InnoDB: Space Management

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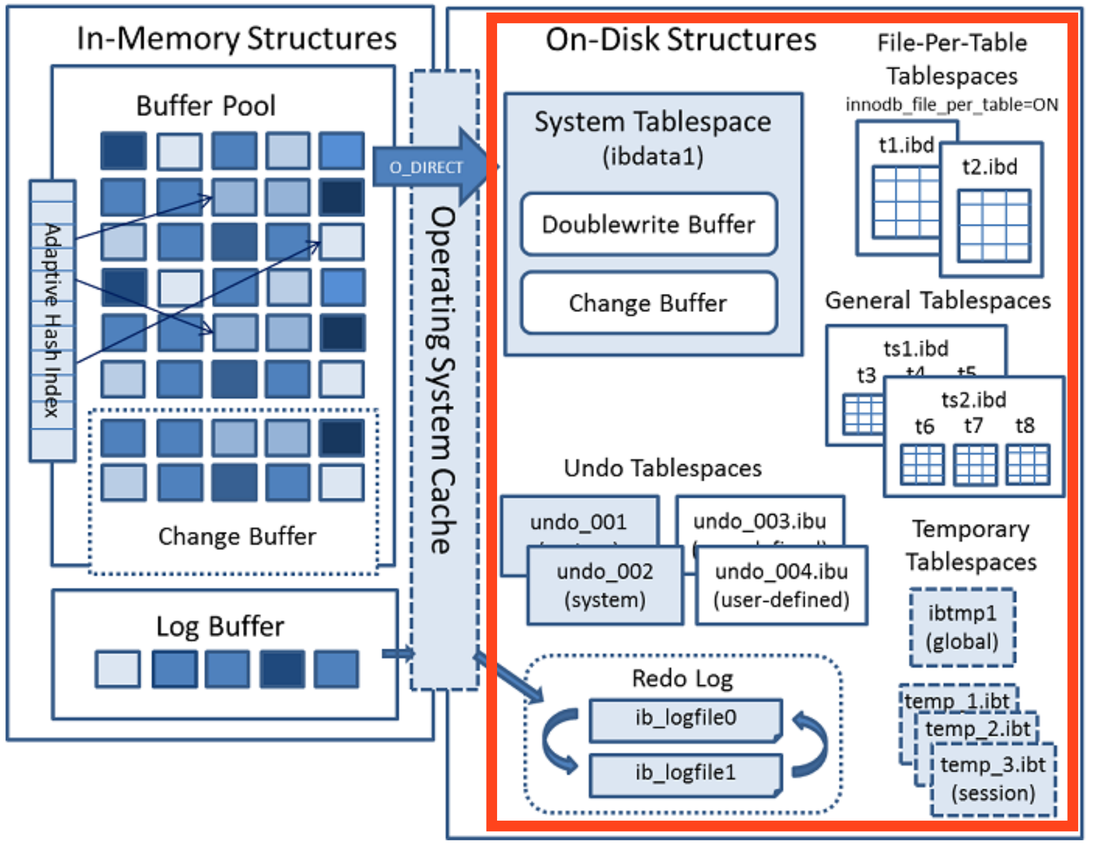
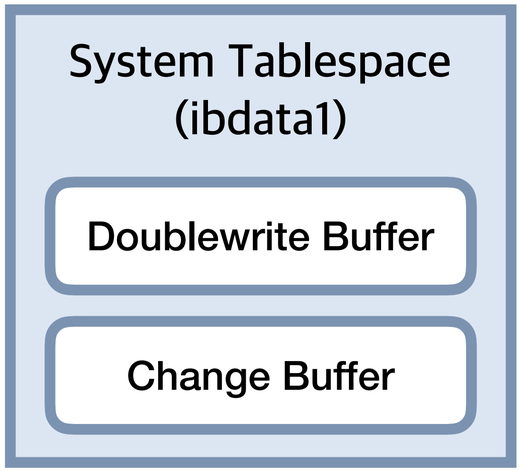
Five Types of Tablespaces

- The storage area for the doublewrite buffer and the change buffer (`ibdata1`)
  - **System Tablespace**
- The storage area for the user defined table and index data (`.ibd`)
  - **File-Per-Table Tablespace**
  - **General Tablespace**
- The storage area for undo logs (`undo_001`)
  - **Undo Tablespace**
- The storage area for user/optimizer-created temporary tables and rollback segments for them (`.ibt, ibtmp1`)
  - **Temporary Tablespace**



System Tablespace (`ibdata1`)

- `innodb_file_per_table = OFF`
- The storage area for the **doublewrite buffer** and the **change buffer**
- The files are **logically concatenated** and there is no striping in use
  - You cannot define where within the system tablespace your tables are allocated
- Truncating or dropping a table only frees space and it can be **reused** for InnoDB data (i.e., not returned to the OS, as system tablespace data files never shrink)

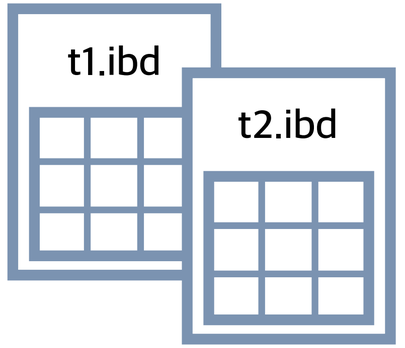


File-Per-Table Tablespace (`.ibd`)

- `innodb_file_per_table = ON` (default)
- Each newly created table is stored in a **separate** tablespace file with a extension `.ibd`
  - There is less fragmentation within the disk file
  - **The data of a single table and its indexes reside in one `.ibd` file**
- When a table is truncated or dropped, the freed space is **returned** to the OS

- **This slides will focus on the space management of file-per-tablespace**

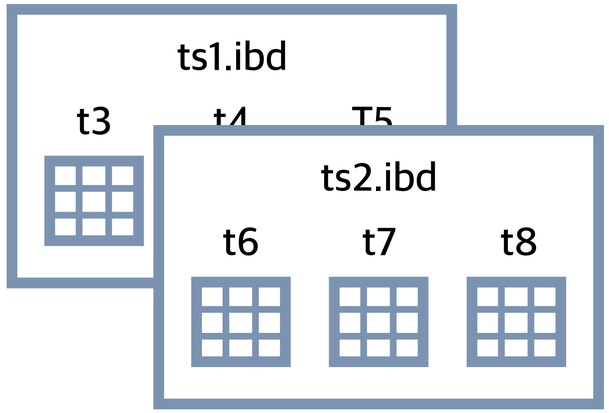
`innodb_file_per_table=ON`



General Tablespace (`.ibd`)

- **Shared** tablespaces created using `CREATE TABLESPACE` syntax
  - **The data from different tables and their indexes reside in one `.ibd` file**
- They can be created outside of the MySQL data directory
  - Capable of holding multiple tables
  - Support tables of all row formats

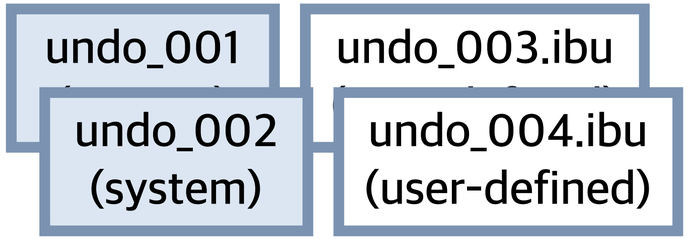
```
CREATE TABLESPACE tablespace_name
    [ADD DATAFILE 'file_name']
    [FILE_BLOCK_SIZE = value]
    [ENGINE [=] engine_name]
```



Undo Tablespace (`undo_00x`)

- **Two** default undo tablespaces are created when the MySQL instance is initialized
- Since MySQL 8.0.14, additional undo table spaces can be created at runtime
- The initial size of an undo tablespace data file depends on the `innodb_page_size` value

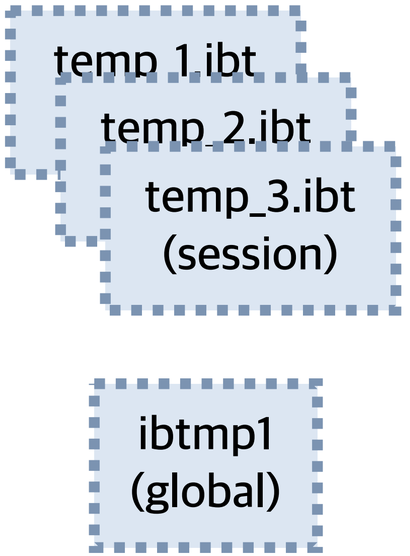
Page Size	Undo Tablespace File Size
4 KB	7 MiB
8 KB	8 MiB
16 KB	**10 MiB**
32 KB	20 MiB
64 KB	40 MiB



Temporary Tablespace (`.ibt, ibtmp1`)

- **Session Temporary Tablespace (`#innodb_temp/temp_x.ibt`)**
  - Store user-created temporary tables and internal temporary tables created by the optimizer
  - A pool of **10** temporary tablespaces (**5 pages in size**) is created when the server is started
  - When a session disconnects, its temporary table spaces are truncated and released back to the pool

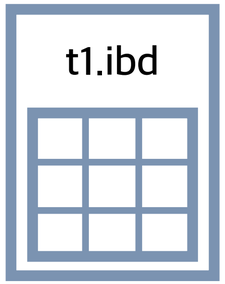
- **Global Temporary Tablespace (`ibtmp1`)**
  - Store rollback segments for changes made to user-created temporary tables
  - The initial file size is slightly larger than **12MB**
  - Removed on normal shutdown and recreated each time the server is started



`.ibd` Files

- Example: Create a table `test.t1`

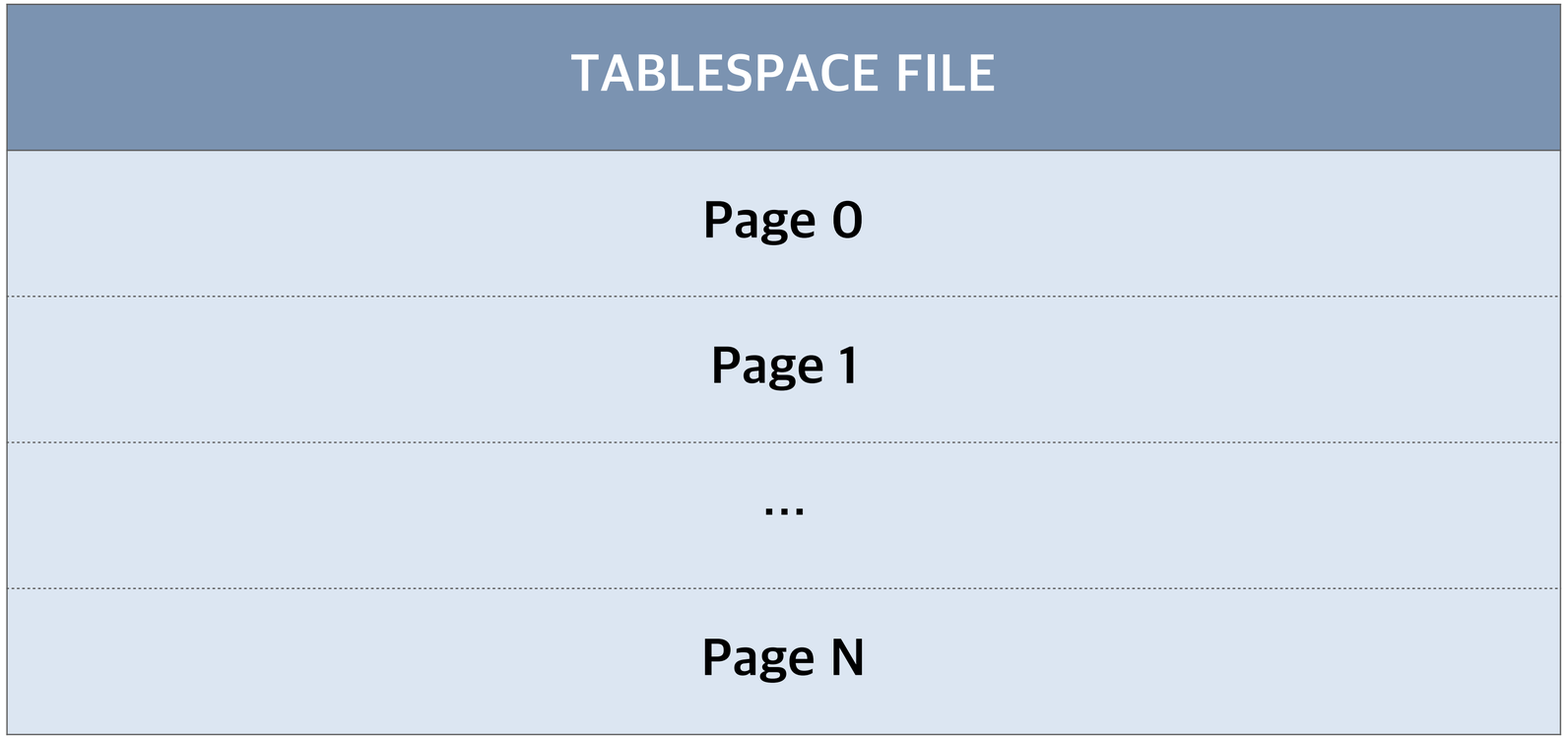
```
CREATE TABLE test.t1 (c INT) engine=InnoDB;

$ cd PATH_TO_DATA_DIR/test
$ ls
t1.ibd
```



- Table and index data related to the table `t1` will reside in the above file
- For a file-per-table tablespace, the tablespace name is the same as that of the file/table name
- Tablespaces are identified with a unique ID (i.e., **tablespace ID**)

Pages

- Each **tablespace** consists of number of **fixed size pages** (default: `innodb_page_size=16KB`)
- There are different type of pages to server different purpose



Extents

- The **pages** are grouped into **extents** of size 1MB for pages up to 16KB in size
  - For a page size of 32KB, extent size is 2MB (for 64KB page, 4MB extent)

TABLESPACE FILE	
Extent 1	**Extent 1 Page 0**
	Extent 1 Page 1
	...
	Extent 1 Page 63
...	**...**
Extent N	**Extent N Page 0**
	Extent N Page 1
	...
	Extent N Page 63

Segments

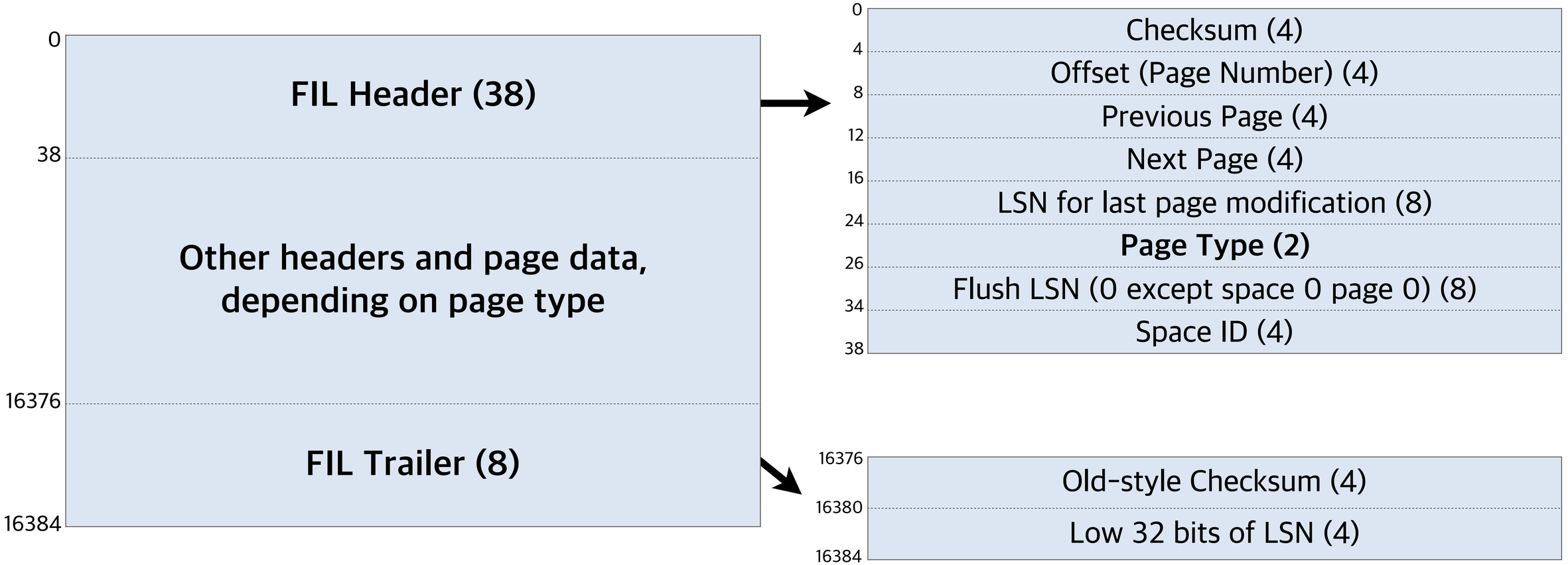
- The **files inside a tablespace** are called **segments** in InnoDB

- Within a **file-per-table tablespace**:
  - Table data: in **one** segment / Index: in its own segment
- The **system tablespace** contains **many different segments**:
  - It can hold many tables and their associated indexes
  - **Rollback segments is separated from the system tablespace since MySQL 8.0**

- When a segment needs more room, it is extended by **one extent (1MB)** at a time:
  - InnoDB can add up to **4 extents** at a time to ensure good **sequentiality** of data

Basic Page Overview

- Every page has a 38-byte FIL (file) header and 8-byte FIL trailer
- **The space ID and page number** is stored in the **header**



Basic Page Overview: FIL Header

- include/fil0types.h

```
#define FIL_PAGE_SPACE_OR_CHKSUM 0
...
#define FIL_PAGE_OFFSET 4
...
#define FIL_PAGE_PREV 8
...
#define FIL_PAGE_NEXT 12
...
#define FIL_PAGE_LSN 16
...
#define FIL_PAGE_TYPE 24
...
#define FIL_PAGE_FILE_FLUSH_LSN 26
...
constexpr ulint FIL_PAGE_ARCH_LOG_NO_OR_SPACE_ID = 34;

/** alias for space id */
#define FIL_PAGE_SPACE_ID FIL_PAGE_ARCH_LOG_NO_OR_SPACE_ID
...
constexpr ulint FIL_PAGE_DATA = 38;
```

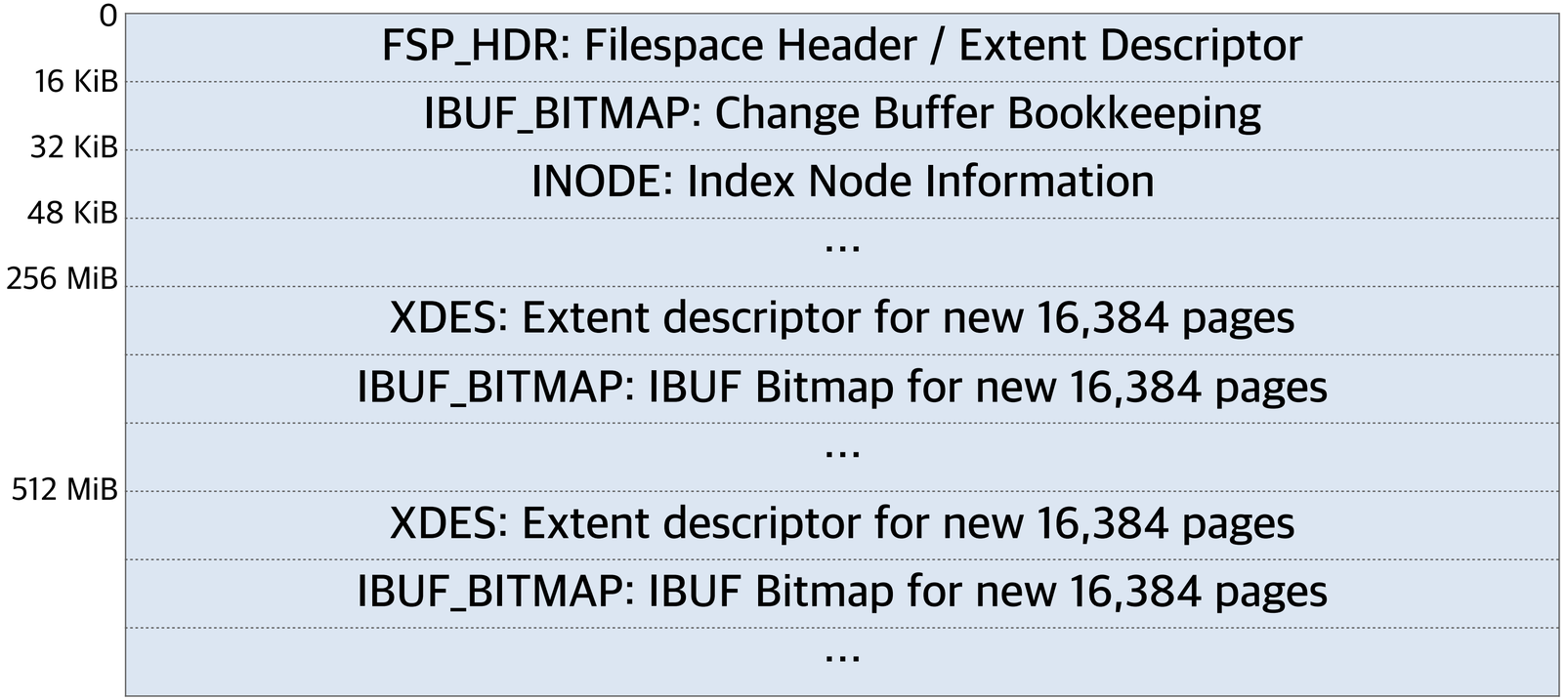
Basic Page Overview: FIL Trailer

- include/fil0types.h

```
/** File page trailer */
/** the low 4 bytes of this are used to store the page checksum, the
last 4 bytes should be identical to the last 4 bytes of FIL_PAGE_LSN */
constexpr ulint FIL_PAGE_END_LSN_OLD_CHKSUM = 8;

/** size of the page trailer */
constexpr ulint FIL_PAGE_DATA_END = 8;
```

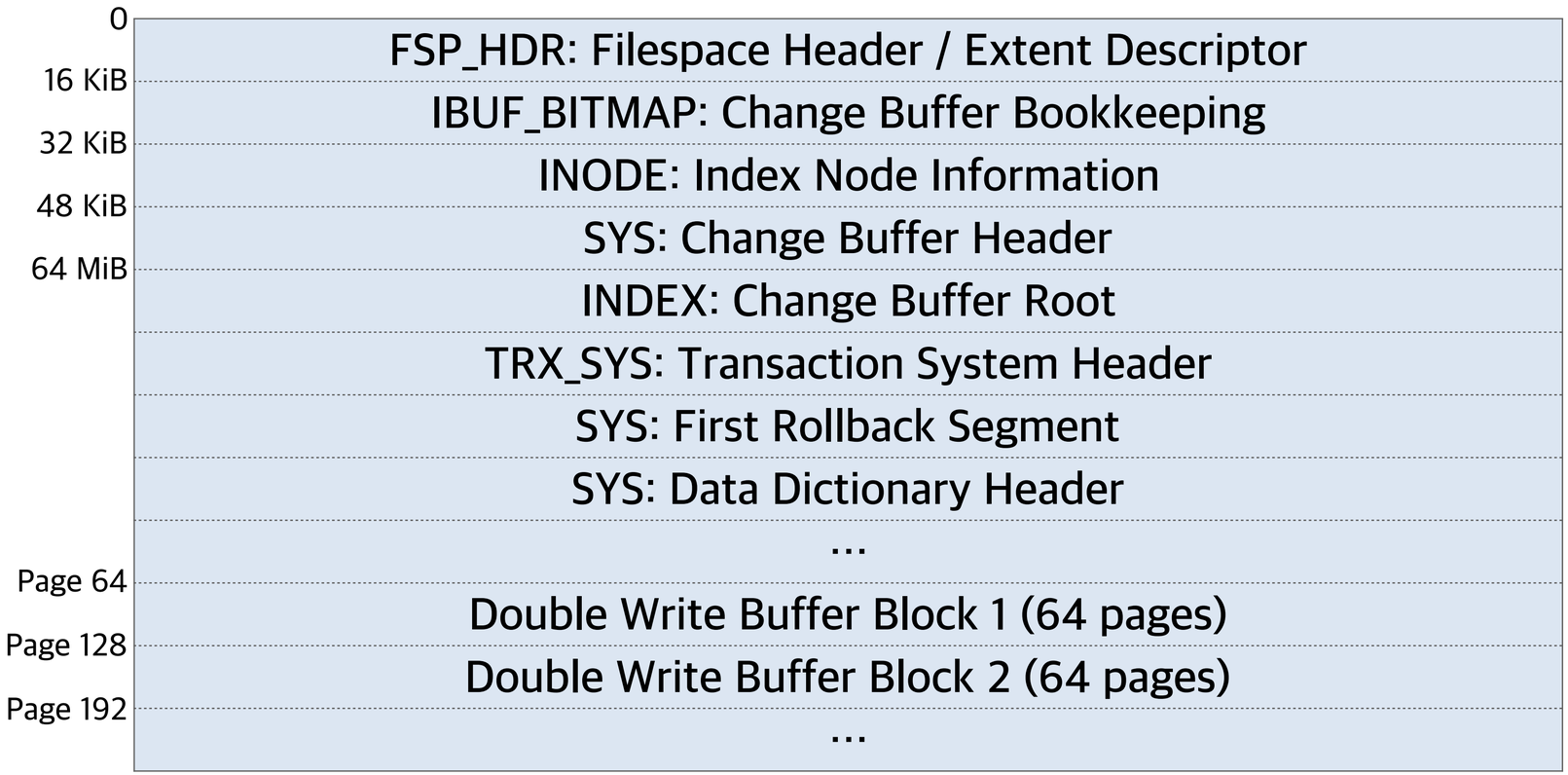
Space File Overview

- A space file is just a concatenation of many pages
- The first page (**page 0**) in a space is ALWAYS an **FSP_HDR (file space header) page**
- An FSP_HDR page only store bookkeeping info for 256 extents (= 16,384 pages = 256 MiB)
  - Additional space must be reserved for bookkeeping info in the form of an **XDES page**



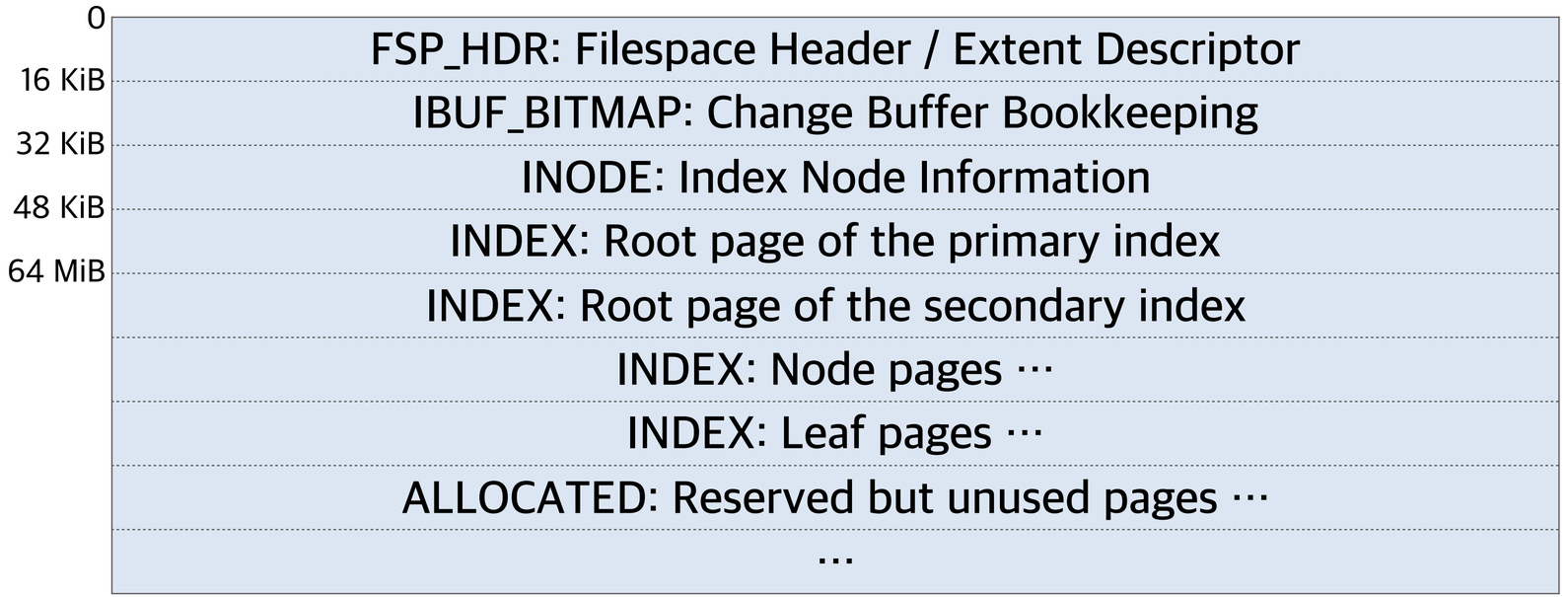
`ibdata1` File Overview

- **The system tablesapce (space 0)** is special in InnoDB
  - To store a wide range of information critical to InnoDB's operation



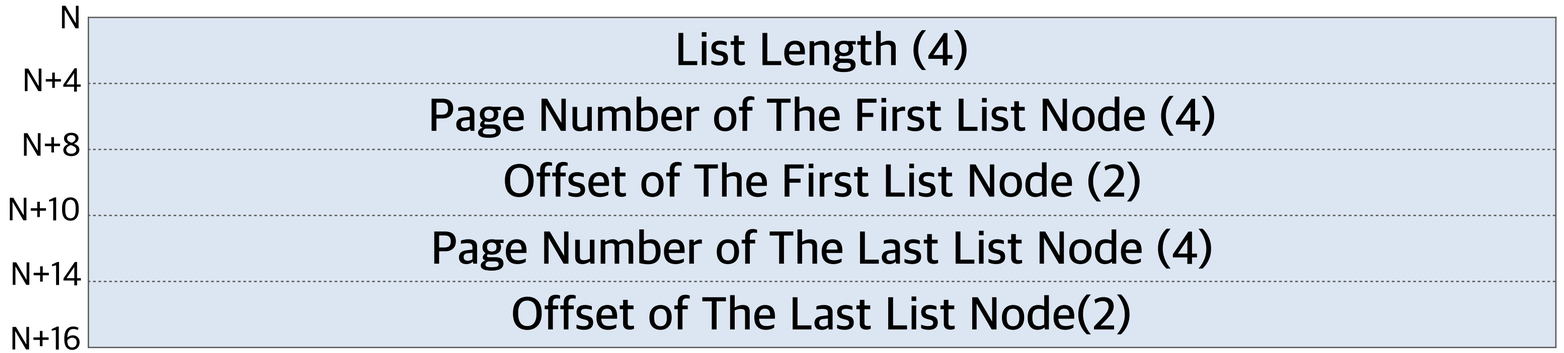
`.ibd` File Overview

- **File-Per-Table** tablespace
  - The `.ibd` file created for each table has the typical space file structure



List Base Node

- Lists are fairly generic structure that allows linking multiple related structures together
- The base node is stored only once in some high level structure (e.g., FSP header)



- include/fut0lst.h

```
typedef byte flst_base_node_t;

/* The physical size of a list base node in bytes */
constexpr ulint FLST_BASE_NODE_SIZE = 4 + 2 * FIL_ADDR_SIZE;
```

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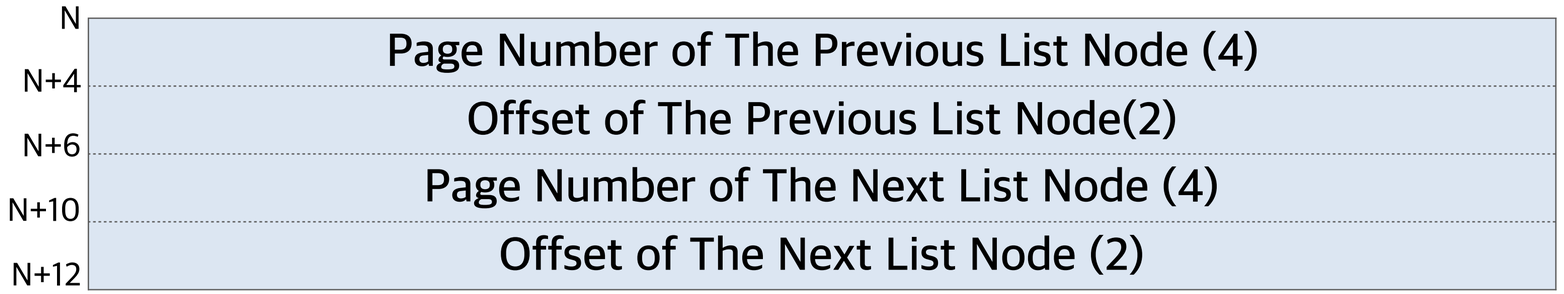
- include/fut0lst.ic

```
#define FLST_LEN 0 /* 32-bit list length field */
#define FLST_FIRST 4 /* 6-byte address of the first element of the list */
#define FLST_LAST (4 + FIL_ADDR_SIZE) /* 6-byte address of the last element of the list */
```

List Node

- The list node stores previous and next pointers



- include/fut0lst.h

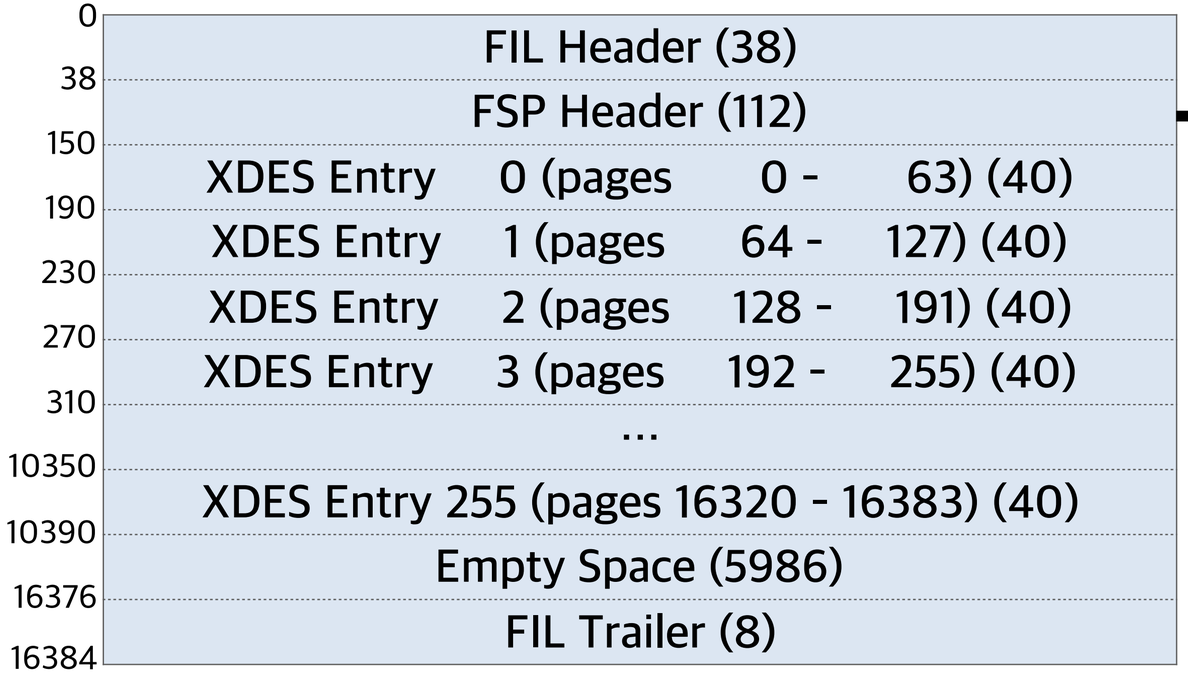
```
typedef byte flst_node_t;

/* The physical size of a list node in bytes */
constexpr ulint FLST_NODE_SIZE = 2 * FIL_ADDR_SIZE;
```

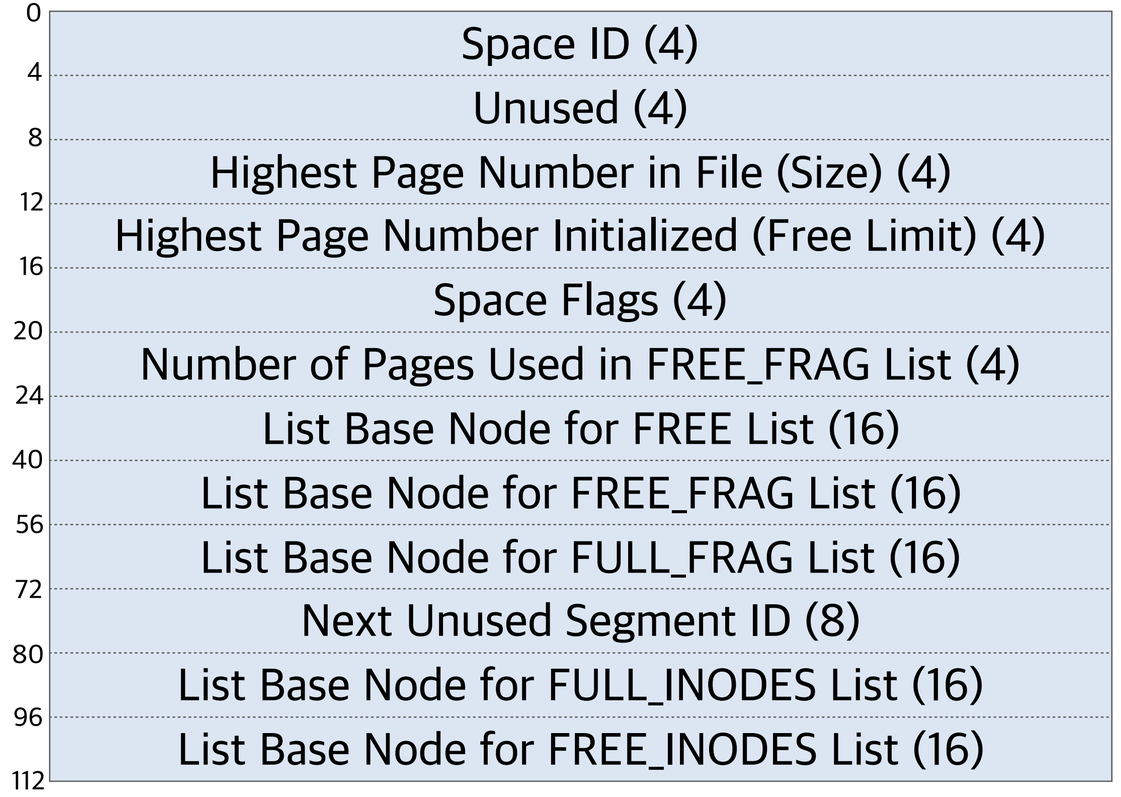
- include/fut0lst.ic

```
#define FLST_PREV 0 /* 6-byte address of the previous list element */
#define FLST_NEXT FIL_ADDR_SIZE /* 6-byte address of the next */
```

Header Page

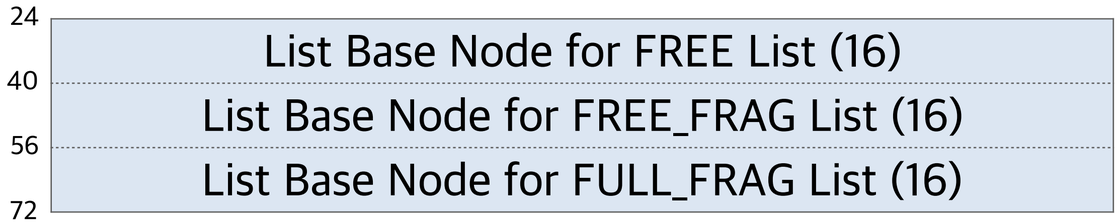
- There is no separate storage for metadata information of tablespace
- It is stored in the same file in header page (**always page 0**)





Types of List Base node in FSP Header

- `FREE List`
  - Base node pointer of the linked-list of extents which are **free** to be allocated
  - An extent from this list could be allocated to a File Segment or `FREE_FRAG List`
- `FREE_FRAG List`
  - Base node pointer of the linked-list of extents which have **at least one free page** to be allocated
- `FULL_FRAG List`
  - Base node pointer of the linked-list of extents which have **no free page** left to be allocated



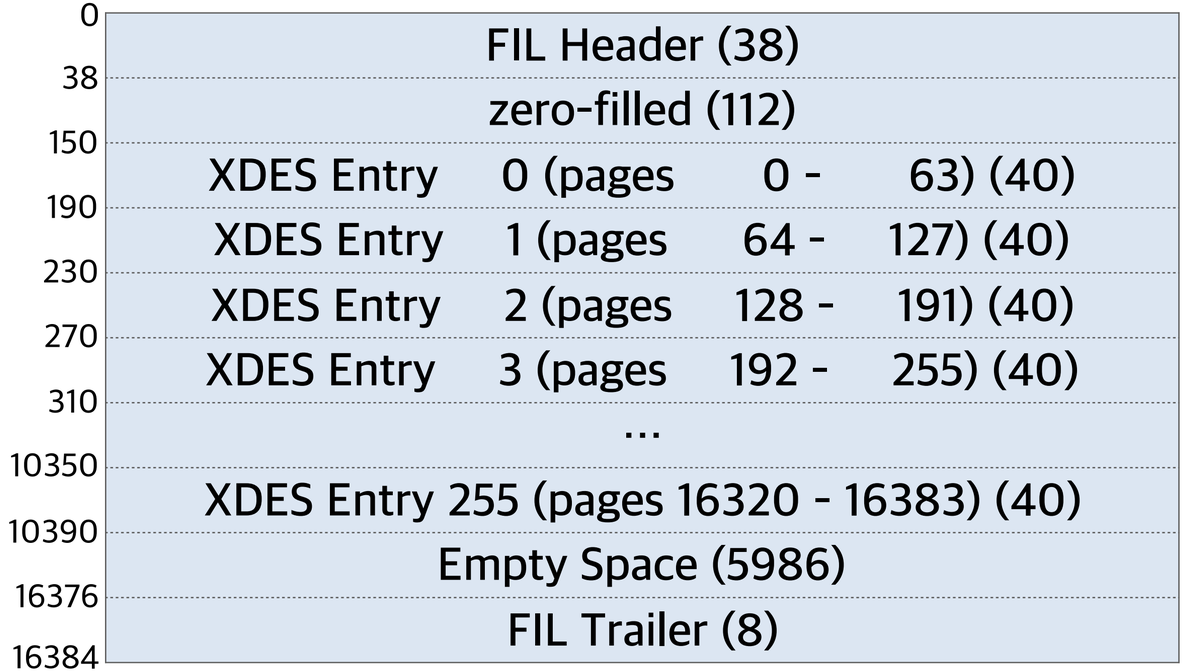
FSP Header

- include/fsp0fsp.h

```
/** Offset of the space header within a file page */
#define FSP_HEADER_OFFSET FIL_PAGE_DAT
...
#define FSP_SPACE_ID 0
#define FSP_NOT_USED 4
#define FSP_SIZE 8
#define FSP_FREE_LIMIT 12
#define FSP_SPACE_FLAGS 16
#define FSP_FRAG_N_USED 20
#define FSP_FREE 24
#define FSP_FREE_FRAG (24 + FLST_BASE_NODE_SIZE)
#define FSP_FULL_FRAG (24 + 2 * FLST_BASE_NODE_SIZE)
#define FSP_SEG_ID (24 + 3 * FLST_BASE_NODE_SIZE)
#define FSP_SEG_INODES_FULL (32 + 3 * FLST_BASE_NODE_SIZE)
#define FSP_SEG_INODES_FREE (32 + 4 * FLST_BASE_NODE_SIZE)
...
/* File space header size */
#define FSP_HEADER_SIZE (32 + 5 * FLST_BASE_NODE_SIZE)
...
/** Offset of the descriptor array on a descriptor page */
#define XDES_ARR_OFFSET (FSP_HEADER_OFFSET + FSP_HEADER_SIZE)
```

XDES Page

- XDES pages store **metadata information related to pages which belongs to an Extent**
- Once the number of total extents is greater than what an XDES page can track:
  - a new XDES page is allocated which will be used to track the next set of extents
- **NOTE: For first set of extents, header page is used to store XDES entries**



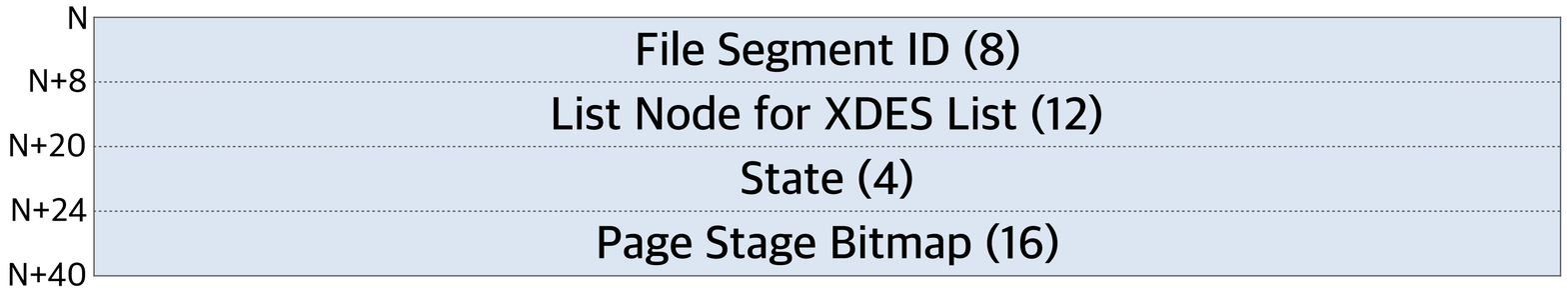
Pages Covered by One XDES Page

- For ease of implementation, **number of pages covered by one XDES page entries is equal to page size**

Page Size	Extent Size	Pages in An Extent	XDES Entry Size	Pages Covered in An XDES Page	XDES Entries in An XDES Page
4 K	1 M	256	88 B	4096 (4K)	16
8 K	1 M	128	56 B	8192 (8K)	64
16 K	1 M	64	40 B	16384 (16K)	256
32 K	2 M	64	40 B	32768 (32K)	512
64 K	4 M	64	40 B	65536 (64K)	1024

XDES Entry

- To keep track of which extents are in use, and which pages within each extent are in use
  - **List Node for XDES List**: Pointers to previous and next extents in a doubly-linked extent descriptor list
  - **State**: The current state of the extent (FREE, FREE_FRAG, FULL_FRAG, FSEG)
  - **Page State Bitmap**: A bitmap of 2 bits per page in the extent (64 * 2 = 128 bits = 16 bytes)
    - The first bit indicates whether the page is free
    - The second bit indicates whether the page is clean, but this bit is currently not used



XDES Entry

- include/fsp0fsp.h

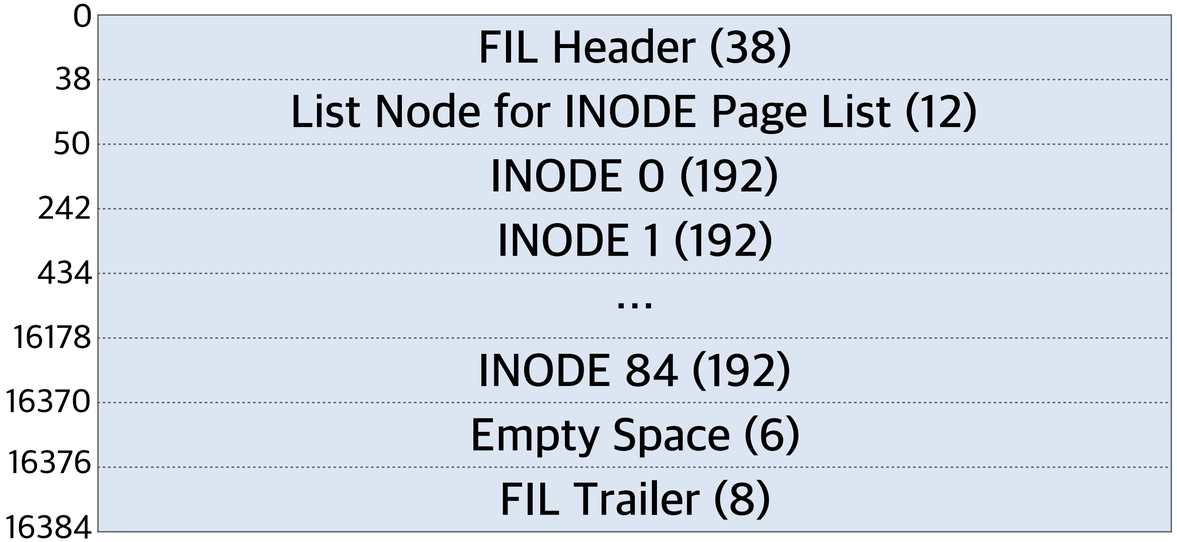
```
/*--------------------------------------*/
#define XDES_ID                         \
  0 /* The identifier of the segment \
    to which this extent belongs */
#define XDES_FLST_NODE                  \
  8 /* The list node data structure \
    for the descriptors */
#define XDES_STATE (FLST_NODE_SIZE + 8)
/* contains state information
of the extent */
#define XDES_BITMAP (FLST_NODE_SIZE + 12)
/* Descriptor bitmap of the pages
in the extent */
/*--------------------------------------*/

#define XDES_BITS_PER_PAGE 2 /* How many bits are there per page */
#define XDES_FREE_BIT                    \
  0 /* Index of the bit which tells if \
    the page is free */
#define XDES_CLEAN_BIT                  \
  1 /* NOTE: currently not used!     \
    Index of the bit which tells if  \
    there are old versions of tuples \
    on the page */
```

Three Types of State

- FREE_FRAG
  - **Extents with free pages** remaining that are allocated to be **used in "fragments**", having Individual pages allocated to different purposes rather than allocating the entire extent
- FULL_FRAG
  - Exactly like FREE_FRAG but for extents with **no free pages remaining**
  - Extents are moved from FREE_FRAG to FULL_FRAG when they become full, and moved back to FREE_FRAG if a page is released so that they are no longer full
- FREE
  - Extents that are **completely unused** and available to be allocated in whole to some purpose
  - A FREE extent could be allocated to a file segment or moved to the FREE_FRAG list for individual page use

INODE Page Overview

- An **INODE** entry in InnoDB merely **describes a file segment (FSEG)**
- Each INODE page contains 85 file segments INODE entries, each of which are 192 bytes
  - Also, the page contain a list node which is used in the following lists:
    - `FREE_INODES`: A list of INODE pages which have at least one free file segment INODE entry
    - `FULL_INODES`: A list of INODE pages which have zero free file segment INODE entries



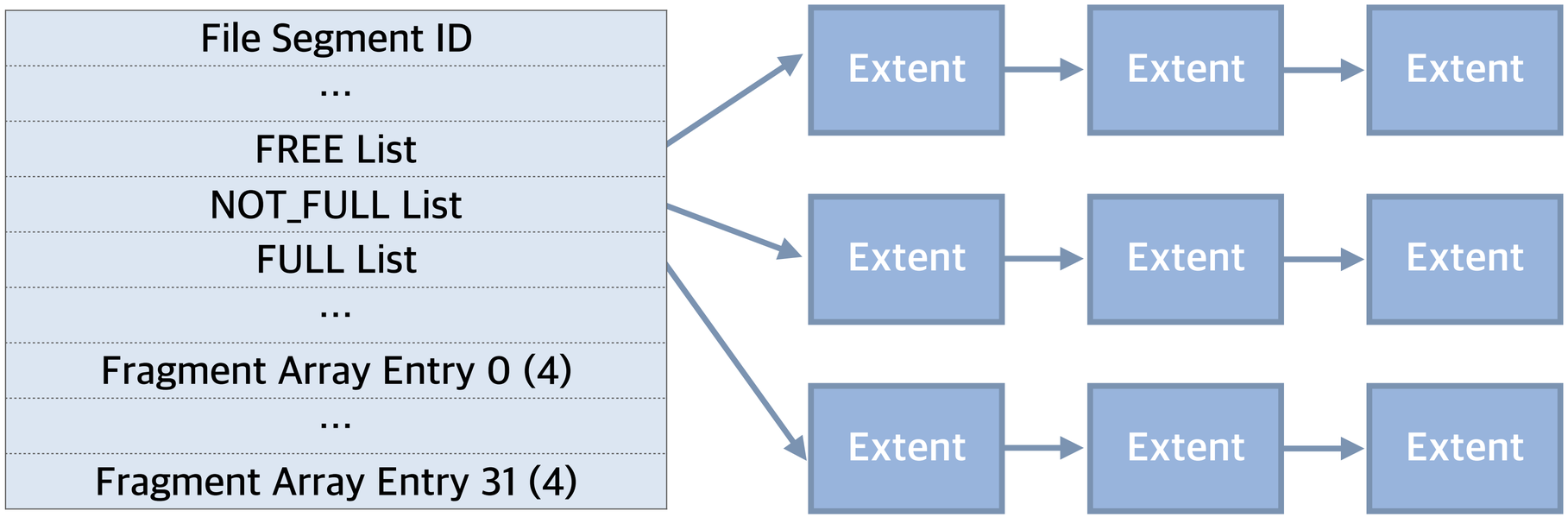
INODE Page Overview

- include/fsp0fsp.h

```
/*          FILE SEGMENT INODE
==================
Segment inode which is created for each segment in a tablespace. NOTE: in
purge we assume that a segment having only one currently used page can be
freed in a few steps, so that the freeing cannot fill the file buffer with
bufferfixed file pages. */

typedef byte fseg_inode_t;

#define FSEG_INODE_PAGE_NODE FSEG_PAGE_DATA
/* the list node for linking
segment inode pages */

#define FSEG_ARR_OFFSET (FSEG_PAGE_DATA + FLST_NODE_SIZE)
```

File Segment

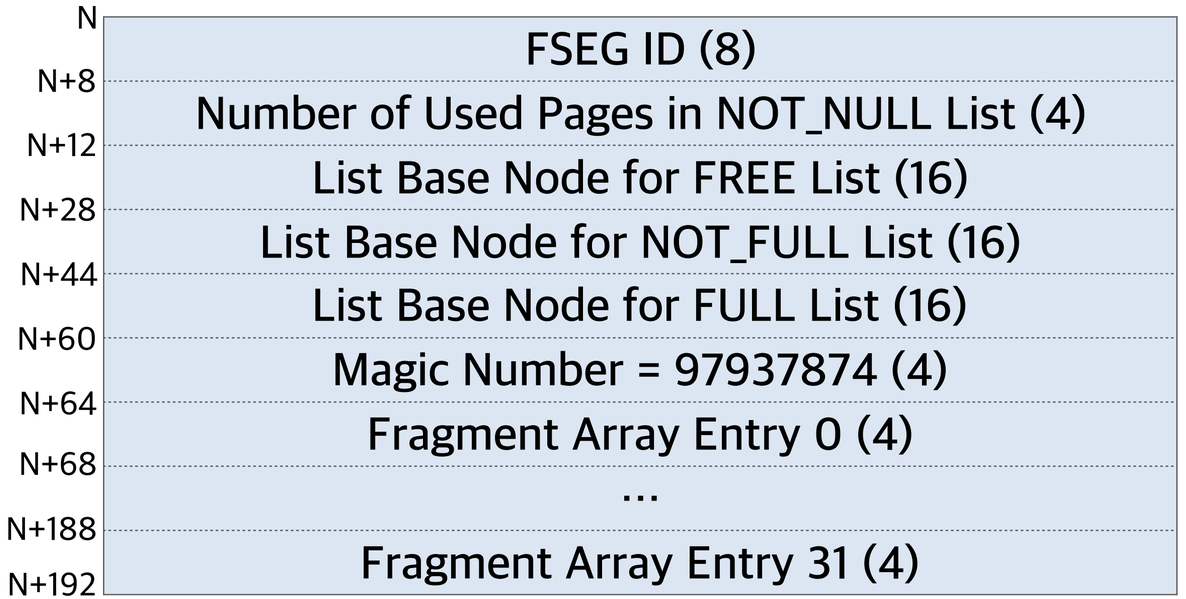
- A logical unit which is a collection of pages and extents:



- File segment makes the page management easy
  - Once we delete the file segment, we know which all extents and pages are to be freed

INODE Entry

- **File Segment ID**: The ID of the file segment (FSEG) described by this file segment INODE entry
- **Magic Number**: A marker that this file segment INODE entry has been properly initialized
- **Fragment Array**: An array of 32 page numbers of pages allocated individually from extents in the space's FREE_FRAG or FULL_FRAG list of "fragment" extents



INODE Entry

- include/fsp0fsp.h

```
#define FSEG_ID 0 /* 8 bytes of segment id */
#define FSEG_NOT_FULL_N_USED 8 /* number of used segment pages in the FSEG_NOT_FULL list */
#define FSEG_FREE 12 /* list of free extents of this segment */
#define FSEG_NOT_FULL (12 + FLST_BASE_NODE_SIZE) /* list of partially free extents */
#define FSEG_FULL (12 + 2 * FLST_BASE_NODE_SIZE) /* list of full extents */
#define FSEG_MAGIC_N (12 + 3 * FLST_BASE_NODE_SIZE) /* magic number used in debugging */
#define FSEG_FRAG_ARR (16 + 3 * FLST_BASE_NODE_SIZE) /* array of individual pages belonging to this
segment in fsp fragment extent lists */
#define FSEG_FRAG_ARR_N_SLOTS (FSP_EXTENT_SIZE / 2) /* number of slots in the array for the
fragment pages */
#define FSEG_FRAG_SLOT_SIZE 4 /* a fragment page slot contains its page number within space,
FIL_NULL means that the slot is not in use */
...
#define FSEG_MAGIC_N_VALUE 97937874
```

Three Types of List in File Segment

- `FREE`
  - Extents that are **completely unused** and are allocated to this file segment
- `NOT_FULL`
  - Extents **with at least one used page allocated** to this file segment
  - When the last free page is used the extent is moved to `FULL` list
- `FULL`
  - Extents **with no free pages allocated** to this file
  - If a page becomes free, the extent is moved to the `NOT_FULL` list

How Are File Segments Used in Index?

- **Two segments** are allocated for each **index** in InnoDB:
  - **Non leaf page segment**: to store **non-leaf** pages in B tree
  - **Leaf page segment**: to store **leaf** pages in B tree

- On a page, **FSEG HEADER** is the place where these two file segments INODE entries information is stored (detailed later)

Everything Is A Index in InnoDB

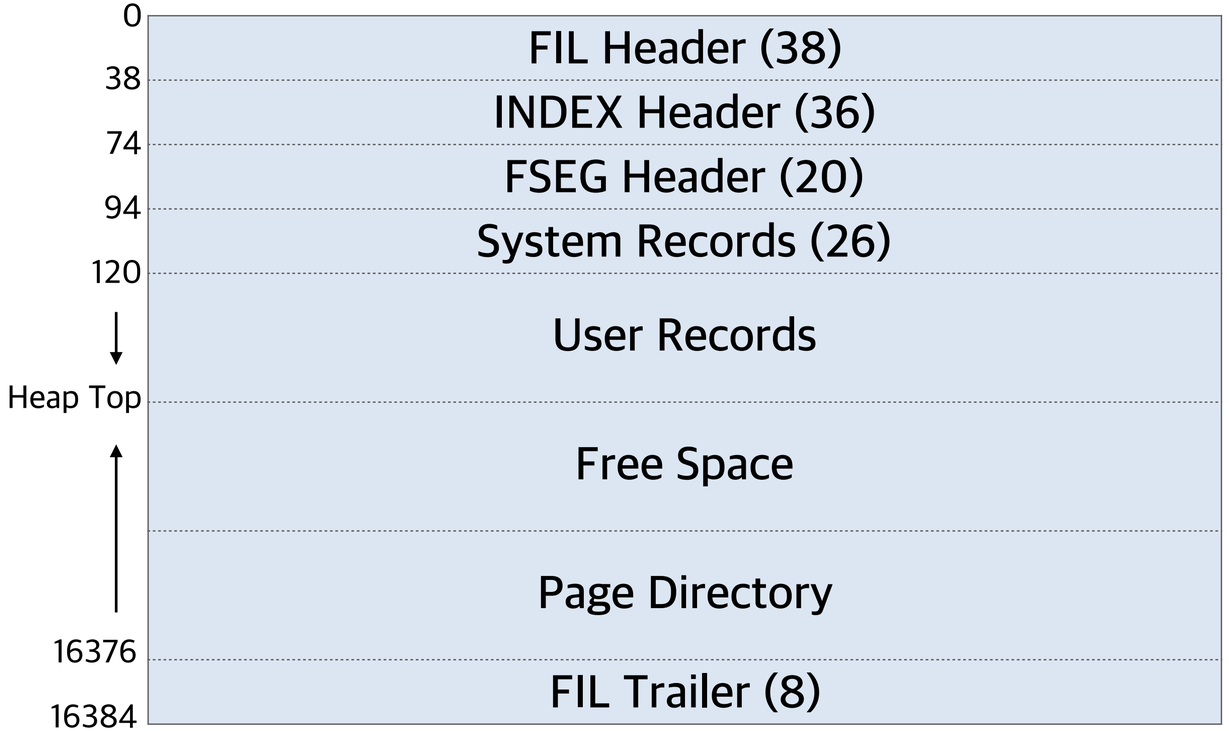
- **Every table has a primary key**
  - If the `CREATE TABLE` dose not specify one, the first **non-NULL unique key** is used
    - If failed, a **48-bit hidden "Row ID"** field is automatically added as a primary key

- **The row data are stored in the `PRIMARY KEY INDEX` structure (= clustered key)**
  - Key: `PRIMARY KEY` fields, Value: the row data

- **Secondary keys are stored in an identical index structure**
  - Key: `KEY` fields, Value: the primary key value

INDEX Page Overview

- **System Record**: InnoDB has two system records in each page, called `infimum` and `supremum`
- **User Record**: The actual data
  - Every record has a variable-length header with a “next record” pointer → Singly-linked list
- **Page Directory**: It contains pointers to some of the records in the page (every 4th to 8th record)



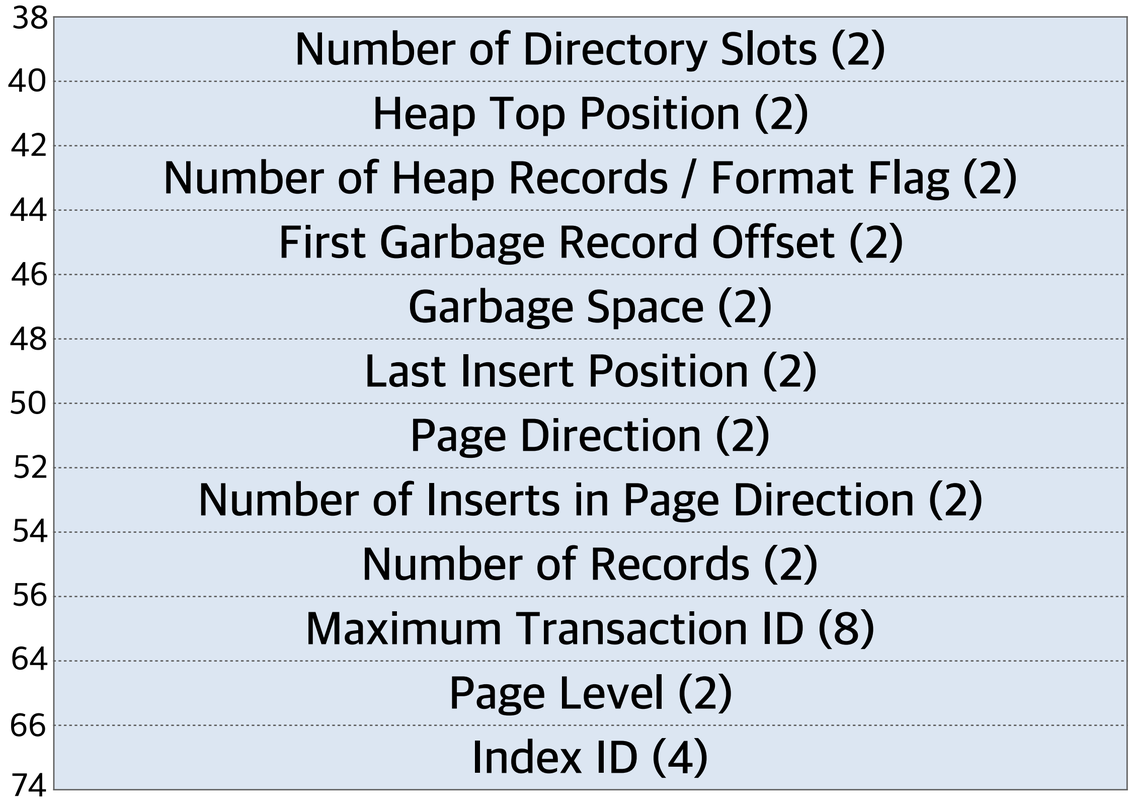
INDEX Page Overview

- include/

```
#define PAGE_DIR FIL_PAGE_DATA_END
...
#define PAGE_EMPTY_DIR_START (PAGE_DIR + 2 * PAGE_DIR_SLOT_SIZE)
```

INDEX Header

- The INDEX header in each INDEX page is **fixed-length**
- It contains many fields related to INDEX pages and record management



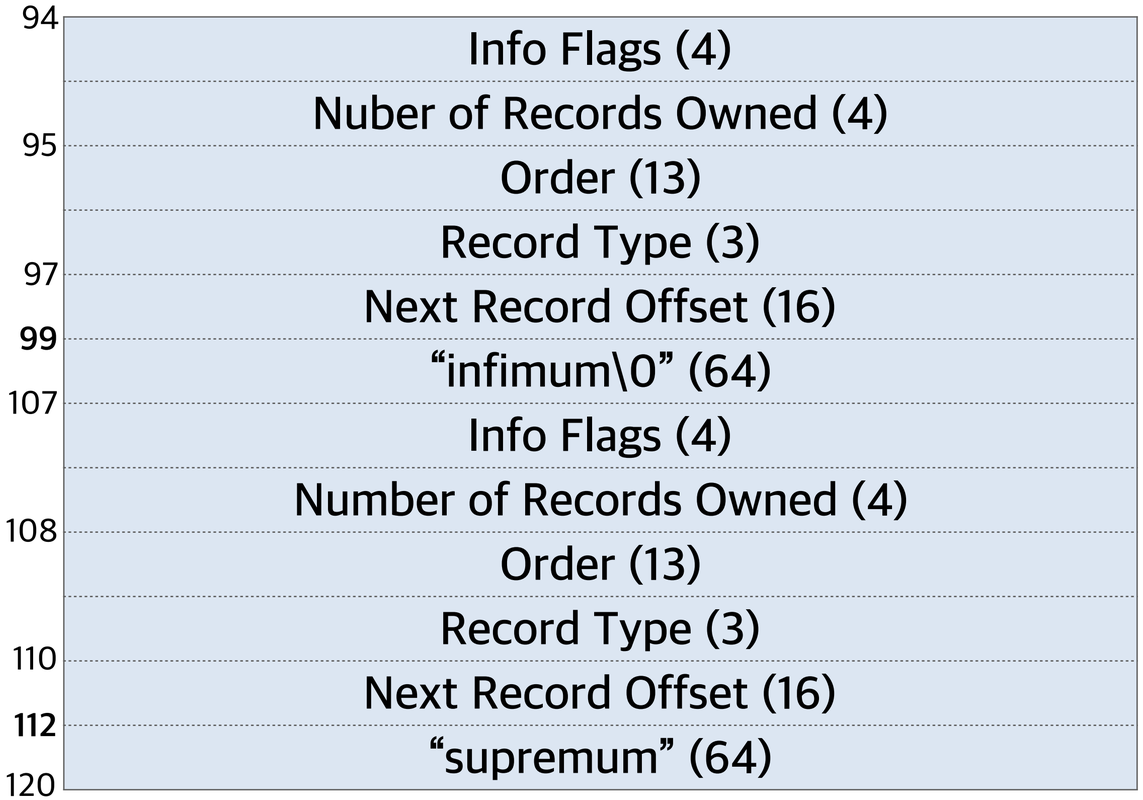
INDEX Header

- include/page0types.h

```
typedef byte page_header_t;

#define PAGE_HEADER FSEG_PAGE_DATA /* index page header starts at this offset */
#define PAGE_N_DIR_SLOTS 0  /* number of slots in page directory */
#define PAGE_HEAP_TOP 2     /* pointer to record heap top */
#define PAGE_N_HEAP 4       /* number of records in the heap */
#define PAGE_FREE 6         /* pointer to start of page free record list */
#define PAGE_GARBAGE 8      /* number of bytes in deleted records */
#define PAGE_LAST_INSERT 10 /* pointer to the last inserted record */
#define PAGE_DIRECTION 12   /* last insert direction: PAGE_LEFT, ... */
#define PAGE_N_DIRECTION 14 /* number of consecutive inserts to the same direction */
#define PAGE_N_RECS 16 /* number of user records on the page */
#define PAGE_MAX_TRX_ID 18 /* highest id of a trx which may have modified a record on the page;
trx_id_t; defined only in secondary indexes and in the insert buffer tree */
...
#define PAGE_LEVEL 26 /* level of the node in an index tree; the leaf level is the level 0.  This
field should not be written to after page creation. */
#define PAGE_INDEX_ID 28 /* index id where the page belongs. This field should not be written to
after page creation. */
```

System Records

- Every INDEX page contains two system records, called `infimum` and `supremum`, at fixed locations (**offset 99 & offset 112**)
- The structure is as follows (in bits):



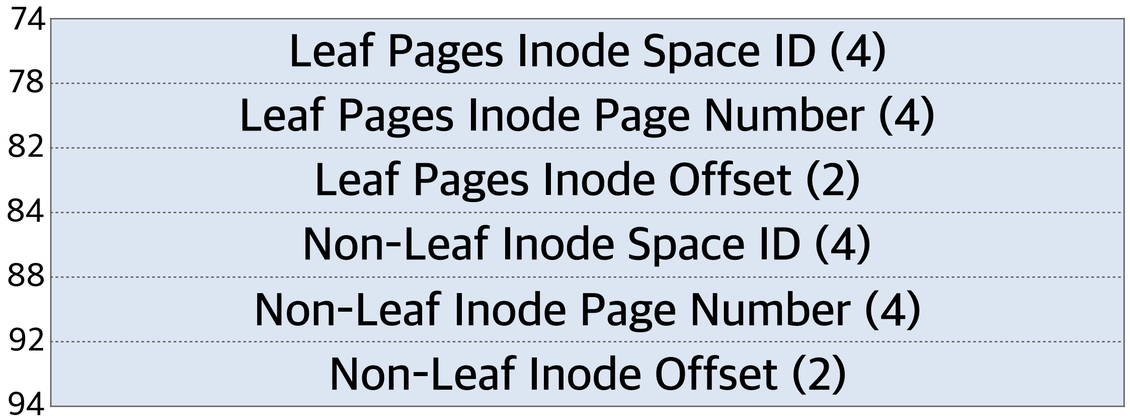
System Records

- include/page0types.h

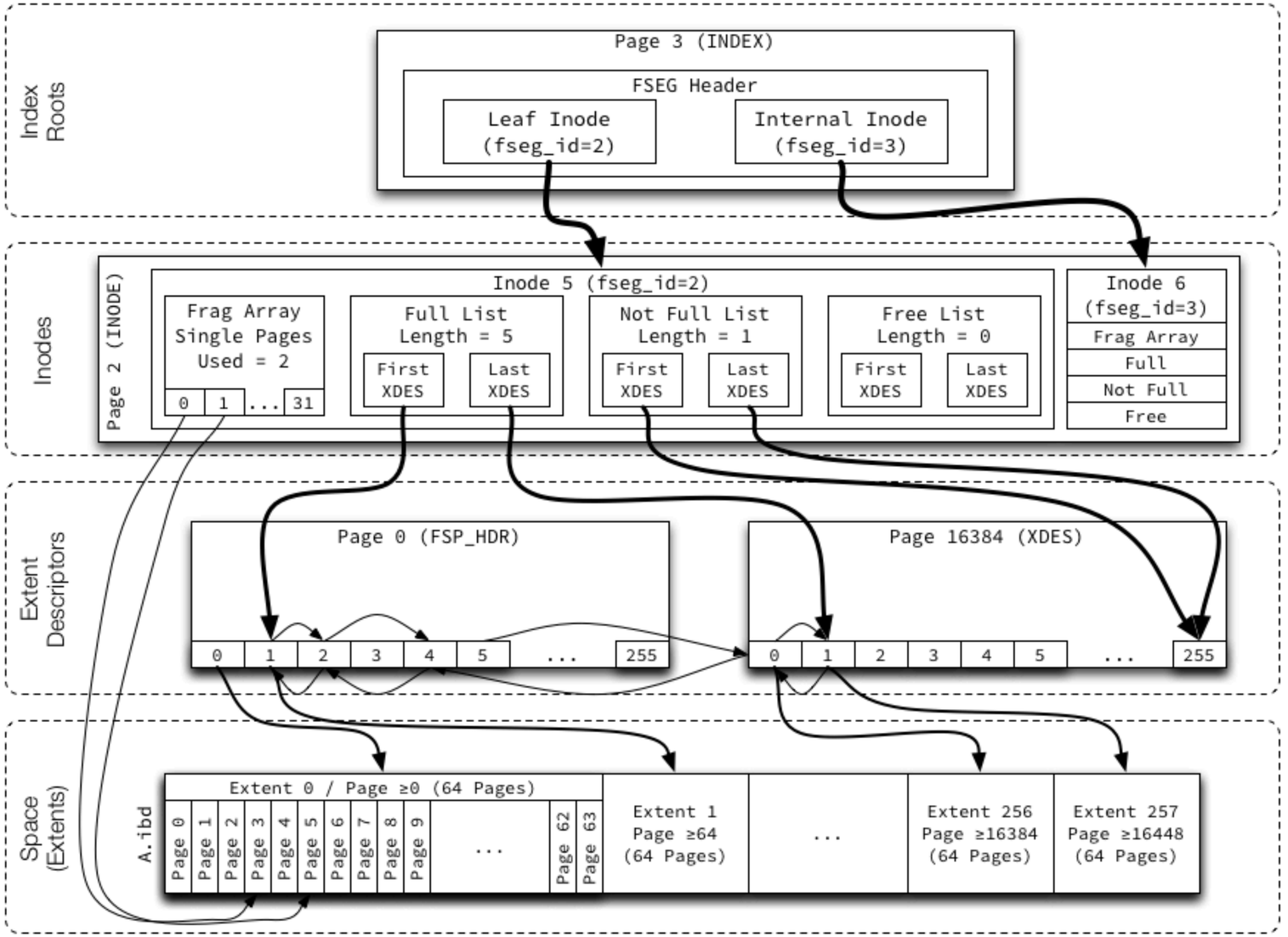
```
#define PAGE_DATA (PAGE_HEADER + 36 + 2 * FSEG_HEADER_SIZE)
/* start of data on the page */

#define PAGE_OLD_INFIMUM (PAGE_DATA + 1 + REC_N_OLD_EXTRA_BYTES)
/* offset of the page infimum record on an old-style page */
#define PAGE_OLD_SUPREMUM (PAGE_DATA + 2 + 2 * REC_N_OLD_EXTRA_BYTES + 8)
/* offset of the page supremum record on an old-style page */
#define PAGE_OLD_SUPREMUM_END (PAGE_OLD_SUPREMUM + 9)
/* offset of the page supremum record end on an old-style page */
#define PAGE_NEW_INFIMUM (PAGE_DATA + REC_N_NEW_EXTRA_BYTES)
/* offset of the page infimum record on a new-style compact page */
#define PAGE_NEW_SUPREMUM (PAGE_DATA + 2 * REC_N_NEW_EXTRA_BYTES + 8)
/* offset of the page supremum record on a new-style compact page */
#define PAGE_NEW_SUPREMUM_END (PAGE_NEW_SUPREMUM + 8)
/* offset of the page supremum record end on a new-style compact page */
```

FSEG Header

- Each **index** uses **one file segment for leaf pages and one for non-leaf (internal) pages**
- This information is stored in the FSEG header structure in the INDEX **root** page
  - All other INDEX pages' FSEG headers are unused and zero-filled



Index File Segment Structure



How does it work when we CREATE/DROP an index?

- As soon as an index is created, **two file segments** will be allocated for the index:
  - One for **leaf** pages which will have **no page** as of now
  - One for **non-leaf** pages which will have only **one** single page allocate (**root page**)
- When B-Tree grows:
  - New pages are allocated in `Fragment Array`
  - Once demands cross 32 pages, an extent is allocated to segment and is moved to `FREE` list
  - Once pages of this new extent are used, it is moved to `NOT_FULL` list
  - Once all pages of this extent are used, it is moved to `FULL` list
- Once we drop the index:
  - From the **root** page, we go ahead and **mark all the extents in those two file segments as free**

Reference

[1] MySQL 8.0 Reference Manul: 15.6 InnoDB On-Disk Structures, MySQL, https://dev.mysql.com/doc/refman/8.0/en/innodb-tablespace.html

[2] MySQL 8.0 Reference Manul: 15.11 InnoDB Disk I/O and File Space Management, MySQL, https://dev.mysql.com/doc/refman/8.0/en/innodb-disk-management.html

[3] Innodb, Jeremy Cole, https://blog.jcole.us/innodb/

[4] InnoDB : Tablespace Space Management, Mayank Prasad, MySQL Server Blog, https://mysqlserverteam.com/innodb-tablespace-space-management/