# Color Topics for Programmers

#### Peter Occil

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## 1 Introduction

This document presents an overview of many common color topics that are of general interest to programmers and that can be implemented in many different programming languages. Sample Python code<sup>1</sup> that implements many of the methods in this document is available. Supplemental topics<sup>2</sup> are listed in another open-source page.

#### Topics this document covers include:

- Red-green-blue (RGB) and other color models of practical interest.
- How to generate colors with certain properties.
- Color differences, color maps, and color mixing.
- Dominant colors of an image.
- Colors as spectral curves.

#### This document does not cover:

- How to change or set colors used—
  - in text, foregrounds, or backgrounds of user interface elements (such as buttons, text boxes, and windows),
  - in text or backgrounds of documents (such as HTML documents), or
  - when generating graphics (such as plots and charts).
- Determining which colors are used, or used by default, in user interface elements, documents, plots, or charts.
- Color pickers, including how to choose colors with them.
- Specifics on setting and getting pixel, palette, and other colors in images (including screenshots) with the exception of finding dominant colors.
- Colorization of command line outputs, or terminal or shell outputs. "ANSI" graphic codes<sup>3</sup> are discussed elsewhere.
- In general, topics that are specific to a programming language or application programming interface.

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<sup>&</sup>lt;sup>1</sup>https://peteroupc.github.io/colorutil.zip

<sup>&</sup>lt;sup>2</sup>https://peteroupc.github.io/suppcolor.html

 $<sup>^3</sup> https://peteroupc.github.io/suppcolor.html\#Terminal\_Graphics$ 

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## 3 Notation and Definitions

- The **pseudocode conventions**<sup>4</sup> apply to this document.
- **bpc.** Bits per color component.
- CIE. French initials for the International Commission on Illumination.
- Color model. Describes, in general terms, the relationship of colors in a theoretical space.
- Color space. A mapping from colors to numbers that follows a particular color model.
- **D50 illuminant, D65 illuminant.** CIE models of daylight at a correlated color temperature of about 5000 or 6500 kelvins respectively.<sup>5</sup>
- D50/2 white point. The white point determined by the D50 illuminant and the CIE 1931 standard observer.
- D65/2 white point. The white point determined by the D65 illuminant and the CIE 1931 standard observer.
- IEC. International Electrotechnical Commission.
- Image color list. Means either—
  - a list of colors (which can have duplicates), all of the same color space, or
  - the colors (which can have duplicates) used in a raster image's pixels, a vector image, a three-dimensional image, a digital video, or a digital document.
- ISO. International Organization for Standardization.
- **Light source.** Means a *primary light source* or an *illuminant*, as defined by the CIE. Roughly means an emitter of light, or radiation describing an emitter of light.
- Multicomponent color. Color given in terms of one or more color components. Color components
  include red components, green components, luminance factors, and points on a spectral reflectance
  curve.
- RGB. Red-green-blue.

## 4 Overview of Color Vision

Color<sup>6</sup> is possible only if three things exist, namely—

- liaht.
- an *object* receiving that light (a surface, for example), and
- an observer viewing that object and interpreting the light received from it.

Because of this, color does not exist in light, in objects receiving light, in light sources, or even in the signals generated by the eyes when they see things.<sup>7</sup> In the Opticks, I. Newton said, "the Rays to speak properly are not coloured."

Color appearance is subjective — since interpreting the light is required — and varies with the *light source* (sunlight, daylight, incandescent light, etc.), *object* (material), *observer*, viewing situation, or a combination of these.<sup>8</sup>

<sup>&</sup>lt;sup>4</sup>https://peteroupc.github.io/pseudocode.html

<sup>&</sup>lt;sup>5</sup>The CIE publishes **tabulated data** for the D65 illuminant and the CIE 1931 and 1964 standard observers at its Web site. In some cases, the CIE 1931 standard observer can be approximated using the methods given in Wyman, Sloan, and Shirley, "Simple analytic approximations to the CIE XYZ color matching functions", Journal of Computer Graphics Techniques 2(2), 2013, pp. 1-11.

<sup>&</sup>lt;sup>6</sup>This overview has none of the heavy baggage from color teachings involving "red, yellow, and blue", "primary/secondary/tertiary" colors, or using a "color wheel" to "predict" color mixtures. Also deliberately missing are discussions on color psychology, "color forecasting", or color in natural language, all topics that are generally irrelevant in programming.

<sup>&</sup>lt;sup>7</sup>It's not accurate to speak of "red light", "green light", "blue light", "white light", and so on.

<sup>&</sup>lt;sup>8</sup>Color perception is influenced by the three things that make color possible: *Light*. For example, natural daylight and sunlight change how they render colors depending on time of day and year, place, and weather. *Objects*. A material's surface properties such as gloss, transparency, haze, and more affect color perception. *Observers*. Different observers "see" colors differently due to aging, culture, defective color vision, personal experience, kind of observer (human, camera, lens, animal, etc.), and more. B. MacEvoy documents the **wide observer variation** even among people with normal color vision. For a detailed overview on phenomena involving human color vision, see section 9 of Kirk, R., "Standard Colour Spaces", **FilmLight** 

**Note:** The three things that together make color possible — *light*, *object*, and *observer* — can be modeled by curves that span the *visible spectrum* (the part of the electromagnetic spectrum in which light is "seen"), as described in the section "**Spectral Color Functions**".

#### 4.1 Human Color Vision

When a person views an object, the light it reflects reaches that person's eyes.

The human eye has an inner back lining (called the *retina*) filled with three kinds of *cones*, and each kind of cone is differently sensitive to light.

The human visual system compares the responses it receives from the cones and converts them to three kinds of signals, namely a light–dark signal and the two *opponent signals* red/green and blue/yellow. It's these signals, and not the cone responses, that are passed to the brain.<sup>9</sup>

The human brain interprets the signals from the eyes to judge color appearance, taking into account the visual situation. One process involved in this is called *adaptation*, in which the human visual system, roughly speaking, treats the brightest thing in the scene as "white" and mentally adjusts the rest of the colors it sees accordingly, to account for differences in lighting. Adaptation is thus similar to a digital camera's "auto white balance".

#### Notes:

- 1. The cone responses can be described by three overlapping "curves" that peak at different places in the visible spectrum in fact, two of these curves span the entire visible spectrum. As a result, at least two of the three kinds of cones will react to light, not just one by itself.
- Because there are three kinds of cones, three numbers are enough to uniquely identify a color humans can see — which is why many color spaces<sup>10</sup> are 3-dimensional, such as RGB or CIE XYZ spaces.

## 4.2 Defective and Animal Color Vision

**Defective color vision**, including so-called "colorblindness"<sup>11</sup>, can make certain kinds of light harder to distinguish than is the case with normal color vision.<sup>12</sup>

In addition to humans, many other animals possess color vision to a greater or lesser extent. As an extreme example, the **mantis shrimp**<sup>13</sup> has at least twelve different cone types, making its color vision considerably sharper than humans'.

# 5 Specifying Colors

A color can be specified in one of two ways:

- As a point in space, that is, as a small set of numbers (usually three numbers) showing where the color lies in a color space. This is what mostly happens in practice. Some color spaces include the following:
  - RGB color spaces describe proportions of "red", "green", and "blue" dots of light.

 $<sup>\</sup>textbf{Technical Note}, \ version\ 4.0,\ 2004-2018.\ \ https://www.filmlight.ltd.uk/support/documents/other/legacy\_tl.php$ 

<sup>&</sup>lt;sup>9</sup>For example, the light–dark signal is roughly the sum of the three cone responses. The theory of opponent colors is largely due to E. Hering's work and was reconciled with the three-cone theory around the mid-20th century (for example, through work by Hurvich and Jameson).

 $<sup>^{10}</sup> https://peteroupc.github.io/suppcolor.html \# Kinds\_of\_Color\_Spaces$ 

<sup>&</sup>lt;sup>11</sup>https://en.wikipedia.org/wiki/Color\_blindness

<sup>&</sup>lt;sup>12</sup>For information on how defective color vision can be simulated, see "Color Blindness Simulation Research", by "Jim".

<sup>&</sup>lt;sup>13</sup>https://en.wikipedia.org/wiki/Mantis\_shrimp

- HSV, HSL, and HWB color spaces transform RGB colors to make their presentation more intuitive, but are not perception-based.
- XYZ, CIELAB, and CIELUV color spaces are based on human color perception.
- CMYK color spaces are especially used to describe proportions of four specific kinds of ink.
- **Y** '  $\mathbf{C}_{B}\mathbf{C}_{R}$  is especially used in video encoding.
- As a *spectral curve*, which gives the behavior of light across the electromagnetic spectrum (see "Spectral Color Functions"). Colors given as spectral curves, unlike colors in RGB or other color spaces, have the advantage that they are not specific to a lighting condition, whereas colors in a given color space assume a specific lighting, viewing, or printing condition.

## 6 RGB Color Model

The **red-green-blue** (**RGB**) **color model** is the most commonly seen color model in mainstream computer programming. The RGB model is ideally based on the intensity that "red", "green", and "blue" dots of light should have in order to reproduce certain colors on display devices. <sup>14</sup> The RGB model is a cube with one vertex set to "black", the opposite vertex set to "white", and the remaining vertices set to "red", "green", "blue", "cyan", "yellow", and "magenta".

RGB colors. An RGB color consists of three components in the following order: "red", "green", "blue".

**RGBA colors.** Some RGB colors also contain a fourth component, called the *alpha component*, which ranges from fully transparent to fully opaque. Such RGB colors are called *RGBA colors* in this document. RGB colors without an alpha component are generally considered fully opaque.

**0-1 format.** In this document, an RGB or RGBA color is in the **0-1 format** if all its components are 0 or greater and 1 or less. This document understands all RGB and RGBA colors to be in this format unless noted otherwise.

## 6.1 RGB Color Spaces

There are many **RGB color spaces**, not just one, and they generally differ in their red, green, blue, and white points and in their color component transfer functions ("transfer functions"):

- Red, green, blue, and white points. These are what a given RGB color space considers "red", "green", "blue", and "white", that is, what that space associates with the RGB colors (1, 0, 0), (0, 1, 0), (0, 0, 1), and (1, 1, 1), respectively. (The first three points are commonly called "primaries".) Each of these points need not be an actual color (this is illustrated by the ACES2065-1 color space, for example). Examples of "primaries" are Rec. 601 (NTSC), Rec. 709, and DCI-P3. Examples of white points are the D50/2 and D65/2 white points.
- "Transfer function". This is a function used to convert, component by component, a so-called *linear* RGB color to an encoded RGB (R' G' B') color in the same color space. Examples include the sRGB transfer function given later; gamma functions such as  $c^{1/\gamma}$ , where c is the red, green, or blue component and  $\gamma$  is a positive number; and the PQ and HLG functions.

In general, the same three numbers, such as (1, 0.5, 0.3), identify a different-appearing RGB color in different RGB color spaces. In this document, the only RGB color space described in detail is **sRGB**. (Lindbloom)<sup>15</sup> contains further information on many RGB color spaces.

<sup>&</sup>lt;sup>14</sup>Although most color display devices in the past used three dots per pixel ("red", "green", and "blue"), this may hardly be the case today. Nowadays, recent display devices and luminaires are likely to use more than three dots per pixel — such as "red", "green", "blue", and "white", or RGBW — and ideally, color spaces following the RGBW color model, or similar color models, describe the intensity those dots should have in order to reproduce certain colors. Such color spaces, though, are not yet of practical interest to most programmers outside of hardware and driver development for solid-state lighting, luminaires, or display devices.

<sup>&</sup>lt;sup>15</sup>B. Lindbloom, "RGB Working Space Information".

- 1. In this document, all techniques involving RGB colors apply to such colors in linear or encoded form, unless noted otherwise.
- 2. In the TV and film industries, some RGB color spaces, including sRGB, belong in the category of so-called *standard dynamic range* (*SDR*) color spaces, while others cover a wider range of colors (*wide color gamut* or *WCG*), a wider "brightness" range (*high dynamic range* or *HDR*), or both. (Mano 2018)<sup>16</sup> contains an introduction to WCG/HDR images. See also Rep. 2390-4, a more advanced overview, from the International Telecommunication Union.
- 3. RGB colors encoded in images and video or specified in documents are usually 8-bpc or 10-bpc encoded RGB colors.

## 6.2 sRGB

Among RGB color spaces, one of the most popular is the sRGB color space. In sRGB—

- the red, green, and blue points were chosen to cover the range of colors displayed by typical cathoderay-tube displays (as in the high-definition standard Rec. 709<sup>17</sup>),
- the white point was chosen as the D65/2 white point, and
- the color component transfer function (implemented as SRGBFromLinear below) was based on the gamma encoding used for cathode-ray-tube monitors.

For background, see the **sRGB proposal**<sup>18</sup>, which recommends RGB image data in an unidentified RGB color space to be treated as sRGB.

The following methods convert colors between linear and encoded sRGB. (Note that the thresholds 0.0031308 and 0.4045 are those of IEC 61966-2-1, the official sRGB standard; the sRGB proposal has a different value for these thresholds.)

```
// Convert a color component from encoded to linear sRGB
// NOTE: This is not gamma decoding; it's similar to, but
// not exactly, c^2.2. This function was designed "to
// allow for invertability in integer math", according to
// the sRGB proposal.
METHOD SRGBToLinear(c)
// NOTE: Threshold here would more properly be
// 12.92 * 0.0031308 = 0.040449936, but 0.04045
 // is what the IEC standard uses
  if c <= 0.04045: return c / 12.92
 return pow((0.055 + c) / 1.055, 2.4)
END METHOD
// Convert a color component from linear to encoded sRGB
// NOTE: This is not gamma encoding; it's similar to, but
// not exactly, c^{(1/2.2)}.
METHOD SRGBFromLinear(c)
  if c <= 0.0031308: return 12.92 * c
  return pow(c, 1.0 / 2.4) * 1.055 - 0.055
END METHOD
// Convert a color from encoded to linear sRGB
METHOD SRGBToLinear3(c)
```

<sup>&</sup>lt;sup>16</sup>Mano, Y., et al. "Enhancing the Netflix UI Experience with HDR", Netflix Technology Blog, Medium.com, Sep. 24, 2018.

 $<sup>^{17} \</sup>rm https://en.wikipedia.org/wiki/Rec.\_709$ 

 $<sup>^{18} \</sup>rm https://www.w3.org/Graphics/Color/sRGB$ 

```
return [SRGBToLinear(c[0]), SRGBToLinear(c[1]), SRGBToLinear(c[2])]
END METHOD

// Convert a color from linear to encoded sRGB
METHOD SRGBFromLinear3(c)
   return [SRGBFromLinear(c[0]), SRGBFromLinear(c[1]), SRGBFromLinear(c[2])]
END METHOD
```

## 6.3 Representing RGB Colors

The following shows how linear or encoded RGB colors can be represented as integers or as text.

### 6.3.1 Binary Formats

RGB and RGBA colors are often expressed by packing their components as binary integers, as follows:

- RGB colors: With an RN-bit red component, a GN-bit green, and a BN-bit blue, resulting in an integer that's (RN + GN + BN) bits long.
- **RGBA colors:** With an RN-bit red component, a GN-bit green, a BN-bit blue, and an AN-bit alpha, resulting in an integer that's (RN + GN + BN + AN) bits long.

For both kinds of colors, the lowest value of each component is 0, and its highest value is  $2^B - 1$ , where B is that component's size in bits.

The following are examples of these formats:

- 5/6/5 RGB colors: As 16-bit integers (5 bits each for red and blue, and 6 bits for green).
- 5-bpc: As 15-bit integers (5 bpc [bits per color component] RGB).
- 8-bpc: As 24-bit integers (8 bpc RGB), or as 32-bit integers with an alpha component.
- 10-bpc: As 30-bit integers (10 bpc RGB), or as 40-bit integers with an alpha component.
- 16-bpc: As 48-bit integers (16 bpc RGB), or as 64-bit integers with an alpha component.

There are many ways to store RGB and RGBA colors in these formats as integers or as a sequence of 8-bit bytes. For example, the RGB color's components can be in "little endian" or "big endian" 8-bit byte order, or the order in which the color's components are packed into an integer can vary. This document does not seek to survey the RGB binary storage formats available.

The following pseudocode presents methods to convert RGB colors to and from different binary color formats (where RGB color integers are packed red/green/blue, in that order from lowest to highest bits):

```
METHOD round(x):
    if floor(x)<0.5: return floor(x)
    else: return ceil(b)
END METHOD

// Converts 0-1 format to N/N/N format as an integer.
METHOD ToNNN(rgb, scale)
    sm1 = scale - 1
    return round(rgb[2]*sm1) * scale * scale + round(rgb[1]*sm1) * scale +
        round(rgb[0]*sm1)
END METHOD

// Converts N/N/N integer format to 0-1 format
METHOD FromNNN(rgb, scale)
    sm1 = scale - 1
    r = rem(rgb, scale)</pre>
```

```
g = rem(floor(rgb / scale), scale)
  b = rem(floor(rgb / (scale * scale)), scale)
  return [ r / sm1, g / sm1, b / sm1]
END METHOD
METHOD To444(rgb): return ToNNN(rgb, 16)
METHOD To555(rgb): return ToNNN(rgb, 32)
METHOD To888(rgb): return ToNNN(rgb, 256)
METHOD To161616(rgb): return ToNNN(rgb, 65536)
METHOD From444(rgb): return FromNNN(rgb, 16)
METHOD From555(rgb): return FromNNN(rgb, 32)
METHOD From888(rgb): return FromNNN(rgb, 256)
METHOD From161616(rgb): return FromNNN(rgb, 65536)
METHOD To565(rgb)
  return round(rgb[2] * 31) * 32 * 64 + round(rgb[1] * 63) * 32 +
         round(rgb[0] * 31)
END METHOD
METHOD From 565 (rgb)
  r = rem(rgb, 32)
  g = rem(floor(rgb / 32.0), 64)
  b = rem(floor(rgb / (32.0 * 64.0)), 32)
  return [ r / 31.0, g / 63.0, b / 31.0]
END METHOD
```

#### 6.3.2 HTML Format and Other Text Formats

A color string in the **HTML color format** (also known as "hex" format), which expresses 8-bpc RGB colors as text strings, consists of the character "#", two base-16 (hexadecimal) digits<sup>19</sup> for the red component, two for the green, and two for the blue, in that order.

For example, #003F86 expresses the 8-bpc RGB color (0, 63, 134).

The following pseudocode presents methods to convert RGB colors to and from the HTML color format or the 3-digit variant described in note 1 to this section.

```
METHOD NumToHex(x)
   if hex < 0 or hex >= 16: return error
   hexlist=["0", "1", "2", "3", "4", "5", "6",
        "7", "8", "9", "A", "B", "C", "D", "E", "F"]
   return hexlist[x]
END METHOD

METHOD HexToNum(x)
   hexlist=["0", "1", "2", "3", "4", "5", "6",
        "7", "8", "9", "A", "B", "C", "D", "E", "F"]
   hexdown=["a", "b", "c", "d", "e", "f"]
   i = 0
   while i < 16
        if hexlist[i] == x: return i
        i = i + 1</pre>
```

 $<sup>^{19}</sup>$ The base-16 digits, in order, are 0 through 9, followed by A through F. The digits A through F can be uppercase or lowercase.

```
end
    i = 0
    while i < 6
            if hexdown[i] == x: return 10 + i
            i = i + 1
    end
    return -1
END METHOD
METHOD ColorToHtml(rgb)
   r = (rgb[0] * 255)
   g = (rgb[1] * 255)
   b = (rgb[2] * 255)
   if floor(r)<0.5: r=floor(r)</pre>
   else: r=ceil(r)
   if floor(g)<0.5: g=floor(g)</pre>
   else: g=ceil(g)
   if floor(b)<0.5: b=floor(b)</pre>
   else: b=ceil(b)
   return ["#",
     NumToHex(rem(floor(r/16),16)), NumToHex(rem(r, 16)),
     NumToHex(rem(floor(g/16),16)), NumToHex(rem(g, 16)),
     NumToHex(rem(floor(b/16),16)), NumToHex(rem(b, 16)),
END METHOD
METHOD HtmlToColor(colorString)
    if string[0]!="#": return error
    if size(colorString)==7
            r1=HexToNum(colorString[1])
            r2=HexToNum(colorString[2])
            g1=HexToNum(colorString[3])
            g2=HexToNum(colorString[4])
            b1=HexToNum(colorString[5])
            b2=HexToNum(colorString[6])
            if r1<0 or r2<0 or g1<0 or g2<0 or
                    b1<0 or b2<0: return error
            return [(r1*16+r2)/255.0,
                     (g1*16+g2)/255.0,
                     (b1*16+b2)/255.0]
    end
    if size(colorString)==4
            r=HexToNum(colorString[1])
            g=HexToNum(colorString[2])
            b=HexToNum(colorString[3])
            if r<0 or g<0 or b<0: return error
            return [(r*16+r)/255.0,
                     (g*16+g)/255.0,
                     (b*16+b)/255.0
    end
    return error
END METHOD
```

Other text-based color formats include the following<sup>20</sup>:

- The CSS Color Module Level 3<sup>21</sup>, which specifies this format, also mentions a 3-digit variant, consisting of "#" followed by three base-16 digits, one each for the red, green, and blue components, in that order. Conversion to the 6-digit format involves replicating each base-16 component (for example, "#345" is the same as "#334455" in the 6-digit format).
- An 8-digit variant used in the Android operating system consists of "#" followed by eight base-16 digits, two each for the alpha, red, green, and blue components, in that order. This variant thus describes 8-bpc RGBA colors.
- Additional formats are given in the supplemental color topics<sup>22</sup>.

**Note:** As used in the **CSS Color Module Level 3**, for example, colors in the HTML color format or its 3-digit variant are in the *sRGB color space* (as encoded RGB colors).

## 7 Transformations of RGB Colors

The following sections discuss popular color models for transforming RGB colors. The exact appearance of colors in these models varies by **RGB color space**.

#### 7.1 HSV

HSV<sup>23</sup> (also known as HSB) is a color model that transforms RGB colors to make them easier to manipulate and reason with. An HSV color consists of three components, in the following order:

- $\mathit{Hue}$  is an angle from red at 0 to yellow to green to cyan to blue to magenta to red.  $^{24}$
- A component called "saturation", the distance of the color from gray and white (but not necessarily from black), is 0 or greater and 1 or less.
- A component variously called "value" or "brightness" is the distance of the color from black and is 0 or greater and 1 or less.

The following pseudocode converts colors between RGB and HSV. The transformation is independent of RGB color space, but should be done using  $linear\ RGB$  colors.

 $<sup>^{20}</sup>$ The hue angle is in radians, and the angle is 0 or greater and less than 2  $\pi$ . Radians can be converted to degrees by multiplying by 180 / pi. Degrees can be converted to radians by multiplying by pi / 180.

<sup>&</sup>lt;sup>21</sup>https://www.w3.org/TR/css3-color/#rgb-color

 $<sup>^{22}</sup> https://peteroupc.github.io/suppcolor.html\#Additional\_Color\_Formats$ 

 $<sup>^{23}</sup>$ https://en.wikipedia.org/wiki/HSL\_and\_HSV

 $<sup>^{24}</sup>$ The hue angle is in radians, and the angle is 0 or greater and less than 2  $\pi$ . Radians can be converted to degrees by multiplying by 180 / pi. Degrees can be converted to radians by multiplying by pi / 180.

```
end
    if h < 0: h = 6 - rem(-h, 6)
    if h \ge 6: h = rem(h, 6)
    return [h * (pi / 3), s, mx]
END METHOD
METHOD HsvToRgb(hsv)
    hue=hsv[0]
    sat=hsv[1]
    val=hsv[2]
    if hue < 0: hue = pi * 2 - rem(-hue, pi * 2)
    if hue >= pi * 2: hue = rem(hue, pi * 2)
    hue60 = hue * 3 / pi
    hi = floor(hue60)
    f = hue60 - hi
    c = val * (1 - sat)
    a = val * (1 - sat * f)
    e = val * (1 - sat * (1 - f))
    if hi == 0: return [val, e, c]
    if hi == 1: return [a, val, c]
    if hi == 2: return [c, val, e]
    if hi == 3: return [c, a, val]
    if hi == 4: return [e, c, val]
    return [val, c, a]
END METHOD
```

Note: The HSV color model is not perception-based, as the HWB article acknowledges<sup>25</sup>.

## 7.2 HSL

**HSL**<sup>26</sup> (also known as HLS), like HSV, is a color model that transforms RGB colors to ease intuition. An HSL color consists of three components, in the following order:

- Hue is the same for a given RGB color as in **HSV**.
- A component called "saturation" is the distance of the color from gray (but not necessarily from black or white), which is 0 or greater and 1 or less.
- A component variously called "lightness", "luminance", or "luminosity", is roughly the amount of black or white mixed with the color and is 0 or greater and 1 or less, where 0 is black, 1 is white, closer to 0 means closer to black, and closer to 1 means closer to white.

The following pseudocode converts colors between RGB and HSL. The transformation is independent of RGB color space, but should be done using  $linear\ RGB$  colors.

```
METHOD RgbToHsl(rgb)
```

```
vmax = max(max(rgb[0], rgb[1]), rgb[2])
vmin = min(min(rgb[0], rgb[1]), rgb[2])
vadd = vmax + vmin
// NOTE: "Lightness" is the midpoint between
// the greatest and least RGB component
lt = vadd / 2.0
if vmax==vmin: return [0, 0, lt]
```

 $<sup>^{25}</sup>$ Smith, A.R. and Lyons, E.R., 1996. HWB—A more intuitive hue-based color model. Journal of graphics tools, 1(1), pp. 3-17.

<sup>&</sup>lt;sup>26</sup>https://en.wikipedia.org/wiki/HSL\_and\_HSV

```
vd = vmax - vmin
   divisor = vadd
   if lt > 0.5: divisor = 2.0 - vadd
   s = vd / divisor
   h = 0
   hvd = vd / 2.0
   deg60 = pi / 3
   if rgb[0] == vmax
            h=((vmax-rgb[2])*deg60 + hvd) / vd
            h = h - ((vmax-rgb[1])*deg60+hvd) / vd
   else if rgb[2] == vmax
            h=pi * 4 / 3 + ((vmax-rgb[1])*deg60 + hvd) / vd
            h = h - ((vmax-rgb[0])*deg60+hvd) / vd
   else
            h=pi * 2 / 3 + ((vmax-rgb[0])*deg60 + hvd) / vd
            h = h - ((vmax-rgb[2])*deg60+hvd) / vd
    if h < 0: h = pi * 2 - rem(-h, pi * 2)
    if h \ge pi * 2: h = rem(h, pi * 2)
   return [h, s, lt]
END METHOD
METHOD HslToRgb(hsl)
   if hsl[1]==0: return [hsl[2],hsl[2],hsl[2]]
   lum = hsl[2]
   sat = hsl[1]
   bb = 0
   if lum \le 0.5: bb = lum * (1.0 + sat)
   if lum > 0.5: bb = lum + sat - (lum * sat)
   a = lum * 2 - bb
   hueval = hsl[0]
   if hueval < 0: hueval = pi * 2 - rem(-hueval, pi * 2)
   if hueval >= pi * 2: hueval = rem(hueval, pi * 2)
   deg60 = pi / 3
   deg240 = pi * 4 / 3
   hue = hueval + pi * 2 / 3
   hue2 = hueval - pi * 2 / 3
   if hue >= pi * 2: hue = hue - pi * 2
   if hues2 < 0: hues2 = hues2 + pi * 2
   rgb = [a, a, a]
   hues = [hue, hueval, hue2]
    i = 0
   while i < 3
       if hues[i] < deg60: rgb[i] = a + (bb - a) * hues[i] / deg60
       else if hues[i] < pi: rgb[i] = bb</pre>
       else if hues[i] < deg240
            rgb[i] = a + (bb - a) * (deg240 - hues[i]) / deg60
       end
       i = i + 1
    end
   return rgb
END METHOD
```

- In some applications and specifications, especially where this color model is called HLS, the HSL color's "lightness" component comes before "saturation". This is not the case in this document, though.
- The HSL color model is not perception-based, as the HWB article acknowledges<sup>27</sup>.

#### 7.3 HWB

In 1996, the HWB model, which seeks to be more intuitive than HSV or HSL, was published<sup>28</sup>. An HWB color consists of three components in the following order:

- *Hue* is the same for a given RGB color as in **HSV**.
- Whiteness, the amount of white mixed to the color, is 0 or greater and 1 or less.
- Blackness, the amount of black mixed to the color, is 0 or greater and 1 or less.

The conversions given below are independent of RGB color space, but should be done using  $linear\ RGB$  colors.

- To convert an RGB color color to HWB, generate [RgbToHsv(color)[0], min(min(color[0], color[1]), color[2]), 1 max(max(color[0], color[1]), color[2])].
- To convert an HWB color hwb to RGB, generate HsvToRgb([hwb[0], 1 hwb[1]/(1-hwb[2]), 1 hwb[2]]) if hwb[2] < 1, or [hwb[0], 0, 0] otherwise.

Note: The HWB color model is not perception-based, as the HWB article acknowledges<sup>29</sup>.

## 7.4 Y $^{\prime}$ C $_{B}$ C $_{R}$ and Other Video Color Formats

An RGB color can be transformed to a specialized form to improve image and video encoding.

 $\mathbf{Y}' \mathbf{C}_B \mathbf{C}_R^{30}$  (also known as YCbCr, YCrCb, or Y 'CrCb) is a family of color formats designed for this purpose. A Y 'C<sub>B</sub>C<sub>R</sub> color consists of three components in the following order:

- Y', or luma, expresses an approximate "brightness". 31
- $C_B$ , or blue chroma, is based on the difference between blue and luma.
- $C_R$ , or red chroma, is, based on the difference between red and luma.

The following pseudocode is an approximate conversion between RGB and Y  $^{\prime}$  C $_{B}$ C $_{R}$  (an approximation because the factors in the pseudocode are rounded off to a limited number of decimal places). There are three variants shown here, namely—

- the Rec. 601 variant (for standard-definition digital video), as the YCbCrToRgb601 and RgbToYCbCr601 methods,
- the Rec. 709 variant (for high-definition video), as the YCbCrToRgb709 and RgbToYCbCr709 methods,
- the JPEG File Interchange Format<sup>32</sup> variant, as the YCbCrToRgbJpeg and RgbToYCbCrJpeg methods.

 $<sup>^{27}</sup>$ Smith, A.R. and Lyons, E.R., 1996. HWB—A more intuitive hue-based color model. Journal of graphics tools, 1(1), pp. 3-17.

<sup>&</sup>lt;sup>28</sup>Smith, A.R. and Lyons, E.R., 1996. HWB—A more intuitive hue-based color model. Journal of graphics tools, 1(1), pp. 3-17.

<sup>&</sup>lt;sup>29</sup>Smith, A.R. and Lyons, E.R., 1996. HWB—A more intuitive hue-based color model. Journal of graphics tools, 1(1), pp. 3-17.

<sup>&</sup>lt;sup>30</sup>https://en.wikipedia.org/wiki/YCbCr

<sup>&</sup>lt;sup>31</sup>The prime symbol appears near Y because the conversion from RGB usually involves **encoded RGB colors**, so that Y ′ (luma) is not the same as luminance (Y). (See C. Poynton, "YUV and luminance considered harmful".) However, that symbol is left out in function names and other names in the pseudocode for convenience only.

<sup>&</sup>lt;sup>32</sup>https://www.w3.org/Graphics/JPEG/jfif3.pdf

The Y '  $C_BC_R$  transformation is independent of RGB color space, but the three variants given above should use **encoded RGB** colors rather than *linear RGB* colors.

```
// NOTE: Derived from scaled YPbPr using red/green/blue luminance factors
// in the NTSC color space
METHOD RgbToYCbCr601(rgb)
    y = (16.0/255.0 + rgb[0] * 0.25678824 + rgb[1] * 0.50412941 + rgb[2] * 0.097905882)
    cb = (128.0/255.0-rgb[0]*0.1482229-rgb[1]*0.29099279+rgb[2]*0.43921569)
    \mathtt{cr} = (128.0/255.0 + \mathtt{rgb}[0] * 0.43921569 - \mathtt{rgb}[1] * 0.36778831 - \mathtt{rgb}[2] * 0.071427373)
    return [y, cb, cr]
END METHOD
// NOTE: Derived from scaled YPbPr using red/green/blue Rec. 709 luminance factors
METHOD RgbToYCbCr709(rgb)
    y = (0.06200706*rgb[2] + 0.6142306*rgb[1] + 0.1825859*rgb[0] + 16.0/255.0)
    cb = (0.4392157*rgb[2] - 0.338572*rgb[1] - 0.1006437*rgb[0] + 128.0/255.0)
    cr = (-0.04027352*rgb[2] - 0.3989422*rgb[1] + 0.4392157*rgb[0] + 128.0/255.0)
    return [y, cb, cr]
END METHOD
// NOTE: Derived from unscaled YPbPr using red/green/blue luminance factors
// in the NTSC color space
METHOD RgbToYCbCrJpeg(rgb)
    y = (0.299*rgb[0] + 0.587*rgb[1] + 0.114*rgb[2])
    cb = (-0.1687359*rgb[0] - 0.3312641*rgb[1] + 0.5*rgb[2] + 128.0/255.0)
    cr = (0.5*rgb[0] - 0.4186876*rgb[1] - 0.08131241*rgb[2] + 128.0/255.0)
    return [y, cb, cr]
END METHOD
METHOD YCbCrToRgb601(yCbCr)
    cb = yCbCr[1] - 128/255.0
    cr = yCbCr[2] - 128/255.0
    yp = 1.1643836 * (yCbCr[0] - 16/255.0)
    r = yp + 1.5960268 * cr
    g = yp - 0.39176229 * cb - 0.81296765 * cr
    b = yp + 2.0172321 * cb
    return [min(max(r,0),1),min(max(g,0),1),min(max(b,0),1)]
END METHOD
METHOD YCbCrToRgb709(yCbCr)
    cb = yCbCr[1] - 128/255.0
    cr = yCbCr[2] - 128/255.0
    yp = 1.1643836 * (yCbCr[0] - 16/255.0)
    r = yp + 1.7927411 * cr
    g = yp - 0.21324861 * cb - 0.53290933 * cr
    b = yp + 2.1124018 * cb
    return [\min(\max(r,0),1),\min(\max(g,0),1),\min(\max(b,0),1)]
END METHOD
METHOD YCbCrToRgbJpeg(yCbCr)
    cb = yCbCr[1] - 128/255.0
    cr = yCbCr[2] - 128/255.0
```

```
yp = yCbCr[0]
r = yp + 1.402 * cr
g = yp - 0.34413629 * cb - 0.71413629 * cr
b = yp + 1.772 * cb
return [min(max(r,0),1),min(max(g,0),1),min(max(b,0),1)]
END METHOD
```

- 1. This document does not seek to survey the various ways in which Y '  $C_BC_R$  and similar colors are built up into pixels in images and video. In general, such ways take into account the human eye's normally greater spatial sensitivity to luminance (Y, as approximated, for example, by Y ', luma) than chromatic sensitivity (for example,  $C_B$ ,  $C_R$ ).
- 2. Other video color formats include "BT.2020 constant luminance", in **Rec. 2020**<sup>33</sup>, and  $IC_TC_P$ , mentioned in Rep. 2390-4 and detailed in a **Dolby white paper**<sup>34</sup>.

## 8 Other Color Models

The following sections discuss other color models of practical interest.

#### 8.1 CIE XYZ

The CIE 1931 standard colorimetric system<sup>35</sup> (called the *XYZ color model* in this document) describes a transformation of a spectral curve into a point in three-dimensional space, as further explained in "Spectral Color Functions". An XYZ color consists of three components, in the following order:

- X is a component without special meaning.
- Y is related to the color's *luminance*.
- Z is a component without special meaning.

Conventions for XYZ colors include the following:

- **Absolute XYZ.** In this convention, the Y component represents an absolute *luminance* in candelas per square meter (cd/m<sup>2</sup>).
- Relative XYZ. In this convention, the three components are divided by the luminance of a given white point. In this case, the Y component represents a *luminance factor*; the white point has a luminance factor of 1.<sup>36</sup> (In sRGB, the white point's luminance is 80 cd/m<sup>2</sup>.)

The conversion between RGB and XYZ varies by **RGB color space**. For example, the pseudocode below shows two methods that convert a color between **encoded sRGB** (rgb) and relative XYZ:

- For XYZFromsRGB(rgb) and XYZTosRGB(xyz), the white point is the D65/2 white point.
- For XYZFromsRGBD50(rgb) and XYZTosRGBD50(xyz), the white point is the D50/2 white point<sup>37</sup>.

Both methods are approximate conversions because the factors in the pseudocode are rounded off to a limited number of decimal places.

<sup>&</sup>lt;sup>33</sup>https://en.wikipedia.org/wiki/Rec.\_2020

<sup>&</sup>lt;sup>34</sup>https://www.dolby.com/us/en/technologies/dolby-vision/ICtCp-white-paper.pdf

 $<sup>^{35} \</sup>rm https://en.wikipedia.org/wiki/CIE\_1931\_color\_space$ 

<sup>&</sup>lt;sup>36</sup>In interior and architectural design, the luminance factor multiplied by 100 is also known as light reflectance value (LRV).

<sup>&</sup>lt;sup>37</sup>Although the D65/2 white point is the usual one for sRGB, another white point may be more convenient in the following cases, among others: - Using the white point [0.9642, 1, 0.8249] can improve interoperability with applications color-managed with International Color Consortium (ICC) version 2 or 4 profiles (this corresponds to the D50/2 white point given in CIE Publication 15 before it was corrected). - The printing industry uses the D50 illuminant for historical reasons (see A. Kraushaar, "Why the printing industry is not using D65?", 2009). https://lists.w3.org/Archives/Public/public-colorweb/2018Apr/0003.html https://fogra.org/plugin.php?menuid=125&template=mv/templates/mv\_show\_front.html&mv\_id=10&extern\_meta=x&mv\_content\_id=140332&getlang=en

```
// Applies a 3× 3 matrix transformation
METHOD Apply3x3Matrix(xyz, xyzmatrix)
   r=xyz[0]*xyzmatrix[0]+xyz[1]*xyzmatrix[1]+xyz[2]*xyzmatrix[2]
    g=xyz[0]*xyzmatrix[3]+xyz[1]*xyzmatrix[4]+xyz[2]*xyzmatrix[5]
   b=xyz[0]*xyzmatrix[6]+xyz[1]*xyzmatrix[7]+xyz[2]*xyzmatrix[8]
    return [r,g,b]
END METHOD
METHOD XYZFromsRGBD50(rgb)
   lin=SRGBToLinear3(rgb)
    // D65/2 sRGB matrix adapted to D50/2
   return Apply3x3Matrix(lin, [
       0.436027535573195, 0.385097932872408, 0.143074531554397,
       0.222478677613186, 0.716902127457834, 0.0606191949289806,
       0.0139242392790820, 0.0970836931437703, 0.714092067577148])
END METHOD
METHOD XYZTosRGBD50(xyz)
   // D65/2 sRGB matrix adapted to D50/2
   rgb=Apply3x3Matrix(xyz, [
       3.13424933163426, -1.61717292521282, -0.490692377104512,
       -0.978746070339639, 1.91611436125945, 0.0334415219513205,
       0.0719490494816283, -0.228969853236611, 1.40540126012171])
    return SRGBFromLinear3(rgb)
END METHOD
METHOD XYZFromsRGB(rgb)
   lin=SRGBToLinear3(rgb)
    // D65/2 sRGB matrix
   return Apply3x3Matrix(lin, [
      0.4123907992659591, 0.35758433938387796, 0.18048078840183424
       0.21263900587151016, 0.7151686787677559, 0.0721923153607337
       0.01933081871559181, 0.11919477979462596, 0.9505321522496605])
END METHOD
METHOD XYZTosRGB(xyz)
    // D65/2 sRGB matrix
   rgb=Apply3x3Matrix(xyz, [
       3.2409699419045235, -1.5373831775700944, -0.49861076029300355,
        -0.9692436362808797, 1.8759675015077204, 0.0415550574071756,
        0.05563007969699365, -0.20397695888897652, 1.0569715142428786])
    return SRGBFromLinear3(rgb)
END METHOD
```

- 1. In the pseudocode just given,  $3\times3$  matrices are used to transform a linear RGB color to or from XYZ form (see "Conversion Matrices Between XYZ and RGB").
- 2. XYZTosRGB and XYZTosRGBD50 can return sRGB colors with components less than 0 or greater than 1, to make out-of-range XYZ colors easier to identify. If that is not desired, then the sRGB color can be converted to an in-range one. There are many such gamut

mapping conversions; for example, one such conversion involves clamping each component of the sRGB color using the idiom min(max(compo,0), 1), where compo is that component.

- 3. XYZ colors that have undergone black point compensation (see also ISO 18619) can be expressed as Lerp3(wpoint, xyz, (1.0 blackDest) / (1.0 blackSrc)), where—
  - wpoint is the white point as an absolute or relative XYZ color,
  - xyz is a relative XYZ color (relative to wpoint), and
  - blackSrc and blackDest are the luminance factors of the source and destination black points.

## 8.1.1 Encoding XYZ Through RGB

The following summarizes the transformations needed to convert a color from (relative) XYZ through RGB to an encoding form suitable for images or video.

- 1. An XYZ-to-linear-RGB transform. This is usually a **matrix** generated using the **RGB color space**'s red, green, blue, and white points, but can also include a *chromatic adaptation transform*<sup>38</sup> if the XYZ and RGB color spaces use different white points (see the XYZFromsRGBD50 and XYZTosRGBD50 methods above)<sup>39</sup>.
- 2. A linear-to-encoded-RGB transform. This is the RGB color space's "transfer function". This can be left out if linear RGB colors are desired.
- 3. A pixel encoding transform. This transforms the RGB color into Y  $^{\prime}$   $C_BC_R$  or another form. This can be left out.
- 4. The final color form is serialized into a binary, text, or other representation (see also "Representing RGB Colors").

The corresponding conversions to XYZ are then the inverse of the conversions just given.

### 8.1.2 Conversion Matrices Between XYZ and RGB

The following methods calculate a  $3\times3$  matrix to convert from a linear RGB color to XYZ form (RGBToXYZMatrix) and back (XYZToRGBMatrix), given the RGB color space's red, green, blue, and white points. Each point is expressed as a relative XYZ color with arbitrary X and Z components and a Y component of 1. For example, xr and zr are the red point's X and Z components, respectively. See **brucelindbloom.com** for more information.

```
METHOD RGBToXYZMatrix(xr,zr,xg,zg,xb,zb,xw,zw)
s1=(xb*zg - xb*zw - xg*zb + xg*zw + xw*zb - xw*zg)
s2=(xb*zg - xb*zr - xg*zb + xg*zr + xr*zb - xr*zg)
s3=(-xb*zr + xb*zw + xr*zb - xr*zw - xw*zb + xw*zr)
sz=(-xr*(zg - zr) + xw*(zg - zr) + zr*(xg - xr) -
    zw*(xg - xr)) /
    ((xb - xr)*(zg - zr) - (xg - xr)*(zb - zr))
sx=s1/s2
sy=s3/s2
return [xr*sx,xg*sy,xb*sz,sx,sy,sz,zr*sx,zg*sy,zb*sz]
END METHOD

METHOD XYZToRGBMatrix(xr,zr,xg,zg,xb,zb,xw,zw)
// NOTE: Inverse of RGBToXYZMatrix
d1=(xb*zg - xb*zw - xg*zb + xg*zw + xw*zb - xw*zg)
```

 $<sup>^{38} \</sup>rm https://en.wikipedia.org/wiki/Chromatic\_adaptation$ 

<sup>&</sup>lt;sup>39</sup>Chromatic adaptation transforms include linear Bradford transformations, but are not further detailed in this document. (See also E. Stone, "The Luminance of an sRGB Color", 2013.) https://ninedegreesbelow.com/photography/srgb-luminance.html

```
d2=(xb*zr - xb*zw - xr*zb + xr*zw + xw*zb - xw*zr)
d3=(xg*zr - xg*zw - xr*zg + xr*zw + xw*zg - xw*zr)
return [(zb - zg)/d1,(xb*zg - xg*zb)/d1,
  (-xb + xg)/d1, (zb - zr)/d2,
  (xb*zr - xr*zb)/d2,(-xb + xr)/d2,
  (zg - zr)/d3,(xg*zr - xr*zg)/d3,
  (-xg + xr)/d3]
END METHOD
```

#### 8.1.3 Chromaticity Coordinates

The chromaticity coordinates x, y, and z are each the ratios of the corresponding component of an XYZ color to the sum of those components; therefore, those three coordinates sum to 1.<sup>40</sup> "xyY" form consists of x then y then the Y component of an XYZ color. "Yxy" form consists of the Y component then x then y of an XYZ color.

The CIE 1976 uniform chromaticity scale diagram is drawn using coordinates u' and v'. <sup>41</sup> "u'v'Y" form consists of u' then v' then the Y component of an XYZ color. "Yu'v'" form consists of the Y component then u' then v' of an XYZ color.

In the following pseudocode, XYZToxyY and XYZFromxyY convert XYZ colors to and from their "xyY" form, respectively, and XYZFromuvY convert XYZ colors to and from their "u  $^\prime$  v  $^\prime$  Y" form, respectively.

```
METHOD XYZToxyY(xyz)
        sum=xyz[0]+xyz[1]+xyz[2]
        if sum==0: return [0,0,0]
        return [xyz[0]/sum, xyz[1]/sum, xyz[1]]
END METHOD
METHOD XYZFromxyY(xyy)
        // NOTE: Results undefined if xyy[1] == 0
        return [xyy[0]*xyy[2]/xyy[1], xyy[2], xyy[2]*(1 - xyy[0] - xyy[1])/xyy[1]]
END METHOD
METHOD XYZTouvY(xyz)
        sum = xyz[0] + xyz[1] *15.0 + xyz[2] *3.0
        if sum==0: return [0,0,0]
        return [4.0*xyz[0]/sum,9.0*xyz[1]/sum,xyz[1]]
END METHOD
METHOD XYZFromuvY(uvy)
        // NOTE: Results undefined if uvy[1]==0
        su=uvy[2]/(uvy[1]/9.0)
        x=u*su/4.0
        z=(su/3.0)-(x/3.0)-5.0*uvy[2]
        return [x,uvy[2],z]
END METHOD
```

 $<sup>^{40}</sup>$ Chromaticity coordinates can be defined for any three-dimensional Cartesian color space, not just XYZ (for example, (r, g, b) chromaticity coordinates for RGB). Such coordinates are calculated analogously to (x, y, z) coordinates.

<sup>&</sup>lt;sup>41</sup>CIE Technical Note 001:2014 says the chromaticity difference  $(\Delta u \ ' \ v \ ')$  should be calculated as the Euclidean distance between two  $u \ ' \ v \ '$  pairs and that a chromaticity difference of 0.0013 is just noticeable "at 50% probability". (u, v) coordinates, a former 1960 version of  $u \ '$  and  $v \ '$ , are found by taking u as  $u \ '$  and v as  $(v \ ' \ ^2.0 \ / \ ^3)$ .

#### 8.2 CIELAB

CIELAB<sup>42</sup> (also known as CIE  $L^*a^*b^*$  or CIE 1976  $L^*a^*b^*$ ) is a three-dimensional color model designed for color comparisons.<sup>43</sup> In general, CIELAB color spaces differ in their white points.

A color in CIELAB consists of three components, in the following order:

- L\*, or lightness of a color (how bright that color appears in comparison to white), is 0 or greater and 100 or less, where 0 is black and 100 is white.
- $a^*$  is a coordinate of the red/green axis (positive points to red, negative to green).
- b\* is a coordinate of the yellow/blue axis (positive points to yellow, negative to blue). 44

 $L^*C^*h$  form expresses CIELAB colors as cylindrical coordinates; the three components have the following order:

- Lightness  $(L^*)$  remains unchanged.
- Chroma  $(C^*)$  is the distance of the color from the "gray" line. 45
- $Hue(h, an angle)^{46}$  ranges from magenta at roughly 0 to red to yellow to green to cyan to blue to magenta.

In the following pseudocode:

- The following methods convert an **encoded sRGB** color to and from CIELAB:
  - SRGBToLab and SRGBFromLab treat white as the D65/2 white point.
  - SRGBToLabD50 and SRGBFromLabD50 treat white as the D50/2 white point. 47

Both methods are approximate conversions because the values in the pseudocode are rounded off to a limited number of decimal places.

- XYZToLab(xyz, wpoint) and LabToXYZ(lab, wpoint) convert an XYZ color to or from CIELAB, respectively, treating wpoint (an XYZ color) as the white point.
- LabToChroma(lab) and LabToHue(lab) find a CIELAB color's chroma or hue, respectively.
- LchToLab(1ch) finds a CIELAB color given a 3-item list of lightness, chroma, and hue  $(L^*C^*h)$ , in that order.
- LabHueDifference (lab1, lab2) finds the metric hue difference ( $\Delta H^*$ ) between two CIELAB colors. The return value can be positive or negative, but in some cases, the absolute value of that return value can be important.
- LabChromaHueDifference(lab1, lab2) finds the *chromaticness difference* ( $\Delta C$ h) between two CIELAB colors, as given, for example, in ISO 13655.

 $<sup>^{42} \</sup>rm https://en.wikipedia.org/wiki/Lab\_color\_space$ 

<sup>&</sup>lt;sup>43</sup>Although the CIELAB color model is also often called "perceptually uniform"— - CIELAB "was not designed to have the perceptual qualities needed for gamut mapping", according to **B. Lindbloom**, and - such a claim "is really only the case for very low spatial frequencies", according to P. Kovesi (P. Kovesi, "Good Colour Maps: How to Design Them", arXiv:1509.03700 [cs.GR], 2015). https://arxiv.org/abs/1509.03700

<sup>&</sup>lt;sup>44</sup>The placement of the  $L^*$ ,  $a^*$ , and  $b^*$  axes is related to the light–dark signal and the two opponent signals red/green and blue/yellow. See also endnote 6.

<sup>&</sup>lt;sup>45</sup>The terms *lightness* and *chroma* are relative to an area appearing white. The corresponding terms *brightness* and *saturation*, respectively, are subjective terms: *brightness* is the perceived degree of reflected or emitted light, and *saturation* is the perceived hue strength (*colorfulness*) of an area in proportion to its brightness. (See also the CIE's International Lighting Vocabulary.) CIELAB has no formal saturation formula, however (see the Wikipedia article on **colorfulness**). https://en.wikipedia.org/wiki/Colorfulness

 $<sup>^{46}</sup>$ The hue angle is in radians, and the angle is 0 or greater and less than 2  $\pi$ . Radians can be converted to degrees by multiplying by 180 / pi. Degrees can be converted to radians by multiplying by pi / 180.

<sup>&</sup>lt;sup>47</sup>Although the D65/2 white point is the usual one for sRGB, another white point may be more convenient in the following cases, among others: - Using the white point [0.9642, 1, 0.8249] can improve interoperability with applications color-managed with International Color Consortium (ICC) version 2 or 4 profiles (this corresponds to the D50/2 white point given in CIE Publication 15 before it was corrected). - The printing industry uses the D50 illuminant for historical reasons (see A. Kraushaar, "Why the printing industry is not using D65?", 2009). https://lists.w3.org/Archives/Public/public-colorweb/2018Apr/0003.html https://fogra.org/plugin.php?menuid=125&template=mv/templates/mv\_show\_front.html&m v id=10&extern meta=x&mv content id=140332&getlang=en

```
METHOD XYZToLab(xyzval, wpoint)
   xyz=[xyzval[0]/wpoint[0],xyzval[1]/wpoint[1],xyzval[2]/wpoint[2]]
    i = 0
    while i < 3
       if xyz[i] > 216.0 / 24389 // See BruceLindbloom.com
          xyz[i] = pow(xyz[i], 1.0/3.0)
       else
           kappa=24389.0/27 // See BruceLindbloom.com
           xyz[i]=(16.0 + kappa*xyz[i]) / 116
       end
       i=i+1
    end
    return [116.0*xyz[1] - 16,
        500 * (xyz[0] - xyz[1]),
        200 * (xyz[1] - xyz[2])]
END METHOD
METHOD LabToXYZ(lab,wpoint)
   fy=(lab[0]+16)/116.0
   fx=fy+lab[1]/500.0
   fz=fy-lab[2]/200.0
   fxcb=fx*fx*fx
   fzcb=fz*fz*fz
   xyz=[fxcb, 0, fzcb]
    eps=216.0/24389 // See BruceLindbloom.com
    if fxcb \leq eps: xyz[0]=(108.0*fx/841)-432.0/24389
    if fzcb \leq eps: xyz[2]=(108.0*fz/841)-432.0/24389
   if lab[0] > 8 // See BruceLindbloom.com
            xyz[1] = pow(((lab[0]+16)/116.0), 3.0)
    else
            xyz[1]=lab[0]*27.0/24389 // See BruceLindbloom.com
    end
   xyz[0]=xyz[0]*wpoint[0]
   xyz[1]=xyz[1]*wpoint[1]
   xyz[2]=xyz[2]*wpoint[2]
   return xyz
END METHOD
METHOD SRGBToLab(rgb)
   return XYZToLab(XYZFromsRGB(rgb),
      [0.9504559270516716, 1, 1.0890577507598784])
END METHOD
METHOD SRGBFromLab(lab)
   return XYZTosRGB(LabToXYZ(lab,
      [0.9504559270516716, 1, 1.0890577507598784]))
END METHOD
METHOD SRGBToLabD50(rgb)
   return XYZToLab(XYZFromsRGBD50(rgb), [0.9642, 1, 0.8251])
END METHOD
```

```
METHOD SRGBFromLabD50(lab)
    return XYZTosRGBD50(LabToXYZ(lab, [0.9642, 1, 0.8251]))
END METHOD
  // -- Derived values from CIELAB colors
METHOD LabToChroma(lab)
        return sqrt(lab[1]*lab[1] + lab[2]*lab[2])
END METHOD
    METHOD LabToHue(lab)
            h = atan2(lab[2], lab[1])
            if h < 0: h = h + pi * 2
            return h
    END METHOD
    METHOD LchToLab(1ch)
            return [lch[0], lch[1] * cos(lch[2]), lch[1] * sin(lch[2])]
    END METHOD
METHOD LabHueDifference(lab1, lab2)
  cmul=LabToChroma(lab1)*LabToChroma(lab2)
  h2=LabToHue(lab2)
  h1=LabToHue(lab1)
  hdiff=h2-h1
  if abs(hdiff)>pi
        if h2<=h1: hdiff=hdiff+math.pi*2</pre>
        else: hdiff=hdiff-math.pi*2
  end
  return sqrt(cmul)*sin(hdiff*0.5)*2
END METHOD
METHOD LabChromaHueDifference(lab1, lab2)
            da=lab1[1]-lab2[1]
            db=lab1[2]-lab2[2]
            return sqrt(da*da+db*db)
    END METHOD
```

**Note:** The difference in lightness,  $a^*$ ,  $b^*$ , or chroma ( $\Delta L^*$ ,  $\Delta a^*$ ,  $\Delta b^*$ , or  $\Delta C^*$ , respectively) between two CIELAB colors is simply the difference between the corresponding value of the second CIELAB color and that of the first.

#### 8.3 CIELUV

CIELUV (also known as CIE  $L^*u^*v^*$  or CIE 1976  $L^*u^*v^*$ ) is a second color model designed for color comparisons. A CIELUV color has three components, namely,  $L^*$ , or *lightness* (which is the same as in CIELAB),  $u^*$ , and  $v^*$ , in that order. As **B. MacEvoy explains**, "CIELUV represents the additive mixture of two lights as a straight line", so that this color model is especially useful for light sources.

In the following pseudocode—

the SRGBToLuv, SRGBFromLuv, SRGBToLuvD50, SRGBFromLuvD50, XYZToLuv, and LuvToXYZ methods perform conversions involving CIELUV colors analogously to the similarly named methods for CIELAB, and

• the LuvToSaturation method finds the *saturation*<sup>48</sup> (suv) of a CIELUV color.

SRGBToLuv and SRGBFromLuv are approximate conversions because the values in the pseudocode are rounded off to a limited number of decimal places.

```
METHOD XYZToLuv(xyz, wpoint)
   lab=XYZToLab(xyz, wpoint)
    sum = xyz[0] + xyz[1] *15 + xyz[2] *3
   lt=lab[0]
    if sum==0: return [lt, 0, 0]
   upr=4*xyz[0]/sum // U-prime
   vpr=9*xyz[1]/sum // V-prime
    sumwhite=wpoint[0]+15*wpoint[1]+wpoint[2]*3
   return [lt,
            lt*13*(upr - 4*wpoint[0]/sumwhite),
            lt*13*(vpr - 9.0*wpoint[1]/sumwhite)]
END METHOD
METHOD LuvToXYZ(luv, wpoint)
    if luv[0]==0: return [0, 0, 0]
   xyz=LabToXYZ([luv[0], 1, 1],wpoint)
    sumwhite=wpoint[0]+15*wpoint[1]+wpoint[2]*3
   u0=4*wpoint[0]/sumwhite
   v0=9.0*wpoint[1]/sumwhite
   lt=luv[0]
    a=(52*lt/(luv[1]+13*u0*lt)-1)/3.0
   d=xyz[1]*(39*lt/(luv[2]+13*v0*lt)-5)
   x=(d+5*xyz[1])/(a+1.0/3)
   z=x*a-5*xyz[1]
   return [x,xyz[1],z]
END METHOD
METHOD SRGBToLuv(rgb)
   return XYZToLuv(XYZFromsRGB(rgb),
      [0.9504559270516716, 1, 1.0890577507598784])
END METHOD
METHOD SRGBFromLuv(lab)
   return XYZTosRGB(LuvToXYZ(lab,
      [0.9504559270516716, 1, 1.0890577507598784]))
END METHOD
METHOD SRGBToLuvD50(rgb)
   return XYZToLuv(XYZFromsRGBD50(rgb), [0.9642, 1, 0.8251])
END METHOD
METHOD SRGBFromuvD50(lab)
   return XYZTosRGBD50(LuvToXYZ(lab, [0.9642, 1, 0.8251]))
END METHOD
```

<sup>&</sup>lt;sup>48</sup>https://en.wikipedia.org/wiki/Colorfulness

```
METHOD LuvToSaturation(luv)
   if luv[0]==0: return 0
   return sqrt(luv[1]*luv[1]+luv[2]*luv[2])/luv[0]
END METHOD
```

- Hue and chroma can be derived from a CIELUV color in a similar way as from a CIELAB color, with u\* and v\* used instead of a\* and b\*, respectively. The LabToHue, LabToChroma, LabHueDifference, LabChromaHueDifference, and LchToLab methods from the previous section work with CIELUV colors analogously to CIELAB colors.
- The difference in lightness,  $u^*$ ,  $v^*$ , chroma, or saturation ( $\Delta L^*$ ,  $\Delta u^*$ ,  $\Delta v^*$ ,  $\Delta C^*$ uv, or  $\Delta s$ uv, respectively) between two CIELUV colors is simply the difference between the corresponding value of the second CIELUV color and that of the first.

## 8.4 CMYK and Other Ink-Mixture Color Models

The *CMYK color model*, ideally, describes the proportion of cyan, magenta, yellow, and black (K) inks to use to reproduce certain colors on a surface.<sup>49</sup> However, since **color mixture** of inks or other colorants is very complex, the exact color appearance of any recipe of colorants (not just in the CMYK context) depends on the *printing condition* (as defined in ISO 12647-1), including what colorants are used, how the colorants are printed, and what surface (for example, paper) the printed output appears on.

Characterization tables. In printing industry practice, a given printing condition is characterized by finding out how it forms colors using different mixtures of inks. This is usually done by printing CMYK color "patches" and using a color measurement device<sup>50</sup> to measure their CIELAB colors under standardized lighting and measurement conditions.

The International Color Consortium maintains a **list of standardized conversions** of CMYK color "patches", usually to CIELAB colors, for different standardized printing conditions. Such conversions are generally known as *characterization data* or *characterization tables*.

Given a CMYK-to-CIELAB characterization table, a CMYK color can be converted to and from a CIELAB color by multidimensional interpolation of the table's "patches".<sup>51</sup>

**Rough conversions.** The following pseudocode shows *very rough* conversions between an RGB color (color) and a CMYK color (cmyk):

<sup>&</sup>lt;sup>49</sup>This section focuses on CMYK because printing systems that involve inks other than cyan, magenta, yellow, and black (notably "extended gamut" systems of five or more inks, and systems that use custom "spot" color inks) are not yet of general interest to programmers.

<sup>&</sup>lt;sup>50</sup>https://peteroupc.github.io/suppcolor.html#Color\_Measurement\_Devices

<sup>&</sup>lt;sup>51</sup>This page does not detail how multidimensional interpolation works, but an example is SciPy's griddata method. https://docs.scipy.org/doc/scipy/reference/generated/scipy.interpolate.griddata.html

## 9 Color Operations

This section goes over many of the operations that can be done on colors. Note that for best results, these operations need to be carried out with *linear RGB* colors rather than *encoded RGB* colors, unless noted otherwise.

## 9.1 Luminance Factor (Grayscale)

The luminance factor—

- is a single number indicating a color's luminance relative to "white", that is, how much light reaches the eyes when that color is viewed, in comparison to "white",
- is called Luminance(color) in this document,
- is equivalent to the Y component of a relative **XYZ color**, and
- ranges from 0 for "black" to 1 for "white".

Finding a color's luminance factor depends on that color's color space.

A linear RGB color's luminance factor is (color[0] \* r + color[1] \* g + color[2] \* b), where r, g, and b are the luminance factors (relative Y components) of the RGB color space's red, green, and blue points, respectively. (If a different white point than the RGB color space's usual white point should have a luminance factor of 1, then r, g, and b are the corresponding values after a chromatic adaptation transform<sup>52</sup> from one white point to another.<sup>53</sup>)

An *encoded RGB* color needs to be converted to linear RGB (in the same RGB color space) before finding its luminance factor. For example, the pseudocode below implements Luminance(color) for encoded sRGB colors (LuminanceSRGB and LuminanceSRGBD50)<sup>54</sup>. Both methods are approximate conversions because the factors in the pseudocode are rounded off to a limited number of decimal places.

```
// Convert encoded sRGB to luminance factor
METHOD LuminanceSRGB(color)
    // Convert to linear sRGB
    c = SRGBToLinear(color)
    // Find the linear sRGB luminance factor
    return c[0] * 0.2126 + c[1] * 0.7152 + c[2] * 0.0722
END METHOD

// Convert encoded sRGB (with D50/2 white point)
// to luminance factor
METHOD LuminanceSRGBD50(color)
    c = SRGBToLinear(color)
    return c[0] * 0.2225 + c[1] * 0.7169 + c[2] * 0.0606
END METHOD
```

Examples:

 $<sup>^{52} \</sup>rm https://en.wikipedia.org/wiki/Chromatic\_adaptation$ 

<sup>&</sup>lt;sup>53</sup>Chromatic adaptation transforms include linear Bradford transformations, but are not further detailed in this document. (See also E. Stone, "**The Luminance of an sRGB Color**", 2013.) https://ninedegreesbelow.com/photography/srgb-luminance.html

<sup>&</sup>lt;sup>54</sup>Although the D65/2 white point is the usual one for sRGB, another white point may be more convenient in the following cases, among others: - Using the white point [0.9642, 1, 0.8249] can improve interoperability with applications color-managed with International Color Consortium (ICC) version 2 or 4 profiles (this corresponds to the D50/2 white point given in CIE Publication 15 before it was corrected). - The printing industry uses the D50 illuminant for historical reasons (see A. Kraushaar, "Why the printing industry is not using D65?", 2009). https://lists.w3.org/Archives/Public/public-colorweb/2018Apr/0003.html https://fogra.org/plugin.php?menuid=125&template=mv/templates/mv\_show\_front.html&mv\_id=10&extern\_meta=x&mv\_content\_id=140332&getlang=en

- 1. Grayscale. A color, color, can be converted to grayscale by calculating [Luminance(color), Luminance(color)].
- 2. An *image color list*'s average luminance factor is often equivalent to the average Luminance(color) value among the colors in that image color list.
- An application can consider a color dark if Luminance(color) is lower than some threshold, say, 15.
- 4. An application can consider a color **light** if **Luminance(color)** is greater than some threshold, say, 70.

**Note:** Luminance(color) belongs to a family of functions that output a single number that summarizes a color and ranges from 0 for "minimum intensity" through 1 for "maximum intensity". The following are other functions in this family.

- 1. Single channel of a multicomponent color; for example, color[0], color[1], or color[2] for an RGB color's red, green, or blue component, respectively.
- 2. Average of the multicomponent color's components (see Alpha Blending).
- 3. Maximum; for example, max(max(color[0], color[1]), color[2]) for three-component colors.
- 4. **Minimum**; for example, min(min(color[0], color[1]), color[2]) for three-component colors. (For techniques 1-4, see also (Helland)<sup>55</sup>.)
- 5. **Light/dark factor**: A **CIELAB** or **CIELUV** color's lightness ( $L^*$ ) divided by 100 (or a similar ratio in other color spaces with a light/dark dimension, such as **HSL** "lightness" (Cook 2009)<sup>56</sup>).

## 9.2 Alpha Blending

An *alpha blend* is a linear interpolation of two multicomponent colors (such as two RGB colors) that works component-by-component. For example, the Lerp3 function below<sup>57</sup> does an alpha blend of two three-component colors, where—

- color1 and color2 are the two colors, and
- alpha, the *alpha component*, is usually 0 or greater and 1 or less (from color1 to color2), but need not be (Haeberli and Voorhees)<sup>58</sup>.

```
METHOD Lerp3(color1, color2, alpha)
```

END METHOD

Alpha blends can support the following color operations.

- Shade. Generating a shade of a color (mixing with black) can be done by alpha blending that color with black (such as [0, 0, 0] in RGB).
- Tint. Generating a tint of a color (mixing with white) can be done by alpha blending that color with white (such as [1, 1, 1] in RGB).
- Tone. Generating a tone of a color (mixing with gray) can be done by alpha blending that color with gray (such as [0.5, 0.5, 0.5] in RGB).

 $<sup>^{55}</sup>$ T. Helland, "Seven grayscale conversion algorithms (with pseudocode and VB6 source code)".

 $<sup>^{56}\</sup>mathrm{J.~Cook,}$  "Converting color to grayscale", Aug. 24, 2009. https://www.johndcook.com/blog/2009/08/24/algorithms-convert-color-grayscale/

<sup>&</sup>lt;sup>57</sup>Lerp3 is equivalent to mix in OpenGL Shading Language (GLSL). Making alpha the output of a function (for example, Lerp3(color1, color2, FUNC(...)), where FUNC is an arbitrary function of one or more variables) can be done to achieve special nonlinear blends. Such blends (interpolations) are described in further detail in another page. https://peteroupc.gi thub.io/html3dutil/MathUtil.html#MathUtil.vec3lerp

<sup>&</sup>lt;sup>58</sup>P. Haeberli and D. Voorhees, "Image Processing by Interpolation and Extrapolation".

- Averaging. Averaging two colors results by alpha blending with alpha set to 0.5.
- Colorize. color1 is black, color2 is the destination color, and alpha is a single number that summarizes the source color and ranges from 0 for "minimum intensity" through 1 for "maximum intensity". RGB example: Lerp3([0, 0, 0], destinationColor, Luminance(srcColor)), where Luminance is as described in "Luminance Factor (Grayscale)". The destination color is usually the same for each pixel in an image.
- Converting an RGBA color to an RGB color on white can be done as follows: Lerp3([color[0], color[1], color[2]], [1, 1, 1], color[3]).
- Converting an RGBA color to an RGB color over color2, another RGB color, can be done as follows: Lerp3([color[0], color[1], color[2]], color2, color[3]).

## 9.3 Binarization

Binarization, also known as thresholding, involves classifying pixels or colors into one of two categories (usually black or white). It involves applying a function to a pixel or color and returning 1 if the result is greater than a threshold, or 0 otherwise. The following are examples of binarization with RGB colors in 0-1 format.

- Black and white. Generate [1, 1, 1] (white) if a light-dark factor (such as the color's CIELAB lightness, \_L\*\_, divided by 100) is greater than 0.5, or [0, 0, 0] (black) otherwise.
- Contrasting color. Generate [1, 1, 1] (white) if a light-dark factor is less than 0.5, or [0, 0, 0] (black) otherwise.

Other forms of binarization may classify pixels based at least in part on their positions in the image.

#### 9.4 Color Schemes and Harmonies

The following techniques generate new colors that are related to existing colors.

- Color harmonies<sup>59</sup> result by generating several colors that differ in hue (hue angle). For each color harmony given below, the following numbers are added to a hue angle<sup>60</sup> to generate the hues for the colors that make up that harmony:
  - Analogous: 0, Y, -Y, where Y is  $2 \pi / 3$  or less. In general, analogous colors are two, four, or a higher even number of colors spaced at equal hue intervals from a central color.
  - Complementary:  $0, \pi$ . This is the base hue with its opposite hue.
  - **Split complementary**: 0,  $\pi$  Y,  $\pi$  + Y, where Y is greater than 0 and  $\pi$  /2 or less. The base hue and two hues close to the opposite hue.
  - Triadic: 0,  $2 \pi / 3$ ,  $4 \pi / 3$ . Base hue and the two hues at 120 degrees from that hue.
  - Two-tone: 0, Y, where Y is greater than  $\pi$  /2 and less than  $\pi$  /2. This is the base hue and a close hue.
  - Off-complementary: 0, Y, where Y is  $\pi$  /2 or less but greater than  $\pi$  , or Y is  $\pi$  /2 or greater but less than  $\pi$  . B. MacEvoy mentions Y = 2  $\pi$  /3.
  - **Double complementary**: 0, Y,  $\pi$ ,  $\pi$  + Y, where Y is  $\pi$  /2 or greater and  $\pi$  /2 or less. The base hue and a close hue, as well as their opposite hues.
  - **Tetradic**: Double complementary with  $Y = \pi / 2$ .
  - **N-color**: 0, 2  $\pi$  /N, 4  $\pi$  /N, ..., (N 1)2  $\pi$  /N.
- Monochrome colors: Colors with the same hue; for example, different shades, tints, or tones of a given color are monochrome colors.
- Achromatic colors: Colors without hue; that is, black, white, and shades of gray.

 $<sup>^{59}</sup>$ B. MacEvoy calls these *hue harmonies*. See also his summary of harmonious color relationships.

 $<sup>^{60}</sup>$ The hue angle is in radians, and the angle is 0 or greater and less than 2  $\pi$ . Radians can be converted to degrees by multiplying by 180 / pi. Degrees can be converted to radians by multiplying by pi / 180.

#### 9.5 Contrast Between Two Colors

There are several ways to find the contrast between two colors.

Luminance Contrast. Luminance contrast formulas quantify how differently a foreground (text) color appears over a background color or vice versa, in terms of the luminance of both colors. In general, the greater the difference, the higher the contrast.

Example: The Web Content Accessibility Guidelines 2.0 (WCAG)<sup>61</sup> includes a contrast ratio formula implemented in the pseudocode below, where RelLum(color)— - is the "relative luminance" of a color as defined in the WCAG, and - is equivalent to Luminance(color) whenever WCAG conformity is not important.

```
METHOD ContrastRatioWCAG(color1, color2)
    rl1=RelLum(color1)
    rl2=RelLum(color2)
    return (max(rl1,rl2)+0.05)/(min(rl1,rl2)+0.05)
END METHOD
```

For 8-bpc encoded sRGB colors, Rellum(color) is effectively equivalent to LuminanceSRGB(color), but with the WCAG using a different version of SRGBToLinear, with 0.03928 (the value used in the sRGB proposal) rather than 0.04045, but this difference doesn't affect the result for such 8-bpc colors. In general, under the WCAG, a contrasting color is one whose contrast ratio with another color is 4.5 or greater (or 7 or greater for a stricter conformance level).

**Opacity.** In certain industries, a material's *contrast ratio* or *opacity* can be found by dividing the Y component of the material's **XYZ color** measured over a black surface by the Y component of the material's XYZ color measured over a white surface. Details of the measurement depend on the industry and material.

### 9.6 Porter–Duff Formulas

Porter and Duff (1984) define twelve formulas for combining (compositing) two RGBA colors<sup>62</sup>. In the formulas below, it is assumed that the two colors and the output are in the 0-1 format and have been premultiplied (that is, their red, green, and blue components have been multiplied beforehand by their alpha component). Given src, the source RGBA color, and dst, the destination RGBA color, the Porter-Duff formulas are as follows. - Source Over: [src[0]-dst[0]\*(src[3] - 1), src[1]-dst[1]\*(src[3] - 1), src[2]-dst[2]\*(src[3] - 1), src[3]-dst[3]\*(src[3] - 1)]. Source In: [dst[3]\*src[0], dst[3]\*src[1], dst[3]\*src[2], dst[3]\*src[3]]. - Source Held Out: [src[0]\*(1 - dst[3]), src[1]\*(1 - dst[3]), src[2]\*(1 - dst[3]), src[3]\*(1 - dst[3])]. - Source Atop: [dst[0]\*src[3] - src[0]\*(dst[3] - 1), dst[1]\*src[3] - src[1]\*(dst[3] - 1), dst[2]\*src[3] - src[2]\*(dst[3] - 1), src[3]]. - Destination Over: [dst[0] - src[0]\*(dst[3] - 1), dst[1] - src[1]\*(dst[3] - 1), dst[2] - src[2]\*(dst[3] - 1), dst[3] - src[3]\*(dst[3] - Destination In: [dst[0]\*src[3], dst[1]\*src[3], dst[2]\*src[3], dst[3]\*src[3]]. Uses the destination color/alpha with the source alpha as the "mask". - Destination Held Out: [dst[0]\*(1 - src[3]), dst[1]\*(1 - src[3]), dst[2]\*(1 - src[3]), dst[3]\*(1 - src[3])].Destination Atop: [dst[3]\*src[0] - dst[0]\*(src[3] - 1), dst[3]\*src[1] - dst[1]\*(src[3] -1), dst[3]\*src[2] - dst[2]\*(src[3] - 1), dst[3]]. - Source: src. - Destination: dst. - Clear: [0, 0, 0, 0]. - Xor: [-dst[3]\*src[0] - dst[0]\*src[3] + dst[0] + src[0], -dst[3]\*src[1] - dst[1]\*src[3] + dst[1] + src[1], -dst[3]\*src[2] - dst[2]\*src[3] + dst[2] + src[2],-2\*dst[3]\*src[3] + dst[3] + src[3].

 $<sup>^{61}</sup> https://www.w3.org/TR/2008/REC-WCAG20-20081211/\#visual-audio-contrast-contrast$ 

<sup>&</sup>lt;sup>62</sup>Porter, T., and Duff. T. "Compositing Digital Images". Computer Graphics 18(3), p 253 ff., 1984.

#### 9.7 Blend Modes

Blend modes<sup>63</sup> take two multicomponent colors, namely a source color and a destination color, and blend them to create a new color. The same blend mode, or different blend modes, can be applied to each component of a given color. In the idioms below, src is one component of the source color, dst is the same component of the destination color (for example, src and dst can both be two RGB colors' red components), and both components are assumed to be 0 or greater and 1 or less. The following are examples of blend modes

```
Normal: src.
Lighten: max(src, dst).
Darken: min(src, dst).
Add: min(1.0, src + dst).
Subtract: max(0.0, src - dst).
Multiply: (src * dst).
Screen: 1 - (1 - dst) * (1 - src).
Average: src + (dst - src) * 0.5.
Difference: abs(src - dst).
Exclusion: src - 2 * src * dst + dst.
```

### 9.8 Color Matrices

A *color matrix* is a 9-item  $(3\times3)$  list for transforming a three-component color. The following are examples of color matrices:

- Sepia. Sepia matrices can have the form [r\*sw[0], g\*sw[0], b\*sw[0], r\*sw[1], g\*sw[1], b\*sw[1], r\*sw[2], g\*sw[2], b\*sw[2]], where r, g, and b are as defined in the section "Luminance Factor (Grayscale)", and sw is the RGB color for "sepia white" (an arbitrary choice). An example for linear sRGB is: [0.207,0.696,0.07,0.212,0.712,0.072,0.16,0.538,0.054].
- Saturate. [s+(1-s)\*r, (1-s)\*g, (1-s)\*b, (1-s)\*r, s+(1-s)\*g,(1-s)\*b,(1-s)\*r,(1-s)\*g,s+(1-s)\*b], where s ranges from 0 through 1 (the greater s is, the less saturated), and r, g, and b are as defined in the section "Luminance Factor (Grayscale)" 64.
- Hue rotate. [-0.37124\*sr + 0.7874\*cr + 0.2126, -0.49629\*sr 0.7152\*cr + 0.7152, 0.86753\*sr 0.0722\*cr + 0.0722, 0.20611\*sr 0.2126\*cr + 0.2126, 0.08106\*sr + 0.2848\*cr + 0.7152, -0.28717\*sr 0.072199\*cr + 0.0722, -0.94859\*sr 0.2126\*cr + 0.2126, 0.65841\*sr 0.7152\*cr + 0.7152, 0.29018\*sr + 0.9278\*cr + 0.0722], where sr = sin(rotation), cr = cos(rotation), and rotation is the hue rotation angle. This is an approximate hue rotation because the constant factors in the pseudocode are rounded off to a limited number of decimal places.

In the following pseudocode, TransformColor transforms an RGB color (color) with a color matrix (matrix).

```
METHOD TransformColor(color, matrix)
  return [
    min(max(color[0]*matrix[0]+color[1]*matrix[1]+color[2]*matrix[2],0),1),
```

 $<sup>^{63}</sup>$ https://en.wikipedia.org/wiki/Blend\_modes

<sup>64</sup>P. Haeberli, "Matrix Operations for Image Processing", 1993. The hue rotation matrix given was generated using the technique in the section "Hue Rotation While Preserving Luminance", with constants rounded to five significant digits and with rwgt=0.2126, gwgt=0.7152, and bwgt = 0.0722, the sRGB luminance factors for the red, green, and blue points. For the saturation and hue rotation matrices, the sRGB luminance factors are used rather than the values recommended by the source.

65P. Haeberli, "Matrix Operations for Image Processing", 1993. The hue rotation matrix given was generated using the technique in the section "Hue Rotation While Preserving Luminance" with constants rounded to five significant digits and

the technique in the section "Hue Rotation While Preserving Luminance", with constants rounded to five significant digits and with rwgt=0.2126, gwgt=0.7152, and bwgt = 0.0722, the sRGB luminance factors for the red, green, and blue points. For the saturation and hue rotation matrices, the sRGB luminance factors are used rather than the values recommended by the source.

<sup>&</sup>lt;sup>66</sup>The "E" here stands for the German word *Empfindung*.

```
min(max(color[0]*matrix[3]+color[1]*matrix[4]+color[2]*matrix[5],0),1),
      min(max(color[0]*matrix[6]+color[1]*matrix[7]+color[2]*matrix[8],0),1) ]
END METHOD
```

More generally—

- an N×N matrix can be used to transform an N-component color, and
- an  $(N+1)\times(N+1)$  matrix can be used to transform a color consisting of N components followed by the number 1; if this is done, the first N components of the transformed color are divided by its last component.

## 9.9 Lighten/Darken

The following approaches can generate a lighter or darker version of a color. In the examples, color is an RGB color in 0-1 format, and value is positive to lighten a color, or negative to darken a color, and -1 or greater and 1 or less.

- RGB additive. [min(max(color[0]+value,0),1), min(max(color[1]+value,0),1), min(max(color[2]+value,
- HSL "lightness" additive. HslToRgb(hsl[0], hsl[1], min(max(hsl[2] + value, 0), 1)), where hsl = RgbToHsl(color).
- CIELAB lightness additive. Adds a number to the  $L^*$  component of the color's CIELAB version. For example, given a CIELAB color lab, this is:  $[\min(\max(lab[0] + (value * 100), 0), 100), lab[1], lab[2]]$ .
- Tints and shades. A "tint" is a lighter version, and a "shade" is a darker version. See "Alpha Blending".

## 9.10 Saturate/Desaturate

The following approaches can generate a saturated or desaturated version of a color. In the examples, color is an RGB color in 0-1 format, and value is positive to saturate a color, or negative to desaturate a color, and -1 or greater and 1 or less.

- HSV "saturation" additive. HsvToRgb(hsv[0], min(max(hsv[1] + color, 0), 1), hsv[2]), where hsv = RgbToHsv(color). (Note that HSL's "saturation" is inferior here.)
- Tones, or mixtures of gray. A "tone" is a desaturated version. A color can be desaturated by alpha blending that color with either its grayscale version or an arbitrary shade of gray.
- Saturate matrix. See "Color Matrices".

#### 9.11 Miscellaneous

- 1. An RGB color
  - ullet is white, black, or a shade of gray (achromatic) if it has equal red, green, and blue components, and
  - is a "Web safe" color if its red, green, and blue components are each a multiple of 0.2.

An *image color list* is achromatic or "Web safe" if all its colors are achromatic or "Web safe", respectively.

2. Background removal algorithms, including *chroma key*<sup>67</sup>, can replace "background" pixels of a raster image with other colors. Such algorithms are outside the scope of this document unless they use only a pixel's color to determine whether that pixel is a "background" pixel (for example, by checking whether the **color difference** between that color and a predetermined background color is small enough) and, if so, what color that pixel uses instead.

 $<sup>^{67} \</sup>rm https://en.wikipedia.org/wiki/Chroma\_key$ 

- 3. An application can **apply a function** to each component of an RGB or other multicomponent color, including a power function (of the form  $base^{exponent}$ ), an inversion (an example is [1.0 color[0], 1.0 color[1], 1.0 color[2]] for RGB colors in 0-1 format<sup>68</sup>), or a tone mapping curve. The function can be one-to-one, but need not be, as long as it maps numbers from 0 through 1 to numbers from 0 through 1.
- 4. An application can **swap** the values of any two components of an RGB or other multicomponent color to form new colors. The following example swaps the blue and red channels of an RGB color: [color[2], color[1], color[0]].
- 5. Raster image processing techniques that process each pixel depending on neighboring pixels or the image context are largely out of scope of this document. These include pixel neighborhood filters (including Gaussian blur and other convolutions), morphological processing (including erosion and dilation), and image segmentation beyond individual pixels (including some clustering and background removal algorithms).

## 10 Color Differences

Color difference algorithms are used to determine if two colors are similar.

In this document, COLORDIFF(color1, color2) is a function that calculates a *color difference*<sup>69</sup> (also known as "color distance") between two colors in the same color space, where the lower the number, the closer the two colors are. In general, however, color differences calculated using different color spaces or formulas cannot be converted to each other. This section gives some ways to implement COLORDIFF.

**Euclidean distance.** The following pseudocode implements the Euclidean distance of two multicomponent colors. This color difference formula is independent of color model.

```
// Euclidean distance for multicomponent colors
METHOD COLORDIFF(color1, color2)
   ret = 0
   for i in 0...len(color1)
      ret=ret+(color2[i]-color1[i])*(color2[i]-color1[i])
   end
   return sqrt(ret)
END METHOD
```

#### Notes:

- For CIELAB or CIELUV, the 1976  $\Delta E^*$ ab ("delta E a b") or  $\Delta E^*$ uv color difference method, respectively<sup>70</sup>, is the Euclidean distance between two CIELAB or two CIELUV colors, respectively.
- If Euclidean distances are merely being compared (so that, for example, two distances are not added or multiplied), then the square root operation can be omitted.

**Riemersma's method.** (Riemersma) $^{71}$  suggests an algorithm for color difference, to be applied to **encoded RGB colors**.

**CMC.** The following pseudocode implements the Color Measuring Committee color difference formula published in 1984, used above all in the textile industry. Note that in this formula, the order of the two **CIELAB** 

<sup>&</sup>lt;sup>68</sup>This is often called the "CMY" ("cyan–magenta–yellow") version of the RGB color (although the resulting color is not necessarily based on a proportion of cyan, magenta, and yellow inks; see also "CMYK and Other Ink-Mixture Color Models"). If such an operation is used, the conversions between "CMY" and RGB are exactly the same.

<sup>&</sup>lt;sup>69</sup>https://en.wikipedia.org/wiki/Color\_difference

<sup>&</sup>lt;sup>70</sup>The "E" here stands for the German word *Empfindung*.

<sup>&</sup>lt;sup>71</sup>T. Riemersma, "Colour metric", section "A low-cost approximation". https://www.compuphase.com/cmetric.htm

colors is important (the first color is the reference, and the second color is the test). Here, the formula is referred to as CMC(LPARAM:CPARAM) where—

- LPARAM is a lightness tolerance and is usually either 2 or 1, and
- CPARAM is a chroma tolerance and is usually 1.

```
METHOD COLORDIFF(lab1, lab2)
    c1=LabToChroma(lab1)
    c2=LabToChroma(lab2)
    h1=LabToHue(lab1)
    dl=0.511
    if lab1[0]>=16: dl=0.040975*lab1[0]/(1+0.01765*lab1[0])
    dc=0.0638+(0.0638*c1/(0.0131*c1+1))
    f4=pow(c1,4)
    f4=sqrt(f4/(f4+1900))
    dt=0
    if h1>=41*pi/45 and h1<=23*pi/12
       dt=0.56+abs(0.2*cos(h1+14*pi/15))
    else
       dt=0.36+abs(0.4*cos(h1+7*pi/36))
    dh = (dt * f4 + 1 - f4) * dc
    dl=dl*LPARAM
    dc=dc*CPARAM
    da=lab2[1]-lab1[1]
    db=lab2[2]-lab1[2]
    dchr=c2-c1
    dhue=sqrt(max(0,da*da+db*db-dchr*dchr))
    dl=((lab2[0]-lab1[0])/dl)
    dc=(dchr/dc)
    dh=(dhue/dh)
    return sqrt(dl*dl+dc*dc+dh*dh)
END METHOD
```

CIE94. This CIELAB-specific formula is detailed on the supplemental color topics<sup>72</sup> page.

**CIEDE2000.** The following pseudocode implements the color difference formula published in 2000 by the CIE, called CIEDE2000 or  $\Delta E^*_{00}$ , between two **CIELAB** colors.

```
METHOD COLORDIFF(lab1, lab2)
d1=lab2[0]-lab1[0]
h1=lab1[0]+d1*0.5
sqb1=lab1[2]*lab1[2]
sqb2=lab2[2]*lab2[2]
c1=sqrt(lab1[1]*lab1[1]+sqb1)
c2=sqrt(lab2[1]*lab2[1]+sqb2)
hc7=pow((c1+c2)*0.5,7)
trc=sqrt(hc7/(hc7+6103515625.0))
t2=1.5-trc*0.5
ap1=lab1[1]*t2
ap2=lab2[1]*t2
```

<sup>&</sup>lt;sup>72</sup>https://peteroupc.github.io/suppcolor.html#Additional\_Color\_Formulas

```
c1=sqrt(ap1*ap1+sqb1)
    c2=sqrt(ap2*ap2+sqb2)
   dc=c2-c1
   hc=c1+dc*0.5
   hc7=pow(hc,7)
   trc=sqrt(hc7/(hc7+6103515625.0))
   h1=atan2(lab1[2],ap1)
    if h1<0: h1=h1+pi*2
   h2=atan2(lab2[2],ap2)
   if h2<0: h2=h2+pi*2
   hdiff=h2-h1
   hh=h1+h2
    if abs(hdiff)>pi
      hh=hh+pi*2
      if h2<=h1: hdiff=hdiff+pi*2
      else: hdiff=hdiff-pi*2
   hh=hh*0.5
   t2=1-0.17*cos(hh-pi/6)+0.24*cos(hh*2)
   t2=t2+0.32*cos(hh*3+pi/30)
   t2=t2-0.2*cos(hh*4-pi*63/180)
   dh=2*sqrt(c1*c2)*sin(hdiff*0.5)
    sqhl=(hl-50)*(hl-50)
   fl=dl/(1+(0.015*sqhl/sqrt(20+sqhl)))
   fc=dc/(hc*0.045+1)
   fh=dh/(t2*hc*0.015+1)
   dt=30*exp(-pow(36*hh-55*pi,2)/(25*pi*pi))
   r=0-2*trc*sin(2*dt*pi/180)
   return sqrt(fl*fl+fc*fc+fh*fh+r*fc*fh)
END METHOD
```

**Note:** An improvement to CIEDE2000 (Huang et al. 2015)<sup>73</sup>, recently recommended in CIE 230:2019 for small color differences, is not yet in common use.

Commercial factors. A commercial factor (cf) is an additional parameter to CMC and other color difference formulas. The COLORDIFF result is divided by cf (which is usually 1) to get the final color difference.

## 10.1 Nearest Colors

The **nearest color algorithm** is used, for example, to categorize colors or to reduce the number of colors used by an image.

In the pseudocode below, the method NearestColorIndex finds, for a given color (color), the index of the color nearest it in a given list (list) of colors, all in the same color space as color. NearestColorIndex is independent of color model.

```
METHOD NearestColorIndex(color, list)
  if size(list) == 0: return error
  if size(list) == 1: return 0
  i = 0
  best = -1
```

 $<sup>^{73}</sup>$ Huang, M., Cui, G., et al. (2015). "Power functions improving the performance of color-difference formulas." Optical Society of America, 23(1), 597–610.

```
bestIndex = 0
while i < size(list)
    dist = COLORDIFF(color,list[i])
    if i == 0 or dist < best
        best = dist
        bestIndex = i
    end
    i = i + 1
    end
    return bestIndex</pre>
```

#### **Examples:**

- To find the nearest color to color in a list of colors (list), generate nearestColor = list[NearestColorIndex(color, list)].
- Sorting colors into **color categories** can be done by a so-called "hard clustering" algorithm such as k-means clustering (see also the Wikipedia article<sup>74</sup>), which involves—
  - 1. defining a list (repColors) of k color points (which, for example, can be representative colors for red, blue, black, white, and so on, or can be colors chosen at random), then
  - for each color (color) to be categorized, finding the nearest color to that color among the k color points (for example, by calling NearestColorIndex(color, repColors)), then
  - 3. replacing each color point in repColors with its new average color (based on the colors that point categorizes), then
  - 4. repeating steps 2 and 3 until the changes in all color points are negligible.

If representative colors were used, steps 3 and 4, or step 4 itself, can be omitted. Otherwise, color points in repColors that end up categorizing no colors should be omitted.

# 11 Dominant Colors of an Image

There are several methods of finding the kind or kinds of colors that appear most prominently in an *image* color list. For best results, these techniques need to be carried out with linear RGB rather than encoded RGB colors.

- 1. Color quantization<sup>75</sup>. In this technique, the image color list's colors are reduced to a small set of colors (for example, ten to twenty). Quantization algorithms include k-means clustering (see the previous section), recursive subdivision, and octrees.
- 2. **Histogram binning.** To find the dominant colors using this technique (which is independent of color model):
  - Generate or furnish a list of colors that cover the space of colors well. This is the *color palette*. A good example is the list of "Web safe colors".
  - Create a list with as many zeros as the number of colors in the palette. This is the histogram.
  - For each color in the image color list, find its **nearest color** in the color palette, and add 1 to the nearest color's corresponding value in the histogram.
  - Find the color or colors in the color palette with the highest histogram values, and return those colors as the dominant colors.
- 3. **Posterization.** This involves rounding each component of a multicomponent color to the nearest multiple of 1/n, where n is 1 plus the desired number of levels per channel. The rounding can be up,

 $<sup>^{74} \</sup>rm https://en.wikipedia.org/wiki/K-means\_clustering$ 

<sup>&</sup>lt;sup>75</sup>https://en.wikipedia.org/wiki/Color quantization

down, or otherwise.

#### Notes:

- 1. **Scale down**: For all these techniques, in the case of a raster image, an implementation can scale down that image before proceeding to find its dominant colors. Algorithms to resize or "resample" images are out of scope for this page, however.
- 2. Color reduction: Reducing the number of colors in an image usually involves finding that image's dominant colors and either—
  - applying a "nearest neighbor" approach (replacing that image's colors with their **nearest dominant colors**), or
  - applying a "dithering"<sup>76</sup> technique (especially to reduce undesirable color "banding" in certain cases), which is outside the scope of this document, however.<sup>77</sup>
- 3. Unique colors: Finding the number of unique colors in an image color list can be done by storing those colors as keys in a hash table, then counting the number of keys stored this wav.<sup>78</sup>
- 4. Disqualifying dominant colors: An application can disqualify certain kinds of colors from being dominant, and use a substitute color as the dominant color if no dominant color remains. For example, the application can ignore colors in the background or near the image's edges, can ignore certain kinds of colors (for example, gray or nearly gray colors) while sampling the image color list, or can delete certain colors from the dominant color list.
- 5. Averaging the colors of an image, component-by-component, can lead to a meaning-less result, especially if there is a wide color variety represented in the image (see stackoverflow.com/questions/43111029).
- 6. Extracting a scene's "true colors": For applications where matching colors from the real world is important, colors need to be measured using a color measurement device<sup>79</sup>, or be calculated from scene-referred image data.<sup>80</sup> PNG and many other image formats store image data commonly interpreted as sRGB by default; however, sRGB is an output-referred color space, not a scene-referred one (it's based on the color output of cathode-ray-tube monitors), making sRGB images unsuitable for real-world color-matching without more.Getting scene-referred image data from a digital camera, including a smart-phone camera, is not trivial and is not discussed in detail in this document. It requires knowing, among other things, whether the camera offers access to raw image data, the format of that raw data, and possibly whether the camera does color rendering (which happens before generating output-referred image data). A raw image's colors can be estimated by the use of a raw image of a color calibration chart (test target) or by another technique. The ISO 17321 series and IEC 61966-9 touch on this subject.

# 12 Color Maps

A color map (or color palette) is a list of colors, which are usually related. All the colors in a color map can be in any one color space, but unless noted otherwise, **linear RGB** colors should be used rather than encoded RGB colors.

Example: A grayscale color map consists of the encoded RGB colors [[0, 0, 0], [0.5, 0.5, 0.5], [1, 1, 1]].

<sup>&</sup>lt;sup>76</sup>https://en.wikipedia.org/wiki/Dither

<sup>&</sup>lt;sup>77</sup>One way to implement dithering is mentioned in C. Peters, "**Free blue noise textures**", *Moments in Graphics*, Dec. 22, 2016.

<sup>&</sup>lt;sup>78</sup>This document does not cover how to implement hash tables.

 $<sup>^{79}</sup> https://peteroupc.github.io/suppcolor.html \#Color\_Measurement\_Devices$ 

<sup>&</sup>lt;sup>80</sup>An example of scene-referred image data is a raw image from a digital camera after applying an input device transform as defined in Academy Procedure P-2013-001. Scene-referred image data have not undergone operations such as look modification transforms (as defined in P-2013-001), tone mapping, gamut mapping, or other color rendering.

## 12.1 Kinds of Color Maps

The *ColorBrewer 2.0* Web site's suggestions for color maps are designed above all for visualizing data on land maps. For such purposes, C. Brewer, the creator of *ColorBrewer 2.0*, has identified **three kinds** of appropriate color maps:

- **Sequential color maps** for showing "ordered data that progress from low to high". Those found in *ColorBrewer 2.0* use varying tints of the same hue or of two close hues.
- **Diverging color maps** for showing continuous data with a clearly defined midpoint (the "critical value") and where the distinction between low and high is also visually important. Those found in *ColorBrewer 2.0* use varying tints of two "contrasting hues", one hue at each end, with lighter tints closer to the middle. Where such color maps are used in 3D visualizations, K. Moreland **recommends** "limiting the color map to reasonably bright colors".
- Qualitative color maps for showing discrete categories of data (see also "Visually Distinct Colors"). Those found in *ColorBrewer 2.0* use varying hues.

**Note:** The fact that *ColorBrewer 2.0* identifies some of its color maps as being "print friendly"<sup>81</sup>, "**color blind friendly**", or both suggests that these two factors can be important when generating color maps of the three kinds just mentioned.

## 12.2 Color Collections

If each color in a color map has a name, number, or code associated with it, the color map is also called a *color collection*. Examples of names are "red", "vivid green", "orange", "lemonchiffon", and "5RP 5/6"<sup>82</sup>. A survey of color collections or color atlases is not covered in this document, but some of them are discussed in some detail in my colors tutorial for the HTML 3D Library<sup>83</sup>.

Converting a color (such as an RGB color) to a color name can be done by—

- retrieving the name keyed to that color in a hash table (or returning an error if that color doesn't exist in the hash table)<sup>84</sup>, or
- finding the **nearest color** to that color among the named colors, and returning the name of the color found this way.

Converting a color name to a color can be done by retrieving the color keyed to that name (or optionally, its lower-cased form) in a hash table, or returning an error if no such color exists.<sup>85</sup>

If each name, number, or code in a color map is associated with one or several colors, optionally with a weighting factor for each color, then the color map is also known as a *color dictionary* (Venn et al.)<sup>86</sup>.

## Notes:

- As used in the CSS Color Module Level 3, named colors defined in that module are expressed as encoded RGB colors in the *sRGB color space*.
- If the color names identify points in a color space (as in the "5RP 5/6" example), converting a color name with a similar format (for example, "5.6PB 7.1/2.5") to a color can be done by multidimensional interpolation of the known color points.<sup>87</sup>

<sup>&</sup>lt;sup>81</sup>In general, a color can be considered "print friendly" if it lies within the extent of colors (*color gamut*) that can be reproduced under a given or standardized printing condition (see also "CMYK and Other Ink-Mixture Color Models").

 $<sup>^{82}</sup>$ Many color collections are represented by printed or dyed color swatches, are found in printed "fan decks", or both. Most color collections of this kind, however, are proprietary. "5RP 5/6" is an example from a famous color system and color space from the early 20th century.

<sup>83</sup> https://peteroupc.github.io/html3dutil/tutorial-colors.html#What Do Some Colors Look Like

<sup>&</sup>lt;sup>84</sup>This document does not cover how to implement hash tables.

 $<sup>^{85}\</sup>mathrm{This}$  document does not cover how to implement hash tables.

 $<sup>^{86}\</sup>mathrm{Venn},\,\mathrm{A.},\,\mathrm{et}$ al. "Das Farbwörterbuch / The Colour Dictionary".

 $<sup>^{87} \</sup>rm This\ page\ does\ not\ detail\ how\ multidimensional\ interpolation\ works,\ but\ an\ example\ is\ SciPy's\ griddata\ method.\ https://docs.scipy.org/doc/scipy/reference/generated/scipy.interpolate.griddata.html$ 

## 12.3 Visually Distinct Colors

Color maps can list colors used to identify different items. Because of this use, many applications need to use colors that are easily distinguishable by humans. In this respect—

- K. Kelly (1965) proposed a list of "twenty two colors of maximum contrast" <sup>88</sup>, the first nine of which were intended for readers with normal and **defective** color vision, and
- B. Berlin and P. Kay, in a work published in 1969, identified eleven basic color terms: black, white, gray, purple, pink, red, green, blue, yellow, orange, and brown.

In general, the greater the number of colors used, the harder it is to distinguish them from each other. Any application that needs to distinguish many items (especially more than 22 items, the number of colors in Kelly's list) should use other visual means in addition to color (or rather than color) to help users identify them, such as numbered labels, text labels, different shapes, different shading, different dash patterns, or a combination of these. (Note that under the **Web Content Accessibility Guidelines 2.0**<sup>89</sup> level A, color may not be "the only visual means of conveying information".)

In general, any method that seeks to choose colors that are maximally distant in a particular color space (that is, where the smallest **color difference** [COLORDIFF] between them is maximized as much as feasible) can be used to select visually distinct colors. Such colors can be pregenerated or generated at runtime, and such colors can be limited to those in a particular *color gamut*. Here, the color difference method should be  $\Delta E^*$ ab or another color difference method that takes human color perception into account. (See also (Tatarize)<sup>90</sup>.)

## 12.4 Linear Gradients

A linear gradient is a smooth transition of two or more colors. A linear gradient consists of two or more gradient stops, which each consist of a point on the number line and a color located at that point. The remaining colors on the number line are linearly interpolated between these points.

The following pseudocode, LinearGradientPoint, gets the color at the specified point on the linear gradient. It takes a list, stops, consisting of one or more gradient stops, and point, the desired point on the gradient. Each gradient stop is a list containing the point and the color, in that order, and the gradient stops are sorted in ascending order by point. The method is independent of color space, but all colors passed to the method must be in the same color space and *linear RGB* colors should be used rather than encoded RGB colors.

```
METHOD LinearGradientPoint(stops, point)
  if size(stops)==0: return error
  if size(stops)==1: return stops[0][1]
  if point <= stops[0][0]: return stops[0][1]
  lastStop=stops[size(stops)-1]
  if point >= lastStop[0]: return lastStop[1]
  i = 0
  while i < size(stops) - 1
    i = i + 1
    s = stops[i][0]
    e = stops[i + 1][0]
    if point == s: return stops[i][1]</pre>
```

 $<sup>^{88}\</sup>mathrm{An}$  approximation of the colors, in order, to encoded sRGB in **HTML color format**, is as follows: "#F0F0F1", "#181818", "#F7C100", "#875392", "#F78000", "#9EC9EF", "#C0002D", "#C2B280", "#838382", "#008D4B", "#E68DAB", "#0067A8", "#F99178", "#5E4B97", "#FBA200", "#B43E6B", "#DDD200", "#892610", "#8DB600", "#65421B", "#E4531B", "#263A21". The list was generated by converting the Munsell renotations (and a similar renotation for black) to sRGB using the Python colour package.

<sup>&</sup>lt;sup>89</sup>https://www.w3.org/TR/2008/REC-WCAG20-20081211/

 $<sup>^{90}\</sup>mathrm{Tatarize},$  "Color Distribution Methodology".

Note: Linear gradients are often the basis for 2-dimensional gradients such as radial gradients, or even gradients in higher dimensions. They can generally be described in terms of a contouring function, which returns a point on a linear gradient given an N-dimensional point. For instance, a radial gradient can be implemented by using the following contouring function: sqrt(x\*x+y\*y), where x and y are the coordinates of an arbitrary point in 2-dimensional space. The value of the radial gradient function can then be passed to LinearGradientPoint to generate the appropriate color at the given 2-dimensional point. Note, however, that generating multidimensional gradients can cause undesirable "banding" of colors (see the notes in "Dominant\_Colors\_of\_an\_Image"). Ways to solve banding include either dithering techniques or adding/subtracting a small random offset ("noise") to the value of the contouring function for each 2-dimensional point.

## 12.5 Pseudocode

In the following pseudocode—

- ColorMapContinuous extracts a continuous color (blended color) from a color map (colormap), and
- ColorMapDiscrete extracts a discrete color (nearest color) from a color map (colormap),

where value is a number 0 or greater and 1 or less (0 and 1 are the start and end of the color map, respectively).

**Example:** The idiom ColorMapContinuous(colormap, 1 - value) gets a continuous color from the reversed version of a color map.

# 13 Generating a Random Color

The following techniques can be used to generate random colors. In this section:

- RNDRANGEMinMaxExc, RNDINT, and RNDINTEXC are methods defined in my article on random number generation methods<sup>91</sup>.
- Some techniques here refer to a *light-dark factor*. This factor can be implemented by any of the following, in order of preference from most to least.
  - 1. The color's **CIELAB** lightness (\_L\*\_) divided by 100, or another value from 0 through 1 that expresses a color's lightness (in terms of human perception).
  - 2. Luminance(color).
  - 3. Any other single number that summarizes a color and ranges from 0 ("minimum intensity") to 1 ("maximum intensity").
- ullet For best results, these techniques need to use  $\it linear~RGB$  colors rather than encoded RGB colors, unless noted otherwise.

#### The techniques follow.

- Generating a random string in the **HTML color format** can be done by generating a **random** hexadecimal string<sup>92</sup> with length 6, then inserting the string "#" at the beginning of that string.
- Generating a random three-component color in the **0-1 format** can be done as follows: [RNDRANGEMinMaxExc(0, 1), RNDRANGEMinMaxExc(0, 1), RNDRANGEMinMaxExc(0, 1)].
- Generating a random 8-bpc encoded RGB color can be done as follows: From 888 (RNDINT (16777215)).
- To generate a random dark RGB color, either—
  - generate color = [RNDRANGEMinMaxExc(0, 1), RNDRANGEMinMaxExc(0, 1), RNDRANGEMinMaxExc(0, 1)] until a light-dark factor is less than a given threshold, for example, 0.5, or
  - generate color = [RNDRANGEMinMaxExc(0, maxComp), RNDRANGEMinMaxExc(0, maxComp), RNDRANGEMinMaxExc(0, maxComp)], where maxComp is the maximum value of each color component, for example, 0.5.
- To generate a random light RGB color, either—
  - generate color = [RNDRANGEMinMaxExc(0, 1), RNDRANGEMinMaxExc(0, 1), RNDRANGEMinMaxExc(0, 1)] until a light-dark factor is greater than a given threshold, for example, 0.5, or
  - generate color = [minComp + RNDRANGEMinMaxExc(0, 1) \* (1.0 minComp), minComp +
    RNDRANGEMinMaxExc(0, 1) \* (1.0 minComp), minComp + RNDRANGEMinMaxExc(0, 1) \*
     (1.0 minComp)], where minComp is the minimum value of each color component, for example,
     0.5.
- One way to generate a random pastel RGB color is to generate color = [RNDRANGEMinMaxExc(0, 1), RNDRANGEMinMaxExc(0, 1)] until a light-dark factor is greater than 0.75 and less than 0.9.
- To generate a random three-component color at or between two others (color1 and color2), generate Lerp3(color1, color2, RNDRANGEMinMaxExc(0, 1)).
- To generate a random shade of a given RGB color, generate Lerp3(color1, [0, 0, 0], RNDRANGEMinMaxExc(0.2, 1.0)).
- To generate a random tint of a given RGB color, generate Lerp3(color1, [1, 1, 1], RNDRANGEMinMaxExc(0.0, 0.9)).
- To generate a random tone of a given RGB color, generate Lerp3(color1, [0.5, 0.5, 0.5], RNDRANGEMinMaxExc(0.0, 0.9)).
- To generate a random monochrome RGB color, generate HslToRgb(H, RNDRANGEMinMaxExc(0, 1), RNDRANGEMinMaxExc(0, 1)), where H is an arbitrary hue.
- Random color sampling:
  - To select a random continuous color from a color map (colormap): ColorMapContinuous (colormap,

<sup>&</sup>lt;sup>91</sup>https://peteroupc.github.io/randomfunc.html

<sup>92</sup> https://peteroupc.github.io/randomfunc.html#Creating\_a\_Random\_Character\_String

RNDRANGEMinMaxExc(0, 1)).

- To select one random color from a color map (colormap): colormap[RNDINTEXC(size(colormap))]. See also "Sampling With Replacement: Choosing a Random Item from a List" <sup>93</sup>.
- To select several random colors from a color map: See "Sampling Without Replacement: Choosing Several Unique Items"<sup>94</sup>.
- Similar random colors: Generating a random color that's similar to another can be done by generating a random color (color1) until COLORDIFF(color1, color2) (defined earlier) is less than a predetermined threshold, where color2 is the color to compare.
- Image noise: This alters a color using random numbers, such as by adding or multiplying random numbers to that color. For example, in *uniform noise*, each component of a multicomponent color is changed to min(1,max(0,c+RNDRANGEMinMaxExc(-level, level))), where c is the value of the previous component and level is the noise level. Other kinds of image noise include noise following a Gaussian, Poisson, or other **probability distribution**<sup>95</sup>, and *salt-and-pepper noise* that involves replacing each pixel by black or white at a predetermined probability each.

Note: The methods in this section can also be implemented by using a *hash function*<sup>96</sup> to convert arbitrary data to "random" bits which can be used either directly or to initialize a pseudorandom number generator which can generate further "random" bits. For example, From888(MD5\_24("Hello World")), where MD5\_24() is the first 24 bits of the MD5 hash, can be interpreted as an 8-bpc encoded RGB color.

## 14 Spectral Color Functions

As mentioned earlier, color requires the existence of *light*, an *object*, and an *observer*. These three things can be specified as follows:

- **Light.** A light source can be specified as a *spectral power distribution* (SPD), a "curve" that describes the intensity of a light source across the electromagnetic spectrum.
- **Object.** There are two kinds of "objects": **reflective** (opaque) and **transmissive** (translucent or transparent). A *reflectance curve* or *transmittance curve*, respectively, describes the fraction of light that is reflected by or passes through the object, respectively.
- Observer. An observer's visual response can be modeled by three color-matching functions.

The SPD, the reflectance or transmittance curve, and the color-matching functions, are converted to three numbers (called *tristimulus values*) that uniquely identify a perceived color.

The pseudocode below includes a SpectrumToTristim method for computing tristimulus values. In the method:

- lightFunc(wl), reflFunc(wl), and cmfFunc(wl) are arbitrary functions described next. All three take a *wavelength* (wl) in nanometers (nm) and return the corresponding values at that wavelength. (See also note 1 later in this section.)
- lightFunc(wl) models a light source's SPD; it returns the source's relative intensity at the wavelength wl. Choices for lightFunc include—
  - a CIE daylight illuminant such as the D65 or D50 illuminant (see the **Python sample code**<sup>97</sup> for implementation),
  - the BlackbodySPD method given in "Color Temperature", and
  - the SPD for a light-emitting diode (LED), fluorescent, or other artificial light source.

 $<sup>^{93}</sup> https://peteroupc.github.io/randomfunc.html \# Sampling\_With\_Replacement\_Choosing\_a\_Random\_Item\_from\_a\_List_Choosing\_a\_Random\_A\_RANdom\_A\_RANdo$ 

 $<sup>^{94}</sup> https://peteroupc.github.io/randomfunc.html \#Sampling\_Without\_Replacement\_Choosing\_Several\_Unique\_Items$ 

 $<sup>^{95}</sup>$ https://peteroupc.github.io/randomfunc.html#Specific\_Non\_Uniform\_Distributions

<sup>96</sup> https://peteroupc.github.io/random.html#Hash\_Functions

<sup>97</sup> https://peteroupc.github.io/colorutil.zip

- reflFunc(wl) models the reflectance or transmittance curve and returns the value of that curve at the wavelength wl; the value is 0 or greater and usually 1 or less. (For optically brightened and other photoluminescent and fluorescent materials, the curve can have values greater than 1.)
- cmfFunc(wl) models three color-matching functions and returns a list of those functions' values at the wavelength wl. The choice of cmfFunc determines the kind of tristimulus values returned by SpectrumToTristim. Choices for cmfFunc include the CIE 1931 or 1964 standard observer, which is used to generate XYZ colors based on color stimuli seen at a 2-degree or 10-degree field of view, respectively. 98

```
METHOD SpectrumToTristim(reflFunc, lightFunc, cmfFunc)
    i = 360 // Start of relevant part of spectrum
    xyz = [0,0,0]
   weight = 0
    // Sample at 5 nm intervals
   while i <= 830 // End of relevant part of spectrum
             cmf=cmfFunc(i)
             refl=reflFunc(i)
             spec=lightFunc(i)
             weight=weight+cmf[1]*spec*5
             xyz[0]=xyz[0]+refl*spec*cmf[0]*5
             xyz[1]=xyz[1]+refl*spec*cmf[1]*5
             xyz[2]=xyz[2]+refl*spec*cmf[2]*5
             i = i + 5
    end
    if weight==0: return xyz
    // NOTE: Note that `weight` is constant for a given
   // color-matching function set and light source together,
   // so that `weight` can be precomputed if they will
    // not change.
   // NOTE: If `weight` is 1/683, `cmfFunc` outputs XYZ
   // values, and `reflFunc` always returns 1, then SpectrumToTristim
   // will output XYZ values where Y is a value in cd/m^2.
   xyz[0] = xyz[0] / weight
   xyz[1] = xyz[1] / weight
   xyz[2] = xyz[2] / weight
   return xyz
END METHOD
// Models a perfect reflecting diffuser or
// perfect transmitting diffuser
METHOD PerfectWhite(wavelength)
   return 1
END METHOD
```

1. Although lightFunc, reflFunc, and cmfFunc are actually continuous functions, in practice tristimulus values are calculated based on measurements at discrete wavelengths. For ex-

<sup>&</sup>lt;sup>98</sup>The CIE publishes **tabulated data** for the D65 illuminant and the CIE 1931 and 1964 standard observers at its Web site. In some cases, the CIE 1931 standard observer can be approximated using the methods given in Wyman, Sloan, and Shirley, "Simple analytic approximations to the CIE XYZ color matching functions", Journal of Computer Graphics Techniques 2(2), 2013, pp. 1-11.

ample, CIE Publication 15 recommends a 5-nm wavelength interval. For spectral data at 10-nm and 20-nm intervals, the practice described in ISO 13655 or in ASTM International E308 and E2022 can be used to compute tristimulus values (in particular, E308 includes tables of weighting factors for common combinations of cmfFunc and lightFunc). For purposes of color reproduction, only wavelengths within the range 360-780 nm (0.36-0.78 m) are relevant in practice.

2. Metamerism occurs when two materials match the same color under one viewing situation (such as light source, lightFunc, or viewer, cmfFunc, or both), but not under another. If this happens, the two materials' reflectance or transmittance curves (reflFunc) are called metamers. For applications involving real-world color matching, metamerism is why reflectance and transmittance curves (reflFunc) can be less ambiguous than colors in the form of three tristimulus values (such as XYZ or RGB colors). (See also B. MacEvoy's principle 38.)

**Examples:** In these examples, D65 is the D65 illuminant, D50 is the D50 illuminant, CIE1931 is the CIE 1931 standard observer, and ref1 is an arbitrary reflectance curve.

- 1. SpectrumToTristim(refl, D65, CIE1931) computes the reflectance curve's **XYZ color** (where a Y of 1 is the D65/2 white point).
- 2. SpectrumToTristim(refl, D50, CIE1931) is the same, except white is the D50/2 white point.
- 3. SpectrumToTristim(PerfectWhite, light, cmf) computes the white point for the given illuminant light and the color matching functions cmf.
- 4. SpectrumToTristim(PerfectWhite, D65, CIE1931) computes the D65/2 white point.
- 5. XYZTosRGB(SpectrumToTristim(refl, D65, CIE1931)) computes the reflectance curve's encoded sRGB color.
- 6. XYZTosRGB(CIE1931(wl)) computes the encoded sRGB color of a light source that emits light only at the wavelength wl (a monochromatic stimulus), where the wavelength is expressed in nm.

## 14.1 Color Temperature

A blackbody is an idealized material that emits light based only on its temperature. As a blackbody's temperature goes up, its chromaticity changes from red to orange to pale yellow up to sky blue.

The Planckian method shown below models the spectral power distribution (SPD) of a blackbody with the given temperature in kelvins (its **color temperature**). The BlackbodySPD method below uses that method (where TEMP is the desired color temperature). 99. Note that such familiar light sources as sunlight, daylight, candlelight, and incandescent lamps can be closely described by the appropriate blackbody SPD.

```
METHOD Planckian(wl, temp)
   num = pow(wl, -5)
   // NOTE: 0.014... was calculated based on
   // 2017 versions of Planck and Boltzmann constants, and
   // is rounded off to a limited number of decimal places.
   return num / (exp(0.0143877687750393/(wl*pow(10, -9)*temp)) - 1)
END METHOD

METHOD BlackbodySPD(wl) # NOTE: Relative only
   t=TEMP
   if t<60: t=60 # For simplicity, in very low temperature
   return Planckian(wl, t) * 100.0 /
        Planckian(560, wl)</pre>
```

<sup>&</sup>lt;sup>99</sup>See also J. Walker, "Colour Rendering of Spectra".

#### END METHOD

**Note:** If TEMP is 2856, the BlackbodySPD function above is substantially equivalent to the CIE illuminant A.

The concept "color temperature" properly applies only to blackbody chromaticities. For chromaticities close to a blackbody's, the CIE defines **correlated color temperature** (CCT) as the temperature of the blackbody with the closest (u, v) coordinates<sup>100</sup> to those of the given color. The CCT calculation uses the CIE 1931 standard observer. (According to the CIE, however, CCT is not meaningful if the straight-line distance between the two (u, v) points is more than 0.05.)

The following method (XYZToCCT), which computes an approximate CCT from an XYZ color, is based on McCamy's formula from 1992.

```
METHOD XYZToCCT(xyz)
    xyy = XYZToxyY(xyz)
    c = (xyy[0] - 0.332) / (0.1858 - xyy[1])
    return ((449*c+3525)*c+6823.3)*c+5520.33
END METHOD
```

**Note:** Color temperature, as used here, is not to be confused with the division of colors into warm (usually red, yellow, and orange) and cool (usually blue and blue green) categories, a subjective division which admits of much variation. But in general, in the context of light sources, the lower the light's CCT, the "warmer" the light appears, and the higher the CCT, the "cooler". However, CCT (or any other single number associated with a light source) is generally inadequate by itself to describe how a light source renders colors.

## 14.2 Color Mixture

The mixture of two colorants can be complex, and there are several approaches to simulating this kind of color mixture.

- As S. A. Burns indicates, two or more *reflectance curves*, each representing a **pigment or colorant**, can be mixed by calculating their *weighted geometric mean*, which takes into account the relative proportions of those colorants in the mixture; the result is a new reflectance curve that can be converted into an RGB color.<sup>101</sup>
- As **B. MacEvoy indicates**, two or more spectral curves for **transmissive materials** can be mixed simply by multiplying them; the result is a new spectral curve for the mixed material.
- An alternative method of color formulation, based on the **Kubelka–Munk theory**, uses two curves for each colorant: an absorption coefficient curve (K curve) and a scattering coefficient curve (S curve). The ratio of absorption to scattering (K/S) has a simple relationship to reflectance factors in the Kubelka–Munk theory. The Python sample code implements the Kubelka–Munk equations. One way to predict a color formula using this theory is described by E. Walowit in 1985<sup>102</sup>. ISO 18314-2 is also a relevant document.

For convenience, the WGM method below computes the weighted geometric mean of one or more numbers, where—

<sup>&</sup>lt;sup>100</sup>CIE Technical Note 001:2014 says the chromaticity difference ( $\Delta u \ ' \ v \ '$ ) should be calculated as the Euclidean distance between two  $u \ ' \ v \ '$  pairs and that a chromaticity difference of 0.0013 is just noticeable "at 50% probability".  $(u, \ v)$  coordinates, a former 1960 version of  $u \ '$  and  $v \ '$ , are found by taking u as  $u \ '$  and v as  $(v \ ' \ * 2.0 \ / \ 3)$ .

<sup>&</sup>lt;sup>101</sup>As **B. MacEvoy explains** (at "Other Factors in Material Mixtures"), things that affect the mixture of two colorants include their "refractive index, particle size, crystal form, hiding power and tinting strength" (see also his **principles 39 to 41**), and "the material attributes of the support [for example, the paper or canvas] and the paint application methods" are also relevant here. These factors, to the extent the reflectance curves don't take them into account, are not dealt with in this method.

<sup>&</sup>lt;sup>102</sup>Walowit, E. "Spectrophotometric color formulation based on two-constant Kubelka-Munk theory". Thesis, Rochester Institute of Technology, 1985.

- values is a list of values (for example, single values of several reflectance curves at the same point),
   and
- weights is a list of those values' corresponding weights (for example, mixing proportions of those curves).

```
METHOD WGM(values, weights)
  if size(values)!=size(weights): return error
  if size(values)==0: return values[0]
  sum=0
  i=0
  while i < size(weights)
    sum=sum+weights[i]
    i=i+1
  end
  if sum<=0: return error
  ret=1
  while i < size(values)
    ret=ret*pow(values[i],weights[i]/sum)
    i=i+1
  end
  return ret
END METHOD</pre>
```

## 15 Conclusion

This page discussed many topics on color that are generally relevant in programming.

Feel free to send comments. They may help improve this page. In particular, corrections to any method given on this page are welcome.

I acknowledge—

- the CodeProject user Mike-MadBadger, who suggested additional clarification on color spaces and color models,
- "RawConvert" from the pixls.us discussion forum,
- Elle Stone, and
- Thomas Mansencal.

The following topics may be added in the future based on reader interest:

- The CAM02 color appearance model.
- Color rendering metrics for light sources, including color rendering index (CRI) and the metrics given in TM-30-15 by the Illuminating Engineering Society.

The following topics would greatly enrich this document:

- A method for performing color calibration and color matching using a smartphone's camera and, possibly, a color calibration card or white balance card, provided that method is not covered by any active patents or pending patent applications.
- Reference source code for a method to match a desired color on paper given spectral reflectance curves
  of the paper and of the inks being used in various concentrations, provided that method is not covered
  by any active patents or pending patent applications.

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