

Article

Kerr black holes within a modified theory of gravity

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- Abstract: The Kerr black hole is studied within a modified theory of gravity, which adds the effects
- of vacuum fluctuations near a black hole. These vacuum fluctuations are treated as a dark energy.
- A parameter is introduced to account for these fluctuations. It is zero for the standard theory and
- acquires a maximal value, just before there would be no event horizon. The existence of an event
- horizon not only depends on the value of this parameter, but also on the spin of the black hole. In
- addition, we study the existence of a light-ring.
- **Keywords:** rotation in alternative theories of gravity, rotation in relativity, rotating sources

1. Introduction

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The General Relativity (GR) is one of the best tested theories [1]. Recently, the detection of gravitational waves [2] and the first optical resolution of the black hole in M87 [3]-[8] are all consistent with GR, i.e., one observers a shadow of the black hole. The size of it can be understood within GR and its radius is about 3m - 0. Unfortunately, the resolution is only of $24\mu as$, which will smooth detailed structures, which are predicted by us (see discussion in the main body of the text.

There are some concerns that for strong gravitational fields, compared to the solar system, modifications to Gr probably have applied. This did lead to several attempts to modify GR, which will be all resumed briefly in the next section. Here, we will concentrate on the so-called *pseudo-complex General Relativity* (pc-GR).

A complete review on the pc-GR, its motivation and relations to other approaches, is given in the book by P. O. Hess, M. Schäfer and W. Greiner [9]. The mathematics of the pc-GR is explained in the first and last chapter, using the language of differential geometry. Information on this can also be found in [10]. The pc-GR was first proposed in [11]. A general formulation, besides in [9], can also be found in [12,13], where in [12] circular stable orbits were investigated and the last stable orbits, compared to GR. One important results was that, for smalla, the last stable orbit in pc-GR is a little bit further in than in GR. From a limiting value of a on stable orbits up to the surface of the star exist. In this review we will elaborate on the consequences on it. The theory predicts a different behavior of an accretion disk near the black hole, showing a dark ring followed by a bright one further in. Studies on this were also published in [14,15] and more recently in [16,17]. The main feature is the ring structure, mentioned above, which quite independent on the mass of the black hole and the type of the accretion disk (thin, thick, a torus, ...), save that the positions of the structures scale with the mass. The intensities are larger in pc-GR than in GR (see also discussion in the main body of this review).

Also neutron stars were studied in [18–20], besides in [9]. Including the effects of the pc-GR, stars up to 6 solar masses were obtained in [18,20] and up to 200 solar masses in [19].

Cosmological models where investigated in [21,22], where different future outcomes of the universe were obtained, however not with a great predictive power due to the number of parameters

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involved. Except for a big rip, possibilities were found that the universe approaches a constant acceleration, or approaches to zero, or it can collapse again. There are different approaches to study future outcomes (see for example [23,24] where possible future evolutions of the universe are investigated, using a thermodynamical approach). Though interesting and worth to mention, it differs from the path taken in pc-GR. It would be interesting in the future to connect both approaches, i.e., to consider the thermodynamical approach in pc-GR. Another proposal could be that the authors of [23,24] use the modified metric of pc-GR.

Gravitational waves were considered in pc-GR: In [25] the gravitational event GW150914 was treated within pc-GR, with the result that probably the masses and distances involved are larger, though, a very simple model was used. The main reason for this behavior is that the orbital frequency becomes very small when the two masses in a black hole merger approach each other and in order to obtain the observed frequencies larger masses are required. In [26] the axial and polar modes of the ring down phase were calculated, however, with some problems of convergence, due to the method used for solving the differential equation. All, save the discussion of gravitational waves, are neatly summarized in [9].

The main motivation for the development of pc-GR was to investigate what kind of theory emerges when the coordinates are algebraically extended (where only the pseudo-complex extension makes sense, as will be discussed further below): Is there a possibility to avoid the event-horizon? What are the observable effects (particles in a circular orbit, behavior of accretion disks, position of the light-ring, neutron stars, etc.)? As we will point out in the next section, a minimal length element parameter may be involved. What is its effect? Are there consequences, or at least suggestions for quantum effects in gravity? Not all has been answered up to now, due to mathematical problems, but most of the above questions have been treated.

Up to now a particular parameter (b_n , see main body of the text) of the theory was chosen such that no event horizon appears. For $b_n = 0$ the standard GR is recovered. In this review we will not cover all aspects of the theory (please consult also [13]), but it would be interesting to vary the parameter b_n from 0 to the value, from which on no event-horizon appears, as done also in [27,28] for gravitational waves. In this manner the GR and pc-GR can be connected smoothly. One can also study the behavior of the event-horizon and the light-ring as a function in the rotational parameter a and b_n .

The description is completely classical, though a dark energy contribution will be introduced in a classical language.

In Section 2 the motivation for the algebraic extension of GR is described in more detail, the structure of pc-GR is discussed. The modified metric of a rotating star is listed (Kerr solution) and the corresponding Einstein equations are presented. In Section 3 various consequences and structural changes are considetred. The condition for the existence of an event horizon and a light-ring depends on a parameter, introduced phenomenologically, which varies from zero (GR) to a maximal value (pc-GR). Structural changes, related to *phase transitions*, can be described within the theory of catastrophes [29]. The difference in structure of an accretion disc around a black hole is also presented, important for the comparison to the observational data. One recent big advance in this direction was reported by the *Event Horizon Telescope Collaboration* [3–8], where for the first time the black hole shadow of M87 was resolved. These references contain a huge amount of information, still to be analyzed. We also will compare some of the results to the recent observation of a black hole [3]-[8] and if one can distinguish pc-GR from GR.

In Section 4 conclusions are drawn.

We will use the signature (-+++) for the metric. Furthermore, the light velocity c and the gravitational constant are set to one (i.e., c = G = 1).

2. The Modified Theory: Pseudo-Complex General Relativity

At first, a historical overview on attempts to extend the GR is given, the reasons for it and why we decided for the pc-GR:

Extensions of the GR have been discussed several times in the past: Einstein extended the metric to a complex one [30,31], in an attempt to unify GR and the Electro-Magnetism. He defined a complex metric

$$G_{\mu\nu} = g_{\mu\nu} + iF_{\mu\nu}$$

 $G_{\nu\nu}^* = G_{\nu\mu}$, (1)

where the real part is the standard metric of GR and the imaginary part is the electromagnetic tensor.

- The real part is symmetric under interchanging the indices while the imaginary part is anti-symmetric.
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$$G_{\mu\nu}^* = g_{\mu\nu} - iF_{\mu\nu} = G_{\nu\mu} = g_{\nu\mu} + iF_{\nu\mu}$$
 (2)

Relating this to the first equation in (1) leads to

$$g_{\mu\nu} = g_{\mu\nu} \text{ and } F_{\mu\nu} = -F_{\nu\mu} .$$
 (3)

Why a complex extension did not work will become obvious in a moment.

The motivations of M. Born [32,33] where quite different. His concern was that in Quantum Mechanics the coordinates and momenta are treated on an equal footing. Canonical transformations of all kind are allowed, which leave the commutation relations invariant, and one can even interchange coordinates and momenta. On the contrary, in GR the coordinates have a singular role, in the length element square only coordinates appear. He tried to recover the symmetry between the coordinates and momenta, leading to a modification of the length element, including momentum dependent terms, however with the price of a mass dependence on a particle. The attempt by M. Born was retaken by E.R. Caianiello [34], who introduced in the length element squared an infinitesimal quadratic 4-velocity term, without the reference to a particle, implying an infinitesimal length scale, equivalent to a maximal acceleration:

$$d\omega^2 = g_{\mu\nu} \left[dx^{\mu} dx^{\nu} + l^2 du^{\mu} du^{\nu} \right] . \tag{4}$$

The l is a length parameter and is not subject to a Lorentz (or Poincaré) transformation. Thus, Lorentz invariance is guaranteed with an infinitesimal length scale in the model! Extracting an eigentime $d\tau^2$, taking into account that $\frac{du^{\mu}}{d\tau}$ is an acceleration a^{μ} and using that $-\eta_{\mu\nu}a^{\mu}a^{\nu}=a^0a^0-a^ia^i=-a^2$ ($\eta_{\mu\nu}$ is the Minkowski metric), we obtain $d\omega^2=\left[1-l^2a^2\right]g_{\mu\nu}$, i.e., it corresponds to a new metric $G_{\mu\nu}=\left[1-l^2a^2\right]g_{\mu\nu}$. The acceleration is then limited by $a\leq \frac{1}{l^2}$.

We will see that these modifications are included in pc-GR in a particular limit. A more detailed resumé on former intents to extend the GR is given in [9].

In [35] all kinds of *algebraic extensions* of the coordinates were considered and the field equations for weak gravitational fields were obtained. It was shown that nearly all algebraic extensions contain solutions for tachyons or ghosts, which shows that nonphysical solutions appear. Only real and pseudo-complex (called in [35] *hyper-complex*) coordinates did not have this problem. This is the reason why we discuss only this particular extension:

In pc-GR the coordinates of GR are extended to these so-called *pseudo-complex* (pc) variables

$$X^{\mu} = x^{\mu} + Iy^{\mu} \tag{5}$$

($\mu=0-3$), whith $I^2=1$, which justifies its name (though the name is not universal in the literature, where these variables are denoted as para-complex, etc.). The complex conjugate is defined as $X^{*\mu}=x^\mu-Iy^\mu$. In [34] the variable y^μ is proportional to a minimal length scale, l, multiplied by the 4-velocity component u^μ . This implies a maximal acceleration. It is important to stress that the parameter l is not a physical length and, thus, is not effected by a Lorentz transformation. Unfortunately, the relation of y^μ to the 4-velocity is only correct in flat space, where the constraint used further below in (9) reduces to the standard dispersion relation $g_{\mu\nu}y^\mu dy^\nu=0$, with the solution $y^\mu\sim u^\mu$. The minimal length parameter l is required as a factor by dimensional considerations. When the space is not flat, no easy solution has been found yet.

An important nature of these variables is revealed when we change to the basis

$$X^{\mu} = X^{\mu}_{+}\sigma_{+} + X^{\mu}_{-}\sigma_{-}$$

$$\sigma_{\pm} = \frac{1}{2}(1 \pm I) ; \sigma_{+}\sigma_{-} = 0 .$$
(6)

It implies that there are variables of the type $v\sigma_{\pm}$ which have a zero norm. Thus, the variables form a ring and not a field. The components of σ_{\pm} are calles *zero divisor components*.

The division into the zero divisor components can be done for any pc-function $F(X) = F(X_+)\sigma_+ + F(X_-)\sigma_-$. Mathematical manipulations are independent to each other (see also [9]).

The fact that $\sigma_+\sigma_-=0$ allows to formulate in each zero-divisor component a theory of General Relativity! In order to get a consistent theory, both zero-divisor components have to be connected. In [11] this is done, introducing a modified variational principle, where the variation of the action is proportional to a "general zero", i.e., a function with a zero norm. However, this is not necessary: In [36] it is shown that a constraint can be implemented and a usual variational principle leads to modified Einstein equations. In what follow we will resume the main steps.

The constraint requires that the pc length element

$$d\omega^{2} = g_{\mu\nu}(X)dX^{\mu}dX^{\nu}$$

$$= g_{\mu\nu}^{+}(X_{+})dX_{+}^{\mu}dX_{+}^{\nu}\sigma_{+} + g_{\mu\nu}^{-}(X_{-})dX_{-}^{\mu}dX_{-}^{\nu}\sigma_{+}$$

$$= g^{S} [dx^{\mu}dx^{\nu} + dy^{\mu}dy^{\nu}] + g_{\mu\nu}^{A} [dx^{\mu}dy^{\nu} + dy^{\mu}dx^{\nu}]$$

$$+ Ig^{A} [dx^{\mu}dx^{\nu} + dy^{\mu}dy^{\nu}] + g_{\mu\nu}^{S} [dx^{\mu}dy^{\nu} + dy^{\mu}dx^{\nu}]$$
(7)

is real. We used the definitions

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$$g_{\mu\nu}^{S} = \frac{1}{2} \left(g_{\mu\nu}^{+} + g_{\mu\nu}^{-} \right) g_{\mu\nu}^{A} = \frac{1}{2} \left(g_{\mu\nu}^{+} - g_{\mu\nu}^{-} \right) . \tag{8}$$

Also indicated is the representation of $d^2\omega$ in the zero-divisor and in the original basis ((1, I)-basis). (7) coincides with the one of [34], when y^{μ} is substituted by lu^{μ} . In each component of the zero divisor basis only Riemannian manifolds are considered, thus other types manifolds are not included yet.

Setting the pseudo-imaginary part to zero leads to the constraint

$$g_{\mu\nu}^{+}(X_{+})dX_{+}^{\mu}dX_{+}^{\nu} - g_{\mu\nu}^{-}(X_{-})dX_{-}^{\mu}dX_{-}^{\nu} = 0 . {9}$$

The action, without the constraint, is given by

$$S = \int dx^4 \sqrt{-g} \left(\mathcal{R} + 2\alpha \right) \quad , \tag{10}$$

where \mathcal{R} is the Riemann scalar. The last term in the action integral allows to introduce the cosmological constant in cosmological models, where α has to be constant in order not to violate the Lorentz symmetry. This, however changes, when a system with a uniquely defined center is considered, which has spherical (Schwarzschild) or axial (Kerr) symmetry. In these cases, the α is allowed to be a function in r, for the Schwarzschild solution, and a function in r and ϑ , for the Kerr solution.

The variation is independent in each zero-divisor basis. The result is the set of the modified Einstein equations (see [36])

$$\mathcal{R}^{\pm}_{\mu\nu} - \frac{1}{2} g^{\pm}_{\mu\nu} \mathcal{R}_{\pm} = 8\pi T^{\Lambda}_{\pm \mu\nu} ,$$
 (11)

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$$8\pi T^{\Lambda}_{\pm \mu\nu} = \lambda u_{\mu}u_{\nu} + \lambda \left(\dot{y}_{\mu}\dot{y}_{\nu} \pm u_{\mu}\dot{y}_{\nu} \pm u_{\nu}\dot{y}_{\mu}\right) + \alpha g^{\pm}_{\mu\nu} . \tag{12}$$

The energy-momentum tensor corresponds to the one of an asymmetric ideal fluid. The y^{μ} is related to the appearance of a minimal scale, as explained in the case of the proposal given in [34]. Because these effects are practically impossible to measure due to its smallness, it suffices to restrict to the real part of the equations, which leads to the only one equation

$$\mathcal{R}_{\mu\nu} - \frac{1}{2} g_{\mu\nu} \mathcal{R} = 8\pi T^{\Lambda}_{\mu\nu} . \tag{13}$$

The energy-momentum tensor acquires under this approximation the form

$$T^{\Lambda}_{\mu\nu,R} = (\varrho_{\Lambda} + p^{\Lambda}_{\vartheta})u_{\mu}u_{\nu} + p^{\Lambda}_{\vartheta}g_{\mu\nu} + \left(p^{\Lambda}_{r} - p^{\Lambda}_{\vartheta}\right)k_{\mu}k_{\nu} \quad , \quad . \tag{14}$$

The relations to the parameter in the action is (see [36])

$$\lambda = 8\pi\tilde{\lambda}, \alpha = 8\pi\tilde{\alpha}$$

$$\tilde{\lambda} = \left(p_{\vartheta}^{\Lambda} + \varrho_{\Lambda}\right), \tilde{\alpha} = p_{\vartheta}^{\Lambda}, \tilde{\lambda}y_{\mu}y_{\nu} = \left(p_{r}^{\Lambda} - p_{\vartheta}^{\Lambda}\right)k_{\mu}k_{\nu} . \tag{15}$$

Where k_{μ} are the components of a space-like vector, orthogonal to the 4-velocity.

Because we do not know the exact for of y^{μ} , we cannot derive the exact form of the energy-momentum tensor and, thus, we are left to propose a phenomenological model. The energy-momentum tensor describes an ideal an-isotropic fluid. That it has to be anisotropic is explained in [9]: First of all, as explained further below, the energy-momentum tensor on the right hand side of the Einstein equations is assumed to be related to the contribution of vacuum fluctuation, which represents a dark energy. This dark energy can be calculated within the one-loop approximation of GR as described in [37] and done in [38]. The equation of state of a dark energy in the radial pressure is $p_r^{\Lambda} = -\rho_{\Lambda}$, where p_r^{Λ} is its pressure and ρ_{Λ} the dark energy density. Within the *Tolmann-Oppenheimer-Volkoff* (TOV) equations, the radial derivative of the pressure is proportional to the sum of the radial pressure (which is equal to the tangential one in case of an isotropic fluid) and the density, i.e. it is zero. An anisotropic fluid is characterized by an addition term, proportional to the difference of the radial and tangential pressure (p_{θ}^{Λ} . Without this term the radial derivative of the pressure is zero and thus constant in r.

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Because of the proportionality of the energy density to the pressure, this implies also a constant energy density. Requiring that the density has to vanish at infinity results in a zero density, contrary to the requirement that the density is building up toward smaller distances. Only an anisotropic fluid can resolve this contradiction (see [11]).

In the absence of a quantized theory of gravity, it is impossible to deduce, for example, the radial dependence of the dark-energy density. Here we rely on information from one-loop calculations in GR [37], which where performed in [38] for a static Schwarzschild back-ground metric. In [38] the energy density rises proportional to $1/\left[r^6\left(1-\frac{2m}{r}\right)^2\right]$ toward the center, i.e., it is singular at the Schwarzschild radius. Clearly, the assumption of a static metric fails when the gravitational field increases too much. In this case one has to include back-reaction effects, which is very difficult to do. This tells us, that the fluctuations increase toward the center and they can become large. To avoid the singularity, we propose a phenomenological model, where the dark-energy density is treated classically and behaves as

$$\varrho_{\Lambda} \sim \frac{B_n}{r^{n+2}}$$
 (16)

where in a first attempt n=3 was taken. It is strong enough in order not to contribute to the known observations within the solar system and other systems with not too strong gravitational fields [1]. There may be other dependencies with n>3 and in fact in [27,28] it was shown that the fall-off of the dark-energy density has to be stronger. We will discuss other cases of the fall-off, ordered by a number n as in (16), however, the main conclusions and structural predictions of the theory remain similar.

In [12] the pc-Kerr solution was derived and here we list it for any n

$$g_{00} = -\frac{r^2 - 2m_0r + a^2\cos^2\theta + \frac{B_n}{(n-1)(n-2)r^{n-2}}}{r^2 + a^2\cos^2\theta} ,$$

$$g_{11} = \frac{r^2 + a^2\cos^2\theta}{r^2 - 2m_0r + a^2 + \frac{B_n}{(n-1)(n-2)r^{n-1}}} ,$$

$$g_{22} = r^2 + a^2\cos^2\theta ,$$

$$g_{33} = (r^2 + a^2)\sin^2\theta + \frac{a^2\sin^4\theta\left(2m_0r - \frac{B_n}{(n-1)(n-2)r^{n-2}}\right)}{r^2 + a^2\cos^2\theta} ,$$

$$g_{03} = \frac{-a\sin^2\theta \, 2m_0r + a\frac{B_n}{(n-1)(n-2)r^{n-2}}\sin^2\theta}{r^2 + a^2\cos^2\theta} .$$
(17)

The a is the spin parameter in units of m_0 . The solution is identical to the standard Kerr solution, save the term $-\frac{B_n}{(n-1)(n-2)r^{n-2}}$. The m_0 is the mass value observed at infinite distance.

The B_n is given by

$$b_n \, \mathbf{m}_0^n = B_n > \frac{2(n-1)(n-2)}{n} \left[\frac{2(n-1)}{n} \right]^{n-1} \, \mathbf{m}_0^n = b_{n\max} \mathbf{m}_0^n .$$
 (18)

For the equal sign, an event horizon is located at

$$r_h = \frac{2(n-1)}{n} \, \mathbf{m}_0 \quad . \tag{19}$$

In this contribution some examples are calculated with n=3 (n=4) and we will vary the parameter b_n in $B_n=b_nm_0^n$ from zero to a maximal value $\frac{64}{27}$ for n=3 and $\frac{81}{8}$ for n=4. For a larger

value there is no event horizon anymore. We will also vary the spin-parameter a from zero to m_0 and show that already for $b_n \neq 0$ there is a region of large a values where this horizon disappears. I.e., the question if there is or is not an event-horizon already becomes relevant for tiny admixtures of vacuum fluctuations! This is similar, but not equal, to the so-called naked singularities: First, there is no singularity within the pc-GR and, second, what is exposed is the surface of a star. However, seeing the surface will be very difficult, because the red-shift tends to infinity.

Another topic will be the calculation of the position of the light-ring. A light-ring is defined as the geodesic of a photon in a circular orbit (r =const). In GR there is for a = 0 only one light-ring at r = 3m0 and the value is slightly lowered for larger a. In contrast, in pc-GR and for values b_n > 0 the structure becomes quite involved. We will investigate this property and relate it to phase transitions, using the *catastrophe theory* [29].

The application of pc-GR is not only limited to the region outside of a mass distribution, but also was applied to the interior of the star [18,19,39–43]. The main problem is to propose a coupling of the dark energy to the mass distribution, which due to not knowing it from first principles is always charged with phenomenological assumptions. In [18] a linear relation was assumed, which, however, leads to an upper limit of 6 solar masses for a star. The reason is that near the surface the repulsion is strong enough that the star sheds its upper parts of the surface. In [19] this was resolved partially, calculating in the one-loop approximation of quantized gravity [37] and using the monopole approximation. As a result, the distribution of the dark energy turns out to fall off stronger near the surface. With that, stars with up to 200 solar masses were found to be stable. This already indicated that any mass of a star can probably be stabilized, i.e., even the large masses in the center of galaxies of billions of solar masses are stable and rather dark stars than black holes. There are different, alternative, approaches, e.g., in [39–43] compact and dense objects were investigated within the pc-GR and maximal masses were also deduced.

3. Event Horizons and light-rings: Phase Transitions

All the following results were obtained partly with the help of the MATEMATICA, version 11.3.0.0. [44].

3.1. Circular orbital motion

The infinitesimal length square element $d\omega^2$ in terms of the metric components is given by

$$d\omega^2 = g_{00}dt^2 + 2g_{11}dr^2 + g_{22}d\phi^2 + g_{33}d\theta^2 + 2g_{02}d\phi dt , \qquad (20)$$

with the metric components listed in (17).

Circular orbital motion is defined by $\dot{r} = \frac{dr}{d\tau} = 0$, where τ is either the eigen-time or the length element s. The particle moves on a geodesic path and the deduced frequency is given by [17]

$$\omega_n = \frac{1}{a + \sqrt{\frac{2r}{h_n(r)}}}$$

$$h_n(r) = \frac{2}{r^2} - \frac{nB_n}{(n-1)(n-2)r^{n+1}} . \tag{21}$$

In [48] the orbital motion frequency of a particle in a prograde circular orbit (assumed here) was deduced, using the method of an effective potential. Also the motion of a photon in a circular orbit is deduced which satisfies in addition $d\omega^2 = 0$.

The approach presented above is different from [49], where the parh of a particle following a gravitational collapse of a star is investigated, including a quantum mechanical description of the

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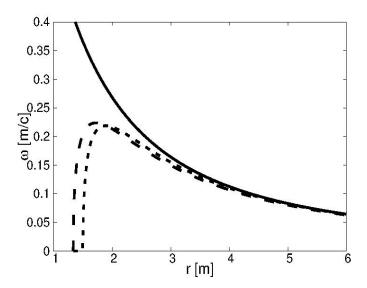


Figure 1. The dependence of the orbital frequency of a particle in a circular orbit on the radial distance. The upper curve shows the result for GR and the lower two curves for pc-GR. The one with its mazimum further to the left corresponds to n = 3 and the other one to n = 4. Equation (21) was used with $b = \frac{64}{27}$ for n = 3 and $b = \frac{81}{8}$ for n = 4.

particle. This is very interesting, it would lead here to a too extensive discussion, out of the scope of this article, also requiring adding a complete new description to the problem.

For a particle, the orbital frequency of a particle in its prograde orbit is shown in Fig. 1, for $a = 0.9m_0$ and n = 3, n = 4 respectively, where the b_n values are $\frac{64}{27}$ for n = 3 and $\frac{81}{8}$ for n = 4. The upper curve is the result of GR while the two lower ones are for n = 3 and n = 4 in pc-GR. The maximum is at

$$r_{\omega_{\text{max}}} = \left[\frac{n(n+2)b_{n \text{ max}}}{6(n-1)(n-2)}\right]^{\frac{1}{n-1}} m_0 ,$$
 (22)

independent on a. In case $b = b_{\text{max}}$ there is a zero of the orbital frequency, coinciding with a horizon (19), and it is also independent on a. r_0 is at the estimated position of the star's surface. For $0 \le b_n < b_n$ max the lower curves slowly merges into the upper one for $b \to 0$.

The stable orbits are defined in terms of a saddle point of the effective potential, see [9,14], and they are plotted in Fig. 2. The left panel shows the region of stable orbits in GR and pc-GR for n = 3, while the right shows the region of stable orbits for GR and pc-GR for n = 4 (see also the figure caption for explanation). When n increases, for small a both curves, GR and pc-GR, approach each other. However, the curve in pc-GR starts at a = 0 at lower values than GR, i.e, the orbits reach further in, more energy is liberated and distributed within the disc. The emission of light is, therefore, increased, because more gravitational energy is released. This can be verified in Fig. 3, where simulations of thin accretion discs are presented, using the model of [46], for n = 3. The left panel is for a = 0, being similar in structure to GR but with a greater intensity, and the right panel for $a = 0.9 m_0$, which now shows a dark ring followed further in by a bright ring. In Fig. 4 the simulations are shown for the same type of disc, but for different n. the left figure refers to n = 3 and the right one to n = 4. When n is increased, the position of the dark and bright rings are shifted to larger r values.

It is understood by inspecting Fig. 1: At the maximum of the orbital frequency neighboring orbitals are very similar and friction is low, such that the disc gets less excited and a dark ring forms.

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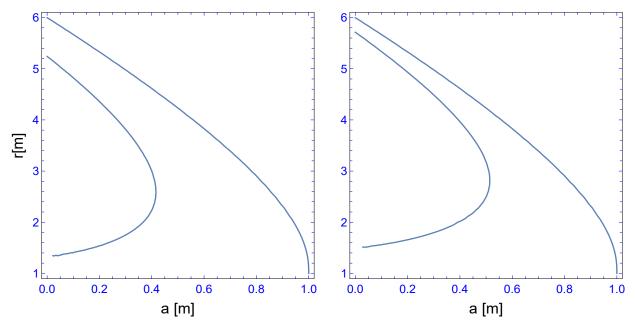


Figure 2. The position of the *Innermost Stable Circular Orbit* (ISCO) for n=3 (left panel) and n=4 (right panel) is plotted versus the rotational parameter a. The upper curve in each figure corresponds to GR and the lower curve to pc-GR. In the region to the left of the pc-GR curve the orbits are unstable in pc-GR, above and to the right the orbits are stable. For small values of a the ISCO in pc-GR follows more or less the one of GR, but at smaller values of r. From a certain value of a on, stable orbits are allowed until to the surface of the star. For n=3 this limit is approximately a=0.4 m_0 and for n=4 it above a=0.5 m_0 . for larger values of a all orbits are stable in pc-GR. For the construction of the curve for n=3 Eq. (42) of [12] was used. This equation has to be modified for n=3, which is direct. It can be retrieved from [45], where all the routines used here are openly accessible.

Above and below the maximum the change in orbital frequency for neighboring orbitals increases and friction is high, which results in a larger excitation and the light emission increases. The orientation of the accretion disc relative to the observer is 80° . However, these studies require a resolution of at least $0.5 \ \mu as$, not yet reached by the EHT. In the upper row of Fig. 5 simulations of an accretion disk are shown, with a large resolution (left) and a low resolution (right. The inclination of the disk with respect to the observer is 70° and a=0.6. Below these figures, the EHT result, copied from [3] and rotated by 90° .

In Fig. 6 we compare the simulation of an accretion disc in GR (left panel) with the one in pc-GR (right panel), assuming a resolution of $20\mu as$, as reported by the EHT-collaboration. As can be seen, the resolution is too low to allow detecting significant structural differences. This probably also affects other extensions of GR, i.e., the EHT results make it difficult to discriminate alternative theories.

The flux of light emitted by a thin disc, discussed in the above simulations, is determined as explained in [14,46] (please consult these references for more detailed information). The formula used is

$$f = -\frac{\omega_{|r}}{(E - \omega L_z)^2} \int_{\text{rms}}^r (E - \omega L_z) L_{z|r} dr , \qquad (23)$$

where $\omega_{|r}$ is the derivative in r of the circular orbital frequency, L_z is the orbital angular momentum with its derivative in r and E is the orbital energy. The lower limit rms = $r_{\omega_{\text{max}}}$ is the position of the maximum of the orbital frequency. As shown in [14] the integral yields only positive values. The origin of the flux is in the friction between neighboring orbitals and it is distributed from orbital of larger frequency to orbitals with a lower frequency.

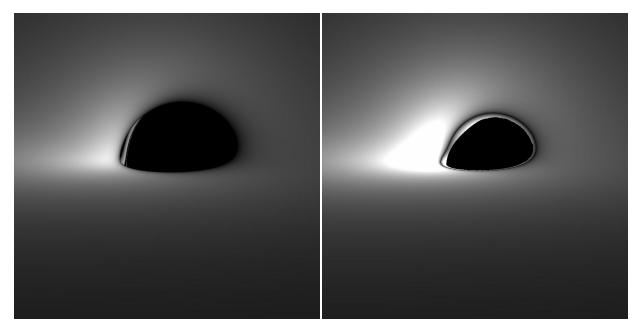


Figure 3. Infinite, counter clockwise rotating geometrically thin accretion disc around rotating compact objects viewed from an inclination of 80° . The left panel shows the original disc model by [46]. The right panel shows the modified model, including pc-GR correction terms as described in the text. Scales change between the images. Both figures are for $a = 0.9 m_0$ and n = 3, the left one is GR while the right figure is pc-GR. (Figures taken from [14,16,17]). The figures were obtained using the open accessible GYOTO routines [47].

In Fig. 7 we plot the distribution of emitted intensities as a function in r, comparing pc-GR (upper curves) with GR (lower curves), as a function in a. In the upper row left panel it starts at $a=0.6\ m_0$ and ends in the second line, right panel, at $a=0.9\ m_0$. The intensities in pc-GR turns out to be significantly larger than in GR. The peak emission in pc-Gr is at approximately $r=3\ m_0$, with little variation. The peak of emission in GR, on the other hand, starts at $6\ m_0$ for $a=0.6\ m_0$ and end at approximately $4\ m_0$ for $a=0.9\ m_0$. The results in pc-GR are more in line with the observation, reporting the peak at about $3\ m_0$.

3.2. Event horizons

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In this section, we discuss the dependence on the existence of an event-horizon as a function on the parameters b_n and a. Especially we take into account the range of b_n from 0 to $b_{n \text{ max}}$, for n=4. Further, we relate the disappearance of the event-horizon with a phase transition, whose physical significance is not yet clear to us and will be investigated in future.

The surface of infinite redshift is defined by the zero of g_{00} in Eq. (17). It depends on the azimuth angle ϑ . As in GR, this defines an outer surface which engulfs the event-horizon.

To obtain an equation, which determines the surface of the event-horizon, one has to look for time independent axially symmetric surfaces with a null-norm [9,51]. A surface is described by $u(r, \vartheta) = 0$. The normal vector is given by

$$n_{\mu} = \left(0, \frac{\partial u(r)}{\partial r}, \frac{\partial u(r)}{\partial \vartheta}, 0\right)$$
 (24)

and the normal vector satisfies $n_{\mu}n^{\mu}=1$. The steps to find the final equation is identical to what is presented in [9,51]. We define new variables $y=\frac{r}{m_0}$ and $\widetilde{a}=\frac{a}{m_0}$. The equation for the event-horizon is

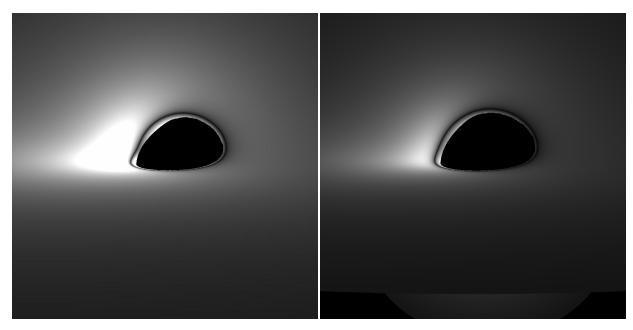


Figure 4. The explanation is the same as in Fig. 3, except that now the inclination angle is 80° . The left panel shows the simulation for pc-GR and n=3 (the same as the right panel in Fig. 3), while the right panel is a simulation for n=4. As noted, the position of the dark and bright rings are shifted slightly to larger radial distances. The figures were obtained using the open accessible GYOTO routines [47]. For n=4 the modified C++ rountines can be retrieved in [45].

$$y^2 - 2y + \widetilde{a}^2 + \frac{b_n}{(n-1)(n-2)y^{n-2}} = 0 , (25)$$

92 which is equivalent to

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$$y^{n} - 2y^{n-1} + \widetilde{a}^{2}y^{n-2} + \frac{b_{n}}{(n-1)(n-2)} = 0 , \qquad (26)$$

where we redefined the r to y and a to \widetilde{a} , as given further above. At the pole ($\vartheta=0$) the infinite redshift surface joins the even-horizon, while the infinite redshift surface is further out at the equator ($\vartheta=\frac{\pi}{2}$). The space in between the infinite red-shift surface and the event horizon is called the *ergo-sphere* [51]. In order to discuss phase transitions, we will follow a similar path as applied in [52]: Let us integrate (26), which leads to the auxiliary "potential"

$$U(y) = \frac{1}{n+1}y^{n+1} - \frac{2}{n}y^n + \frac{1}{n-1}\tilde{a}^2y^{n-1} + \frac{b_n}{(n-1)(n-2)}y . \tag{27}$$

This potential has, to our knowledge, no physical meaning, but the discussion on phase transitions is best explained with a potential [29]. The extremal points of the potential are determined via Eq. (26). We also allow values of $y < \frac{3}{2}$, where the surface of the star is supposed to be for n = 4. Though these values do not have a physical meaning, it is better for the illustration of the phase transitions.

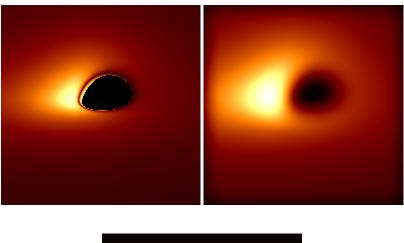
As noted, at $b_n = 0$ we have the known solutions of y versus a: For a = 0, the only physical solution is y = 2, which approaches y = 1 for maximal rotation a = 1 m_0 . The second positive solution is always lower than 1 and, thus, always below the event horizon at a = 1 m_0 . For non-zero b_n , a novel feature appears, namely that from a certain a on, there is no event horizon! For maximal b_n , there is only one event horizon at a = 0 and for all a > 0, the event horizon disappears! Thus, even for b_n

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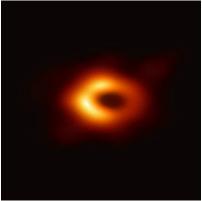


Figure 5. Infinite, counter clockwise rotating geometrically thin accretion disc around static rotating compact objects viewed from an inclination of 70° . In the upper row and the left panel shows the result for a resolution of $0.5 \ \mu as$ while the right panel corresponds to a resolution of $20 \mu as$. In the upper row, $a = 0.6 \ m_0$. The lower figure is taken from the EHT results. The figures were obtained using the open accessible GYOTO routines [47]. For n = 4 the modified C++ rountines can be retrieved in [45].

smaller than the maximal value, not always an event horizon exists, even for tiny contributions of vacuum fluctuations!

In order to construct the surface of critical points, i.e., where the derivative of the potential is zero, we follow closely the method of the catastrophe theory [29] as presented in [53], restricting to the case n = 4. First, we construct the surface of critical points where (26) is satisfied, in the three dimensional space with coordinates (y, b_n, a^2) . The critical b_n (b_{cr}) is obtained resolving (26) and renaming y_{cr} by λ_1 ,

$$b_{\rm cr} = -6a^2\lambda_1^2 + 12\lambda_1^3 - 6\lambda_1^4 . {28}$$

Further below, we will also need the derivative of $b_{\rm cr}$ with respect to λ_1 , which is $\frac{\partial b_{\rm cr}}{\partial \lambda_1} = -12a^2\lambda_1 + 36\lambda_1^2 - 24\lambda_1^3$.

Now, we consider the projection map of the two-dimensional critical surface onto the two-dimensional sub-space (b_n, a^2) :

$$(y_{\rm cr}, b_n, a^2) \rightarrow (b_n, a^2) \quad . \tag{29}$$

This defines a singular mapping, if the Jacobian of the transformation satisfies, redefining a^2 as λ_2 ,

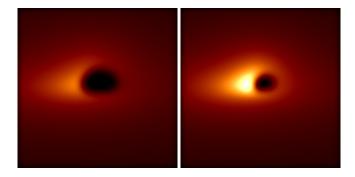


Figure 6. Simulations of accretion discs for an inclination of 60° and $a = 0.6 \, m$. A resolution of $20\mu as$ was assumed [50]. The left panel shows the result for GR and the right one for pc-GR. As seen, the GR and pc-GR cannot be distinguished clearly. The dark center in GR is slightly larger than in pc-GR, which is also noted in the calculation of fluxes (see main textr). The figures were obtained using the open accessible GYOTO routines [47]. For n = 4 the modified C++ rountines can be retrieved in [45].

$$\det \begin{pmatrix} \frac{\partial a^2}{\partial \lambda_1} & \frac{\partial a^2}{\partial a^2} \\ \frac{\partial b_{cr}}{\partial \lambda_1} & \frac{\partial b_{cr}}{\partial a^2} \end{pmatrix} = \det \begin{pmatrix} 0 & 1 \\ -12\lambda_2\lambda_1 + 36\lambda_1^2 - 24\lambda_1^3 & 0 \end{pmatrix} = 0 . \tag{30}$$

This gives the condition for $a^2 = a_{cr}^2$:

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$$a_{cr}^2 = \lambda_2 = 3\lambda_1 - 2\lambda_1^2$$
 (31)

Substituting this into (30) for a^2 and taking the square root of a^2 (which is always positive), we construct the parametric curve

$$(a_{\rm cr}, b_{\rm cr}) = \left(\sqrt{3\lambda_1 - 2\lambda_1^2}, -6\lambda_1^3 + 6\lambda_1^4\right) ,$$
 (32)

which defines the *separatrix*. The separatrix is depicted in Fig. 8 in the pane (b_n, a) , together with the surface of critical points. It is clearly seen that the separatrix is the projection of the points where the slope of the critical surface is infinite. The left panel shows the case n = 3 and the right one for n = 4.

This figure illustrates that for a given b_n the range of a is finite where an event horizon exists. i.e., as soon as there is a little accumulation of dark energy near a black hole, it looses its event-horizon from a given a on!

In Fig. 9 we plot the position of extrema of the potential, one for a = 0 and the other for $a = 0.5 m_0$, the extrema of the potential. The upper part corresponds to a maximum, while the lower one corresponds to the minimum. Both join at a certain value of b_n , after which no extrema exists. This point is just at the position of the separatrix. The larger a is, the point of the separatrix (where the two curves meet) moves further in.

In Fig. 10 we show a sequence of potential, all for $a = 0.5 m_0$, as a function in b_n . While for $b_n = 2$ the minimum of the potential is at negative values, i.e. it is lower than U at $y = \frac{r}{m_0} = 0$, at $b_n = 3.3$ it is approximately at the same height and at $b_n = 4$ it is at positive values. At $b_n = 7.5$ it is near to the point when maximum joins the minimum, i.e., forming a saddle point. This type of behavior, the relative position of minima and the change of it, is typical for a phase transition.

Finally, we will discuss the order of phase transition when reaching the separatrix: For that, one has to calculate the derivatives of the potential as a function of the parameters (b_{cr}, a_{cr}, y_{cr}) , where y_{cr} is the critical value for the distance $y = \frac{r}{m_0}$. The best way to do it, is to expand the function in a Taylor

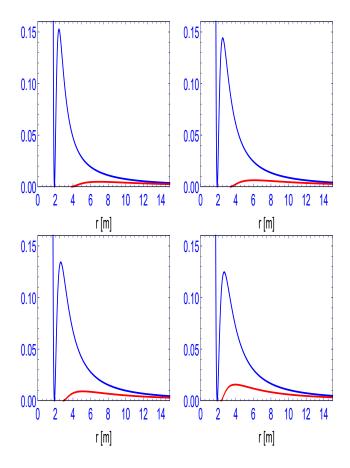


Figure 7. The intensity distribution for pc-GR (upper) curve and GR (lower curve). The rotational parameter a is changed from 0.6 m_0 to 0.9 m_0 starting on the left in the upper row and ending to the right in the lower row. The intensity in pc-GR is always larger. The peak shift to the left (lower distances) as a increases. For $a = 0.9 m_0$ the peak is around $r = 0.4 m_0$, while the peak of the pc-GR curve is always at lower r. The intensities are obtained using (23). the relation between L_z and E can be retrieved from [14].

series around the critical point, also called the *germ* of the critical point. Expanding solely in y, we obtain up to the 5th order the expansion

$$\frac{1}{5}(y - y_{\rm cr})^5 + (y - y_{\rm cr})^4 \left(-\frac{1}{2} + y_{\rm cr}\right) + (y - y_{\rm cr})^3 \left(\frac{a_{\rm cr}^2}{3} - 2y_{\rm cr} + 2y_{\rm cr}^2\right)
+ (y - y_{\rm cr})^2 \left(a^2 y_{\rm cr} - 3y_{\rm cr}^2 + 2y_{\rm cr}^3\right) + (y - y_{\rm cr}) \left(\frac{b}{6} + a^2 y_{\rm cr}^2 - 2y_{\rm cr}^3 + y_{\rm cr}^4\right) .$$
(33)

When the critical values for $a_{\rm cr}$ and $b_{\rm cr}$ are substituted, as given above and renaming $y_{\rm cr}$ by λ , the terms of lower order in $(y-y_{\rm cr})^p$ ($p \le 2$) vanish. The factor of the first non-vanishing term, which is proportional to $(y-\lambda)^3$, is given by

$$\left(\frac{a_{\rm cr}^2}{3} - 2\lambda + 2\lambda^2\right) = 1/3\lambda(-3 + 4\lambda)$$
 (34)

Because the first non-vanishing term in the expansion is proportional to $(y - y_{cr})^3$, we can conclude that the order of phase transition is of third order. The meaning of this is not clear yet, but will be investigated in future.

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That we find for the critical surface for a given a and b_n two solutions at positive y can be understood readily: For that, let us restrict to a = 0 (Schwarzschild). Then the zero of $g_{00} = 0$

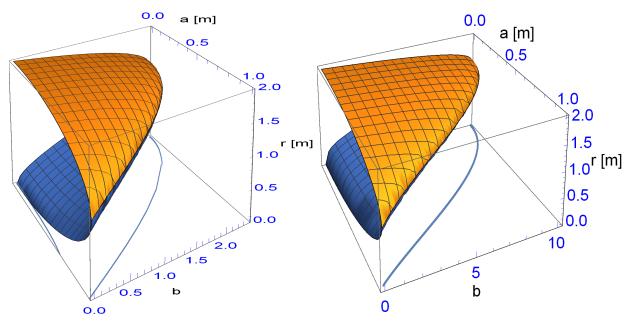


Figure 8. Shown is the surface of allowed horizons for n = 3 (left panel) and n = 4 (right panel). Shown is also the projected curve of the separatrix. The vertical axis denotes r in units of m_0 , the x-axis the a in units of m_0 and the y-axis the $b_n = b$ parameter. The figures are obtained using (25). The corresponding MATEMATICA [44] tool can be retrieved from [45].

 $\left(1 - \frac{2m_0}{r} + \frac{B_n}{(n-1)(n-2)r^n}\right)$ coincides with the position of the event-horizon. Due to the positive third term in g_{00} , there are two-solutions. For $B_n = 0$ there is only one at $r = 2m_0$. When B_n starts to be different from zero, for very small B_n the lower solution is at small r, while the upper one starts to decrease. Increasing B_n little, by little the two solutions approach each other until they meet at the point of the separatrix.

3.3. Light-rings

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As mentioned above, the condition for a light-ring in a circular orbital motion is that it has to be geodesic and $d\omega^2=0$. The geodesic equation leads to the prograde frequency [12]

$$\omega = -\frac{g'_{03}}{g'_{33}} + \sqrt{\frac{(g'_{03})^2 - g'_{00}g'_{33}}{(g'_{33})^2}} , \qquad (35)$$

which, in turn, leads to Eq. (21). The prime denotes the derivative with respect to r. For the light-cone propagation ($d\omega^2 = 0$), the frequency for a circular orbit is [12]

$$\omega = -\frac{g_{03}}{g_{33}} + \sqrt{\frac{(g_{03})^2 - g_{00}g_{33}}{(g_{33})^2}} . (36)$$

It has the same form as in (35), save that no derivatives appears.

The two frequencies deduced in (35) and (36) have to be set equal, which leads to the following equation, deduced in [27,28] (we changed the variable r into $y = \frac{r}{m_0}$ and defined $\tilde{a} = \frac{a}{m}$)

$$\sqrt{\Delta} \left(y^3 - \tilde{a}^2 F \right) + \tilde{a} \left(2y^2 m(y) + \left(y^2 + \tilde{a}^2 \right) F \right) - y \sqrt{yF} g_{22} = 0 , \qquad (37)$$

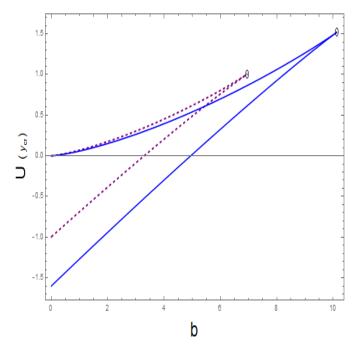


Figure 9. Shown are two sets for n = 4 (a = 0 and $a = 0.5 m_0$), respectively, of the position of the extrema as a function of b_n . for each a the upper curve corresponds to a maximum and the lower one to the position of the minimum. The two curves meet at a certain $b_n = b$ value, which increases with decreasing a. The dashed line corresponds to $a = 0.5 m_0$, while the continuous line is for a = 0. Also here (25) was used and the corresponding MATEMATICA routine can be retrieved from [45].

with $\Delta = y^2 + \tilde{a}^2 - 2ym(y)$, F(y) = m(y) - m'(y)y and $m(y) = \left(1 - \frac{b}{4y^2}\right)$. Resolving (37) for r gives the position of the (or more) light-ring(s), r_l . This equation and, thus, the position of the light-ring depends on the azimuth angle ϑ via g_{22} and the expression is the same as in [27,28].

Here, we will discuss only the motion in the orbital plane with $\vartheta = \frac{\pi}{2}$ and n = 4 (the other cases have *the same* structures). The equation for the light-ring, using the above described path, is given by

$$3(b - 6y^{3})\widetilde{a}y^{2} + 2(b - 3y^{3})\widetilde{a}^{3} - 2\sqrt{\widetilde{a}^{2} + \frac{b}{6y^{2}} + y(y - 2)}(3y^{6} + \widetilde{a}^{2}(b - 3y^{3})) + \sqrt{y - \frac{b}{3y^{2}}}(6y^{6} + \widetilde{a}^{2}(6y^{3}(y + 2) - b)) = 0 .$$

$$(38)$$

We proceed in the same manner as explained in the last sub-section on the event horizon, constructing the surface of critical points that corresponds to the light-ring. A light-ring represents a close contact of neighboring trajectories, and thus, an envelope where light focusing takes place (a caustic). Caustics are extremely important in wave theory [54]. The light intensity grows to infinity in a caustic, limited by diffraction, and the light-wave field structure is dominated by these caustics singularities. In this way, we proceed again by means of Catastrophe Theory in order to study the regions of stability and its structure of the light-ring. As it shall be demonstrated, within pc-GR theory there exists a further singularity of light rays, of a greater order: A double-light-ring, predicted within a limited region of parameters space as a two-fold critical surface, experiences a further coalescence establishing an even brighter caustic of caustics, and signaling a second stability separatrix in the parameters space that limits the possibility to any further existence of light-ring singularities at the outer region of parameter values.

The numerical solution of the critical surface is depicted in Fig. 11. together with the critical surface for the event-horion, identified as the inner surface. The *y*-variable starts at $\frac{3}{2}$ where the surface of the star is assumed to be for n = 4. As can be noted, increasing b_n there is an increasing range of

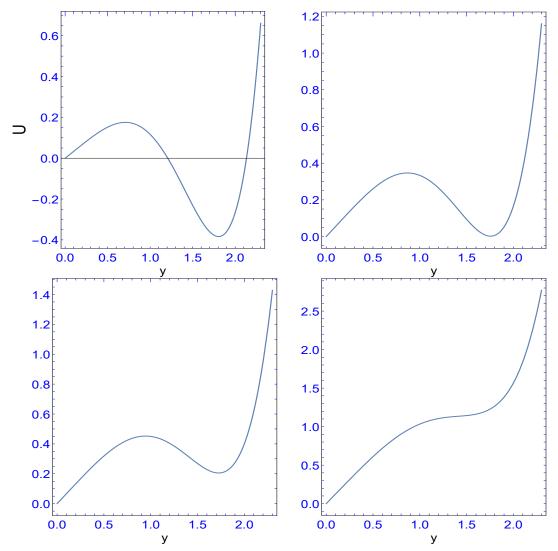


Figure 10. Shown are four cuts of the potential at $a = 0.5 m_0$ and, respectively from upper left to lower right, for the values of $(n = 4) b_n = 2$, 3.3, 4 and 7.5. For $b_n = 2$ the minimum is at negative values, for $b_n = 3.3$ it is at the same height as for U at U = 0, for U = 0 the minimum is at positive values and at 7.5 it is disappearing, corresponding to be near to the point were the maximum and minimum join, as shown in Fig. 9. For the potential, (27) was used. The corresponding MATEMATICA rountine can be retrieved from [45].

large a-values where there is no light-ring anymore. Reaching $b_4 = \frac{81}{8}$, The light-ring ceases to exist from about $a = 0.35 \, m_0$ on. Even for a tiny contribution of the vacuum fluctuations, there is no light-ring near $a = 1 \, m_0$! This result puts some doubt on the assumption that a light ring still exists [55], which is based on the use of pure GR and not including the fact that vacuum fluctuations are building up. An interesting feature can be observed for large b_n values: The light ring exhibits two real solutions.

4. Conclusions

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We presented a report on the current status of the *pseudo-complec General Relativity* (pc-GR). This theory requires that around a mass, dark energy has to accumulate, for which we constructed a phenomenological ansatz, i.e.., that it falls off proportional to $\frac{1}{r^{n+2}}$. This is multiplied by a parameter $B_n = b_n m^n$ which describes the coupling of the dark energy to the central mass. In most applications the parameter b_n is chosen such that there is no event-horizon for any a-value. For this case there is a lower value for b_n , which is $\frac{64}{27}$ for n = 3 and $\frac{81}{8}$ for n = 4. In this contribution we also investigated the

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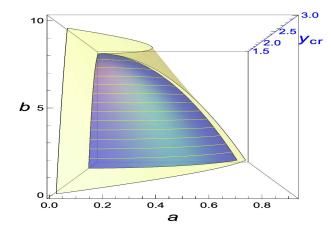


Figure 11. The critical surfaces for the horizon (inner surface) and the light-ring (outer surface). The critical surface of the light-ring follows the one of the horizon, only further out. Note the region where the light-ring does not exists. The b value is equal to b_n . For the construction of teh light ring surface (38) was used. The corresponding MATEMATICA routine can can be retrieved from [13].

range of b_n -values from zero on, i.e., we considered also small vacuum fluctuation, which by force is there [37,38]. We found that even a tiny amount of vacuum fluctuations erase the event-horizon near $a = 1 m_0$ and also the light-ring ceases to exit for small values of b_n and a near $1 m_0$, which is a new interesting feature. This has nothing to do with the so-called naked singularities, because there is no singularity in pc-GR and what is at most exposed is the surface of a star. However, the red-shift tends to infinity and the surface can not be seen.

One of the most important predictions is that when an accretion disc is present and for large enough rotation, described by the parameter a, it shows a dark ring followed further in by a bright one. This is an effect of the dependence of a particle in a circular orbit, whose frequency shows a maximum and falls off for smaller r. At the maximum neighboring orbitals have approximately the same orbital frequency, thus, friction is low and no emmision is produced. Below and above the position of the maximum in the orbital frequency, it shows a change for neighboring orbital and friction is large, resulting in a stronger light emission. We also compared the GR simulation with the pc-GR one, taking into account the low resolution of 20µas, similar to the EHT observation. From this we can conclude that there is, for now, little possibility to see any structural differences. Only an increase of the resolution by a factor of 5 can probably show differences between GR and pc-GR. Until such a resolutrion can be obtained, a coukle of decades have to pass because it will imply to put radio-telescopes in the orbit of the moon and beyond. Nevertheless, the pc-GR can be tested in such a way in future. We are now currently in contact with members of the EHT on how to obtain a Fourier transform from our pictures, which is what the EHT observes. We plan to look for details in the intensity distribution and ist Fourier transform. Unfortunately, we can not present any results on this, yet.

This phenomenon of rings depends on the rotational parameter *a*: For low *a* the last stable orbit follows the one of GR, only further in. The result is a similar pattern of light emission in pc-GR, but at a higher value, because the last stable orbit reaches further in and, thus, more energy is released which is distributed within the disc.

We showed also that the disappearance of the event-horizon can be related to a phase transition, which is of the order of 3 when we reach the points where the surface of critical points has a singularity in its derivative. Which consequences it may have, is not clear to us and we are investigating it further.

Finally, we discussed the light-ring in the orbital plane ($\theta = \frac{\pi}{2}$). We also found that at the moment some dark energy is accumulated around a black hole, there is a region where the light-ring ceases to exist from a certain a-value on! The range increases with b_n . We found that the critical surface of the light-ring engulfs the one of the event-horizon.

Thus, adding even a small amount of dark energy around a large mass, leads for a certain a-value on to the disappearance of the event horizon and also eliminates the existence of a light-ring! One has not to assume a sufficient large value of b_n in order that no event horizon or light-ring exists, though the limiting value of $b_n = b_{n \ max}$ serves that for any a no horizon exists

Though, a fluid identified with a dark energy is introduced, this fluid is treated classically as all the approach, presented in this review. We hope that the results may shed some light on how to include quantum mechanical effects more directly. The connection to a quantum mechanical description may be the reproduction of the dark energy behavior near a large mass, requiring first the observational confirmation of the structures predicted by the pc-GR.

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