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**Compilation of a dynamic language
Generators into MSIL**

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Title: Compilation of a dynamic language Generators into MSIL

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Abstract: The goal of this thesis is to design and implement the support for generators within the Peachpie framework, a PHP to CIL compiler. Generators are the simplest form of methods that resume from the same state in which they returned earlier when called repeatedly. The reference PHP interpreter Zend engine supports generators natively. Due to that fact that generators in PHP support a number of features that are not common in other languages. CIL, on the other hand, does not have a native support for generators. Therefore, languages built on top of CIL (e.g. C#, F#) have to implement them by other means, such as by rewriting the original generator methods into state machines. In this thesis, we will design and implement the support for generators through semantic tree transformations. All this is handled with the intention of keeping the maximum possible compatibility with reference PHP generators. We will also make a comparison to generators in C#, whose main implementation also uses CIL as a backend.

Keywords: compiler php msil .net generators roslyn peachpie

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Introduction

Despite a slight decline in recent years [TIOBE, 2017], PHP is still one of the main languages used for server side programming on the web [Stack Overflow, 2017]. Its only two relevant implementations are the reference and almost exclusively used Zend engine¹ and the slowly emerging HHVM by Facebook². Both of them are standalone virtual machines and neither of them supports easy interfacing with the outside world. Hence, it is quite difficult to share code between a web backend and, for example, a mobile or traditional desktop application.

Fortunately, there is a solution in the form of the Peachpie project³ that is being researched at the Charles University. The project aims to provide a compiler from PHP to “.NET bytecode” CIL⁴ and a reimplementaion of the PHP base class library, thus creating a bridge between PHP and the whole .NET ecosystem. Due to the fact that it is a full compiler that takes PHP sources and outputs .NET assemblies indistinguishable from those created by other .NET languages compilers (e.g C#, F# or IronPython), it provides a both-way interoperability. It enables both calling normal unmodified .NET libraries from PHP and vice versa. Also, thanks to an extensive compile-time type analysis and the proven .NET just in time compiler (RiuJIT) it achieves better performance than the reference Zend engine in certain operations [Míšek, 2017, Fistein and Míšek, 2016 - 2017].

PHP, like many other modern languages, has a first class support for generators. In short, generators are methods that, when called repeatedly, resume the computation from the very place and with the same state they returned at previously. They are usually used for generating large sequences of data lazily, hence the name generators. Since the execution state gets saved automatically on the special pause and return places (usually called yields), one can write an algorithm as if the sequence were being created at once and only insert yields at appropriate times, e.g. when a new item gets created. The language handles the rest. Each subsequent call to the generator method resumes the computation from the last evaluated yield and continues to the next one, e.g. creating a new element each time.

The Zend engine has a native support for generators. It intrinsically understands yields and is, on their evaluation, able to save the state of current execution [Popov, 2017]. CIL has no such first class support. For that reason, languages built on top of the CIL have to implement generators through other means [Lippert, 2008] - usually by rewriting generator methods into state machines with the explicit state saving before each yield and a state retrieval in the beginning.

This thesis describes the design and implementation of a support for PHP generators within the Peachpie compiler through semantic tree transformations, an implementation of new semantic tree nodes, and extensions to the Peachpie runtime library. In the implementation parts, the thesis not only tries to plainly cover the code, but also to depict the decision process that led to choosing certain approaches over others. Throughout the work, we will compare our approach

¹zend.com/en/community/php

²hhvm.com/

³peachpie.io/

⁴Chapter 2.1

with the one taken by the C# team and its compiler Roslyn. C# was chosen as a reference language due to being the prominent language in .NET platform.

While the goal is to implement a support for generators with as much original PHP semantic as possible, due to the scope of this work we will not discuss the specific implementation of all PHP generator features. Namely, we will not cover handling yields in exception control blocks (try, catch, finally) in detail and will leave its implementation for future work.

Thesis structure

This thesis is divided into seven chapters. The first one covers general concepts of generators both in PHP and in other languages, explaining what they are, what features and limitations they have, and where they stand with regards to iterators.

The second chapter briefly introduces the .NET platform and its intermediate language CIL. The third is entirely about the Peachpie project. It describes its architecture, focusing mainly on the semantic tree data structure and CIL emit phase of the compiler. In the fourth chapter, we examine how generators are implemented in C#'s Roslyn and PHP's Zend engine. Roslyn's approach is particularly important, because it serves as a basis for our own implementation.

Generators within Peachpie is the focus of the fifth chapter, which itself is further divided into five subsections. The first part describes an implementation of generators limited to circa C# generators. It builds on the theoretical basis described in the previous section about Roslyn's approach. The second one proposes a theoretical algorithm to handle yield as an expression. The third subsection discusses the implementation of said algorithm within Peachpie. In the fourth part, we briefly mention the possible solutions for yields in exception handling blocks. Finally, the fifth subsection is about possible future work that could be done for generator support within Peachpie.

The sixth chapter concludes and summarizes the whole thesis. Ultimately, the final chapter provides a lightweight user documentation for the Peachpie project and an overview of attachments.

1. Generators

1.1 Iterators

Before getting into generators, we need to define iterators first. Iterators, as their name suggests, represent a state of iteration of some sequence backed by either themselves or some other object. In both C# and PHP, they can be arbitrary objects implementing an *Iterator*¹ (for PHP) or an *IEnumerator*² (in C#) interface.

Both of these contain a number of methods (Figure 1.1). However, for now we will focus only on two of them that they both share, *current* and *next*. The first one - *current* - should always return the element the iterator is currently pointing at. As such, it should never modify the state of the iterator and should generally be free of side effects. The second one - *next* - should advance the iterator to the next item, effectively changing what element the *current* method returns.

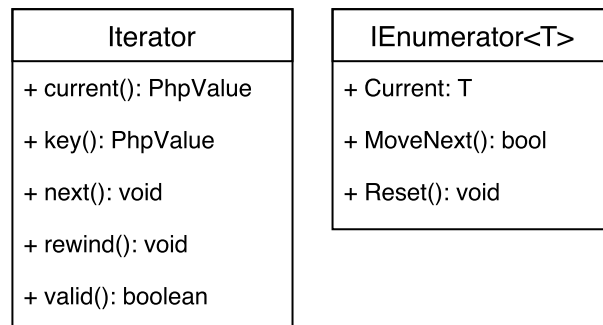


Figure 1.1: Iterator and IEnumerable interfaces.

Iterators serve, among other things, as a useful tool to handle large sequences of items. Instead of generating everything at once and then keeping it, for example, in an array, one can simply create an iterator that generates and serves the elements one by one. This enables a lower memory footprint, because there is never need to keep all the elements in memory at once.

Furthermore, instead of spending a lot of resources at once in the beginning to create the whole sequence, the iterator can now spend considerably fewer for each element's creation when the *next* method is called. Though the final price is the same regardless it enables a more even distribution of the performance hit. Also, one does not need to pay for the elements that do not get created - the ones that are not iterated over.

There is one problem with iterators, however. They are fairly tedious to write. The main issue stems from the fact that unlike in a normal method in which you would create the whole sequence at once, in the iterator's *next* method you always need to explicitly save and then retrieve the current state of the execution. There is a lot of boilerplate associated with them as well. You need to create a new type and implement a number of methods that do not actually do anything useful.

¹[PHP.Net, b]

²[MSDN]

To give an example (Listing 1), creating an array of numbers 1 to n is a straightforward for loop with an assignment. In the case of an iterator's *next* method, you need to first retrieve the last used number, increase it by one, and then store it. You also need to implement a *current* method that returns the last stored index and a few other ones that are essentially just a busywork.

```
<?php
function by_one_at_once(){
    $result = [];
    for($i = 0; $i < 10; $i++){
        $result[] = $i;
    }
    return $result;
}

class byOneIterator implements Iterator {
    private $position = 0;

    public function rewind() {$this->position = 0;}
    public function key() {return $this->position;}
    public function current() {return $this->position; }
    public function next() {
        $curr_pos = $this->position;
        $curr_pos += 1;
        $this->position = $curr_pos;
    }
    public function valid(){ return ($this->position < 10); }
}
```

Listing 1: Method that creates everything at once and as an Iterator.

While for a monotone sequence of numbers even the iterator is still simple and readable, this quickly ceases to be the case with a higher complexity of the iteration. The amount of code needed for state keeping increases quite quickly and an algorithm, which would otherwise be really straightforward when used for the creation of the whole sequence at once, becomes convoluted. This is where generators emerge as a relevant solution.

1.2 Generators universally

Generators provide an easy way to write methods that return iterators while the code can be almost the same as if they returned whole sequences at once instead. All the transforming of the algorithm into the *next* method with its retrieving of the last state in the beginning and state saving after a new element gets set as *current* is handled transparently for the programmer. There is also no need to create a new type and implement other iterator's busywork methods with them. They automatically return correct and fully implemented iterators.

To achieve this, a new keyword *yield* or *yield return* is usually introduced. It serves two purposes. First, it marks the spots where the *next* method of the returned iterator should stop executing and save the current state. Second, much like the *return* keyword, it specifies a value being returned - in this case actually a value that should be set as *current* on the iterator.

To continue with our example of creating a sequence of numbers from 1 to n (Listing 2), one would write a generator method the same way as a normal method generating the whole array, the only difference being that instead of an assignment into a result array, the for loop would contain a *yield* of the current index.

```
<?php
function by_one_generator(){
    for($i = 0; $i < 10; $i++){
        yield $i;
    }
}
```

Listing 2: By one sequence as a generator.

An iterator returned from such a generator method (Figure 1.2) would have a *next* method that would always start from the last encountered *yield*, execute update and condition part of the for loop, and then set a new element as the *current* one.

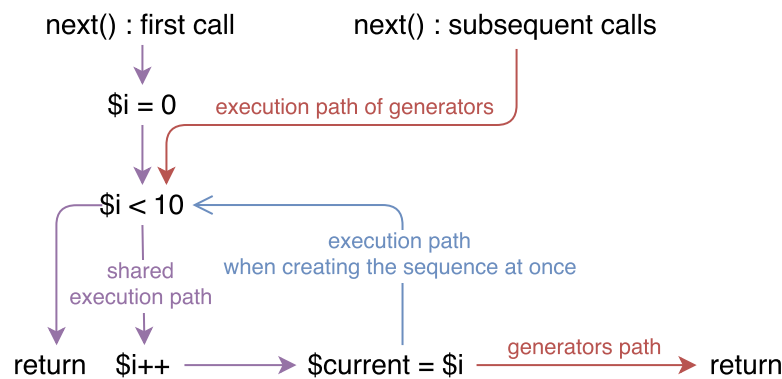


Figure 1.2: Generator's control flow graph.

1.3 Generators in PHP

Generators in PHP are not just about creating data, however. They support consuming data as well (Listing 3). Namely, one can send an arbitrary value into the returned iterator through its method called *send*. This method takes one argument - the value being sent, sets it as a result of the last *yield* expression, and resumes the evaluation the same way as the *next* method would.

```

<?php
function logger_generator(){
    $logger = new Logger();
    while(($line = yield) != "END"){
        $logger->log($line);
    }
    $logger->close();
}
class Logger{
    public function close(){ echo "END;";}
    public function log($line){ echo $line; }
}

$gen = logger_generator();
$gen->send("First!");
$gen->send("Second!");
$gen->send("END");

```

Listing 3: Generator method used as a logger.

This means that unlike in C#, where *yield* is a statement and therefore can not be a part of a bigger computation or a function call, in PHP *yield* can be literally anywhere, even in the place of a function call argument. This further complicates the state saving. In addition to all the local variables, when *yield* is part of some bigger expression, we need to save its state as well. Moreover, it needs to be done the right way to ensure a correct order of execution.

Due to various design reasons, [Lippert, 2009b] C# also limits where *yields* can happen with regards to exception control blocks. They are not allowed in *catch*³ and *finally* blocks⁴ altogether and can only be in *try* blocks⁵ that do not have any associated catch blocks. PHP, on the other hand, allows yielding everywhere, be it in *try*, *catch*, or *finally* blocks.

1.4 Generators in other languages

These limitations are not unique to C#, however. Both F# and Visual Basic, the only other truly mainstream CIL based languages, also support generators with these restrictions. For them, *yield* is just a statement that can not appear in certain exception handling blocks.

In fact, *yield* being an expression holding a send value is not even a feature all dynamic languages share. JavaScript, for example, merely briefly supported [MDN] such behavior and as of ECMA 2015 has *yield* only as a statement.

That, nonetheless, does not mean that PHP is completely unique with regards to generators. In Python⁶, another mainly interpreted dynamic language, *yields* are expressions and are allowed in exception handling blocks almost the same

³[Lippert, 2009a]

⁴[Lippert, 2009b]

⁵[Lippert, 2009c]

⁶[docs.python.org]

way as in PHP. In Lua⁷ they are implemented as a special version of coroutines and thus have an even wider set of features.

⁷[Lua.org]

2. .NET platform

The .NET platform, or any platform implementing the open CLI standard¹, stands on four pillars (Figure 2.1). The low level intermediate language CIL, the higher level languages such as C# and F# and their compilers to CIL, the base class library known as .NET framework, and - last but not least - the common language runtime, CLR, that actually executes the intermediate code.

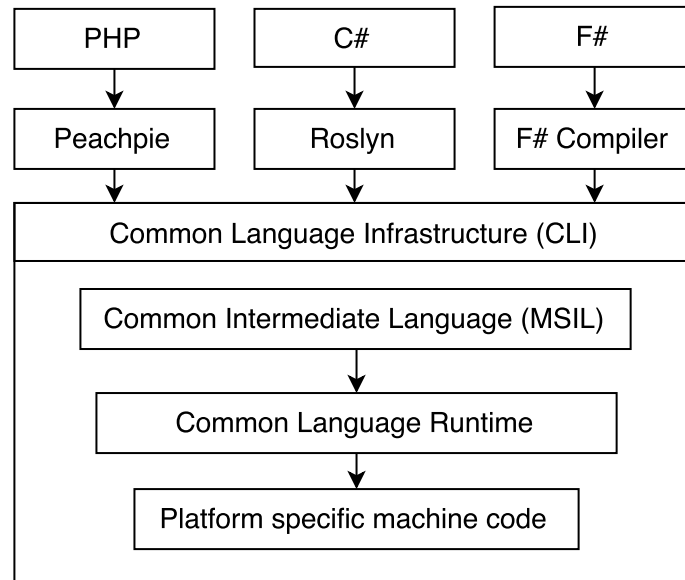


Figure 2.1: Common language infrastructure.

We will talk about the C# and Visual Basic compiler Roslyn later, but neither the base class library nor the CLR will be covered extensively in this thesis. The common intermediate language, however, will be discussed in detail.

2.1 Common intermediate language

CIL is an assembler defined by the common language infrastructure [ECMA-335, 2012] to be a shared basis for all CLI languages (C#, F#, IronPython, ...) and runtime implementations (.NET, Mono, dotGNU, ...). It is platform independent and as such does not natively run on any CPU architecture. Instead, it must be either translated to the target platform's native code beforehand or - more commonly - executed by a virtual machine such as CLR.

Despite being an assembler, thus inherently low-level, CIL is actually object oriented and so has a deep understanding of reference types. Its instruction set reflects this with means to create new instances, access their members, and so on. The CLI specification also dictates that, by default, the CIL should be memory safe.

¹[ECMA-335, 2012]

2.1.1 Evaluation stack

CIL is a stack based assembler, therefore without the notion for registers. Instead, it defines a virtual evaluation stack. There are basically two types of instructions in CIL. Firstly, there are memory handling ones that either pop a value from the stack and store it in memory or load a value from memory and push it to the top. Secondly, there are instructions that actually do some processing. These pop a few values from the stack, process them in some way, and then store the result on the top of the stack.

There are a few important things to note about the evaluation stack [ECMA-335, 2012, Sec. I.12.4]. Firstly, all parameters and local variables actually live there. They are not ordinary stack values, though. Their place gets reserved and later cleaned automatically and they are not accessible through the normal push/pop instructions. Instead there are dedicated instructions to work with them.

Secondly, when exiting a function, the stack cannot contain anything but the returned value. Thirdly, there are instructions only to work with its top. There is no way to query all the elements in the stack, get its height, or to completely save or load it to/from memory.

Lastly, while not a rule, the stack is generally used as a store for temporal values instead of proper local variables. For example, an expression $2+3*5$ (Listing 4) would usually result in the load of constants 2, 3, and 5, a multiplication operation ($3*5$) (see *IL_0009*), at which point the stack would contain 2 and 15, and finally a plus operation (see *IL_000a*) that would leave the stack with 17 at its top.

All of these mean that you cannot simply pause and save the execution of a method at an arbitrary point with just one or even a few CIL instructions. To completely capture the current state, you not only need to save all the local variables and parameters somewhere off the stack, but you must also do the same for every temporal value that might at that moment live on the stack. And there is no simple way to query what is there. You either need to construct the information in some other way or restrain yourself to saving the state only when the stack is empty.

2.1.2 Exception handling

The last notable thing about CIL is that it has a notion of exceptions and their handling blocks. Try, catch, and finally are all first class citizens in the language and are bound by a number of rules [ECMA-335, 2012, Sec. I.12.4].

CIL does not permit jumping / branching into any exception handling block² unless the source of the jump / branch is within the same block. You can only enter catch and finally regions through the proper exception handling mechanism. And lastly, to leave any of them³, you need to do it via a designed instruction that, in case of try and catch blocks, ensures any potential finally region gets run. Therefore, you can neither jump in the middle of a try block nor execute a catch / finally block without throwing a proper exception first.

²[ECMA-335, 2012, Sec. I.12.4.2.8.2.7]

³[ECMA-335, 2012, Sec. I.12.4.2.8.2.8]

```

public void M(int a) {
    int b = 3;
    int c = 5;
    G(a + b * c);
}
public int G(int a){/*Something*/}

.method public hidebysig instance void M (int32 a)
cil managed {
    .maxstack 4
    .locals init ([0] int32, [1] int32)
    IL_0000: nop          // Do nothing (No operation)
    IL_0001: ldc.i4.3     // Push 3 onto the stack as int32
    IL_0002: stloc.0      // Pop value from stack to local variable 0
    IL_0003: ldc.i4.5     // Push 5 onto the stack as int32
    IL_0004: stloc.1      // Pop value from stack to local variable 1
    IL_0005: ldarg.0      // Load argument 0 (this) onto the stack
    IL_0006: ldarg.1      // Load argument 1 onto the stack
    IL_0007: ldloc.0      // Load local variable 0 onto stack
    IL_0008: ldloc.1      // Load local variable 1 onto stack
    IL_0009: mul          // Multiply values
    IL_000a: add          // Add two values, returning a new value
    IL_000b: call instance int32 C::G(int32) // Call method
    ↪ indicated on the stack with arguments
    IL_0010: pop          // Pop value (returned by G) from the stack
    IL_0011: ret          // Return from method, possibly with a value
} // end of method C::M

```

Listing 4: Simple method in C# and CIL.

3. Peachpie project

The Peachpie project¹ aims to create a bridge between PHP and the .NET ecosystem. While its development started in early 2016 it builds upon the foundations of a much older project, Phalanger², first released in 2004 and also originally developed at the Charles University.

While both projects share the same end goal - bring PHP to .NET, their implementation is quite different. Phalanger, due to being first released before even .NET 2.0 shipped, had to implement almost everything on its own. Peachpie, on the other hand, relies heavily on components provided by the Roslyn infrastructure in the compiler and by the DLR at runtime.

Also, while Phalanger supports PHP 5.4 as the highest version, Peachpie was built for PHP 7.1 and beyond from the very beginning. The last major difference is that Peachpie, unlike Phalanger, runs not only on full .NET and Mono but also on the multi platform .NET Core framework.

The Peachpie project is, as of early 2017, still in an active pre-version 1.0 development by the open source community. As such, its architecture is not yet finalized and might change in the future, potentially rendering the following chapter inaccurate.

3.1 Peachpie architecture

Peachpie consists of three more or less separate parts. A compiler that takes PHP sources and produces .NET assemblies, a runtime library that provides support for various dynamic features of PHP, and a reimplement of the PHP base class library with its most popular extensions.

Due to the topic of this thesis being an implementation of a compiler feature, we will focus mainly on the compiler and only briefly discuss the runtime library. The base class library, while interesting, is completely irrelevant for our work and will be left out.

3.2 Peachpie compiler

The compiler itself is built on the architecture of an open source C# and Visual Basic compiler platform entitled Roslyn. The compilation is logically divided into four main phases (Figure 3.1). First a parser takes a PHP source and creates an abstract syntax tree. In Peachpie, this step is actually offloaded to a third party open source PHP parser³. Then, Peachpie takes over and binds the AST to a semantic representation in the form of a control graph, essentially creating an abstract form of the final program. The binding phase is also responsible for lowering higher level language constructs.

Next, the semantic graph is used for an extensive data-flow analysis with the intention to resolve dynamic types and generally eliminate as much dynamic

¹peachpie.io/

²github.com/DEVSENSE/Phalanger

³github.com/DEVSENSE/Parsers

behavior as possible. This step is important mainly for performance reasons. Dynamic dispatch and access at runtime inherently causes a performance hit, especially on the .NET CLR that, despite being language agnostic, is still tuned mostly for C# and VB, both of which are statically typed languages.

In the last phase, the semantic graph is used to emit the final CIL code and produce a complete .NET assembly. While Peachpie controls the emit of each individual CIL instruction, their specific bytecode realization, possible CIL level optimizations, and the assembly structure creation are all handled by the Roslyn components *ILBuilder* and *PEBuilder* respectively.

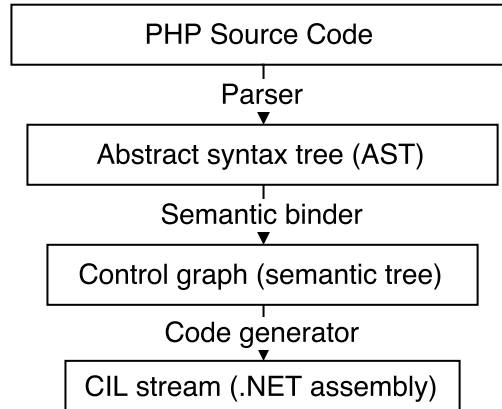


Figure 3.1: Peachpie architecture.

There is, of course, more to the compiler part. It also includes a number of code analyzers to catch common PHP bugs, provides an extensive API surface to support projects such as PHP snippets or a Visual Studio Code extension, and much more.

3.3 Semantic graph

Since our approach to implementing a generators support is based on a semantic graph transformation, let us explore it a bit more. Unlike the AST, which is a structured representation of the source code, the semantic graph corresponds more closely to an abstraction of the final program.

It knows the types of all expressions, has all method calls as well as variable/field accesses resolved and bound to specific semantic symbols, and generally contains all the information needed for a future compilation.

3.3.1 Statements and expressions

Before going into specific details about the semantic graph itself, let us properly define the difference between expressions and statements first. An expression is a combination of values and operations that produces a new value while potentially also having side effects.

For example, plus is a binary expression that creates a new value from its two children expressions. Method call, if the method returns some value, is an expression as well. Statement, on the other hand, is an operation that only has

some side effects and does not carry a value itself. A good example of a statement is goto jump.

This means that in expression trees (Figure 3.2), a computation abstraction where each node is an operation that takes the values of its children and produces a new value, statements can only be at the top. Since they do not have a value of their own that could be consumed by their potential parent, they simply cannot have a parent node.

It is also good to note that while it is simple to transform an expression into a statement from - you simply throw away its value, you cannot do it the other way and use a statement in places where an expression is expected.

3.3.2 Graph structure

With that out of the way, the semantic graph is fundamentally a forest in which every method declared in the source code or synthesized by the compiler corresponds to its control flow graph. Each graph consists of two types of elements: edges, representing control flow constructs such as loops, branches, and exception handling constructs, and blocks, simple containers holding standalone semantic statements like method calls, assignments, variable declarations, and so on.

These individual statements, can be in the form of rather complex graphs themselves (Figure 3.2). They can be arbitrary expression trees with a statement at the top. For example, an assignment statement has two expression children, the variable and the one representing the value being assigned. The value expression can also be arbitrary and have children of its own and so on. Semantic expressions and statements are called bound within Peachpie.

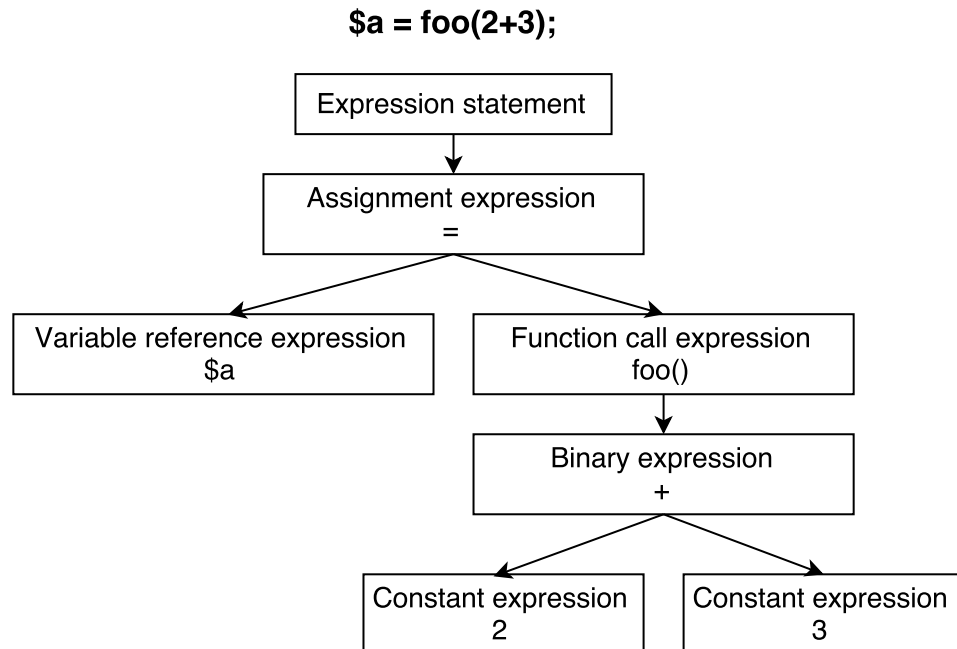


Figure 3.2: Expression tree.

The control flow edges do not have to be simple and connect only two blocks either. In fact most edges connect multiple blocks and some even have references to individual expressions (Figure 3.3). For example, a switch edge connects a

source block, a switch variable expression, an arbitrary number of case blocks with their case value expressions, and an end block. Other edges are implemented similarly.

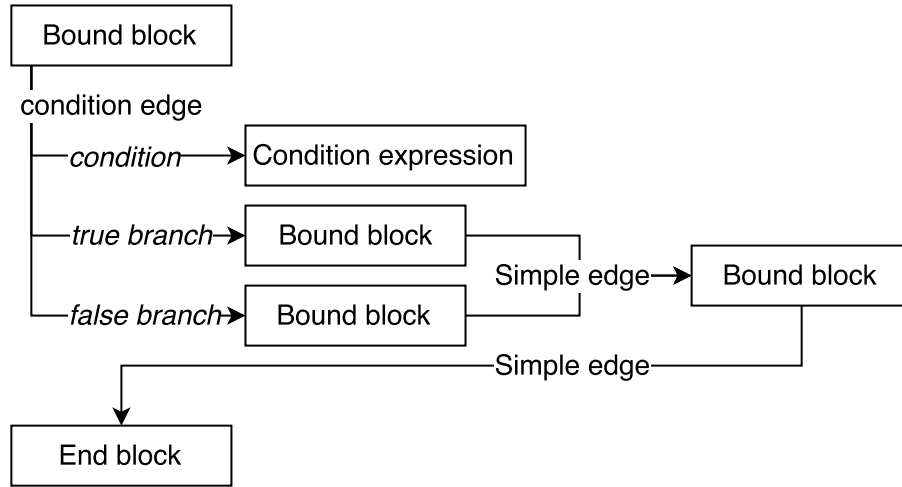


Figure 3.3: Condition edge.

The last type of objects to note with regards to the semantic graph are symbols. They represent declared objects. These include types, namespaces, methods, fields, variables, and parameters. Read and write accesses to fields, variables, and parameters inside methods are still represented as bound expressions, however. They are bound expressions that hold a reference to these symbols and use them as an identifier of the actual place where their value is.

3.3.3 Graph creation

There are two components in Peachpie compiler responsible for the individual method's control flow graph creation: *BuilderVisitor* and *SemanticBinder*. *BuilderVisitor* is a higher level component traversing the top level of a method's abstract syntax tree and creating the aforementioned control flow edges and bound blocks in the process.

It uses the *SemanticBinder* to fill these bound blocks with bound statements and to create sporadic bound expressions needed for the edges, e.g. a switch value expression for a switch edge. Specifically, it goes through statements and control flow construct in the method's AST and does two things. It either adds a newly bound statement into the current bound block or, on control flow constructs, creates new bound blocks. When doing so, it fills them with statements, connects them to the previous current block, and sets the last of them as the new current block.

The *SemanticBinder* is a component that takes a statement or an expression in the form of an abstract syntax tree and creates its semantic representation, either a bound statement or a bound expression, that can be used in the resulting semantic graph.

It also handles the full complexity of the statements/expressions. When it gets asked by the *BuilderVisitor* to bind an assignment, it creates not only the

bound assignment statement itself, but it binds its children, and their children, and so on as well, returning the full bound subgraph.

That is the reason why the *BuilderVisitor* only goes through top level statements and does not care about individual expressions. With the exception of those within edges, as noted, each expression is part of a larger expression tree under some statement that gets bind as a whole tree by the *SemanticBinder*.

3.4 CIL emit phase

In Peachpie, the CIL code generation is based solely on the semantic graph. Each of its elements, be it an edge, a statement, or a symbol, has a method, *Generate* or *Emit*, that can create the element's complete CIL code representation. These methods do not produce the bytecode themselves. Instead, they use a component called *CodeGenerator*, a thin wrapper around Roslyn's *ILBuilder*.

3.4.1 Code generator

CodeGenerator is fundamentally an abstraction of a CIL code stream. It can do two things: append either individual CIL instructions or their short sequences to the current code stream and realize the stream into actual bytecode. The only higher level service it provides is the ability to change where it should look for certain important items.

One can, for example, set an arbitrary variable as a method's *this* object or specify that local variables should live in some PHP array instead of on the evaluation stack. Appending a load from a local variable then results in the correct CIL sequence being emitted. That means either a load from the locals part of the evaluation stack or, when the place of locals was changed on the current *CodeGenerator*, some *PhpArray*, which itself might need to get loaded from some field first.

This is used, among other places, when there are indirect variable accesses in a method⁴. Because of their indirect and thus dynamic nature, it is impossible to resolve and bind them at compilation time. In addition, there are no CIL instructions to create a new local variable nor to access a variable by its name. Afterall, local variables in CIL are just slots in the evaluation stack. Therefore, for methods with indirect variables, its locals must be moved from the evaluation stack to a *PhpArray* that supports both of these operations.

3.4.2 Emit

The act of emit is very similar to the binding phase. When *Generate* is called on a semantic item, it emits CIL representation of not only the item itself but also of all that is under it in the semantic graph. A code generation for a method symbol (Figure 3.4), for example, causes an emit of all of its bound blocks and edges, each of which triggers the emit of their bound expressions and statements, effectively ending up generating CIL for the whole method's body.

⁴php.net/manual/en/language.variables.variable.php

As such, the emit is effectively a mixture of pre and post-order traversal of the semantic tree. First, the emit of the current item starts, subsequently its children from left to right get fully emitted, and then it finishes. Due to that and the fact that execution follows the emitted CIL code, there is an invariant. The left children represent code that is executed before the right children and nodes lower in the tree finish before their parents.

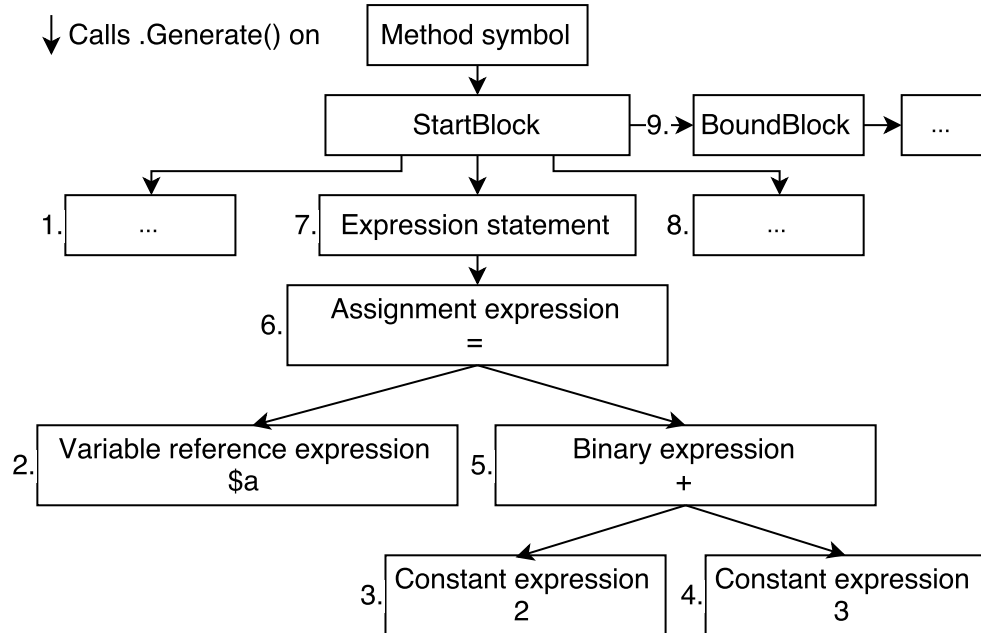


Figure 3.4: Emit order of a method.

The individual *Generate* or *Emit* methods are completely independent. They only append CIL instructions representing their semantic node to the *CodeGenerator* instance they got passed as a parameter. In case their respective nodes have any children within the semantic graph, they also call *Generate* on them, always passing the *CodeGenerator* instance they themselves got. This ensures that in the end, the one *CodeGenerator* instance contains CIL code for all the method's statements, expressions, and edges.

3.4.3 Generate methods' invariants

While individual nodes can emit themselves however they want, there are two rules that must hold true. The code emitted by a bound expression must load and leave its value on the top of the evaluation stack. Other than that, it cannot leave anything else there nor can it remove something. Their *Generate* methods also have to return a symbol representing the expression's IL type.

For bound blocks, statements, and edges, the rule is similar with the difference that, since they do not have an inherent value, they cannot leave anything on the evaluation stack at all. Their methods do not return anything.

These rules ensure a number of things. First, all expressions are basically interchangeable. An emit of a binary expression does not need to care about the operands' types. It can simply call *Generate* on them and know that the evaluation stack will contain their values, independent on whether they are constant

expressions, method calls, or something else.

Also, since neither statements nor edges can leave anything on the evaluation stack and statements can only be at the top level of the semantic tree, the evaluation stack is guaranteed to be empty in the beginning of each bound statement's execution. This is important because there are statements, such as `return` or `goto`, that transfer execution and therefore need the evaluation stack to be empty.

3.5 Peachpie runtime library

The runtime library consists of a number of important types needed to support the dynamic nature of PHP. Probably the most important one is *PhpValue*, a managed counterpart of the Zend Engine's *ZVal*⁵. It is a type used everywhere the data-flow analysis can not ensure a more specific type. It is a lightweight structure that can hold a primitive value (number, string, or boolean), *PhpArray* (essentially a hashtable), reference to a proper class instance, or - in case of a reference variable - a link to another *PhpValue*. It also supports all the required type conversions and serves as a true representation for an arbitrary PHP value.

Another core type present in the runtime library is *Context*. As suggested by its name, it holds the context of the current execution. It contains defined global methods, variables, and constants, the value of static fields, and much more. It is a rather special type because it gets silently passed to every PHP method as their first argument throughout the whole execution.

When a library originally written in PHP is used from another .NET language (C#, F#, ...), the context has to be explicitly created and passed into it as part of the method's invocation. If the original PHP app is used on its own, the context gets created automatically in the beginning before any user code is run.

Other than that, the runtime library also contains a function call and variable access resolution logic needed to support dynamic behaviors at runtime, a variety of types needed for certain PHP features, such as base class for lambdas, and a full reflection support.

⁵php.net/manual/en/internals2.variables.intro.php

4. Generators in other platforms

In this chapter, we will take look at two very different approaches towards supporting generators. First, we will describe the way they are implemented by the C# compiler Roslyn. The combination of Roslyn and C# was chosen because, despite the differences between generators in C# and PHP, it is still very similar to our Peachpie and PHP mix.

Both Roslyn and Peachpie compile their respective languages into the CIL, Peachpie's compiler is de facto based on Roslyn's architecture, and generators in PHP are fundamentally a superset of what they are in C#.

IronPython and its compiler based on a DLR was also considered, mainly due to Python's generators being closer to PHP's. The similarity of compiler platforms and the fact that IronPython offloads a lot of details to the DLR, which is by design generic and therefore needlessly complicated for our use, prevailed, however.

The second implementation we will talk about in this chapter is Zend Engine's for generators in PHP. In spite of the fact that we cannot use it as an inspiration, because it relies on a native support provided by the runtime, it is useful to mention it at least briefly. Afterall, it is the implementation we are trying to mimic.

4.1 CSharp and Roslyn

As said previously, the CIL, into which .NET implementation of C# gets compiled, does not have a native understanding of generators. There is, for example, no instruction to yield or to automatically construct an iterator type instance with all the appropriate methods. Therefore, the Roslyn compiler has to support generators by lowering them, in essence transforming them into lower level language constructs.

There are two main components responsible for that. First, there is a rewriter that takes the original generator method and transforms it into a normal method that only uses constructs the CIL supports. We will come back to it later. Then, there is the type implementing the *IEnumerator* interface (Figure 1.1), whose instance gets returned from the generator method and which actually represents the generator.

4.1.1 Iterator object and generator methods

There is no single type implementing the *IEnumerator* interface. For each generator method, the Roslyn compiler synthesizes one separate iterator type. While most of their *IEnumerator* methods are simple, and actually the same for all of them, there is one that is always unique - the *next* method. This one actually contains the implementation of the original generator method, only now transformed and turned into a state machine by the rewriter.

The actual original generator method has its body replaced with compiler generated code that instantiates, initiates, and returns the corresponding synthesized type (Figure 4.1). Therefore, it is a normal CIL method that returns

an iterator containing a transformed version of the method's original body as its *next* method.

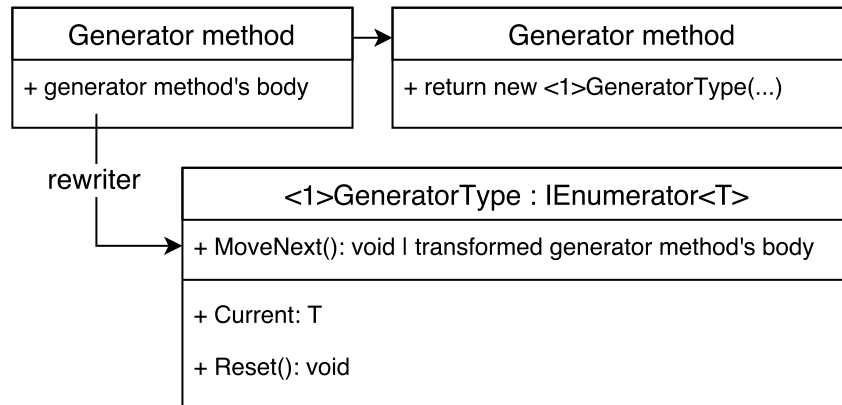


Figure 4.1: Generator method, generator's next method, and the generator type.

4.1.2 Rewriter

The rewriter has to take care of three things while transforming the original generator method's body into the iterator's *next* method. It has fix the references, that expected the method to be where it was instead of on the generated type, and handle both the state saving on yields and the state retrieval in the beginning of the method.

The only references that care where the method actually is are to *this*. Fortunately, the *this* instance can simply be captured in the original generator method, passed to the generator during its initialization phase, and kept there in a field. All references to the original *this* variable can then be rewritten to references to the generator's field holding the captured *this* instance.

As for state saving, due to the fact that *yield* is only a statement in C# and an invariant in Roslyn, that the evaluation stack is always empty in between separate statements, there are guaranteed to be no temporal values on the stack before any *yield* gets executed. This means that the only state that needs to be saved are local variables, parameters, and the position, in essence the next statement to be executed.

4.1.3 Local variables parameters

To handle the first two thirds of the state, the rewriter creates a new field on the corresponding iterator type for each local variable and parameter. Then, it replaces all, both read and write, references to these original local variables and parameters with references to the newly created fields (Figure 4.2). The result is that there are no accesses to local variables or parameters in the rewritten method. Also, all values now live on the iterator instance which means they are persistent in between individual calls to the *next* method.

The situation regarding parameters is, in fact, a bit more complicated. As defined by the *IEnumerator* interface, the *next* method cannot have any parameters.

Fortunately, there are no references to the parameters inside the *next* method after the rewrite, only to the instance fields they got replaced with. The fields still need to be initialized with their values, however.

That can be done similarly as the *this* reference was handled in the original generator method. The method has access to both the original parameters and the iterator instance before any code from the *next* method has any chance to access the fields. Hence, after the iterator instance is created, the parameter values get assigned to their corresponding fields as part of an iterator's initialization phase.

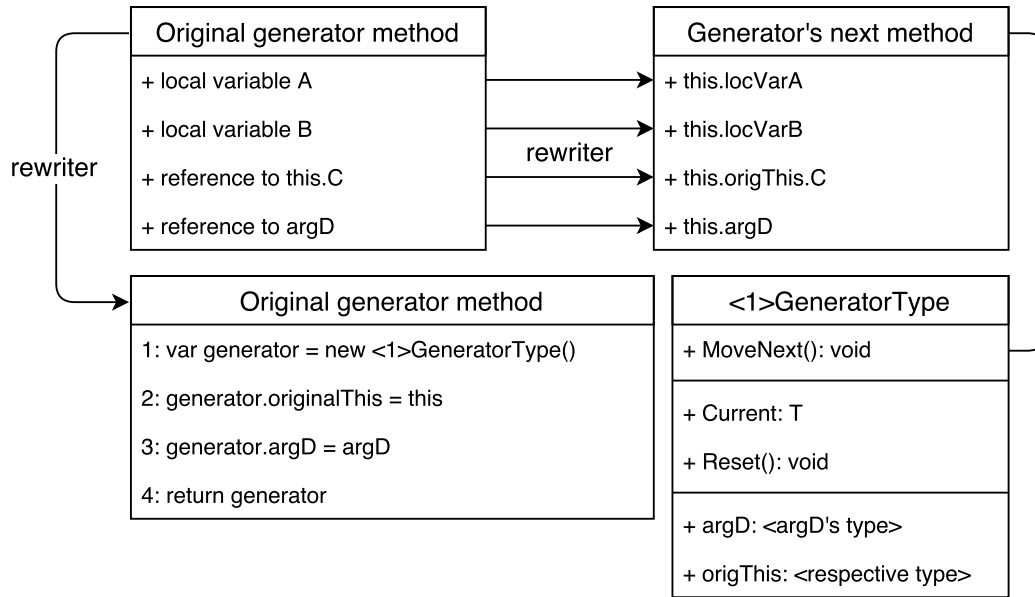


Figure 4.2: Local variables rewrite for generator methods.

4.1.4 Execution position

The last thing that needs to be taken care of is saving the point where the last *yield* happened, effectively the place a subsequent call of the *next* method should continue from. To handle that, the rewriter does two main things. It replaces each *yield* with a number of statements and creates a jump table in the beginning of the *next* method (Figure 4.3). A new field called *state*, representing which *yield* the generator exited with last time, is also created on the iterator instance.

Each *yield* gets lowered into four separate statements. First, an assignment of the yielded value to a *current* field on the iterator instance. This field is used by the *IEnumerator*'s *current* method as its backing field. Second, an assignment of the current *yield*'s index to a *state* field on the iterator instance. The order of these indexes can be arbitrary, only a uniqueness among other *yields*' indexes within the same method is required. Third, a normal return from the *next* method. And finally, a *yield*'s label based on its index that can be used as a target for jumps.

The jump table in the beginning of the *next* method is fundamentally a switch statement that, based on the current value of the iterator's *state* field, jumps to a corresponding *yield*'s label. Within the four statements created by rewriting one *yield*, the *state* field assignment and the label are connected. The assignment sets a *state* value whose corresponding case in the switch table contains a jump to

the label. And since the indexes and therefore states are unique, it is guaranteed that this always holds true.

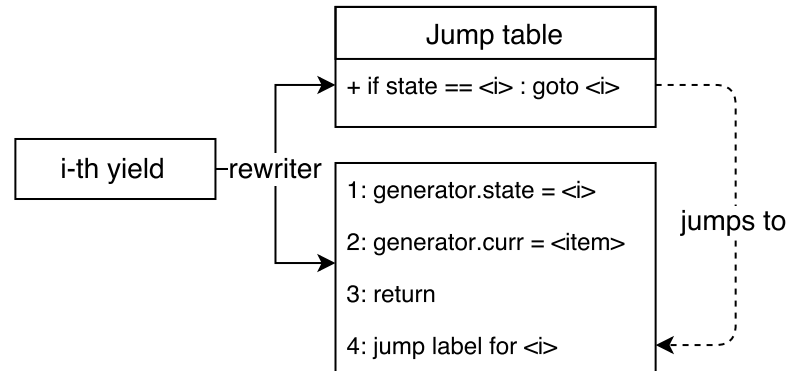


Figure 4.3: Local variables rewrite for generator methods.

That way when the *next* method is called repeatedly, it always resumes with the statement that is directly after the return the method exited with before. This happens simply due to the fact that whenever you hit a *yield*, and therefore a return, two things are true.

First, just before returning from the *next* method, an index of the hit *yield* gets assigned to the state field. Second, the state field does not change unless the *next* method is called again. And when that happens, the switch table in the beginning of the *next* method jumps to the label that was created by rewriting the same *yield* as the last evaluated state assignment. Which is, as we have already proven, the label directly after the return the method exited with previously.

```

// Original method's body
Yield 5;
Yield 10;

// New method's body
switch(this.state){
    case 1:
        goto: Label_1;
        break;
    case 2:
        goto: Label_2;
        break;
}

this.current = 5;
this.state = 1;
return;
Label_1;

this.current = 10;
this.state = 2;
return;
Label_2;

```

Listing 5: Original and rewritten generator method's body.

Naturally, this is not all the Roslyn compiler does to support generators in C#. It is, however, more than enough for us to design our own implementation in the Peachpie compiler.

4.2 PHP and Zend Engine

Unlike CIL and CLR respectively, the reference PHP runtime Zend Engine understands generators natively [Popov, 2017]. As such, it is able to execute yields without having to lower them into simpler PHP constructs.

Not going into details and slightly simplifying, the execution state of the Zend engine is represented by a virtual machine stack. This stack contains individual stack frames, each corresponding to a method's execution. When a method is called, a new stack frame gets created, initiated, and pushed on top of the stack. When the method returns, its stack frame gets popped.

Each frame contains the complete information about a method's execution state, all of its local and temporal variables, arguments, the returned value, and an index of the last executed statement, to name a few. Therefore, if one needed to save the execution state of a method, storing its frame stack would be enough.

And that is exactly what the Zend engine does when it encounters a yield expression. It creates a new generator object, copies the current stack frame into it, performs a number of other tasks, such as setting its current key and value, and finally returns the iterator. In this context, the current stack frame is the

one representing the execution state of the method with yields and therefore, in essence, the generator method.

Later, when the *next* method is called on the returned generator instance, it restores the stack frame previously saved on the generator object to the top of the virtual machine stack and resumes the execution. This effectively causes the generator method's execution to continue from the very point where the last yield was encountered and thus where it stopped. On subsequent yields, the runtime sets the generator's fields such as key and current, updates its saved stack frame representing the generator method's current state, and returns.

The description above is a simplification of the actual process that happens in the Zend engine, with details regarding yields in exception blocks and inside function calls completely omitted. However, it still provides a good high level overview of how generators are implemented in PHP's reference runtime and how it is different to Roslyn's approach.

5. Generators in Peachpie

The goal of this thesis is to enable Peachpie compiler to handle PHP generator methods while keeping as much of their original semantics as possible. That means we do not want to change their behaviour and want to enable all their features they have in PHP only now compiled to CIL and executed either by CLR or other CLI environment.

As noted in previous chapters¹ that itself is complicated because unlike the PHP runtime Zend Engine, CIL and CLR do not have a native support for generators or generally pausing the execution of a method at arbitrary points. Also, almost all other CIL based languages with generators, such as C# or F#, that implement them by compiler transformations have them in a substantially more limited form than PHP.

Other than that we also want to reuse existing Peachpie infrastructure and only implement generator specific bits when necessary. While this goal is not as important for our immediate work it is necessary for the project as a whole. Cluttering the compiler with logic for a feature that is not actually used as often would simply be inexcusable.

5.1 Basic generators implementation

Before dealing with all the complexities of PHP's generators let us first explore how an implementation of their limited subset would work within Peachpie. Specifically, we will ignore *yield* in exception handling blocks and expect it to be only in places where it could happen as a statement, i.e. no *yield* inside an expression tree, for this chapter.

Much like Roslyn's approach our implementation of generators within Peachpie will also be based on transforming the original generator method into an iterator's *next* method. To not to repeat ourselves we will only point out the differences in next section.

5.1.1 Iterator object

Unlike in C# where generator methods are free to return any object implementing a *IEnumerator* interface the PHP specification dictates that the returned object must be an instance of a *Generator* type [PHP.Net, a, Popov, 2012]. This means that in Peachpie we cannot just synthesize a new type for each generator method as Roslyn does it.

If we did that all reflection methods and type checks would report the actual synthesized type on the returned iterator instance instead of the *Generator* type as required by PHP's specification. We could theoretically hard code exceptions for these synthesized types into all methods that query an instance's type but that would go against our goal to implement as little feature specific code as possible.

Instead we must create one generator type in the Peachpie's runtime library and use it as a basis for all generator methods to return. That approach, however,

¹Chapter 4.1

carries some limitations with it. The generator type can now include only shared code and fields. That means neither a specific *next* method's implementation nor fields for lifted local variables from said method.

Other than that the *Generator* type can be practically the same as the ones synthesized by Roslyn as it is a simple implementation of the PHP's *Iterator* interface. It can hold captured reference to *this* instance of the original generator method, state field that is needed to know what point should the *next* method continue from, and fields for *current* element and, since we are in PHP now, its *key*. These are set before returning from the *next* method and used as backing fields for the *current* and *key* methods on the generator.

5.1.2 Next method implementation and local variables

5.1.3 Accessibility of fields on the Generator type

5.1.4 Context handling

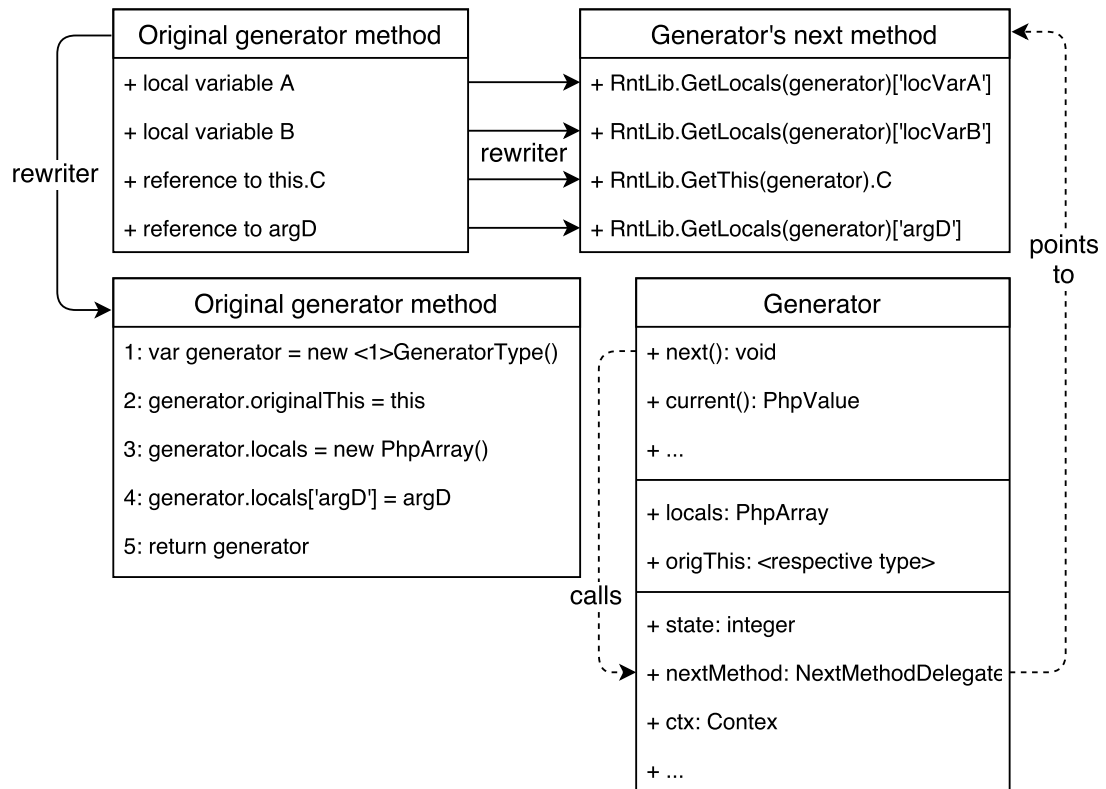


Figure 5.1: Transformation of the generator method.

```

public delegate void GeneratorStateMachineDelegate(
    Context ctx, object @this, PhpArray locals,
    Generator gen);
public class Generator : Iterator
{
    readonly Context _ctx;
    readonly GeneratorStateMachineDelegate _stateMachineMethod;
    readonly object _this;
    readonly PhpArray _locals;
    internal int _state = 0;
    internal PhpValue _currValue, _currKey;
    public void next() =>
        _stateMachineMethod.Invoke(_ctx, _this, _locals, gen: this);
}

```

Listing 6: Simplified version of the Generator type.

5.1.5 Rewriter

5.1.6 Bound yield expression

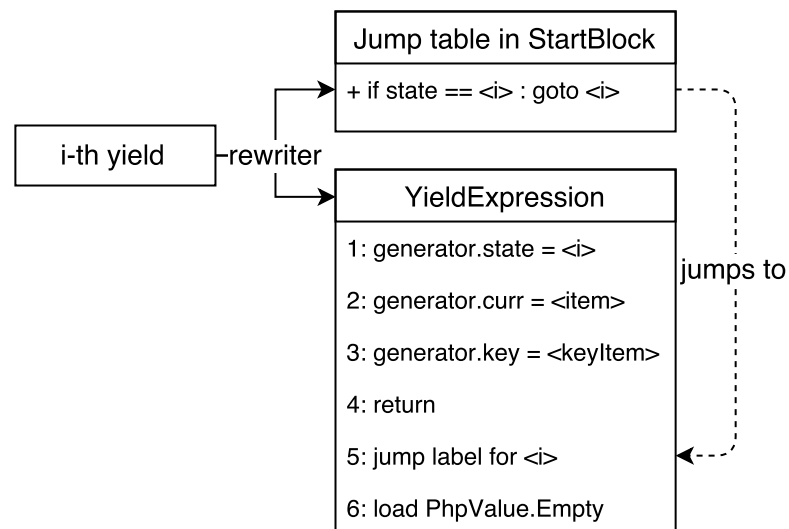


Figure 5.2: Rewrite of a yield expression.

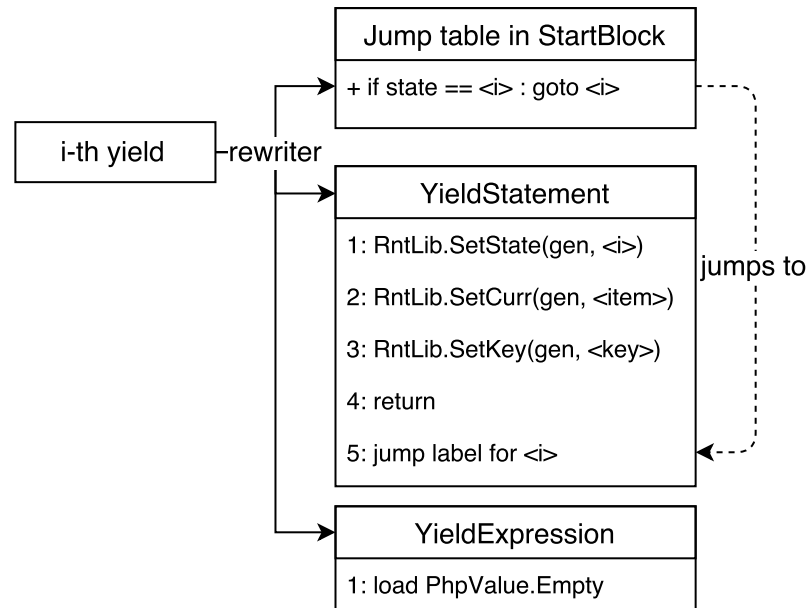


Figure 5.3: Splitting of a yield into an expression and a statement.

5.1.7 Start block

5.1.8 Method symbol

5.2 Yield as an expression - theory

5.2.1 Possible approaches

5.2.2 Branch capture & yield splitting

5.2.3 Semantic tree transformation

5.2.4 Short circuit evaluation

```

<?php
// original expression before capturing the yield branch
$result = isTrue() ? yield 0 : \falseValue";

$tempBranchResult = yield 0;
$result = isTrue() ? $tempBranchResult : "falseValue";
  
```

Listing 7: Conditional expression whose captured branch is not conditioned.

```

<?php
if (isTrue()) { $tempBranchResult = yield 0; }
$result = isTrue() ? $tempBranchResult : "falseValue";
  
```

Listing 8: Conditional expression whose condition is evaluated twice.

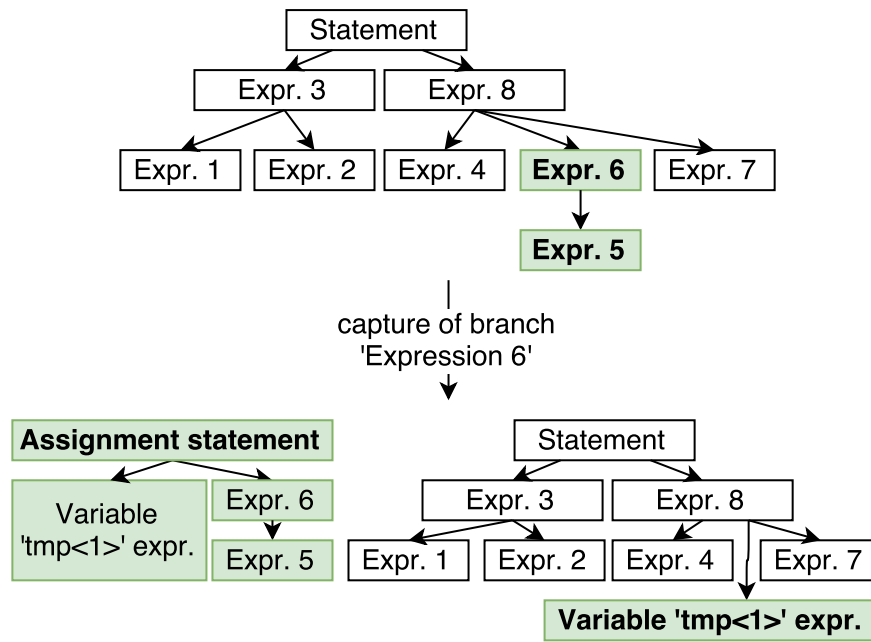


Figure 5.4: Capturing a sole branch.

```

<?php
$tmpResult = isTrue();
if ($tmpResult) { $tempBranchResult = yield 0; }
$result = $tmpResult ? $tempBranchResult : "falseValue";

```

Listing 9: Conditional expression captured correctly.

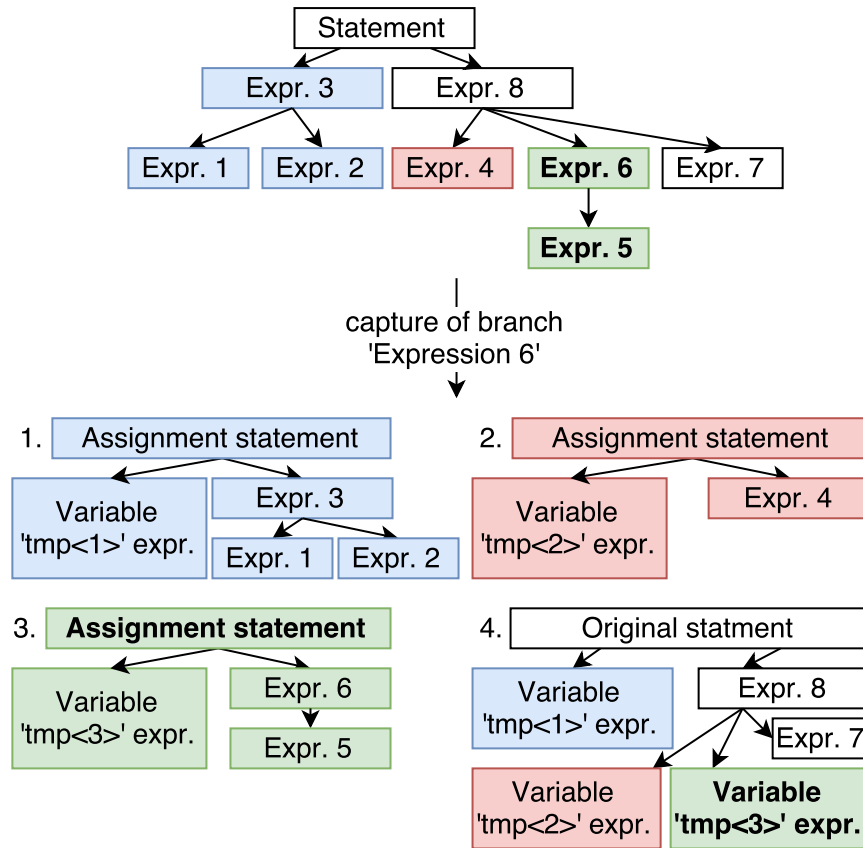


Figure 5.5: Capturing a whole branch while maintaining execution order.

5.3 Yield as an expression - implementation

5.3.1 Binding multiple elements

5.3.2 Capturing branches with yields

5.3.3 Correctness of modified capturing algorithm

5.3.4 Creating and keeping the pre-bound graph

5.3.5 Path between the root and yields

5.3.6 Conditioned branches

5.3.7 Implementation remarks

5.4 Yield in exception handling blocks

5.4.1 Yields and exception handling blocks in PHP

5.4.2 Solution in Peachpie

5.5 Future work

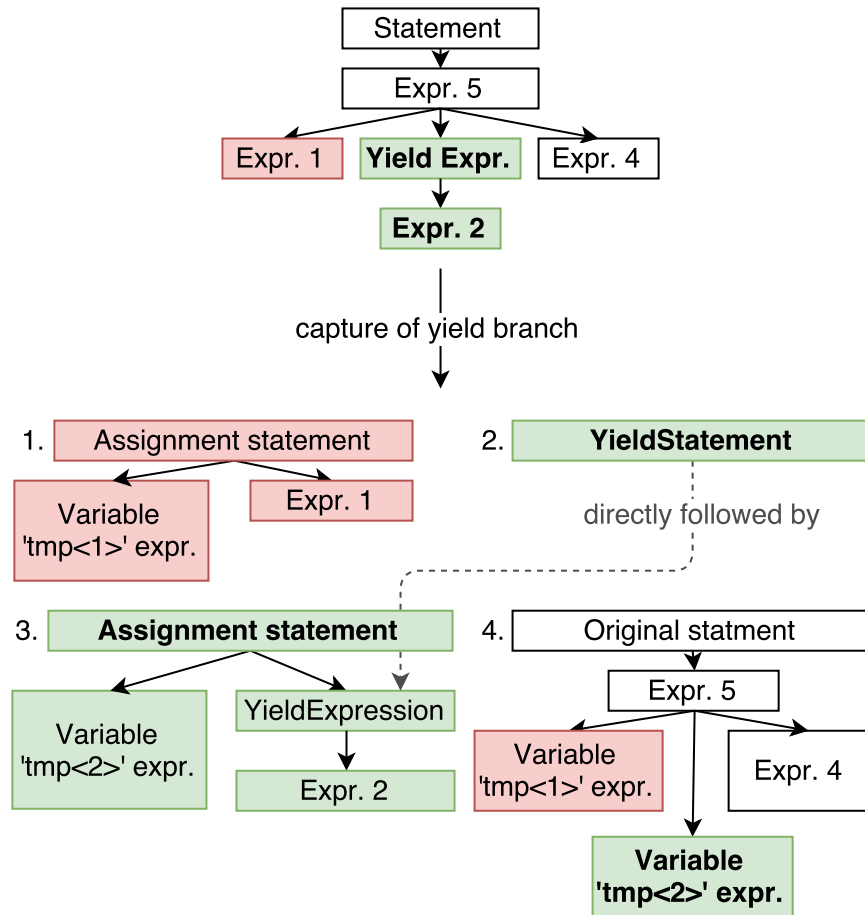


Figure 5.6: Capturing a whole branch starting with a yield.

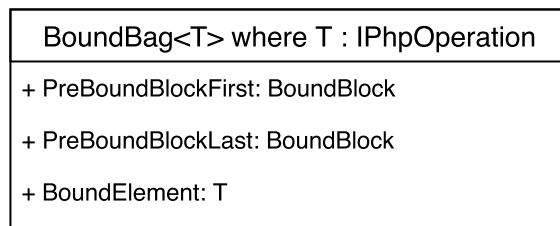


Figure 5.7: Bound bag.

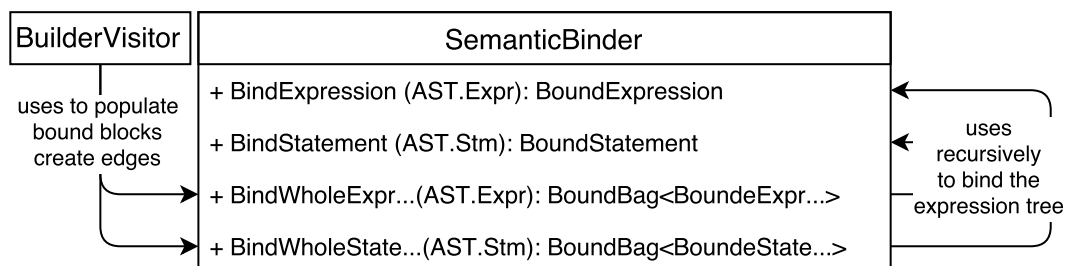


Figure 5.8: Builder visitor's and semantic binder's relationship.

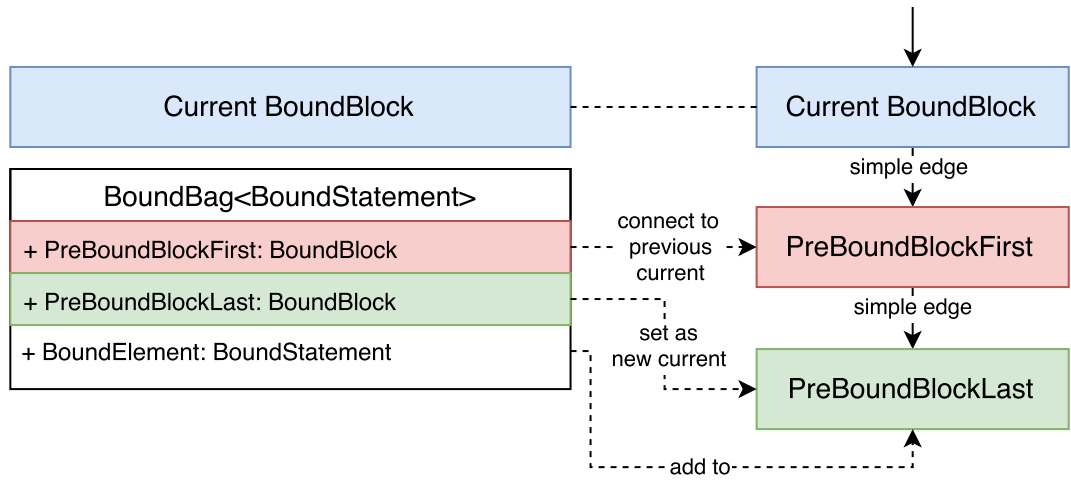


Figure 5.9: Connecting a bound bag as a new statement.

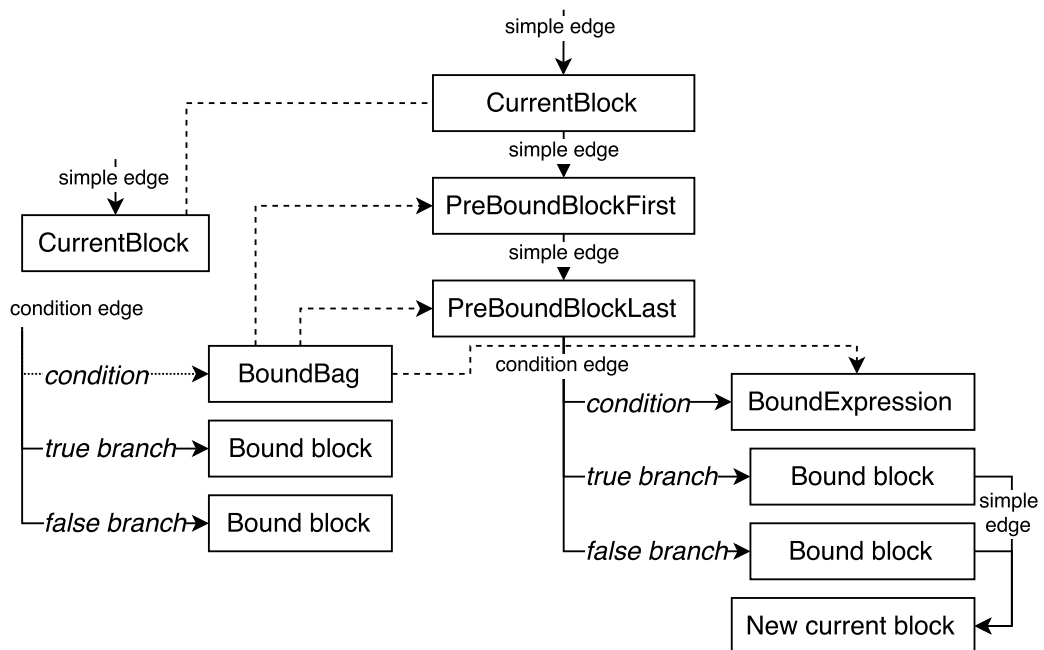


Figure 5.10: Connecting a bound bag as a condition edge's condition.

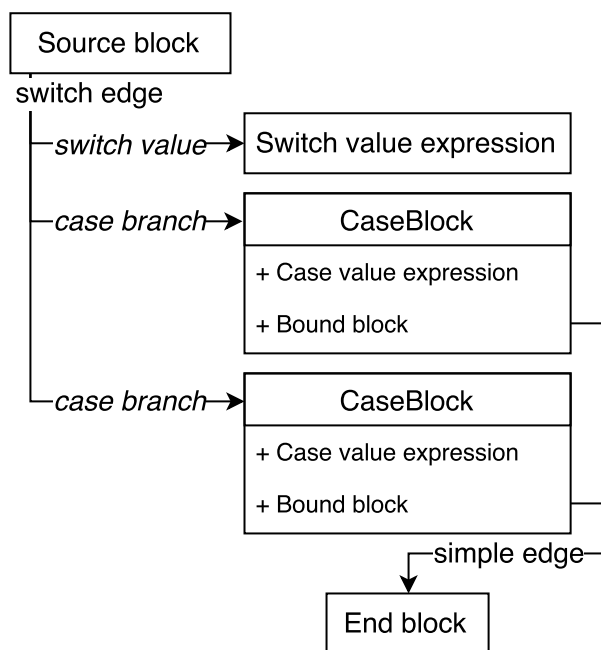


Figure 5.11: Switch edge diagram.

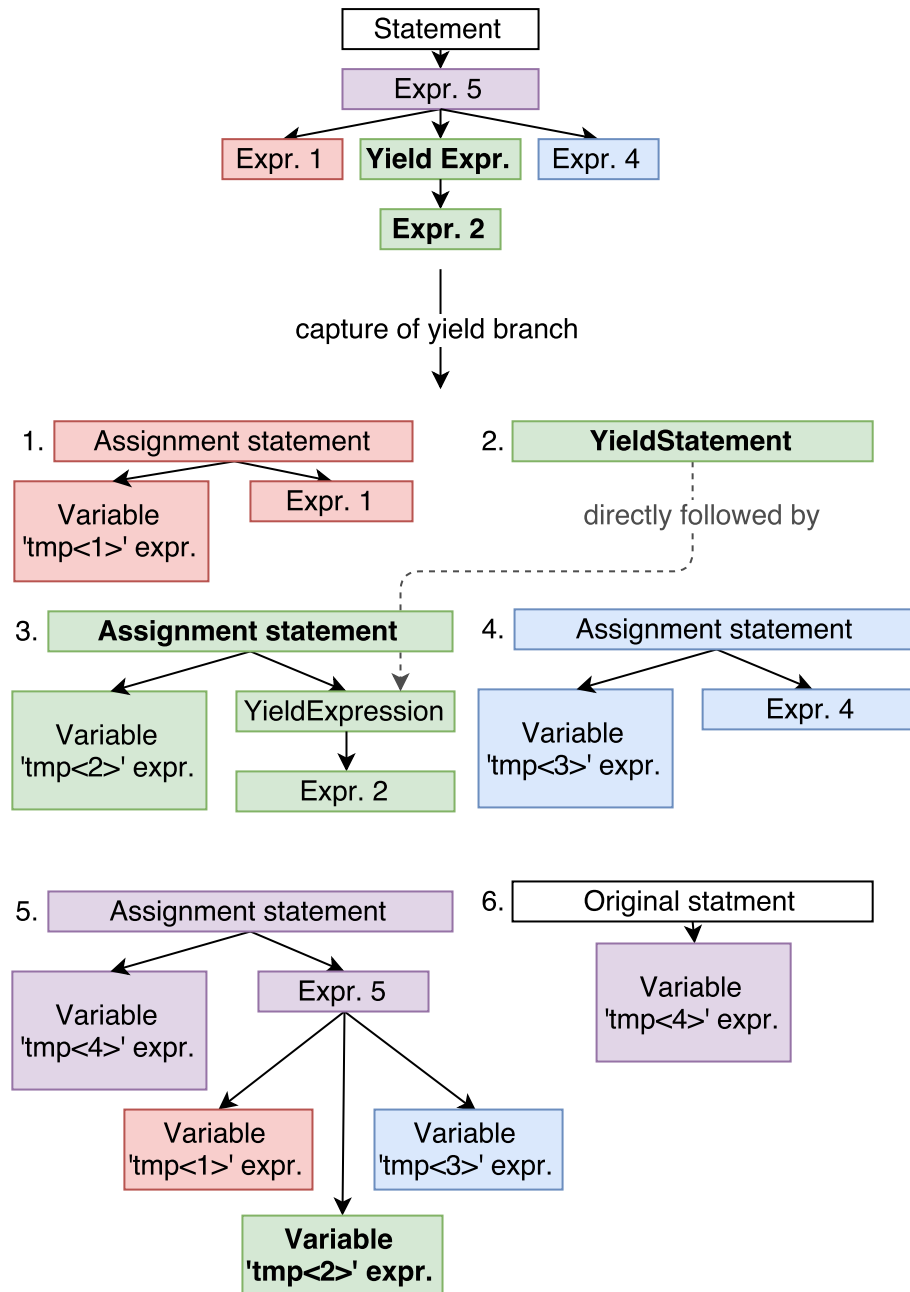


Figure 5.12: Capturing a branch with a yield.

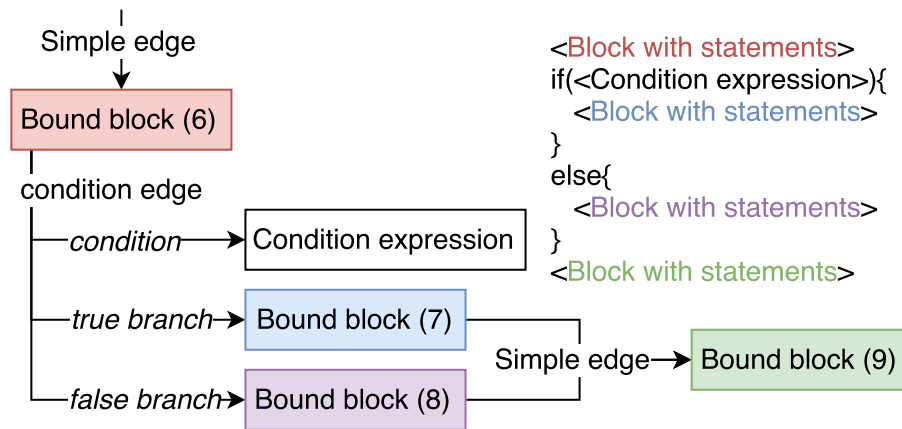


Figure 5.13: Ordinal number of bound blocks.

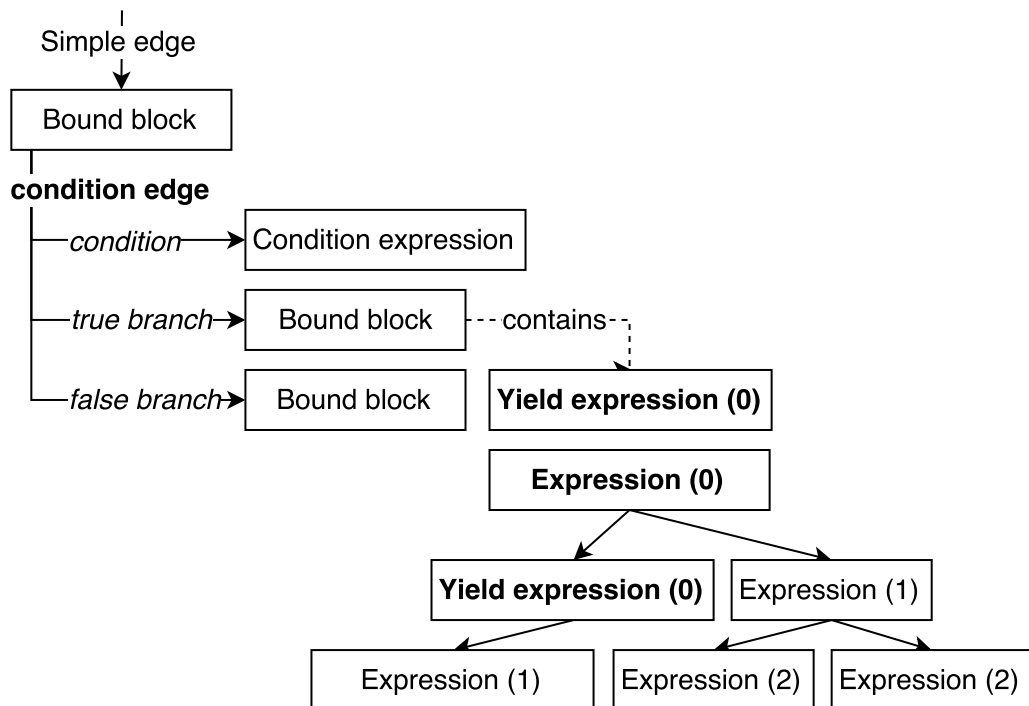


Figure 5.14: Path between a yield and expression tree's root.

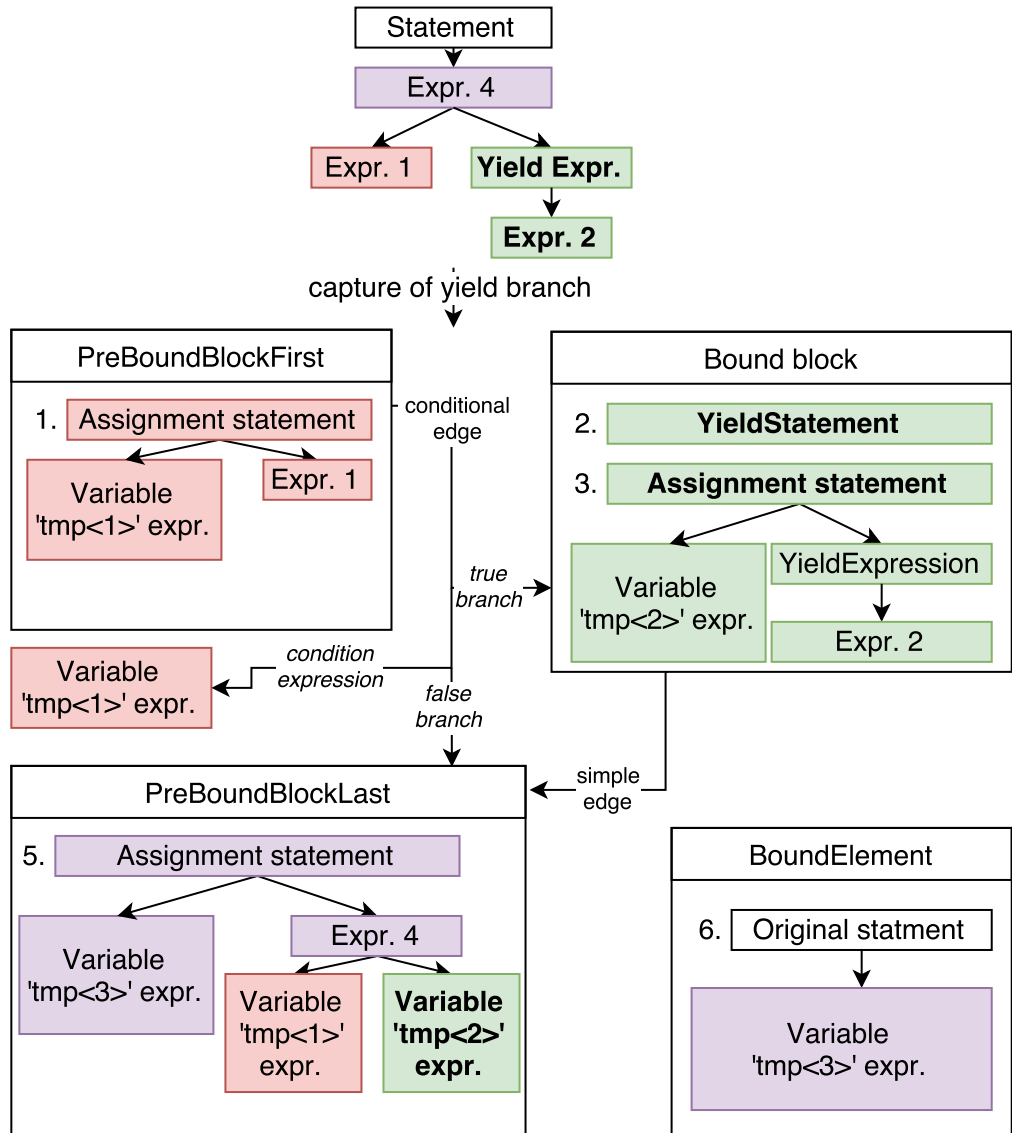


Figure 5.15: Capturing a yield in a conditioned branch.

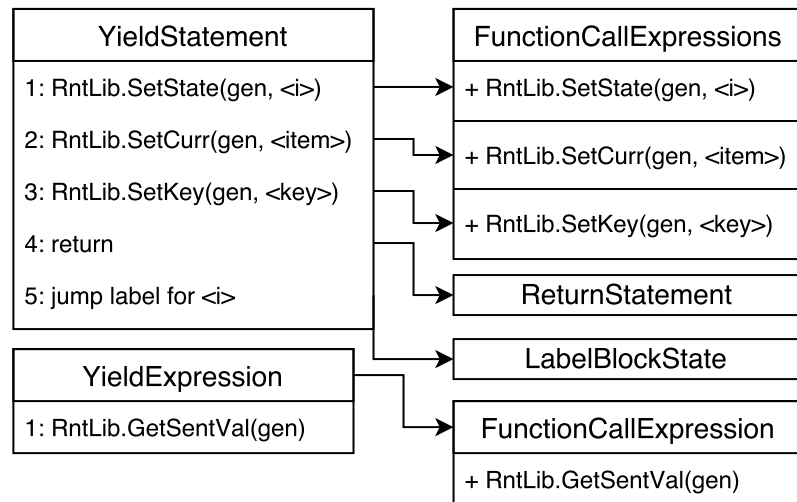


Figure 5.16: Possible lowering solution to a yield expression and a yield statement.

Conclusion

In previous four sections we have first described the fundamental concepts required for this thesis to even make sense, then designed an algorithm to support our feature, provided an overview of said algorithm's implementation, and, in the end, proposed possible expansions.

While the work on generators support within the Peachpie compiler is by no means done the shipped implementation provides a good foundation that can stand on its own. It brings support for all generator's features except for yields in exception handling blocks. And while that is an useful feature it is a more of an extension of generators than its fundamental building block. Other than that our implementation mimics the reference semantics faithfully while expanding upon the featureset usual in other CLI based languages such as in C#.

The goal of using as much existing architecture as possible and not creating unnecessary abstractions just for generators was also achieved. While there is still room for improvement all generators specific code is either cleanly separated or repurposed for use by other compiler components.

Lastly, while not an explicitly stated goal the compilation of generators is efficient. It does not introduce any new semantic tree or syntax tree traversals and only slightly increases the memory required for the binding phase. Due to separating all specific logic to a special binder it has absolutely no impact on binding and thus compiling non-generator methods.

In conclusion this thesis and the attached implementation fulfill all goals set by both the thesis assignment and us in the introduction section. On top of that it brings a self-contained functionality to a popular open source project.

Attachments

Attached to this thesis is a snapshot of Peachpie project's git repository. It contains not only the implementation that was done as the practical part of this thesis but also the rest of the complete project. A more up to date version can be found on github².

To query only commits done by the author of this thesis please filter out author *Petr Houška* or email *houskape@gmail.com*.

Compilation

The project's only implicit dependency is .NET Core runtime and optionally its CLI SDK. If you want to compile the project yourself you can download both of them from the official site³, for Linux, Windows, or MacOSX.

After obtaining the .NET Core SDK please navigate to the folder with the Peachpie repository in your favourite terminal and:

```
dotnet restore //download all external packages required
dotnet build   //build the complete solution
```

Structure

There are three components relevant for this thesis within the repository. The compiler binaries, the compiler implementation, and the generators tests. Below are listed paths to them and in case of the compiler's implementation also to some files containing the majority of our work to support generators.

1. src/Compiler/peach
2. src/CodeAnalysis
 - (a) ./Semantics/SemanticsBinder.cs
 - (b) ./Semantics/Graph/BuilderVisitor.cs
 - (c) src/Peachpie.Runtime/std/Generator.cs
3. tests/generators

Manual testing

To compile an arbitrary PHP file into a .NET assembly with Peachpie invoke the compiler with a path to the PHP file as its first argument. The compiler assembly resides at aforementioned path and is called peach.exe or peach.dll depending of whether it was compiled for full .NET framework or .NET Core.

² github.com/peachpiecompiler/peachpie

³ microsoft.com/net/download/core

```
$\src\Compiler\peach> dotnet run .\test.php
```

Please do note that an assembly compiled this way will require PHP runtime libraries to run. These libraries can be found, for example, in the bin output of the compiler (peach) project.

Alternatively it is possible to use a Peachpie console application sample⁴. It includes a .msbuildproj file that configures the .NET Core CLI to download and use both the Peachpie compiler toolchain and required runtime libraries automatically. More about that approach can be found on a peachpie blog⁵.

Automatic testing

The Peachpie project includes a comprehensive set of automatic tests. These consist of PHP files that get compiled by the Peachpie compiler and run by a .NET runtime. If there is a PHP runtime present in the current path environment variable they get run by it as well. The results are then compared to ensure Peachpie compilation keeps the original PHP semantics and is, in terms of runtime behaviour, indistinguishable from the reference implementation.

There is a number tests created as part of this thesis that ensure the implementation of generators support works correctly. They are located in a subfolder tests/generators. While they are in no particular order it is generally true that the higher their number the more complex aspect of generators they test. Below is a command that invokes all peachpie tests, including generator ones.

```
$\src\Tests\Peachpie.ScriptTests> dotnet test
```

Please do note that two tests usually fail on some machines because of encoding issues.

⁴github.com/iolevel/peachpie-samples/tree/master/console-application

⁵ peachpie.io/2017/04/tutorial-vs2017.html

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List of Abbreviations

CLI Common language infrastructure, open standard for runtime environment implemented by .NET, Mono, and others.

CIL Common intermediate language, object oriented assembler defined by *CLI* (also known as *MSIL* or *IL*).

CLR Common language runtime, virtual machine implementing the execution engine specified by *CLI*.

DLR Dynamic language runtime, set of libraries providing compiler and runtime services for dynamic languages build on top of *CLR*.

AST Abstract syntax tree, structured representation of the source code.

CFG Control flow graph, a semantic graph representing a method.