## Idiot's guide to ELEC4402 communication systems

This unit allows you to bring infinite physical notes (except books borrowed from the UWA library) to all tests and the final exam. You can't rely on what material they provide in the test/exam, it is very *minimal* to say the least. Hope this helps.

If you have issues or suggestions, raise them on GitHub. I accept pull requests for fixes or suggestions but the content must not be copyrighted under a non-GPL compatible license.



It is recommended to refer to use the PDF copy instead of whatever GitHub renders.

#### License and information

Notes are open-source and licensed under the GNU GPL-3.0. You must include the full-text of the license and follow its terms when using these notes or any diagrams in derivative works (but not when printing as notes)

Copyright (C) 2024 Peter Tanner

► GPL copyright information

#### Other advice for this unit

#### Get more exam papers on OneSearch

- You can access up to 6 more papers with this method (You normally only get the previous year's paper on LMS in week 12).
- Either search "Communications" and filter by type "Examination Papers"
- Or search old unit codes
  - ELEC4301 Digital Communications and Networking
  - ENGT4301 Digital Communications and Networking
  - ELEC3302 Communications Systems
  - Note that ELEC5501 Advanced Communications is a different unit.
- ► Listing of examination papers on OneSearch

#### **Tests**

- A lot of the unit requires you to learn processes and apply them. This is quite time consuming to do during the semester and the marking of the tests will destroy your wam if you do not know the process (especially compared to signal processing and signals and systems), I do not recommend doing this unit during thesis year.
- This formula sheet will attempt to condense all processes/formulas you may need in this unit.
- You do not get given a formula sheet, so you are entirely dependent on your own notes (except for some exceptions, such as the  $\operatorname{erf}(x)$  table). So bring good notes.
- Doing this unit after signal processing is a good idea.

#### Printable notes begins on next page (in PDF)

# Fourier transform identities and properties

Time domain $\boldsymbol{x}(t)$	Frequency domain $\boldsymbol{X}(f)$	
$\operatorname{rect}\left(\frac{t}{T}\right) = \Pi\left(\frac{t}{T}\right)$	$T\mathrm{sinc}(fT)$	
$\mathrm{sinc}(2Wt)$	$\frac{1}{2W}\mathrm{rect}\left(rac{f}{2W} ight) - rac{1}{2W}\Pi\left(rac{f}{2W} ight)$	
$\exp(-at)u(t),  a>$	$0  \frac{1}{a+j2\pi f}$	
$\exp(-a t ),  a>0$	$\frac{2a}{a^2 + (2\pi f)^2}$	
$\exp(-\pi t^2)$	$\exp(-\pi f^2)$	
$1 - rac{ t }{T},   t  < T$	$T\mathrm{sinc}^2(fT)$	
$\delta(t)$	1	
1	$\delta(f)$	
$\delta(t-t_0)$	$\exp(-j2\pi f t_0)$	
$\exp(j2\pi f_c t)$	$\delta(f-f_c)$	
$\cos(2\pi f_c t)$	$rac{1}{2}[\delta(f-f_c)+\delta(f+f_c)]$	
$\cos(2\pi f_c t +  heta)$	$rac{1}{2}[\delta(f-f_c)\exp(j heta)+\delta(f-f_c)]$	$+ f_c) \exp(-j  heta)]   ext{Use for coherent recv.}$
$\sin(2\pi f_c t)$	$rac{1}{2j}[\delta(f-f_c)-\delta(f+f_c)]$	
$\sin(2\pi f_c t + \theta)$	$rac{1}{2j}[\delta(f-f_c)\exp(j heta)-\delta(f$	$(1+f_c)\exp(-j heta)$
$\mathrm{sgn}(t)$	$\frac{1}{j\pi f}$	
$\frac{1}{\pi t}$	$-j \mathrm{sgn}(f)$	
u(t)	$rac{1}{2}\delta(f)+rac{1}{j2\pi f}$	
$\sum_{n=-\infty}^\infty \delta(t-nT_0)$	$rac{1}{T_0}\sum_{n=-\infty}^{\infty}\delta\left(f-rac{n}{T_0} ight)=f_0$	$\sum_{n=-\infty}^{\infty}\delta\left(f-nf_{0} ight)$
Time domain $\boldsymbol{x}(t)$	Frequency domain $\boldsymbol{X}(f)$	Property
g(t-a)	$\exp(-j2\pi fa)G(f)$	Time shifting
$\exp(-j2\pi f_c t)g(t)$	$G(f-f_c)$	Frequency shifting
g(bt)	$rac{G(f/b)}{ b }$	Time scaling
g(bt-a)	$rac{1}{ b } \exp(-j2\pi a(f/b)) \cdot G(f/b)$	Time scaling and shifting
$rac{d}{dt}g(t)$	$j2\pi fG(f)$	Differentiation wrt time
tg(t)	$rac{1}{2\pi}rac{d}{df}G(f)$	Differentiation wrt frequency
$g^*(t)$	$G^*(-f)$	Conjugate functions
G(t)	g(-f)	Duality
$\int_{-\infty}^t g( au) d au$	$rac{1}{j2\pi f}G(f)+rac{G(0)}{2}\delta(f)$	Integration wrt time
g(t)h(t)	G(f)*H(f)	Time multiplication
g(t) * h(t)	G(f)H(f)	Time convolution
ag(t)+bh(t)	aG(f)+bH(f)	Linearity $a,b$ constants
$\int_{-\infty}^{\infty} x(t) y^*(t) dt$	$\int_{-\infty}^{\infty} X(f) Y^*(f) df$	Parseval's theorem
$E_x = \int_{-\infty}^{\infty}  x(t) ^2 dt$	$E_x = \int_{-\infty}^{\infty}  X(f) ^2 df$	Parseval's theorem
Description	Property	

Description	Property	
$g(0)=\int_{-\infty}^{\infty}G(f)df$	Area under $G(f)$	
$G(0) = \int_{-\infty}^{\infty} G(t)dt$	Area under $g(t)$	

$$u(t) = \begin{cases} 1, & t > 0 \\ \frac{1}{2}, & t = 0 \\ 0, & t < 0 \end{cases}$$
 Unit Step Function 
$$\operatorname{sgn}(t) = \begin{cases} +1, & t > 0 \\ 0, & t = 0 \\ -1, & t < 0 \end{cases}$$
 Signum Function 
$$\operatorname{sinc}(2Wt) = \frac{\sin(2\pi Wt)}{2\pi Wt} \qquad \text{sinc Function}$$
 
$$\operatorname{rect}(t) = \Pi(t) = \begin{cases} 1, & -0.5 < t < 0.5 \\ 0, & |t| > 0.5 \end{cases}$$
 Rectangular/Gate Function 
$$g(t) * h(t) = (g * h)(t) = \int_{\infty}^{\infty} g(\tau)h(t - \tau)d\tau$$
 Convolution

#### Fourier transform of continuous time periodic signal

Required for some questions on sampling:

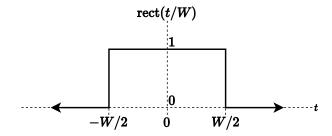
Transform a continuous time-periodic signal  $x_p(t) = \sum_{n=-\infty}^\infty x(t-nT_s)$  with period  $T_s$ :

$$X_p(f) = \sum_{n=-\infty}^{\infty} C_n \delta(f-nf_s) \quad f_s = rac{1}{T_s}$$

Calculate  $C_n$  coefficient as follows from  $x_p(t)$ :

$$egin{aligned} C_n &= rac{1}{T_s} \int_{T_s} x_p(t) \exp(-j2\pi f_s t) dt \ &= rac{1}{T_s} X(nf_s) \quad ext{(TODO: Check)} \quad x(t-nT_s) ext{ is contained in the interval } T_s \end{aligned}$$

#### rect function



#### **Bessel function**

$$\sum_{n\in\mathbb{Z}}{J_n}^2(eta)=1 \ J_n(eta)=(-1)^nJ_{-n}(eta)$$

White noise

$$egin{aligned} R_W( au) &= rac{N_0}{2} \delta( au) = rac{kT}{2} \delta( au) = \sigma^2 \delta( au) \ G_w(f) &= rac{N_0}{2} \ N_0 &= kT \ G_y(f) &= |H(f)|^2 G_w(f) \ G_y(f) &= G(f) G_w(f) \end{aligned}$$

WSS

$$\mu_X(t) = \mu_X ext{ Constant} \ R_{XX}(t_1,t_2) = R_X(t_1-t_2) = R_X( au) \ E[X(t_1)X(t_2)] = E[X(t)X(t+ au)]$$

#### **Ergodicity**

$$egin{aligned} \left\langle X(t) 
ight
angle_T &= rac{1}{2T} \int_{-T}^T x(t) dt \ \left\langle X(t+ au) X(t) 
ight
angle_T &= rac{1}{2T} \int_{-T}^T x(t+ au) x(t) dt \ E[\left\langle X(t) 
ight
angle_T] &= rac{1}{2T} \int_{-T}^T x(t) dt = rac{1}{2T} \int_{-T}^T m_X dt = m_X \end{aligned}$$

Туре	Normal	Mean square sense
ergodic in mean	$\lim_{T o\infty} \left\langle X(t) ight angle_T = m_X(t) = m_X$	$\lim_{T o\infty} \mathrm{VAR}[\langle X(t) angle_T] = 0$
ergodic in autocorrelation function	$\lim_{T o\infty}\left\langle X(t+ au)X(t) ight angle _{T}=R_{X}( au)$	$\lim_{T o\infty} \mathrm{VAR}[\left\langle X(t+ au)X(t) ight angle_T] = 0$

Note: A WSS random process needs to be both ergodic in mean and autocorrelation to be considered an ergodic process

#### Other identities

$$f*(g*h)=(f*g)*h\quad \text{Convolution associative}$$
 
$$a(f*g)=(af)*g\quad \text{Convolution associative}$$
 
$$\sum_{x=-\infty}^{\infty}(f(xa)\delta(\omega-xb))=f\left(\frac{\omega a}{b}\right)$$

#### Other trig

$$\cos 2\theta = 2\cos^2\theta - 1 \Leftrightarrow \frac{\cos 2\theta + 1}{2} = \cos^2\theta$$

$$e^{-j\alpha} - e^{j\alpha} = -2j\sin(\alpha)$$

$$e^{-j\alpha} + e^{j\alpha} = 2\cos(\alpha)$$

$$\cos(-A) = \cos(A)$$

$$\sin(-A) = -\sin(A)$$

$$\sin(A + \pi/2) = \cos(A)$$

$$\sin(A - \pi/2) = -\cos(A)$$

$$\cos(A - \pi/2) = \sin(A)$$

$$\cos(A + \pi/2) = -\sin(A)$$

$$\cos(A + \pi/2) = \sin(A)$$

$$\cos(A + \pi/2) = \sin(A)$$

$$\cos(A) + \cos(A) = \frac{1}{|A|}$$

$$\cos(A + B) = \cos(A)\cos(B) - \sin(A)\sin(B)$$

$$\sin(A + B) = \sin(A)\cos(B) + \cos(A)\sin(B)$$

$$\cos(A)\cos(B) = \frac{1}{2}(\cos(A - B) + \cos(A + B))$$

$$\cos(A)\sin(B) = \frac{1}{2}(\sin(A + B) - \sin(A - B))$$

$$\sin(A)\sin(B) = \frac{1}{2}(\cos(A - B) - \cos(A + B))$$

$$\cos(A) + \cos(B) = 2\cos\left(\frac{A}{2} - \frac{B}{2}\right)\cos\left(\frac{A}{2} + \frac{B}{2}\right)$$

$$\cos(A) - \cos(B) = -2\sin\left(\frac{A}{2} - \frac{B}{2}\right)\cos\left(\frac{A}{2} + \frac{B}{2}\right)$$

$$\sin(A) - \sin(B) = 2\sin\left(\frac{A}{2} - \frac{B}{2}\right)\cos\left(\frac{A}{2} + \frac{B}{2}\right)$$

$$\cos(A) + \sin(B) = -2\sin\left(\frac{A}{2} - \frac{B}{2}\right)\cos\left(\frac{A}{2} + \frac{B}{2}\right)$$

$$\cos(A) - \sin(B) = -2\sin\left(\frac{A}{2} - \frac{B}{2}\right)\sin\left(\frac{A}{2} + \frac{B}{2}\right)$$

$$\cos(A) - \sin(B) = -2\sin\left(\frac{A}{2} - \frac{B}{2}\right)\sin\left(\frac{A}{2} + \frac{B}{2}\right)$$

$$\cos(A) - \sin(B) = -2\sin\left(\frac{A}{2} - \frac{B}{2}\right)\sin\left(\frac{A}{2} + \frac{B}{2}\right)$$

$$\cos(A) - \sin(B) = -2\sin\left(\frac{A}{2} - \frac{B}{2}\right)\sin\left(\frac{A}{2} - \frac{B}{2}\right)$$

Def.  $ilde{g}(t)=g_I(t)+jg_Q(t)$  as the complex envelope. Best to convert to  $e^{j heta}$  form.

Convert complex envelope representation to time-domain representation of signal

$$egin{aligned} g(t) &= g_I(t) \cos(2\pi f_c t) - g_Q(t) \sin(2\pi f_c t) \ &= \mathrm{Re}[ ilde{g}(t) \exp{(j2\pi f_c t)}] \ &= A(t) \cos(2\pi f_c t + \phi(t)) \ A(t) &= |g(t)| = \sqrt{g_I^2(t) + g_Q^2(t)} \quad \mathrm{Amplitude} \ \phi(t) \quad \mathrm{Phase} \ g_I(t) &= A(t) \cos(\phi(t)) \quad \mathrm{In-phase\ component} \ g_Q(t) &= A(t) \sin(\phi(t)) \quad \mathrm{Quadrature-phase\ component} \end{aligned}$$

For transfer function

$$egin{aligned} h(t) &= h_I(t) \cos(2\pi f_c t) - h_Q(t) \sin(2\pi f_c t) \ &= 2 \mathrm{Re}[ ilde{h}(t) \exp\left(j2\pi f_c t
ight)] \ \Rightarrow ilde{h}(t) &= h_I(t)/2 + j h_Q(t)/2 = A(t)/2 \exp\left(j\phi(t)
ight) \end{aligned}$$

**AM** 

CAM

$$m_a = rac{\min_t |k_a m(t)|}{A_c}$$
  $k_a$  is the amplitude sensitivity (volt<sup>-1</sup>),  $m_a$  is the modulation index.  $m_a = rac{A_{\max} - A_{\min}}{A_{\max} + A_{\min}}$  (Symmetrical  $m(t)$ )  $m_a = k_a A_m$  (Symmetrical  $m(t)$ )  $x(t) = A_c \cos(2\pi f_c t) \left[1 + k_a m(t)\right] = A_c \cos(2\pi f_c t) \left[1 + m_a m(t)/A_c\right],$  where  $m(t) = A_m \hat{m}(t)$  and  $\hat{m}(t)$  is the normalized modulating signal  $P_c = rac{A_c^2}{2}$  Carrier power  $P_x = rac{1}{4} m_a^2 A_c^2$   $\eta = rac{\mathrm{Signal\ Power}}{\mathrm{Total\ Power}} = rac{P_x}{P_x + P_c}$ 

 $B_T$ : Signal bandwidth B: Bandwidth of modulating wave

Overmodulation (resulting in phase reversals at crossing points):  $m_a>1$ 

DSB-SC

$$x_{ ext{DSB}}(t) = A_c \cos{(2\pi f_c t)} m(t) 
onumber \ B_T = 2f_m = 2B$$

#### FM/PM

$$s(t) = A_c \cos\left[2\pi f_c t + k_p m(t)\right] \quad \text{Phase modulated (PM)}$$
 
$$s(t) = A_c \cos\left(\theta_i(t)\right) = A_c \cos\left[2\pi f_c t + 2\pi k_f \int_{-\infty}^t m(\tau) d\tau\right] \quad \text{Frequency modulated (FM)}$$
 
$$s(t) = A_c \cos\left[2\pi f_c t + \beta \sin(2\pi f_m t)\right] \quad \text{FM single tone}$$
 
$$f_i(t) = \frac{1}{2\pi} \frac{d}{dt} \theta_i(t) \quad \text{Instantaneous frequency from instantaneous phase}$$
 
$$\Delta f = \beta f_m = k_f A_m f_m = \max_t (k_f m(t)) - \min_t (k_f m(t)) \quad \text{Maximum frequency deviation}$$
 
$$\Delta f = \max_t (f_i(t)) - \min_t (f_i(t)) \quad \text{Maximum frequency deviation}$$
 
$$\beta = \frac{\Delta f}{f_m} = k_f A_m \quad \text{Modulation index}$$
 
$$D = \frac{\Delta f}{W_m} \quad \text{Deviation ratio, where } W_m \text{ is bandwidth of } m(t) \text{ (Use FT)}$$

Bessel form and magnitude spectrum (single tone)

$$s(t) = A_c \cos \left[ 2\pi f_c t + eta \sin(2\pi f_m t) 
ight] \Leftrightarrow s(t) = A_c \sum_{n=-\infty}^{\infty} J_n(eta) \cos[2\pi (f_c + n f_m) t]$$

FM signal power

$$egin{aligned} P_{ ext{av}} &= rac{{A_c}^2}{2} \ P_{ ext{band\_index}} &= rac{{A_c}^2 J_{ ext{band\_index}}^2(eta)}{2} \ ext{band\_index} &= 0 \implies f_c + 0 f_m \ ext{band\_index} &= 1 \implies f_c + 1 f_m, \ldots \end{aligned}$$

Carson's rule to find B (98% power bandwidth rule)

$$egin{aligned} B &= 2Mf_m = 2(eta+1)f_m \ &= 2(\Delta f + f_m) \ &= 2(k_f A_m + f_m) \ &= 2(D+1)W_m \ B &= egin{cases} 2(\Delta f + f_m) & ext{FM, sinusoidal message} \ 2(\Delta \phi + 1)f_m & ext{PM, sinusoidal message} \end{cases} \end{aligned}$$

Complex envelope

$$egin{aligned} s(t) &= A_c \cos(2\pi f_c t + eta \sin(2\pi f_m t)) \Leftrightarrow ilde{s}(t) &= A_c \exp(jeta \sin(2\pi f_m t)) \ s(t) &= \mathrm{Re}[ ilde{s}(t) \exp(j2\pi f_c t)] \ & ilde{s}(t) &= A_c \sum_{n=-\infty}^{\infty} J_n(eta) \exp(j2\pi f_m t) \end{aligned}$$

**Band** 

Narrowband	Wideband
$D < 1, \beta < 1$	$D>1, \beta>1$

#### Power, energy and autocorrelation

$$G_{ ext{WGN}}(f) = rac{N_0}{2}$$
 $G_x(f) = |H(f)|^2 G_w(f) ext{ (PSD)}$ 
 $G_x(f) = G(f) G_w(f) ext{ (PSD)}$ 
 $G_x(f) = \lim_{T o \infty} rac{|X_T(f)|^2}{T} ext{ (PSD)}$ 
 $G_x(f) = \mathfrak{F}[R_x( au)] ext{ (WSS)}$ 
 $P_x = \sigma_x^2 = \int_{\mathbb{R}} G_x(f) df ext{ For zero mean}$ 
 $P_x = \sigma_x^2 = \lim_{t o \infty} rac{1}{T} \int_{-T/2}^{T/2} |x(t)|^2 dt ext{ For zero mean}$ 
 $P[A\cos(2\pi f t + \phi)] = rac{A^2}{2} ext{ Power of sinusoid}$ 
 $E_x = \int_{-\infty}^{\infty} |x(t)|^2 dt = \int_{-\infty}^{\infty} |X(f)|^2 df ext{ Parseval's theorem}$ 
 $R_x( au) = \mathfrak{F}(G_x(f)) ext{ PSD to Autocorrelation}$ 

#### Noise performance

Coherent detection system.

$$egin{aligned} y(t) &= m(t)\cos(2\pi f_c t + heta) = m(t)\cos^2(2\pi f_c t + heta) ext{ After IF mixing.} \ &= m(t)rac{1}{2}(1+\cos(4f_c t + 2 heta)) \ &= rac{1}{2}m(t) + rac{1}{2}\cos(4f_c t + 2 heta) \ \implies S_u(f) &= \left(rac{1}{2}
ight)^2 S_u(f) ext{ After LPF.} \end{aligned}$$

Use formulaas from previous section, Power, energy and autocorrelation. Use these formulas in particular:

$$G_{ ext{WGN}}(f) = rac{N_0}{2}$$
  $G_x(f) = |H(f)|^2 G_w(f)$  Note the square in  $|H(f)|^2$   $P_x = {\sigma_x}^2 = \int_{\mathbb{R}} G_x(f) df$  Often perform graphical integration 
$$\begin{aligned} ext{CNR}_{ ext{in}} &= rac{P_{ ext{in}}}{P_{ ext{noise}}} \\ ext{CNR}_{ ext{in,FM}} &= rac{A^2}{2WN_0} \\ ext{SNR}_{ ext{FM}} &= rac{3A^2k_f^2P}{2N_0W^3} \\ ext{SNR}( ext{dB}) &= 10\log_{10}( ext{SNR}) \end{aligned}$$
 Decibels from ratio

#### Sampling

$$egin{aligned} t &= nT_s \ T_s &= rac{1}{f_s} \ x_s(t) &= x(t)\delta_s(t) = x(t)\sum_{n \in \mathbb{Z}} \delta(t-nT_s) = \sum_{n \in \mathbb{Z}} x(nT_s)\delta(t-nT_s) \ X_s(f) &= X(f) * \sum_{n \in \mathbb{Z}} \delta\left(f - rac{n}{T_s}
ight) = X(f) * \sum_{n \in \mathbb{Z}} \delta\left(f - nf_s
ight) \ B &> rac{1}{2}f_s, 2B > f_s o ext{Aliasing} \end{aligned}$$

#### Procedure to reconstruct sampled signal

Analog signal x'(t) which can be reconstructed from a sampled signal  $x_s(t)$ : Put  $x_s(t)$  through LPF with maximum frequency of  $f_s/2$  and minimum frequency of  $-f_s/2$ . Anything outside of the BPF will be attenuated, therefore n which results in frequencies outside the BPF will evaluate to 0 and can be ignored.

Example:  $f_s = 5000 \implies \mathrm{LPF} \in [-2500, 2500]$ 

Then iterate for  $n=0,1,-1,2,-2,\ldots$  until the first iteration where the result is 0 since all terms are eliminated by the LPF.

TODO: Add example

Then add all terms and transform  $ar{X}_s(f)$  back to time domain to get  $x_s(t)$ 

#### Fourier transform of continuous time periodic signal (1)

Required for some questions on sampling:

Transform a continuous time-periodic signal  $x_p(t) = \sum_{n=-\infty}^{\infty} x(t-nT_s)$  with period  $T_s$ :

$$X_p(f) = \sum_{n=-\infty}^{\infty} C_n \delta(f-nf_s) \quad f_s = rac{1}{T_s}$$

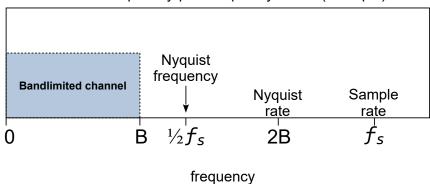
Calculate  $C_n$  coefficient as follows from  $x_p(t)$ :

$$egin{aligned} C_n &= rac{1}{T_s} \int_{T_s} x_p(t) \exp(-j2\pi f_s t) dt \ &= rac{1}{T_s} X(nf_s) \quad ext{(TODO: Check)} \quad x(t-nT_s) ext{ is contained in the interval } T_s \end{aligned}$$

#### Nyquist criterion for zero-ISI

Do not transmit more than 2B samples per second over a channel of B bandwidth.

#### Relationship of Nyquist frequency & rate (example)



Insert here figure 8.3 from M F Mesiya - Contemporary Communication Systems (Add image to images/sampling.png)

Cannot add directly due to copyright!

sampling

#### Quantizer

$$\Delta = rac{x_{
m Max} - x_{
m Min}}{2^k} ~~{
m for}~k ext{-bit quantizer}~{
m (V/lsb)}$$

#### Quantization noise

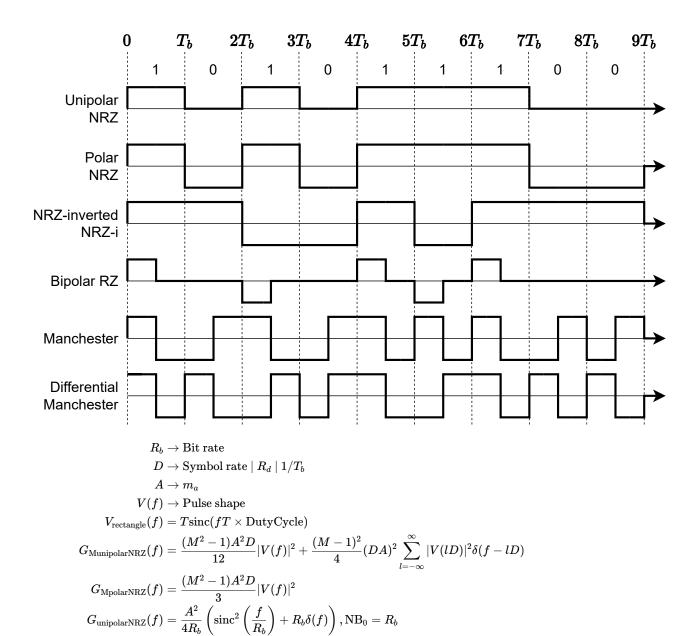
$$e:=y-x$$
 Quantization error  $\mu_E=E[E]=0$  Zero mean 
$$\sigma_E{}^2=E[E^2]-0^2=\int_{-\Delta/2}^{\Delta/2}e^2 imes\left(rac{1}{\Delta}
ight)de \quad ext{Where } E\sim 1/\Delta ext{ uniform over } (-\Delta/2,\Delta/2)$$
  $ext{SQNR}=rac{ ext{Signal power}}{ ext{Quantization noise}}$   $ext{SQNR}( ext{dB})=10\log_{10}( ext{SQNR})$ 

Insert here figure 8.17 from M F Mesiya - Contemporary Communication Systems (Add image to images/quantizer.png)

Cannot add directly due to copyright!

quantizer

#### Line codes



 $G_{ ext{unipolarRZ}}(f) = rac{A^2}{16} \left( \sum_{l=-\infty}^{\infty} \delta \left( f - rac{l}{T_b} 
ight) \left| ext{sinc}( ext{duty} imes l) 
ight|^2 + T_b \left| ext{sinc}\left( ext{duty} imes fT_b
ight) 
ight|^2 
ight), ext{NB}_0 = 2R_b$ 

#### Modulation and basis functions

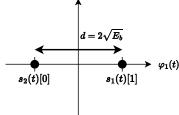
 $G_{
m polarNRZ}(f) = rac{A^2}{R_b} {
m sinc}^2 \left(rac{f}{R_b}
ight)$ 

 $G_{
m unipolarNRZ}(f) = rac{A^2}{4R_b} \left( {
m sinc}^2 \left(rac{f}{R_b}
ight) + R_b \delta(f) 
ight)$ 

# **BASK** constellation $s_2(t)[0]$ **BPSK** constellation

# QPSK constellation $s_3(t)[01]$





#### **BASK**

**Basis functions** 

$$arphi_1(t) = \sqrt{rac{2}{T_b}}\cos(2\pi f_c t) \quad 0 \leq t \leq T_b$$

Symbol mapping

$$b_n:\{1,0\} o a_n:\{1,0\}$$

2 possible waveforms

$$egin{aligned} s_1(t) &= A_c \sqrt{rac{T_b}{2}} arphi_1(t) = \sqrt{2E_b} arphi_1(t) \ s_1(t) &= 0 \ & ext{Since } E_b = E_{ ext{average}} = rac{1}{2} (rac{A_c^2}{2} imes T_b + 0) = rac{A_c^2}{4} T_b \end{aligned}$$

Distance is  $d=\sqrt{2E_b}$ 

#### **BPSK**

**Basis functions** 

$$arphi_1(t) = \sqrt{rac{2}{T_b}}\cos(2\pi f_c t) \quad 0 \leq t \leq T_b$$

Symbol mapping

$$b_n:\{1,0\}\to a_n:\{1,-1\}$$

2 possible waveforms

$$egin{aligned} s_1(t) &= A_c \sqrt{rac{T_b}{2}} arphi_1(t) = \sqrt{E_b} arphi_1(t) \ s_1(t) &= -A_c \sqrt{rac{T_b}{2}} arphi_1(t) = -\sqrt{E_b} arphi_2(t) \ & ext{Since } E_b = E_{ ext{average}} = rac{1}{2} (rac{A_c^2}{2} imes T_b + rac{A_c^2}{2} imes T_b) = rac{A_c^2}{2} T_b \end{aligned}$$

Distance is  $d=2\sqrt{E_b}$ 

QPSK (
$$M=4$$
 PSK)

**Basis functions** 

$$T=2T_b$$
 Time per symbol for two bits  $T_b$   $arphi_1(t)=\sqrt{rac{2}{T}}\cos(2\pi f_c t) \quad 0\leq t\leq T$   $arphi_2(t)=\sqrt{rac{2}{T}}\sin(2\pi f_c t) \quad 0\leq t\leq T$ 

#### 4 possible waveforms

$$egin{aligned} s_1(t) &= \sqrt{E_s/2} \left[ arphi_1(t) + arphi_2(t) 
ight] \ s_2(t) &= \sqrt{E_s/2} \left[ arphi_1(t) - arphi_2(t) 
ight] \ s_3(t) &= \sqrt{E_s/2} \left[ -arphi_1(t) + arphi_2(t) 
ight] \ s_4(t) &= \sqrt{E_s/2} \left[ -arphi_1(t) - arphi_2(t) 
ight] \end{aligned}$$

Note on energy per symbol: Since  $|s_i(t)|=A_{c \cdot}$  have to normalize distance as follows:

$$egin{aligned} s_i(t) &= A_c \sqrt{T/2}/\sqrt{2} imes \left[lpha_{1i} arphi_1(t) + lpha_{2i} arphi_2(t)
ight] \ &= \sqrt{T A_c^{\ 2}/4} \left[lpha_{1i} arphi_1(t) + lpha_{2i} arphi_2(t)
ight] \ &= \sqrt{E_s/2} \left[lpha_{1i} arphi_1(t) + lpha_{2i} arphi_2(t)
ight] \end{aligned}$$

Signal

Symbol mapping: 
$$\{1,0\} \to \{1,-1\}$$
 
$$I(t) = b_{2n}\varphi_1(t) \quad \text{Even bits}$$
 
$$Q(t) = b_{2n+1}\varphi_2(t) \quad \text{Odd bits}$$
 
$$x(t) = A_c[I(t)\cos(2\pi f_c t) - Q(t)\sin(2\pi f_c t)]$$

#### Example of waveform

ullet Code Remember that  $T=2T_b$ 

$b_n$	QPSK bits
I(t) (Odd, 1st bits)	QPSK bits
Q(t) (Even, 2nd bits)	QPSK bits

#### 1. Filter function

Find transfer function h(t) of matched filter and apply to an input:

Note that x(T-t) is equivalent to horizontally flipping x(t) around x=T/2.

$$\begin{split} h(t) &= s_1(T-t) - s_2(T-t) \\ h(t) &= s^*(T-t) \qquad ((.)^* \text{ is the conjugate}) \\ s_{on}(t) &= h(t) * s_n(t) = \int_{-\infty}^{\infty} h(\tau) s_n(t-\tau) d\tau \quad \text{Filter output} \\ n_o(t) &= h(t) * n(t) \quad \text{Noise at filter output} \end{split}$$

#### 2. Bit error rate of matched filter

Bit error rate (BER) from matched filter outputs and filter output noise

$$\begin{split} Q(x) &= \frac{1}{2} - \frac{1}{2} \mathrm{erf} \left( \frac{x}{\sqrt{2}} \right) \Leftrightarrow \mathrm{erf} \left( \frac{x}{\sqrt{2}} \right) = 1 - 2Q(x) \\ E_b &= d^2 = \int_{-\infty}^{\infty} |s_1(t) - s_2(t)|^2 dt \quad \mathrm{Energy \, per \, bit/Distance} \\ T &= 1/R_b \quad R_b \colon \mathrm{Bitrate} \\ E_b &= P_{\mathrm{av}} T = P_{\mathrm{av}} / R_b \quad \mathrm{Energy \, per \, bit} \\ P_{\mathrm{av}} &= E_b / T = E_b R_b \quad \mathrm{Average \, power} \\ P(\mathrm{W}) &= 10^{\frac{P_{\mathrm{(dB)}}}{10}} \\ P_{\mathrm{RX}}(W) &= P_{\mathrm{TX}}(W) \cdot 10^{\frac{P_{\mathrm{loss}}(\mathrm{dB})}{10}} \quad P_{\mathrm{loss} \, \mathrm{is \, expressed \, with \, negative \, sign \, e.g. \, "-130 \, \mathrm{dB}"} \\ \mathrm{BER}_{\mathrm{MatchedFilter}} &= Q\left(\sqrt{\frac{d^2}{2N_0}}\right) = Q\left(\sqrt{\frac{E_b}{2N_0}}\right) \\ \mathrm{BER}_{\mathrm{unipolarNRZ|BASK}} &= Q\left(\sqrt{\frac{d^2}{N_0}}\right) = Q\left(\sqrt{\frac{E_b}{N_0}}\right) \\ \mathrm{BER}_{\mathrm{polarNRZ|BPSK}} &= Q\left(\sqrt{\frac{2d^2}{N_0}}\right) = Q\left(\sqrt{\frac{2E_b}{N_0}}\right) \end{split}$$

# ${\cal Q}(x)$ function

x	Q(x)	x	Q(x)	x	Q(x)	x	Q(x)
0.00	0.5	2.30	0.010724	4.55	$2.6823  imes 10^{-6}$	6.80	$5.231  imes 10^{-12}$
0.05	0.48006	2.35	0.0093867	4.60	$2.1125 imes10^{-6}$	6.85	$3.6925  imes 10^{-12}$
0.10	0.46017	2.40	0.0081975	4.65	$1.6597  imes 10^{-6}$	6.90	$2.6001  imes 10^{-12}$
0.15	0.44038	2.45	0.0071428	4.70	$1.3008  imes 10^{-6}$	6.95	$1.8264  imes 10^{-12}$
0.20	0.42074	2.50	0.0062097	4.75	$1.0171  imes 10^{-6}$	7.00	$1.2798  imes 10^{-12}$
0.25	0.40129	2.55	0.0053861	4.80	$7.9333  imes 10^{-7}$	7.05	$8.9459 \times 10^{-13}$
0.30	0.38209	2.60	0.0046612	4.85	$6.1731  imes 10^{-7}$	7.10	$6.2378  imes 10^{-13}$
0.35	0.36317	2.65	0.0040246	4.90	$4.7918  imes 10^{-7}$	7.15	$4.3389  imes 10^{-13}$
0.40	0.34458	2.70	0.003467	4.95	$3.7107  imes 10^{-7}$	7.20	$3.0106  imes 10^{-13}$
0.45	0.32636	2.75	0.0029798	5.00	$2.8665  imes 10^{-7}$	7.25	$2.0839  imes 10^{-13}$
0.50	0.30854	2.80	0.0025551	5.05	$2.2091  imes 10^{-7}$	7.30	$1.4388  imes 10^{-13}$
0.55	0.29116	2.85	0.002186	5.10	$1.6983  imes 10^{-7}$	7.35	$9.9103  imes 10^{-14}$
0.60	0.27425	2.90	0.0018658	5.15	$1.3024  imes 10^{-7}$	7.40	$6.8092  imes 10^{-14}$
0.65	0.25785	2.95	0.0015889	5.20	$9.9644  imes 10^{-8}$	7.45	$4.667  imes 10^{-14}$
0.70	0.24196	3.00	0.0013499	5.25	$7.605 imes10^{-8}$	7.50	$3.1909  imes 10^{-14}$
0.75	0.22663	3.05	0.0011442	5.30	$5.7901 \times 10^{-8}$	7.55	$2.1763  imes 10^{-14}$
0.80	0.21186	3.10	0.0009676	5.35	$4.3977  imes 10^{-8}$	7.60	$1.4807  imes 10^{-14}$
0.85	0.19766	3.15	0.00081635	5.40	$3.332\times10^{-8}$	7.65	$1.0049 \times 10^{-14}$
0.90	0.18406	3.20	0.00068714	5.45	$2.5185  imes 10^{-8}$	7.70	$6.8033  imes 10^{-15}$
0.95	0.17106	3.25	0.00057703	5.50	$1.899\times10^{-8}$	7.75	$4.5946 \times 10^{-15}$
1.00	0.15866	3.30	0.00048342	5.55	$1.4283  imes 10^{-8}$	7.80	$3.0954  imes 10^{-15}$
1.05	0.14686	3.35	0.00040406	5.60	$1.0718 \times 10^{-8}$	7.85	$2.0802  imes 10^{-15}$
1.10	0.13567	3.40	0.00033693	5.65	$8.0224 \times 10^{-9}$	7.90	$1.3945  imes 10^{-15}$
1.15	0.12507	3.45	0.00028029	5.70	$5.9904\times10^{-3}$	7.95	$9.3256  imes 10^{-16}$
1.20	0.11507	3.50	0.00023263	5.75	$4.4622 \times 10^{-9}$	8.00	$6.221\times10^{-16}$
1.25	0.10565	3.55	0.00019262	5.80	$3.3157  imes 10^{-9}$	8.05	$4.1397  imes 10^{-16}$
1.30	0.0968	3.60	0.00015911	5.85	$2.4579  imes 10^{-9}$	8.10	$2.748\times10^{-16}$
1.35	0.088508	3.65	0.00013112	5.90	$1.8175  imes 10^{-9}$	8.15	$1.8196  imes 10^{-16}$
1.40	0.080757	3.70	0.0001078	5.95	$1.3407  imes 10^{-9}$	8.20	$1.2019  imes 10^{-16}$
1.45	0.073529	3.75	$8.8417  imes 10^{-5}$	6.00	$9.8659  imes 10^{-10}$	8.25	$7.9197  imes 10^{-17}$
1.50	0.066807	3.80	$7.2348  imes 10^{-5}$	6.05	$7.2423  imes 10^{-10}$	8.30	$5.2056  imes 10^{-17}$
1.55	0.060571	3.85	$5.9059  imes 10^{-5}$	6.10	$5.3034  imes 10^{-10}$	8.35	$3.4131 \times 10^{-17}$
1.60	0.054799	3.90	$4.8096  imes 10^{-5}$	6.15	$3.8741  imes 10^{-10}$	8.40	$2.2324  imes 10^{-17}$
1.65	0.049471	3.95	$3.9076  imes 10^{-5}$	6.20	$2.8232  imes 10^{-10}$	8.45	$1.4565  imes 10^{-17}$
1.70	0.044565	4.00	$3.1671  imes 10^{-5}$	6.25	$2.0523  imes 10^{-10}$	8.50	$9.4795  imes 10^{-18}$
1.75	0.040059	4.05	$2.5609  imes 10^{-5}$	6.30	$1.4882  imes 10^{-10}$	8.55	$6.1544  imes 10^{-18}$
1.80	0.03593	4.10	$2.0658  imes 10^{-5}$	6.35	$1.0766  imes 10^{-10}$	8.60	$3.9858  imes 10^{-18}$
1.85	0.032157	4.15	$1.6624  imes 10^{-5}$	6.40	$7.7688  imes 10^{-11}$	8.65	$2.575 imes10^{-18}$
1.90	0.028717	4.20	$1.3346\times10^{-5}$	6.45	$5.5925  imes 10^{-11}$	8.70	$1.6594  imes 10^{-18}$
1.95	0.025588	4.25	$1.0689\times10^{-5}$	6.50	$4.016 \times 10^{-11}$	8.75	$1.0668 \times 10^{-18}$

$\boldsymbol{x}$	Q(x)	$\boldsymbol{x}$	Q(x)	$\boldsymbol{x}$	Q(x)	$\boldsymbol{x}$	Q(x)
2.00	0.02275	4.30	$8.5399  imes 10^{-6}$	6.55	$2.8769 \times 10^{-11}$	8.80	$6.8408 \times 10^{-19}$
2.05	0.020182	4.35	$6.8069  imes 10^{-6}$	6.60	$2.0558 \times 10^{-11}$	8.85	$4.376  imes 10^{-19}$
2.10	0.017864	4.40	$5.4125  imes 10^{-6}$	6.65	$1.4655  imes 10^{-11}$	8.90	$2.7923  imes 10^{-19}$
2.15	0.015778	4.45	$4.2935 \times 10^{-6}$	6.70	$1.0421 \times 10^{-11}$	8.95	$1.7774  imes 10^{-19}$
2.20	0.013903	4.50	$3.3977  imes 10^{-6}$	6.75	$7.3923  imes 10^{-12}$	9.00	$1.1286  imes 10^{-19}$
2.25	0.012224						

Adapted from table 6.1 M F Mesiya - Contemporary Communication Systems

### $\operatorname{erf}(x)$ function

$\boldsymbol{x}$	$\operatorname{erf}(x)$	$\boldsymbol{x}$	$\operatorname{erf}(x)$	x	$\operatorname{erf}(x)$
0.00	0.00000	0.75	0.71116	1.50	0.96611
0.05	0.05637	0.80	0.74210	1.55	0.97162
0.10	0.11246	0.85	0.77067	1.60	0.97635
0.15	0.16800	0.90	0.79691	1.65	0.98038
0.20	0.22270	0.95	0.82089	1.70	0.98379
0.25	0.27633	1.00	0.84270	1.75	0.98667
0.30	0.32863	1.05	0.86244	1.80	0.98909
0.35	0.37938	1.10	0.88021	1.85	0.99111
0.40	0.42839	1.15	0.89612	1.90	0.99279
0.45	0.47548	1.20	0.91031	1.95	0.99418
0.50	0.52050	1.25	0.92290	2.00	0.99532
0.55	0.56332	1.30	0.93401	2.50	0.99959
0.60	0.60386	1.35	0.94376	3.00	0.99998
0.65	0.64203	1.40	0.95229	3.30	0.999998**
0.70	0.67780	1.45	0.95970		

<sup>\*\*</sup>The value of  ${
m erf}(3.30)$  should be pprox 0.999997 instead, but this value is quoted in the formula table.

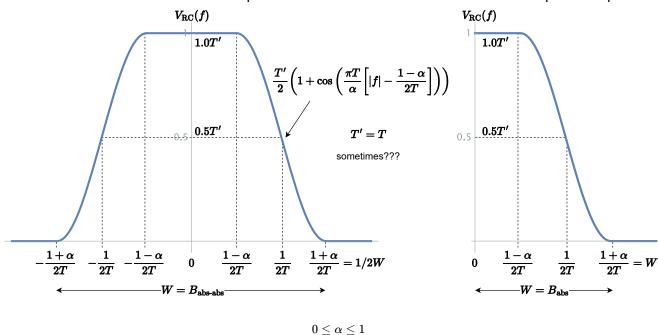
$$r_o(t) = egin{cases} s_{o1}(t) + n_o(t) & ext{code 1} \ s_{o2}(t) + n_o(t) & ext{code 0} \ n: ext{AWGN with } \sigma_o^2 \end{cases}$$

#### ISI, channel model

#### Raised cosine (RC) pulse

#### Linear modulation RC pulse

#### NRZ unipolar RC pulse



 $\triangle$  NOTE might not be safe to assume T'=T, if you can solve the question without T then use that method.

#### Nyquist criterion for zero ISI

D>2W Use W from table below depending on modulation scheme.

$$B_{ ext{Nyquist}} = rac{W}{1+lpha} \ lpha = rac{ ext{Excess BW}}{B_{ ext{Nyquist}}} = rac{B_{ ext{abs}} - B_{ ext{Nyquist}}}{B_{ ext{Nyquist}}}$$

#### Nomenclature

 $D \to \operatorname{Symbol}$  Rate, Max. Signalling Rate

 $T \to \operatorname{Symbol} \operatorname{Duration}$ 

 $M \to \operatorname{Symbol}$  set size

 $W \to {\rm Bandwidth}$ 

#### Bandwidth $\boldsymbol{W}$ and bit error rate of modulation schemes

To solve this type of question:

- 1. Use the formula for  ${\cal D}$  below
- 2. Consult the BER table below to get the BER which relates the noise of the channel  $N_0$  to  $E_b$  and to  $R_b$ .

Linear modulation	Half
BPSK, QPSK, $M$ -PSK, $M$ -QAM, ASK, FSK	M-PAM, PAM
RZ unipolar, Manchester	NRZ Unipolar, NRZ Polar, Bipolar RZ
$W=B_{ m abs-abs}$	$W=B_{ m abs}$
$W=B_{ ext{abs-abs}}=rac{1+lpha}{T}=(1+lpha)D$	$W=B_{ m abs}=rac{1+lpha}{2T}=(1+lpha)D/2$
$D = rac{W  ext{ symbol/s}}{1+lpha}$	$D=rac{2W  ext{ symbol/s}}{1+lpha}$

$$R_b ext{ bit/s} = (D ext{ symbol/s}) imes (k ext{ bit/symbol})$$
  $M ext{ symbol/set} = 2^k$   $T ext{ s/symbol} = 1/(D ext{ symbol/s})$   $E_b = PT = P_{ ext{av}}/R_b ext{ Energy per bit}$ 

#### Table of bandpass signalling and BER

Binary Bandpass Signaling	$B_{ m null-null}$ (Hz)	$B_{ m abs-abs} = \ 2 B_{ m abs}$ (Hz)	BER with Coherent Detection	BER with Noncoherent Detection
ASK, unipolar NRZ	$2R_b$	$R_b(1+lpha)$	$Q\left(\sqrt{E_b/N_0} ight)$	$0.5\exp(-E_b/(2N_0))$
BPSK	$2R_b$	$R_b(1+lpha)$	$Q\left(\sqrt{2E_b/N_0} ight)$	Requires coherent detection
Sunde's FSK	$3R_b$		$Q\left(\sqrt{E_b/N_0} ight)$	$0.5\exp(-E_b/(2N_0))$
DBPSK, $M$ - ary Bandpass Signaling	$2R_b$	$R_b(1+lpha)$		$0.5\exp(-E_b/N_0)$
QPSK/ $QPSK$ ( $M=4$ , $QPSK$ )	$R_b$	$rac{R_b(1+lpha)}{2}$	$Q\left(\sqrt{2E_b/N_0} ight)$	Requires coherent detection
MSK	$1.5R_b$	$rac{3R_b(1+lpha)}{4}$	$Q\left(\sqrt{2E_b/N_0} ight)$	Requires coherent detection
M-PSK ( $M>4$ )	$2R_b/\log_2 M$	$rac{R_b(1+lpha)}{\log_2 M}$	$rac{2}{\log_2 M}Q\left(\sqrt{2\log_2 M\sin^2\left(\pi/M ight)E_b/N_0} ight)$	Requires coherent detection
M-DPSK ( $M>4$ )	$2R_b/\log_2 M$	$rac{R_b(1+lpha)}{2\log_2 M}$		$rac{2}{\log_2 M}Q\left(\sqrt{4\log_2 M\sin^2\left(\pi/(2M) ight)E_b/N_0} ight)$
M-QAM (Square constellation)	$2R_b/\log_2 M$	$\frac{R_b(1{+}\alpha)}{\log_2 M}$	$rac{4}{\log_2 M} \left(1 - rac{1}{\sqrt{M}} ight) Q\left(\sqrt{rac{3\log_2 M}{M-1}} E_b/N_0 ight)$	Requires coherent detection
M-FSK Coherent	$\frac{(M+3)R_b}{2\log_2 M}$		$rac{M-1}{\log_2 M}Q\left(\sqrt{(\log_2 M)E_b/N_0} ight)$	
Noncoherent	$2MR_b/\log_2 M$			$rac{M-1}{2\log_2 M} 0.5 \exp(-(\log_2 M) E_b/2N_0)$

Adapted from table 11.4 M F Mesiya - Contemporary Communication Systems

#### PSD of modulated signals

Modulation	$G_x(f)$
Quadrature	$rac{A_c^2}{4}[G_I(f-f_c) + G_I(f+f_c) + G_Q(f-f_c) + G_Q(f+f_c)]$
Linear	$rac{ V(f) ^2}{2} \sum_{l=-\infty}^{\infty} R(l) \exp(-j2\pi l f T)$ What??

#### Symbol error probability

- Minimum distance between any two point
- Different from bit error since a symbol can contain multiple bits

#### **Entropy for discrete random variables**

$$\begin{split} H(x) &\geq 0 \\ H(x) &= -\sum_{x_i \in A_x} p_X(x_i) \log_2(p_X(x_i)) \\ H(x,y) &= -\sum_{x_i \in A_x} \sum_{y_i \in A_y} p_{XY}(x_i,y_i) \log_2(p_{XY}(x_i,y_i)) \quad \text{Joint entropy} \\ H(x,y) &= H(x) + H(y) \quad \text{Joint entropy if $x$ and $y$ independent} \\ H(x|y &= y_j) &= -\sum_{x_i \in A_x} p_X(x_i|y = y_j) \log_2(p_X(x_i|y = y_j)) \quad \text{Conditional entropy} \\ H(x|y) &= -\sum_{y_j \in A_y} p_Y(y_j) H(x|y = y_j) \quad \text{Average conditional entropy, equivocation} \\ H(x|y) &= -\sum_{x_i \in A_x} \sum_{y_i \in A_y} p_X(x_i,y_j) \log_2(p_X(x_i|y = y_j)) \\ H(x|y) &= H(x,y) - H(y) \\ H(x,y) &= H(x) + H(y|x) = H(y) + H(x|y) \end{split}$$

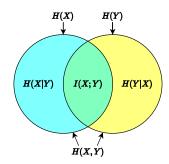
Entropy is maximized when all have an equal probability.

#### Differential entropy for continuous random variables

TODO: Cut out if not required

$$h(x) = -\int_{\mathbb{R}} f_X(x) \log_2(f_X(x)) dx$$

#### Mutual information



Amount of entropy decrease of x after observation by y.

$$I(x; y) = H(x) - H(x|y) = H(y) - H(y|x)$$

#### Channel model

Vertical, x: input Horizontal, y: output

$$\mathbf{P} = egin{bmatrix} p_{11} & p_{12} & \dots & p_{1N} \ p_{21} & p_{22} & \dots & p_{2N} \ dots & dots & \ddots & dots \ p_{M1} & p_{M2} & \dots & p_{MN} \ \end{pmatrix}$$

$$egin{array}{c|ccccc} P(y_j|x_i) & y_1 & y_2 & \dots & y_N \ \hline x_1 & p_{11} & p_{12} & \dots & p_{1N} \ x_2 & p_{21} & p_{22} & \dots & p_{2N} \ dots & dots & dots & dots & dots \ x_M & p_{M1} & p_{M2} & \dots & p_{MN} \ \hline \end{array}$$

Input has probability distribution  $p_X(a_i) = P(X=a_i)$ 

Channel maps alphabet ' $\{a_1,\ldots,a_M\} o \{b_1,\ldots,b_N\}$ '

Output has probability distribution  $p_Y(b_j) = P(y = b_j)$ 

$$egin{aligned} p_Y(b_j) &= \sum_{i=1}^M P[x=a_i,y=b_j] \quad 1 \leq j \leq N \ &= \sum_{i=1}^M P[X=a_i] P[Y=b_j|X=a_i] \ [p_Y(b_0) \quad p_Y(b_1) \quad \dots \quad p_Y(b_j)] &= [p_X(a_0) \quad p_X(a_1) \quad \dots \quad p_X(a_i)] imes \mathbf{P} \end{aligned}$$

Fast procedure to calculate I(y;x)

- 1. Find H(x)
- 2. Find  $[p_Y(b_0) \ p_Y(b_1) \ \dots \ p_Y(b_j)] = [p_X(a_0) \ p_X(a_1) \ \dots \ p_X(a_i)] \times \mathbf{P}$
- 3. Multiply each row in **P** by  $p_X(a_i)$  since  $p_{XY}(x_i,y_i) = P(y_i|x_i)P(x_i)$
- 4. Find H(x, y) using each element from (3.)
- 5. Find H(x|y) = H(x, y) H(y)
- 6. Find I(y; x) = H(x) H(x|y)

#### Channel types

Туре	Definition
Symmetric channel	Every row is a permutation of every other row, Every column is a permutation of every other column. Symmetric $\Longrightarrow$ Weakly symmetric
Weakly symmetric	Every row is a permutation of every other row, Every column has the same sum

Channel capacity of weakly symmetric channel

 $C \rightarrow \text{Channel capacity (bits/channels used)}$ 

 $N \to \text{Output alphabet size}$ 

 $\mathbf{p} \to \text{Probability vector},$  any row of the transition matrix

 $C = \log_2(N) - H(\mathbf{p})$  Capacity for weakly symmetric and symmetric channels

 $R_b < C$  for error-free transmission

Channel capacity of an AWGN channel

$$y_i = x_i + n_i \quad n_i \sim N(0, N_0/2)$$
  $C = rac{1}{2} \log_2 \left(1 + rac{P_{
m av}}{N_0/2}
ight)$ 

Channel capacity of a bandwidth limited AWGN channel

$$egin{aligned} P_s &
ightarrow ext{Bandwidth limited average power} \ y_i &= ext{bandpass}_W(x_i) + n_i \quad n_i \sim N(0,N_0/2) \ C &= W \log_2 \left(1 + rac{P_s}{N_0 W} 
ight) \ C &= W \log_2 (1 + ext{SNR}) \ ext{SNR} &= P_s/(N_0 W) \end{aligned}$$

Shannon limit

$$egin{aligned} R_b < C \ \implies R_b < W \log_2 \left(1 + rac{P_s}{N_0 W}
ight) & ext{For bandwidth limited AWGN channel} \ rac{E_b}{N_0} > rac{2^{\eta} - 1}{\eta} & ext{SNR per bit required for error-free transmission} \ \eta = rac{R_b}{W} & ext{Spectral efficiency (bit/(s-Hz))} \ \eta \gg 1 & ext{Bandwidth limited} \ \eta \ll 1 & ext{Power limited} \end{aligned}$$

#### Channel code

Note: Define XOR ( $\oplus$ ) as exclusive OR, or modulo-2 addition.

Hamming weight	$w_H(x)$	Number of '1' in codeword $oldsymbol{x}$
Hamming distance	$d_H(x_1,x_2)=w_H(x_1\oplus x_2)$	Number of different bits between codewords $x_1$ and $x_2$ which is the hamming weight of the XOR of the two codes.
Minimum distance	$d_{ m min}$	<b>IMPORTANT</b> : $x  eq 0$ , excludes weight of all-zero codeword. For a linear block code, $d_{\min} = w_{\min}$

#### Linear block code

Code is (n, k)

n is the width of a codeword

 $2^k$  codewords

A linear block code must be a subspace and satisfy both:

- 1. Zero vector must be present at least once
- 2. The XOR of any codeword pair in the code must result in a codeword that is already present in the code table.
- 3.  $d_{
  m min}=w_{
  m min}$  (Implied by (1) and (2).)

#### Code generation

Each generator vector is a binary string of size n. There are k generator vectors in  ${f G}$ .

A message block  ${f m}$  is coded as  ${f x}$  using the generation codewords in  ${f G}$ :

$$\mathbf{m} = [m_0 \quad \dots \quad m_{n-2} \quad m_{k-1}]$$
 $\mathbf{m} = [101001] \quad \text{Example for } k = 6$ 
 $\mathbf{x} = \mathbf{mG} = m_0 \mathbf{g}_0 + m_1 \mathbf{g}_1 + \dots + m_{k-1} \mathbf{g}_{k-1}$ 

#### Systemic linear block code

Contains k message bits (Copy  ${f m}$  as-is) and (n-k) parity bits after the message bits.

$$\mathbf{G} = \begin{bmatrix} \mathbf{I}_k & | & \mathbf{P} \end{bmatrix} = \begin{bmatrix} 1 & 0 & \dots & 0 & p_{0,0} & \dots & p_{0,n-2} & p_{0,n-1} \\ 0 & 1 & \dots & 0 & p_{1,0} & \dots & p_{1,n-2} & p_{1,n-1} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & p_{k-1,0} & \dots & p_{k-1,n-2} & p_{k-1,n-1} \end{bmatrix}$$

$$\mathbf{m} = \begin{bmatrix} m_0 & \dots & m_{n-2} & m_{k-1} \end{bmatrix}$$

$$\mathbf{x} = \mathbf{m}\mathbf{G} = \mathbf{m} \begin{bmatrix} \mathbf{I}_k & | & \mathbf{P} \end{bmatrix} = \begin{bmatrix} \mathbf{m}\mathbf{I}_k & | & \mathbf{m}\mathbf{P} \end{bmatrix} = \begin{bmatrix} \mathbf{m} & | & \mathbf{b} \end{bmatrix}$$

$$\mathbf{b} = \mathbf{m}\mathbf{P} \quad \text{Parity bits of } \mathbf{x}$$

#### Parity check matrix ${f H}$

Transpose  ${f P}$  for the parity check matrix

$$egin{aligned} \mathbf{H} &=& [\mathbf{P}^{\mathrm{T}} \mid \mathbf{I}_{n-k}] \ &=& [ \ \mathbf{p}_0^{\mathrm{T}} \ \mathbf{p}_1^{\mathrm{T}} \ \dots \ \mathbf{p}_{k-1}^{\mathrm{T}} \mid \mathbf{I}_{n-k} \ ] \ &=& \begin{bmatrix} p_{0,0} & \dots & p_{0,k-2} & p_{0,k-1} & 1 & 0 & \dots & 0 \ p_{1,0} & \dots & p_{1,k-2} & p_{1,k-1} & 0 & 1 & \dots & 0 \ dots & \ddots & dots & dots & dots & dots & \ddots & dots \ p_{n-1,0} & \dots & p_{n-1,k-2} & p_{n-1,k-1} & 0 & 0 & \dots & 1 \ \end{bmatrix}$$

 $\mathbf{x}\mathbf{H}^{\mathrm{T}} = \mathbf{0} \implies \text{Codeword is valid}$ 

#### Procedure to find parity check matrix from list of codewords

- 1. From the number of codewords, find  $k = \log_2(N)$
- 2. Partition codewords into k information bits and remaining bits into n-k parity bits. The information bits should be a simple counter (?).
- 3. Express parity bits as a linear combination of information bits
- 4. Put coefficients into  ${f P}$  matrix and find  ${f H}$

Example:

Set  $x_1, x_2$  as information bits. Express  $x_3, x_4, x_5$  in terms of  $x_1, x_2$ .

Error detection and correction

**Detection** of s errors:  $d_{\min} \geq s+1$ 

**Correction** of u errors:  $d_{\min} \geq 2u+1$ 

#### **CHECKLIST**

- ullet Transfer function in complex envelope form  $ilde{h}(t)$  should be divided by two.
- Convolutions: do not forget width when using graphical method
- todo: add more items to check