

#### PhD Research Plan

# LOCALLY RECOVERABLE CODES APPLICATIONS

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# CHAPTER 1

### CONTEXT AND MOTIVATION

In recent years the explosion in the volumes of data being stored online has resulted in distributed storage systems transitioning to erasure coding based schemes in order to ensure reliability with low storage overheads. On such a massive scale, unreachable or failed servers are no longer an exception but a regular occurrence and recovery from such events has to be done efficiently.

In recent years Locally Recoverable Codes (LRC) emerged as the codes of choice for many such scenarios and have been implemented in a number of large scale systems ([8], [11]).

Classical erasure correcting codes guarantee that data can be recovered if a bounded number of codeword coordinates is erased. However recovering data typically involves accessing all surviving coordinates. LRC codes have the property that a symbol of the codeword can be recovering accessing few other symbols of the codeword (called the recovering set.

Symbols can have more than one recovering set. Having more than one recovering set is beneficial in practice because it enables more users to access a given portion of data, thus enhancing data availability in the system.

Consider a linear  $[n, k, d]_q$  code  $\mathcal{C} \subset \mathbb{F}_q^n$ . We say that the *i*-th coordinate of  $\mathcal{C}$  has locality r, if the value at this coordinate can be recovered from accessing some other r coordinates of  $\mathcal{C}$ . We say that the code  $\mathcal{C}$  has locality r if every symbol of the codeword  $x \in \mathcal{C}$  can be recovered from a subset of r other symbols of x.

Data storage applications require codes with small redundancy, low locality for information coordinates, large distance, and low locality for parity coordinates.

**Definition 1.0.1** (LRC Codes). A code  $\mathcal{C} \subset \mathbb{F}_q^n$  is LRC with locality r if for every  $i \in [n] := \{1, 2, ..., n\}$  there exists a subset  $\mathcal{R}_i \subset [n] \setminus \{i\}$ ,  $|\mathcal{R}_i| \leq r$  and a function  $\phi_i$  such that for every codeword  $\mathbf{x} \in \mathcal{C}$  we have

$$\mathbf{x}_i = \phi_i(\{\mathbf{x}_j, \ j \in \mathcal{R}_i\}) \tag{1.1}$$

This definition can be also rephrased as follows. Given  $a \in \mathbb{F}_q$  consider the sets of codewords

$$C(i,a) = \{x \in C : x_i = a\}, \quad i \in [n]$$

The code C is said to have locality r if for every  $i \in [n]$  there exists a subset  $\mathcal{R}_i \subset [n] \setminus \{i\}$ ,  $|\mathcal{R}_i| \leq r$  such that the restrictions of the sets C(i, a) to the coordinates in  $\mathcal{R}_i$  for different a are disjoint:

$$C_{I_i}(i,a) \cap C_{I_i}(i,a') = \emptyset, \quad a \neq a'$$
 (1.2)

The subset  $I_i$  is called a recovering set for the symbol  $x_i$ .

**Definition 1.0.2** (t-LRC Codes). A code  $\mathcal{C}$  is said to have t disjoint recovering sets if for every  $i \in [n]$  there are pairwise disjoint subsets  $R_i^1, ..., R_i^t \subset [n] \setminus \{i\}$  such that for all j = 1, ..., t and every pair of symbols  $a, a' \in \mathbb{F}_q$ ,  $a \neq a'$ 

$$C(i,a)_{R_i^j} \cap C(i,a')_{R_i^j} = \emptyset$$
(1.3)

Let  $\mathcal{C}$  be an (n, k, r) LRC code. The rate of  $\mathcal{C}$  satisfies

$$\frac{k}{n} \le \frac{r}{r+1} \tag{1.4}$$

The minimum distance of C satisfies [4]

$$d \le n - k - \left\lceil \frac{k}{r} \right\rceil + 2 \tag{1.5}$$

For (n, k, r, t) LRC codes with  $t \ge 2$  disjoint recovering sets [10]:

$$d \le n - k + 2 - \left\lceil \frac{t(k-1) + 1}{t(r-1) + 1} \right\rceil \tag{1.6}$$

We will refer to codes attaining the bound above as optimal LRC codes.

Let  $C \in \mathbb{F}_q^{k \times n}$ . The encoding of  $\mathbf{x} \in \mathbb{F}_q^k$  is given by  $C(\mathbf{x}) = \mathbf{x}^T \cdot C$ . Thus the code C is determined by the set of n points  $C = \{\mathbf{c}_1, ..., \mathbf{c}_n\} \subset \mathbb{F}_q^k$ 

C must have full rank for C to have k information symbols.

The code  $\mathcal{C}$  has distance d if and only if for every  $S \subseteq \mathcal{C}$  such that  $\operatorname{Rank}(S) \leq k-1$ ,

$$|S| \le n - d \tag{1.7}$$

# CHAPTER 2

# STATE OF THE ART

# CHAPTER 3

### OPEN PROBLEMS

[5]

#### 3.1 Constructions of binary LRC codes

[14]

#### 3.2 Constructions of codes on algebraic curves

[3, 2, 6]

#### 3.3 Bounds on codes with locality

[1] [12] [13]

**Theorem 3.3.1** ([12]). Let C be an (n, k, r, t) LRC code with t disjoint recovering sets of size r. Then the rate of C satisfies

$$\frac{k}{n} \le \frac{1}{\prod_{j=1}^{t} (1 + \frac{1}{jr})} \tag{3.1}$$

The minimum distance of C is bounded above as follows:

$$d \le n - \sum_{i=0}^{t} \left\lfloor \frac{k-1}{r^i} \right\rfloor \tag{3.2}$$

## 3.4 List decoding of LRC codes

A code of length n is called  $(\tau, \ell)$ -list decodable if the Hamming sphere of radius  $\tau$  centered at any vector v of length n always contains at most  $\ell$  codewords  $c \in \mathcal{C}$ . It was shown by Johnson in [9] that any code of length n and distance d is  $(\tau_J, \ell)$ -list decodable where  $\tau_J = n - \sqrt{n(n-d)}$  and  $\ell \in poly(n)$ .

It was recently shown by Holzbaur and Wachter-Zeh in [7] that

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