

RETURN THIS COVER SHEET WITH YOUR EXAM AND SOLUTIONS!

Geometry-Topology
Ph.D. Preliminary Examination
Department of Mathematics
University of Colorado

August, 2017

INSTRUCTIONS:

- (1) Answer each of the six questions on a separate page. Turn in a page for each problem even if you cannot do the problem.
- (2) Label each answer sheet with the problem number.
- (3) **Put your number, not your name, in the upper right hand corner of each page.**
If you have not received a number, please choose one (1234 for instance) and notify the graduate secretary as to which number you have chosen.

Problem 1. For $j = 0, 1$, let $T_j \subset \mathbb{R}^3$ be the 2-torus obtained by rotating the circle $C_j \subset \mathbb{R}^3$

$$C_j = \left\{ \begin{array}{l} x = 0 \\ (y - 3)^2 + (z - 3j)^2 = 1 \end{array} \right.$$

about the z -axis; endow T_j with the subspace topology induced from the standard topology on \mathbb{R}^3 . Parameterize the circle C_j by:

$$c_j(t) = (0, 3 + \cos(t), 3j + \sin(t)), \quad t \in [0, 2\pi], \quad j = 0, 1.$$

Let $X := (T_0 \sqcup T_1) / \sim$ be the quotient space of the disjoint union

$$T_0 \sqcup T_1$$

modulo the equivalence relation \sim generated by:

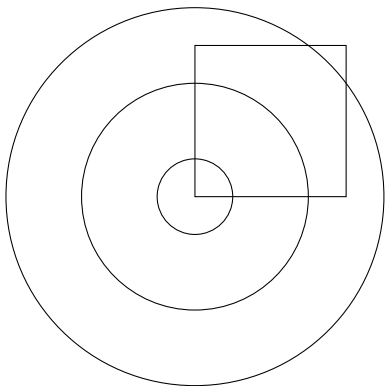
$$\forall t \in [0, 2\pi] : c_0(t) \sim c_1(t).$$

- (1) What is $H_0(X)$? Explain.
- (2) Use the Seifert–van Kampen Theorem to compute the fundamental group of X .

Problem 2. Let $(\mathcal{X}, \mathfrak{T})$ be a topological space, and let $\{A_\lambda : \lambda \in \Lambda\}$ be a collection of compact subsets of \mathcal{X} .

- (1) Prove that if Λ is finite, then $\bigcup_{\lambda \in \Lambda} A_\lambda$ is compact.
- (2) Give an example of a topological space $(\mathcal{X}, \mathfrak{T})$, an infinite set Λ , and a collection $\{A_\lambda : \lambda \in \Lambda\}$ of pairwise disjoint non-empty compact subsets of \mathcal{X} such that $\bigcup_{\lambda \in \Lambda} A_\lambda$ is compact.

Problem 3. Consider the topological subspace Y of \mathbb{R}^2 , with the subspace topology induced from the standard topology on \mathbb{R}^2 , and formed by the lines as in the figure below:



- (1) The space Y is homotopic to a bouquet of circles. How many? Explain.
- (2) Is the space Y homeomorphic to a bouquet of circles? Explain.

Problem 4. Let n be a positive integer, and let (a_{ij}) be a nonzero symmetric $(n+1) \times (n+1)$ matrix with real entries a_{ij} . Consider the function

$$f : \mathbb{R}^{n+1} \longrightarrow \mathbb{R}$$

$$f(x_0, \dots, x_n) = \sum_{i,j=0}^n a_{ij} x_i x_j,$$

and let $Q := f^{-1}(0) \cap (\mathbb{R}^{n+1} - \{0\})$ be the corresponding zero set of f in $\mathbb{R}^{n+1} - \{0\}$.

- (1) Use the Implicit Function Theorem to show that Q is a smooth submanifold of $\mathbb{R}^{n+1} - \{0\}$, provided that $\det(a_{ij}) \neq 0$, i.e., the determinant of the matrix (a_{ij}) is nonzero.

- (2) Can the converse to part (1) fail? In other words, can Q be a smooth submanifold of $\mathbb{R}^{n+1} - \{0\}$ even if $\det(a_{ij}) = 0$? Explain.

Problem 5. Let M be a smooth manifold. Denote by $\mathfrak{X}(M)$ the vector space of smooth vector fields on M , and for each nonnegative integer k denote by $\Omega^k(M)$ the vector space of smooth k -forms on M .

- (1) Let $X_1, \dots, X_{k+1} \in \mathfrak{X}(M)$ and $\omega \in \Omega^k(M)$. It is a fact that

$$\begin{aligned} d\omega(X_1, \dots, X_{k+1}) &= \sum_{i=1}^{k+1} (-1)^{i+1} X_i(\omega(X_1, \dots, \hat{X}_i, \dots, X_{k+1})) \\ &\quad + \sum_{1 \leq i < j \leq k+1} (-1)^{i+j} \omega([X_i, X_j], X_1, \dots, \hat{X}_i, \dots, \hat{X}_j, \dots, X_{k+1}). \end{aligned}$$

Confirm this fact for 1-forms; i.e., for the case $k = 1$. The notation \hat{X}_i indicates that X_i is to be omitted.

- (2) Given a covariant derivative ∇ on M , there is an induced map

$$\begin{aligned} D : \mathfrak{X}(M) \times \Omega^k(M) &\longrightarrow \Omega^k(M) \\ (D_X \omega)(X_1, \dots, X_k) &= X(\omega(X_1, \dots, X_k)) \\ &\quad - \sum_{i=1}^k \omega(X_1, \dots, X_{i-1}, \nabla_X X_i, X_{i+1}, \dots, X_k) \end{aligned}$$

where $X, X_1, \dots, X_k \in \mathfrak{X}(M)$ and $\omega \in \Omega^k(M)$; here we are denoting $D(X, \omega) = D_X \omega$. It is a fact that for $X_1, \dots, X_{k+1} \in \mathfrak{X}(M)$ and $\omega \in \Omega^k(M)$, if ∇ is torsion-free, then

$$d\omega(X_1, \dots, X_{k+1}) = \sum_{i=1}^{k+1} (-1)^{i+1} (D_{X_i} \omega)(X_1, \dots, \hat{X}_i, \dots, X_{k+1}).$$

Confirm this fact for 1-forms; i.e., for the case $k = 1$. [Hint: Use part (1), and recall that the torsion T of ∇ is defined by $T(X_1, X_2) = \nabla_{X_1} X_2 - \nabla_{X_2} X_1 - [X_1, X_2]$.]

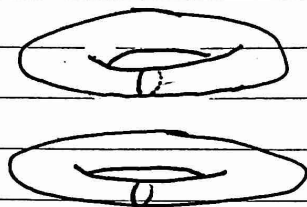
- (3) Given a covariant derivative ∇ on M , we say that a smooth k -form $\omega \in \Omega^k(M)$ is parallel with respect to ∇ if $D_X \omega = 0$ for all smooth vector fields $X \in \mathfrak{X}(M)$. Use part (2) of this problem (without proof) to show that if a smooth k -form $\omega \in \Omega^k(M)$ is parallel with respect to a torsion-free connection ∇ , then ω is d -closed.

Problem 6. Let M be a compact oriented manifold of dimension n without boundary, and let k be an integer such that $0 \leq k \leq n$. Show that if $\omega \in \Omega^k(M)$ is d -exact and $\eta \in \Omega^{n-k}(M)$ is d -closed, then one has

$$\int_M \omega \wedge \eta = 0.$$

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- 1) This topological space is given by two tori with their u -coordinate circles identified.



(a) We know that $H_0(X)$ is the free abelian group on p generators where p is the number of path components of X . Now, we have that $H_0(T_i) = \mathbb{Z}$ since the Torus is path connected, and $H_0(T_0 \sqcup T_1) = \mathbb{Z} * \mathbb{Z}$. However, since \sim equates a path in T_0 with a path in T_1 , both of which are path connected, we get that $T_0 \sqcup T_1 / \sim$ is path connect. Hence $H_0(X) = \mathbb{Z}$.

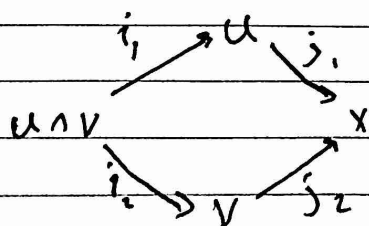
- (b) Let $U \subseteq X$ be the set such that

$$\pi^{-1}(U) = \begin{array}{c} \text{Diagram of } T_0 \text{ with a horizontal loop } U \\ \text{---} \end{array} \xrightarrow{\quad H \quad} \begin{array}{c} \text{Diagram of } T_1 \text{ with a horizontal loop } V \\ \text{---} \end{array}$$

homotopy

and let V be defined similarly but with the Torus T_1 in it's preimage. We can pretty easily see that, since $c_0 \sim c_1$, U and V are homotopic to a torus under the homotopy $\pi \circ H$. Hence $\pi_1(U) = \langle \alpha, \beta \mid \alpha\beta = \beta\alpha \rangle$, $\pi_1(V) = \langle \gamma, \delta \mid \gamma\delta = \delta\gamma \rangle$, and $\pi_1(U \cap V) = \langle \mathbb{Z} \rangle$. Using SVK, we get that

$$\begin{aligned} \pi_1(X) &= \pi_1(U) *_{\pi_1(U \cap V)} \pi_1(V) = \frac{\langle \alpha, \beta \mid \alpha\beta = \beta\alpha \rangle * \langle \gamma, \delta \mid \gamma\delta = \delta\gamma \rangle}{\langle i_* (\mathbb{Z}) = j_* (\mathbb{Z}) \rangle} \\ &= \frac{\langle \alpha, \beta \mid \alpha\beta = \beta\alpha \rangle * \langle \gamma, \delta \mid \gamma\delta = \delta\gamma \rangle}{\langle \alpha = \delta \rangle} \\ &= \langle \alpha, \beta, \delta \mid \alpha\beta = \beta\alpha, \alpha\delta = \delta\alpha \rangle \\ &= \mathbb{Z} * \mathbb{Z} \oplus \mathbb{Z}. \end{aligned}$$



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- 2) (a) Suppose $\{A_\lambda\}_{\lambda \in \Lambda}$ is a collection of compact subsets with Λ finite. Define $\tilde{A} = \bigcup_{\lambda \in \Lambda} A_\lambda$ and consider any open cover $\{U_i\}_{i \in I}$ of \tilde{A} . For any $A_\lambda \subseteq \tilde{A}$, this is also an open cover of A_λ , so there must exist some finite subcover $\{U_j^{\lambda}\}_{j=1}^{n_\lambda}$. Then the collection

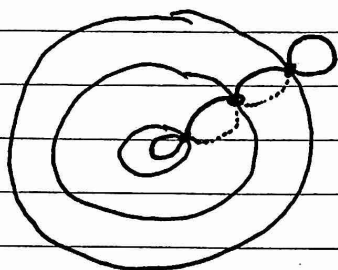
$$\bigcup_{\lambda \in \Lambda} \{U_j^{\lambda} : j=1, \dots, n_\lambda\} \subseteq \{U_i\}_{i \in I}$$

is a finite subcover of A since it is a finite union of sets with finitely many elements.

- (b) Consider $X = \mathbb{R}$ and $\mathcal{T} = \{\emptyset, \mathbb{R}\}$. Then every singleton set is compact in (X, \mathcal{T}) , and \mathbb{R} is also compact. So $\{\{x\} : x \in \mathbb{R}\}$ is an infinite collection of disjoint compact sets whose union is also compact.

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- 3) (a) Yes. Homotopy allows us to contract the space Y along the arcs of the circles contained within the square to produce the space



Contracting again along the dotted lines given above, we obtain a bouquet of 7 circles. So Y is homotopic to a bouquet of 7 circles.

- (b) No. Homeomorphic spaces have isomorphic fundamental groups and Homotopic spaces have isomorphic fundamental groups. Now the wedge of n circles has fundamental group isomorphic to the free group on n generators, and we just saw in part (a) that Y is homotopic to a wedge of 7 circles, so if Y is to be homeomorphic to some bouquet of circles, it would have to be the bouquet of 7 circles. Suppose that there was some homeomorphism $\varphi: \bigvee_{i=1}^7 S^1 \rightarrow Y$, and let p be the point of intersection of the 7 circles in $\bigvee_{i=1}^7 S^1$. Then φ induces a homeomorphism $\psi: \bigvee_{i=1}^7 S^1 \setminus \{p\} \rightarrow Y \setminus \{\varphi(p)\}$, but this is impossible since $\bigvee_{i=1}^7 S^1 \setminus \{p\}$ has 7 path-components while $Y \setminus \{\varphi(p)\}$ will still only have 1. Thus Y is not homeomorphic to a bouquet of circles.

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- 4) Suppose $\det(a_{ij}) \neq 0$, and let $U \subseteq \mathbb{R}^n \times \mathbb{R}$ contain Q with $0 \notin U$. We have that

$$\begin{aligned} \frac{\partial f}{\partial x^n} &= \sum_{i=0}^{n-1} (a_{n,i} x_i + a_{i,n} x_i) + 2a_{n,n} x_n \\ &= 2 \sum_{i=0}^n a_{n,i} x_i. \end{aligned}$$

Since $\det(a_{ij}) \neq 0$ we have that no column or row of (a_{ij}) is zero. Then $\forall (\hat{x}, \hat{y}) \in Q$ $[(\hat{x}, \hat{y}) \in \mathbb{R}^n \times \mathbb{R}]$, $(\hat{x}, \hat{y}) \in Q$, $f(\hat{x}, \hat{y}) = 0$, and

$$\left(\frac{\partial f^i}{\partial y^j}(\hat{x}, \hat{y}) \right)$$

is nonsingular, so by the Implicit Function Theorem, there exist $V^{\hat{x}} \subseteq \mathbb{R}^n$, $W^{\hat{y}} \subseteq \mathbb{R}$ with $V^{\hat{x}} \times W^{\hat{y}} \subseteq U$ and a function $F_{(\hat{x}, \hat{y})} : V^{\hat{x}} \rightarrow W^{\hat{y}}$ such that $f^{-1}(0) \cap V^{\hat{x}} \times W^{\hat{y}}$ is the graph of $F_{(\hat{x}, \hat{y})}$. Taking $V_0 \times W_0 = \bigcup_{(\hat{x}, \hat{y}) \in Q} V^{\hat{x}} \times W^{\hat{y}}$ we can then

define a function $F : V_0 \rightarrow W_0$ such that $F|_{V^{\hat{x}} \times W^{\hat{y}}} = F_{(\hat{x}, \hat{y})}$

and $f^{-1}(0) \cap V_0 \times W_0 = f^{-1}(0) \cap (\mathbb{R}^{n+1} \setminus \{0\})$ is the graph of F . Thus Q appears as the graph of a smooth function from \mathbb{R}^n to \mathbb{R} and is therefore a smooth submanifold of $\mathbb{R}^n \times \mathbb{R} = \mathbb{R}^{n+1}$.

- (b) The converse can fail. If $a_{ij} = 0$ whenever $i \neq n \neq j$ but $a_{ij} = 1$ if $i = n$ or $j = n$, then $\det(a_{ij}) = 0$ for $n \geq 2$ but

$$\left(\frac{\partial f^i}{\partial y^j}(\hat{x}, \hat{y}) \right)$$

is still nonsingular for $(\hat{x}, \hat{y}) \in Q$, so we can still apply the IFT to get the result.

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5) (a) If $\omega \in \Omega^1(M)$ then ω is expressible as $u dv \in \omega$ for some smooth functions u and v . Then we have

$$d(u dv)(x_1, x_2) = du \wedge dv(x_1, x_2) \\ = X_1 u X_2 v - X_2 u X_1 v$$

and

$$\sum_{i=1}^{k+1} (-1)^{i+1} X_i (\omega(x_1, \dots, \hat{x}_i, \dots, x_{k+1})) + \sum_{1 \leq i < j \leq k+1} (-1)^{i+j} \omega([x_i, x_j], \dots, \hat{x}_i, \dots, \hat{x}_j, \dots, x_{k+1}) \\ = X_1 (u dv(x_2)) - X_2 (u dv(x_1)) - u dv([x_1, x_2]) \\ = (X_1 u X_2 v + u X_1 X_2 v) - (X_2 u X_1 v + u X_2 X_1 v) - u [x_1, x_2] v \\ = X_1 u X_2 v - X_2 u X_1 v.$$

So the formula holds when ω is a 1-form.

(b) We have that

$$\sum_{i=1}^{k+1} (-1)^{i+1} D_{x_i} \omega(x_1, \dots, \hat{x}_i, \dots, x_{k+1}) = D_{x_1} \omega(x_2) - D_{x_2} \omega(x_1) \\ = X_1 (\omega(x_2)) - \omega(\nabla_{x_1} x_2) - X_2 (\omega(x_1)) + \omega(\nabla_{x_2} x_1) \\ = X_1 (\omega(x_2)) - X_2 (\omega(x_1)) - \omega(\nabla_{x_1} x_2 - \nabla_{x_2} x_1)$$

Since ∇ is torsion-free, we have that $T(x_1, x_2) = \nabla_{x_1} x_2 - \nabla_{x_2} x_1 - [x_1, x_2] = 0$ so

$$X_1 (\omega(x_2)) - X_2 (\omega(x_1)) - \omega(\nabla_{x_1} x_2 - \nabla_{x_2} x_1) = (\omega(x_2)) - X_2 (\omega(x_1)) - \omega([x_1, x_2]) \\ = d\omega \text{ by part (a).}$$

So the formula holds for 1-forms.

(c) Suppose ω is parallel with respect to ∇ ; then by part

(b) we have

$$d\omega(x_1, \dots, x_{k+1}) = \sum_{i=1}^{k+1} (-1)^{i+1} D_{x_i} \omega(x_1, \dots, \hat{x}_i, \dots, x_{k+1}) \\ = \sum_{i=1}^{k+1} 0 \\ = 0$$

So ω is d -closed.

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- (c) Suppose $\omega \in \Omega^k(M)$ is d-exact. Then there is some $\alpha \in \Omega^{k-1}(M)$ with $d\alpha = \omega$. Suppose in addition that $\eta \in \Omega^{n-k}(M)$ is d-closed so $d\eta = 0$. Then we have that

$$\begin{aligned} d(\alpha \wedge \eta) &= d\alpha \wedge \eta + (-1)^{k-1} \alpha \wedge d\eta \\ &= d\alpha \wedge \eta \\ &= \omega \wedge \eta \end{aligned}$$

Then if we apply Stokes' Theorem, we get that

$$\int_M \omega \wedge \eta = \int_M d(\alpha \wedge \eta) = \int_{\partial M} \alpha \wedge \eta = \int_{\emptyset} \alpha \wedge \eta = 0.$$