# ESTIMATING SEQUENCE SIMILARITY FROM CONTIG SETS

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#### INTRODUCTION

#### What we study.



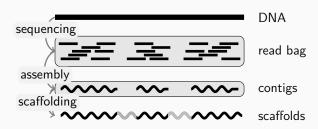
- DNA sequences encode all information needed for cell growth, replication, and function.
- Knowledge and understanding of DNA may help in medicine, biology and other fields
- Sequencing is a process of reading DNA
- Hierarchical clustering may indicate evolution of organisms

#### Read sets



- Product of sequencing is not a long sequence, but short substrings called reads
- Reads have length of 10s to 100s of symbols
- Sequence AGGCTGGA is represented by set {AGGC, TGGA, GCT}.

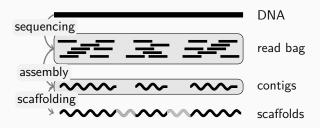




#### Input data



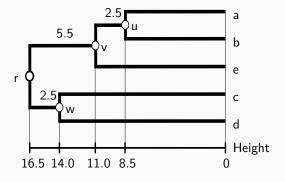
- Assembly does not produce a single putative sequence, but several contigs
- Process of scaffolding and gap filling requires some additional wet-lab work
- Contigs are approximate substrings with unknown location and orientation
- Input: contig sets of n organisms



# Output - hierarchical clustering



• Output is a dendrogram of the species



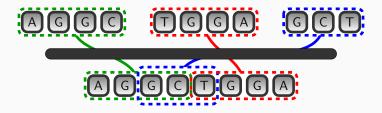
[By Manudouz (Own work) [CC BY-SA 4.0], via Wikimedia Commons]

#### RELATED WORK

#### Classical approach - first assemble.



• The classical approach is to reconstruct the original sequence first.

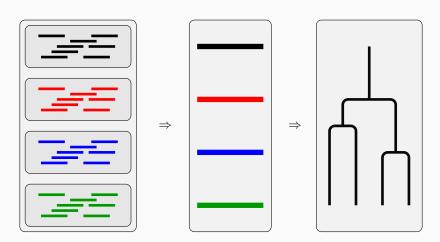


- Genome assembly
- NP-hard problem

# Classical approach - then cluster.



- Hierarchical clustering algorithm is used to build a dendrogram
- Dendrogram is based on edit distance



# Alignment-free approaches



- Originally designed do avoid alignment step for genome comparison
- Genome broken into k-mers
- Some approaches work with read data

Comin and Schimd BMC Bioinformatics 2014, 15(Suppl 9):51 http://www.biomedcentral.com/1471-2105/15/59/51



#### PROCEEDINGS

Open Access

Assembly-free genome comparison based on next-generation sequencing reads and variable length patterns

Matteo Comin<sup>\*</sup>, Michele Schimd

From RECOMB-Seq: Fourth Annual RECOMB Satellite Workshop on Massively Parallel Sequencing Pittsburgh, PA, USA. 31 March - 05 April 2014

BRIEFINGS IN BIOINFORMATICS, VOL IS, NO 3, 343-353

dat 10.1093/bib/bbs067

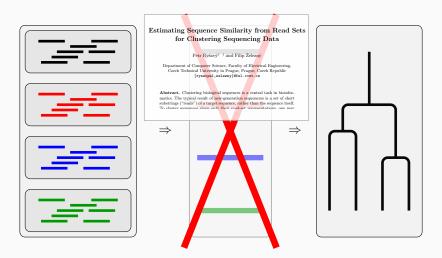
New developments of alignment-free sequence comparison: measures, statistics and next-generation sequencing

Kai Song, Jie Ren, Gesine Reinert, Minghua Deng, Michael S. Waterman and Fengzhu Sun Submitted: 28th Mar 2003: Received (in revised form): 25th July 200

## Our approach - skip assembly.



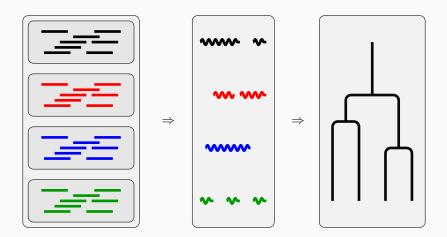
- Paper aims to avoid the assembly step
- Goal is to build dendrogram directly from the read sets



# This paper



• Do not skip the assembly, do only the easy parts.

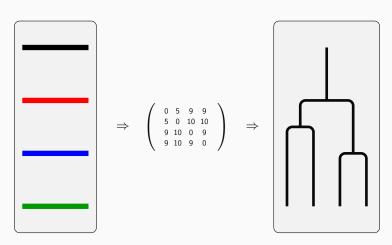


#### DISTANCE FUNCTION DESIGN

# Clustering algorithms



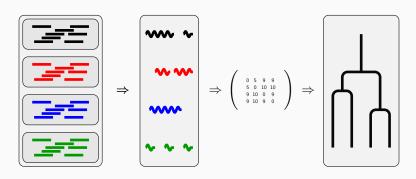
- The only input of hierarchical clustering algorithms is a distance matrix
- This includes UPGMA and neighbor-joining



#### Key observation



- To build dendrogram, we need to approximate the distance matrix
- Measure that approximates edit distance needed



#### Problem reformulation



 Approximate edit distance between two sequences from their contig set representations.

#### Assumptions:

- Contigs are approximate non-overlapping substrings of the original sequence.
- All sequencing is done with the same coverage  $\alpha$ .
- Reference genome is unknown.

## Three step procedure



- 1. Calculate expected overlaps of contig pairs.
- 2. Select appropriate overlaps for each contig.
- 3. Average the distances over overlaps.

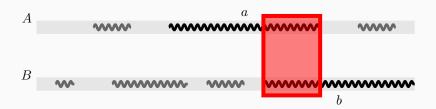
# 1) Estimating overlaps for contig pairs



- $\bullet$  Consider two contigs a and b and assume they overlap in the optimal alignment
- Select overlap that minimizes the post-normalized edit distance

$$\overline{\mathsf{dist}}(a,b) = \frac{\mathsf{dist}(a,b)}{\max\{|a|,|b|\}}.$$
 (1)

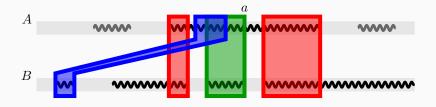
Heuristic approach based on modification of Smith-Waterman algorithm



# 2) Estimating overlaps for contig sets



- For one contig we have overlaps with the other contig set
- Select non-overlapping regions that maximize the total value (post-normalized edit distance)
- Reduction to weighted interval schedulling problem



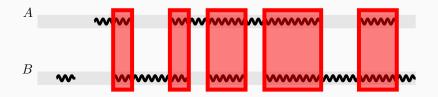
# 3) Combining the Results



• Sum distances of overlap pairs

$$d(C_A, C_B) = \sum_{(c,d) \in \mathsf{overlap}(C_A, C_B)} \mathsf{dist}(c,d).$$

• The sum does not capture contig size w.r.t. genome size



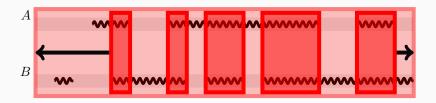
# 3) Combining the Results



- Normalize
- Divide by maximum possible distance of all overlaps ...
- ... and multiply by genome maximum distance

$$d(C_A, C_B) = \frac{\sum_{(c,d) \in \mathsf{overlap}(C_A, C_B)} \mathsf{dist}(c,d)}{\sum_{(c,d) \in \mathsf{overlap}(C_A, C_B)} \max\{|c|,|d|\}} \cdot \frac{l \max\{|R_A|,|R_B|\}}{\alpha}$$

• The resulting measure is not symmetric ...



# 3) Combining the Results



... average both directions

$$\mathsf{Dist}(C_A,C_B) = \frac{d(C_A,C_B) + d(C_B,C_A)}{2}$$

#### EXPERIMENTAL RESULTS

#### Experimental setup



- Influenza datasets of viruses' DNA (n = 13)
  - Sampled with high range of coverage and read length
- Dataset of 81 hepatitis sequences,  $(\alpha, l) \in \{10, 30, 50\} \times \{30, 70, 100\}$ . ART used for Illumina sequencing simulation.
- Original DNA sequences used as a reference
- Two clustering algorithms (Neighbor-joining and UPGMA)
- Contigs produced by five common de novo assemblers (ABySS, edena, SSAKE, SPADes, velvet) and an idealized assembly algorithm
- Comparison with a straightforward approach that uses the longest contig

#### Measured characteristics:



- time (assembly time, distance matrix time, clustering time)
- Pearson's correlation coefficient measuring similarity of the distance matrix to the reference one
- Fowlkes-Mallows index measuring similarity of the clusterings

## Results

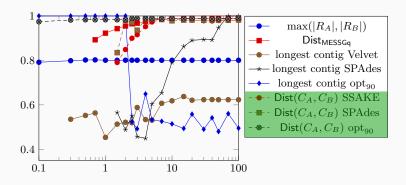


• The proposed method produces results of good quality.

method	finished	$\frac{\text{assem.}}{\text{ms}}$	$\frac{\text{distances}}{\text{ms}}$	corr.	$B_4$	$B_8$
reference	112/112	0	2,518	1	1	1
$\max( R_A ,  R_B )$	112/112	0	184	.801	.66	.32
$Dist_{MESSGq}$	112/112	0	43,553	.966	1	.97
ongest contig Velvet	110/112	392	101	.569	.46	.23
ongest contig SPAdes	43/112	12,461	2,127	.751	.71	.56
longest contig opt <sub>90</sub>	112/112	0	1,208	.666	.63	.43
$\operatorname{Dist}(C_A, C_B)$ SSAKE	67/112	2,115	17,483	.949	.98	.87
$\operatorname{Dist}(C_A, C_B)$ SPAdes	43/112	12,461	20,968	.975	.99	.95
$Dist(C_A,C_B) \; opt_{90}$	112/112	0	22,239	.987	1	.98
reference	9/9	0	2,145,104	1	1	1
$\max( R_A ,  R_B )$	9/9	0	7,738	.181	.72	.83
$Dist_{MESSGq}$	9/9	0	701,726	.897	1	.98
ongest contig Velvet	9/9	22,860	3,447	.234	.93	.54
ongest contig SPAdes	9/9	103,683	1,872,233	.591	.95	.84
$Dist(C_A, C_B)$ SSAKE	9/9	96,446	29,465,436	.916	1	.9
$Dist(C_A, C_B)$ Velvet	9/9	22,860	28,186,784	.966	1	.98
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• For high coverage data, the results are better than for low coverage.



#### Results



• The method is better than baseline and estimates based on longest contig only.

Dataset	method	finished	$\frac{\mathrm{assem.}}{\mathrm{ms}}$	$\frac{\text{distances}}{\text{ms}}$	corr.	$B_4$	$B_8$
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#### Results



• Runtime is comparable to reference up to a constant factor (3 tables vs. 1)

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#### CONCLUSION

#### Conclusion



- Our method may result in less wet-lab work needed for (dis)similarity machine learning
- From contigs, we estimate sequence similarity of original sequences
- Good quality regarding Pearson's correlation coefficient between distance matrices
- https://github.com/petrrysavy/ida2017

#### Future work



- Improve runtime to find overlap faster
- Combine results with the previous work to get advantages of both
- Do a more thorough experimental evaluation (in progress)

# THANK YOU FOR YOUR ATTENTION. TIME FOR QUESTIONS!

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