CS442 Notes

1 Functional Programming

- to begin: Functional Programming in general, not a particular language
- need a model
 - simple
 - powerful enough to be useful

Church-Turing Thesis: Any algorithm can be simulated on a Turing machine.

Alan Turing

- Turing machine
- not an inspiring model for programming

Alonzo Church

- λ -calculus
- equivalent to Turing Machines
- the basis for all of functional programming

1.1 Untyped Lambda-Calculus

Calculus

- a system or method of calculation
- syntax + rules for manipulating syntax

Lambda-Calculus (1934) - "a calculus of functions"

Syntax: Abstract Syntax

variable, abstraction, application

$$< expr > ::= < var > | < abs > | < app >$$
 $< var > ::= a|b|c$
variable and body
 $< abs > ::= \lambda < var > . < expr >$
rator and rand
 $< app > ::= < expr > < expr >$

Use parenthesis to disambiguate parses

Conventions:

1. Abstractions extend as far to the right as possible

e.g.
$$\lambda x.y \ z = \lambda x.(y \ z) \neq (\lambda x.y) \ z$$

2. Applications associate left-to-right

e.g.
$$x y z = (x y) z \neq x (y z)$$

Interpretation

- Var: Self explanatory
- Abs: $\lambda x.E$ = "function" taking argument x and returning expr E
- App: M N result of applying "function" M to argument N

Consider:

 $\lambda x.x$ - Here the first x is a binding occurrence and second x is a bound occurrence.

 $\lambda x.x \ y \ x$ - The y is a free occurrence (fully parenthesized function is $\lambda x.((x \ y) \ x)$)

 $\lambda x.x (\lambda x.x) x$ - Same variable name might be bound in difference places as long as the scope is not clashing

 $x \lambda x.x$ - The x in the front is free while the other one is bound

Informally:

A variable is bound if it has a bound occurrence.

A variable is free if it has a free occurrence

The same variable can be used in both bound and free occurrences

Formally:

Definition: Let E be an expression. The bound variables of E, $B \cup [E]$ are given by

$$B \cup [x] = \emptyset$$

$$B \cup [\lambda x.E] = B \cup [E] \cup \{x\}$$

$$B \cup [M \ N] = B \cup [M] \cup B \cup [N]$$

x is bound in E if $x \in B \cup [E]$

The free variables of $E, F \cup [E]$ are given by

$$F \cup [x] = \{x\}$$

$$F \cup [\lambda x.E] = F \cup [E] \setminus \{x\}$$

$$F \cup [M\ N] = F \cup [M] \cup F \cup [N]$$

x is free in E if $x \in F \cup [E]$

A variable can be both bound and free: $F \cup [x \ \lambda x.x] = B \cup [x \ \lambda x.x] = \{x\}$

But each occurrence is either bound or free, not both

Two occurrences of a variable x "mean the same thing" if

- 1. they are both free occurrences
- 2. OR they have the same binding occurrence

 $\lambda x.\lambda y.y$ x and $\lambda a.\lambda b.b$ a these should mean the same thing

 $\lambda x.y$ x and $\lambda x.w$ x should not mean the same thing because y and w do not have to be the same free variable

You can change the names of bound variables, but not free ones.

Formally:

Definition (α -conversion): For all $x, y, M, \lambda x.M =_{\alpha} \lambda y.M[y/x]$ if $y \notin F \cup [M]$

M[N/x] = "substitute N for x in M"

More generally, if $C[\lambda x.M]$ denotes an expr in which $\lambda x.M$ occurs as a subexpression, then $C[\lambda x.M] =_{\alpha} C[\lambda y.M[y/x]]$ if $y \notin F \cup [M]$.

e.g.

$$\lambda x.x =_{\alpha} \lambda y.y$$

$$x \lambda x.x =_{\alpha} x \lambda a.a$$

$$\lambda a.b \ a =_{\alpha} \lambda c.b \ c \neq_{\alpha} \lambda b.b \ b \neq_{\alpha} \lambda a.d \ a$$

Computation:

Expr. $(\lambda x.M)$ N is called a $(\beta$ -)redex (reductible expression)

We expect: $(\lambda x.M) N \Rightarrow \text{evaluate } M, \text{ with } N \text{ substituted for } x \text{ i.e. } M[N/x]$

Definition (β -reduction): For all $M, N, x, (\lambda x.M) N \rightarrow_{\beta} M[N/x]$

More generally - for all contexts $C, C[(\lambda x.M) \ N] \rightarrow_{\beta} C[M[N/x]]$

 \rightarrow_{β} is a binary relation on terms

 $A \rightarrow_{\beta} B$: A beta reduces to B in one step

 $A \to_{\beta}^{n} B$: A beta reduces to B in n steps

 $A \to_\beta^* B \colon A$ beta reduces to B in 0 or more steps

 $A \rightarrow^+_{\beta} B$: A beta reduces to B in 1 or more steps

Evaluating M[N/x]

Want: Substitute N for all free occurrences of x in M

Definition (substitution, naive(wrong)):

$$\begin{split} x[E/x] &= E \\ y[E/x] &= y, \ y \neq x \\ (M\ N)[E/x] &= M[E/x]\ N[E/x] \\ (\lambda x.P)[E/x] &= \lambda x.P \\ (\lambda y.P)[E/x] &= \lambda y.P[E/x], \ y \neq x \end{split}$$

E.g.

$$(\lambda x.x \ y) \ z \to_{\beta} (x \ y)[z/x]$$
$$= x[z/x] \ y[z/x]$$
$$= z \ y$$

$$(\lambda x.x) \ a \to_{\beta} x[a/x] = a$$

$$(\lambda x.\lambda y.x) \ a \ b \to_{\beta} (\lambda y.x)[a/x] \ b$$

$$= (\lambda y.x[a/x]) \ b$$

$$= (\lambda y.a) \ b$$

$$\to_{\beta} a[b/y]$$

$$= a$$

$$(\lambda x.\lambda y.y) \ a \ b \to_{\beta} (\lambda y.y)[a/x] \ b$$

$$= (\lambda y.y[a/x]) \ b$$

$$= (\lambda y.y) \ b$$

$$\to_{\beta} y[b/y]$$

$$= b$$

Consider:

$$(\lambda x.\lambda y.x) \ y \ w \to_{\beta} (\lambda y.x)[y/x] \ w$$

$$= (\lambda y.x[y/x]) \ w$$

$$= (\lambda y.y) \ w$$

$$\to_{\beta} y[w/y]$$

$$= w$$

We can see from this that the last rule of $(\lambda y.P)[E/x] = \lambda y.P[E/x], y \neq x$ must be wrong.

What happened? Free variable y became bound after substitution

As a result, the binding occurrence of x changed

 $\lambda x.\lambda y.x \leftarrow$ the inner x is bound to the λx on the outside.

This is called **Dynamic binding** - meaning of variables uncertain until runtime

We want static binding - meanings of variables fixed before runtime

Definition (substitution, fixed):

$$\begin{split} x[E/x] &= E \\ y[E/x] &= y, \ y \neq x \\ (M\ N)[E/x] &= M[E/x]\ N[E/x] \\ (\lambda x.P)[E/x] &= \lambda x.P \\ (\lambda y.P)[E/x] &= \lambda y.P[E/x], \ y \notin F \cup [E] \\ (\lambda y.P)[E/x] &= \lambda z.(P[z/y][E/x]), \ y \in F \cup [E], \ z \ \text{a "fresh" variable} \end{split}$$

Now

$$(\lambda x.\lambda y.x) \ y \ w \to_{\beta} (\lambda y.x)[y/x] \ w$$

$$= (\lambda z.x[z/y][y/x]) \ w$$

$$= (\lambda z.x[y/x]) \ w$$

$$= (\lambda z.y) \ w$$

$$\to_{\beta} y[w/z]$$

$$= y$$

Computation: β -reduction until you reach a Normal Form.

Definition (β -Normal Form): An expr is in β Normal Form if it has no β -redices.

Definition (Weak Normal Form): An expr is in Weak Normal Form if the only β -redices are inside abstractions. e.g. $\lambda z.(\lambda x.x)$ y.

Usually, "Normal Form" will mean β -Normal Form.

Consider:

$$(\lambda x.x)((\lambda y.y) z) \to_{\beta} x[((\lambda y.y) z)/x]$$

$$= (\lambda y.y) z$$

$$\to_{\beta} y[z/y]$$

$$= z$$

Or

$$(\lambda x.x)((\lambda y.y) z) \to_{\beta} (\lambda x.x)(y[z/y])$$

$$= (\lambda x.x) z$$

$$\to_{\beta} x[z/x]$$

$$= z$$

- two difference reduction sequences. Does it matter which we take?

Theorem (Church-Rosser): Let E_1, E_2, E_3 be expressions such that $E_1 \to_{\beta}^* E_2$ and $E_1 \to_{\beta}^* E_3$. Then there is an expression E_4 such that (up to α -equivalence) $E_2 \to_{\beta}^* E_4$ and $E_3 \to_{\beta}^* E_4$.

Immediate Consequence - An expr E can have at most one β -Normal Form (modulo α -equivalence)

Do all expressions have a β -NF? No!

Consider:

$$(\lambda x.x \ x)(\lambda x.x \ x) \to_{\beta} (x \ x)[(\lambda x.x \ x)/x]$$

= $(\lambda x.x \ x)(\lambda x.x \ x)$

It does not have a β -NF because it always reduces to itself.

Which exprs have a β -NF? - undecidable - equivalent to Halting Problem

Consider:

$$(\lambda x.y)((\lambda x.x\ x)(\lambda x.x\ x)) \rightarrow_{\beta} (\lambda x.y)((\lambda x.x\ x)(\lambda x.x\ x)) \rightarrow_{\beta} \cdots$$

Or, the alternate way of reducing this

$$(\lambda x.y)((\lambda x.x \ x)(\lambda x.x \ x)) \to_{\beta} y[(\lambda x.x \ x)(\lambda x.x \ x)/x]$$

= y

 \therefore Order does matter when ∞ -reductions are possible.

Reduction Strategies - "plans" for choosing a redex to reduce.

Applicative Order Reductions (AOR): Choose the leftmost, innermost redex.

- Innermost = not containing any other redex
- This is called "eager evaluation"

Normal Order Reduction (NOR): Choose the leftmost, outermost

- outermost = not contained in any other redex
- "lazy evaluation"

To the example earlier, the one the results in infinite reduction is AOR and the one that go the β -NF form is NOR.

e.g.

$$(\lambda x.x)((\lambda y.y) \ z) \xrightarrow{\text{AOR}}_{\beta} (\lambda x.x) \ z \xrightarrow{\text{AOR}}_{\beta} z$$
$$(\lambda x.x)((\lambda y.y) \ z) \xrightarrow{\text{NOR}}_{\beta} (\lambda y.y) \ z \xrightarrow{\text{NOR}}_{\beta} z$$

Theorem (Standardization): If an expr as a β -NF, then NOR is guaranteed to reach it.

But most proramming languages use applicative order, including Scheme.

$$\eta$$
-reduction: Consider $(\lambda x.y \ x) \ z \to_{\beta} (y \ x)[z/x] = y \ z$

So $\lambda x.y$ x behaves exactly like z

Definition (η -reduction): $\lambda x.M \ x \to_{\eta} M \ \text{if} \ x \notin F \cup [M]$

More generally,
$$C[\lambda x.M \ x] \to_{\eta} C[M]$$
 if $x \notin F \cup [M]$

So we have $\lambda x.y \ x \to_{\eta} y$

1.2 Programming in the λ -Calculus

Shorthand for convenience: [[·]]: (real-world programming language) $\rightarrow \lambda$ -calculus

For a real-world expr E, [[E]] is our representation of E in the λ -calculus

e.g.
$$[[id]] = \lambda x.x$$

Note: [[·]] is just shorthand. An expression containing [[·]] is not λ -calculus until all [[·]]s have been replaced with what they represent.

Booleans: Let $[[true]] = \lambda x.\lambda y.x$ and $[[false]] = \lambda x.\lambda y.y.$

if ¡bool¿ then ¡true-part¿ else ¡false-part¿

if(b, t, f) = if b then t else f

$$[[if]] = \lambda b.\lambda t.\lambda f.b \ t \ f$$

Note that $\lambda b.\lambda t.\lambda f.b \ t \ f \rightarrow_{\eta}^{2} \lambda b.b$

Alternatively, [[if B then T else F]] = [[B]][[T]][[F]]

Does it work?

[[if true then P else Q]] = [[true]][[P]][[Q]]
=
$$(\lambda x.\lambda y.x)[[P]][[Q]]$$

 \rightarrow_{β} [[P]]

[[if false then P else Q]] = [[false]][[P]][[Q]]
$$= (\lambda x. \lambda y. y)[[P]][[Q]]$$

$$\rightarrow_{\beta} [[Q]]$$

Now, for the definition of **Not**:

$$\begin{split} [[not]] &= \lambda b. [[\text{if b then false else true}]] \\ &= \lambda b. b[[false]] [[true]] \\ &= \lambda b. b(\lambda x. \lambda y. y)(\lambda x. \lambda y. x) \end{split}$$

We can test it:

$$\begin{split} [[\text{not true}]] &= (\lambda b.b[[false]][[true]]) \ [[true]] \\ &\rightarrow_{\beta} \ [[true]][[false]][[true]] \\ &= (\lambda x.\lambda y.x)[[false]][[true]] \\ &\rightarrow_{\beta}^2 \ [[false]] \end{split}$$

For the definition of **And**:

$$[[and]] = \lambda p.\lambda q.[[if p then q else false]]$$

= $\lambda p.\lambda q.p q [[false]]$

For the definition of **Or**:

$$[[and]] = \lambda p.\lambda q.[[\text{if p then true else q}]]$$
$$= \lambda p.\lambda q.p [[true]] q$$

Storage: Use lists. Scheme - lists based on pairs.

(cons a b) creates the pair

(cons a (cons b c)) creates the list

... nested pairs create lists

Need to implement:

- cons
- nil empty list
- null? is the list empty?
- car first component of the pair
- cdr 2nd component of the pair

Modelling pairs: pair - function that takes a selector as a parameter

If the selector is true - return the first component

If the selector is true - return the second component

i.e. $[[pair]] = \lambda s$.[[if s then h else t]] where s is the selector, h is the head, t is the tail.

So $[[pair]] = \lambda s.s \ h \ t$

Then $[[cons]] = \lambda h.\lambda t.\lambda s.s h t$

e.g.

$$\begin{aligned} [[\text{cons a (cons b nil)}]] &= [[cons]] \ a \ ([[cons]] \ b \ [[nil]]) \\ &= (\lambda h.\lambda t.\lambda s.s \ h \ t) \ a \ ([[cons]] \ b \ [[nil]]) \\ &\to_{\beta}^2 \lambda s.s \ a \ ([[cons]] \ b \ [[nil]]) \\ &= \lambda s.s \ a ((\lambda h.\lambda t.\lambda s.s \ h \ t) \ b \ [[nil]]) \\ &\to_{\beta}^2 \lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]) \end{aligned}$$

car: return the head ⇒ pass the selector "true"

$$[[car]] = \lambda l.l \ [[true]] = \lambda l.l \ (\lambda x.\lambda y.x)$$

Similarly, **cdr:** return the tail \Rightarrow pass the selector "false"

$$[[cdr]] = \lambda l.l \ [[false]] = \lambda l.l \ (\lambda x.\lambda y.y)$$

null?

- must return false when given a pair $(\lambda s.s \ h \ t)$
- pass a selector that consumes h and t, returns false

i.e.
$$[[null?]] = \lambda l.l(\lambda a.\lambda b.[[false]]) = \lambda l.l(\lambda a.\lambda b.\lambda x.\lambda y.y)$$

$$[[null?]](\lambda s.s \ h \ t) = (\lambda l.l(\lambda a.\lambda b.\lambda x.\lambda y.y))(\lambda s.s \ h \ t)$$

$$\rightarrow_{\beta} (\lambda s.s \ h \ t)(\lambda a.\lambda b.\lambda x.\lambda y.y)$$

$$\rightarrow_{\beta} (\lambda a.\lambda b.\lambda x.\lambda y.y) \ h \ t$$

$$\rightarrow_{\beta}^{2} \lambda x.\lambda y.y$$

$$= [[false]]$$

nil: something to make null? return true.

$$[[nil]] = \lambda s.[[true]] = \lambda s.\lambda x.\lambda y.x$$

$$[[null?]][[nil]] = (\lambda l.l(\lambda a.\lambda b.\lambda x.\lambda y.y))(\lambda s.\lambda x.\lambda y.x)$$

$$\rightarrow_{\beta} (\lambda s.\lambda x.\lambda y.x)(\lambda a.\lambda b.\lambda x.\lambda y.y)$$

$$\rightarrow_{\beta} \lambda x.\lambda y.x$$

$$= [[true]]$$

Numbers

- Consider only non-negative integers
- Easy encode n as a list of length n

$$[[0]] = [[nil]]$$

$$[[1]] = \lambda s.s ? [[nil]]$$
 where ? is just whatever
$$[[2]] = \lambda s.s ? (\lambda s.s ? [[nil]])$$

 $\textbf{Primitives:} \ [[pred]], [[succ]], [[isZero?]]$

$$[[isZero?]] = [[null?]]$$

$$[[pred]] = [[cdr]]$$

$$[[succ]] = \lambda n.\lambda s.s ? n (= \lambda n.[[cons]] ? n)$$

Exercise: $[[pred\ (succ\ m)]] =_{\beta} m$

Clever Solution: Church Numberals

Represent n as the act of applying a function f n times to an argument x.

$$[[0]] = \lambda f.\lambda x.x$$

$$[[1]] = \lambda f. \lambda x. f x$$

$$[[2]] = \lambda f. \lambda x. f(f x)$$

$$[[3]] = \lambda f. \lambda x. f (f (f x))$$

$$[[n]] f x = f^n(x)$$

addition: m + n - apply f n times to x, then apply f m times to the result

$$[[+]] = \lambda m.\lambda n.\lambda f.\lambda x.m \ f \ (n \ f \ x)$$

$$[[+23]] = (\lambda m.\lambda n.\lambda f.\lambda x.m \ f \ (n \ f \ x))(\lambda f.\lambda x.f \ (f \ x))(\lambda f.\lambda x.f(f(f \ x)))$$

$$\rightarrow^{2}_{\beta} \lambda f.\lambda x(\lambda f.\lambda x.f(f \ x)) \ f \ ((\lambda f.\lambda x.f(f \ x))) \ f \ x)$$

$$\rightarrow_{\beta} \lambda f.\lambda x.(\lambda x.f(f \ x))(\lambda f.\lambda x.f(f(f \ x))) \ f \ x)$$

$$\rightarrow_{\beta} \lambda f.\lambda x.f(f((\lambda f.\lambda x.f(f(f \ x))) \ f \ x))$$

$$\rightarrow_{\beta} \lambda f.\lambda x.f(f((\lambda x.f(f(f(x)))) \ x)$$

$$\rightarrow_{\beta} \lambda f.\lambda x.f(f(f(f(f(x)))))$$

$$= [[5]]$$

Special case: $[[succ]] = \lambda n.\lambda f.\lambda x.n \ f \ (f \ x) \ (or \ f(n \ f \ x))$

Subtraction: Harder - find a function to apply n times to produce [[n-1]]

Consider: Start with [[cons 0 0]]

apply the function $f:[[cons\ a\ b]] \to [[cons\ a+1\ a]]$

n times: $[[cons \ n \ n-1]]$ - then take the cdr.

$$[[pred]] = \lambda n.[[cdr]] \ (n \ (\lambda p.[[cons]]([[succ]][[car \ p]]) \ [[car \ p]])([[cons]][[0]][[0]]))$$

$$[[-]] = \lambda m.\lambda n.n \ [[pred]] \ m$$

Multiplication: Apply the n-fold repetition of f, m times

$$[[*]] = \lambda m.\lambda n.\lambda f.\lambda x.m (n f) x =_n \lambda m.\lambda n.\lambda f.m (n f)$$

Since
$$n f = f^n$$
, then $m (n f) = (f^n)^m$

Notice that if you change m and n to f and g, and f to x, you get f(g(x)) which is just function composition.

Exponentiation: m^n - the n-fold repetition of m itself

$$[[]] = \lambda m.\lambda n.\lambda f.\lambda x.n \ m \ f \ x =_n \lambda m.\lambda n.n \ m$$

Recursion: find the length of a list

$$[[len]] = \lambda l.[[if (null? l) 0 (succ (len (cdr l)))]]$$

= $\lambda l.([[null?]] l) [[0]] ([[succ]] ([[len]] ([[cdr]] l)))$

WRONG! [[len]] defined in terms of itself.

How do we get a closed-form representation of len? Solve the equation.

Aside: fixed points. A fixed point of a function f is a value x such that x = f(x)

e.g.
$$f(x) = x^2 - 6$$
 has a fixed point of 3 since $f(3) = 3$
$$[[len]] = \lambda l.([[null?]] \ l)[[0]]([[succ]]([[len]]([[cdr]] \ l)))$$

$$\leftarrow_{\beta} (\lambda f. \lambda l.([[null?]] \ l)[[0]]([[succ]](f \ ([[cdr]] \ l)))) \ [[len]]$$

$$=_{\beta} F([[len]]) \text{ where } F = (\lambda f. \lambda l.([[null?]] \ l)[[0]]([[succ]](f \ ([[cdr]] \ l))))$$

$$[[len]] \text{ satisfies } [[len]] = F([[len]])$$

 \therefore Need a fixed point of F

Consider:

$$X = (\lambda x. f(x \ x))(\lambda x. f(x \ x))$$
$$\to_{\beta} f((\lambda x. f(x \ x))(\lambda x. f(x \ x)))$$
$$= f(X)$$

 \therefore X is a fixed point of f

Now parameterize by f, get

$$Y = \lambda f.(\lambda x. f(x \ x))(\lambda x. f(x \ x))$$

This is Curry's Paradoxical Combinator (or Y combinator)

- returns the fixed point of any function

$$Y g = (\lambda f.(\lambda x. f(x \ x))(\lambda x. f(x \ x))) g$$

$$\rightarrow_{\beta} (\lambda x. g(x \ x))(\lambda x. g(x \ x))$$

$$\rightarrow_{\beta} g((\lambda x. g(x \ x))(\lambda x. g(x \ x)))$$

$$\rightarrow_{\beta} g(Y \ g)$$

... for any $g, Y g =_{\beta} g(Y g)$. i.e. Y g is a fixed point of g.

Any combinator (closed expression) C such that for all g, C $g =_{\beta} g(C g)$ is called a **fixed-point** combinator.

$$\therefore [[len]] = Y F = Y(\lambda f.\lambda l.([[null?]] \ l) \ [[0]] \ ([[succ]] \ (f \ ([[cdr]] \ l)))$$

e.g.

```
[[len]] ([[cons]] a ([[cons]] b [[nil]])
 =_{\beta} [[len]] (\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))
 = Y; F(\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))
 = (\lambda f.(\lambda x.f(x x))(\lambda x.f(x x)) F (\lambda s.s a (\lambda s.s b [[nil]])) done through NOR reduction
 \rightarrow_{\beta} (\lambda x.F(x x))(\lambda x.F(x x))(\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))
 \rightarrow_{\beta} F((\lambda x.F(x x))(\lambda x.F(x x)))(\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))
 = (\lambda f. \lambda l. ([[null?]] \ l) \ [[0]] \ ([[succ]] \ (f \ ([[cdr]] \ l))) ((\lambda x. F(x \ x)) (\lambda x. F(x \ x))) (\lambda s. s \ a \ (\lambda s. s \ b \ [[nil]]))
 \rightarrow_{\beta} (\lambda l.([[null?]] \ l) \ [[0]] ([[succ]] (((\lambda x.F(x \ x))(\lambda x.F(x \ x))) ([[cdr]] \ l))))(\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))
 \rightarrow_{\beta} ([[null?]] (\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))) \ [[0]] ([[succ]] (((\lambda x.F(x \ x))(\lambda x.F(x \ x))) ([[cdr]] (\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]])))))
 \rightarrow_{\beta}^{*} [[false]] [[0]] [[succ]](((\lambda x.F(x x))(\lambda x.F(x x))) ([[cdr]] (\lambda s.s \ a \ (\lambda s.s \ b \ [[nil]]))))
\rightarrow_{\scriptscriptstyle R}^2 \left[\left[succ\right]\right] \left(\left((\lambda x.F(x\;x))(\lambda x.F(x\;x))\right) \left(\left[\left[cdr\right]\right] \left(\lambda s.s\;a\;(\lambda s.s\;b\;\left[\left[nil\right]\right]\right)\right)\right)
 \rightarrow_{\beta}^{*} [[succ]](((\lambda x.F(x x))(\lambda x.F(x x))) (\lambda s.s b [[nil]])) Not NOR-done for brevity
We can see a pattern here
 \rightarrow_{\beta}^{*} [[succ]]([[succ]]((\lambda x.F(x x))(\lambda x.F(x x)))([[cdr]](\lambda s.s b [[nil]]))))
 \rightarrow_{\beta}^{*}[[succ]]([[succ]]((\lambda x.F(x x))(\lambda x.F(x x)))[[nil]])
 \rightarrow_{\beta} [[succ]]([[succ]](F((\lambda x.F(x x))(\lambda x.F(x x))))[[nil]])
 = [[succ]]([[succ]]((\lambda f.\lambda l.([[null?]] \ l) \ [[0]] \ ([[succ]] \ (f \ ([[cdr]] \ l))) \ ((\lambda x.F(x \ x))(\lambda x.F(x \ x)))[[nill]])
 \rightarrow_{\beta}^{2} [[succ]]([[succ]]([[null?]][[nil]]) [[0]]([[succ]]((\lambda x.F(x x))(\lambda x.F(x x))))([[cdr]][[nil]]))
 \rightarrow_{\beta}^{*} [[succ]]([[succ]] [[true]] [[0]] ([[succ]] ((\lambda x.F(x x))(\lambda x.F(x x)))) ([[cdr]][[nil]]))
\rightarrow^2_{\beta} [[succ]]([[succ]] 0)
\rightarrow^2_\beta [[2]]
```

- Works under NOR, but not under AOR.

For recursion under eager evaluation, need 3 things:

- 1) Modified reduction strategy Applicative Order Evaluation (AOE)
 - choose the leftmost, innermost redex that is not within the body of an abstraction
- 2) Modified Y combinator

-
$$Y' = \lambda f.(\lambda x. f(\lambda y. x \ x \ y))(\lambda x. f(\lambda y. x \ x \ y))$$

Note: $Y' \to_{\eta}^{2} Y$

3) "Short-circuit if-then-else"

[[if B then T else F]] [[B]]
$$(\lambda x.[[T]]) (\lambda x.[[F]]) x$$

1.3 Scheme & Functional Programming

See notes, Chapter 3

- "pure" functional programming No side effects, no mutation, no mutation, no state, no I/O
- ⇒ referential transparency output of a function is completely determined by its input

Consequence - "equals can be substituted for equals"

e.g. $(let ((z (f 3))) \cdots)$ - anywhere z occurs, can substitute (f 3), and vice versa

- Not possible in the presence of side-effects.

Higher-Order Functions

Functions are first-class values - can be

- 1) passed as parameters
- 2) returned by functions
- 3) stored as data

Functions that take or return other functions are called higher-order functions

e.g. map, foldl, foldr

Consider: $\lambda x.\lambda y.x \ (lambdax.\lambda y.x) \ a \ b \rightarrow_{\beta}^2 a$

- takes 2 arguments and returns the first

In Scheme: (lambda (x) (lambda (y) x))

(((lambda (x) (lambda (y) x)) 1) 2)

This form (lambda (x) (lambda (y) x)) called **curried**.

- simulate multi-argument functions with functions that return functions

Advantage - partial application

(define plus (lambda (x) (lambda (y) (+ x y))))

(define f (plus 5)) - save for later use - f is a function that adds 5

 $(f 1) \Rightarrow 6$

$$(f 8) \Rightarrow 13$$

Implemented

- first-class functions implemented as a ${\bf closure}$
- a pair [function code env]
- pointer to function code, pointer to the environment in which the function was defined.

This is how f knows what x was when (plus 5) was called.

2 Type Theory

Consider [[true id]]

$$[[true]][[id]] = (\lambda x. \lambda y. x)(\lambda z. z)$$
$$\rightarrow_{\beta} \lambda y. \lambda z. z$$
$$= [[false]]$$

(true id) produces false. Makes sense? NO!

Unrestricted combinations \Rightarrow unexpected results. - only do things that make sense

How? Introduce a system of **types**

- set of values an expression can have
- interpretation of raw data

Type System - set of types and set of rules for assigning types

An expression is **well-typed** if a type is derivable for it from the type rules - else it is **ill-typed**

Strong vs weak typing - how strictly are type rules enforced?

Static vs Dynamic Typing

- When are types determined
 - static-at-compile-time (C)
 - dynamic-at-run-time (Scheme)

Monomorphic vs. Polymorphic typing

monomorphic- entities have a unique type

polymorphic- entities can have multiple types

We study strong, static, monomorphic (for now) typing.

2.1 The Simply-Typed λ -Calculus (monomorphic

Syntax:

$$< expr > ::= < var > | < abs > | < app >$$
 $< var > ::= a | b | c | \cdots$
 $< abs > ::= \lambda < var > : < type > . < expr >$
 $< app > ::= < expr > < expr >$
 $< type > ::= < primitive > | < constructed >$
 $< primitive > ::= < type > \rightarrow < type >$
 $< constructed > ::= < type > \rightarrow < type >$

Primitive types - "built-in", e.g. int, bool, $t_1, t_2, ...$

Constructed types

- built from other types
- component types and type constructor

e.g. int \rightarrow bool - "function taking int and returning bool"

- the \rightarrow is type constructor for functions
- \rightarrow : right-to-left associative

$$t_1 \to t_2 \to t_3 = t_1 \to (t_2 \to t_3) \neq (t_1 \to t_2) \to t_3$$

2.2 Type Checking/Inference

Type theory \cong Intuitionist Implicational Logic (subset of propositional logic)

- called the Curry-Howard Isomorphism

Rules expressed as a formal inference system.

 $\frac{premises}{conclusion}$

 $\frac{P_1\cdots P_n}{C}$ = "If we can construct proofs of $P_1\cdots P_n$, we have a proof of C.

No premises: $\frac{1}{conclusion}$ - axioms - conclusion always holds

Eg.(logic): "modus ponens" $\frac{p \to q p}{q}$

 $\frac{a \ b}{a \wedge b}$ (\wedge introduction)

 $\frac{a \wedge b}{a} \frac{a \wedge b}{b}$ (\wedge elimination)

2.3 Type rules for Simply Typed λ -Calculus

type environment

- map from identifiers to types
- list of ¡name,type; pairs "symbol table"
- denoted A ("assumptions") or Γ

$$\mathbf{A}(\mathbf{x}) = \text{"look up } \mathbf{x} \text{ in A"} \text{ - if } < x, \tau > \in \mathbf{A}, \, \mathbf{A}(\mathbf{x}) = \tau$$

Type judgement - statement of the form $A \vdash E : \tau$

- \vdash turnstile \equiv derivability

Variables - look up in environment

The course notes says $\frac{A(x)=\tau}{A\vdash x:\tau}$ [var]

We are going with $_{\overline{A} \vdash x: A(x)} [var]$ to save some writing

Abstractions - assume the param has the given type, then type the body

$$\frac{A+ < x_1, \tau_1 > \vdash E : \tau_2}{A \vdash (\lambda x : \tau_1 \cdot E) : \tau_1 \rightarrow \tau_2} [abs]$$

Applications

- type the rator and rand
- type of the rand must match the param type of the rator
- type of expr is the result type of the rator

$$\frac{A \vdash M : \tau_1 \rightarrow \tau_2 \ A \vdash N : \tau_1}{A \vdash M \ N : \tau_2} \ [app]$$

$$\frac{\tau_1 \rightarrow \tau_2 \ \tau_1}{\tau_2}$$

Ex. find the type of $\lambda x : t_1.x$

$$\frac{\{\langle x,t_1\rangle\} \vdash x:t_1}{\{\} \vdash (\lambda x:t_1.x):t_1 \to t_1} [abs]$$

Eg. $\lambda x: t_1.\lambda y: t_1 \to t_2. \ y \ x$

$$\frac{\frac{\{\langle x,t_1\rangle,\langle y,t_1\to t_2\}\vdash y:t_1\to t_2}{\{\langle x,t_1\rangle,\langle y,t_1\to t_2\rangle\vdash x:t_1}}{\{\langle x,t_1\rangle,\langle y,t_1\to t_2\rangle\vdash y:t_2\to t_2\}}}{\{\langle x,t_1\rangle\}\vdash (\lambda y:t_1\to t_2.\ y\ x):(t_1\to t_2)\to t_2}}{\{\}\vdash (\lambda x:t_1.\lambda y:t_1\to t_2.\ y\ x):t_1\to (t_1\to t_2)\to t_2}}\left[abs\right]$$

2.4 Evaluating Type Systems

- How do we know these type rules work?

2 Theorems: Progress and Preservation

Theorem (progress): Let E be a closed, well-typed term in the Simply Typed λ Calculus, i.e. for some A, τ , $A \vdash E : \tau$. Then either E (E is a value) is in Weak Normal Form or there is an expression E' such that $E \to_{\beta} E'$.

Proof: Induction on the length of the type derivation for E.

Case 1: E is a variable - impossible if E is closed.

Case 2: E is an abstraction \Rightarrow E is in Weak Normal Form, done.

Case 3: E is an application, E = M N. Then the type derivation for E looks like

$$\frac{\stackrel{\cdots}{A \vdash M : \tau_1 \to \tau_2} \quad \stackrel{\cdots}{A \vdash N : \tau_1}}{A \vdash E : \tau}$$

By induction, M is in Weak Normal Form or reducible

By induction, N is in Weak Normal Form or reducible

If M or N is reducible, then so is E since E = M N

If neither is reducible, then both are values

In that case, M is a value, $M = \lambda x.M'$, By app rule, M's type derivation must look like

$$\frac{\cdots}{A \vdash (\lambda x. \tau_1. M') : \tau_1 \to \tau} [abs]$$

So $E = M N = (\lambda x : \tau_1.M') N$ is reducible. (N is τ_1)

QED.

Simple, but less so when the language is enhanced.

Progress \Rightarrow can't get stuck, e.g. can't derive $(\lambda x : t_1.A) B$ where B is t_2

Theorem (Preservation, aka Subject Reduction Theorem): Let A be a type environment, P, Q be expressions such that $P \to_{\beta\eta}^* Q$ (i.e. P reduces to Q in 0 or more β and/or η reductions). Suppose $A \vdash P : \tau$ for some τ . Then $A \vdash Q : \tau$.

Proof: see notes, page 57-58

Preservation: Well-typed terms remain well-typed after reduction

Progress and Preservation \Rightarrow Safety.

Star with E which is well typed, Progress says E is reducible or E is WNF (done). Then if E is not done $E \to_{\beta} E'$, from this preservation says E' is well-typed, and then progress says E' is reducible or E' is done. This means $E' \to_{\beta} E''$, and then Preservation says E'' is well typed, etc.

- .: Well-typed terms either reduce forever, or make a "sensible" value.
- ∴ Our type rules "make sense"

Strong Normalization Theorem: The set of well-typed terms in the Simply Typed λ -Calculus is **strong normalizing** - that is, infinite reductions are impossible.

Proof: Appendix B.

 \Rightarrow Simply typed λ -Calculus not Turing-complete. (Can't simulate no terminating turing machine)

Intuition: Consider $(\lambda x.x \ x)(\lambda x.x \ x)$

What type can we give $\lambda x.x x$ - How do we type x x

If the 2nd x has some type τ_1 , then 1st x must have type $\tau_1 \to \tau_2$ for some τ_2

No way τ_1 and $\tau_1 \to \tau_2$ can represent the same type. \therefore no type for x, $\lambda x.x$ x, $(\lambda x.x$ x) $(\lambda x.x$ x)

Can't type Y either.

2.5 Polymorphism

-exprs may have multiple types

Cardelli and Wegner (computing Surveys 1985) - polymorphism hierarchy

Polymorphism

- universal
 - parametric
 - inclusion
- adhoc
 - Overloading
 - (implicit) coercion

Universal

- generally, one implementation with many types
- unbounded number of specializations
- works on unknown (not yet created types
- Parametric
 - "type parameter" (often implicit)
 - e.g. function id (x:t): t return x;
 - ≈ generic programming ML, Haskell
- Inclusion
 - "subtyping" hierarchy of types
 - can use a subtype in any context that calls for a supertype (OOP)

Adhoc

- generally, several implementations
- bounded number of specializations
- works on only known (existing) types
- Overloading
 - "name sharing"
 - multiple functions with the same name in the same scope
 - compiler chooses the correct instance based on
 - 1) Number and type of arguments
 - 2) (possibly) return type

- this is called "overload resolution"

e.g. Ada

- Coercion
 - Implicit
 - expression automatically converted to a different type

e.g. C, 3.5 + 4 = 7.5, 4 is an implicit conversion to double

- Explicit
 - conversion invoked by the programmer
 - "casting"

For now: parametric polymorphism

2.6 System F (or 2nd order λ calculus

- discovered independently by Girard ("System F"), and Reynolds ("polymorphic λ -calculus")
 - models parametric polymorphism e.g.

 $\lambda x : int.x - id$ for ints

 $\lambda x : bool.x - id for bools$

 $\lambda x: int \to int.x$ - id for int functions

- same implementation, different annotations

idea; make the type a parameter to the function

Syntax::

$$< expr > ::= < var > | < abs > | < app > | < t - abs > | < t - app >$$
 $< var > ::= a|b|c| \cdots$
 $< abs > ::= \lambda < var > :< type > . < expr >$
 $< app > ::= < expr > < expr >$
 $< t - abs > ::= \wedge < t - var > . < expr >$
 $< t - app > ::= < expr > {< type >}$
 $< t - app > ::= < expr > {< type >}$
 $< type > ::= < prim > | < t - var > | < type > \rightarrow < type > | \forall < t - var > . < type >$
 $< prim > ::= t_1|t_2|\cdots$
 $< t - var > ::= \alpha|\beta|\gamma|\cdots$

variables, app - as before

t-abs,t-app - "type abstraction", "type application"

type abstraction - function parameterized by type

t-var - "type variable" - placeholder for types

 $\forall < t - var > . < type >$ - quantified type - the type of a type abstraction

Ex. $\lambda x : int.x \text{ int } \to \text{ int, and } \lambda x : bool.x \text{ bool} \to \text{bool} - \text{Now replace int,bool by a type variable}$

 $(\lambda x : \alpha . x) : \alpha \to \alpha$

 α - a free type variable - meaning depends on external context

Now abstract over α :

$$id = (\wedge \alpha. \lambda x : \alpha. x) : \forall \alpha. \alpha \rightarrow \alpha$$

To apply id:

$$id \{int\} \ 3 = (\land \alpha. \lambda x : \alpha. x) \{int\} \ 3$$
$$\rightarrow_{\beta} (\lambda x : int. x) \ 3$$
$$\rightarrow_{\beta} 3$$

$$id \{bool\} true = (\land \alpha. \lambda x : \alpha. x) \{bool\} true$$

 $\rightarrow_{\beta} (\lambda x : bool. x) true$
 $\rightarrow_{\beta} true$

Type rules:

$$\begin{split} & \frac{}{A \vdash x : A(x)} \ [var] \\ & \frac{< x, \tau_1 > + A \vdash E : \tau_2}{A \vdash (\lambda x : \tau_1.E) : \tau_1 \to \tau_2} \ [abs] \\ & \frac{A \vdash M : \tau_1 \to \tau_2 \quad A \vdash N : \tau_1}{A \vdash (MN) : \tau_2} \ [app] \\ & \frac{A \vdash E : \tau}{A \vdash (\wedge \alpha.E) : \forall \alpha.\tau} \ [t - abs] (\alpha \text{ not free in A}) \end{split}$$

$$\frac{A \vdash E : \forall \alpha. \tau_1}{A \vdash (E\{\tau_2\}) : \tau_1[\tau_2/\alpha]} [t - app]$$

Type $\forall \alpha.\alpha \to \alpha \equiv$ for any type τ , the function can be given type $\tau \to \tau$.

 $\forall \alpha$ is the binding occurrence

The α s afterwards are the bound occurrences

- same substitution, α -equivalence rules for types as for λ terms.

Nested quantifiers:

Say $f: (\forall \alpha. \alpha \to \alpha) \to int$

parameter type : $\forall \alpha.\alpha \rightarrow \alpha$

result type: int

... f requires a polymorphic function as argument, then returns int

$$g: ((\forall \alpha.\alpha \to \alpha) \to int) \to (\forall \beta.\beta \to (\beta \to \beta))$$

g requires (a function requiring a polymorphic argument) as its argument, returns a polymorphic result

 $h: int \to (\forall \alpha. \alpha \to \alpha)$

- h takes an int and returns a polymorphic function

 $k: \forall \alpha.int \rightarrow (\alpha \rightarrow \alpha)$

- polymorphic - for any τ , k takes int and returns $\tau \to \tau$

System F types more terms than the simply typed λ calculus

Can now do self-application

Before: $(\lambda x : int \to int.x)(\lambda x : int.x) \to_{\beta} (\lambda x : intx)$ (they are not the same)

Now: $id = \wedge \alpha . \lambda x : \alpha . x \quad \forall \alpha . \alpha \rightarrow \alpha$

Can code id id as

$$id \{ \forall \alpha.\alpha \to \alpha \} id$$

$$= (\land \alpha.\lambda x : \alpha.x) \{ \forall \alpha.\alpha \to \alpha \} (\land \alpha.\lambda x : \alpha.x)$$

$$\to_{\beta} (\lambda x : (\forall \alpha.\alpha \to \alpha).x) (\land \alpha.\lambda x : \alpha.x)$$

$$\to_{\beta} (\land \alpha.\lambda x : \alpha.x)$$

$$= id$$

Can abstract out the self-application:

$$id\ id\ =_{\beta} (\lambda x. x\ x)\ id$$

So

$$(\land \alpha. \lambda x : \alpha. x) \{ \forall \alpha. \alpha \to \alpha \} (\land \alpha. \lambda x : \alpha. x)$$

= $(\lambda x : (\forall \alpha. \alpha \to \alpha). x \{ \forall \alpha. \alpha \to \alpha \} \ x) (\land \alpha. \lambda x : \alpha. x)$

So $\lambda x: (\forall \alpha.\alpha \to \alpha).x\{\forall \alpha.\alpha \to \alpha\} \ x$ is a well-typed implementation of $\lambda x.x \ x$

$$\frac{\frac{\{\langle x, \forall \alpha. \alpha \to \alpha \rangle\} \vdash x : \forall \alpha. \alpha \to \alpha}{\{\langle x, \forall \alpha. \alpha \to \alpha \rangle\} \vdash (x : \forall \alpha. \alpha \to \alpha) \Rightarrow (\forall \alpha. \alpha \to \alpha)} [t - app] \frac{\{\langle x, \forall \alpha. \alpha \to \alpha \rangle\} \vdash (x : \forall \alpha. \alpha \to \alpha) \Rightarrow (\forall \alpha. \alpha \to \alpha)}{\{\langle x, \forall \alpha. \alpha \to \alpha \rangle\} \vdash (x : \{\forall \alpha. \alpha \to \alpha\} \ x) : \forall \alpha. \alpha \to \alpha)} [app] \frac{\{\langle x, \forall \alpha. \alpha \to \alpha \rangle\} \vdash (x : \{\forall \alpha. \alpha \to \alpha\} \ x) : \forall \alpha. \alpha \to \alpha)}{\{\} \vdash (\lambda x : (\forall \alpha. \alpha \to \alpha) \cdot x : \{\forall \alpha. \alpha \to \alpha\} \ x) : (\forall \alpha. \alpha \to \alpha) \to (\forall \alpha. \alpha \to \alpha)} [abs]$$

Another solution gives $(\forall \alpha.\alpha \to \alpha) \to t_1 \to t_1$

So we can write $\lambda x.x$ as $\lambda x: (\forall \alpha.\alpha \to \alpha).(x\{t_1 \to t_2\})(x\{t_1\})$ with type $(\forall \alpha.\alpha \to \alpha) \to (t_1 \to t_1)$

Replace t_1 with β and abstract

$$\frac{\{\} \vdash (\lambda x : (\forall \alpha.\alpha \to \alpha).(x\{\beta \to \beta\})(x\{\beta\}) : (\forall \alpha.\alpha \to \alpha) \to \beta \to \beta}{\{\} \vdash (\wedge \beta.\lambda x : (\forall \alpha.\alpha \to \alpha).(x\{\beta \to \beta\})(x\{\beta\}) : \forall \beta.(\forall \alpha.\alpha \to \alpha) \to \beta \to \beta}$$

So $\forall \beta. (\forall \alpha. \alpha \to \alpha) \to \beta \to \beta$ is another valid type.

Another solution gives $\forall \beta.(\forall \alpha.\alpha) \rightarrow \beta$

Three valid types for $\lambda x.x x$

ML ("Meta Language")

So 0 - 1; gives you val it = \sim 1:int

```
(monomorphic subset)
   Robin Milner, 1978
   - major dialects:
     SML: (Standard ML)
     Caml (Categorical Abstract Machine Language)
   The one we use in undergrad environment is Standard ML of New Jersey.
     quit: ctrl + D
   - mostly functional, statically typed, eager evaluation.
   Expressions: 1 + 2;
   ML responds with val it = 3: int
   - we get the value of the result and the type of the result. Plus, we get a name for the value
"it", which is given to the most recently executed expression.
   - semicolon says "Ready for a response from the compiler"
   Declarations: val x:int = 1; (* case-sensitive *) \leftarrow this is a comment
   Functions: val f = fn(x:int) => x + 1;
   the fn (x:int) => x + 1 is equivalent to \lambda x : int.x + 1
   You can also do val f = fn: int - > int, where fn is a function from int to int.
   - does not permit recursive definitions
   recursion: val rec fact = fn(n:int) = if n = 0 then 1 else n * fact(n - 1);
   shorthand: fun f (x:int) = x + 1
   fun fact(n:int) = if n = 0 then 1 else n * fact(n-1)
   "rec" is built in now
   FYI: Negation is \sim.
```

```
Primitive types: int, bool, real, char, string, etc.
   Constructed types: functions (->), tuples (cartesion product) (*)
   E.g. (3, true); gives you val it = (3,true):int*bool
   (4, (#"a", "hello")); gives you val it (4, (#"a", "hello")):int * (char * string)
   Pair and pair accessing
val x = (4,3);
-val x = (4,3) : int * int
#1 x;
- val it = 4:int
#2 x;
- val it = 3:int
   lists - homogeneous
   e.g. [1,2,3]; gives you val it = [1,2,3]:int list
   empty list: nil or []
   "cons" - ::, infix, right-associative
   [1,2,3] \equiv 1::2::3::nil
   hd, tl - head and tail of a list ("car", "cdr")
   functions: all functions in ML take exactly one parameter
   How do we pass multiple parameters?
   Solution 1: Pass a tuple
fun add (x:int * int) = \#1 x + \#2 x;
- val add = fn: int * int -> int
val x = (3,4); (or add(3,4))
add x;
- val it = 7: int
   Solution 2 - "\lambda-calculus approach"
val add = fn(x:int) \Rightarrow fn(yLint) \Rightarrow x + y;
- val add = fn:int -> int -> int
add 3 4;
- val it = 7 : int
```

```
- curried - permists partial application
val plus3 = add 3;
plus3 4;
- val it = 7: int
plus3 10;
- val it = 13: int
   Shorthand: for solutions 1
fun add(x:int, y:int) = x + y;
- val add = fn: int * int -> int
   Solution 2
fun add(x:int)(y:int) = x + y
   What if a function takes no parameters?
   - degenerate type unit - only value is ()
fun f(x:unit) = 5;
- val f = fn: unit->int
f();
- val it = 5:int
   Shorthand: fun f() = 5
   () pattern matches type unit.
```

2.8 What kinds of programs can we write in System F?

Which of our untyped constructions are actually typable?

```
Booleans: \lambda x.\lambda y.x is \wedge \alpha.\lambda x: \alpha.\lambda y: \alpha.x, which is \forall \alpha.\alpha \to \alpha

\lambda x.\lambda y.x is \wedge \alpha.\lambda x: \alpha.\lambda y: \alpha.y, which is \forall \alpha.\alpha \to \alpha

Let [[Bool]] = \forall \alpha.\alpha \to \alpha \to \alpha

Exercise: cheke that [[not]] can be annotated to have type [[Bool]] \to [[Bool]]
```