#### CS442 Notes

# 1 Functional Programming

- to begin: Functional Programming in general, not a particular language
- need a model
  - simple
  - powerful enough to be useful

Church-Turing Thesis: Any algorithm can be simulated on a Turing machine.

#### **Alan Turing**

- Turing machine
- not an inspiring model for programming

#### Alonzo Church

- $\lambda$ -calculus
- equivalent to Turing Machines
- the basis for all of functional programming

## 1.1 Untyped Lambda-Calculus

### Calculus

- a system or method of calculation
- syntax + rules for manipulating syntax

Lambda-Calculus (1934) - "a calculus of functions"

Syntax: Abstract Syntax

variable, abstraction, application

$$< expr > ::= < var > | < abs > | < app >$$
 $< var > ::= a|b|c$ 
variable and body
 $< abs > ::= \lambda < var > . < expr >$ 
rator and rand
 $< app > ::= < expr > < expr >$ 

Use parenthesis to disambiguate parses

#### **Conventions:**

1. Abstractions extend as far to the right as possible

e.g. 
$$\lambda x.y \ z = \lambda x.(y \ z) \neq (\lambda x.y) \ z$$

2. Applications associate left-to-right

e.g. 
$$x y z = (x y) z \neq x (y z)$$

#### Interpretation

- Var: Self explanatory
- Abs:  $\lambda x.E$  = "function" taking argument x and returning expr E
- App: M N result of applying "function" M to argument N

#### Consider:

 $\lambda x.x$  - Here the first x is a binding occurrence and second x is a bound occurrence.

 $\lambda x.x \ y \ x$  - The y is a free occurrence (fully parenthesized function is  $\lambda x.((x \ y) \ x)$ )

 $\lambda x.x (\lambda x.x) x$  - Same variable name might be bound in difference places as long as the scope is not clashing

 $x \lambda x.x$  - The x in the front is free while the other one is bound

#### Informally:

A variable is bound if it has a bound occurrence.

A variable is free if it has a free occurrence

The same variable can be used in both bound and free occurrences

### Formally:

**Definition:** Let E be an expression. The bound variables of E,  $B \cup [E]$  are given by

$$B \cup [x] = \emptyset$$

$$B \cup [\lambda x.E] = B \cup [E] \cup \{x\}$$

$$B \cup [M \ N] = B \cup [M] \cup B \cup [N]$$

x is bound in E if  $x \in B \cup [E]$ 

The free variables of  $E, F \cup [E]$  are given by

$$F \cup [x] = \{x\}$$

$$F \cup [\lambda x.E] = F \cup [E] \setminus \{x\}$$

$$F \cup [M\ N] = F \cup [M] \cup F \cup [N]$$

x is free in E if  $x \in F \cup [E]$ 

A variable can be both bound and free:  $F \cup [x \ \lambda x.x] = B \cup [x \ \lambda x.x] = \{x\}$ 

But each occurrence is either bound or free, not both

Two occurrences of a variable x "mean the same thing" if

- 1. they are both free occurrences
- 2. OR they have the same binding occurrence

 $\lambda x.\lambda y.y$  x and  $\lambda a.\lambda b.b$  a these should mean the same thing

 $\lambda x.y$  x and  $\lambda x.w$  x should not mean the same thing because y and w do not have to be the same free variable

You can change the names of bound variables, but not free ones.

## Formally:

**Definition** ( $\alpha$ -conversion): For all  $x, y, M, \lambda x.M =_{\alpha} \lambda y.M[y/x]$  if  $y \notin F \cup [M]$ 

M[N/x] = "substitute N for x in M"

More generally, if  $C[\lambda x.M]$  denotes an expr in which  $\lambda x.M$  occurs as a subexpression, then  $C[\lambda x.M] =_{\alpha} C[\lambda y.M[y/x]]$  if  $y \notin F \cup [M]$ .

e.g.

$$\lambda x.x =_{\alpha} \lambda y.y$$

$$x \lambda x.x =_{\alpha} x \lambda a.a$$

$$\lambda a.b \ a =_{\alpha} \lambda c.b \ c \neq_{\alpha} \lambda b.b \ b \neq_{\alpha} \lambda a.d \ a$$

#### Computation:

Expr.  $(\lambda x.M)$  N is called a  $(\beta$ -)redex (reductible expression)

We expect:  $(\lambda x.M) N \Rightarrow \text{evaluate } M, \text{ with } N \text{ substituted for } x \text{ i.e. } M[N/x]$ 

**Definition** ( $\beta$ -reduction): For all  $M, N, x, (\lambda x.M) N \rightarrow_{\beta} M[N/x]$ 

More generally - for all contexts  $C, C[(\lambda x.M) \ N] \rightarrow_{\beta} C[M[N/x]]$ 

 $\rightarrow_{\beta}$  is a binary relation on terms

 $A \rightarrow_{\beta} B$ : A beta reduces to B in one step

 $A \to_{\beta}^{n} B$ : A beta reduces to B in n steps

 $A \to_\beta^* B \colon A$  beta reduces to B in 0 or more steps

 $A \rightarrow^+_{\beta} B$ : A beta reduces to B in 1 or more steps

## Evaluating M[N/x]

Want: Substitute N for all free occurrences of x in M

## Definition (substitution, naive(wrong)):

$$\begin{split} x[E/x] &= E \\ y[E/x] &= y, \ y \neq x \\ (M\ N)[E/x] &= M[E/x]\ N[E/x] \\ (\lambda x.P)[E/x] &= \lambda x.P \\ (\lambda y.P)[E/x] &= \lambda y.P[E/x], \ y \neq x \end{split}$$

E.g.

$$(\lambda x.x \ y) \ z \to_{\beta} (x \ y)[z/x]$$
$$= x[z/x] \ y[z/x]$$
$$= z \ y$$

$$(\lambda x.x) \ a \to_{\beta} x[a/x] = a$$

$$(\lambda x.\lambda y.x) \ a \ b \to_{\beta} (\lambda y.x)[a/x] \ b$$

$$= (\lambda y.x[a/x]) \ b$$

$$= (\lambda y.a) \ b$$

$$\to_{\beta} a[b/y]$$

$$= a$$

$$(\lambda x.\lambda y.y) \ a \ b \to_{\beta} (\lambda y.y)[a/x] \ b$$

$$= (\lambda y.y[a/x]) \ b$$

$$= (\lambda y.y) \ b$$

$$\to_{\beta} y[b/y]$$

$$= b$$

## Consider:

$$(\lambda x.\lambda y.x) \ y \ w \to_{\beta} (\lambda y.x)[y/x] \ w$$

$$= (\lambda y.x[y/x]) \ w$$

$$= (\lambda y.y) \ w$$

$$\to_{\beta} y[w/y]$$

$$= w$$

We can see from this that the last rule of  $(\lambda y.P)[E/x] = \lambda y.P[E/x], y \neq x$  must be wrong.

What happened? Free variable y became bound after substitution

As a result, the binding occurrence of x changed

 $\lambda x.\lambda y.x \leftarrow$  the inner x is bound to the  $\lambda x$  on the outside.

This is called **Dynamic binding** - meaning of variables uncertain until runtime

We want static binding - meanings of variables fixed before runtime

## Definition (substitution, fixed):

$$\begin{split} x[E/x] &= E \\ y[E/x] &= y, \ y \neq x \\ (M\ N)[E/x] &= M[E/x]\ N[E/x] \\ (\lambda x.P)[E/x] &= \lambda x.P \\ (\lambda y.P)[E/x] &= \lambda y.P[E/x], \ y \notin F \cup [E] \\ (\lambda y.P)[E/x] &= \lambda z.(P[z/y][E/x]), \ y \in F \cup [E], \ z \ \text{a "fresh" variable} \end{split}$$

Now

$$(\lambda x.\lambda y.x) \ y \ w \to_{\beta} (\lambda y.x)[y/x] \ w$$

$$= (\lambda z.x[z/y][y/x]) \ w$$

$$= (\lambda z.x[y/x]) \ w$$

$$= (\lambda z.y) \ w$$

$$\to_{\beta} y[w/z]$$

$$= y$$

Computation:  $\beta$ -reduction until you reach a Normal Form.

**Definition** ( $\beta$ -Normal Form): An expr is in  $\beta$  Normal Form if it has no  $\beta$ -redices.

**Definition (Weak Normal Form):** An expr is in Weak Normal Form if the only  $\beta$ -redices are inside abstractions. e.g.  $\lambda z.(\lambda x.x)$  y.

Usually, "Normal Form" will mean  $\beta$ -Normal Form.

#### Consider:

$$(\lambda x.x)((\lambda y.y) z) \to_{\beta} x[((\lambda y.y) z)/x]$$

$$= (\lambda y.y) z$$

$$\to_{\beta} y[z/y]$$

$$= z$$

Or

$$(\lambda x.x)((\lambda y.y) z) \to_{\beta} (\lambda x.x)(y[z/y])$$

$$= (\lambda x.x) z$$

$$\to_{\beta} x[z/x]$$

$$= z$$

- two difference reduction sequences. Does it matter which we take?

**Theorem (Church-Rosser):** Let  $E_1, E_2, E_3$  be expressions such that  $E_1 \to_{\beta}^* E_2$  and  $E_1 \to_{\beta}^* E_3$ . Then there is an expression  $E_4$  such that (up to  $\alpha$ -equivalence)  $E_2 \to_{\beta}^* E_4$  and  $E_3 \to_{\beta}^* E_4$ .

Immediate Consequence - An expr E can have at most one  $\beta$ -Normal Form (modulo  $\alpha$ -equivalence)

Do all expressions have a  $\beta$ -NF? No!

#### Consider:

$$(\lambda x.x \ x)(\lambda x.x \ x) \to_{\beta} (x \ x)[(\lambda x.x \ x)/x]$$
  
=  $(\lambda x.x \ x)(\lambda x.x \ x)$ 

It does not have a  $\beta$ -NF because it always reduces to itself.

Which exprs have a  $\beta$ -NF? - undecidable - equivalent to Halting Problem

#### Consider:

$$(\lambda x.y)((\lambda x.x\ x)(\lambda x.x\ x)) \rightarrow_{\beta} (\lambda x.y)((\lambda x.x\ x)(\lambda x.x\ x)) \rightarrow_{\beta} \cdots$$

Or, the alternate way of reducing this

$$(\lambda x.y)((\lambda x.x \ x)(\lambda x.x \ x)) \to_{\beta} y[(\lambda x.x \ x)(\lambda x.x \ x)/x]$$
  
= y

 $\therefore$  Order does matter when  $\infty$ -reductions are possible.

Reduction Strategies - "plans" for choosing a redex to reduce.

Applicative Order Reductions (AOR): Choose the leftmost, innermost redex.

- Innermost = not containing any other redex
- This is called "eager evaluation"

Normal Order Reduction (NOR): Choose the leftmost, outermost

- outermost = not contained in any other redex
- "lazy evaluation"

To the example earlier, the one the results in infinite reduction is AOR and the one that go the  $\beta$ -NF form is NOR.

e.g.

$$(\lambda x.x)((\lambda y.y) \ z) \xrightarrow{\text{AOR}}_{\beta} (\lambda x.x) \ z \xrightarrow{\text{AOR}}_{\beta} z$$
$$(\lambda x.x)((\lambda y.y) \ z) \xrightarrow{\text{NOR}}_{\beta} (\lambda y.y) \ z \xrightarrow{\text{NOR}}_{\beta} z$$

**Theorem (Standardization):** If an expr as a  $\beta$ -NF, then NOR is guaranteed to reach it.

But most proramming languages use applicative order, including Scheme.

$$\eta$$
-reduction: Consider  $(\lambda x.y \ x) \ z \to_{\beta} (y \ x)[z/x] = y \ z$ 

So  $\lambda x.y$  x behaves exactly like z

**Definition** ( $\eta$ -reduction):  $\lambda x.M \ x \to_{\eta} M \ \text{if} \ x \notin F \cup [M]$ 

More generally, 
$$C[\lambda x.M \ x] \to_{\eta} C[M]$$
 if  $x \notin F \cup [M]$ 

So we have  $\lambda x.y \ x \to_{\eta} y$ 

## 1.2 Programming in the $\lambda$ -Calculus

Shorthand for convenience: [[·]]: (real-world programming language)  $\rightarrow \lambda$ -calculus

For a real-world expr E, [[E]] is our representation of E in the  $\lambda$ -calculus

e.g. 
$$[[id]] = \lambda x.x$$

**Note:** [[·]] is just shorthand. An expression containing [[·]] is not  $\lambda$ -calculus until all [[·]]s have been replaced with what they represent.

**Booleans:** Let  $[[true]] = \lambda x.\lambda y.x$  and  $[[false]] = \lambda x.\lambda y.y.$ 

if ¡bool¿ then ¡true-part¿ else ¡false-part¿

if(b, t, f) = if b then t else f

$$[[if]] = \lambda b.\lambda t.\lambda f.b \ t \ f$$

Note that  $\lambda b.\lambda t.\lambda f.b \ t \ f \rightarrow_{\eta}^{2} \lambda b.b$ 

Alternatively, [[if B then T else F]] = [[B]][[T]][[F]]

Does it work?

[[if true then P else Q]] = [[true]][[P]][[Q]]  
= 
$$(\lambda x.\lambda y.x)[[P]][[Q]]$$
  
 $\rightarrow_{\beta}$  [[P]]

[[if false then P else Q]] = [[false]][[P]][[Q]] 
$$= (\lambda x. \lambda y. y)[[P]][[Q]]$$
 
$$\rightarrow_{\beta} [[Q]]$$

Now, for the definition of **Not**:

$$\begin{split} [[not]] &= \lambda b. [[\text{if b then false else true}]] \\ &= \lambda b. b[[false]] [[true]] \\ &= \lambda b. b(\lambda x. \lambda y. y)(\lambda x. \lambda y. x) \end{split}$$

We can test it:

$$\begin{split} [[\text{not true}]] &= (\lambda b.b[[false]][[true]]) \ [[true]] \\ &\rightarrow_{\beta} \ [[true]][[false]][[true]] \\ &= (\lambda x.\lambda y.x)[[false]][[true]] \\ &\rightarrow_{\beta}^2 \ [[false]] \end{split}$$

For the definition of **And**:

$$[[and]] = \lambda p.\lambda q.[[if p then q else false]]$$
  
=  $\lambda p.\lambda q.p q [[false]]$ 

For the definition of **Or**:

$$[[and]] = \lambda p.\lambda q.[[if p then true else q]]$$
  
=  $\lambda p.\lambda q.p [[true]] q$ 

Storage: Use lists. Scheme - lists based on pairs.

(cons a b) creates the pair

(cons a (cons b c)) creates the list

∴ nested pairs create lists

## Need to implement:

- cons
- nil empty list
- null? is the list empty?
- car first component of the pair
- cdr 2nd component of the pair

Modelling pairs: pair - function that takes a selector as a parameter

If the selector is true - return the first component

If the selector is true - return the second component

i.e.  $[[pair]] = \lambda s$ .[[if s then h else t]] where s is the selector, h is the head, t is the tail.

So  $[[pair]] = \lambda s.s \ h \ t$ 

Then  $[[cons]] = \lambda h.\lambda t.\lambda s.s h t$ 

e.g.

$$\begin{aligned} [[\text{cons a (cons b nil)}]] &= [[cons]] \ a \ ([[cons]] \ b \ [[nil]]) \\ &= (\lambda h.\lambda t.\lambda s.s \ h \ t) \ a \ ([[cons]] \ b \ [[nil]]) \\ &\to_{\beta}^2 \lambda s.s \ a \ ([[cons]] \ b \ [[nil]]) \\ &= \lambda s.s \ a ((\lambda h.\lambda t.\lambda s.s \ h \ t) \ b \ [[nil]]) \\ &\to_{\beta}^2 \lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]) \end{aligned}$$

**car:** return the head ⇒ pass the selector "true"

$$[[car]] = \lambda l.l \ [[true]] = \lambda l.l \ (\lambda x.\lambda y.x)$$

Similarly, **cdr:** return the tail  $\Rightarrow$  pass the selector "false"

$$[[cdr]] = \lambda l.l \ [[false]] = \lambda l.l \ (\lambda x.\lambda y.y)$$

#### null?

- must return false when given a pair  $(\lambda s.s \ h \ t)$
- pass a selector that consumes h and t, returns false

i.e. 
$$[[null?]] = \lambda l.l(\lambda a.\lambda b.[[false]]) = \lambda l.l(\lambda a.\lambda b.\lambda x.\lambda y.y)$$

$$[[null?]](\lambda s.s \ h \ t) = (\lambda l.l(\lambda a.\lambda b.\lambda x.\lambda y.y))(\lambda s.s \ h \ t)$$

$$\rightarrow_{\beta} (\lambda s.s \ h \ t)(\lambda a.\lambda b.\lambda x.\lambda y.y)$$

$$\rightarrow_{\beta} (\lambda a.\lambda b.\lambda x.\lambda y.y) \ h \ t$$

$$\rightarrow_{\beta}^{2} \lambda x.\lambda y.y$$

$$= [[false]]$$

nil: something to make null? return true.

$$[[nil]] = \lambda s.[[true]] = \lambda s.\lambda x.\lambda y.x$$

$$[[null?]][[nil]] = (\lambda l.l(\lambda a.\lambda b.\lambda x.\lambda y.y))(\lambda s.\lambda x.\lambda y.x)$$

$$\rightarrow_{\beta} (\lambda s.\lambda x.\lambda y.x)(\lambda a.\lambda b.\lambda x.\lambda y.y)$$

$$\rightarrow_{\beta} \lambda x.\lambda y.x$$

$$= [[true]]$$

## Numbers

- Consider only non-negative integers
- Easy encode n as a list of length n

$$[[0]] = [[nil]]$$

$$[[1]] = \lambda s.s ? [[nil]]$$
 where ? is just whatever
$$[[2]] = \lambda s.s ? (\lambda s.s ? [[nil]])$$

 $\textbf{Primitives:} \ [[pred]], [[succ]], [[isZero?]]$ 

$$[[isZero?]] = [[null?]]$$

$$[[pred]] = [[cdr]]$$

$$[[succ]] = \lambda n.\lambda s.s ? n (= \lambda n.[[cons]] ? n)$$

**Exercise:**  $[[pred\ (succ\ m)]] =_{\beta} m$ 

Clever Solution: Church Numberals

Represent n as the act of applying a function f n times to an argument x.

$$[[0]] = \lambda f.\lambda x.x$$

$$[[1]] = \lambda f. \lambda x. f x$$

$$[[2]] = \lambda f. \lambda x. f(f x)$$

$$[[3]] = \lambda f. \lambda x. f (f (f x))$$

$$[[n]] f x = f^n(x)$$

addition: m + n - apply f n times to x, then apply f m times to the result

$$[[+]] = \lambda m.\lambda n.\lambda f.\lambda x.m \ f \ (n \ f \ x)$$

$$[[+23]] = (\lambda m.\lambda n.\lambda f.\lambda x.m \ f \ (n \ f \ x))(\lambda f.\lambda x.f \ (f \ x))(\lambda f.\lambda x.f(f(f \ x)))$$

$$\rightarrow^{2}_{\beta} \lambda f.\lambda x(\lambda f.\lambda x.f(f \ x)) \ f \ ((\lambda f.\lambda x.f(f \ x))) \ f \ x)$$

$$\rightarrow_{\beta} \lambda f.\lambda x.(\lambda x.f(f \ x))(\lambda f.\lambda x.f(f(f \ x))) \ f \ x)$$

$$\rightarrow_{\beta} \lambda f.\lambda x.f(f((\lambda f.\lambda x.f(f(f \ x))) \ f \ x))$$

$$\rightarrow_{\beta} \lambda f.\lambda x.f(f((\lambda x.f(f(f(x)))) \ x)$$

$$\rightarrow_{\beta} \lambda f.\lambda x.f(f(f(f(f(x)))))$$

$$= [[5]]$$

**Special case:**  $[[succ]] = \lambda n.\lambda f.\lambda x.n \ f \ (f \ x) \ (or \ f(n \ f \ x))$ 

**Subtraction:** Harder - find a function to apply n times to produce [[n-1]]

Consider: Start with [[cons 0 0]]

apply the function  $f:[[cons\ a\ b]] \to [[cons\ a+1\ a]]$ 

n times:  $[[cons \ n \ n-1]]$  - then take the cdr.

$$[[pred]] = \lambda n.[[cdr]] \ (n \ (\lambda p.[[cons]]([[succ]][[car \ p]]) \ [[car \ p]])([[cons]][[0]][[0]]))$$
 
$$[[-]] = \lambda m.\lambda n.n \ [[pred]] \ m$$

Multiplication: Apply the n-fold repetition of f, m times

$$[[*]] = \lambda m.\lambda n.\lambda f.\lambda x.m (n f) x =_n \lambda m.\lambda n.\lambda f.m (n f)$$

Since 
$$n f = f^n$$
, then  $m (n f) = (f^n)^m$ 

Notice that if you change m and n to f and g, and f to x, you get f(g(x)) which is just function composition.

**Exponentiation:**  $m^n$  - the n-fold repetition of m itself

$$[[ ]] = \lambda m.\lambda n.\lambda f.\lambda x.n \ m \ f \ x =_n \lambda m.\lambda n.n \ m$$

**Recursion:** find the length of a list

$$[[len]] = \lambda l.[[if (null? l) 0 (succ (len (cdr l)))]]$$
  
=  $\lambda l.([[null?]] l) [[0]] ([[succ]] ([[len]] ([[cdr]] l)))$ 

WRONG! [[len]] defined in terms of itself.

How do we get a closed-form representation of len? Solve the equation.

**Aside:** fixed points. A fixed point of a function f is a value x such that x = f(x)

e.g. 
$$f(x) = x^2 - 6$$
 has a fixed point of 3 since  $f(3) = 3$  
$$[[len]] = \lambda l.([[null?]] \ l)[[0]]([[succ]]([[len]]([[cdr]] \ l)))$$
 
$$\leftarrow_{\beta} (\lambda f. \lambda l.([[null?]] \ l)[[0]]([[succ]](f \ ([[cdr]] \ l)))) \ [[len]]$$
 
$$=_{\beta} F([[len]]) \text{ where } F = (\lambda f. \lambda l.([[null?]] \ l)[[0]]([[succ]](f \ ([[cdr]] \ l))))$$
 
$$[[len]] \text{ satisfies } [[len]] = F([[len]])$$

 $\therefore$  Need a fixed point of F

Consider:

$$X = (\lambda x. f(x \ x))(\lambda x. f(x \ x))$$
$$\to_{\beta} f((\lambda x. f(x \ x))(\lambda x. f(x \ x)))$$
$$= f(X)$$

 $\therefore$  X is a fixed point of f

Now parameterize by f, get

$$Y = \lambda f.(\lambda x. f(x \ x))(\lambda x. f(x \ x))$$

This is Curry's Paradoxical Combinator (or Y combinator)

- returns the fixed point of any function

$$Y g = (\lambda f.(\lambda x. f(x \ x))(\lambda x. f(x \ x))) g$$

$$\rightarrow_{\beta} (\lambda x. g(x \ x))(\lambda x. g(x \ x))$$

$$\rightarrow_{\beta} g((\lambda x. g(x \ x))(\lambda x. g(x \ x)))$$

$$\rightarrow_{\beta} g(Y \ g)$$

... for any  $g, Y g =_{\beta} g(Y g)$ . i.e. Y g is a fixed point of g.

Any combinator (closed expression) C such that for all g, C  $g =_{\beta} g(C g)$  is called a **fixed-point** combinator.

$$\therefore [[len]] = Y F = Y(\lambda f.\lambda l.([[null?]] \ l) \ [[0]] \ ([[succ]] \ (f \ ([[cdr]] \ l)))$$

e.g.

```
[[len]] ([[cons]] a ([[cons]] b [[nil]])
 =_{\beta} [[len]] (\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))
 = Y; F(\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))
 = (\lambda f.(\lambda x.f(x x))(\lambda x.f(x x)) F (\lambda s.s a (\lambda s.s b [[nil]])) done through NOR reduction
 \rightarrow_{\beta} (\lambda x.F(x x))(\lambda x.F(x x))(\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))
 \rightarrow_{\beta} F((\lambda x.F(x x))(\lambda x.F(x x)))(\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))
 = (\lambda f. \lambda l. ([[null?]] \ l) \ [[0]] \ ([[succ]] \ (f \ ([[cdr]] \ l))) ((\lambda x. F(x \ x)) (\lambda x. F(x \ x))) (\lambda s. s \ a \ (\lambda s. s \ b \ [[nil]]))
 \rightarrow_{\beta} (\lambda l.([[null?]] \ l) \ [[0]] ([[succ]] (((\lambda x.F(x \ x))(\lambda x.F(x \ x))) ([[cdr]] \ l))))(\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))
 \rightarrow_{\beta} ([[null?]] (\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))) \ [[0]] ([[succ]] (((\lambda x.F(x \ x))(\lambda x.F(x \ x))) ([[cdr]] (\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]])))))
 \rightarrow_{\beta}^{*} [[false]] [[0]] [[succ]](((\lambda x.F(x x))(\lambda x.F(x x))) ([[cdr]] (\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))))
\rightarrow_{\scriptscriptstyle R}^2 \left[\left[succ\right]\right] \left(\left((\lambda x.F(x\;x))(\lambda x.F(x\;x))\right) \left(\left[\left[cdr\right]\right] \left(\lambda s.s\;a\;(\lambda s.s\;b\;\left[\left[nil\right]\right]\right)\right)\right)
 \rightarrow_{\beta}^{*} [[succ]](((\lambda x.F(x x))(\lambda x.F(x x))) (\lambda s.s b [[nil]])) Not NOR-done for brevity
We can see a pattern here
 \rightarrow_{\beta}^{*} [[succ]]([[succ]]((\lambda x.F(x x))(\lambda x.F(x x)))([[cdr]](\lambda s.s b [[nil]]))))
 \rightarrow_{\beta}^{*}[[succ]]([[succ]]((\lambda x.F(x x))(\lambda x.F(x x)))[[nil]])
 \rightarrow_{\beta} [[succ]]([[succ]](F((\lambda x.F(x x))(\lambda x.F(x x))))[[nil]])
 = [[succ]]([[succ]]((\lambda f.\lambda l.([[null?]] \ l) \ [[0]] \ ([[succ]] \ (f \ ([[cdr]] \ l))) \ ((\lambda x.F(x \ x))(\lambda x.F(x \ x)))[[nill]])
 \rightarrow_{\beta}^{2} [[succ]]([[succ]]([[null?]][[nil]]) [[0]]([[succ]]((\lambda x.F(x x))(\lambda x.F(x x))))([[cdr]][[nil]]))
 \rightarrow_{\beta}^{*} [[succ]]([[succ]] [[true]] [[0]] ([[succ]] ((\lambda x.F(x x))(\lambda x.F(x x)))) ([[cdr]][[nil]]))
\rightarrow^2_{\beta} [[succ]]([[succ]] 0)
\rightarrow^2_\beta [[2]]
```

- Works under NOR, but not under AOR.

For recursion under eager evaluation, need 3 things:

- 1) Modified reduction strategy Applicative Order Evaluation (AOE)
  - choose the leftmost, innermost redex that is not within the body of an abstraction
- 2) Modified Y combinator

- 
$$Y' = \lambda f.(\lambda x. f(\lambda y. x \ x \ y))(\lambda x. f(\lambda y. x \ x \ y))$$
  
Note:  $Y' \to_{\eta}^{2} Y$ 

3) "Short-circuit if-then-else"

[[if B then T else F]] [[B]] 
$$(\lambda x.[[T]]) (\lambda x.[[F]]) x$$

## 1.3 Scheme & Functional Programming

See notes, Chapter 3

- "pure" functional programming No side effects, no mutation, no mutation, no state, no I/O
- ⇒ referential transparency output of a function is completely determined by its input

Consequence - "equals can be substituted for equals"

e.g.  $(let ((z (f 3))) \cdots)$  - anywhere z occurs, can substitute (f 3), and vice versa

- Not possible in the presence of side-effects.

### **Higher-Order Functions**

Functions are first-class values - can be

- 1) passed as parameters
- 2) returned by functions
- 3) stored as data

Functions that take or return other functions are called higher-order functions

e.g. map, foldl, foldr

Consider:  $\lambda x.\lambda y.x \ (lambdax.\lambda y.x) \ a \ b \rightarrow_{\beta}^2 a$ 

- takes 2 arguments and returns the first

In Scheme: (lambda (x) (lambda (y) x))

(((lambda (x) (lambda (y) x)) 1) 2)

This form (lambda (x) (lambda (y) x)) called **curried**.

- simulate multi-argument functions with functions that return functions

Advantage - partial application

(define plus (lambda (x) (lambda (y) (+ x y))))

(define f (plus 5)) - save for later use - f is a function that adds 5

 $(f 1) \Rightarrow 6$ 

$$(f 8) \Rightarrow 13$$

## Implemented

- first-class functions implemented as a  ${\bf closure}$
- a pair [function code env]
- pointer to function code, pointer to the environment in which the function was defined.

This is how f knows what x was when (plus 5) was called.

# 2 Type Theory

Consider [[true id]]

$$[[true]][[id]] = (\lambda x. \lambda y. x)(\lambda z. z)$$

$$\rightarrow_{\beta} \lambda y. \lambda z. z$$

$$= [[false]]$$

(true id) produces false. Makes sense? NO!

Unrestricted combinations  $\Rightarrow$  unexpected results. - only do things that make sense

How? Introduce a system of types

- set of values an expression can have
- interpretation of raw data

Type System - set of types and set of rules for assigning types

An expression is **well-typed** if a type is derivable for it from the type rules - else it is **ill-typed** 

Strong vs weak typing - how strictly are type rules enforced?

Static vs Dynamic Typing

- When are types determined
  - static-at-compile-time (C)
  - dynamic-at-run-time (Scheme)