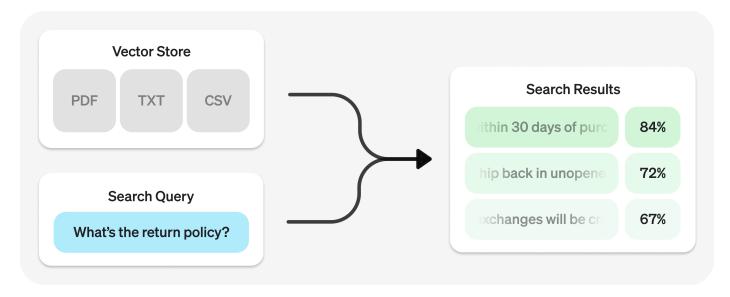
OpenAl Platform

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Retrieval

Search your data using semantic similarity.

The **Retrieval API** allows you to perform <u>semantic search</u> over your data, which is a technique that surfaces semantically similar results — even when they match few or no keywords. Retrieval is useful on its own, but is especially powerful when combined with our models to synthesize responses.



The Retrieval API is powered by <u>vector stores</u>, which serve as indices for your data. This guide will cover how to perform semantic search, and go into the details of vector stores.

Quickstart

1 Create vector store and upload files.

```
7
8 client.vector_stores.files.upload_and_poll( # Upload file
9 vector_store_id=vector_store.id,
10 file=open("customer_policies.txt", "rb")
11 )
```

2 Send search query to get relevant results.

```
Search query

1 user_query = "What is the return policy?"

2 
3 results = client.vector_stores.search(
4    vector_store_id=vector_store.id,
5    query=user_query,
6 )
```

i To learn how to use the results with our models, check out the <u>synthesizing responses</u> section.

Semantic search

Semantic search is a technique that leverages <u>vector embeddings</u> to surface semantically relevant results. Importantly, this includes results with few or no shared keywords, which classical search techniques might miss.

For example, let's look at potential results for "When did we go to the moon?" :

TEXT	KEYWORD SIMILARITY	SEMANTIC SIMILARITY
The first lunar landing occurred in July of 1969.	0%	65%
The first man on the moon was Neil Armstrong.	27%	43%
When I ate the moon cake, it was delicious.	40%	28%

(<u>Jaccard</u> used for keyword, <u>cosine</u> with | text-embedding-3-small | used for semantic.)

Notice how the most relevant result contains none of the words in the search query. This flexibility makes semantic search a very powerful technique for querying knowledge bases of any size.

Semantic search is powered by <u>vector stores</u>, which we cover in detail later in the guide. This section will focus on the mechanics of semantic search.

Performing semantic search

You can query a vector store using the search function and specifying a query in natural language. This will return a list of results, each with the relevant chunks, similarity scores, and file of origin.

```
python 
p
```

```
Results
                                                                                 ර
1
2
     "object": "vector store.search results.page",
     "search_query": "How many woodchucks are allowed per passenger?",
3
4
     "data": [
5
       {
         "file_id": "file-12345",
6
7
         "filename": "woodchuck_policy.txt",
         "score": 0.85,
8
9
         "attributes": {
           "region": "North America",
10
           "author": "Wildlife Department"
11
12
         },
         "content": [
13
14
           {
             "type": "text",
15
             "text": "According to the latest regulations, each passenger is allow
16
17
           },
18
             "type": "text",
19
             "text": "Ensure that the woodchucks are properly contained during tra
20
21
           }
         1
22
23
       },
24
25
         "file_id": "file-67890",
         "filename": "transport_guidelines.txt",
26
27
         "score": 0.75.
         "attributes": {
28
            "region": "North America",
29
           "author": "Transport Authority"
30
31
         },
```

```
32
         "content": [
33
34
             "type": "text",
             "text": "Passengers must adhere to the guidelines set forth by the Tr
35
36
37
         1
       }
38
39
     ],
     "has more": false,
40
41
     "next_page": null
42 }
```

A response will contain 10 results maximum by default, but you can set up to 50 using the max_num_results param.

Query rewriting

Certain query styles yield better results, so we've provided a setting to automatically rewrite your queries for optimal performance. Enable this feature by setting rewrite_query=true when performing a search.

The rewritten guery will be available in the result's search guery field.

ORIGINAL	REWRITTEN
I'd like to know the height of the main office building.	primary office building height
What are the safety regulations for transporting hazardous materials?	safety regulations for hazardous materials
How do I file a complaint about a service issue?	service complaint filing process

Attribute filtering

Attribute filtering helps narrow down results by applying criteria, such as restricting searches to a specific date range. You can define and combine criteria in attribute_filter to target files based on their attributes before performing semantic search.

Use **comparison filters** to compare a specific key in a file's attributes with a given value, and **compound filters** to combine multiple filters using and or.



```
1 {
2  "type": "eq" | "ne" | "gt" | "gte" | "lt" | "lte" | "in" | "nin", // comparis
3  "key": "attributes_key", // attributes key
4  "value": "target_value" // value to compare agains
5 }
```

```
Compound filter

1 {
2 "type": "and" | "or", // logical operators
3 "filters": [...]
4 }
```

Below are some example filters.

```
Filter for a region

1 {
2 "type": "eq",
3 "key": "region",
4 "value": "us"
5 }
```

Ranking

If you find that your file search results are not sufficiently relevant, you can adjust the ranking_options to improve the quality of responses. This includes specifying a ranker, such as auto or default-2024-08-21, and setting a score_threshold between 0.0 and 1.0. A higher score_threshold will limit the results to more relevant chunks, though it may exclude some potentially useful ones.

Vector stores

Vector stores are the containers that power semantic search for the Retrieval API and the <u>file search</u> tool. When you add a file to a vector store it will be automatically chunked, embedded, and indexed.

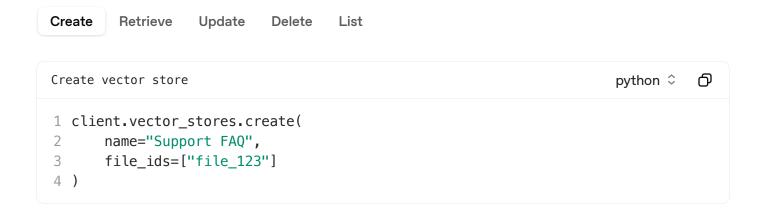
Vector stores contain	vector_store_file objects, which are backed by a file object.
OBJECT TYPE	DESCRIPTION
file	Represents content uploaded through the <u>Files API</u> . Often used with vector stores, but also for fine-tuning and other use cases.
vector_store	Container for searchable files.
vector_store.file	Wrapper type specifically representing a file that has been chunked and embedded, and has been associated with a vector_store. Contains attributes map used for filtering.

Pricing

You will be charged based on the total storage used across all your vector stores, determined by the size of parsed chunks and their corresponding embeddings.

STORAGE	COST
Up to 1 GB (across all stores)	Free
Beyond 1 GB	\$0.10/GB/day
See <u>expiration policies</u> for options to minimize costs.	

Vector store operations



Vector store file operations

Some operations, like create for vector_store.file, are asynchronous and may take time to complete — use our helper functions, like create_and_poll to block until it is. Otherwise, you

may check the status.

Create Upload Retrieve Update Delete List

Create vector store file python ≎ ☐

1 client.vector_stores.files.create_and_poll(
2 vector_store_id="vs_123",
3 file_id="file_123"
4)

Batch operations

Batch create operation python ≎ ☐

1 client.vector_stores.file_batches.create_and_poll(
2 vector_store_id="vs_123",
3 file_ids=["file_123", "file_456"]
4)

Attributes

Each vector_store.file can have associated attributes, a dictionary of values that can be referenced when performing <u>semantic search</u> with <u>attribute filtering</u>. The dictionary can have at most 16 keys, with a limit of 256 characters each.

```
Create vector store file with attributes
                                                                      python 🗘
                                                                                Ð
1 client.vector_stores.files.create(
2
      vector_store_id="<vector_store_id>",
3
      file_id="file_123",
      attributes={
4
5
          "region": "US",
          "category": "Marketing",
6
7
          "date": 1672531200
                              # Jan 1, 2023
      }
8
9)
```

Expiration policies

You can set an expiration policy on vector_store objects with expires_after. Once a vector store expires, all associated vector_store.file objects will be deleted and you'll no longer be charged for them.

```
Set expiration policy for vector store python 

1 client.vector_stores.update(
2   vector_store_id="vs_123",
3   expires_after={
4     "anchor": "last_active_at",
5     "days": 7
6  }
7 )
```

Limits

The maximum file size is 512 MB. Each file should contain no more than 5,000,000 tokens per file (computed automatically when you attach a file).

Chunking

By default, [max_chunk_size_tokens] is set to [800] and [chunk_overlap_tokens] is set to [400], meaning every file is indexed by being split up into 800-token chunks, with 400-token overlap between consecutive chunks.

You can adjust this by setting <u>chunking_strategy</u> when adding files to the vector store. There are certain limitations to <u>chunking_strategy</u>:

```
max_chunk_size_tokens must be between 100 and 4096 inclusive.

chunk_overlap_tokens must be non-negative and should not exceed

max_chunk_size_tokens / 2 .
```

> Supported file types

Synthesizing responses

After performing a query you may want to synthesize a response based on the results. You can leverage our models to do so, by supplying the results and original query, to get back a grounded

response.

```
Perform search query to get results
                                                                       python 🗘
                                                                                 ᠿ
   from openai import OpenAI
1
2
3
   client = OpenAI()
4
5
   user_query = "What is the return policy?"
6
7
   results = client.vector stores.search(
       vector_store_id=vector_store.id,
8
9
       query=user_query,
10 )
```

```
Synthesize a response based on results
                                                                        python 🗘
                                                                                  \Theta
   formatted results = format results(results.data)
2
3
   '\n'.join('\n'.join(c.text) for c in result.content for result in results.data)
4
5
   completion = client.chat.completions.create(
       model="gpt-4.1",
6
       messages=[
7
8
            {
Q
                "role": "developer",
                "content": "Produce a concise answer to the query based on the prov
10
11
            },
12
13
                "role": "user",
                "content": f"Sources: {formatted results}\n\nQuery: '{user guery}''
14
15
            }
16
       ],
17 )
18
19 print(completion.choices[0].message.content)
```

```
"Our return policy allows returns within 30 days of purchase."
```

This uses a sample | format_results | function, which could be implemented like so:

```
Sample result formatting function python \updownarrow
```

```
def format_results(results):
    formatted_results = ''
    for result in results.data:
        formatted_result = f"<result file_id='{result.file_id}' file_name='{result.file_id}' file
```