

# Scala Notes

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**Part I**

**Functional Principles**

# Chapter 1

## Functional Paradigms

### 1.1 Functional Programming

Mutation is where an attribute of a variable can change while the identity of the variable is maintained. For example, could define a polynomial class, then set a certain coefficient to a particular value.

Functional programming is a programming strategy that avoids mutation/reliance on state information. Immutable values are used. These can be transformed, but the idea is to minimise side effects. We don't want to pass an argument to a function that will then modify that argument. Input goes in, return value comes out with inputs unchanged.

The restricted definition of a functional programming framework is one in which there are no mutable variables, assignments, or imperative control structures. In a wider sense, functional programming can be carried out in any language that allows the construction of elegant programs that focus on functions.

In scala, functions are first class objects. They can be treated and passed around just like any other variable (as in python)

### 1.2 call-by-name, call-by-value

Functions arguments in scala can be handled two ways. The argument can be called by name, or called by value.

---

```
//call by value
def CBVfunc(a:Int):Int = {...}
```

---

Function CBVfunc takes an int, returns an Int. The integer argument a is evaluated when the function is called.

---

```
//call by name
def CBNfunc(a: =>Int): Int = {...}
```

---

Function CBNfunc takes an integer argument (a), which is evaluated when it needs to be (or not at all!).

Not all functions terminate, infinite loops are a thing

Both call by value and call by name will reduce to the same outcome provided

- the reduced expression consists of pure functions (no state information/-side effects?)
- both evaluations terminate (no infinite loops)

if call by value terminates, then call by name will also converge is not guaranteed: call by name termination does not imply call by value termination in call by name, unused arguments are not evaluated

- could have a function that takes two arguments. The second argument is not used (always)
- could pass a non-terminating input to the CBN function, which is not used. no big deal
- call by value will try to evaluate it and get stuck

Below is an example of a function that will terminate when arguments are called by name but not when called by value.

---

```
// an infinite loop, this run indefinitely when evaluated
def loop = loop

// call by value function, arguments are evaluated once when the
// function is called
def mooseCBV(a: Int, b: Int):Int = a

// this evaluates loop, starting the infinite loop...
mooseCBV(1,loop)

// same as above, but using call-by-name (=>)
def mooseCBN(a: =>Int, b: => Int) = a

// returns 1, the loop is not evaluated
mooseCBN(1,loop)
```

---

Scala uses call by value by default, unless the function arguments are defined with =>

## 1.3 Conditionals

Boolean operations don't always need to evaluate the right hand operand (short circuit evaluation)

```

true && e -> e
false && e -> false
true || e -> true
false || e -> e

```

Things can be defined by name or by value. so `def x = loop` is a function, it is not evaluated until it needs to be (call by name).

`val x = loop` evaluates to loop (call by value) immediately. this will kill your scala session/repl.

## 1.4 Recursion

Recursive functions must always have their return type explicitly defined (to make the compiler's life easier).

### 1.4.1 Tail Recursion

If a function calls itself as its last action, the stack frame can be reused. Essentially it acts the same as a loop.

If a function's last action is to call a function, (maybe the same, maybe different function), then the stack frame can be used - this is a tail-call (tail recursion is recursive tail-calling).

Tail recursive factorial example (works)

---

```

def factorial(N:Int) = {
  @scala.annotation.tailrec
  def currentProd(n:Int, prod:Int) :Int = {
    if (n==0) prod
    else currentProd(n-1,n*prod)
  }
  currentProd(N,1)
}

```

---

A lot of loops can be replaced by tail recursion. Usually this involves defining an inner function for the actual recursion, which accepts an accumulator argument in addition to other parameters. Recursive invocations of the inner function pass the current value of the accumulator, or return something when termination condition is met. For tail recursion (or recursion in general), it seems helpful to define the termination conditions at the very beginning, then figure out the remaining logic.

## 1.5 Blocks and Scope

A block is defined by curly braces {}

Definitions inside a block are invisible outside the block. Definitions from outside the block are visible inside, provided they have not been shadowed. A

lot of object oriented functionality can be warngled from scopes and closures. Methods defined within a class constructor have access to the parameters of that constructor, even if those parameters are not assigned to fields of the class. For example:

---

```
class NonEmpty(elem: Int, left: IntSet, right: IntSet) extends IntSet {  
  def contains(x: Int): Boolean = if (x < elem) left.contains(x)  
    else if (x > elem) right.contains(x)  
    else true  
  ...  
}
```

---

the method contains refers to elem.

## 1.6 higher order functions

functions are first class values they can be passed and returned a function that does this is called a higher order function

this can be used to factor out common procedures. For example,

---

```
sumFunc(a: Int, b: Int, f: Int => Int): Int = {  
  if (a > b) 0 else f(a) + sumFunc(a+1, b, f)  
}
```

---

defines a function sumFunc, that takes two integers and a function that takes an Int and returns an Int (Int => Int) For example, we could sum all squares or cubes between 2 and 5 by calling

---

```
sumFunc(2, 5, square)  
sumFunc(2, 5, cube)
```

---

The notation `A => B` is a function type. it is a type that defines a mapping from type `A` to type `B` (by a function)

## 1.7 anonymous functions

strings exist as literals. We can just write "abc", and the compiler knows it to be a string. We don't need to do `def str = "abc"; println(str)` instead `println("abc")` works just fine Same can be done with functions, we don't always need to define a function, we can define anonymous functions as needed. (same as lambda functions in python)

these are defined like this

---

```
(x: Int) => x*x*x
```

---

the type of x can be omitted if it can be inferred.



anonymous functions are syntactic sugar: `(x:Int) = x*x` and `def f(x:Int)=x*x;`  
`f` evaluate to the same.

tail recursive sum

---

```
def sum(f: Int => Int, a:Int, b:Int) = {  
  @scala.annotation.tailrec  
  def doSum(total:Int, aval:Int):Int = {  
    if (aval > b) total else doSum(total + f(aval),aval+1)  
  }  
  doSum(0,a)  
}
```

---

## Chapter 2

# currying

from the scala docs

”Methods may define multiple parameter lists. When a method is called with a fewer number of parameter lists, then this will yield a function taking the missing parameter lists as its arguments.”

For example, consider a function `sum`, which takes a function `f` and returns a function taking two integers as parameters (the bounds).

---

```
def sum (f: Int => Int) :(Int, Int) => Int = {  
  def sumF(a:Int,b:Int): Int = {  
    if (a>b) 0 else f(a) + sumF(a+1,b)  
  }  
  sumF  
}
```

---

The function `sum` now takes a function, and returns a function (return type is `(Int,Int)=> Int`). the returned function will take two `Int`s and return one. In this case, when an initial function (`f`) is passed into `sum`, it will return a function that sums the initial function (`f`) within the supplied bounds.

so, we could do

---

```
def sumCubes = sum((x:Int) => x*x*x)  
def sumSquares = sum((x:Int) => x*x)  
// and then invoke them  
sumSquares(2,3) // 13  
sumCubes(4,7) // 748
```

---

Alternatively, we could invoke the returned function directly

---

```
val moose = sum((x:Int)=>x*x) (2,3) //13
```

---

Again, there is some syntactic sugar for currying. For example

---

```
def sum(f:Int => Int) (a:Int,b:Int): Int = {
  if (a>b) 0 else f(a) + sum(f)(a+1,b)
}
```

---

is equivalent to the definition of sum above, but without the definition of the inner function. When invoked as `sum(func)` the return type will be a function that takes two integers as parameters: the bounds a and b of the original sum function (the second parameter list). As mentioned above, when a function taking multiple parameter lists is invoked with one or more parameter lists absent, then the return type is a function that takes the missing parameter lists.

## 2.1 multiple parameter lists

Functions can be specified with multiple sets of parameter lists

---

```
def f(args_1)...(args_n) = E
```

---

For  $n > 1$ , this is equivalent to

---

```
def f(args_1)...(args_n-1) = {def g(argsn) = E ; g}
// or
def f(args_1)...(args_n-1) = (args_n => E)
```

---

Carrying this through gives

---

```
def f = (args1 => (args2 => ...(argsn => E)))
```

---

named after Haskell Brooks Curry (same guy Haskell language is named after).

As an example, we can write a function that calculates the product of values of a function for points on an interval. We can then define a factorial function in terms of these products.

Our original product and factorial functions look like this

---

```
def product(f: Int=>Int) (a:Int,b:Int) :Int = {
  if (a>b) 1 else product(f)(a+1,b)*f(a)
}

def factorial(n:int) = product((x:Int) =>x)(1,n)
```

---

Now we will use currying to generalise. In this case we will calculate the sum and product of squares (rather than factorials)

---

```
def CumulativeFunctionOperation(operation: (Int,Int) => Int,
  initVal:Int)(f:Int=>Int)(a:Int,b:Int):Int = {
```

---

```

    if (a>b) initVal else
      operation(f(a),CumulativeFunctionOperation(operation,initVal)(f)(a+1,b))
  }

def sum2:(Int=>Int)=>(Int,Int)=>Int =
  CumulativeFunctionOperation((x:Int,y:Int)=> x+y,0)

sum2(x=>x*x)(2,3) // 13

def prod2:(Int=>Int)=>(Int,Int)=>Int =
  CumulativeFunctionOperation((x:Int,y:Int)=> x*y,1)

prod2(x=>x*x)(2,3) // 36
// factorial
def fact(n:Int) = prod2(x=>x)(1,n)

```

---

CumulativeFunctionOperation is a form of map-reduce. The operation being apply is a reducer (it takes two inputs and returns a single value). The supplied function is the mapper, for sum2 and prod2 this is the anonymous function `x=>x*x` which computes squares, for fact this is the identity function `x=>x`. The bounds of CFO define the sequence that we are map/reducing. This is pretty neat. These functions are in the worksheet “currying.sc“ under week2/misc\_worksheets project

## 2.2 Aside - functional implementation of sets

The week 2 assignment was interesting. A set can be implemented as a function that returns true if it contains the supplied argument. The union of two sets is then the “or” of their characteristic functions, and the intersection the “and”. The implementation of a “map” function took me a while to figure out. Instead of thinking of map as `[f(x) for x in set]`, we define the mapped characteristic as a check to see whether the initial set contains (any) element that would map to x. It’s a bit backwards.

```

def map(s: Set, f: Int => Int): Set = (x:Int) => exists(s,(y:Int)=> f(y)
  == x)
// exists returns true if any element in the set s satisfies the
  supplied condition, false otherwise.

```

---

The code for this assignment is under `week2/funsets/src/main/scala/funsets/FunSets.scala`

## Chapter 3

# Classes

Classes are a type of object that contain methods (member functions) and fields (member data). A class representing a rational number is defined below. Some more examples related to classes are in the rational worksheet (week2/misc\\_worksheets/rationals.sc).

---

```
class Rational(x:Int, y:Int) {  
  def method(args:Int) = block  
}
```

---

The class definition is the constructor - a new instance of Rational can be created using `val rat = new Rational(2,3)`. Class methods are public by default, private methods should be prefaced with the `private` declaration. Overriding methods should be prefaced with the `override` declaration.

In a class method, the instance of the class can be referenced with `this` (In lectures, the argument to class methods is often "that", so multiplication could be written `new Rational(this.numer*that.numer, this.denom*that.denom)`)

Class fields can be explicitly assigned in the constructor

---

```
class foo(A: Int) {  
  val memberInt = A  
}
```

---

or alternatively the fields can be defined implicitly in the constructor - `class foo(val memberInt: Int){}`. Both of these definitions are equivalent.

Instances of a class are objects. This can be ambiguous, as objects are a thing in Scala (see below)

### 3.1 Aside - require and assert

Require is a function that can be used to make sure the class being initialised meets some conditions, for example see the rational worksheet (week2/misc\\_worksheets/rationals.sc).

We require that a class be initialised with a nonzero denominator. `require` takes a condition and a string as arguments. If the condition is not met, then an `IllegalArgumentException` is thrown and the string is printed.

The `assert` function behaves similarly. `Assert` also takes a condition and a string, but throws an `AssertionError` when the condition is not met. The intent is that `require` enforces a precondition on the caller of a function, while `assert` is used to check the code of the function itself. `Require` is to make sure the function is called as intended, `assert` is to check that things are not borked in the internals.

## 3.2 Alternate constructors

Alternate constructors can be created by defining "this" as a function that takes alternate arguments (by signature), and then invoking the primary constructor appropriately (again, using `this`) For example, an alternate constructor for `Rational` could be defined (within `Rational`) as `def this(x: Int) = this(x, 1)`. Then `val moose = new Rational(3)` will be mapped to `Rational(3, 1)`.

## 3.3 Objects

Objects are defined similarly to classes, but using the keyword `object` instead of `class`. Objects can also inherit from (extend) other classes and traits. The difference is that objects are singleton, that is, only a single instance of an object may be created. Objects may be referenced in the scope they are defined in, or imported from another package.

### 3.3.1 companion objects

If an object has the same name as a class then it is a companion object. In scala, class or static methods do not exist. Things like class methods can be defined in a companion object, class instances can always access the methods of their companion objects

This example is taken from the scala docs <https://docs.scala-lang.org/tour/singleton-objects.html>

---

```
import scala.math._
case class Circle(radius: Double) {
  import Circle._
  def area: Double = calculateArea(radius)
}
object Circle {
  private def calculateArea(radius: Double): Double = Pi * pow(radius,
    2.0)
}
val circle1 = new Circle(5.0)
circle1.area
```

```
}
```

---

Objects can be useful for defining factory methods.

## 3.4 Defining operators/ infix notation

Any method with a parameter can be used like an infix operator. `r add s` is equivalent to `r.add(s)`, `r less s` to `r.less(s)`, etc.

Operators may also be used as identifiers. Valid identifiers in scala can have the following forms

- `alphanumeric` - starts with letter, followed by letters/numbers
- `symbolic` - start with operator symbol `+:?~#`, followed by other operator symbols
- `underscore` - counts as letter
- `alphanumeric` can end in `_` followed by operator symbols

so `x_`, `x_*`, `x1`, `*`, `vector_++`, `counter_` are all valid identifiers. Things like `+`, `-`, `<`, `>` can just be defined as class methods.

unary operators should be defined using the prefix `unary_`. For example, there is a distinction between the binary version of “-” (subtraction) and the unary “-” (negation). `-Foo` is equivalent to `Foo.unary_-()`

## 3.5 operator precedence

Details on operator precedence can be found here: <https://docs.scala-lang.org/tour/operators.html>

---

```
a + b ^? c ^? d less a ==> b | c
```

---

can be rewritten (parenthesised) as

---

```
((a + b) ^? (c ^? d)) less ((a ==> b) | c)
```

---

## 3.6 Classes, hierarchies, and dynamic binding

Classes (and objects) can inherit from existing classes or traits.

---

```
class baseAdder() {  
  def addTwo(x:Int) = x +2  
}  
class badAdder() extends baseAdder {  
  def addThree(x:Int) = x + 4  
}
```

```

    override def addTwo(x: Int) = x + 1
  }
  val moose = new baseAdder()
  moose.addTwo(2) // Int = 5
  val caribou = new badAdder()
  caribou.addTwo(1) // Int = 2
  caribou.addThree(4) //Int = 8

```

---

### 3.6.1 abstract classes

Abstract classes, like in c++, are classes with definitions that lack implementations. They are prefaced with the keyword `abstract`. If a class is defined as abstract, then it can not be instantiated using `new` (compiler will give an error).

```

abstract class IntSet {
  def incl(x: Int): IntSet
  def contains(x: Int) Boolean
  def union(other: IntSet): IntSet
}

```

---

### 3.6.2 traits

Traits are like interfaces in Java. They can declare methods and fields but provide no implementation. Traits take no parameters, and can not be instantiated.

```

trait Pet {
  val name: String
}

```

---

### 3.6.3 subtyping

We use the extend keyword to derive a class.

```

class NonEmpty(elem: Int, left: IntSet, right: IntSet) extends IntSet {
  def contains(x: Int): Boolean = if (x < elem) left.contains(x)
    else if (x > elem) right.contains(x)
    else true
  def incl(x: Int): IntSet = if (x < elem) new
    NonEmpty(elem, left.incl(x), right)
    else if (x > elem) new NonEmpty(elem, left, right.incl(x))
    else this
  override def toString = s"{ ${left} ${elem} ${right} }"
  override def union(other: IntSet) =
    left.union(right).union(other).incl(elem)
}
class Empty extends IntSet {

```



```

def contains( elem: Int): Boolean = false
def incl(x:Int): IntSet = new NonEmpty(x,new Empty, new Empty)
override def toString = "."
}

```

---

this implies that both empty and nonempty meet all the criteria of intset (implement union, contains and incl), but may have additional functionality. Instances of these classes can be used whenever IntSets are required. IntSet is the **superclass** of both Empty and NonEmpty (which are **subtypes** of IntSet). If no extend clause is given when defining a class, then the standard java class "object" is assumed.

In java and scala a class can only have one superclass (not true in python or c++). A class may only extend from a single class (or trait). If there are several natural (potential) superclasses, use traits instead.

```

trait Planar {
  def height: Int
  def width: Int
  def surface = width*height
}
class Square extends Shape with Planar with Movable with ...

```

---

### 3.6.4 dynamic binding

Scala can use dynamic binding. The type of an object is determined at runtime. For example, a function  $f$  may take an instance of class A as an argument, and invoke `A.method()`. There may be a subclass B derived from A, which can override `A.method()`. At runtime, the type of the object passed to  $f$  is checked, and either `A.method` or `B.method` is invoked. Static binding is where the type of the object is known, for example

```

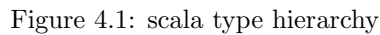
val theInstance = new A()
theInstance.method()

```

---

will invoke `A.method()`.

# Scala class Hierarchy and Packages



- Nothing is at the bottom of scala's type hierarchy. it is a subtype of every other type. Nothing can signal abnormal termination. Empty lists/containers can have element type Nothing

Null is a subtype of all reference types, and the type of the null value (null is the value, Null is the type)

# Chapter 5

## placeholder

### 5.1 for comprehension

backward arrows `<-` call `flatMap` final `yield` calls `map`

### 5.2 list functionality

sublists and element access. The list `xs` has the following methods available

- **`xs.head`** - first element in list
- **`xs.tail`** - remainder of list, with first element removed
- **`xs.last`** - last element of list
- **`xs.init`** - list containing all elements except first
- **`xs.length`** - number of elements in list
- **`xs.take(n)`** - sublist containing the first `n` elements of `xs`
- **`xs.drop(n)`** - sublist containing all remaining elements of `xs`, after the first `n` have been removed
- **`xs(n)`** - the `n`th element of list `xs`. The item access function is implemented through the `apply` function (`xs.apply(n)`), which can be invoked through just `xs(n)`.
- **`xs.splitAt(n)`** - splits the list at position `n`, returns two lists in a tuple

other list operations

- **`xs ++ ys`** - concatenates `xs` and `ys`. This can also be done with the cons-like operator `xs::ys`.

- **xs.reverse** - reverse the list
- **xs.updated.(n,x)** - replace the nth element with x
- **xs.indexOf(x)** - get the index of the first occurrence of x (or -1 if x does not occur in xs)
- **xs.contains** - true if xs contains x, false otherwise

## 5.3 Pairs and Tuples

Some examples in mergesort.sc `week6/misc_worksheets/mergesort.sc`.

Functions can return multiple objects wrapped in a tuple, for example `splitAt`: `def splitAt(n:Int):(List[A],List[A])`. This returns a pair of lists, the first list contains the first  $n$  elements of the original, the second contains the remaining elements. Pairs in scala can be written  $(x,y)$ .

Pairs can be generalised to Tuples, which are collections of more than two elements.

A tuple is an instance of a parameterised scala type `scala.TupleN[T1, ..., TN]`. The expression  $(e_1, \dots, e_N)$  is equivalent to `scala.TupleN(e1, ..., eN)`. Tuples can also be used in pattern matching.

TupleN classes follow the definition below

---

```
case class Tuple2[T1,T2](_1: T1,_2: T2) {
  override def toString = "(" + _1 + ", " + _2 + ")"
}
```

---

fields can be accessed by the names `_1`, `_2` etc. As tuples are case classes, they can be used for pattern matching (see above worksheet).

## 5.4 Implicit Parameters

Would like to extend our mergesort to work on arbitrary parameter types. If we just specify a type parameter  $[T]$  in the constructor (and don't do anything else), we'll get an error as the `<` operator is not defined for all types. A solution is to take a function as an argument, and use that to make the  $x < y$  comparison.

There is, however, a class in the scala standard library that handles ordering - `scala.math.ordering[T]` provides ways for ordering objects of type  $T$

Instead of parameterising our function with a custom function for parameterisation, we could use Ordering for comparison instead:

---

```
def msort_ord[T](xs:List[T])(ord: Ordering[T]): List[T] = {
  ...
  case (x::xs,y::ys) => if (ord.lt(x,y)) x::merge(xs,y::ys) ...
  ...
  merge(msort_ord(left)(ord),msort_ord(right)(ord)) }
}
```

---

```
msortByOrd(myList2)(Ordering.String))
```

---

even better is to declare *ord* as an *implicit* parameter `def sortByOrd[T](xs:List[T])(implicit ord: Ordering[T]): List[T] = ...`. Then *ord* no longer needs to be specified with the `sortBy` function is invoked. The compiler will figure out what should be used. The compiler will search for an implicit definition that

- is marked `implicit`
- has a type compatible with `T`
- is visible at the point of the function call, or is defined in a companion object associated with `T`.

If there is a single (most specific) definition, it will be taken as the argument. Otherwise an error will be thrown

## 5.5 More Lists

### 5.5.1 Higher order List functions

- **xs.map** - takes a function, returns a list formed by applying the function to each element of the list
- **xs.filter** - takes a function, returns a subset of the list containing all elements for which the function evaluates to true
- **xs.filterNot** - takes a function, returns a subset of the list containing all elements for which the function evaluates to false
- **xs.partition** - takes a function evaluating to bool, returns two lists, one containing elements that evaluate to true, the other elements that evaluate to false
- **xs.takeWhile** - takes a function evaluating to bool, returns all elements up to (excluding) the first that evaluates to false
- **xs.dropWhile** - takes a function evaluating to bool, returns all elements after (including) the first that evaluates to false
- **xs.span** - takes a function evaluating to bool, returns two lists. The first list is equivalent to `takeWhile`, the second to `dropWhile`

## 5.6 Higher order List functions

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- **xs.partition** - takes a function evaluating to bool, returns two lists, one containing elements that evaluate to true, the other elements that evaluate to false function evaluates to false
- **xs.takeWhile** - takes a function evaluating to bool, returns all elements up to (excluding) the first that evaluates to false
- **xs.dropWhile** - takes a function evaluating to bool, returns all elements after (including) the first that evaluates to false
- **xs.span** - takes a function evaluating to bool, returns two lists. The first list is equivalent to takeWhile, the second to dropWhile

### 5.6.1 reduction of lists

reduction - reduces a list to a single value. For example, the sum/product functions we implemented earlier.

The `reduceLeft` method can be used to reduce a list.

---

```
List(x1,x2,...,xn).reduceLeft(op) = ( ... ( x1 op x2) op x3) ... ) op xn
```

---

So sum and product could be written as

---

```
def sum(xs:List[Int]) = (0::xs).reduceLeft((x,y) => x+y)
def product(xs:List[Int]) = (1::xs).reduceLeft((x,y) => x*y)
```

---

`reduceLeft` can not be applied to an empty list. `FoldLeft` can. `FoldLeft` takes a n accumulator variable in addition to the reduction function. If the list is empty, then `FoldLeft` returns the value of the accumulator.

### 5.6.2 (

aside - anonymous parameters) Instead of passing `reduceLeft` the function `(x,y) => x + y`, we can simply write `(_ + _)` each underscore `(_)` represents a new parameter, going from left to right. The parameters are defined at the next outer pair of parentheses `)` or the whole expression).

Analogously to `reduceLeft` and `foldLeft`, there exist functions `foldRight` and `reduceRight`, which start at the tail of the tree and work towards the beginning.

In cases where the operation is associative and commutative, then it does not matter whether `foldLeft` or `foldRight` is used. If this is not true then the direction in which we fold will affect the result. Consider list concatenation (`xs foldleft ys`) `(_ :: _)`. Because the cons operator expects an element of type T

as its first parameter and of `List[T]` for its second, if `foldRight` is used then an error will be thrown.

## 5.7 logic

We can prove that a program is correct if we can prove that definitions in the program obey certain laws. One of the core claims about functional programming is that it lends itself more easily to reasoning about programs.

Consider concatenation. We expect concatenation to satisfy the following properties

---

```
(xs ++ ys) ++ zs == xs ++ (ys ++ zs) //associativity
xs ++ Nil == xs
Nil ++ xs == xs
```

---

We can use *structural induction* to prove these. This is similar to proof by induction in mathematics ( Assuming a rule is true for some  $n$ , prove that this implies truth for  $n+1$ . If the rule can be shown to be true for a particular value of  $n$ , then it is proven to be true for all  $n$  above this value).

*Referential transparency* - a proof can freely apply reduction (term rewriting) steps as equalities to some part of a term. This works because functional programs don't have side effects, so a term is equivalent to the term to which it reduces.

### 5.7.1 S

*structural induction* To prove a property  $P(xs)$  for all lists  $xs$  -

- show that  $P(Nil)$  holds
- for a list  $xs$  and some element  $x$ , show the **induction step** holds
  - if  $P(xs)$  holds, then  $P(x::xs)$  also holds

For the inductive step, we assume that the hypothesis is true for a given list  $xs$ , and then show that it is also true for  $(x::xs)$ .

We will show that concatenation is associative, that is,  $(xs ++ ys) ++ zs == xs ++ (ys ++ zs)$  Our definition for concatenation was

---

```
def concat[T](xs:List[T], ys:List[T]) = xs match
{
  case Nil => ys
  case x::xs1 => x :: concat(xs1,ys)
}
```

---

From the above implementation, we can conclude

- $Nil ++ ys = ys$



- $(x :: xs1) ++ ys = x :: (xs1 ++ ys)$

which satisfies the nil case

For the induction step, we wish to show that  $((x :: xs) ++ ys) ++ zs = x :: (xs ++ (ys ++ zs))$

---

```

((x :: xs) ++ ys) ++ zs = (x :: (xs ++ ys)) ++ zs
// from 2nd line above
= x :: ((xs ++ ys) ++ zs) // same again
= x :: (xs ++ (ys ++ zs)) // by induction hypothesis

```

---

last line is the rhs above. As we have now proven the inductive step, and demonstrated that the rule holds for a Nil list, we have thus proven the associativity of concatenation.

## 5.7.2 further equational proofs

consider the reverse function of a list

---

```

Nil.reverse = Nil
(x :: xs).reverse = xs.reverse ++ List(x)

```

---

we would like to prove that  $xs.reverse.reverse = xs$ . The Nil case is easy.

---

```

(x :: xs).reverse.reverse = x :: xs
(xs.reverse ++ List(x)).reverse = (x :: xs).reverse.reverse

```

---

For the inductive step, first we generalise. We aim to show  $(ys ++ list(x)).reverse = x :: (ys.reverse) Nil$  case

---

```

(Nil ++ list(x)).reverse = List(x).reverse
= (x :: Nil).reverse
= Nil.reverse ++ List(x)
= Nil ++ (x :: Nil)
= x :: Nil
= x :: (Nil.reverse)

```

---

inductive step - assume  $(ys ++ list(x)).reverse = x :: (ys.reverse)$ , show  $((y :: ys) ++ list(x)).reverse = x :: ((y :: ys).reverse)$

---

```

((y :: ys) ++ List(x)).reverse
(y :: (ys ++ List(x))).reverse
(ys ++ List(x)).reverse ++ List(y) // 2nd clause reverse
(x :: ys.reverse) ++ List(y) // induction
x :: (ys.reverse ++ List(y))
x :: (y :: ys).reverse

```

---

exercise - show that  $(xs ++ ys)map f = (xs map f) ++ (ys map f)$  requires clauses of  $++$  as well as those of  $map$ :

---

```
Nil map f = Nil
(x::xs) map f = f(x) :: (xs map f)
```

---

## 5.8 Other Collections

### 5.8.1 Vectors

*Lists are structured recursively as `x::xs`, which means that it is faster to access elements near the beginning. Vectors use a tree like list structure - If there are more than 32 elements, then the tree is two levels deep, and can hold up to  $32^2 = 1024$  elements. Access patterns across Vectors are much more balanced.*

*Vectors and lists are very similar, the constructors are the same, and all the same map, fold, foreach, are supported. Cons is the exception, instead of `::`, Vectors have the operators `+` for adding an element to the left (prepending) and `:+` for adding an element to the right (appending).*

*Vectors and Lists are subclasses of Sequence. Scala also contains Sets and Maps. Sequences, Sets, and Maps are subclasses of Iterable.*

*Arrays and Strings support the same operations as sequences, and can be implicitly converted to sequence (but not subclasses).*

*ranges can be used to construct sequences of integers `val r: Range = 1 to 10 by 3`*

## 5.9 for expressions

*in imperative programming, nested loops are used frequently. In functional programming, we recursively build a data structure. Take a range, map, flatmap etc., and then filter.*

*For expressions produce no side effects, in contrast to for loops in imperative programming.*

*For expressions take the form `for (s) yield e`, where *s* is sequence of generators and *e* is an expression that returns a value for each iteration.*

*a generator is of the form `p <- e`, where *p* is a pattern and *e* an expression that evaluates to a collection. a filter is of the form `if f`, where *f* is a boolean expression. if there are several generators in an expression, the last vary faster than the first.*

### 5.9.1 combinatorial search

Sets are like sets in python. Sets do not contain duplicate elements, the elements are unordered, and `set.contains(x)` is a fundamental operation (isin).

# Part II

## Program Design

# Chapter 6

## more with for

### 6.1 queries with for

For expressions in scala can be likened to queries in an RDBMS.

---

```
for (b <- books, a <- b.authors if a.startsWith "Bird," ) yield b.title

for {
  b1 <- books
  b2 <- books
  if b1.title < b2.title
  a1 <- b1.authors
  a2 <- b2.authors
  if a1 == a2
} yield a1
```

---

If the author has written 3 books, they will be printed 3 times.

### 6.2 translating for-expressions

For expressions are pretty handy. For expressions can generally be translated into expressions based on flatmap, map, and filter. Conversely, all of these functions can be defined in terms of for -

---

```
def mapFun[T,U](xs: List[T], f: T => U) : List[U] = for (x <- xs) yield
  f(x)

def flatMapFun[T,U]( xs:List[T], f: T => List[U]): List[U] = for (x <-
  xs, y <- f(x)) yield y

def filterFun[T](xs: List[T], f: T => Boolean): List[T] = for (x <-xs if
  f(x)) yield x
```

---

Scala translates for expressions into expressions based on map, flatmap and filter.

A really simple for-expression

---

```
for (x <-e1) yield e2
```

---

can be translated to

---

```
e1.map( x => e2)
```

---

Expressions of the form `for (x <-e1 if f; s)yield e2`, where `f` is a filter and `s` is a (potentially empty) arbitrary sequence of generators and filters can be translated to

---

```
for (x<- e1.withFilter(x => f) ; s ) yield e2
```

---

`withfilter` is a lazy (i.e. smarter) implementation of filter. It does not create a new (intermediate) collection. The above expression is still contains a for expression, but we have removed one element (the if).

Cases containing more than one leading generator can be translated using flatmap

---

```
for (x <-e1 ; y<-e2 ; s) yield e3
```

---

can be translated to

---

```
e1.flatMap(x => for (y <-e2 ; s) yield e3)
```

---

In all of these cases, we are removing one element from the for expression. Thus an arbitrary expression can be reduced to a sequence of maps and flatmaps.

---

```
for {  
  i <- 1 to N  
  j <- 1 to i  
  if isPrime(i + j)  
} yield (i, j)
```

---

can be rewritten as

---

```
//(1 until N).flatMap(i => for ( y <- 1 until i if isPrime(i,j)) yield  
  (i,j) )  
  
(1 until N).flatMap( i =>  
  (1 until i).withFilter(j => isPrime(i + j)  
    .map(j => (i,j)))
```

---

The for query above on books can be translated to `books.flatMap(b =>b.authors.withFilter(a =>a.startswith("Bird").map(y =>y.title)))`

Note that for expressions are not limited to lists//sequences/iterables. The translation only depends on the presence of the methods `map`, `flatMap`, and `withFilter`. User defined types can be used in for expressions, provided these three methods are implemented.

For example, the collection `books` might instead be an interface to a database. Provided the methods are implemented, for expressions can be used to query. the Scala database connection frameworks `ScalaQuery` and `Slick` make use of this.

## 6.3 Monads

monads must have an associated unit function, and have a `flatMap` method. I don't really get monads right now.

## 6.4 Structural Induction

Structural induction can be applied to trees. To prove a property  $P(t)$  for all trees of a certain type  $t$

- show that  $P(l)$  holds for all leaves  $l$  of a tree
- For each type of internal node  $t$  with subtrees  $s_1, s_2 \dots s_n$ , show that  $P(s_1) \wedge P(s_2) \wedge \dots \wedge P(s_n)$  implies  $P(t)$

if the property holds on all of the tree's subtrees, then it holds on the tree  
consider the implementation of `IntSets`

---

```
abstract class IntSet {
  def incl(x: Int) : IntSet
  def contains(x: Int): Boolean
}

object Empty extends IntSet {
  def contains(x: Int): Boolean = false
  def incl(x: Int): IntSet = NonEmpty(x, Empty, Empty)
}

case class NonEmpty(elem: Int, left: IntSet, right: IntSet) extends
  IntSet {
  def contains(x: Int): Boolean =
    if (x < elem) left contains x
    else if (x > elem) right contains x
    else true
  def incl(x: Int): IntSet =
    if (x < elem) NonEmpty(elem, left incl x, right)
    else if (x > elem) NonEmpty(elem, left, right incl x)
    else this
}
```

---

how do we prove the correctness of this implementation holds? consider the following three laws (for integers  $x, y$  and  $\text{Intset } s$ ):

- `Empty contains x =false`
- `(s incl x)contains x =true`
- `(s incl x)contains y =s contains y`

The first law is straightforward, and can be seen to be true (`Empty.contains` is false).

For the second law (proposition), we can do structural induction. Consider the base case when  $s$  is an empty set. Then we would like to show that `(Empty incl x)contains x =true`. `Empty incl x` evaluates to `NonEmpty(x, ...)`, and `contains x` will evaluate to true in this case.

For the base case when  $s$  is `NonEmpty`, assume it takes the form `NonEmpty(z, l, r)`, where  $l$  and  $r$  are subtrees. There are two cases to consider,  $z == x$  and  $z != x$ . If  $z == x$ , then `NonEmpty(x, ...)` `incl x` will return this, which contains  $x$ , so we're good. if  $z != x$ , then `NonEmpty(z)` `incl x` will contain  $x$ , so we're good.

## 6.5 streams

Streams are like lists, but are evaluated lazily. The tail of the stream is not evaluated until it is needed. Streams are constructed from the object `Stream.empty` and the constructor `stream.cons`.

For lists, we might have something like

---

```
def listrange(lo: Int, hi: Int): List[Int] =  
  if lo >= hi Nil  
  else lo :: listrange(lo+1, hi)
```

---

For streams, we would do

---

```
def StreamRange(lo: Int, hi: Int): Stream[Int] =  
  if lo >= hi Stream.empty  
  else Stream.cons(lo, StreamRange(lo+1, hi))
```

---

The standard shorthand for the cons operator, `::`, will always produce a list. There is an equivalent for streams, the hash operator: `#::`, which can be used in expressions and patterns.

## 6.6 State

Up until now we've been doing this purely functionally, as much as possible. Some things will have a state.

Everything with a mutable state will be constructed from variables. Variables are declared with `var` instead of `val`. Variables can have their value changed later through assignment.

When assignment is possible, then determining whether or not things are equivalent becomes more difficult. Previously, if things evaluate to the same expression, then they are equal.

---

```
val x = E; val y = E;
val x = E; val y = x;
```

---

The two lines above produce the same result. In both cases, x and y evaluate to E

---

```
val x = new BankAccount; val y = new BankAccount;
val x = new BankAccount; val y = x;
```

---

In this case, the two lines give different results. In the first, two new bank accounts are created. In the second, y is copied from x.

How do we define "the same"? Operational equivalence - Execute the definitions of x and y, followed by an arbitrary set of operations involving x and y (S), observing all results. Then, execute the definitions followed by a different sequence of operations, S', in which every occurrence of y in S has been replaced by x. If the results are different, then x and y are certainly different. Else, if every possible pair of sequences (S, S') are indistinguishable, then x and y are the same.

Assignment breaks the substitution model that we have been using up until now. In general, if we are not using purely functional code, then the substitution model will not hold.

## 6.7 Loops

Here's a possible definition of while that can be used to construct loops

---

```
def WHILE(condition: => Boolean)(command :=> unit): unit =
  if condition
  { command
    WHILE(condition)(command)
  }
  else ()
```

---

## 6.8 event simulation

digital circuits - states are boolean. Will consider inverters (NOT), AND, and OR gates.



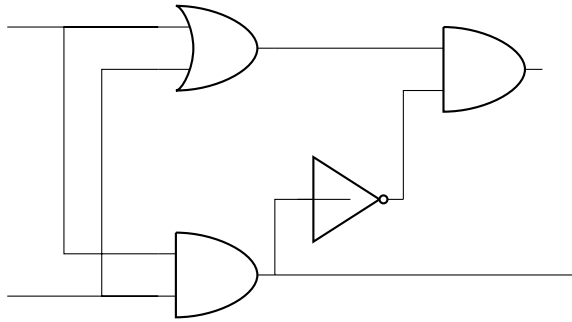


Figure 6.1: half adder

Half adder - takes two inputs (A and B), and has two outputs (SUM and CARRY). CARRY is equal to A AND B, while SUM is A OR B AND NOT A AND B