

## Table of Contents

1. Ntile .....	1
2. Islands .....	3
3. Lead and Lag .....	6
4. Gaps .....	7
5. Percent_Rank and Cume_Dist .....	7

Ranking functions are used to rank rows of data according to some criteria. . We might want to rank employees by salary or we might want to rank employees by salary within each department. Ranking functions have to consider ties. The ranking functions are

- NTile
- Islands and Gaps
- Lead and Lag
- Percent\_rank (optional)
- Cume\_Dist (optional)

Oracle seldom develops functions that are not useful in business.

## 1. Ntile

Ntile works by dividing the data into percentile groupings, called buckets. Suppose you want the salaries divided into 2 groups (the top 50% and the bottom 50%). The number of buckets is an argument to NTILE

Demo 01: NTILE() based on salary

```
Select NTile(5) Over (order by salary ) as Bucket
, emp_id, dept_id, year_hired, salary
from adv_emp;
```

We have 23 rows with non null salaries and we get one group with 12 rows and one with 11.

BUCKET	EMP_ID	DEPT_ID	YEAR_HIRED	SALARY
1	313	30	2012	11000
1	318	30	2014	11500
1	315	20	2013	12000
1	307	30	2012	13500
1	302	20	2010	14000
2	301	10	2010	15000
2	308	30	2013	15000
2	322	10	2012	25000
2	323	15	2012	25000
2	320	10	2012	25000
3	317	30	2014	25000
3	310	10	2012	25000
3	316	30	2013	26000
3	305	10	2012	27000
3	303	20	2014	27000
4	306	30	2010	28000
4	312	20	2010	28000
4	311	10	2012	28000
4	304	30	2010	28000
5	314	30	2013	30000
5	321	10	2014	30000
5	319	10	2014	30000
5	309	10	2014	30000

Note the employees who earn 25000 ; there are 5 of these employees- three in bucket 2 and 2 in the next bucket. We could add a second sort key to decide on the group if that make business sense. Perhaps we want people with earlier hire date to be in the lower numbered group. You should not make up a distinction rule that has no

business rule to support it. But if we were giving out bonuses based on the employee's bucket- we would have to deal with this appropriately.

**Demo 02:** Suppose we want to have a different set of buckets for each department. We can partition by the `dept_id`. This starts a new bucketing for each department. I also use a variable for the bucket count.

```
variable d number;
exec :d := 2;

select
  Ntile (:d) Over (partition by dept_id order by salary, year_hired ) as Bucket
, dept_id, year_hired, salary, emp_id
from adv_emp
;
```

BUCKET	DEPT_ID	YEAR_HIRED	SALARY	EMP_ID
1	10	2010	15000	301
1	10	2012	25000	320
1	10	2012	25000	310
1	10	2012	25000	322
1	10	2012	27000	305
2	10	2012	28000	311
2	10	2014	30000	319
2	10	2014	30000	321
2	10	2014	30000	309
1	15	2012	25000	323
1	20	2013	12000	315
1	20	2010	14000	302
2	20	2014	27000	303
2	20	2010	28000	312
1	30	2012	11000	313
1	30	2014	11500	318
1	30	2012	13500	307
1	30	2013	15000	308
1	30	2014	25000	317
2	30	2013	26000	316
2	30	2010	28000	306
2	30	2010	28000	304
2	30	2013	30000	314

**Demo 03:** Perhaps we don't want to make buckets for small departments and we want to improve the output a bit. One CTE puts together the bucket list and the second CTE finds the department with more than 8 employees. These are joined in the main query.

```
variable NumBkts number;
exec :NumBkts := 2;
variable MinDept number;
exec :MinDept := 8;

With
Bucket_list as(
  Select
    Ntile (:NumBkts) Over (partition by dept_id order by salary, year_hired )
  as nt
  , emp_id, name_last, dept_id
  from adv_emp
```

```

    )
  ,
  Groups as (
    select dept_id, count(*) as deptCount
    from adv_emp
    group by dept_id
    having count(*) >= :MinDept
  )
  select ' Group:' || cast(groups.dept_id as varchar(5) ) || '-' || cast(nt as
  varchar(2)) as StudyGroup
  , emp_id, name_last
  from Groups
  join Bucket_list on Groups.dept_id = Bucket_list.dept_id
;

```

STUDYGROUP	EMP_ID	NAME_LAST
Group:10-1	301	Green
Group:10-1	320	Jarrett
Group:10-1	310	Wabich
Group:10-1	322	Wabich
Group:10-1	305	Coltrane
Group:10-2	311	Brubeck
Group:10-2	319	Redman
Group:10-2	321	Rollins
Group:10-2	309	Beiderbecke
Group:30-1	313	Davis
Group:30-1	318	Shorter
Group:30-1	307	Tatum
Group:30-1	308	Evans
Group:30-1	317	Wasliewski
Group:30-2	316	Monk
Group:30-2	306	Cohen
Group:30-2	304	Mobley
Group:30-2	314	Turrentine

## 2. Islands

Islands are sequences of values with no gaps. For example; these are the order id values between 400 and 510 from the order headers table. I have color coded each of the islands

ORD_ID
400
401
402
405
407
408
411
412
413
414
415

Demo 04: This is the code to get the first value in an island and the last value and the count.

```
with dataset as (
  select order_id, order_id - dense_rank() over ( order by order_id) as grp
  from oe_orderheaders
  where order_id between 400 and 510
)
select MIN(order_id) as start_of_range
, MAX(order_id) as end_of_range
, COUNT(order_id) as number_in_range
from dataset
group by grp
order by 1;
```

START_OF_RANGE	END_OF_RANGE	NUMBER_IN_RANGE
400	402	3
405	405	1
407	408	2
411	415	5

How does that work? Take a look at the CTE; I have added another column for the rank. Note that for each island the difference between the ord\_id and the rank is the same value. And for different islands, that column has a different value. So we can group by the difference value to put all of the rows in the island in the same group.

```
select order_id
, dense_rank() over ( order by order_id) as DRank
, order_id - dense_rank() over ( order by order_id) as Diff
from oe_orderheaders
where order_id between 400 and 510;
```

ORD_ID	DRANK	DIFF
400	1	399
401	2	399
402	3	399
405	4	401
407	5	402
408	6	402
411	7	404
412	8	404
413	9	404
414	10	404
415	11	404

Demo 05: Suppose we want to find data about our customers and how consistently they place orders over months. I am going to use the books table and orders for the year 2014

For the demo I limited this to three selected customers: 224038, 227105, 272787. First, display the order dates for those customers. I have inserted spaces between each customer.

```
select cust_id, order_date
from bk_order_headers
where extract ( YEAR from order_date) = 2015
and cust_id in (224038, 227105, 272787)
order by cust_id, order_date;
```

CUST_ID	ORDER_DAT
---------	-----------

```

-----
CUST_ID ORDER_DATE
-----
224038 02-MAY-15
224038 26-MAY-15
227105 12-FEB-15
227105 12-FEB-15
227105 12-JUN-15
227105 30-JUL-15
227105 03-AUG-15
227105 12-AUG-15
227105 12-AUG-15
227105 12-AUG-15
227105 12-SEP-15
227105 19-SEP-15
227105 18-NOV-15
227105 06-DEC-15
227105 12-DEC-15
227105 12-DEC-15
227105 31-DEC-15
272787 15-FEB-15
272787 02-MAR-15
272787 12-MAR-15
272787 13-MAR-15
272787 08-APR-15
272787 15-JUN-15
272787 16-JUN-15
272787 02-JUL-15
272787 22-SEP-15
272787 22-SEP-15
272787 22-SEP-15
272787 30-SEP-15
272787 02-NOV-15
272787 02-NOV-15
272787 06-NOV-15
272787 12-NOV-15
272787 12-NOV-15
272787 02-DEC-15

```

35 rows selected.

This is the query to display the islands for those customers.

```

with dataset as (
  select cust_id
    , extract( month from order_Date) as mn
    , extract( month from order_Date) - dense_rank()
      over (partition by cust_id order by extract( month from order_Date)) as grp
  from bk_order_headers
  where extract ( YEAR from order_Date) = 2015
    and cust_id in (224038, 227105, 272787)
)
select cust_id
  , MIN(mn) as month_start
  , MAX(mn) as month_end
from dataset
group by cust_id, grp
order by cust_id, grp;

```

CUST_ID	MONTH_START	MONTH_END
224038	5	5
227105	2	2
227105	6	9
227105	11	12
272787	2	4
272787	6	7
272787	9	9
272787	11	12

8 rows selected.

If you are going to work with actual date values, then you will need to use date arithmetic function to get the difference. Work with the CTE expression to get the same difference value for each island.

### 3. Lead and Lag

It might be useful to see the data for one day and also the previous day's data on the same line. For this we can use the Lag function. The Lag function also uses an Over clause and the default is one value previous. There is also a Lead function that shows the next value. If there is no previous (or next) value then a Null is returned.

Demo 06: Lag(attrb) and Lead(attrb)

```
select sales_day
, sales
, Lag (sales) Over (order by sales_day) as PrevDay
, Lead(sales) Over (order by sales_day) as NextDay
from adv_sales
order by sales_day;
```

DAY	SALES	PREVDAY	NEXTDAY
25-APR-15	400		400
26-APR-15	400	400	400
27-APR-15	400	400	300
28-APR-15	300	400	900
29-APR-15	900	300	580
30-APR-15	580	900	425
01-MAY-15	425	580	10
02-MAY-15	10	425	325
03-MAY-15	325	10	500
04-MAY-15	500	325	550
. . . rows omitted			

You can specify how many days Lag you want. The nulls here show where the missing data would be.

Demo 07: Lag(attr, n)

```
select sales_day
, sales
, Lag (sales, 3) Over (order by sales_day) as "3_DaysAgo"
, Lag (sales, 2) Over (order by sales_day) as "2_DaysAgo"
, Lag (sales, 1) Over (order by sales_day) as "1_DayAgo"
, Lag (sales, 0) Over (order by sales_day) as "ThisDay"
from adv_sales
order by sales_day;
```

DAY	SALES	3_DaysAgo	2_DaysAgo	1_DayAgo	ThisDay
25-APR-15	400				400
26-APR-15	400			400	400
27-APR-15	400		400	400	400
28-APR-15	300	400	400	400	300
29-APR-15	900	400	400	300	900
30-APR-15	580	400	300	900	580
01-MAY-15	425	300	900	580	425
02-MAY-15	10	900	580	425	10
03-MAY-15	325	580	425	10	325
04-MAY-15	500	425	10	325	500
05-MAY-15	550	10	325	500	550
. . .					

There is a third argument to supply a value for the nulls that occur as a return from the Lag and Lead. This value is not used for a null that occurs in the table data.

## 4. Gaps

The missing values between the islands are called gaps. You can use Lead to find the gaps. This is using the order id demo from above.

Demo 08:

```
with dataset as (
  select order_id as TheCurrentID
    , lead(order_id) over ( order by order_id) as TheNextID
  from oe_orderheaders
  where order_id between 400 and 520
)
select TheCurrentID + 1 as startOfGap
, TheNextID - 1 as EndOfGap
from dataset
where TheNextID - TheCurrentID >1;
```

STARTOFGAP	ENDOFGAP
403	404
406	406
409	410
416	518

4 rows selected.

## 5. Percent\_Rank and Cume\_Dist

These are two more ranking functions

Demo 09: The row filters are to reduce the output to make this easier to understand

```
Select emp_id, salary
, Rank() Over (order by salary ) as rank
, round(PERCENT_Rank() Over (order by salary), 2 ) as Percentrank
, round(CUME_DIST() Over (order by salary), 2 ) as CumeDist
from adv_emp
```

```

where salary is not null
and dept_id in (30)
;

```

EMP_ID	SALARY	RANK	PERCENTRANK	CUMEDIST
313	11000	1	0	.11
318	11500	2	.13	.22
307	13500	3	.25	.33
308	15000	4	.38	.44
317	25000	5	.5	.56
316	26000	6	.63	.67
306	28000	7	.75	.89
304	28000	7	.75	.89
314	30000	9	1	1

These two functions are similar in that the ranking values increase as the rank increases.

The Percent\_rank starts at 0 for the first row and then calculates its value as  

$$\frac{(\text{rank} - 1)}{(\text{number\_of\_rows} - 1)}$$

The lowest ranked item is 0% and the highest is 100%. If there are ties for the highest position then we do not achieve 100%. If we have ties for the lowest position, then those tied rows are all 0%

Cume\_Dist returns a value between 0 and 1 which is the number of rows ranked lower than or equal to the current row, including the current row, divided by the number of rows in the partition. The demo above does not do a partition so the number of rows is based on the table.

I know these can be pretty intimidating ( and look a lot like statistics) but I hope you can see that these are important techniques that businesses need to use. And if you take these slowly, you can work them out.