

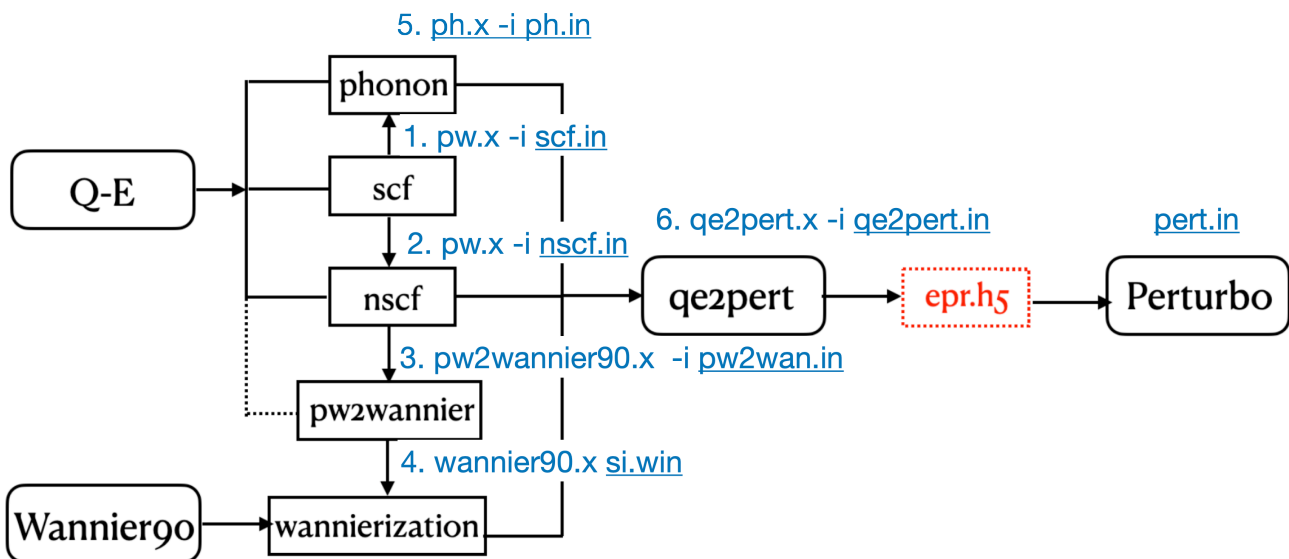
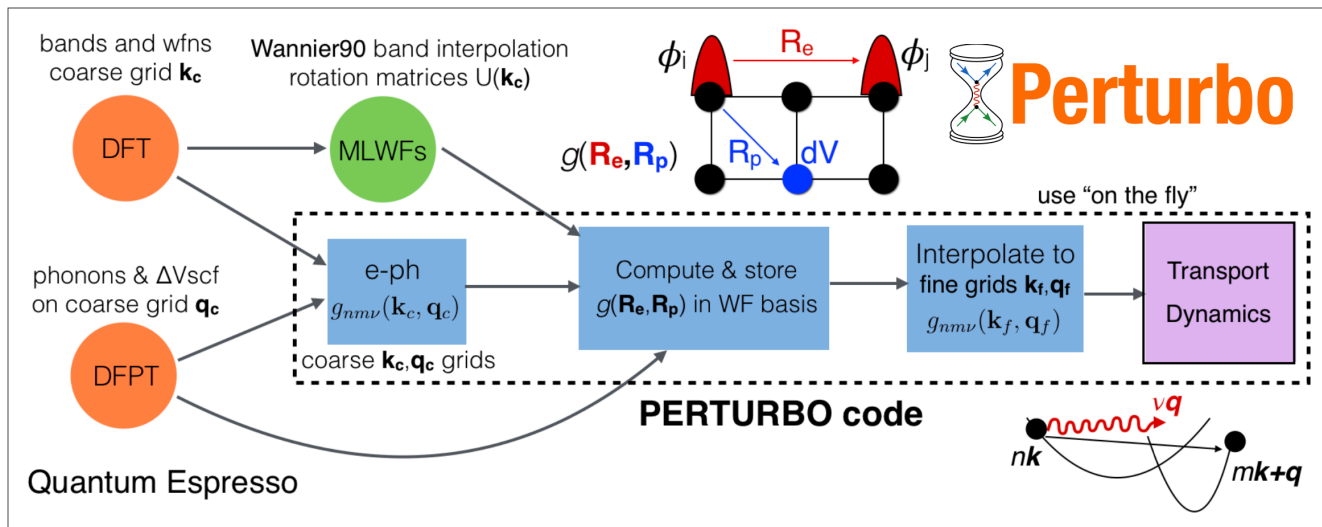
Generation of epr file

This tutorial shows how to generate epr file, the core database of perturbo. We use Silicon with a very coarse grid as a minimal example.

Download tutorial and lecture file from

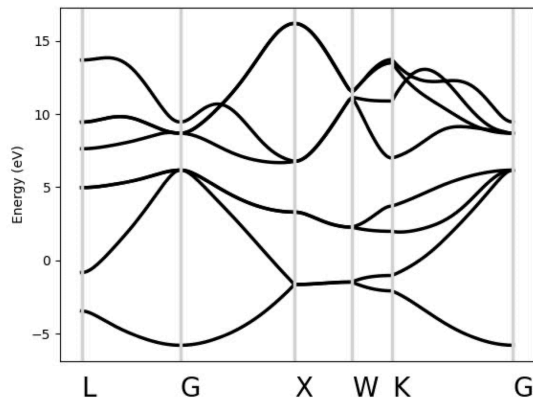
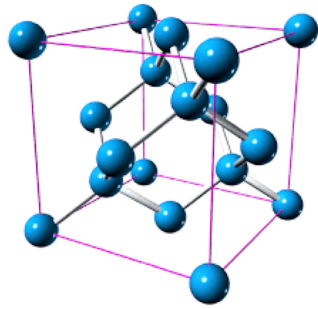
<https://github.com/perturbo-code/perturbo-workshop-2023/tree/main/Tutorial2>

Electron-Phonon Workflow in Perturbo

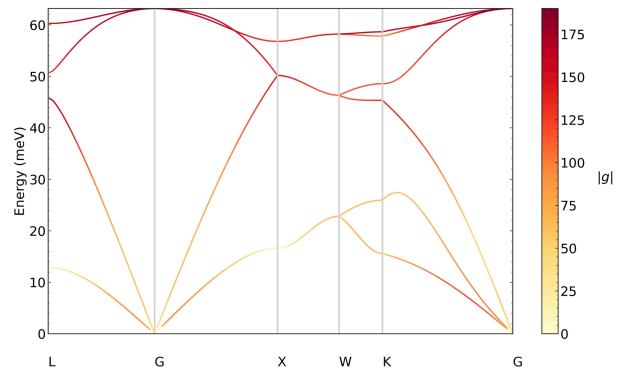


Example : Silicon

Silicon



colorbar for Electron-phonon coupling strength



0. docker setup

Check https://perturbo-code.github.io/mydoc_docker.html to setup docker of perturbo.
For gcc version

```
docker run -v /Users/yaoluo/workshop/perturbo-workshop-2023-main/Tutorial2:/home/user/run/epr_gen  
--user 500 -it --rm --name perturbo perturbo/perturbo:gcc_openmp
```

1. DFT & DFPT

Download the tutorial file, go to the directory `silicon/`.

```
user@f34442ffeba6:/run/epr_gen/silicon$ ls  
nscf perturbo phonon pseudo pw qe2pert wannier
```

1.1. SCF

Check https://www.quantum-espresso.org/Doc/INPUT_PW.html for input parameters of `pw.x`.

The input file of SCF calculation.

```
user@f34442ffe6a6:/run/epr_gen/silicon/pw$ cat scf.in
&CONTROL
  prefix = 'si'
  calculation = 'scf'
  wf_collect = .true.
  outdir='./tmp'
  pseudo_dir='../pseudo'
/
&SYSTEM
  ibrav = 2
  celldm(1) = 10.264 !make sure it's fully relaxed
  nat = 2
  ntyp = 1
  ecutwfc = 60.0 !
/
&ELECTRONS
  conv_thr = 1.0d-15
  mixing_mode = 'plain'
  mixing_beta = 0.7
  diagonalization = 'david'
  diago_full_acc = .true.
/
&IONS
/
&CELL
press_conv_thr = 0.01
/
ATOMIC_SPECIES
  Si  28.085  Si_D0J0_PBEsol.upf
ATOMIC_POSITIONS crystal
Si  0.00000000  0.00000000  0.00000000
Si -0.25000000  0.75000000 -0.25000000
K_POINTS (automatic)
  8 8 8 0 0 0      !very coarse for demomnstration
```

Run SCF calculation to get the ground-state charge density.

```
pw.x -i scf.in > scf.out
```

1.2. NSCF

Run NSCF to get the electron wavefunction on a **4x4x4** k grid. This is a preparation for

wannierization.

Go to `nscf/` directory.

The input file `nscf.in` is below.

`nbnd` specifies how many bands/eigenstate are calculated. We want to include the four valence bands and four conduction bands, so we should set a number larger or equal than 8. The number of valence electrons are controlled by the pseudo-potential.

Command for generating the kpoint list : `kmesh.pl 4 4 4`

`kmesh.pl` can be found at `wannier90-3.1.0/utility/` inside the wannier90 code.

```
user@f34442ffeba6:/run/epr_gen/silicon/nscf$ cat nscf.in
```

```
&CONTROL
```

```
  prefix = 'si'
```

```
  calculation = 'nscf'
```

```
  wf_collect = .true.
```

```
  outdir='./tmp'
```

```
  pseudo_dir='../pseudo'
```

```
/
```

```
&SYSTEM
```

```
  ibrav = 2
```

```
  celldm(1) = 10.264
```

```
  nat = 2
```

```
  nbnd = 16
```

```
  ntyp = 1
```

```
  ecutwfc = 60.0
```

```
  !noncolin = .true.
```

```
  !lspinorb = .true.
```

```
/
```

```
&ELECTRONS
```

```
  conv_thr = 5.0d-13
```

```
  mixing_mode = 'plain'
```

```
  mixing_beta = 0.7
```

```
  diagonalization = 'david'
```

```
  diago_full_acc = .true.
```

```
/
```

```
&IONS
```

```
/
```

```
&CELL
```

```
press_conv_thr = 0.01
```

```
/
```

```
ATOMIC_SPECIES
```

```
  Si 28.085 Si_D0J0_PBEsol.upf
```

```
ATOMIC_POSITIONS crystal
```

```
Si 0.00000000 0.00000000 0.00000000
```

```
Si -0.25000000 0.75000000 -0.25000000
```

```
K_POINTS crystal
```

```
64
```

```
0.00000000 0.00000000 0.00000000 1.562500e-02
```

```
0.00000000 0.00000000 0.25000000 1.562500e-02
```

```
0.00000000 0.00000000 0.50000000 1.562500e-02
```

```
0.00000000 0.00000000 0.75000000 1.562500e-02
```

```
0.00000000 0.25000000 0.00000000 1.562500e-02
```

```
0.00000000 0.25000000 0.25000000 1.562500e-02
```

```
0.00000000 0.25000000 0.50000000 1.562500e-02
```

```
0.00000000 0.25000000 0.75000000 1.562500e-02
```

```
0.00000000 0.50000000 0.00000000 1.562500e-02
```

[illegible]

0.75000000	0.25000000	0.75000000	1.562500e-02
0.75000000	0.50000000	0.00000000	1.562500e-02
0.75000000	0.50000000	0.25000000	1.562500e-02
0.75000000	0.50000000	0.50000000	1.562500e-02
0.75000000	0.50000000	0.75000000	1.562500e-02
0.75000000	0.75000000	0.00000000	1.562500e-02
0.75000000	0.75000000	0.25000000	1.562500e-02
0.75000000	0.75000000	0.50000000	1.562500e-02
0.75000000	0.75000000	0.75000000	1.562500e-02

Copy the ground state charge density from the previous scf calculation.

```
user@f34442ffe6a6:/run/epr_gen/silicon/nscf$ cp -rf ../pw/tmp .
```

Run NSCF calculation to get electronic wavefunction in the whole BZ.

```
user@f34442ffe6a6:/run/epr_gen/silicon/nscf$ pw.x -i nscf.in > nscf.out
```

1.3 Wannier

Go to `wannier/` directory.

Construct wannier function using the wavefunction generated in the `nscf` step.

The `wannier90.x` input file is `si.win`. Consistency on `num_bands` and `kpoints`.

```
user@d163e83e57d2:/run/epr_gen/silicon/wannier$ cat si.win
begin projections
  Si:sp3          !use sp3 as initial projection
end projections

!perturbo needs this
guiding_centres=true

!spinors = .true.

num_bands = 16  !# of bands, consistent with NSCF
num_wann = 8    !# of wannier, 2 Si, each has 4 sp3


iprint = 2
dis_num_iter = 500
dis_win_min = -100.000
dis_win_max = 17.200
dis_froz_min = -100.000
dis_froz_max = 9.000
num_iter = 5000

!same as the nscf step
mp_grid : 4 4 4
!perturbo needs this
write_u_matrices = .true.
write_xyz = .true.

begin unit_cell_cart
bohr
-5.1320  0.0000  5.1320
 0.0000  5.1320  5.1320
-5.1320  5.1320  0.0000
end unit_cell_cart

#restart = plot
BANDS_PLOT = TRUE
BANDS_PLOT_FORMAT = gnuplot
BANDS_NUM_POINTS = 100

BEGIN KPOINT_PATH
L 0.500 0.500 0.500  G 0.000 0.000 0.000
G 0.000 0.000 0.000  X 0.500 0.000 0.500
```



```
X 0.500 0.000 0.500 W 0.500 0.250 0.750
W 0.500 0.250 0.750 K 0.375 0.375 0.750
K 0.375 0.375 0.750 G 0.000 0.000 0.000
END KPOINT_PATH
```

```
begin atoms_frac
Si 0.00000 0.00000 0.00000
Si -0.25000 0.75000 -0.25000
end atoms_frac
```

```
begin kpoints
0.00000000 0.00000000 0.00000000
0.00000000 0.00000000 0.25000000
0.00000000 0.00000000 0.50000000
0.00000000 0.00000000 0.75000000
0.00000000 0.25000000 0.00000000
0.00000000 0.25000000 0.25000000
0.00000000 0.25000000 0.50000000
0.00000000 0.25000000 0.75000000
0.00000000 0.50000000 0.00000000
0.00000000 0.50000000 0.25000000
0.00000000 0.50000000 0.50000000
0.00000000 0.50000000 0.75000000
0.00000000 0.75000000 0.00000000
0.00000000 0.75000000 0.25000000
0.00000000 0.75000000 0.50000000
0.00000000 0.75000000 0.75000000
0.25000000 0.00000000 0.00000000
0.25000000 0.00000000 0.25000000
0.25000000 0.00000000 0.50000000
0.25000000 0.00000000 0.75000000
0.25000000 0.25000000 0.00000000
0.25000000 0.25000000 0.25000000
0.25000000 0.25000000 0.50000000
0.25000000 0.25000000 0.75000000
0.25000000 0.50000000 0.00000000
0.25000000 0.50000000 0.25000000
0.25000000 0.50000000 0.50000000
0.25000000 0.50000000 0.75000000
0.25000000 0.75000000 0.00000000
0.25000000 0.75000000 0.25000000
0.25000000 0.75000000 0.50000000
0.25000000 0.75000000 0.75000000
0.50000000 0.00000000 0.00000000
0.50000000 0.00000000 0.25000000
```

```
0.50000000 0.00000000 0.50000000
0.50000000 0.00000000 0.75000000
0.50000000 0.25000000 0.00000000
0.50000000 0.25000000 0.25000000
0.50000000 0.25000000 0.50000000
0.50000000 0.25000000 0.75000000
0.50000000 0.50000000 0.00000000
0.50000000 0.50000000 0.25000000
0.50000000 0.50000000 0.50000000
0.50000000 0.50000000 0.75000000
0.50000000 0.75000000 0.00000000
0.50000000 0.75000000 0.25000000
0.50000000 0.75000000 0.50000000
0.50000000 0.75000000 0.75000000
0.75000000 0.00000000 0.00000000
0.75000000 0.00000000 0.25000000
0.75000000 0.00000000 0.50000000
0.75000000 0.00000000 0.75000000
0.75000000 0.25000000 0.00000000
0.75000000 0.25000000 0.25000000
0.75000000 0.25000000 0.50000000
0.75000000 0.25000000 0.75000000
0.75000000 0.50000000 0.00000000
0.75000000 0.50000000 0.25000000
0.75000000 0.50000000 0.50000000
0.75000000 0.50000000 0.75000000
0.75000000 0.75000000 0.00000000
0.75000000 0.75000000 0.25000000
0.75000000 0.75000000 0.50000000
0.75000000 0.75000000 0.75000000
end kpoints
```

Go to `wannier/` directory.

Link the wavefunction from NSCF calculation to the wannier90 working directory.

```
user@silicon/wannier$ ln -sf ../nscf/tmp
```

The script for wannierization.

```

user@silicon/wannier$ cat run-wan.sh
#!/bin/bash
export OMP_NUM_THREADS=4
wannier90.x -pp si.win
pw2wannier90.x < pw2wan.in > pw2wan.out
wannier90.x si.win
user@silicon/wannier$ ./run-wan.sh

```

Check `si.wout` .

One can use GW band structure in this wannier step. Check the example

23: Silicon – G_0W_0 bands structure interpolation in wannier90's tutorial book.

Wannier90 downloading :

<https://wannier.org/download/>

23: Silicon – G_0W_0 bands structure interpolation

Note: This example requires a recent version of the `ypp` post-processing code of `yambo`.

- Outline: *Interpolate the bands structure of silicon obtained from many-body perturbation theory at the G_0W_0 level. Using the `yambo` code, the quasi-particle corrections (QP) are summed to Kohn-Sham eigenvalues, while the wavefunctions remain the same.*
- Directory: `examples/example23/`
- Input Files
 - `silicon.scf` *The PWSCF input file for the ground state calculation*
 - `silicon.nscf` *The PWSCF input file to obtain Bloch states on a uniform grid*
 - `silicon.gw.nscf` *The PWSCF input file to obtain Bloch states on a reduced grid with many empty bands*
 - `silicon.pw2wan` *The input file for pw2wannier90*
 - `silicon.win` *The wannier90 input file*
 - `silicon.gw.win` *The wannier90 input file (for the G_0W_0 step)*
 - `yambo.in` *The yambo input file*
 - `ypp.in` *The ypp input file*

1.4 DFPT

Go to `phonon/` directory.

Run DFPT to get dynamical matrix and e-ph matrix elements on coarse q-grid 2x2x2.

Copy ground state charge density from the SCF calculation.

```
user@silicon/phonon$ cp -rf ../pw/tmp ./
```

Check https://www.quantum-espresso.org/Doc/INPUT_PH.html#idm214 for meaning of input parameters of `ph.x`.

```
user@silicon/phonon$ cat ph.in
Phonons on a uniform grid
&inputph
  verbosity='debug'
  tr2_ph=1.0d-13
  prefix='si'
  ldisp=.true.
  epsil=.true.      !calculate macroscopic dielectric constant @ q=0, only for non-metal system
  lqdir=.true.
  outdir='./tmp'
  fildyn  = 'si.dyn.xml' !qe2pert.x only supports .xml format
  fildvscf = 'dvscf'
  nq1=2, nq2=2, nq3=2,
/
user@f34442ffeba6:/run/epr_gen/silicon/phonon$ ph.x -i ph.in > ph.out
```

This `ph.x` calculation takes 3 mins on my macbook.

Then, `ph-collect.sh` collects the dynamical matrix and e-ph matrix elements on the coarse grid and save them in `/save`.

```

user@d163e83e57d2:/run/epr_gen/silicon/phonon$ cat ph-collect.sh
#!/bin/bash
PREFIX='si'

#should be in the work directory of PHonon calculation
echo `pwd`
mkdir -p save
mkdir -p save/${PREFIX}.phsave

for ((NQ=2; NQ<=3; NQ++ ))
do
    DIR="tmp/_ph0"
    echo $DIR
    #copy prefix.phsave
    cp ${DIR}/${PREFIX}.phsave/* save/${PREFIX}.phsave/
    #copy dyn files
    #cp ph-${NQ}/${PREFIX}.dyn${NQ} save/  #${PREFIX}.dyn_q${NQ}
    cp ${PREFIX}.dyn* save/
    #copy dvscf files
    cp ${DIR}/${PREFIX}.q_${NQ}/${PREFIX}.dvscf save/${PREFIX}.dvscf_q${NQ}
done

NQ=1
cp ${DIR}/${PREFIX}.dvscf save/${PREFIX}.dvscf_q${NQ}
user@d163e83e57d2:/run/epr_gen/silicon/phonon$ ./ph-collect.sh

```

2. qe2pert

Go to `qe2pert/` directory.

Link files in the qe2pert working directory.

```

user@silicon/qe2pert$ ln -sf ../wannier/si_u.mat
user@silicon/qe2pert$ ln -sf ../wannier/si_u_dis.mat
user@silicon/qe2pert$ ln -sf ../wannier/si_centres.xyz
user@silicon/qe2pert$ mkdir tmp
user@silicon/qe2pert$ cd tmp
user@silicon/qe2pert/tmp$ ln -sf ../../nscf/tmp/si.save/
user@silicon/qe2pert/tmp$ ln -sf ../../nscf/tmp/si.xml
user@silicon/qe2pert/tmp$ cd ..

```

Open [qe2pert.in](#) file, get familiar with it.

Check https://perturbo-code.github.io/mydoc_param_qe2pert.html for input parameters

of `qe2pert.x` .

```
user@silicon/qe2pert$ cat qe2pert.in
&qe2pert
  prefix='si'
  outdir='./tmp'
  phdir='../phonon/save'
  nk1=4, nk2=4, nk3=4
  dft_band_min = 1
  dft_band_max = 16
  num_wann = 8
  lwannier=.true. !wfn in wannier gauge.
/
```

Pay attention to the consistency on all the parameters.

`lwannier = .true.` means `qe2pert` will store the wavefunction in wannier gauge which greatly saves memory and may cause error if the previous wannierization step is very bad.

```
user@silicon/qe2pert$ qe2pert.x -i qe2pert.in
```

Finally, we have the `si_epr.h5` file.

`hdf5` format is cross-platform.

Check

https://perturbo-code.github.io/mydoc_qe2pert.html#structure-of-eprh5-hdf5-file
for the data struture of `_epr.h5` .

You can download `HDF5View` from <https://www.hdfgroup.org/downloads/hdfview/> .

Use `HDF5View` to take a look at `si_epr.h5` file.

`qe2pert_output.yml` is a light abd human-readable file containing the descriptive data for `_epr.h5` .

3. perturbo

With `si_epr.h5` , we can get electron's band struture, phonon's dispersion and e-ph matrix elements.

Electron band structure

Go to `perturbo/band/` directory.

Let's see the input files.

```
user@silicon/perturbo/band$ cat pert.in
&perturbo
  prefix = 'si'
  calc_mode = 'bands'
  fklist = 'si_band.kpt'
/
user@silicon/perturbo/band$ cat si_band.kpt
6
0.500 0.500 0.500 50
0.000 0.000 0.000 50
0.500 0.000 0.500 20
0.500 0.250 0.750 20
0.375 0.375 0.750 50
0.000 0.000 0.000 1
```

Link the `epr` file.

```
user@silicon/perturbo/band$ ln -sf ../../qe2pert/si_epr.h5
```

```
perturbo.x -i pert.in
```

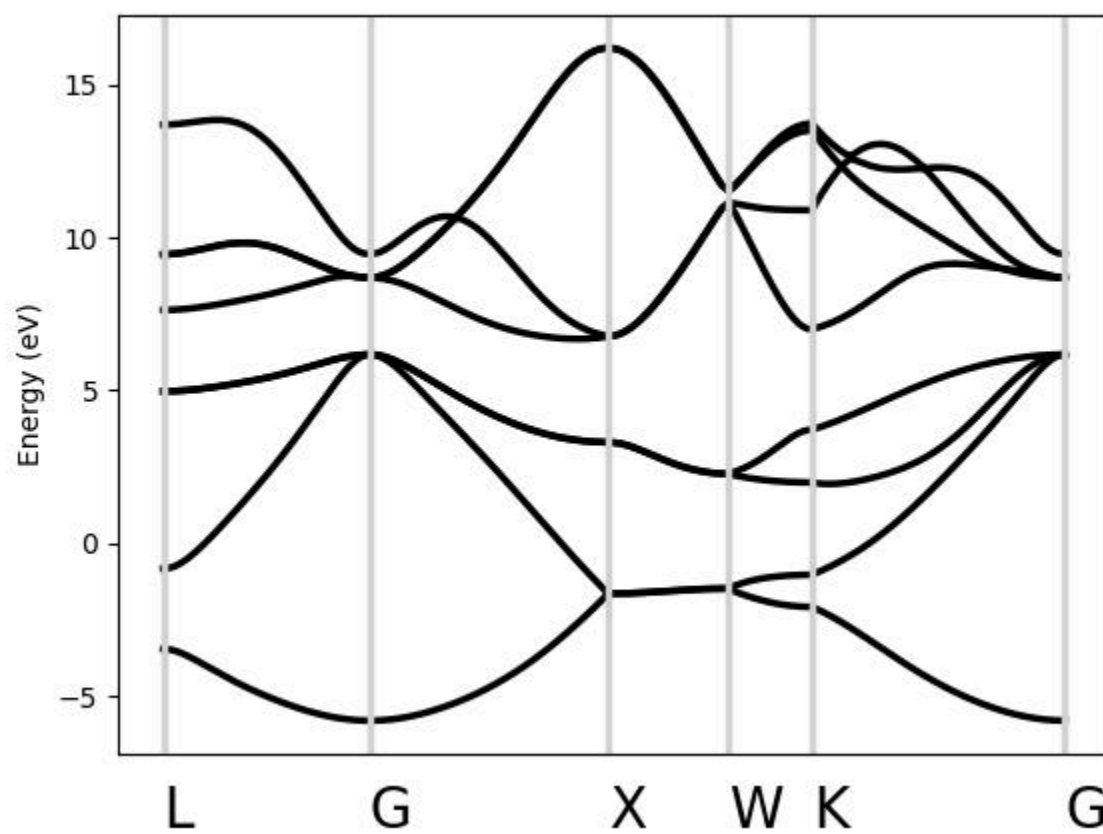
Plot with our python post-processing library `perturbopy` . It's user-friendly and you should try it.

```
user@silicon/perturbo/band$ cat plot.py
import perturbopy.postproc as ppy
import matplotlib.pyplot as plt

fig, ax = plt.subplots()
plt.rcParams.update(ppy.plot_tools.plotparams)
si_bands = ppy.Bands.from_yaml('si_bands.yml')
si_bands.kpt.add_labels(ppy.lattice.points_fcc)

si_bands.plot_bands(ax)
plt.savefig('band.jpg')
user@de401a4c683a:/run/epr_gen/silicon/perturbo/band$ python plot.py
```

The bandstructure is shown in `band.jpg`.



Phonon dispersion

Go to `perturbo/phonon/` directory.

Let's take a look of the input files.


```

user@silicon/perturbo/phonon$ cat pert.in
&perturbo
  prefix = 'si'
  calc_mode = 'phdisp'
  fqlist = 'si_band.kpt'
/
user@silicon/perturbo/phonon$ cat si_band.kpt
6
0.500 0.500 0.500 50
0.000 0.000 0.000 50
0.500 0.000 0.500 20
0.500 0.250 0.750 20
0.375 0.375 0.750 50
0.000 0.000 0.000 1

```

```

user@silicon/perturbo/phonon$ ln -sf ../../qe2pert/si_epr.h5

```

```

perturbo.x -i pert.in

```

```

user@6f981831eccc:~/run/epr_gen/silicon/perturbo/phonon$ cat plot.py
import perturbopy.postproc as ppy
import matplotlib.pyplot as plt

si_phdisp = ppy.Phdisp.from_yaml('si_phdisp.yaml')
# Create a figure and axis for plotting
fig, ax = plt.subplots()

# Optional, used to format the plot
plt.rcParams.update(ppy.plot_tools.plotparams)

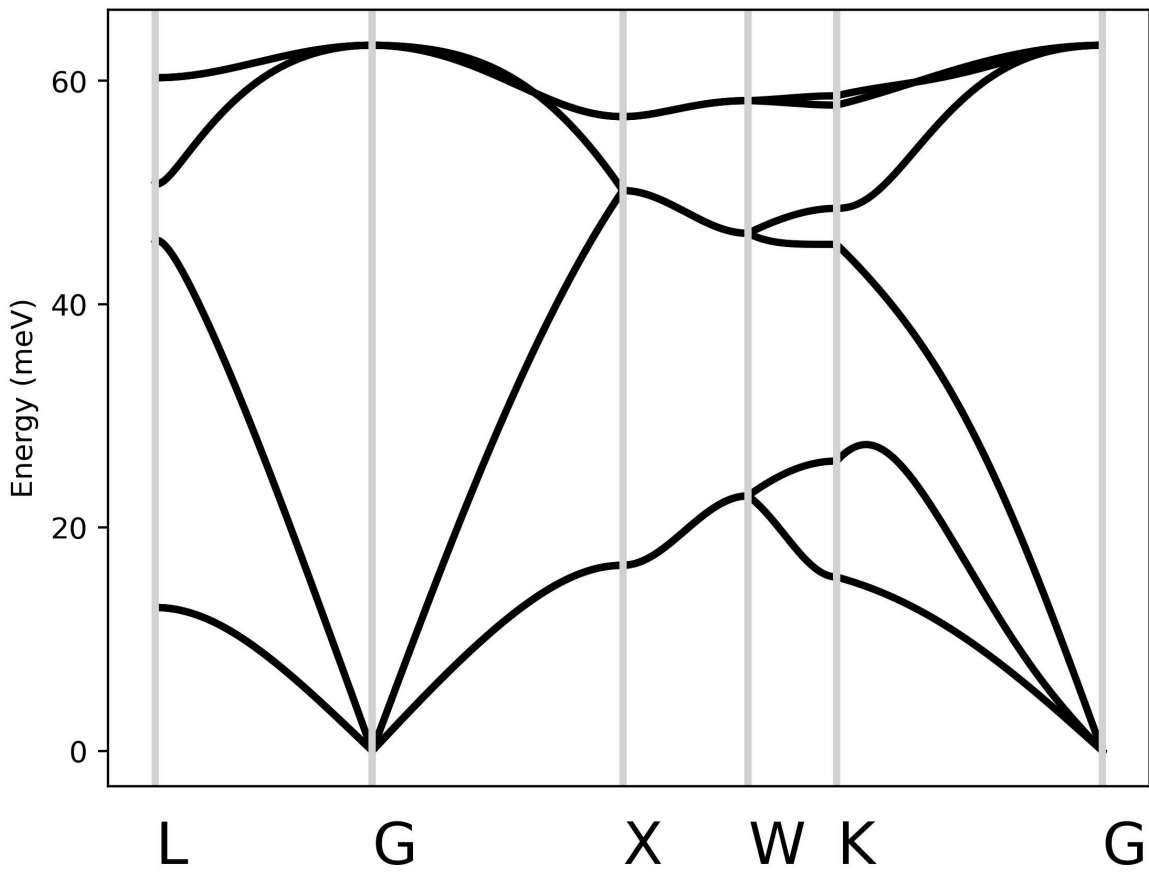
# Optional, used to label the q-points with labels for the FCC crystal structure.
# For example, [0.5, 0.5, 0.5] is the 'L' point in the FCC Brillouin zone.
si_phdisp.qpt.add_labels(ppy.lattice.points_fcc)

si_phdisp.plot_phdisp(ax)
plt.show()

plt.savefig('phdisp.jpg',dpi=400)
user@de401a4c683a:~/run/epr_gen/silicon/perturbo/phonon$ python plot.py

```

The phonon dispersion is shown in `phdisp.jpg` .



E-ph

Go to `perturbo/ephmat/` directory.

Let's see the input files.

```

user@silicon/perturbo/ephmat$ cat pert.in
&perturbo
prefix = 'si'
calc_mode = 'ephmat'
fklist = 'si_band.kpt'
fqllist = 'si_band.qpt'

band_min = 2
band_max = 4
/
user@silicon/perturbo/ephmat$ cat si_band.kpt
1
0.0 0.0 0.0 1
user@silicon/perturbo/ephmat$ cat si_band.qpt
6
0.500 0.500 0.500 50
0.000 0.000 0.000 50
0.500 0.000 0.500 20
0.500 0.250 0.750 20
0.375 0.375 0.750 50
0.000 0.000 0.000 1

```

Link the `epr` file.

```

user@f34442ffeba6:/run/epr_gen/silicon/perturbo/ephmat$ ln -sf ../../qe2pert/si_epr.h5

```

Interpolate e-ph matrix.

```

perturbo.x -i pert.in

```

Plot with perturbopy.

```

user@silicon/perturbo/ephmat$ cat plot.py
import perturbopy.postproc as ppy

si_ephmat = ppy.Ephmat.from_yaml('si_ephmat.yml')

import matplotlib.pyplot as plt

plt.rcParams.update(ppy.plot_tools.plotparams)
si_ephmat.qpt.add_labels(ppy.lattice.points_fcc)

fig, ax = plt.subplots()
si_ephmat.plot_ephmat(ax)
plt.show()

plt.savefig('ephmat.jpg',dpi=400)
user@silicon/perturbo/ephmat$ python plot.py

```

The e-ph coupling strength along high symmetry points is shown in `ephmat.jpg` .

