

STARS: SPATIAL TOOLS FOR THE ANALYSIS OF RIVER SYSTEMS - A TUTORIAL

Dr. Erin E. Peterson 9 April 2013 Enquiries should be addressed to:

Erin E. Peterson 41 Boggo Rd., Dutton Park, QLD, 4102

Erin.Peterson@csiro.au

# **Copyright and Disclaimer**

© 2013 CSIRO To the extent permitted by law, all rights are reserved and no part of this publication covered by copyright may be reproduced or copied in any form or by any means except with the written permission of CSIRO.

# **Important Disclaimer**

CSIRO advises that the information contained in this publication comprises general statements based on scientific research. The reader is advised and needs to be aware that such information may be incomplete or unable to be used in any specific situation. No reliance or actions must therefore be made on that information without seeking prior expert professional, scientific and technical advice. To the extent permitted by law, CSIRO (including its employees and consultants) excludes all liability to any person for any consequences, including but not limited to all losses, damages, costs, expenses and any other compensation, arising directly or indirectly from using this publication (in part or in whole) and any information or material contained in it.

1.	Introduction	6			
2.	Software Requirements	6			
3.	STARS Toolset Structure	7			
4.	Data Requirements	7			
	4.1 Example dataset description	8			
5.	Getting started	10			
6.	General Data Pre-processing1				
7.	Build a Landscape Network	11			
8.	Eliminate Topological Errors	13			
	8.1 Check network topology	13			
	8.2 Braided channels	15			
	8.3 Identify complex confluences	16			
9.	Creating Reach Contributing Areas	17			
10.	Calculating RCA Attributes	23			
11.	Calculating the RCA Area	27			
12.	Accumulating Watershed Attributes	28			
13.	Incorporating Sites into the LSN	29			
14.	Calculate Watershed Attributes	30			
15.	Calculate Upstream Distance	32			
16.	Calculate Segment Proportional Influence	34			
17.	Calculate Additive Function	35			
18.	Create SSN Object	37			
19.	References	41			
20.	Troubleshooting	41			
	20.1 General solutions for all tools:				
	20.2 Build a landscape network (LSN)	42			
	20.3 Check network topology	43			
	20.4 Creating RCAs	43			

# **List of Figures**

Figure 1. Spatial Tools for the Analysis of River Systems (STARS) geoprocessing toolbox for ArcGIS version 9.3.1
Figure 2. Stream reaches correctly digitised in the downstream direction
Figure 3. Converging stream nodes occur at the downstream node of two edges that converge (a,b), but do not flow into another downstream edge. This commonly occurs at the edge of the streams shapefile (a) or may be the result of topological errors within the stream network (b)
Figure 4. The stream network may contain confluences where three or more edges converge and flow into a single downstream edge (a). If this occurs, the nodes (black circles) must be identified using the Identify Complex Confluences tool and the error manually corrected (b) in the streams shapefile before the LSN is rebuilt
Figure 5. The three rasters must have the same spatial resolution and must be aligned before the RCAs are created
Figure 6. A relatively small number of edges will not be associated with an RCA. This may occur when the edge is found within a waterbody (purple area)
Figure 7. The Get Watershed Attributes tool provides estimates of the true watershed attribute. The watershed area, shown in orange, follows hydrologic boundaries in the upper RCAs, but appears as a straight line in the lowest RCA. This is because we simply estimate the percent coverage in the lowest RCA based on the ratio value rather than delineating the true boundary.
Figure 8. Calculating the segment proportional influence (PI)
Figure 9. Calculating the additive function values (AFV) for every site and edge in the landscape network. Note that the ith site, in the example above, can be located anywhere on segment B. 36
Figure 10. A landscape network (LSN) must contain six datasets before it can be used to calculate the data needed to fit the spatial statistical models: three feature classes: edges, nodes, and sites, as well as, three Access tables: nodexy, noderelationships, and relationships. Additional feature classes representing prediction locations may also be included
Figure 11 Binary IDs are assigned to each edge in the LSN. Edges are represented by blue lines and nodes (black circles)
Figure 12. Binary ID text file format
Figure 13: The SSN object contains the spatial, attribute, and topological information of the LSN. It always contains at least two shapefiles edges and sites, as well as multiple text files containing the edge binary IDs.

# **List of Tables**

Table 1. Spatial data requirements for spatial modelling on stream networks	. 8
Table 2. A description of the spatial datasets contained in the example folder, which are used to derive new spatial data in this tutorial.	. 8
Table 3. A description of the datasets contained in the example_all folder. These datasets are derive from the example dataset using the FLoWS and STARS tools and the instructions in this tutoria	

# 1. INTRODUCTION

Spatial autocorrelation is an intrinsic characteristic in freshwater stream environments where nested watersheds and flow connectivity may produce patterns that are not captured by Euclidean distance. Yet, many common autocovariance functions used in spatial models are statistically invalid when Euclidean distance is replaced with hydrologic distance. This issue made it necessary to develop new spatial statistical methodologies for stream networks, which permit valid covariances to be generated based on a variety of hydrologic relationships (Peterson and Ver Hoef 2010; Ver Hoef and Peterson, 2010).

Fitting spatial models to stream network data requires multidisciplinary skills in aquatic ecology/biology, geographic information science, and spatial statistics. In addition, specialised geographic information systems (GIS) tools are needed to generate the data necessary to fit spatial models to stream network data. Two ArcGIS geoprocessing toolboxes have been provided to help users generate this spatial data: the Functional Linkage of Waterbasins and Streams (FLoWS) geoprocessing toolbox for ArcGIS version 9.3 (Theobald et al., 2006) and the Spatial Tools for the Analysis of River Systems (STARS) for ArcGIS version 9.3.1. The FLoWS toolbox is a set of graph theoretic-based analysis tools that functionally link aquatic and terrestrial components of the landscape based on hydrologic processes (Theobald et al., 2006). These tools provide an efficient framework for navigating throughout the network, which makes it possible to calculate a variety of attributes related to network distance, flow direction, and terrestrial contributing areas. The STARS toolbox was developed to take advantage of the FLoWS-based framework and provides tools to generate the specific spatial data needed to fit spatial models to streams data.

This document has been written as a sort of recipe book to help users implement the STARS tools and to generate the data required for spatial modelling on river networks (Peterson and Ver Hoef 2010; Ver Hoef and Peterson, 2010). An example dataset has been provided and is referred to throughout this tutorial. There are also additional resources that may be useful, such as the FLoWS user manual (Theobald et al., 2006), the ArcGIS v. 9.3 help pages, and the ESRI User Forums (<a href="http://forums.esri.com/forums.asp?c=93">http://forums.esri.com/forums.asp?c=93</a>). The last two references will not provide specific information about the FLoWS or STARS toolsets, but may provide help with more general ArcGIS tasks.

# 2. SOFTWARE REQUIREMENTS

- 1. ArcGIS v9.3.1 and Service Patch 2
- 2. ArcINFO license and the Spatial Analyst extension optional
- 3. FLoWS geoprocessing toolbox for ArcGIS v9.3
- 4. STARS geoprocessing toolbox for ArcGIS v9.3.1
- 5. Python version 2.5
- 6. PythonWin for version 2.5

# 3. STARS TOOLSET STRUCTURE

The STARS geoprocessing toolbox, written in Python version 2.5 for ArcGIS version 9.3.1. The STARS toolbox (Figure 1) contains three toolsets: 1) Pre-processing, Calculate, and Export. These tools are specifically designed to analyse, reformat, and export the spatial data as a SpatialStreamNetwork (SSN) object. The SSN object can be directly imported to R statistical software (R Development Core Team 2008) using the SSN package, where spatial models can be fit to streams data.

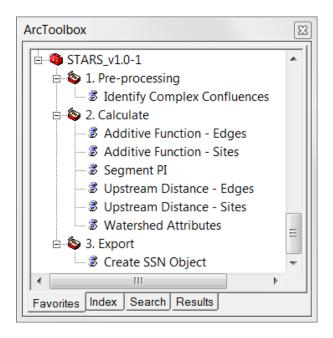


Figure 1. Spatial Tools for the Analysis of River Systems (STARS) geoprocessing toolbox for ArcGIS version 9.3.1.

# 4. DATA REQUIREMENTS

At a minimum, two datasets are required to generate the spatial data needed to fit a spatial statistical model to stream network data: streams and survey sites (Table 1). Additional data, such as reach contributing areas (RCAs), a digital elevation model, a waterbodies dataset and ancillary data are also useful if available. Details about how these data are used are provided in the following sections.

Table 1. Spatial data requirements for spatial modelling on stream

Data	Format	Description	Required
Survey sites	vector	digital elevation model	Yes
Reaches	vector	sample data collected at sites	Yes
RCAs	vector or	reach contributing area for each line	optional
	raster	segment	
DEM	raster	stream reaches	optional
Waterbodies	raster	Lakes, reservoirs, estuaries, etc.	optional
Ancillary data	raster	Descriptive data, such as land use, land	optional
		cover, geology, climate, etc.	

# 4.1 Example dataset description

An example dataset is provided with this tutorial to help users understand the tools and successfully generate the spatial data necessary for spatial modelling on river networks. The example folder contains the input data for the FLoWS and STARS tools, which are used to create new tables, layers, and datasets in this tutorial (Table 2). The second folder, example\_final, contains the data provided in the example folder, as well as the data derived in this tutorial (Table 3).

Table 2. A description of the spatial datasets contained in the example folder, which are used to derive new spatial data in this tutorial.

Name	Format	Description
sites.shp	Vector	Observed site locations and data
preds.shp	Vector	Prediction site locations
streams.shp	Vector	Stream reaches
waterbodies	Vector	Lakes, reservoirs, estuaries, etc.
dem	Raster	Digital elevation model
grazing	Raster	Grazing land use

Table 3. A description of the datasets contained in the example\_all folder. These datasets are derived from the example dataset using the FLoWS and STARS tools and the instructions in this tutorial.

Name	Format	Description
dem	Raster	Digital elevation model
demburn5	Raster	Digital elevation model with streams "burned
		in" 5 metres
demfill	Raster	Filled digital elevation model
flowdir	Raster	Flow direction raster
edgegrid	Raster	Raster of stream reaches
edgegrid5	Raster	edgegrid reclassed to values 0, 5
grazing	Raster	Grazing land use
rcagrid	Raster	Cost RCAs
wbgrid	Raster	Lakes, reservoirs, estuaries, etc.
costrcas.shp	Vector	Cost RCAs
graze_area.dbf	dbf table	Zonal statistics for RCAs and grazing land use
preds.shp	Point	Prediction site locations
rca_area.dbf	dbf table	Zonal statistics for RCAs
sites.shp	Point	Observed site locations and data
streams.shp	Vector	Stream reaches
waterbodies.shp	Vector	Lakes, reservoirs, estuaries, etc.
Isn	Folder	Contains the Isn.mdb and the Isn.ssn object
		folder
id_errors.txt	Text file	Contains the node point ID for complex
		confluences
lsn.mdb	Personal	Landscape network (LSN) containing edges,
	geodatabase	sites, and prediction sites feature classes, as
		well as three dbf tables that store the
		topological relationships in the LSN
node_errors.shp	Vector	Potential topological errors
lsn.ssn	Folder	SSN object folder containing SSN associated
		files
edges.shp	Vector	Stream reaches; resides in Isn.ssn
sites.shp	Point	Observed sites; resides in Isn.ssn
preds.shp	Point	Prediction sites; resides in Isn.ssn
netID1.txt	Text file	Binary IDs for network 1; resides in Isn.ssn
:	:	:
:	:	:
netID16.txt	Text file	Binary IDs for network 16; resides in Isn.ssn

# 5. GETTING STARTED

- 1. Open ArcMap.
- 2. In ArcToolbox, right click on 'ArcToolbox', and select 'Add Toolbox'. Navigate to the FLoWS toolbox and click OK. Repeat these steps to add the STARS tools.
- 3. Right click on ArcToolbox, scroll down, select Save Settings, and click on To Default.
- 4. Change Environment Settings. Go to Menu, click on Tools, and select Options. Select the Geoprocessing tab and click on the Environments button. Expand the General Settings and set Output has Z Values and Output has M Values to Disable. Click OK.

# 6. GENERAL DATA PRE-PROCESSING

- 1. Load the datasets into ArcMap
  - 1. Click on the Add data tool and navigate to the directory that contains the streams, sites, and DEM data.
  - 2. Select the datasets and click Add.
- 2. Project all of the input data to a common projection and datum

\*Note: the example dataset has already been projected to a common coordinate system.

1. See the Data Management Tools> Projections and Transformations and the ArcGIS help if you need more information.

\*Note: You will be calculating and comparing distances and therefore, a geographic coordinate system (i.e. Latitude, Longitude) is not suitable. We recommend an Albers Equal Area or Universal Transverse Mercator projection instead.

- 3. Visually examine the digitized direction of the stream reaches for errors
  - 1. Double click on streams symbol in the Table of Contents to open the Symbol Selector window. Scroll down and select the "Arrow at End" symbol →. Click OK.

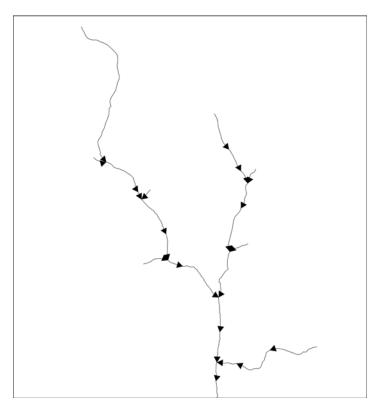


Figure 2. Stream reaches correctly digitised in the downstream direction.

2. Visually examine the segments to ensure that they are digitized in the downstream direction (Figure 2).

Sometimes all of the reaches will be digitized in the upstream direction. There is a 'Reverse Flow (Digitized) Direction' tool provided in the FLoWS toolbox that 'flips' the digitized direction of *all* reaches.

FLoWS>Pre-Processing>Reverse Flow (Digitized) Direction

If *some*, but not *all*, reaches are digitized in the upstream direction, they will need to be edited manually.

\*Note: Any streams or waterbodies dataset can be used to build a Landscape Network. The datasets may be from a different sources or the streams could be derived from a DEM using the Spatial Analyst Tools>Hydrology toolset. However, the streams must be digitized in the downstream direction and free of topological errors. These issues will be described in detail below.

# 7. BUILD A LANDSCAPE NETWORK

A landscape Network (LSN) is a type of graph that is used to represent spatial context and relationships with additional geographic information (Theobald et al., 2006). A LSN is stored as an ESRI personal geodatabase and has four distinguishing features (Theobald et al., 2005):

- The LSN has the ability to store both the topology of a graph and the geometry of the nodes, reaches, and reach contributing areas (RCAs).
- Nodes represent stream topologic breaks such as confluences, stream sources, or stream outlet points.
- Edges represent flow paths from node to node.
- RCAs represent the aerial extent that would theoretically contribute overland flow to a
  given edge in the absence of other hydrologic processes such as infiltration or
  evaporation.

For a detailed description of the LSN, please see Theobald et al. (2006).

To begin, a simple LSN is generated from the streams data:

- 1. Create a new folder to hold the LSN. There should be no other files in this folder.
- 2. Open the Polyline to Landscape Network tool

FLoWS>Create Landscape Network > Polyline to Landscape Network

3. Set the arguments (below) and click OK.

\*Note: **DO NOT use a shapefile named edges.shp.** An LSN will be produced, but there will be errors in the relationship tables.



\*Note: Your path name may be different. Be sure to include the file extensions .shp and .mdb.

4. Load the LSN into ArcMap.

\*Note: An Unknown Spatial Reference warning box may appear indicating that the nodes feature class is missing spatial reference information. Click OK.

If everything works correctly a personal geodatabase will be produced with five components

- nodes (point feature class)
- edges (polyline feature class)
- relationships (table)
- noderelationships (table)
- nodexy (table)

The program failed to produce a LSN if any of these components are missing.

\*Note: It is not uncommon for the LSN to fail to build. If this occurs, please see the Trouble-shooting section for tips and potential work-arounds.

# 8. ELIMINATE TOPOLOGICAL ERRORS

It is *extremely critical* that the streams data are topologically correct to ensure that hydrologic distances and spatial relationships are calculated properly. Topological errors are common in GIS data and must be corrected before continuing. There are three tools provided in the FLoWS and STARS toolsets to help identify these errors: the Check Network Topology tool (FLoWS), the Clean Braided Channel tool (FLoWS), and the Identify Complex Confluences (STARS).

Topological errors are identified in the edges feature class, but must be manually corrected in the streams.shp dataset that was used to build the LSN. Once the errors are corrected in the shapefile, a new LSN must be built. It is not possible to 'fix' the old LSN. It is also a good idea to run the Check Network Topology and the Identify Complex Confluences tools on each new LSN to ensure that it is free of topological errors.

Note, the development of a topologically corrected stream network can be the most time-consuming aspect of the modelling process.

# 8.1 Check network topology

The Check Network Topology tool is used to identify potential errors found in a LSN. *It does not work on data types other than a LSN*.

The Check Network Topology tool does two things to help identify topological errors. It adds and attributes a user-defined field in the node feature class (node\_cat) with node categories or values, which include: source, outlet, pseudo node, downstream divergence, converging stream, and confluence.

*Source nodes* occur at the upstream node of an edge if there is not an adjacent edge upstream (i.e. headwater stream - does not have an upstream input).

*Outlet nodes* occur at the downstream node of an edge that does not flow into another downstream edge. These represent watershed outlets or pour points.

Converging stream nodes occur at the downstream node of two edges that converge, but do not flow into another downstream edge (Figure 3). Converging stream nodes must be removed before an SSN object can be produced.

*Pseudo nodes* are locations where the upstream node of one edge is coincident with the downstream node of another edge. This occurs when two polyline segments make up a single reach. **Large numbers of pseudo nodes are undesirable in a LSN because** they increase the storage space required for the SSN object in **R**.

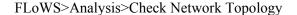
*Confluence nodes* occur where the downstream nodes of two edges or more edges converge and flow into a single downstream edge.

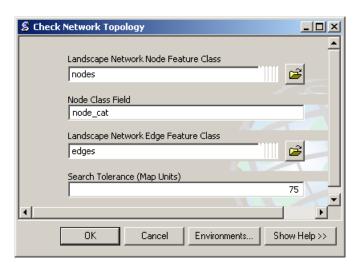
Downstream divergence nodes are locations where one edge flows into two or more edges. They represent divergent flow associated with braided stream channels. **Downstream divergence nodes are not permitted in an SSN object.** The Clean Braided Channel tool (see Section 7.2) can be used to suppress braided networks or they may be manually removed (edited).

These node categories can be displayed and visually examined in ArcMap to identify potential topological errors. For example, if an outlet node is located in the middle of a stream network it is likely a topological error. Although these categories are useful, it may still be difficult to identify errors visually when working with a large dataset.

The Check Network Topology tool also identifies potential topological errors, which are computed and stored in a shapefile called node\_errors.shp. This file will be located in the same directory as the input LSN. Topological node and edge errors include outlet nodes that are within a user specified search tolerance distance of source nodes, and nodes that are snapped to an edge without a coincident node (i.e. an edge is connected to another edge at its middle). The points in node\_errors.shp can be displayed visually in ArcMap, along with the edges feature class and the node categories, to help identify topological errors. You may need to zoom in on each of the points to look for topological errors in the edges feature class. Examples of topological errors and their effects are given in the FLoWS user manual (Theobald et al., 2006, pg. 23).

1. Open the Check Network Topology tool





\*Note: It may be necessary to browse for the data or to include the entire path name.

- 2. Set the arguments (above) and click OK.
- 3. Reset the source for the node feature class. You may need to reset this before the node categories can be displayed.
  - 1. Double click on the nodes feature class to open the Layer Properties window.
  - 2. Select the Source tab.

- 3. Click on the Set Data Source button, geodatabase containing the nodes feature class. Select nodes, click Add, and click OK.
- 4. Add node\_errors.shp to ArcMap and examine each potential error. The shapefile will be located in the same folder as lsn.mdb. The node\_errors attribute table contains five fields: FID, Shape, pointid, xcoord, and ycoord. The xcoord and ycoord fields are populated with zeros. The pointid field in the node\_errors shapefile corresponds to the pointid field in the nodes attribute table, which also contains the x, y coordinates.

\*Note: The node\_errors shapefile contains *potential* errors; each point must be visually examined to determine whether or not it represents a true topological error.

- 5. Examine each node category for potential errors.
  - 1. Open the nodes attribute table, Click on Options, and select Select By Attributes.
  - 2. Create the expression [node\_cat] = 'Outlet' and click Apply to select all Outlet nodes in the LSN.
  - 3. Visually examine the selected Outlet nodes and look for Outlets that are not at known watershed outlets or along the edge of the map. If an Outlet is the result of a topological error, then it must be manually edited in the streams shapefile.
  - 4. Select [node\_cat] = 'Converging stream'. Converging streams are not considered topological errors in the GIS; however, they must be manually removed before the SSN object can be generated and exported.

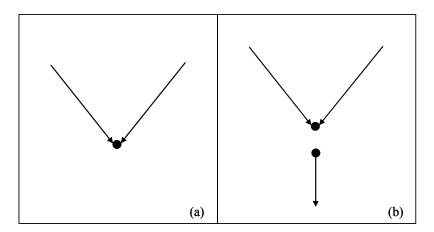


Figure 3. Converging stream nodes occur at the downstream node of two edges that converge (a,b), but do not flow into another downstream edge. This commonly occurs at the edge of the streams shapefile (a) or may be the result of topological errors within the stream network (b).

# 8.2 Braided channels

Braided stream reaches are a common occurrence in stream datasets that have been digitized from topographic map data. Although braiding is a natural process, braided streams are not permitted in the SSN object. There are currently two ways to address this issue. The first option is to manually remove braided channels from the streams dataset before the LSN is constructed. The second option is to use the Clean Braided Channel tool provided in the FLoWS toolset.

This tool allows the user to select a path through a braided network (the shortest, the longest, or user specified) and then essentially "turn off" the other reaches in the braid. After this tool is run, all flow is routed through the 'main channel'. This is useful if the user knows which channel is the main channel and feels that the other channels are of little significance. However, once the other reaches are 'turned off' the conditions found in their RCAs, such as wetland or agricultural areas, will not be taken into account when watershed explanatory variables are accumulated and calculated. In addition, it will not be possible to make predictions for these reaches. Details about the Clean Braided Channel tool can be found on pages 25-27 of the FLoWS User Guide (Theobald et al., 2006).

# 8.3 Identify complex confluences

A SSN object cannot be created if the stream network contains confluences where the downstream nodes of three or more edges converge and flow into a single downstream edge (Figure 4a). Since this is not considered a topological error in a GIS or a LSN, an Identify Complex Confluences tool has been included in STARS. This tool locates LSN nodes where the error occurs and produces a text file containing the pointIDs. These errors must be manually edited (Figure 4b) before a new LSN is generated.

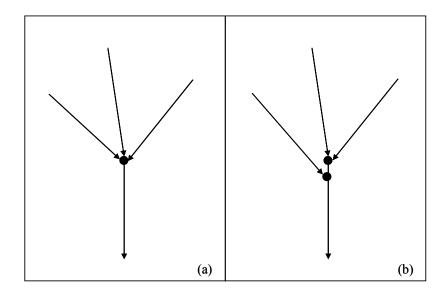
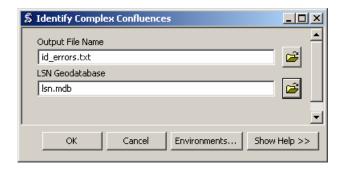


Figure 4. The stream network may contain confluences where three or more edges converge and flow into a single downstream edge (a). If this occurs, the nodes (black circles) must be identified using the Identify Complex Confluences tool and the error manually corrected (b) in the streams shapefile before the LSN is rebuilt.

1. Open the Identify Complex Confluences tool

STARS>Pre-processing>Identify Complex Confluences

2. Set the arguments and click ok.



The Identify Complex Confluences tool produces a comma delimited text file (.txt), which contains the pointid values for nodes where more than two edges converge and flow into a single downstream edge.

\*Note, if the output text file only contains a comma, then the tool has run successfully and no complex confluences were identified in the LSN.

# 9. CREATING REACH CONTRIBUTING AREAS

Reach contributing areas (RCAs) form a detailed tessellation of non-overlapping, edge-matching interbasins. The RCA boundaries are based on topography and include nearby areas that would theoretically contribute overland flow, were it to occur, to a given reach (Theobald et al., 2005). There are two FLoWS tools that can be used to calculate RCAs: The Create RCAs tool and the Create Cost RCAs tool (Theobald et al., 2006). The RCAs are delineated using edges, waterbodies, and a DEM as input. There is a one-to-one relationship between reaches (i.e. edges) and RCAs, which allows the surrounding landscape to be explicitly linked to the reach.

- 1. Load the input data into ArcMap: LSN edges, the DEM, and a waterbodies dataset.
- 2. Set the Spatial Analyst analysis environment

Click on the Spatial Analyst toolbar, scroll down, and click Options. This will open the Options window.



\*Note: You may need to enable the Spatial Analyst Extension if it is not available. On the main menu, go to Tools>Extensions. This will open the Extensions window. Make sure that the box next to the Spatial Analyst is checked. You may also need to add the toolbar to the menu.

It is important to set the options in Spatial Analyst to ensure that new rasters have the appropriate cell size and extent. It also provides a way to 'snap', or align, new rasters with existing rasters.

1. In the General tab, set the working directory. No mask is used.

2. In the Extent tab, set the extent to Same as Layer DEM

Note: You can also define a box using x,y coordinates if the extent of the DEM is much larger than the streams dataset. Be sure not to make the bounding box too small because the watershed for the stream network will be larger than the actual stream network. A visual analysis of the DEM and the streams dataset is usually sufficient to set the bounds.

3. In the Cell Size tab, set the cell size to Same as Layer DEM

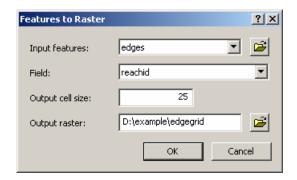
#### 3. Format the edges and waterbodies datasets

An edges attribute should be created to relate the RCAs to the edges. It is better not to use the OBJECTID or rid fields because they may change if the LSN has to be rebuilt. Instead, create a new field for this purpose that will remain unchanged regardless of data transformations, conversions, and other manipulations.

- 1. Add a Long Integer field named reachid to the edges attribute table. This attribute will be used to relate the RCAs to the edges
  - 1. Calculate field: reachid = OBJECTID

\*Note: A message box may open warning you that you are about to calculate outside of an edit session. Click OK.

- 2. Close the edges attribute table
- 2. Convert the edges to raster format
  - 1. Click on the Spatial Analyst toolbar, scroll down, click on Convert, and select Features to Raster. This will open the Features to Raster window.
  - 2. Set the arguments (below) and click OK.

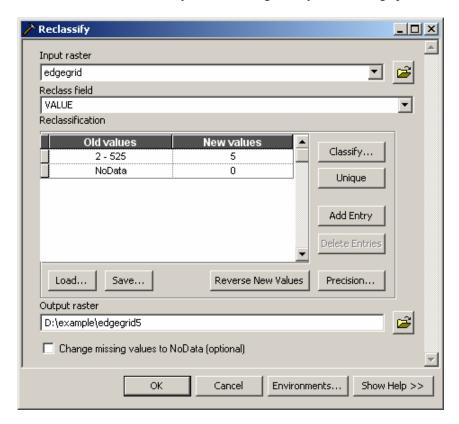


This will create a raster version of edges. Each edgegrid cell contains a Value attribute that is equal to the edge reachid value. Non-edge values are set to NoData.

- 3. Reclass the edges
  - 1. Click on the Spatial Analyst toolbar and select Reclassify. This will open the Reclassify window.
  - 2. Set the arguments, but do not click OK

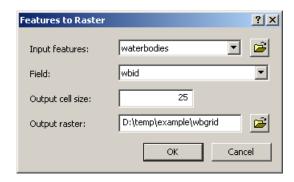
Input Raster = edgegrid Reclass Field = Value

- 3. Set the Reclassification Parameters
  - 1. Click on the Classify button to open the Classification window
  - 2. Set the Classification Method to Equal Interval and set the number of Classes to 1
  - 3. Click OK to close the window
  - 4. Under Set values to reclassify, click on a New value cell to edit
  - 5. Set all New values = 5 and NoData values = 0
  - 6. Set the remaining arguments (below) and click OK.
    - \*Note: You may need to navigate to your working space to save the raster.



The result is a raster layer of the edges, with edge cells containing a value equal to 5 and all other cells a value equal to 0. The edgegrid5 will be used to 'burn in' the streams to the DEM.

4. Convert waterbodies dataset to raster format



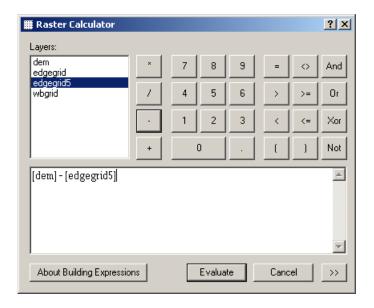
It does not matter which field is used for the Field argument, as long as each waterbody is assigned a unique identifier. The actual value is overwritten when the RCAs are delineated. **However, the resulting wbgrid must be an integer grid.** 

5. Remove waterbodies.shp from the Table of Contents.

#### 4 Format the DEM

Sinks and peaks in the DEM may be errors resulting from the data resolution or the rounding of elevations. The sinks must be filled to ensure proper delineation of RCAs.

- 1. Burn the streams into the DEM. This step is necessary if the LSN edges feature class was derived from a vector of streams or another DEM. If it was derived from the same DEM that will be used to create the RCAs, skip these steps and go to step 5.2.
  - 1. Click on the Spatial Analyst Toolbar, scroll down, and select Raster Calculator. This will open the Raster Calculator.
  - 2. Type in the following expression (below) and click on the Evaluate button.

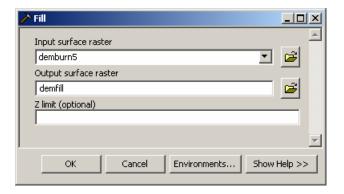


This will produce a temporary raster in the table of contents named Calculation.

- 3. In the Table of Contents, right click on Calculation. Scroll down and select Data> Make Permanent. This will open the Make Calculation Permanent window.
- 4. Navigate to your working directory and save the raster as **demburn5**.
- 5. Check that the demburn5 raster layer has saved properly, then remove the Calculation raster, the original DEM, and edgegrid5 from the Table of contents and add the burned DEM.
  - \*Note, if the demburn5 does not save properly it may be due to a remnant demburn5.aux file from a previous work session. Navigate to the example folder

in Windows Explorer, look for a demburn5.aux file, and delete it (if it exists) before attempting to save it again.

- 2. Fill demburn5 (or dem if you skipped step 5.1)
  - 1. Go to ArcToolbox>Spatial Analyst Tools>Hydrology>Fill to open the Fill window.
  - 2. Set the arguments and click OK.



# 5. Create Cost RCAs

1. Examine the DEM, edgegrid, and wbgrid to ensure that they are properly aligned. All raster cells should have the same spatial resolution (Figure 5). If a dataset is not properly aligned with the DEM, delete it, reset the Spatial Analyst environment (Section 9.2), and generate the dataset again.

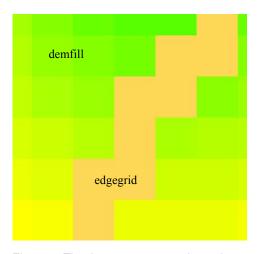
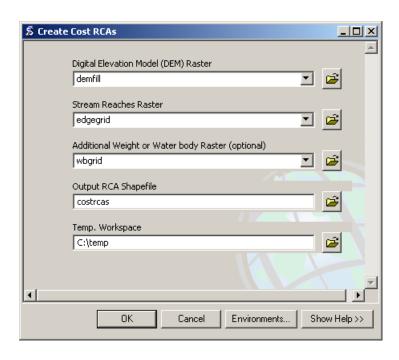


Figure 5. The three rasters must have the same spatial resolution and must be aligned before the RCAs are created.

- 2. Go to ArcToolbox>FLoWS>Pre-processing>Create Cost RCAs. This will open the Create Cost RCAs window.
- 3. Set the arguments and click OK. The program may take a few minutes to run.



\*Note: Do not include the .shp extension for the Output RCA Shapefile.

The output of the Create Cost RCAs tool is a shapefile of RCAs. The GRIDCODE attribute found in the RCA attribute table is equal to the reachid value assigned to the edges attribute table. This attribute enables each RCA to be directly linked to a single edge. It is not uncommon to find that a relatively small number of edges have not been assigned an RCA. This may occur if the length of the stream reach is short in relation to the spatial resolution of the DEM. For example, this might occur if a stream reach was 10 meters in length and the DEM had a 25 metre spatial resolution. When this situation occurs, the RCA is essentially too small to delineate. When a group of edges is part of a waterbody, such as a lake or reservoir, some of the edges will not be assigned RCAs. Also, edges outside the boundary of the DEM will not be assigned an RCA.

The edges feature class can be joined to the costrcas shapefile using the edges 'reachid' field and the costrcas GRIDCODE field. This provides a way to select edges that are not associated with an RCA (costrcas.FID = NULL) so that they can be examined in ArcMap. In the example dataset, RCAs were not generated for two edges (Figure 6), which were located within a waterbody.

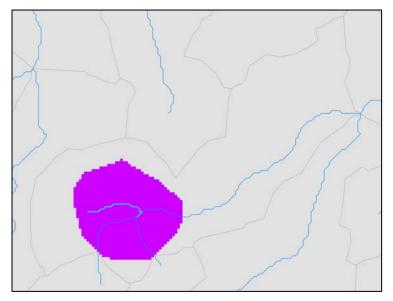


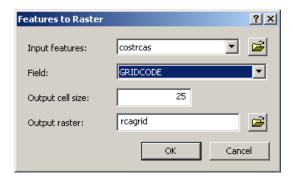
Figure 6. A relatively small number of edges will not be associated with an RCA. This may occur when the edge is found within a waterbody (purple area).

# 10. CALCULATING RCA ATTRIBUTES

The one-to-one relationship between RCAs and reaches can be used to provide information about the condition of the landscape immediately surrounding the reach. These landscape characteristics can include areas, means, and counts. For example, land use area, mean elevation, or the number of point source inputs in the RCA.

- 1. If you have not already done so, set the Spatial Analyst analysis environment to match the filled DEM (demfill).
- 2. Convert the RCA shapefile to raster format

Remember, the attribute GRIDCODE = reachid.



This will produce a raster of RCAs with a Value attribute that is equivalent to the reachid. Each cell contains the reachid that the cell is associated with.

3. Check to ensure that the new RCA raster is properly aligned with the other rasters (see Figure 5)

#### 4. Reclass the landscape value raster

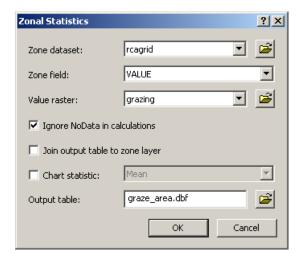
This step is necessary when the landscape characteristic is made up of categorical values, such as land use classes or presence/absence data. Skip this step if the characteristic is made up of continuous values, such as elevation or rainfall.

Landscape cell value of interest = 1 Other = NoData

A reclassed land use raster, grazing, has been included in the example dataset. Add the grazing raster to ArcMap.

# 5. Calculate the RCA attribute

- 1. Click on the Spatial Analyst dropdown menu and select Zonal Statistics.
- 2. Set the argument values. In this example, the landscape value raster, grazing, represents grazed areas.
- 3. Click OK.



The Zonal Statistics tool produces a dbf table with landscape value statistics, such as mean, count, or area for each RCA. The Value attribute is equivalent to the reachid, which enables these attributes to be associated with a single edge. The area shown in the dbf table will be in square projection units. In this case, they are in square meters because the projection is Albers.

#### 6. Add a new AREA field to the dbf table

The dbf table includes an AREA value for each RCA, but inconsistencies in the area values may occur. The count represents the number of land use raster cells in each RCA; as such, the land use area in each RCA is equal to the product of the COUNT and the raster cell spatial resolution. For example, if a raster has a 25 metre spatial resolution:

 $AREA = COUNT * 25^2$ 

Although an AREA field is provided in the dbf table, it is a field of type FLOAT, and there are usually a number of RCAs where the area has been incorrectly calculated. Therefore, a new area field of type DOUBLE should be calculated.

1. Add a field to the dbf table

Name = grazeArea Type = Double

\*Note: The dbf table may have to be closed and then re-opened before the field can be calculated. If the new table does not appear in the Table of Contents, ensure that the Source tab is selected.

2. Calculate the field

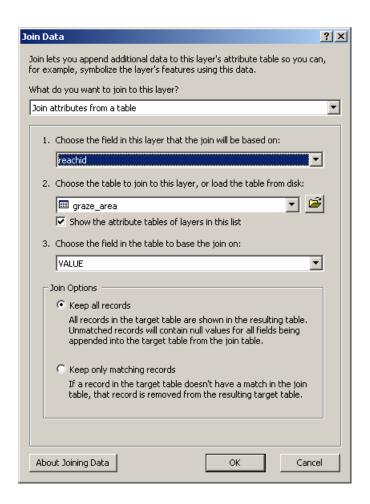
grazeArea = COUNT \* 625

\*Note: The multiplier is the square of the spatial resolution for each raster cell. In this instance, the spatial resolution is 25 metres  $(25^2 = 625)$ .

- 3. Close the graze area.dbf table.
- 7. Add the RCA attribute to the edges attribute table
  - 1. Add a field of type DOUBLE to the edges attribute table that represents the grazed land use in the RCA

Name = rcaGrazKm2 Type = Double

- 2. Join the dbf table to the edges attribute table
  - 1. In the Table of Contents, right click on edges, scroll down, and select Joins and Relates>Join... This will open the Join Data window.
  - 2. Set the arguments (below) and click OK.



If a window opens asking whether you would like to index the field, click Yes.

- 3. Open the edges attribute table and scroll to the right until you see the empty RCA landscape value attribute. In this example, it will be edges.rcaGrazKm2.
- 4. Calculate the field. In this example, the area is also being converted from square meters to square kilometres.

```
edges.rcaGrazKm2 = [graze area.grazeArea] * 0.000001
```

When the edges attribute table and the zonal statistics table are joined, RCAs that do not contain grazed areas will have NULL statistics values. As a result, an error box will appear when the field is calculated that says "The calculated value is invalid for the row with OBJECTID = ..." This is normal – click OK.

\*Note: In this example, we are interested in the area of developed land in each RCA. In other cases, we might be more interested in the mean or the count statistic.

- 8. Remove the Join
  - 1. In the Table of Contents, right click on edges, scroll down, select Joins and Relates>Remove Join(s)> Remove All Joins
- 9. Set NULL rcaGrazKm2 values = 0

- 1. In the edges attribute table, open the Select by Attributes window (section 8.1.5.1).
- 2. Select NULL values

[rcaGrazKm2]is null

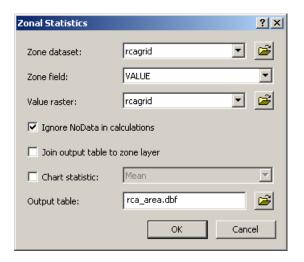
- 3. Use the Field Calculator to set the rcaGrazKm2 field = 0 for the selected records. Note, only the selected records will be calculated.
- 4. Clear the selection and close the edges attribute table

It is necessary to set the NULL values = 0 for areas and counts. However, this step should be skipped if means are being calculated for continuous values, such as elevation, because zero may be a meaningful value.

# 11. CALCULATING THE RCA AREA

The RCA area is calculated and used to derive the watershed area for the downstream node of each edge in the LSN. The watershed area is a useful attribute. It may be used as an explanatory variable in the spatial model or to convert other explanatory variables, such as grazed area, to percentages or proportions. It may also be used to calculate the Segment Proportional Influence (Section 17), which is needed to calculate the Additive Function (Section 18).

Calculating the RCA area is similar to calculating RCA attributes (Section 10) except that the RCA raster is used as both the zone dataset and the Value raster in step 10.5.



Using the RCA raster as both the zone and value raster is convenient when the dataset is relatively small, but the operation can be slow when the RCA raster is large. An alternative is to use another Value raster that provides continuous coverage, such as elevation, of the study area. When the zonal statistics are calculated using a continuous coverage, the COUNT attribute will represent the number of cells in each RCA and may be used to calculate the RCA

area (Step 10.6.2). This is particularly convenient if an elevation attribute, such as the mean, is being calculated for each RCA.

Hint: It is better to use the zonal statistics tools to calculate the RCA area rather than calculating it from the rca.shp polygon area. The areas of polygons in a shapefile will be different than the areas calculated using a raster dataset because of the stair-stepped edge pattern created by square raster cells. We prefer to use the raster format since the other RCA attributes, such as developed area, are calculated from a raster. The following screenshot provides an example of how to set the arguments in the Zonal Statistics tool to calculate RCA area.

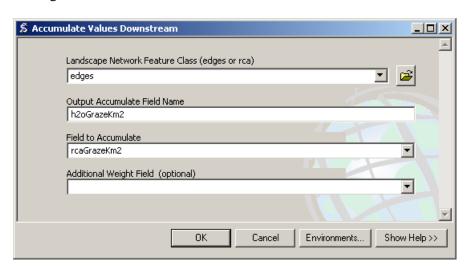
Follow the steps in Sections 10.5 to 10.9 to create a new field in the edges attribute table representing RCA area. Name the field rcaAreaKm2. Remember to set NULL values = 0.

# 12. ACCUMULATING WATERSHED ATTRIBUTES

The watershed includes the entire land area that contributes flow to a single stream outlet. Watershed attributes can be calculated by using the topological relationships in the LSN to accumulate the RCA attributes downstream. The Accumulate Values Downstream tool (FLoWS) produces watershed attributes for the downstream node of each edge, which are assigned as an attribute to the edges feature class.

In this example, the rcaGrazKm2 attribute will be accumulated to calculate the total grazed area in the watershed.

- 1. Double click on the FLoWS>Analysis>Accumulate Values Downstream tool. This will open the Accumulate Values Downstream window.
- 2. Set the argument values



\*Note: there is not a specific tool that can be used to accumulate means, such as mean elevation. A workaround is to create a new field and make it equal to the product of the mean and the RCA area. Then, accumulate this product. To get the area weighted mean for each

edge's watershed, create a new field and simply divide the accumulated product by the watershed area of the edge.

# 13. INCORPORATING SITES INTO THE LSN

One challenge of working with GIS data is that sample sites collected within a stream are not always located directly on a stream reach, even though they should be. This is a common phenomenon that can result for a variety of reasons. GPS-based points generally have some error and do not always fall directly on a vertex or line segment representing a stream. Some stretches of river can move (e.g. meander) slightly from their mapped position. Streams are often represented on a map by lines and so samples collected on the banks of a large river may not fall directly on a line segment. When streams are represented at coarser scales the digital streams datasets may contain mapping errors and generalizations, such as the absence of small tributaries and the homogenization of form. Regardless of the error source, the sample sites must fall exactly on a stream line. Our solution is to 'snap' the sites to the nearest stream segment and manually examine each site to ensure that it is located on the correct stream segment.

The Snap Points to Landscape Network Edges tool provided in the FLoWS toolset allows point features (i.e. survey sites, dams, stream gauges) to be incorporated into the LSN using dynamic segmentation (Theobald et al., 2006). Dynamic segmentation involves intersecting each point with the closest edge segment, physically moving the point to that location, and calculating the distance ratio from the end of an edge to the point location. Once this is complete, distances between any two point locations in the LSN can be calculated using two pieces of information: the reach identifier (rid) and the distance ratio.

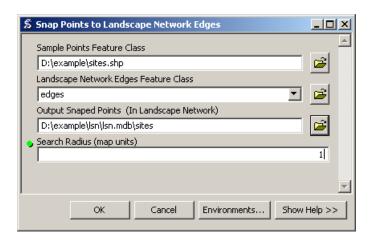
The Snap Points to Landscape Network Edges tool will snap the sites to the nearest edge, but this may not be the correct location. We recommend visually inspecting each survey site to ensure that the closest edge is the correct edge. If the site is closer to another edge it must be moved manually using the editing tools provided in ArcGIS. If detailed information is available about the relative site location along an edge, all of the sites can be edited and moved to their appropriate locations.

Note, if the sites have been incorporated into an LSN previously, the OBJECTID, rid, and ratio fields should be deleted from the sites attribute table.

Also, the values in the first user-defined field will be replaced with zeros in the new feature class. Make a copy of this field before running the tool.

- 1. Go to FLoWS>Pre-processing>Snap Points to Landscape Network Edges. This will open the Snap Points to Landscape Network Edges window.
- 2. Set the argument values. If the sites have already been edited and snapped to the appropriate edges, set the Search Radius = 1. Otherwise, examine the data and choose an appropriate search radius based on the maximum distance between sites and reaches.

Sample Points Feature Class = sites.shp Landscape Network Edges Feature Class = edges Output Snapped Points (In Landscape Network) = sites Search Radius (map units) = 1 3. Click OK. The tool may take a few minutes to run.



\*Note: Your path name may be different. Be sure to include the file extensions .shp and .mdb.

A new sites feature class will be written to the LSN geodatabase if the survey locations are successfully incorporated. The new sites attribute table will contain some new fields, two of which are the ratio and rid fields. The rid field indicates which edge the site has been snapped to. The ratio for each site,  $r_i$ , provides the exact location along the edge:

$$r_i = \frac{d(l_j, s_i)}{L_i}$$

where  $d(l_j, s_i)$  is the distance travelled along edge j (i.e. hydrologic distance) between the most-downstream location on an edge,  $l_j$ , and the site location,  $s_i$ , and  $L_j$  is the total length of the  $j^{th}$  edge. Together, the rid and ratio values are used to identify a site's location within the LSN. This is extremely useful because it allows attributes to be calculated for site-specific locations along the edges. The site ratios should always be between 0 and 1 because they are proportions; an error has occurred if values greater than 1 are present. Also, be sure to compare the total number of sites in the feature class to the total number of sites in the shapefile to ensure that all of the sites have been incorporated into the LSN.

Repeat steps 13.1 - 13.3 to incorporate preds.shp into the LSN. Name the new feature class preds.

\*Note: The run time for the Snap Points to Landscape Network tool will increase depending on the number of sites and edges in the LSN.

# 14. CALCULATE WATERSHED ATTRIBUTES

The watershed attributes assigned to the edges represent the watershed attribute for the downstream node of each edge. However, survey sites can fall anywhere along an edge. The Calculate>Watershed Attributes tool (STARS) enables watershed attributes to be estimated for any site that has been incorporated into the LSN.

$$W_{i} = \sum_{U[j]} \left( RCA_{j} \right) + \left[ \left( 1 - r_{i} \right) RCA_{j} \right]$$

where  $W_i$  is the watershed attribute for each survey site,  $s_i$ ,  $RCA_j$  is an attribute summarized over the  $j^{th}$  edge, U[j] is the complete set of edges found upstream of the  $j^{th}$  edge, and  $r_i$  is the ratio value for the site. A new field is added to the sites attribute table that contains the  $W_i$  for each site.

\*Note:  $W_i$  is simply an estimate of the true watershed attribute because  $RCA_{,i}$  does not contain spatial information about attribute (i.e. land use) variability at the sub-RCA level (Figure 7).

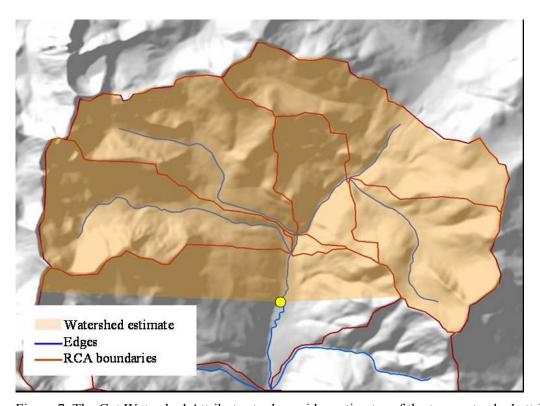
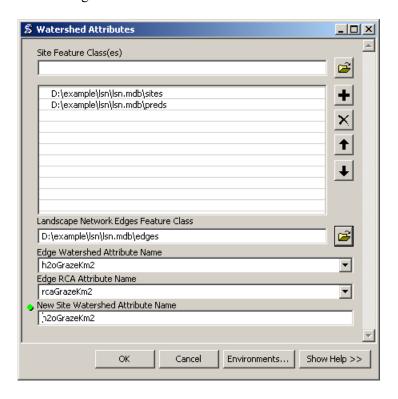


Figure 7. The Get Watershed Attributes tool provides estimates of the true watershed attribute. The watershed area, shown in orange, follows hydrologic boundaries in the upper RCAs, but appears as a straight line in the lowest RCA. This is because we simply estimate the percent coverage in the lowest RCA based on the ratio value rather than delineating the true boundary.

- 1. Go to STARS>Calculate>Watershed Attributes. This will open the Watershed Attributes window.
- 2. Set the argument values
  - 1. Watershed attributes may be calculated for a multiple site feature classes simultaneously (i.e. observed and prediction sites)
  - 2. The Edge Watershed Attribute Name must be an accumulated field found in the edges attribute table

3. The Edge RCA Attribute Name should be the RCA field that was accumulated to produce the Edge Watershed Attribute Name field



### 3. Click OK.

A new field will be added to the sites attribute table that contains the watershed attribute for each site. If the tool is run separately for multiple sites feature classes (i.e. observed and then later prediction sites), ensure that the New Site Watershed Attribute Name is identical in all of the feature classes.

This field can be easily converted to % grazing in the watershed. Simply divide it by the total watershed area at the site and then multiply it by 100 to get the percentage.

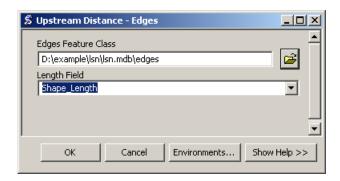
\*Note: Try running the tool again if an error message appears that says "The program DID NOT finish successfully" the first time you run it. Otherwise, go to the Troubleshooting section.

# 15. CALCULATE UPSTREAM DISTANCE

There are two tools provided in the STARS toolset to calculate the upstream distance between the stream outlet (i.e. the most downstream location in the stream network) and each of the edges and sites: Upstream Distance – Edges and Upstream Distance – Sites. The Calculate>Upstream Distance - Edges tool calculates the total distance from the uppermost location on each line segment (upstream node) to the stream outlet (i.e. the most downstream location in the stream network) and records it in the edges attribute table. The Calculate>Upstream Distance - Sites tool calculates the total distance from each site location to the stream outlet and records it in the sites attribute table. The new attributes in both the edges and sites attribute tables have the same units as the edges Shape\_Length attribute.

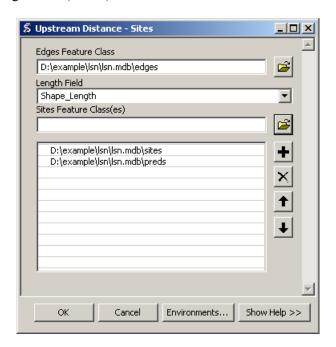
These attribute values provide part of the information needed to calculate flow-connected and flow-unconnected hydrologic distance measures in R.

- 1. Calculate Upstream Distance Edges
  - 1. Double click on STARS>Calculate>Upstream Distance Edges. This will open the Upstream Distance Edges window.
- 2. Set the arguments (below)



This tool produces a new field in the edges attribute table named 'upDist'.

- 3. Calculate Upstream Distance Sites
  - 1. Double click on STARS>Calculate>Upstream Distance Sites. This will open the Upstream Distance Sites window.
  - 2. Set the arguments (below)



\*Note: The upDist attribute must be present in the edges attribute table before the Upstream Distance – Sites tool can run.

# 16. CALCULATE SEGMENT PROPORTIONAL INFLUENCE

Calculating the spatial weights needed to fit a spatial model to streams data is a three step process: 1) calculating the segment proportional influence (PI), 2) calculating the additive function values, and 3) calculating the spatial weights (Peterson and Ver Hoef 2010). Steps 1 and 2 are performed using the STARS toolset, while step 3 is undertaken in R using the Spatial Stream Networks package. The segment PI is defined as the relative influence that a stream segment has on the segment(s) directly downstream (Figure 8). In this example, the segment PI is based on watershed area, but other measures could also be used.

To begin, watershed area is calculated for the downstream node of each edge segment in the network,  $W_j$ , using the FLoWS toolset. The cumulative watershed area at each confluence, pseudo, or outlet node (Figure 1a),  $\sum_{k=1}^n W_k$ , is calculated by summing the watershed area for the  $n \le 2$  edges that flow into it. The PI for each edge that flows into the node,  $\omega_j$ , is then

$$\omega_j = \frac{W_j}{\sum_{k=1}^n W_k}$$

The segment PIs directly upstream from a confluence always sum to 1 because they are proportions.

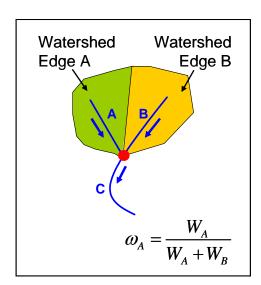
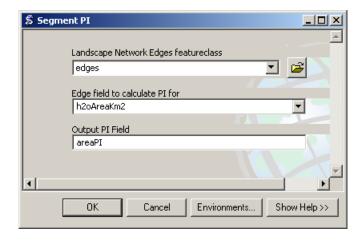


Figure 8. Calculating the segment proportional influence (PI).

- 1. Calculate the watershed area for each edge in the LSN using the FLoWS>Accumulate Values Downstream tool (see section 12 for instructions). Set 'Field to Accumulate' to rcaAreaKm2 and name the new field 'h2oAreaKm2'.
- 2. Double click on the STARS>Calculate>Segment PI
- 3. Set the arguments (below) and click OK.



This tool adds a new field to the edges attribute table that contains the segment PI values. In this example, it is named area PI.

The segment PI values are proportions and so values should range between 0 and 1. If any values are greater than 1, then the segment PI values are invalid and should be recalculated.

- 4. Check the areaPI field to ensure that values range between 0 and 1.
  - 1. Open the edges attribute table, right click on the areaPI field, scroll down and select statistics. This will open the Statistics of edges window, which contains summary statistics for the areaPI field.
  - 2. Examine the minimum and maximum values to ensure that they range between 0 and

# 17. CALCULATE ADDITIVE FUNCTION

Two tools have been provided in the STARS toolset, which are used to calculate the additive function value (AFV) for every edge and site in the LSN (Figure 9): Additive Function – Edges and Additive Function – Sites. The AFV for a given edge, j, is equal to the product of the segment PIs found in the path downstream to the stream outlet.

$$AFV_{j} = \prod_{m=1}^{n} \omega_{D_{j,m}}$$

Note that, the set of edges in the downstream path to the stream outlet,  $D_{[j]}$ , also includes the jth edge. The AFV for the outlet segment (Figure 9, edge G) is equal to 1. The AFV for a site,  $AFV_i$ , is simply equal to the  $AFV_j$  of the edge it lies on. For additional details, please see (Peterson and Ver Hoef 2010, Appendix A).

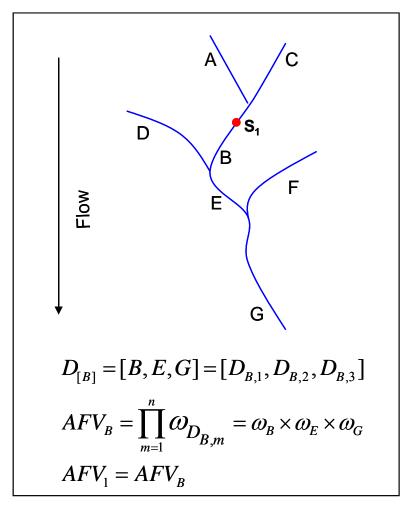
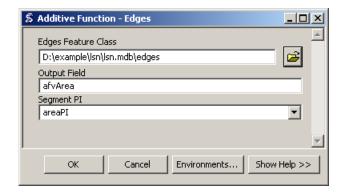


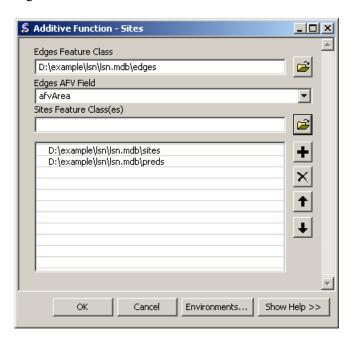
Figure 9. Calculating the additive function values (AFV) for every site and edge in the landscape network. Note that the ith site, in the example above, can be located anywhere on segment B.

- 1. Calculate Additive Function Edges
  - 1. Go to STARS>Calculate>Additive Function Edges. This will open the Calculate Additive Function Edges window.
  - 2. Set the arguments and click OK.



- 2. Calculate Additive Function Sites
  - 1. Go to STARS>Calculate>Additive Function Sites. This will open the Calculate Additive Function Sites window.

# 2. Set the arguments and click OK.



The Additive Function tools create new fields in both the sites and edges attribute table representing the AFV values. The AFV is a product of proportions (segment PI values) and so the AFV should always range between 0 and 1. Check to ensure that this is the case.

# 18. CREATE SSN OBJECT

The purpose of the Create SSN Object tool is to reformat the LSN as a Spatial Stream Network (SSN) object. The SSN object represents the spatial data and the topology of the network in a format that can be easily accessed and efficiently stored and analysed in R statistical software using the SSN package.

In a LSN, the relationships, nodexy, and noderelationships tables provide an efficient way to store and quickly analyse topological relationships between edges, sites, and nodes in the network. This information is powerful because it allows the spatial relationship between any two locations to be analysed, accounting for connectivity, flow direction, and distance (see Theobald et al. (2006) for a detailed description of the relationships tables). The LSN is currently stored as a personal geodatabase in ArcGIS, which is a Microsoft Access file (Figure 10). Unfortunately, it is difficult to access the feature geometry of feature classes in R when they are stored in this format. As an alternative, we have chosen to create the SSN object, which is used to store the feature geometry, attribute data, and topological relationships of each spatial dataset contained in the LSN in a format that can be efficiently stored, accessed, and analysed in R.

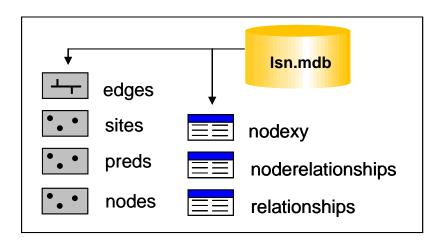


Figure 10. A landscape network (LSN) must contain six datasets before it can be used to calculate the data needed to fit the spatial statistical models: three feature classes: edges, nodes, and sites, as well as, three Access tables: nodexy, noderelationships, and relationships. Additional feature classes representing prediction locations may also be included.

The spatial datasets are stored as shapefiles in the SSN object, since shapefiles can be easily imported into R, with all of the associated shape geometry and attributes. However, shapefiles of the edges and sites in their present form cannot be used to represent the topological relationships in the LSN. Our solution was to use network and binary identifiers (IDs).

Before the binary IDs are calculated, a new folder is created to hold the files that make up the SSN object. The folder is located in the same directory as the lsn.mdb and the naming convention is *lsn name*.ssn (e.g. lsn.ssn).

The process of assigning binary IDs is relatively straightforward. The outlet edge is identified for a network in the LSN and assigned a binary ID = 1 (Figure 11). The upstream node of one outlet edge represents the downstream node of the two edges directly upstream (Figure 11, black circles). Binary IDs are assigned to the two upstream edges by appending a 0 or a 1 to the downstream binary ID (i.e.  $1 \leftarrow 10$  and 11, Figure 11). This process of moving upstream and assigning binary IDs continues until every edge in the stream network has been assigned a binary ID.

It is common for LSNs to contain multiple stream networks, with unique stream outlets. For instance, there are 16 individual stream networks in the edges feature class provided in the example. Two edges may have the same binary ID if they reside on different stream networks (Figure 11). Therefore, a network identifier (netID) is also assigned to the edges, sites, and prediction sites attribute tables to differentiate between two edges with the same binary ID. In addition, the observed and prediction sites are assigned a location ID (locID) and a point ID (pid). The locID for a record will only be unique if the dataset does not contain repeated measurements at a single location (e.g. measurements taken over time at a single location). However, the pid for each record is always unique.

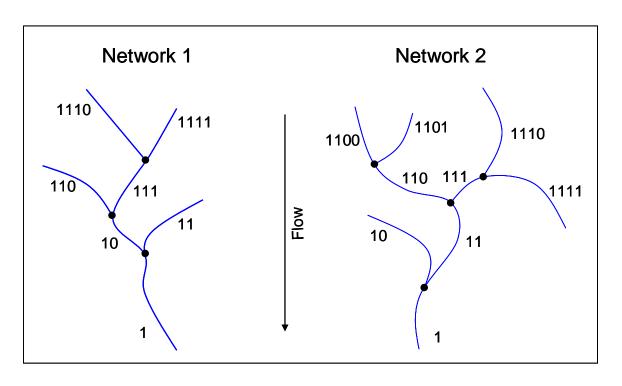


Figure 11 Binary IDs are assigned to each edge in the LSN. Edges are represented by blue lines and nodes (black circles).

The rid and binary ID for each edge are stored in a comma delimited text file (Figure 11), with a separate binary ID file for each network. The naming convention for these files corresponds to the network ID (e.g. net1.dat, net2.dat, etc.). All files are stored in the lsn.ssn folder.

"rid",	"binaryID"
418,	1
409,	10
410,	11
407,	100
408,	101
176,	110
405,	111
347,	1010

Figure 12. Binary ID text file format.

Once the binary, network, location, and point IDs have been calculated and assigned, the edges, sites, and prediction sites feature classes are converted to shapefiles, which are stored in the lsn.ssn folder. When the Create SSN tool is complete, the SSN object contains the spatial, attribute, and topological information of the LSN (Figure 13).

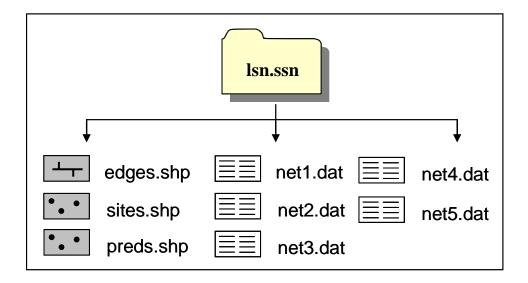


Figure 13: The SSN object contains the spatial, attribute, and topological information of the LSN. It always contains at least two shapefiles edges and sites, as well as multiple text files containing the edge binary IDs.

# To create an SSN object:

- 1. Double click on STARS>Export>Create SSN Object. This will open the Create SSN Object window.
- 2. Set the parameters (below) and click OK.



The Create SSN Object tool may take awhile to run depending on the number of edges and sites in the LSN. When the tool has finished successfully, an SSN object will have been created in the same directory as the LSN used to create it. It will have the same file structure as shown in Figure 13.

# 19. REFERENCES

- Peterson E.E. and Ver Hoef J.M (2010) Capturing multiple patterns in stream ecosystems: A moving-average approach to geostatistical modelling. Ecology, 91(3): 644-651.
- R Development Core Team (2008) R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. ISBN 30900051-07-0, URL <a href="http://www.R-project.org">http://www.R-project.org</a>
- Theobald D.M., Norman J., Peterson E.E., and Ferraz S. (2005) Functional Linkage of Watersheds and Streams (FLoWS): network-based ArcGIS tools to analyze freshwater ecosystems. Proceedings of the ESRI User Conference 2005, San Diego, CA, July 26.
- Theobald D.M., Norman J.B., Peterson E., Ferraz S., Wade A., and Sherburne M.R. 2006. Functional Linkage of Water basins and Streams (FLoWS) v1 Users Guide: ArcGIS tools for Network-based analysis of freshwater ecosystems. Natural Resource Ecology Lab, Colorado State University, Fort Collins, CO. 43 pg.
- Ver Hoef and Peterson (2010) A moving average approach for spatial statistical models of stream networks. Journal of the American Statistical Association, 489:22-24.

# 20. TROUBLESHOOTING

#### 20.1 General solutions for all tools:

- 1. Check to make sure that you have a C:\temp directory because the tool writes temporary files to this folder. If you do not have a C drive, you must change this folder name in the python scripts that are associated with this tool.
  - \*Note: this will also be an issue with other tools.
- 2. Check the C:\temp directory. Data may have been written to C:\temp, but not deleted in a previous model run. Go to C:\temp and manually delete the output.
  - 1. Close and reopen all ArcGIS products.
  - 2. Restart the computer.
- 3. When other ArcGIS products, such as Arc Catalog, are open it can create a schema lock on individual datasets. This may prevent the tools from executing successfully. Close other ArcGIS products and rerun the tool.

4. Do not use file paths with spaces in them (e.g. "C:\Documents and Settings\pet221\My Documents"

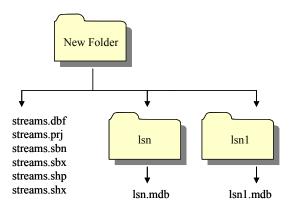
# 20.2 Build a landscape network (LSN)

- 1. When they are incorporated into the LSN, the data are converted from a shapefile to a polyline feature class and given the name 'edges'. Errors in the LSN will occur if the original streams shapefile is named edges.shp. Rename edges.shp (i.e. streams.shp) and build the LSN.
- 2. It is not uncommon that the LSN fails to build and an error similar to this one is produced

```
Error in script PolylineToLandscapeNetwork.
Error in executing: cmd.exe /C
C:\PROGRA~1\GISTools\FLoWS\RCA200~2\POLYLI~1.PY
"E:\projects\shortcourse\teachmat\data\nhd_alb3.shp"
"E:\projects\shortcourse\lsn7.mdb"
Failed to execute (PolylineToLandscapeNetwork_3).
```

#### Potential work-arounds:

- 1. Make sure that the shapefile does not have an OBJECTID field or an rid field. If it does, then delete those fields and try again.
  - 1. Create a new streams shapefile by exporting the data to a new folder.
  - 2. Try writing the LSN to a new directory folder.
  - 3. See General Solutions.
- 2. Create a new folder and export the streams shapefile to this folder. Make sure to create a new dataset (export) rather than simply copying the dataset. Create another folder within this folder (i.e. lsn) and generate the new LSN in this folder. When ever a new LSN must be generated, create a new folder (i.e. lsn1) and generate the new LSN in this folder.



3. PolylineM and PolylineZ geometries pose difficulties for some of the FLoWS and STARS tools. NHDPlus hydrography layers, such as nhdflowlines commonly used in the United States, contain both M and Z coordinates. These must be removed from the shapefile prior to building the LSN.

# 20.3 Check network topology

- 1. Program fails to execute
  - 1. Make sure that the edges feature class has a rid field. If not, recreate the LSN and rerun the Check Network Topology tool.

\*Note: The Check Network Topology tool stores temporary files in C:\temp. Is it important to clear this folder before you re-run the Check Network Topology tool.

# 20.4 Creating RCAs

- 1. Cannot convert feature to raster
  - 1. Check the projection of your shapefile. Is it in the same projection as the DEM? If not, reproject your data so that it is in the same projection and try again.
  - 2. Try a new name. Sometimes the error occurs if you try to use the same name for a raster that was previously created and deleted. If you want to use the same name, you can navigate to the working directory in Windows Explorer and delete the <rastername>.aux file. You will not be able to do this in ArcCatalog.
- 2. The Create Cost RCAs tool seems to have finished and successfully generated cost RCAS, but they are not in the working directory.
  - 1. The tool appears to have completed in an unrealistically short period of time and nothing was created. Check to make sure that the waterbody grid is an integer grid. If not, convert it to an integer, delete the files that were left in the C:\temp folder and try again.
- 3. Create Cost RCAs tool does not complete successfully.
  - 1. In the Create Cost RCAs tools window, the .shp extension is not included for the Output RCA Shapefile argument. If the extension is included, (i.e. costrcas.shp instead of costrcas), the program will not execute properly.