

Relativistic XMS-CASPT2 (and hyperfine splitting)

Peter John Cherry
Shiozaki group meeting
May 10th 2017

Significance of dynamic electron correlation to spin-dynamics



Single molecular magnet

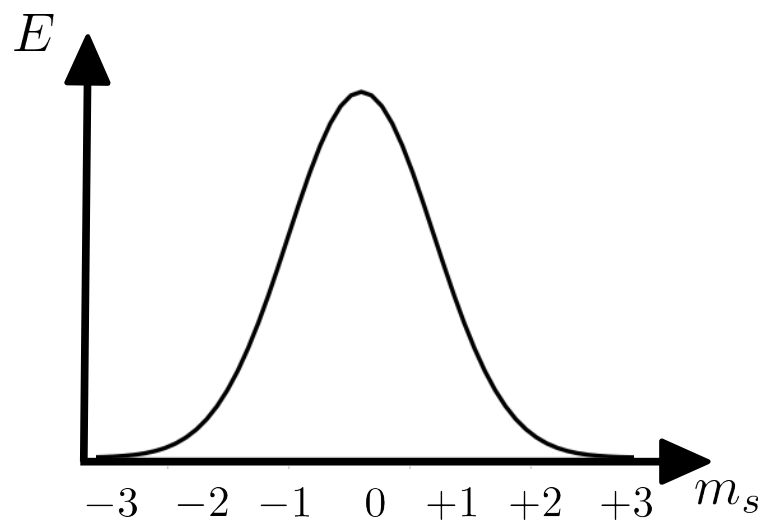
- Can orientate the magnetic moment of a molecule in a certain direction it will stay there.

Significance of dynamic electron correlation to spin-dynamics



Single molecular magnet

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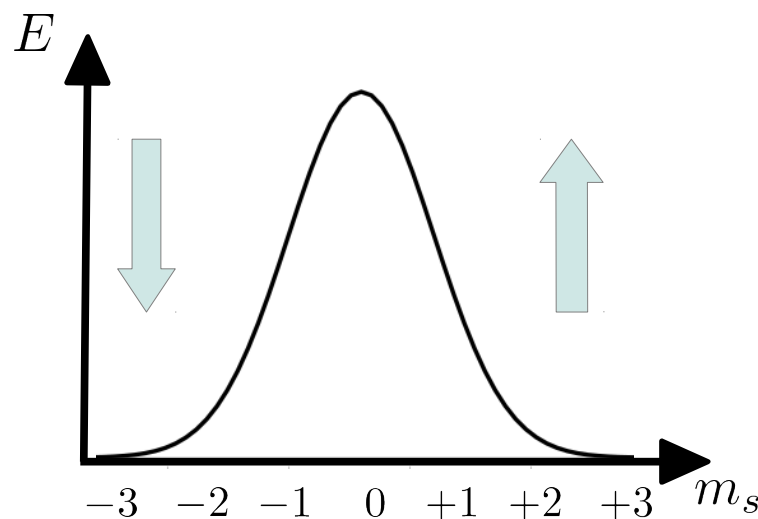


Significance of dynamic electron correlation to spin-dynamics



Single molecular magnet

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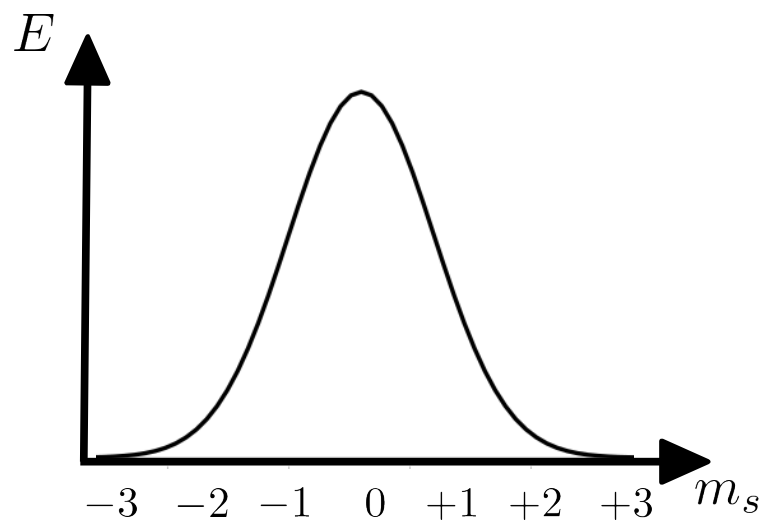


Significance of dynamic electron correlation to spin-dynamics



Single molecular magnet

- Aim for very slow relaxation times at high temperatures.

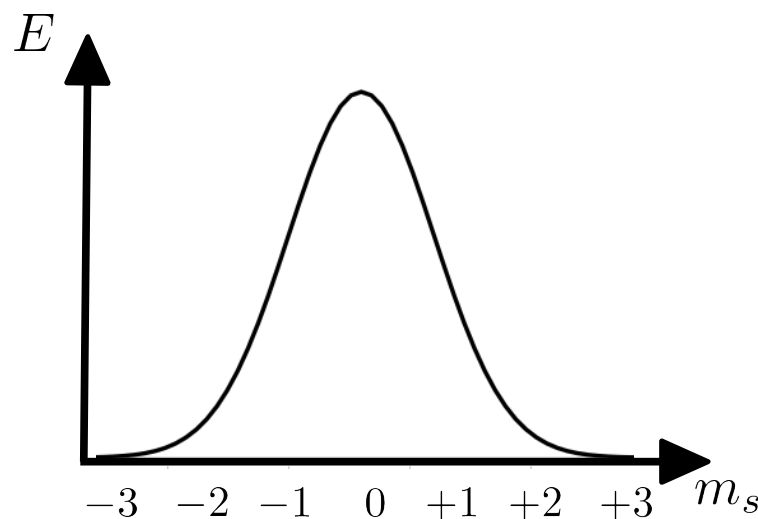


Significance of dynamic electron correlation to spin-dynamics



Single molecular magnet

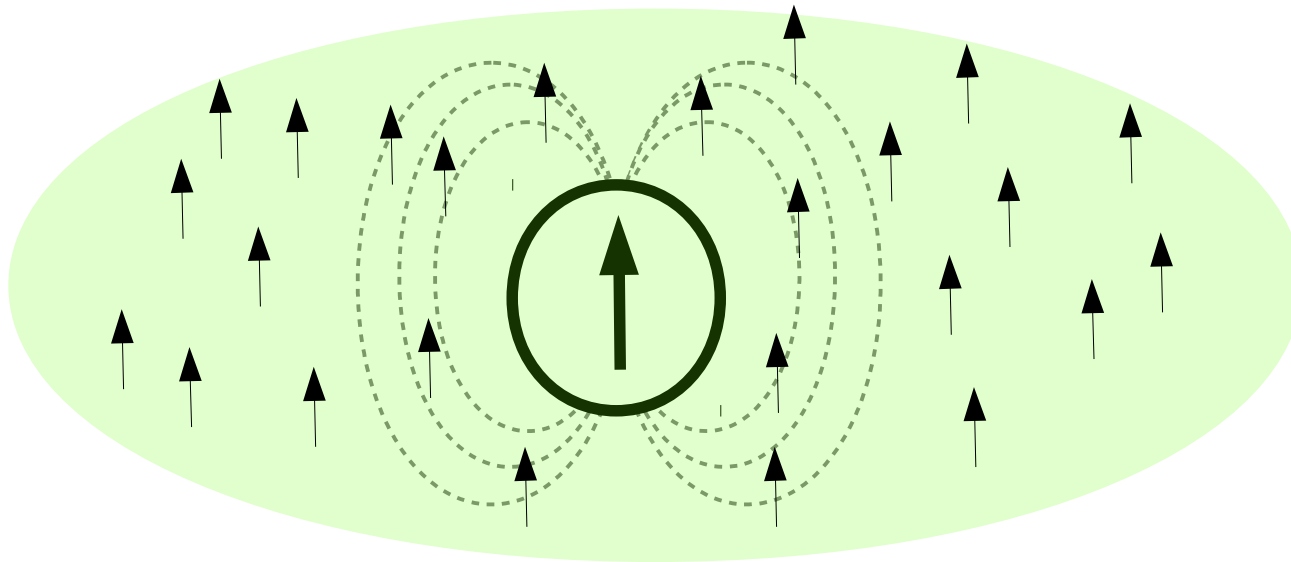
- Aim for very slow relaxation times at high temperatures.
- Suitability can be characterized by:
 - Height of spin reversal barriers.
 - Available relaxation pathways.



Hyperfine tensors



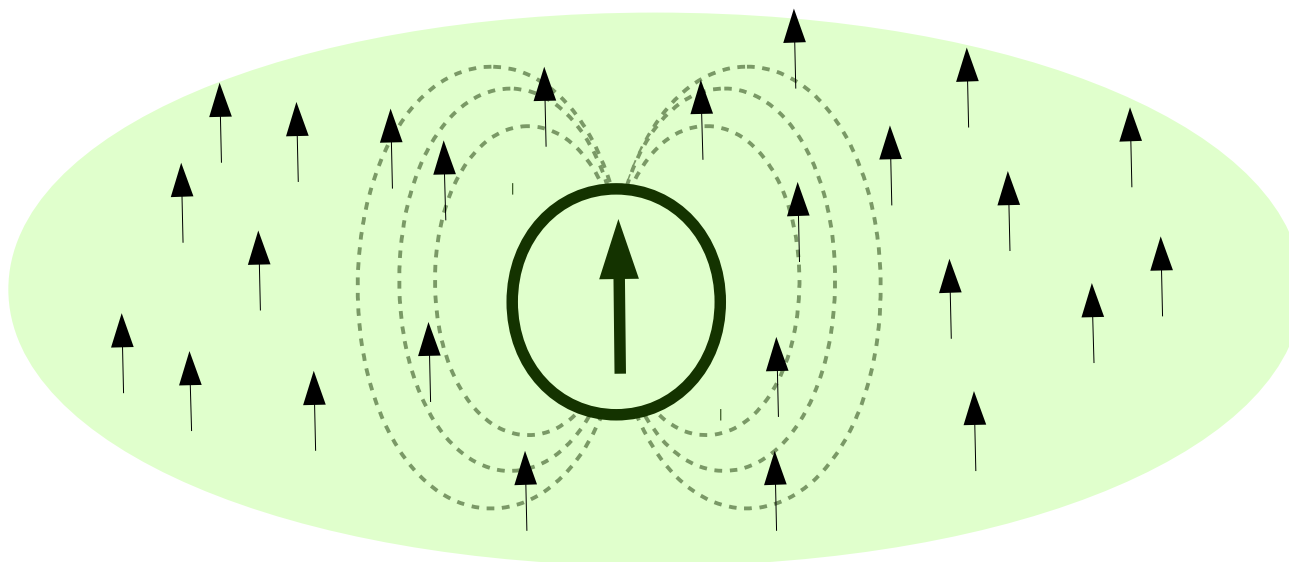
- Interaction between the electronic magnetic moment and the nucleus.



Hyperfine tensors



- Interaction between the electronic magnetic moment and the nucleus.



- Important in determining EPR spectra; one of the main tools for investigating single molecular magnets of most systems of interest due to presence of hydrogen.
- Typically small when compared to other effects.
- Requires very accurate spin density; small fluctuations can completely alter the results.

Hyperfine tensors



- Definition is (normally) based on energy derivatives


$$E_{hyp}^M \propto \sum_{uv}^{x,y,z} I_u A_{uv} J_v$$

$$A_{uv}^M = \frac{1}{\langle \tilde{S}_v \rangle} \left. \frac{dE(J_v, I^M)}{dI_u^M} \right|_{I^M}$$

Hyperfine tensors



- Definition is (normally) based on energy derivatives

$$E_{hyp}^M \propto \sum_{uv}^{x,y,z} I_u A_{uv} J_v$$
A black arrow pointing from the text 'Hyperfine splitting energy for nucleus M' to the term E_{hyp}^M in the equation above.

$$A_{uv}^M = \frac{1}{\langle \tilde{S}_v \rangle} \left. \frac{dE(J_v, I^M)}{dI_u^M} \right|_{I^M}$$

Hyperfine splitting
energy for nucleus M

Hyperfine tensors



- Definition is (normally) based on energy derivatives

$$E_{hyp}^M \propto \sum_{uv}^{x,y,z} I_u^M A_{uv} J_v$$

Diagram showing two arrows pointing from the labels below to the terms I_u^M and J_v in the equation above.

Nuclear magnetic
moment vector

Total magnetization
vector

$$A_{uv}^M = \frac{1}{\langle \tilde{S}_v \rangle} \left. \frac{dE(J_v, I^M)}{dI_u^M} \right|_{I^M}$$

Hyperfine tensors



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Component of
hyperfine tensor
for nucleus M

Hyperfine tensors



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↑
Component of
pseudospin

Hyperfine tensors



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$$A_{uv}^M = \frac{1}{\langle \tilde{S}_v \rangle} \left. \frac{dE(J_v, I^M)}{dI_u^M} \right|_{I^M}$$

- Typically decomposed into two main contributions:
 - Fermi contact contribution (when the spin density at nucleus is non-zero).
 - Dipolar interaction between the electronic magnetic moment and the nuclear magnetic moment.

Importance of dynamic correlation for hyperfine splitting



T. Shiozaki and T. Yanai, JCTC **12**, 4347-4351 (2016)

	$^4[\text{V}(\text{H}_2\text{O})_6]^{2+}$	$^4[\text{Cr}(\text{H}_2\text{O})_6]^{3+}$	$^6[\text{Mn}(\text{H}_2\text{O})_6]^{2+}$
CASSCF(10 orb)	-0.6	-23.1	66.8
CASSCF(23 orb)	-132.7	8.9	-113.0
CASPT2(10 orb)	-232.0	45.3	-224.2
Experiment	-247	55	-245

Isotropic hyperfine coupling constants for $^4[\text{V}(\text{H}_2\text{O})_6]^{2+}$, $^4[\text{Cr}(\text{H}_2\text{O})_6]^{3+}$, and $^6[\text{Mn}(\text{H}_2\text{O})_6]^{2+}$ in MHz.

XMS-CASPT2



- XMS-CASPT2 : Extended multistate complete active space second order perturbation theory.

XMS-CASPT2



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- Initial wavefunction from a CASSCF calculation:

$$|M\rangle = \sum_I c_{I,M} |I\rangle$$

XMS-CASPT2



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CASSCF wavefunction Expansion coefficients Determinants in active space

XMS-CASPT2



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- Initial wavefunction from a CASSCF calculation:

$$|M\rangle = \sum_I c_{I,M} |I\rangle$$

- Generate new set of states by diagonalizing the state averaged Fock operator in the space formed by these states:

$$\sum_M \langle L | \hat{f} | M \rangle U_{MN} = U_{LN} \tilde{E}_N \rightarrow |\tilde{M}\rangle = \sum_N U_{MN} |N\rangle$$

XMS-CASPT2



- Define two projectors, one onto the reference space, the other onto its complement:

$$\hat{P} = \sum_N^{n_{cas}} |\tilde{N}\rangle\langle\tilde{N}|$$

$$\hat{Q} = 1 - \hat{P}$$

XMS-CASPT2



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- Zeroth order XMS-CASPT2 Hamiltonian is given by:

$$H^{(0)} = \hat{P} \hat{f} \hat{P} + \hat{Q} \hat{f} \hat{Q}$$

XMS-CASPT2



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XMS-CASPT2



- The first-order wavefunction is expanded in the internally contracted basis:

$$|\Psi_L^{(1)}\rangle = \sum_N \hat{T}_{LN} |\tilde{N}\rangle = \sum_N \sum_{\Omega} \hat{E}_{\Omega} |\tilde{N}\rangle T_{LN}$$

XMS-CASPT2



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Sum over reference
space

XMS-CASPT2



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Sum over double excitations from inactive and active to virtual space

Excitation operator

Perturbation amplitudes

XMS-CASPT2



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- The perturbation amplitudes minimize the Hylleraas functional for the second order energy:

$$E^{(2)} = 2\Re\langle\Psi^{(1)}|\hat{H}_{el}|\Psi^{(0)}\rangle + \langle\Psi^{(1)}|\hat{H}^{(0)} - E^{(0)}|\Psi^{(1)}\rangle$$

XMS-CASPT2



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- Differentiation of which yields the amplitude equation:

$$\sum_N \langle \tilde{M} | \hat{E}_{\Omega}^{\dagger} (\hat{f} - E_L^{(0)}) \hat{T}_{LN} | \tilde{N} \rangle + \langle \tilde{M} | \hat{E}_{\Omega}^{\dagger} \hat{H}_{el} | \tilde{L} \rangle = 0$$

XMS-CASPT2



- The effective Hamiltonian may then be written as:

$$\hat{H}_{LL'}^{(eff)} = \langle \tilde{L} | \hat{H} | \tilde{L}' \rangle + \frac{1}{2} \left[\langle \Psi_M^{(1)} | \hat{H} | \tilde{L}' \rangle + \langle \tilde{L}' | \hat{H} | \Psi_M^{(1)} \rangle \right] - \delta_{LL'} E_s \sum_{MN} \langle \Psi_M^{(1)} | \Psi_N^{(1)} \rangle$$

XMS-CASPT2



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- This is then diagonalized to find the XMS-CASPT2 energies:

$$\sum_M H_{LM}^{eff} R_{MP} = R_{LP} E_P^{MS}$$

Properties in XMS-CASPT2



- Several properties are, or can be, defined as the derivative of the energy with respect to some parameter, e.g.,

$$\frac{\delta}{\delta X} E_P^{MS}$$

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- This includes all EPR tensors.
- Derivative of XMS-CASPT2 energy with respect to X will require evaluation of derivatives of :
 - Amplitudes from CASPT2.
 - Coefficients from CASSCF.
 - Atomic orbital basis functions.

Properties in XMS-CASPT2



- A Lagrangian is defined such that:

$$L(\mathbf{t}, \mathbf{c}, \mathbf{z}, \boldsymbol{\lambda}) = E(\mathbf{t}, \mathbf{c}) + \mathbf{z}^\dagger \mathbf{g}(\mathbf{c}) + \boldsymbol{\lambda}^\dagger \mathbf{g}'(\mathbf{t})$$

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Coefficients from
CASSCF calculation

Properties in XMS-CASPT2



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Lagrange multipliers associated
with conditions from CASSCF

Conditions from CASSCF

Properties in XMS-CASPT2



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XMS-CASPT2

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- Define the stationary conditions:

$$\frac{\partial L}{\partial z_\mu} = 0$$

$$\frac{\partial L}{\partial \lambda_\mu} = 0$$

Properties in XMS-CASPT2



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- Define the stationary conditions:

$$\frac{\partial L}{\partial z_\mu} = 0$$

$$\frac{\partial L}{\partial c_\mu} = \frac{\partial E}{\partial c_\mu} + \sum_\nu z_\nu \left(\frac{\partial g_\nu}{\partial c_\mu} \right) = 0$$

$$\frac{\partial L}{\partial \lambda_\mu} = 0$$

$$\frac{\partial L}{\partial t_\mu} = \frac{\partial E}{\partial t_\mu} + \sum_\nu \lambda_\nu \left(\frac{\partial g'_\nu}{\partial t_\mu} \right) = 0$$

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$$\frac{\partial L}{\partial t_\mu} = \frac{\partial E}{\partial t_\mu} + \sum_\nu \lambda_\nu \left(\frac{\partial g'_\nu}{\partial t_\mu} \right) = 0$$

$$\frac{\delta E}{\delta X} = \frac{\partial L}{\partial X} = E^{(X)} + \mathbf{z}^\dagger \mathbf{g}^{(X)}(\mathbf{c}) + \boldsymbol{\lambda}^\dagger \mathbf{g}'^{(X)}(\mathbf{t})$$

Advantages of the Lagrangian approach



- Avoids the need for differentiation of t and c with respect to the perturbation.
- The equations for the Lagrange multipliers are independent of the perturbation.
- Particularly useful in geometry optimization when there is a large number of perturbations (three for each atom).
- Generic formalism which can be applied to multiple properties.

XMS-CASPT2 Lagrangian



- Minimize this Lagrangian with respect to

$$L = E_P^{MS}$$

↑
XMS-CASPT2
energy

XMS-CASPT2 Lagrangian



- Define the Lagrangian to minimize XMSCASPT2 energy with respect to

$$L = E_P^{MS} + \sum_{LMN} \langle \tilde{M} | \hat{\lambda}_{LM}^\dagger (\hat{f} - E_L^{(0)} + E_s) \hat{T}_{LN} | \tilde{N} \rangle + \langle \tilde{M} | \hat{\lambda}_{LM}^\dagger \hat{H} | \tilde{L} \rangle$$

Constraint from XMS-CASPT2
amplitude equation

XMS-CASPT2 Lagrangian



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Constraint from XMS-CASPT2
amplitude equation

$$\hat{\lambda}_{MN} |\tilde{N}\rangle = \sum_{\Omega} \hat{E}_{\Omega} |\tilde{N}\rangle \lambda_{MN,\Omega}$$


XMS-CASPT2 Lagrangian



- Minimize this Lagrangian with respect to

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$$+ \frac{1}{2} \text{Tr}[\mathbf{Z}(\mathbf{A} - \mathbf{A}^\dagger)]$$



CASSCF orbital
gradient

XMS-CASPT2 Lagrangian



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$$\begin{aligned}
 L = E_P^{MS} + \sum_{LMN} \langle \tilde{M} | \hat{\lambda}_{LM}^\dagger (\hat{f} - E_L^{(0)} + E_s) \hat{T}_{LN} | \tilde{N} \rangle + \langle \tilde{M} | \hat{\lambda}_{LM}^\dagger \hat{H} | \tilde{L} \rangle \\
 + \frac{1}{2} \text{Tr} [\mathbf{Z} (\mathbf{A} - \mathbf{A}^\dagger)] - \frac{1}{2} \text{Tr} [\mathbf{X} (\mathbf{C}^\dagger \mathbf{S} \mathbf{C} - \mathbf{1})]
 \end{aligned}$$

Orthogonality of orbitals

XMS-CASPT2 Lagrangian



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└────────────────────────────────┘
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XMS-CASPT2 Lagrangian



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 & + \sum_N W_N \left[\sum_I z_{I,N} \langle I | \hat{H} - E_N^{ref} | N \rangle - x_N (\langle N | N \rangle - 1) \right]
 \end{aligned}$$

Weight of state N
 used in state
 averaging

XMS-CASPT2 Lagrangian



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 \end{aligned}$$

Constraints on CASSCF
reference coefficients $c_{N,I}$.

XMS-CASPT2 Lagrangian



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 \end{aligned}$$

Constraints on CASSCF
reference coefficients $c_{N,I}$.

XMS-CASPT2 Lagrangian



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 & + \underbrace{\sum_i^{closed} \sum_j^{frozen} z_{ij}^c f_{ij}}_{\text{Diagonalization of effective Fock matrix by inactive orbitals}}
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Diagonalization of effective Fock
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Diagonalization of effective Fock
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 L = & E_P^{MS} + \sum_{LMN} \langle \tilde{M} | \hat{\lambda}_{LM}^\dagger (\hat{f} - E_L^{(0)} + E_s) \hat{T}_{LN} | \tilde{N} \rangle + \langle \tilde{M} | \hat{\lambda}_{LM}^\dagger \hat{H} | \tilde{L} \rangle \\
 & + \frac{1}{2} \text{Tr} [\mathbf{Z} (\mathbf{A} - \mathbf{A}^\dagger)] - \frac{1}{2} \text{Tr} [\mathbf{X} (\mathbf{C}^\dagger \mathbf{S} \mathbf{C} - 1)] \\
 & + \sum_N W_N \left[\sum_I z_{I,N} \langle I | \hat{H} - E_N^{ref} | N \rangle - x_N (\langle N | N \rangle - 1) \right] \\
 & + \sum_i^{closed\ frozen} \sum_j z_{ij}^c f_{ij} + \sum_{\substack{MN \\ M \neq N}} w_{MN} \langle M | \hat{f} | N \rangle
 \end{aligned}$$

Diagonalization of state averaged Fock operator in the reference space

XMS-CASPT2



- Solve two further equations

XMS-CASPT2



- Solve two further equations
 - The Lambda equation to obtain λ_{MN} .
 - The Z-vector equation to obtain \mathbf{Z} , \mathbf{X} , \mathbf{z}_N , z_{ij}^c , and x_N .

XMS-CASPT2 Lagrangian



- Minimize this Lagrangian with respect to

$$\begin{aligned}
 L = & E_P^{MS} + \sum_{LMN} \langle \tilde{M} | \hat{\lambda}_{LM}^\dagger (\hat{f} - E_L^{(0)} + E_s) \hat{T}_{LN} | \tilde{N} \rangle + \langle \tilde{M} | \hat{\lambda}_{LM}^\dagger \hat{H} | \tilde{L} \rangle \\
 & + \frac{1}{2} \text{Tr} [\mathbf{Z} (\mathbf{A} - \mathbf{A}^\dagger)] - \frac{1}{2} \text{Tr} [\mathbf{X} (\mathbf{C}^\dagger \mathbf{S} \mathbf{C} - 1)] \\
 & + \sum_N W_N \left[\sum_I z_{I,N} \langle I | \hat{H} - E_N^{ref} | N \rangle - x_N (\langle N | N \rangle - 1) \right] \\
 & + \sum_i^{closed} \sum_j^{frozen} z_{ij}^c f_{ij} + \sum_{\substack{MN \\ M \neq N}} w_{MN} \langle M | \hat{f} | N \rangle
 \end{aligned}$$

Z-vector and Lambda equations



- Solve two further equations
 - The Lambda equation to obtain λ_{MN} .
 - The Z-vector equation to obtain $\mathbf{Z}, \mathbf{X}, \mathbf{z}_N, z_{ij}^c$, and x_N .

Z-vector and Lambda equations



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 - The Lambda equation to obtain λ_{MN} .
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Z-vector and Lambda equations



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 - The Z-vector equation to obtain \mathbf{Z} , \mathbf{X} , \mathbf{z}_N , z_{ij}^c , and x_N .
- Solution of the Z-vector equation first requires calculation of

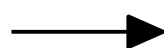
$$d_{xy}^{(1)}, d_{xy}^{(2)}, D_{xy,zw}^{(1)}$$

Z-vector and Lambda equations



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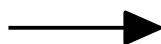
First and second order contributions to correlated density matrices

Z-vector and Lambda equations



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First and second order contributions to correlated density matrices

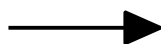
$$y_{I,N} = \frac{\partial L_{PT2}}{\partial c_{I,N}}$$

Z-vector and Lambda equations



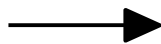
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First and second order contributions to correlated density matrices

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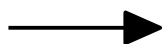
Derivative of the Lagrangian with respect to CASSCF reference coefficients

Z-vector and Lambda equations



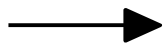
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First and second order contributions to correlated density matrices

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Derivative of the Lagrangian with respect to CASSCF reference coefficients

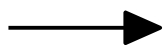
$$Y_{xy} = \frac{\partial L}{\partial \kappa_{xy}}$$

Z-vector and Lambda equations



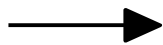
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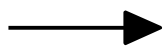
First and second order contributions to correlated density matrices

$$y_{I,N} = \frac{\partial L_{PT2}}{\partial c_{I,N}}$$



Derivative of the Lagrangian with respect to CASSCF reference coefficients

$$Y_{xy} = \frac{\partial L}{\partial \kappa_{xy}}$$



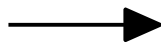
Derivative of the Lagrangian with respect to CASSCF orbital rotations.

Z-vector and Lambda equations



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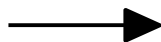
$$d_{xy}^{(1)}, d_{xy}^{(2)}, D_{xy,zw}^{(1)}$$



First and second order contributions to correlated density matrices.

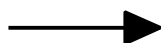
Where I
am now

$$y_{I,N} = \frac{\partial L_{PT2}}{\partial c_{I,N}}$$



Derivative of the Lagrangian with respect to CASSCF reference coefficients.

$$Y_{xy} = \frac{\partial L}{\partial \kappa_{xy}}$$



Derivative of the Lagrangian with respect to CASSCF orbital rotations.

Calculation of CI derivatives



- Requires evaluation of terms of this form

$$\langle \tilde{N} | \hat{\lambda}_{MN} \hat{H} | J \rangle$$

Calculation of CI derivatives



- Requires evaluation of terms of this form

$$\langle \tilde{N} | \hat{\lambda}_{MN} \hat{H} | J \rangle$$

$$\hat{H} = \sum_{xy} h_{xy} \hat{E}_{xy} + \frac{1}{2} \sum_{xy} v_{xy,zw} \hat{E}_{xy,zw}$$

$$\hat{\lambda}_{MN} = \sum_{\Omega} \lambda_{\Omega,MN} \hat{E}_{\Omega}$$

Calculation of CI derivatives



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$$|\tilde{N}\rangle = \sum_I c_{\tilde{N},I} |I\rangle$$

$$|\tilde{N}\rangle = \sum_M |M\rangle U_{MN}$$

Calculation of CI derivatives



- Requires evaluation of terms of this form

$$\sum_M \sum_\Omega \sum_J \sum_{xy} \langle I | c_{\tilde{N},I}^\dagger U_{MN}^\dagger \lambda_{LN,\Omega}^\dagger \hat{E}_\Omega^\dagger (h_{xy} \hat{E}_{xy} + \frac{1}{2} v_{xy,zw} \hat{E}_{xy,zw}) | J \rangle$$

$$\hat{H} = \sum_{xy} h_{xy} \hat{E}_{xy} + \frac{1}{2} \sum_{xy} v_{xy,zw} \hat{E}_{xy,zw}$$

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Calculation of CI derivatives



- Requires evaluation of terms of this form

$$\langle \tilde{N} | \hat{E}_{ij,kl,mn,op} | J \rangle A_{ij,kl,mn,op}$$

Calculation of CI derivatives



- Requires evaluation of terms of this form

$$\sum_I \langle I | \hat{E}_{ij,kl,mn,op} | J \rangle c_{I,\tilde{N}}^\dagger A_{ij,kl,mn,op}$$

Calculation of CI derivatives



- Requires evaluation of terms of this form

$$\sum_I \langle I | \hat{E}_{ij,kl,mn,op} | J \rangle c_{I,\tilde{N}}^\dagger A_{ij,kl,mn,op}$$
$$= A_{ij,kl,mn,op} \Gamma_{ij,kl,mn,op}$$

Calculation of CI derivatives



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Reduced density
matrix derivative

Calculation of CI derivatives



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Reduced density
matrix derivative

- The $A_{ij,kl,mn,op}$ terms can be obtained from code generated using SMITH3.

Calculation of CI derivatives



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Reduced density
matrix derivative

- The $A_{ij,kl,mn,op}$ terms can be obtained from code generated using SMITH3.
- The $\Gamma_{ij,kl,mn,op}$ terms requires manually written code.

Calculation of CI derivatives



- Have up to four particle excitations:

$$\sum_{ijklmn} A_{ij,kl,mn} \langle 0 | \hat{E}_{ij,kl,mn} | J \rangle = A_{ij,kl,mn} \Gamma_{ij,kl,mn}$$

$$\sum_{ijklmnop} A_{ij,kl,mn} \langle 0 | \hat{E}_{ij,kl,mn,op} | J \rangle f_{op} = \sum_{ijklmn} A_{ij,kl,mn} \tilde{\Gamma}_{ij,kl,mn}$$

Calculation of CI derivatives



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- Expressions involving many particle excitations can be rewritten as products of expressions involving single particle excitations.

Calculation of CI derivatives



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- Expressions involving many particle excitations can be rewritten as products of expressions involving single particle excitations.
- The largest contribution is of the form

$$\sum_{KLM} \sum_{op} \langle I | \hat{E}_{ij} | K \rangle \langle K | \hat{E}_{kl} | L \rangle \langle L | \hat{E}_{mn} | M \rangle \langle M | \hat{E}_{op} | J \rangle f_{op}$$

Relativistic electron-electron interactions



- Interaction of electrons is different in a relativistic framework.

$$\hat{H} = \sum_{xy} h_{xy} \hat{E}_{xy} + \frac{1}{2} \sum_{xy} v_{xy,zw} \hat{E}_{xy,zw}$$

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Non-relativistic one-electron terms

$$h_{xy}^{(1)} = (\mathbf{p}^{(1)})^2 - \sum_A \frac{Z_A}{r_{1A}} \text{erf}(\sqrt{\epsilon_A} r_{1A})$$

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Relativistic one-electron terms

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$$v_{xy,zw}^{(1,2)} = \frac{1}{|\mathbf{r}_{12}|}$$

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Non-relativistic CI-vector



Non-relativistic CI-vector only has determinants from a single spin sector:

$$|M\rangle = \sum_I c_{M,I} |I\rangle$$

Non-relativistic CI-vector



Non-relativistic CI-vector only has determinants from a single spin sector:

$$|M\rangle = \sum_I c_{M,I} |I\rangle$$

$$|I\rangle \in \{ |K\rangle \mid \langle K | \hat{s}_z | K \rangle = (X - Y) \}$$

Conf.	$[X\alpha Y\beta]$
$ 1\rangle$	c_1
$ 2\rangle$	c_2
...	...
...	...
...	...
...	...
$ N_{det}\rangle$	$c_{N_{det}}$

Relativistic electron-electron interactions



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Relativistic CI-vector

Spin-orbit coupling terms results in contributions from determinants in different spin sectors:

Conf. #	Spin sectors							
	$[7\alpha 0\beta]$	$[6\alpha 1\beta]$	$[5\alpha 2\beta]$	$[4\alpha 3\beta]$	$[3\alpha 4\beta]$	$[2\alpha 5\beta]$	$[1\alpha 6\beta]$	$[0\alpha 7\beta]$
1
...	$c_{N_{det},7,0}^{7,0}$	$c_{N_{det},[0,7]}^{[0,7]}$
...		
		$c_{N_{det},[6,1]}^{[6,1]}$	$c_{N_{det},[1,6]}^{[1,6]}$	
				
				
			$c_{N_{det},[5,2]}^{[5,2]}$	$c_{N_{det},[2,5]}^{[2,5]}$		
						
						
				$c_{N_{det},[4,3]}^{[4,3]}$	$c_{N_{det},[3,4]}^{[3,4]}$			

Calculation of CI derivatives



- Have up to four particle excitations:

$$\sum_{ijklmn} A_{ij,kl,mn} \langle 0 | \hat{E}_{ij,kl,mn} | J \rangle = A_{ij,kl,mn} \Gamma_{ij,kl,mn}$$

$$\sum_{ijklmnop} A_{ij,kl,mn} \langle 0 | \hat{E}_{ij,kl,mn,op} | J \rangle f_{op} = \sum_{ijklmn} A_{ij,kl,mn} \tilde{\Gamma}_{ij,kl,mn}$$

- The most computationally expensive contribution is of the form

$$\boxed{\sum_{KLM}} \sum_{op} \langle I | \hat{E}_{ij} | K \rangle \langle K | \hat{E}_{kl} | L \rangle \langle L | \hat{E}_{mn} | M \rangle \langle M | \hat{E}_{op} | J \rangle f_{op}$$

In the relativistic framework $|K\rangle, |L\rangle$ and $|M\rangle$ can belong to different spin sectors.

Interaction between spin-sectors



Interaction between determinants from different spin sectors: $\langle L | v_{xy,zw} \hat{E}_{ey,zw} | K \rangle$

	$[7\alpha 0\beta]$	$[6\alpha 1\beta]$	$[5\alpha 2\beta]$	$[4\alpha 3\beta]$	$[3\alpha 4\beta]$	$[2\alpha 5\beta]$	$[1\alpha 6\beta]$	$[0\alpha 7\beta]$
$[7\alpha 0\beta]$								
$[6\alpha 1\beta]$								
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$[3\alpha 4\beta]$								
$[2\alpha 5\beta]$								
$[1\alpha 6\beta]$								
$[0\alpha 7\beta]$								

Interaction between spin-sectors



Non-relativistic case:

	$[7\alpha 0\beta]$	$[6\alpha 1\beta]$	$[5\alpha 2\beta]$	$[4\alpha 3\beta]$	$[3\alpha 4\beta]$	$[2\alpha 5\beta]$	$[1\alpha 6\beta]$	$[0\alpha 7\beta]$
$[7\alpha 0\beta]$								
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Interaction between spin-sectors



Non-relativistic case:

	$[7\alpha 0\beta]$	$[6\alpha 1\beta]$	$[5\alpha 2\beta]$	$[4\alpha 3\beta]$	$[3\alpha 4\beta]$	$[2\alpha 5\beta]$	$[1\alpha 6\beta]$	$[0\alpha 7\beta]$
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$[3\alpha 4\beta]$								
$[2\alpha 5\beta]$								
$[1\alpha 6\beta]$								
$[0\alpha 7\beta]$								

$|L\rangle$ (points to the column headers)

$|K\rangle$ (points to the row headers)

$\sum_{ij} \sum_L \langle K | \hat{E}_{ij} | L \rangle c_{I,L}$ (points to the matrix element at row $[4\alpha 3\beta]$, column $[4\alpha 3\beta]$)

Interaction between spin-sectors



Maximum number of spin flips is two: $\langle I | v_{xy,zw} \hat{E}_{ey,zw} | K \rangle$

	$[7\alpha 0\beta]$	$[6\alpha 1\beta]$	$[5\alpha 2\beta]$	$[4\alpha 3\beta]$	$[3\alpha 4\beta]$	$[2\alpha 5\beta]$	$[1\alpha 6\beta]$	$[0\alpha 7\beta]$
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$[3\alpha 4\beta]$								
$[2\alpha 5\beta]$								
$[1\alpha 6\beta]$								
$[0\alpha 7\beta]$								

Interaction between spin-sectors



Can be rewritten :
$$\left[\sum_J \langle I | \hat{E}_{xy} | J \rangle \langle J | \hat{E}_{zw} | K \rangle - \langle I | \hat{E}_{xy} | K \rangle \delta_{yz} \right] v_{xy,zw}$$

	$[7\alpha 0\beta]$	$[6\alpha 1\beta]$	$[5\alpha 2\beta]$	$[4\alpha 3\beta]$	$[3\alpha 4\beta]$	$[2\alpha 5\beta]$	$[1\alpha 6\beta]$	$[0\alpha 7\beta]$
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Interaction between spin-sectors



Different number of configurations in each spin sector!

Conf. #	Spin sectors							
	$[7\alpha 0\beta]$	$[6\alpha 1\beta]$	$[5\alpha 2\beta]$	$[4\alpha 3\beta]$	$[3\alpha 4\beta]$	$[2\alpha 5\beta]$	$[1\alpha 6\beta]$	$[0\alpha 7\beta]$
1
...	$c_{N_{det},7,0}^{7,0}$	$c_{N_{det},[0,7]}^{[0,7]}$
...		
		$c_{N_{det},[6,1]}^{[6,1]}$	$c_{N_{det},[1,6]}^{[1,6]}$	
				
				
			$c_{N_{det},[5,2]}^{[5,2]}$	$c_{N_{det},[2,5]}^{[2,5]}$		
						
						
				$c_{N_{det},[4,3]}^{[4,3]}$	$c_{N_{det},[3,4]}^{[3,4]}$			

Relativistic XMS-CASPT2



- The quantities to be evaluated are essentially the same as before:

$$A_{ij,kl,mn} \langle 0 | \hat{E}_{ij,kl,mn} | J \rangle = A_{ij,kl,mn} \Gamma_{ij,kl,mn}$$

Relativistic XMS-CASPT2



- The quantities to be evaluated are essentially the same as before:

$$A_{ij,kl,mn} \langle 0 | \hat{E}_{ij,kl,mn} | J \rangle = A_{ij,kl,mn} \Gamma_{ij,kl,mn}$$

- The main difference is the range of the summations.
- Must be careful about varying lengths of the CI-vectors when parallelizing.
- Only some terms in the Hamiltonian result in spin flips.

END



- Check basic definition is correct.
- Include definition of Hylleras functional.
- Differentiate Hylleras functional wrt T amplitudes.
- Include effective Hamiltonian slide. Say this gives us the energies.
- Improve definition of first order wavefunction.
- Check basic terms for A and gamma are correct.
- Explain why we use the Lagrangian method: do not want to differentiate energy directly, instead define Lagrangian which must be satisfied, and which has Lagrange multipliers from which the T amplitudes, CI coefficients and orbital coefficients can be determined.
- Check relativistic Hamiltonian is OK.
- Check constraints are correctly identified.
- Add citations.

END



- Check terms in Hmailtonian are OK.
- Cehck spin sector definitions and interaction is OK.
- Check if stuff on spin reversal barrier has been done by Ryan.

Hylleras functional



- First order terms in the perturbation expansion:

$$\hat{H}_0|\Psi^{(1)}\rangle + \hat{V}|\Psi^{(0)}\rangle = E^{(0)}|\Psi^{(1)}\rangle + E^{(1)}|\Psi^{(0)}\rangle$$

$$\langle\Psi^{(1)}|\hat{H}_0 - E_0|\Psi^{(1)}\rangle + \langle\Psi^{(1)}|\hat{V} - E^{(1)}|\Psi^{(0)}\rangle = 0$$

$$E^{(1)} = \langle\Psi^{(0)}|\hat{V}|\Psi^{(0)}\rangle$$

$$E^{(2)} = \langle\Psi^{(0)}|\hat{V}|\Psi^{(1)}\rangle$$

$$E^{(2)} = \langle\Psi^{(0)}|\hat{V}|\Psi^{(1)}\rangle + \langle\Psi^{(1)}|\hat{H}_0 - E_0|\Psi^{(1)}\rangle + \langle\Psi^{(1)}|\hat{V} - E^{(1)}|\Psi^{(0)}\rangle$$

$$\langle\Psi^{(0)}|\Psi^{(1)}\rangle = 0$$

$$E^{(2)} = 2\Re[\langle\Psi^{(0)}|\hat{V}|\Psi^{(1)}\rangle] + \langle\Psi^{(1)}|\hat{H}_0 - E_0|\Psi^{(1)}\rangle$$

$$|\Psi_L^{(1)}\rangle = \sum_N \hat{T}_{LN}|\tilde{N}\rangle = \sum_N \sum_{\Omega} \hat{E}_{\Omega}|\tilde{N}\rangle T_{LN}$$

XMS-CASPT2



- XMS-CASPT2 : Extended multistate complete active space second order perturbation theory.
- Initial wavefunction from a CASSCF calculation:

$$|M\rangle = \sum_I c_{I,M} |I\rangle$$

- Generate new set of states by diagonalizing the Fock operator in the space formed by these states:

$$\sum_M \langle L | \hat{f} | M \rangle U_{MN} = U_{LN} \tilde{E}_N \rightarrow |\tilde{M}\rangle = \sum_N U_{MN} |N\rangle$$

XMS-CASPT2



- First-order perturbation to the wavefunction is expanded in basis of excited determinants:

$$|\Psi_L^{(1)}\rangle = \sum_N \hat{T}_{LN} |\tilde{N}\rangle = \sum_N \sum_{\Omega} \hat{E}_{\Omega} |\tilde{N}\rangle T_{LN}$$

Sum over states in
CASSCF reference
space

XMS-CASPT2



- First-order perturbation to the wavefunction is expanded in basis of excited determinants:

$$|\Psi_L^{(1)}\rangle = \sum_N \hat{T}_{LN} |\tilde{N}\rangle = \sum_N \sum_{\Omega} \hat{E}_{\Omega} |\tilde{N}\rangle T_{LN}$$

Single and double excitations
between inactive, active and
virtual orbitals.

Excitation operator

T amplitudes

XMS-CASPT2



- First-order perturbation to the wavefunction is expanded in basis of excited determinants:

$$|\Psi_L^{(1)}\rangle = \sum_N \hat{T}_{LN} |\tilde{N}\rangle = \sum_N \sum_{\Omega} \hat{E}_{\Omega} |\tilde{N}\rangle T_{LN}$$

- The T amplitudes for a given state are those which satisfy

$$\sum_N \langle \tilde{M} | \hat{E}_{\Omega}^{\dagger} (\hat{f} - E_L^{(0)}) \hat{T}_{LN} |\tilde{N}\rangle + \langle \tilde{M} | \hat{E}_{\Omega}^{\dagger} \hat{H} |\tilde{L}\rangle = 0$$

XMS-CASPT2



- First-order perturbation to the wavefunction is expanded in basis of excited determinants:

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- The T amplitudes for a given state are those which satisfy

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State averaged
Fock Matrix

Zeroth order
energy of state L

XMS-CASPT2



- XMS-CASPT2 energy
 - T amplitudes.
 - Orbital rotations.
 - CI coefficients.

-

XMS-CASPT2



Spin-orbit coupling terms results in coupling between determinants from different spin sectors:

Conf.	$[7\alpha 0\beta]$	$[6\alpha 1\beta]$	$[5\alpha 2\beta]$	$[4\alpha 3\beta]$	$[3\alpha 4\beta]$	$[2\alpha 5\beta]$	$[1\alpha 6\beta]$	$[0\alpha 7\beta]$
$ 1\rangle$
	$c_{N_{det},7,0}^{7,0}$	$c_{N_{det},[0,7]}^{[0,7]}$
		$c_{N_{det},[6,1]}^{[6,1]}$	$c_{N_{det},[1,6]}^{[1,6]}$	
			$c_{N_{det},[5,2]}^{[5,2]}$	$c_{N_{det},[2,5]}^{[2,5]}$		
				$c_{N_{det},[4,3]}^{[4,3]}$	$c_{N_{det},[3,4]}^{[3,4]}$			

XMS-CASPT2



Solve for initial XMS-CASPT2 energy



Solve for Lambda coefficients



$$Y_{xy} = \frac{\partial L}{\partial \kappa_{xy}}$$

$$d_{xy}^{(1)}, d_{xy}^{(2)}, D_{xy,zw}^{(1)}$$

$$y_{I,N} = \frac{\partial L_{PT2}}{\partial c_{I,N}}$$

Solve Z-vector equation