$  denotes morphisms over  $Z$ . Show that  $F$  transforms fiber products over  $Z$  into products in the category of sets. (Actually, once you have understood the definitions, this is tautological.)

### Solution:

- (a) Let  $X, Y$  be sets with functions  $f : X \rightarrow Z$  and  $g : Y \rightarrow Z$ . The fiber product  $X \times_Z Y = \{(x, y) \in X \times Y : f(x) = g(y)\}$  with projections  $p_1 : X \times_Z Y \rightarrow X$  and  $p_2 : X \times_Z Y \rightarrow Y$  satisfies the universal property.
- (b) The functor  $F$  sends an object  $X$  over  $Z$  to the set of morphisms from  $T$  to  $X$  over  $Z$ .

If  $X \times_Z Y$  is the fiber product of  $X$  and  $Y$  over  $Z$ , then  $F(X \times_Z Y) = \text{Mor}_Z(T, X \times_Z Y)$ .

By the universal property of the fiber product, a morphism  $T \rightarrow X \times_Z Y$  over  $Z$  is equivalent to a pair of morphisms  $T \rightarrow X$  and  $T \rightarrow Y$  over  $Z$ .

Therefore,  $F(X \times_Z Y) \cong F(X) \times F(Y)$ , which is the product in the category of sets. ■

### 1.52: Push-outs in abelian groups

- (a) Show that push-outs (i.e. fiber coproducts) exist in the category of abelian groups. In this case the fiber coproduct of two homomorphisms  $f, g$  as above is denoted by  $X \oplus_Z Y$ . Show that it is the factor group

$$X \oplus_Z Y = (X \oplus Y)/W,$$

where  $W$  is the subgroup consisting of all elements  $(f(z), -g(z))$  with  $z \in Z$ .

- (b) Show that the push-out of an injective homomorphism is injective.

**Remark.** After you have read about modules over rings, you should note that the above two exercises apply to modules as well as to abelian groups.

#### Solution:

- (a) Let  $X \oplus_Z Y = (X \oplus Y)/W$  where  $W = \{(f(z), -g(z)) : z \in Z\}$ . The maps  $i_1 : X \rightarrow X \oplus_Z Y$  and  $i_2 : Y \rightarrow X \oplus_Z Y$  are given by  $i_1(x) = (x, 0) + W$  and  $i_2(y) = (0, y) + W$ .

These maps satisfy  $i_1 \circ f = i_2 \circ g$  because  $(f(z), 0) + W = (0, g(z)) + W$  for all  $z \in Z$ .

If  $A$  is another abelian group with homomorphisms  $h : X \rightarrow A$  and  $k : Y \rightarrow A$  such that  $h \circ f = k \circ g$ , then the unique homomorphism  $\phi : X \oplus_Z Y \rightarrow A$  is given by  $\phi((x, y) + W) = h(x) + k(y)$ .



- (b) Let  $f : Z \rightarrow X$  be injective and let  $g : Z \rightarrow Y$  be any homomorphism. We show that  $i_2 : Y \rightarrow X \oplus_Z Y$  is injective.

If  $i_2(y) = 0$ , then  $(0, y) \in W$ , so  $(0, y) = (f(z), -g(z))$  for some  $z \in Z$ . Since  $f$  is injective,  $z = 0$ , so  $y = -g(0) = 0$ .

■

### 1.53: Coproduct of homomorphisms

Let  $H, G, G'$  be groups, and let

$$f : H \rightarrow G, \quad g : H \rightarrow G'$$

be two homomorphisms. Define the notion of coproduct of these two homomorphisms over  $H$ , and show that it exists.

**Solution:** The coproduct of the homomorphisms  $f : H \rightarrow G$  and  $g : H \rightarrow G'$  over  $H$  is a group  $K$  with homomorphisms  $i_1 : G \rightarrow K$  and  $i_2 : G' \rightarrow K$  such that  $i_1 \circ f = i_2 \circ g$ , and for any group  $L$  with homomorphisms  $h : G \rightarrow L$  and  $k : G' \rightarrow L$  satisfying  $h \circ f = k \circ g$ , there exists a unique homomorphism  $\phi : K \rightarrow L$  such that  $\phi \circ i_1 = h$  and  $\phi \circ i_2 = k$ .

This coproduct exists and is given by the amalgamated free product  $G *_H G'$ . This is the quotient of the free product  $G * G'$  by the normal subgroup generated by all elements of the form  $f(h)g(h)^{-1}$  for  $h \in H$ .

The maps  $i_1$  and  $i_2$  are the natural inclusions of  $G$  and  $G'$  into the free product, followed by the quotient map.

■

### 1.54: Tits' coproduct criterion

Let  $G$  be a group and let  $\{G_i\}_{i \in I}$  be a family of subgroups generating  $G$ . Suppose  $G$  operates on a set  $S$ . For each  $i \in I$ , suppose given a subset  $S_i$  of  $S$ , and let  $s$  be a point of  $S - \bigcup_S S_i$ . Assume that for each  $g \in G_i - \{e\}$ , we have

$$gS_j \subset S_i \text{ for all } j \neq i, \quad \text{and } g(s) \in S_i \text{ for all } i.$$

Prove that  $G$  is the coproduct of the family  $\{G_i\}_{i \in I}$ . (Hint: Suppose a product  $g_1 \cdots g_m = id$  on  $S$ . Apply this product to  $s$ , and use Proposition 12.4.)

**Solution:** We show that any non-trivial reduced word in the  $G_i$  acts non-trivially on  $S$ , which implies that  $G$  is the coproduct of the  $G_i$ .

Let  $g_1 \cdots g_m$  be a reduced word where  $g_k \in G_{i_k}$  and  $i_k \neq i_{k+1}$  for all  $k$ .

We show by induction on  $m$  that  $g_1 \cdots g_m(s) \in S_{i_1}$ .

For  $m = 1$ , this follows from the assumption that  $g_1(s) \in S_{i_1}$ .

For  $m > 1$ , let  $s' = g_2 \cdots g_m(s)$ . By induction,  $s' \in S_{i_2}$ . Since  $g_1 \in G_{i_1}$  and  $i_1 \neq i_2$ , we have  $g_1(s') \in S_{i_1}$  by the assumption that  $g_1 S_j \subset S_{i_1}$  for all  $j \neq i_1$ .

Therefore,  $g_1 \cdots g_m(s) = g_1(s') \in S_{i_1} \neq \{s\}$ , so  $g_1 \cdots g_m \neq id$ .

This shows that  $G$  is the coproduct of the  $G_i$ . ■

### 1.55: Fixed points of Möbius transformations

Let  $M \in GL_2(\mathbb{C})$  ( $2 \times 2$  complex matrices with non-zero determinant). We let

$$M = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \text{ and for } z \in \mathbb{C} \text{ we let } M(z) = \frac{az + b}{cz + d}.$$

If  $z = -d/c$  ( $c \neq 0$ ) then we put  $M(z) = \infty$ . Then you can verify (and you should have seen something like this in a course in complex analysis) that  $GL_2(\mathbb{C})$  thus operates on  $\mathbb{C} \cup \{\infty\}$ . Let  $\lambda, \lambda'$  be the eigenvalues of  $M$  viewed as a linear map on  $\mathbb{C}^2$ . Let  $W, W'$  be the corresponding eigenvectors,

$$W = {}^t(w_1, w_2) \text{ and } W' = {}^t(w'_1, w'_2).$$

By a fixed point of  $M$  on  $\mathbb{C}$  we mean a complex number  $z$  such that  $M(z) = z$ . Assume that  $M$  has two distinct fixed points  $\neq \infty$ .

- (a) Show that there cannot be more than two fixed points and that these fixed points are  $w = w_1/w_2$  and  $w' = w'_1/w'_2$ . In fact one may take

$$W = {}^t(w, 1), W' = {}^t(w', 1).$$

(b) Assume that  $|\lambda| < |\lambda'|$ . Given  $z \neq w$ , show that

$$\lim_{k \rightarrow \infty} M^k(z) = w'.$$

[Hint: Let  $S = (W, W')$  and consider  $S^{-1}M^kS(z) = \alpha^k z$  where  $\alpha = \lambda/\lambda'$ .]

**Solution:**

(a) The fixed points of  $M$  are the solutions to  $M(z) = z$ , which gives the equation  $cz^2 + (d-a)z - b = 0$ . This is a quadratic equation, so there are at most two fixed points.

If  $W = (w_1, w_2)$  is an eigenvector with eigenvalue  $\lambda$ , then  $MW = \lambda W$ , so  $aw_1 + bw_2 = \lambda w_1$  and  $cw_1 + dw_2 = \lambda w_2$ .

This gives  $w_1/w_2 = (b)/(\lambda-a) = (\lambda-d)/c$ . If we take  $W = (w, 1)$  where  $w = w_1/w_2$ , then  $w$  satisfies the fixed point equation.

(b) Let  $S = (W, W')$  be the matrix with columns  $W$  and  $W'$ . Then

$$S^{-1}MS = \begin{pmatrix} \lambda & 0 \\ 0 & \lambda' \end{pmatrix}.$$

For any  $z \in \mathbb{C}$ , we have  $S^{-1}M^kS(z) = \alpha^k z$  where  $\alpha = \lambda/\lambda'$ .

Since  $|\alpha| < 1$ , we have  $\lim_{k \rightarrow \infty} \alpha^k = 0$ , so  $\lim_{k \rightarrow \infty} S^{-1}M^kS(z) = 0$ .

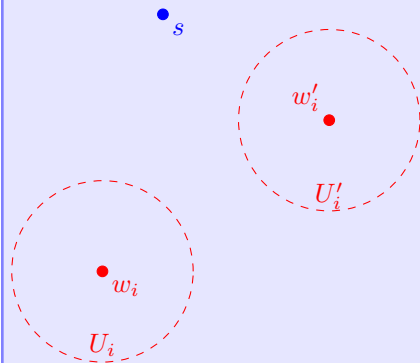
This means  $\lim_{k \rightarrow \infty} M^k(z) = S(0) = w'$ .

■

**1.56: Free subgroup of  $GL_2(\mathbb{C})$**

Let  $M_1, \dots, M_r \in GL_2(\mathbb{C})$  be a finite number of matrices. Let  $\lambda_i, \lambda_j$  be the eigenvalues of  $M_i$ . Assume that each  $M_i$  has two distinct complex fixed points, and that  $|\lambda_i| < |\lambda_j|$ . Also assume that the fixed points for  $M_1, \dots, M_r$  are all distinct from each other. Prove that there exists a positive integer  $k$  such that  $M_i^k, \dots, M_r^k$  are the free generators of a free subgroup of  $GL_2(\mathbb{C})$ . [Hint: Let  $w_i, w'_i$  be the fixed points of  $M_i$ . Let  $U_i$  be a small disc centered at  $w_i$  and  $U'_i$  a small disc centered at  $w'_i$ . Let  $S_i = U_i \cup U'_i$ . Let  $s$  be a complex

number which does not lie in any  $S_i$ . Let  $G_i = \langle M_i^k \rangle$ . Show that the conditions of Exercise 54 are satisfied for  $k$  sufficiently large.]



**Solution:** Let  $w_i, w'_i$  be the fixed points of  $M_i$  with  $|w_i| < |w'_i|$ . Let  $U_i$  be a small disc centered at  $w_i$  and  $U'_i$  a small disc centered at  $w'_i$ . Let  $S_i = U_i \cup U'_i$ .

Let  $s$  be a complex number not in any  $S_i$ . For  $k$  sufficiently large, the action of  $M_i^k$  on  $\mathbb{C} \cup \{\infty\}$  satisfies the conditions of Exercise 54:

1. For any  $g \in \langle M_i^k \rangle - \{e\}$ , we have  $gS_j \subset S_i$  for all  $j \neq i$  because  $M_i^k$  contracts towards the fixed points of  $M_i$ .

2. For any  $g \in \langle M_i^k \rangle - \{e\}$ , we have  $g(s) \in S_i$  because  $M_i^k$  maps points outside  $S_i$  into  $S_i$  for large enough  $k$ .

Therefore, by Exercise 54, the group generated by  $M_1^k, \dots, M_r^k$  is the free product of the cyclic groups  $\langle M_i^k \rangle$ . ■

### 1.57: Group generated by stabilizers

Let  $G$  be a group acting on a set  $X$ . Let  $Y$  be a subset of  $X$ . Let  $G_Y$  be the subset of  $G$  consisting of those elements  $g$  such that  $gY \cap Y$  is not empty. Let  $\overline{G}_Y$  be the subgroup of  $G$  generated by  $G_Y$ . Then  $\overline{G}_Y Y$  and  $(G - \overline{G}_Y)Y$  are disjoint. [Hint: Suppose that there exist  $g_1 \in \overline{G}_Y$  and  $g_2 \in G$  but  $g_2 \notin \overline{G}_Y$ , and elements  $y_1, y_2 \in Y$  such that  $g_2 y_1 = g_2 y_2$ . Then  $g_2^{-1} g_1 y_1 = y_2$ , so  $g_2^{-1} g_1 \in G_Y$  whence  $g_2 \in \overline{G}_Y$ , contrary to assumption.]

**Application.** Suppose that  $X = GY$ , but that  $X$  cannot be expressed as a disjoint union as above unless one of the two sets is empty. Then we conclude that  $G - \overline{G}_Y$  is empty, and therefore  $G_Y$  generates  $G$ .

**Example 1.** Suppose  $X$  is a connected topological space,  $Y$  is open, and  $G$  acts continuously. Then all translates of  $Y$  are open, so  $G$  is generated by  $G_Y$ .

**Example 2.** Suppose  $G$  is a discrete group acting continuously and discretely on  $X$ . Again suppose  $X$  connected and  $Y$  closed, and that any union of translates of  $Y$  by elements of  $G$  is closed, so again  $G - \overline{G}_Y$  is empty, and  $G_Y$  generates  $G$ .

**Solution:** We prove that  $\overline{G}_Y Y$  and  $(G - \overline{G}_Y)Y$  are disjoint.

Suppose for contradiction that there exist  $g_1 \in \overline{G}_Y$ ,  $g_2 \in G - \overline{G}_Y$ , and  $y_1, y_2 \in Y$  such that  $g_1 y_1 = g_2 y_2$ .

Then  $g_2^{-1} g_1 y_1 = y_2 \in Y$ , so  $g_2^{-1} g_1 \in G_Y$ . Since  $G_Y \subseteq \overline{G}_Y$ , we have  $g_2^{-1} g_1 \in \overline{G}_Y$ .

Since  $g_1 \in \overline{G}_Y$ , this implies  $g_2 \in \overline{G}_Y$ , contradicting the assumption that  $g_2 \notin \overline{G}_Y$ .

Therefore,  $\overline{G}_Y Y$  and  $(G - \overline{G}_Y)Y$  are disjoint.

**Application:** If  $X = GY$  and  $X$  cannot be expressed as a disjoint union of the form above unless one set is empty, then we must have  $G - \overline{G}_Y = \emptyset$ , which means  $G = \overline{G}_Y$ . Therefore,  $G_Y$  generates  $G$ .

**Example 1:** If  $X$  is connected and  $Y$  is open, then  $GY$  is open and connected. If  $G_Y$  did not generate  $G$ , then  $\overline{G}_Y Y$  and  $(G - \overline{G}_Y)Y$  would be disjoint open sets whose union is  $X$ , contradicting connectedness.

**Example 2:** If  $X$  is connected and  $Y$  is closed, and if  $G_Y$  did not generate  $G$ , then  $\overline{G}_Y Y$  and  $(G - \overline{G}_Y)Y$  would be disjoint closed sets whose union is  $X$ , contradicting connectedness.

# Chapter 2

## Rings

### 2.1 Localization and Prime Ideals

**Definitions and Theorems:**

- A **multiplicative subset** of a ring  $A$  is a subset  $S$  such that  $1 \in S$  and if  $s, t \in S$  then  $st \in S$ .
- The **localization**  $S^{-1}A$  is the ring of fractions  $a/s$  where  $a \in A$  and  $s \in S$ , with the usual addition and multiplication.
- A **local ring** is a commutative ring with exactly one maximal ideal.
- A **prime ideal**  $\mathfrak{p}$  is an ideal such that if  $ab \in \mathfrak{p}$  then either  $a \in \mathfrak{p}$  or  $b \in \mathfrak{p}$ .
- A **maximal ideal** is an ideal that is maximal with respect to inclusion among proper ideals.

We let  $A$  denote a commutative ring.

#### 2.1: Maximal Ideal in Localization

Suppose that  $1 \neq 0$  in  $A$ . Let  $S$  be a multiplicative subset of  $A$  not containing 0. Let  $\mathfrak{p}$  be a maximal element in the set of ideals of  $A$  whose intersection with  $S$  is empty. Show that  $\mathfrak{p}$  is prime.

**Solution:** Let  $\mathfrak{p}$  be a maximal element in the set of ideals of  $A$  whose intersection with  $S$  is empty. We need to show that  $\mathfrak{p}$  is prime.

Suppose for contradiction that  $\mathfrak{p}$  is not prime. Then there exist elements  $a, b \in A$  such that  $ab \in \mathfrak{p}$  but  $a \notin \mathfrak{p}$  and  $b \notin \mathfrak{p}$ .

Since  $a \notin \mathfrak{p}$ , the ideal  $\mathfrak{p} + (a)$  properly contains  $\mathfrak{p}$ . By maximality of  $\mathfrak{p}$ , we must have  $(\mathfrak{p} + (a)) \cap S \neq \emptyset$ . Similarly,  $(\mathfrak{p} + (b)) \cap S \neq \emptyset$ .

This means there exist  $p_1, p_2 \in \mathfrak{p}$ ,  $r_1, r_2 \in A$ , and  $s_1, s_2 \in S$  such that:

$$p_1 + r_1a = s_1 \quad \text{and} \quad p_2 + r_2b = s_2$$

Multiplying these equations:

$$(p_1 + r_1a)(p_2 + r_2b) = s_1s_2$$

Expanding the left side:

$$p_1p_2 + p_1r_2b + p_2r_1a + r_1r_2ab = s_1s_2$$

Since  $p_1, p_2, ab \in \mathfrak{p}$ , we have  $p_1p_2 + p_1r_2b + p_2r_1a + r_1r_2ab \in \mathfrak{p}$ . But  $s_1s_2 \in S$  since  $S$  is multiplicative. This contradicts the fact that  $\mathfrak{p} \cap S = \emptyset$ .

Therefore,  $\mathfrak{p}$  must be prime. ■

## 2.2: Surjective Homomorphism Preserves Local Property

Let  $f : A \rightarrow A'$  be a surjective homomorphism of rings, and assume that  $A$  is local,  $A' \neq 0$ . Show that  $A'$  is local.

**Solution:** Let  $\mathfrak{m}$  be the unique maximal ideal of  $A$ . Since  $f$  is surjective,  $f(\mathfrak{m})$  is an ideal of  $A'$ .

We claim that  $f(\mathfrak{m})$  is the unique maximal ideal of  $A'$ .

First,  $f(\mathfrak{m})$  is maximal: if  $I$  is an ideal of  $A'$  containing  $f(\mathfrak{m})$ , then  $f^{-1}(I)$  is an ideal of  $A$  containing  $\mathfrak{m}$ . Since  $\mathfrak{m}$  is maximal, either  $f^{-1}(I) = \mathfrak{m}$  or  $f^{-1}(I) = A$ . If  $f^{-1}(I) = A$ , then  $I = A'$  since  $f$  is surjective. If  $f^{-1}(I) = \mathfrak{m}$ , then  $I = f(\mathfrak{m})$ . Thus  $f(\mathfrak{m})$  is maximal.

Second,  $f(\mathfrak{m})$  is unique: if  $I$  is any maximal ideal of  $A'$ , then  $f^{-1}(I)$  is a proper ideal of  $A$  (since  $f$  is surjective and  $A' \neq 0$ ). Since  $\mathfrak{m}$  is the unique maximal ideal,  $f^{-1}(I) \subseteq \mathfrak{m}$ , which implies  $I \subseteq f(\mathfrak{m})$ . By maximality of  $I$ , we have  $I = f(\mathfrak{m})$ .

Therefore,  $A'$  has exactly one maximal ideal and is local.

■

### 2.3: Unique Maximal Ideal in Localization

Let  $\mathfrak{p}$  be a prime ideal of  $A$ . Show that  $A_{\mathfrak{p}}$  has a unique maximal ideal, consisting of all elements  $a/s$  with  $a \in \mathfrak{p}$  and  $s \notin \mathfrak{p}$ .

**Solution:** Let  $S = A \setminus \mathfrak{p}$ . Since  $\mathfrak{p}$  is prime,  $S$  is a multiplicative subset of  $A$ .

Let  $\mathfrak{m} = \{a/s : a \in \mathfrak{p}, s \notin \mathfrak{p}\}$ . We need to show that  $\mathfrak{m}$  is the unique maximal ideal of  $A_{\mathfrak{p}}$ .

First,  $\mathfrak{m}$  is an ideal: if  $a_1/s_1, a_2/s_2 \in \mathfrak{m}$ , then  $a_1/s_1 + a_2/s_2 = (a_1s_2 + a_2s_1)/(s_1s_2) \in \mathfrak{m}$  since  $a_1s_2 + a_2s_1 \in \mathfrak{p}$  and  $s_1s_2 \notin \mathfrak{p}$ . If  $a/s \in \mathfrak{m}$  and  $b/t \in A_{\mathfrak{p}}$ , then  $(a/s)(b/t) = (ab)/(st) \in \mathfrak{m}$  since  $ab \in \mathfrak{p}$  and  $st \notin \mathfrak{p}$ .

Second,  $\mathfrak{m}$  is maximal: if  $a/s \in A_{\mathfrak{p}} \setminus \mathfrak{m}$ , then  $a \notin \mathfrak{p}$ , so  $a \in S$ . Then  $s/a \in A_{\mathfrak{p}}$  and  $(a/s)(s/a) = 1$ , so  $a/s$  is a unit. This shows that every element not in  $\mathfrak{m}$  is a unit, which means  $\mathfrak{m}$  is maximal.

Finally,  $\mathfrak{m}$  is unique: any proper ideal  $I$  of  $A_{\mathfrak{p}}$  must be contained in  $\mathfrak{m}$ , since if  $I$  contains an element  $a/s$  with  $a \notin \mathfrak{p}$ , then  $a/s$  is a unit, which would make  $I = A_{\mathfrak{p}}$ .

Therefore,  $A_{\mathfrak{p}}$  is a local ring with unique maximal ideal  $\mathfrak{m}$ .

■

## 2.2 Principal and Factorial Rings

### Definitions and Theorems:

- A **principal ring** (PID) is an integral domain in which every ideal is principal (generated by a single element).
- A **factorial ring** (UFD) is an integral domain in which every non-zero non-unit element can be written as a product of irreducible elements, and this factorization is unique up to order and units.
- A **prime element**  $p$  in a ring  $A$  is a non-zero non-unit such that if  $p$  divides  $ab$  then  $p$  divides  $a$  or  $p$  divides  $b$ .
- An **irreducible element**  $p$  is a non-zero non-unit such that if  $p = ab$  then either  $a$  or  $b$  is a unit.



- A **greatest common divisor** (GCD) of elements  $a_1, \dots, a_n$  is an element  $d$  such that  $d$  divides each  $a_i$  and if  $e$  divides each  $a_i$  then  $e$  divides  $d$ .

### 2.4: Localization Preserves Principal Property

Let  $A$  be a principal ring and  $S$  a multiplicative subset with  $0 \notin S$ . Show that  $S^{-1}A$  is principal.

**Solution:** Let  $I$  be an ideal of  $S^{-1}A$ . We need to show that  $I$  is principal.

Let  $J = \{a \in A : a/1 \in I\}$ . Then  $J$  is an ideal of  $A$ . Since  $A$  is principal,  $J = (d)$  for some  $d \in A$ .

We claim that  $I = (d/1)$ .

First, if  $a/1 \in I$ , then  $a \in J = (d)$ , so  $a = rd$  for some  $r \in A$ . Then  $a/1 = (rd)/1 = (r/1)(d/1) \in (d/1)$ .

Second, if  $a/s \in I$ , then  $a/1 = (a/s)(s/1) \in I$ , so  $a \in J = (d)$ . Thus  $a = rd$  for some  $r \in A$ , and  $a/s = (rd)/s = (r/s)(d/1) \in (d/1)$ .

Therefore,  $I = (d/1)$  and  $S^{-1}A$  is principal. ■

### 2.5: Localization Preserves Factorial Property

Let  $A$  be a factorial ring and  $S$  a multiplicative subset with  $0 \notin S$ . Show that  $S^{-1}A$  is factorial, and that the prime elements of  $S^{-1}A$  are of the form  $up$  with primes  $p$  of  $A$  such that  $(p) \cap S$  is empty, and units  $u$  in  $S^{-1}A$ .

**Solution:** First, we show that  $S^{-1}A$  is factorial. Let  $a/s \in S^{-1}A$  be a non-zero non-unit. Then  $a \in A$  is non-zero and not a unit in  $S^{-1}A$ .

Since  $A$  is factorial,  $a$  can be written as a product of irreducible elements in  $A$ :  $a = p_1 \cdots p_n$ . Then  $a/s = (p_1/1) \cdots (p_n/1)(1/s)$ .

We need to show that each  $p_i/1$  is either irreducible or a unit in  $S^{-1}A$ . If  $p_i \in S$ , then  $p_i/1$  is a unit. If  $p_i \notin S$ , then  $p_i/1$  is irreducible in  $S^{-1}A$  (since if  $p_i/1 = (a/s)(b/t)$ , then  $p_i st = ab$ , which would contradict the irreducibility of  $p_i$  in  $A$  unless one of  $a$  or  $b$  is a unit).

For uniqueness, suppose  $a/s = (p_1/1) \cdots (p_m/1)(1/s_1) = (q_1/1) \cdots (q_n/1)(1/s_2)$  where  $p_i, q_j$  are irreducible in  $A$  and not in  $S$ . Then  $as_1 = p_1 \cdots p_m$

and  $as_2 = q_1 \cdots q_n$ . Since  $A$  is factorial, these factorizations are the same up to units and order.

For the second part, let  $p$  be a prime element of  $A$  such that  $(p) \cap S = \emptyset$ . We show that  $p/1$  is prime in  $S^{-1}A$ . If  $(p/1)$  divides  $(a/s)(b/t)$ , then  $p$  divides  $ab$  in  $A$ , so  $p$  divides  $a$  or  $p$  divides  $b$ . Thus  $(p/1)$  divides  $(a/s)$  or  $(b/t)$ .

Conversely, if  $q$  is a prime element of  $S^{-1}A$ , then  $q = a/s$  where  $a \in A$  is irreducible and  $a \notin S$ . Since  $q$  is prime,  $a$  must be prime in  $A$ . ■

## 2.6: Localization at Prime is Principal

Let  $A$  be a factorial ring and  $p$  a prime element. Show that the local ring  $A_{(p)}$  is principal.

**Solution:** Let  $S = A \setminus (p)$ . Then  $A_{(p)} = S^{-1}A$ .

By Problem 2.4, since  $A$  is principal (factorial rings are principal),  $A_{(p)}$  is principal.

Alternatively, we can show this directly. Let  $I$  be an ideal of  $A_{(p)}$ . Let  $J = \{a \in A : a/1 \in I\}$ . Then  $J$  is an ideal of  $A$  contained in  $(p)$  (since if  $a \notin (p)$ , then  $a/1$  is a unit in  $A_{(p)}$ ).

Since  $A$  is factorial,  $J = (p^n)$  for some  $n \geq 0$ . Then  $I = (p^n/1) = (p/1)^n$ . ■

## 2.7: GCD in Principal Rings

Let  $A$  be a principal ring and  $a_1, \dots, a_n$  non-zero elements of  $A$ . Let  $(a_1, \dots, a_n) = (d)$ . Show that  $d$  is a greatest common divisor for the  $a_i$  ( $i = 1, \dots, n$ ).

**Solution:** Since  $(a_1, \dots, a_n) = (d)$ , we have  $d \in (a_1, \dots, a_n)$ , so  $d = r_1a_1 + \cdots + r_na_n$  for some  $r_i \in A$ . This shows that  $d$  is a linear combination of the  $a_i$ .

Also, since  $(a_1, \dots, a_n) \subseteq (d)$ , each  $a_i \in (d)$ , so  $d$  divides each  $a_i$ .

Now let  $e$  be any element that divides each  $a_i$ . Then  $a_i = s_ie$  for some  $s_i \in A$ . Since  $d = r_1a_1 + \cdots + r_na_n = r_1(s_1e) + \cdots + r_n(s_ne) = (r_1s_1 + \cdots + r_ns_n)e$ , we have  $e$  divides  $d$ .

Therefore,  $d$  is a greatest common divisor of the  $a_i$ . ■

## 2.3 Group of Units

### Definitions and Theorems:

- The **group of units** of a ring  $A$  is the set of all invertible elements, denoted  $A^*$ .
- A **cyclic group** is a group generated by a single element.
- A group is of **type**  $(n_1, n_2, \dots, n_k)$  if it is isomorphic to  $\mathbb{Z}/n_1\mathbb{Z} \times \mathbb{Z}/n_2\mathbb{Z} \times \dots \times \mathbb{Z}/n_k\mathbb{Z}$ .
- The **Euler totient function**  $\phi(n)$  counts the number of integers between 1 and  $n$  that are coprime to  $n$ .

### 2.8: Structure of Units Modulo $p^r$

Let  $p$  be a prime number, and let  $A$  be the ring  $\mathbb{Z}/p^r\mathbb{Z}$  ( $r = \text{integer} \geq 1$ ). Let  $G$  be the group of units in  $A$ , i.e. the group of integers prime to  $p$ , modulo  $p^r$ . Show that  $G$  is cyclic, except in the case when

$$p = 2, \quad r \geq 3,$$

in which case it is of type  $(2, 2^{r-2})$ .

[Hint: In the general case, show that  $G$  is the product of a cyclic group generated by  $1 + p$ , and a cyclic group of order  $p - 1$ . In the exceptional case, show that  $G$  is the product of the group  $\{\pm 1\}$  with the cyclic group generated by the residue class of 5 mod  $2^r$ .]

**Solution:** We will prove this by induction on  $r$ . The key insight is to use the structure of the multiplicative group modulo prime powers.

For  $r = 1$ ,  $G = (\mathbb{Z}/p\mathbb{Z})^*$  is cyclic of order  $p - 1$  by the primitive root theorem.

For  $r > 1$ , we consider the exact sequence:

$$1 \rightarrow U_1 \rightarrow G \rightarrow (\mathbb{Z}/p\mathbb{Z})^* \rightarrow 1$$

where  $U_1 = \{1 + ap : a \in \mathbb{Z}/p^{r-1}\mathbb{Z}\}$ .

The group  $U_1$  is isomorphic to the additive group  $\mathbb{Z}/p^{r-1}\mathbb{Z}$  via the map  $1 + ap \mapsto a$ . This is a cyclic group of order  $p^{r-1}$ .

For odd primes  $p$ ,  $U_1$  is cyclic and  $(\mathbb{Z}/p\mathbb{Z})^*$  is cyclic of order  $p - 1$ . Since  $\gcd(p^{r-1}, p - 1) = 1$ , the group  $G$  is cyclic.

For  $p = 2$ , we need to be more careful. For  $r = 2$ ,  $G$  is cyclic of order 1. For  $r = 3$ ,  $G$  has order 2 and is cyclic.

For  $r \geq 3$ , the group  $U_1$  is cyclic of order  $2^{r-1}$ , but  $(\mathbb{Z}/2\mathbb{Z})^*$  is trivial. However, the group  $U_2 = \{1 + 4a : a \in \mathbb{Z}/2^{r-2}\mathbb{Z}\}$  is cyclic of order  $2^{r-2}$ .

The group  $G$  is the product of  $\{\pm 1\}$  (which has order 2) and the cyclic group generated by 5 (which has order  $2^{r-2}$ ). Since these groups have coprime orders,  $G$  is of type  $(2, 2^{r-2})$ .

The key fact is that 5 generates a cyclic subgroup of order  $2^{r-2}$  in  $G$  for  $r \geq 3$ , and this subgroup together with  $\{\pm 1\}$  generates all of  $G$ . ■

## 2.4 Quadratic Rings

### Definitions and Theorems:

- A **quadratic ring** is a subring of  $\mathbb{C}$  of the form  $\mathbb{Z}[\sqrt{d}]$  where  $d$  is a square-free integer.
- The **norm** of an element  $a + b\sqrt{d}$  is  $N(a + b\sqrt{d}) = a^2 - db^2$ .
- A **unit** in a ring is an element with a multiplicative inverse.
- An **irreducible element** is a non-zero non-unit that cannot be written as a product of two non-units.
- The **Gaussian integers** are the ring  $\mathbb{Z}[i]$  where  $i = \sqrt{-1}$ .

### 2.9: Principal Ring of Gaussian Integers

Let  $i$  be the complex number  $\sqrt{-1}$ . Show that the ring  $\mathbb{Z}[i]$  is principal, and hence factorial. What are the units?

**Solution:** To show that  $\mathbb{Z}[i]$  is principal, we use the Euclidean algorithm with the norm function  $N(a + bi) = a^2 + b^2$ .

Let  $I$  be a non-zero ideal of  $\mathbb{Z}[i]$ . Let  $\alpha$  be a non-zero element of  $I$  with minimal norm. We claim that  $I = (\alpha)$ .

Let  $\beta \in I$ . We need to show that  $\alpha$  divides  $\beta$ . Consider the complex number  $\beta/\alpha = x + yi$  where  $x, y \in \mathbb{Q}$ . Let  $m, n$  be integers such that  $|x - m| \leq 1/2$  and  $|y - n| \leq 1/2$ .

Let  $\gamma = \beta - \alpha(m + ni)$ . Then  $\gamma \in I$  and  $N(\gamma) = N(\alpha)N((x - m) + (y - n)i) = N(\alpha)((x - m)^2 + (y - n)^2) < N(\alpha)$  since  $(x - m)^2 + (y - n)^2 \leq 1/4 + 1/4 = 1/2 < 1$ .

By minimality of  $N(\alpha)$ , we must have  $\gamma = 0$ , so  $\beta = \alpha(m + ni)$ . Therefore,  $I = (\alpha)$ .

Since  $\mathbb{Z}[i]$  is principal, it is also factorial (UFD).

The units of  $\mathbb{Z}[i]$  are the elements with norm 1. These are  $\pm 1, \pm i$ . ■

### 2.10: Non-Factorial Quadratic Ring

Let  $D$  be an integer  $\geq 1$ , and let  $R$  be the set of all elements  $a + b\sqrt{-D}$  with  $a, b \in \mathbb{Z}$ .

- (a) Show that  $R$  is a ring.
- (b) Using the fact that complex conjugation is an automorphism of  $\mathbb{C}$ , show that complex conjugation induces an automorphism of  $R$ .
- (c) Show that if  $D \geq 2$  then the only units in  $R$  are  $\pm 1$ .
- (d) Show that  $3, 2 + \sqrt{-5}, 2 - \sqrt{-5}$  are irreducible elements in  $\mathbb{Z}[\sqrt{-5}]$ .

#### Solution:

- (a) We need to show that  $R$  is closed under addition and multiplication. Let  $\alpha = a + b\sqrt{-D}$  and  $\beta = c + d\sqrt{-D}$  be elements of  $R$ .

Then  $\alpha + \beta = (a + c) + (b + d)\sqrt{-D} \in R$  and  $\alpha\beta = (ac - bdD) + (ad + bc)\sqrt{-D} \in R$ .

Also,  $0 = 0 + 0\sqrt{-D} \in R$  and  $1 = 1 + 0\sqrt{-D} \in R$ . Therefore,  $R$  is a ring.

- (b) Complex conjugation is the map  $\sigma : \mathbb{C} \rightarrow \mathbb{C}$  defined by  $\sigma(a + bi) = a - bi$ . This is an automorphism of  $\mathbb{C}$ .

For  $\alpha = a + b\sqrt{-D} \in R$ , we have  $\sigma(\alpha) = a - b\sqrt{-D} \in R$ . Since  $\sigma$  preserves addition and multiplication, it induces an automorphism of  $R$ .

- (c) Let  $\alpha = a + b\sqrt{-D}$  be a unit in  $R$ . Then there exists  $\beta = c + d\sqrt{-D} \in R$  such that  $\alpha\beta = 1$ .

Taking norms:  $N(\alpha)N(\beta) = N(1) = 1$ . Since  $N(\alpha) = a^2 + Db^2 \geq 0$  and  $N(\beta) = c^2 + Dd^2 \geq 0$ , we must have  $N(\alpha) = N(\beta) = 1$ .

If  $D \geq 2$ , then  $N(\alpha) = a^2 + Db^2 = 1$  implies  $b = 0$  and  $a^2 = 1$ . Therefore,  $\alpha = \pm 1$ .

- (d) We show that these elements are irreducible in  $\mathbb{Z}[\sqrt{-5}]$ .

For 3: If  $3 = \alpha\beta$  where  $\alpha, \beta \in \mathbb{Z}[\sqrt{-5}]$  are non-units, then  $N(3) = 9 = N(\alpha)N(\beta)$ . Since  $\alpha, \beta$  are non-units,  $N(\alpha), N(\beta) > 1$ . The only possibility is  $N(\alpha) = N(\beta) = 3$ . But there are no elements in  $\mathbb{Z}[\sqrt{-5}]$  with norm 3 (since  $a^2 + 5b^2 = 3$  has no integer solutions). Therefore, 3 is irreducible.

For  $2 + \sqrt{-5}$ :  $N(2 + \sqrt{-5}) = 4 + 5 = 9$ . If  $2 + \sqrt{-5} = \alpha\beta$  where  $\alpha, \beta$  are non-units, then  $N(\alpha) = N(\beta) = 3$ , which is impossible as above. Therefore,  $2 + \sqrt{-5}$  is irreducible.

Similarly,  $2 - \sqrt{-5}$  is irreducible.

■

## 2.5 Trigonometric Polynomials

### Definitions and Theorems:

- A **trigonometric polynomial** is a finite linear combination of functions  $\cos(nx)$  and  $\sin(nx)$  for non-negative integers  $n$ .
- The **trigonometric degree** of a trigonometric polynomial is the maximum frequency appearing in its expression.
- A **zero divisor** in a ring is a non-zero element  $a$  such that there exists a non-zero element  $b$  with  $ab = 0$ .
- An **irreducible element** in a ring is a non-zero non-unit that cannot be written as a product of two non-units.

## 2.11: Trigonometric Polynomial Ring

Let  $R$  be the ring of trigonometric polynomials as defined in the text. Show that  $R$  consists of all functions  $f$  on  $\mathbb{R}$  which have an expression of the form

$$f(x) = a_0 + \sum_{m=1}^n (a_m \cos mx + b_m \sin mx),$$

where  $a_0, a_m, b_m$  are real numbers. Define the trigonometric degree  $\deg_{tr}(f)$  to be the maximum of the integers  $r, s$  such that  $a_r, b_s \neq 0$ . Prove that

$$\deg_{tr}(fg) = \deg_{tr}(f) + \deg_{tr}(g).$$

Deduce from this that  $R$  has no divisors of 0, and also deduce that the functions  $\sin x$  and  $1 - \cos x$  are irreducible elements in that ring.

**Solution:** First, we show that  $R$  consists of all functions of the given form. This follows from the fact that any trigonometric polynomial can be written as a finite linear combination of  $\cos(nx)$  and  $\sin(nx)$  terms.

Now we prove that  $\deg_{tr}(fg) = \deg_{tr}(f) + \deg_{tr}(g)$ .

Let  $f(x) = a_0 + \sum_{m=1}^n (a_m \cos mx + b_m \sin mx)$  and  $g(x) = c_0 + \sum_{k=1}^p (c_k \cos kx + d_k \sin kx)$ .

When we multiply  $f$  and  $g$ , we get terms of the form:

$$\cos(mx) \cos(kx) = \frac{1}{2} (\cos((m+k)x) + \cos((m-k)x))$$

$$\cos(mx) \sin(kx) = \frac{1}{2} (\sin((m+k)x) + \sin((m-k)x))$$

$$\sin(mx) \cos(kx) = \frac{1}{2} (\sin((m+k)x) - \sin((m-k)x))$$

$$\sin(mx) \sin(kx) = \frac{1}{2} (-\cos((m+k)x) + \cos((m-k)x))$$

The highest frequency that can appear is  $m+k$  where  $m$  is the highest frequency in  $f$  and  $k$  is the highest frequency in  $g$ . Therefore,  $\deg_{tr}(fg) = \deg_{tr}(f) + \deg_{tr}(g)$ .

Since  $\deg_{tr}(fg) = \deg_{tr}(f) + \deg_{tr}(g)$ , if  $f$  and  $g$  are non-zero, then  $\deg_{tr}(fg) > 0$ , so  $fg \neq 0$ . This shows that  $R$  has no zero divisors.

For irreducibility, suppose  $\sin x = fg$  where  $f, g \in R$  are non-units. Then  $\deg_{tr}(f) + \deg_{tr}(g) = \deg_{tr}(\sin x) = 1$ . Since  $\deg_{tr}(f), \deg_{tr}(g) \geq 0$ , one of them must be 0 and the other must be 1. But if  $\deg_{tr}(f) = 0$ , then  $f$  is a constant, and if  $\deg_{tr}(g) = 0$ , then  $g$  is a constant. Since

$\sin x$  is not a constant multiple of any other trigonometric polynomial, this is impossible. Therefore,  $\sin x$  is irreducible.

Similarly,  $\deg_{tr}(1 - \cos x) = 1$ , so if  $1 - \cos x = fg$ , then one of  $f$  or  $g$  must be a constant. But  $1 - \cos x$  is not a constant multiple of any other trigonometric polynomial, so it is irreducible. ■

## 2.6 Dedekind Rings

### Definitions and Theorems:

- A **Dedekind ring** is a Noetherian integral domain that is integrally closed and has Krull dimension 1.
- A **multiplicative function**  $f$  satisfies  $f(mn) = f(m)f(n)$  whenever  $\gcd(m, n) = 1$ .
- The **Möbius function**  $\mu(n)$  is defined as  $\mu(1) = 1$ ,  $\mu(p_1 \cdots p_r) = (-1)^r$  for distinct primes  $p_i$ , and  $\mu(n) = 0$  if  $n$  is divisible by a square.
- The **convolution** of two arithmetic functions  $f$  and  $g$  is  $(f * g)(n) = \sum_{d|n} f(d)g(n/d)$ .

Prove the following statements about a Dedekind ring  $o$ . To simplify terminology, by an ideal we shall mean non-zero ideal unless otherwise specified. We let  $K$  denote the quotient field of  $o$ ,

### 2.12: Ring of Arithmetic Functions

Let  $P$  be the set of positive integers and  $R$  the set of functions defined on  $P$  with values in a commutative ring  $K$ . Define the sum in  $R$  to be the ordinary addition of functions, and define the convolution product by the formula

$$(f * g)(m) = \sum_{xy=m} f(x)g(y),$$

where the sum is taken over all pairs  $(x, y)$  of positive integers such that  $xy = m$ .

- (a) Show that  $R$  is a commutative ring, whose unit element is the function  $\delta$  such that  $\delta(1) = 1$  and  $\delta(x) = 0$  if  $x \neq 1$ .



- (b) A function  $f$  is said to be multiplicative if  $f(mn) = f(m)f(n)$  whenever  $m, n$  are relatively prime. If  $f, g$  are multiplicative, show that  $f * g$  is multiplicative.
- (c) Let  $\mu$  be the Möbius function such that  $\mu(1) = 1$ ,  $\mu(p_1 \cdots p_r) = (-1)^r$  if  $p_1, \dots, p_r$  are distinct primes, and  $\mu(m) = 0$  if  $m$  is divisible by  $p^2$  for some prime  $p$ . Show that  $\mu * \varphi_1 = \delta$ , where  $\varphi_1$  denotes the constant function having value 1. [Hint: Show first that  $\mu$  is multiplicative, and then prove the assertion for prime powers.] The Möbius inversion formula of elementary number theory is then nothing else but the relation  $\mu * \varphi_1 * f = f$ .

**Solution:**

- (a) We need to verify the ring axioms. Addition is clearly commutative and associative since it's pointwise addition.

For multiplication, we check associativity:

$$\begin{aligned} ((f * g) * h)(m) &= \sum_{xy=m} (f * g)(x)h(y) = \sum_{xy=m} \sum_{ab=x} f(a)g(b)h(y) \\ &= \sum_{aby=m} f(a)g(b)h(y) = \sum_{abc=m} f(a)g(b)h(c) \end{aligned}$$

Similarly,  $(f * (g * h))(m) = \sum_{abc=m} f(a)g(b)h(c)$ , so convolution is associative.

The distributive law follows from:

$$\begin{aligned} (f * (g + h))(m) &= \sum_{xy=m} f(x)(g + h)(y) = \sum_{xy=m} f(x)(g(y) + h(y)) \\ &= \sum_{xy=m} f(x)g(y) + \sum_{xy=m} f(x)h(y) = (f * g)(m) + (f * h)(m) \end{aligned}$$

The function  $\delta$  is the unit since  $(\delta * f)(m) = \sum_{xy=m} \delta(x)f(y) = f(m)$ .

(b) Let  $m, n$  be relatively prime positive integers. Then:

$$\begin{aligned}
 (f * g)(mn) &= \sum_{xy=mn} f(x)g(y) = \sum_{a_1a_2=m, b_1b_2=n} f(a_1b_1)g(a_2b_2) \\
 &= \sum_{a_1a_2=m, b_1b_2=n} f(a_1)f(b_1)g(a_2)g(b_2) \\
 &= \left( \sum_{a_1a_2=m} f(a_1)g(a_2) \right) \left( \sum_{b_1b_2=n} f(b_1)g(b_2) \right) \\
 &= (f * g)(m)(f * g)(n)
 \end{aligned}$$

(c) First, we show that  $\mu$  is multiplicative. Let  $m, n$  be relatively prime. If either  $m$  or  $n$  is divisible by a square, then  $\mu(mn) = 0 = \mu(m)\mu(n)$ . Otherwise, if  $m = p_1 \cdots p_r$  and  $n = q_1 \cdots q_s$  are products of distinct primes, then  $\mu(mn) = (-1)^{r+s} = (-1)^r(-1)^s = \mu(m)\mu(n)$ .

Now we show that  $\mu * \varphi_1 = \delta$ . Since both  $\mu$  and  $\varphi_1$  are multiplicative, so is  $\mu * \varphi_1$ . Therefore, it suffices to check the equality for prime powers.

For  $p^k$  where  $k \geq 1$ :

$$\begin{aligned}
 (\mu * \varphi_1)(p^k) &= \sum_{d|p^k} \mu(d) = \mu(1) + \mu(p) + \mu(p^2) + \cdots + \mu(p^k) \\
 &= 1 + (-1) + 0 + \cdots + 0 = 0
 \end{aligned}$$

For  $m = 1$ :  $(\mu * \varphi_1)(1) = \mu(1) = 1$ .

Therefore,  $\mu * \varphi_1 = \delta$ . ■

### 2.13: Finitely Generated Ideals

Every ideal is finitely generated. [Hint: Given an ideal  $\mathfrak{a}$ , let  $\mathfrak{b}$  be the fractional ideal such that  $\mathfrak{a}\mathfrak{b} = \mathfrak{o}$ . Write  $1 = \sum a_i b_i$  with  $a_i \in \mathfrak{a}$  and  $b_i \in \mathfrak{b}$ . Show that  $\mathfrak{a} = (a_1, \dots, a_n)$ .]

**Solution:** Let  $\mathfrak{a}$  be an ideal of  $\mathfrak{o}$ . Since  $\mathfrak{o}$  is a Dedekind ring, there exists a fractional ideal  $\mathfrak{b}$  such that  $\mathfrak{a}\mathfrak{b} = \mathfrak{o}$ .

Since  $1 \in o = \mathfrak{a}\mathfrak{b}$ , we can write  $1 = \sum_{i=1}^n a_i b_i$  where  $a_i \in \mathfrak{a}$  and  $b_i \in \mathfrak{b}$ .

We claim that  $\mathfrak{a} = (a_1, \dots, a_n)$ .

Let  $a \in \mathfrak{a}$ . Then  $a = a \cdot 1 = a \sum_{i=1}^n a_i b_i = \sum_{i=1}^n (ab_i) a_i$ .

Since  $a \in \mathfrak{a}$  and  $b_i \in \mathfrak{b}$ , we have  $ab_i \in \mathfrak{a}\mathfrak{b} = o$ . Therefore,  $ab_i \in o$  for each  $i$ .

This shows that  $a = \sum_{i=1}^n (ab_i) a_i \in (a_1, \dots, a_n)$ .

Therefore,  $\mathfrak{a} = (a_1, \dots, a_n)$  is finitely generated. ■

### 2.14: Unique Factorization of Ideals

Every ideal has a factorization as a product of prime ideals, uniquely determined up to permutation.

**Solution:** This is a fundamental property of Dedekind rings. We prove existence and uniqueness.

For existence: Let  $\mathfrak{a}$  be an ideal. If  $\mathfrak{a} = o$ , then it's the empty product. Otherwise, let  $\mathfrak{p}_1$  be a minimal prime ideal containing  $\mathfrak{a}$ . Then  $\mathfrak{a} \subseteq \mathfrak{p}_1$ , so there exists an ideal  $\mathfrak{a}_1$  such that  $\mathfrak{a} = \mathfrak{p}_1 \mathfrak{a}_1$ . Since  $\mathfrak{p}_1$  is maximal (in Dedekind rings, non-zero prime ideals are maximal),  $\mathfrak{a}_1$  properly contains  $\mathfrak{a}$ . Continue this process, which must terminate since  $o$  is Noetherian.

For uniqueness: Suppose  $\mathfrak{p}_1 \cdots \mathfrak{p}_r = \mathfrak{q}_1 \cdots \mathfrak{q}_s$  where the  $\mathfrak{p}_i$  and  $\mathfrak{q}_j$  are prime ideals. Since  $\mathfrak{p}_1$  contains the product  $\mathfrak{q}_1 \cdots \mathfrak{q}_s$ , it must contain one of the  $\mathfrak{q}_j$  (by the prime ideal property). Since both are maximal,  $\mathfrak{p}_1 = \mathfrak{q}_j$ . Cancel and continue by induction. ■

### 2.15: Principal Prime Ideal

Suppose  $o$  has only one prime ideal  $\mathfrak{p}$ . Let  $t \in \mathfrak{p}$  and  $t \notin \mathfrak{p}^2$ . Then  $\mathfrak{p} = (t)$  is principal.

**Solution:** Since  $o$  has only one prime ideal  $\mathfrak{p}$ , every non-zero element of  $o$  has a unique factorization as a power of  $\mathfrak{p}$ .

Since  $t \in \mathfrak{p}$  and  $t \notin \mathfrak{p}^2$ , the ideal  $(t)$  must be exactly  $\mathfrak{p}$ .

To see this, suppose  $(t) = \mathfrak{p}^n$  for some  $n \geq 1$ . Since  $t \notin \mathfrak{p}^2$ , we must have  $n = 1$ . Therefore,  $(t) = \mathfrak{p}$ . ■

### 2.16: Localization of Dedekind Ring

Let  $\mathfrak{o}$  be any Dedekind ring. Let  $\mathfrak{p}$  be a prime ideal. Let  $\mathfrak{o}_{\mathfrak{p}}$  be the local ring at  $\mathfrak{p}$ . Then  $\mathfrak{o}_{\mathfrak{p}}$  is Dedekind and has only one prime ideal.

**Solution:** Let  $S = \mathfrak{o} \setminus \mathfrak{p}$ . Then  $\mathfrak{o}_{\mathfrak{p}} = S^{-1}\mathfrak{o}$ .

Since  $\mathfrak{o}$  is Noetherian,  $\mathfrak{o}_{\mathfrak{p}}$  is Noetherian. Since  $\mathfrak{o}$  is integrally closed,  $\mathfrak{o}_{\mathfrak{p}}$  is integrally closed. Since  $\mathfrak{o}$  has Krull dimension 1,  $\mathfrak{o}_{\mathfrak{p}}$  has Krull dimension 1.

Therefore,  $\mathfrak{o}_{\mathfrak{p}}$  is a Dedekind ring.

The unique prime ideal of  $\mathfrak{o}_{\mathfrak{p}}$  is  $\mathfrak{p}\mathfrak{o}_{\mathfrak{p}} = \{a/s : a \in \mathfrak{p}, s \notin \mathfrak{p}\}$ . This follows from the fact that localization preserves the prime ideal structure, and in a local ring, the unique maximal ideal is the only prime ideal. ■

### 2.17: Divisibility in Dedekind Rings

As for the integers, we say that  $\mathfrak{a}|\mathfrak{b}$  ( $\mathfrak{a}$  divides  $\mathfrak{b}$ ) if there exists an ideal  $\mathfrak{c}$  such that  $\mathfrak{b} = \mathfrak{a}\mathfrak{c}$ . Prove:

- (a)  $\mathfrak{a}|\mathfrak{b}$  if and only if  $\mathfrak{b} \subseteq \mathfrak{a}$ .
- (b) Let  $\mathfrak{a}, \mathfrak{b}$  be ideals. Then  $\mathfrak{a} + \mathfrak{b}$  is their greatest common divisor. In particular,  $\mathfrak{a}, \mathfrak{b}$  are relatively prime if and only if  $\mathfrak{a} + \mathfrak{b} = \mathfrak{o}$ .

**Solution:**

- (a) If  $\mathfrak{a}|\mathfrak{b}$ , then  $\mathfrak{b} = \mathfrak{a}\mathfrak{c}$  for some ideal  $\mathfrak{c}$ . Since  $\mathfrak{a}\mathfrak{c} \subseteq \mathfrak{a}$ , we have  $\mathfrak{b} \subseteq \mathfrak{a}$ .

Conversely, if  $\mathfrak{b} \subseteq \mathfrak{a}$ , then there exists a fractional ideal  $\mathfrak{c}$  such that  $\mathfrak{a}\mathfrak{c} = \mathfrak{b}$ . Then  $\mathfrak{b} = \mathfrak{b}\mathfrak{o} = \mathfrak{b}(\mathfrak{a}\mathfrak{c}) = \mathfrak{a}(\mathfrak{b}\mathfrak{c})$ . Since  $\mathfrak{b}\mathfrak{c}$  is an ideal, we have  $\mathfrak{a}|\mathfrak{b}$ .

- (b) We need to show that  $\mathfrak{a} + \mathfrak{b}$  is the smallest ideal containing both  $\mathfrak{a}$  and  $\mathfrak{b}$ .

Clearly,  $\mathfrak{a} + \mathfrak{b}$  contains both  $\mathfrak{a}$  and  $\mathfrak{b}$ . If  $\mathfrak{c}$  is any ideal containing both  $\mathfrak{a}$  and  $\mathfrak{b}$ , then  $\mathfrak{a} + \mathfrak{b} \subseteq \mathfrak{c}$ .

Therefore,  $\mathfrak{a} + \mathfrak{b}$  is the greatest common divisor of  $\mathfrak{a}$  and  $\mathfrak{b}$ .

Two ideals are relatively prime if their greatest common divisor is  $o$ . By the above, this means  $\mathfrak{a} + \mathfrak{b} = o$ . ■

### 2.18: Prime Ideals are Maximal

Every prime ideal  $\mathfrak{p}$  is maximal. (Remember,  $\mathfrak{p} \neq 0$  by convention.) In particular, if  $\mathfrak{p}_1, \dots, \mathfrak{p}_n$  are distinct primes, then the Chinese remainder theorem applies to their powers  $\mathfrak{p}_1^{r_1}, \dots, \mathfrak{p}_n^{r_n}$ . Use this to prove:

**Solution:** Since  $o$  is a Dedekind ring, it has Krull dimension 1. This means that every non-zero prime ideal is maximal.

To see this, let  $\mathfrak{p}$  be a non-zero prime ideal. If  $\mathfrak{p}$  is not maximal, then there exists a maximal ideal  $\mathfrak{m}$  such that  $\mathfrak{p} \subset \mathfrak{m}$ . But this would create a chain of prime ideals  $(0) \subset \mathfrak{p} \subset \mathfrak{m}$ , contradicting the fact that the Krull dimension is 1.

Since prime ideals are maximal, distinct prime ideals  $\mathfrak{p}_1, \dots, \mathfrak{p}_n$  are pairwise coprime. Therefore, the Chinese remainder theorem applies to their powers  $\mathfrak{p}_1^{r_1}, \dots, \mathfrak{p}_n^{r_n}$ .

This means that the natural map  $o \rightarrow o/\mathfrak{p}_1^{r_1} \times \dots \times o/\mathfrak{p}_n^{r_n}$  is surjective. ■

### 2.19: Ideal Class Representatives

Let  $\mathfrak{a}, \mathfrak{b}$  be ideals. Show that there exists an element  $c \in K$  (the quotient field of  $o$ ) such that  $c\mathfrak{a}$  is an ideal relatively prime to  $\mathfrak{b}$ . In particular, every ideal class in  $\text{Pic}(o)$  contains representative ideals prime to a given ideal. For a continuation, see Exercise 7 of Chapter VII; Chapter III, Exercise 11-13.

**Solution:** Let  $\mathfrak{a} = \mathfrak{p}_1^{e_1} \dots \mathfrak{p}_r^{e_r}$  and  $\mathfrak{b} = \mathfrak{q}_1^{f_1} \dots \mathfrak{q}_s^{f_s}$  be the prime factorizations of  $\mathfrak{a}$  and  $\mathfrak{b}$ .

We need to find  $c \in K$  such that  $ca$  is relatively prime to  $\mathfrak{b}$ . This means that  $ca$  should not share any prime factors with  $\mathfrak{b}$ .

Let  $c = \prod_{i=1}^r \mathfrak{p}_i^{-e_i}$ . Then  $ca = o$ , which is relatively prime to any ideal.

However, this  $c$  might not be in  $K$  in the sense that  $ca$  might not be an ideal. Instead, we can choose  $c$  to be a product of elements from the prime ideals that appear in  $\mathfrak{a}$  but not in  $\mathfrak{b}$ .

More precisely, let  $S = \{\mathfrak{p}_1, \dots, \mathfrak{p}_r\} \setminus \{\mathfrak{q}_1, \dots, \mathfrak{q}_s\}$  be the set of prime ideals that appear in  $\mathfrak{a}$  but not in  $\mathfrak{b}$ .

For each  $\mathfrak{p} \in S$ , choose an element  $t_{\mathfrak{p}} \in \mathfrak{p} \setminus \mathfrak{p}^2$ . Let  $c = \prod_{\mathfrak{p} \in S} t_{\mathfrak{p}}^{e_{\mathfrak{p}}}$  where  $e_{\mathfrak{p}}$  is the exponent of  $\mathfrak{p}$  in the factorization of  $\mathfrak{a}$ .

Then  $ca$  will have the same prime factors as  $\mathfrak{a}$  except for those in  $S$ , which means it will be relatively prime to  $\mathfrak{b}$ .

This shows that every ideal class in  $\text{Pic}(o)$  contains representative ideals prime to a given ideal.