

## Computationally Hard Problems – Fall 2025 Assignment Project

**Date:** 07.10.2025, **Due date:** 03.11.2025, 21:00

This project should be performed in groups consisting of three students. Please register your group on DTU Learn and state the division of labor within your group in your submission.

The following exercise is **mandatory**:

**Exercise Project.1:** Consider the following problem.

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**Problem:** [SUPERSTRINGWITHEXPANSION]

**Input:**

- a) 2 disjoint alphabets called  $\Sigma$  and  $\Gamma = \{\gamma_1, \dots, \gamma_m\}$ ,
- b) a string  $s \in \Sigma^*$ ,
- c)  $k$  strings  $t_1, \dots, t_k \in (\Sigma \cup \Gamma)^*$ ,
- d) and subsets  $R_1, \dots, R_m \subseteq \Sigma^*$ , all being of finite size.

**Output:** YES if there is a sequence of words  $r_1 \in R_1, r_2 \in R_2, \dots, r_m \in R_m$  such that for all  $i \in \{1, \dots, k\}$  the so-called *expansion*  $e(t_i)$  is a substring of  $s$ ; the expansion  $e(\gamma_j)$  of the  $j$ -th letter  $\gamma_j \in \Gamma$ ,  $1 \leq j \leq m$ , is defined by  $e(\gamma_j) := r_j$ , and the expansion  $e(t)$  of a whole string  $t \in (\Sigma \cup \Gamma)^*$  is obtained by replacing all letters from  $\Gamma$  appearing within  $t$  by their expansions. Otherwise output NO.

Formally, we say that  $\mathbf{v} = v_1v_2 \dots v_{\ell_v}$  is a *substring* of  $\mathbf{w} = w_1w_2 \dots w_{\ell_w}$  if there is a  $j$ ,  $1 \leq j \leq \ell_w - \ell_v + 1$ , such that for all  $k = 1, 2, \dots, \ell_v$  we have  $v_k = w_{j+k-1}$ .

Also formally, *replacing* the  $i$ -th letter  $v_i$  of the string  $\mathbf{v} = v_1v_2 \dots v_{\ell_v}$  by the string  $\mathbf{w} = w_1w_2 \dots w_{\ell_w}$  results in the string  $v_1v_2 \dots v_{i-1}w_1w_2 \dots w_{\ell_w}v_{i+1}v_{i+2} \dots v_{\ell_v}$ .

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Some problem instances on the alphabets  $\Sigma = \{\mathbf{a}, \mathbf{b}, \dots, \mathbf{z}\}$ ,  $\Gamma \subseteq \{\mathbf{A}, \mathbf{B}, \dots, \mathbf{Z}\}$  are given on DTU Learn as text files in the following SWE format:

The file is an ASCII file consisting of lines separated by the line-feed symbol; besides the line-feed, the only allowed characters in the file are numbers  $\{0, 1, \dots, 9\}$ , lower-case letters ( $\Sigma$ ), upper-case letters ( $\Gamma$ ), the colon (":") and the comma symbol.

The first line of the file contains the number  $k$ . The second line contains the string  $s$  and the following  $k$  lines the strings  $t_1, \dots, t_k$ . Finally, the last lines (at most 26) start with a letter  $\gamma_j \in \Gamma$  followed by a colon and the contents of the set  $R_j$  belonging to the letter, where the elements of the set are separated by commas.

An example: The file `test01.SWE` reads as follows:

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abdde

ABD

DDE

AAB

ABd

A:a,b,c,d,e,f,dd

B:a,b,c,d,e,f,dd

C:a,b,c,d,e,f,dd

D:a,b,c,d,e,f,dd

E:aa,bd,c,d,e

### What you have to do:

- a) Read and understand the problem. You do not have to comment on this in the report.
- b) Determine whether the answer for `test01.SWE` is YES or NO and justify your solution.
- c) Describe the formal language that we use in the `.SWE` file format to represent inputs to `SUPERSTRINGWITHEXPANSION` and describe how to solve the word problem for a word over the underlying alphabet. Note that every formal language is defined over a single alphabet only.
- d) Assume you are given an algorithm  $A_d$  for the decision version of `SUPERSTRINGWITHEXPANSION` as described above. Show how to convert it into an algorithm  $A_o$  for the optimization version of the problem, i.e., an algorithm that given the specified input, computes the sequence of words  $r_1, r_2, \dots, r_m$  or answers NO if no such sequence exists. The algorithm  $A_o$  has to run in polynomial time, assuming that a call to  $A_d$  takes one computational step. Prove running time and correctness.
- e) Show that `SUPERSTRINGWITHEXPANSION` is in  $\mathcal{NP}$ .
- f) Show that `SUPERSTRINGWITHEXPANSION` is  $\mathcal{NP}$ -complete. As reference problem you have to select a problem from the list of  $\mathcal{NP}$ -complete problems given below. Note that there may be many different approaches to prove  $\mathcal{NP}$ -completeness.
- g) Design an algorithm which receives an input to `SUPERSTRINGWITHEXPANSION` in the general format given above (not restricted to `.SWE` format) and always gives the correct answer, i.e., which always stops and determines whether the input instance is a YES or NO instance. The algorithm is allowed have exponential worst-case running time (i.e. bounded by  $2^{p(n)}$ , where  $n$  is the size of the input and  $p$  some polynomial), but should contain some *heuristic* elements that allow for faster execution on certain types of instances. For example, a heuristic may be used to quickly identify cases where the answer must be NO. Describe in natural language how the algorithm works, prove its correctness and running time, and explain the heuristic elements.

When you later implement the algorithm (part i) below), you have to restrict its inputs to `.SWE` files. If the instance is a NO-instance (including the input is malformed, i.e., does not comply with `.SWE` format), your algorithm must output NO. If it is a YES-instance, your algorithm has to construct a solution  $r_1, \dots, r_m$  and output the solution. The format of the output should then only be a list of lines in the format  $\gamma_i: r_i$ , where  $\gamma_i$  is an upper-case letter and  $r_i$  the chosen element of  $R_i$ , for example (not a solution to `test01.SWE`)

A:a

B:b

C:c

D:d

E:e

- h) Analyze the worst-case running time of the algorithm with respect to the general input format.
- i) Implement the algorithm you developed in Part g). It has to be able to read inputs in `.SWE` format from *standard input* (not as a command line argument) and, as described in g), it must always solve the corresponding problem correctly by outputting a solution (or `NO` if no solution is possible) to *standard output*. It is important to use only standard input and standard output for communication, i. e., the program **must not** require additional command-line arguments or user interaction to read from a file etc. Failing to do so will reduce your score.

We expect you to test your code on the instances published on DTU Learn as well as on other instances. If your code does not solve all tests `test01.SWE-test06.SWE` on DTU Learn, you should try to improve it. The teachers will take into account the total number of solved test instances (not necessarily limited to the ones on Learn) for the final score you receive for this whole assignment.

You have to submit exactly three files to the corresponding assignment on DTU Learn. Replace `XX` with your group number.

- a PDF file called `report-group-XX.pdf` with your solutions to the theoretical (non-programming) parts of this project,
- the full source code of your implementation as a ZIP file called `code-group-XX.zip`; the top level of the zip file should contain the root of the project, i. e. not a single directory that contains the root of the project (hint: zip the files/directories in the root of the project, not the directory containing the whole project)
- and an instruction how to compile and run your implementation as a text file called `readme-group-XX.txt`.

Do not duplicate files, in particular, do not include the report and readme file in the zip archive. Accepted programming languages are Java, C, C++ and Python. If you prefer other languages, you have to contact the teachers. Note that the latest version submitted before the deadline will be evaluated. We reserve the right to deduce points if you do not comply with the above guidelines, including conventions for file types, file structures and names, which are case sensitive.

The three blocks [b)–f)], [g),h)], and [i)] have approximately weights of 50 %, 25 %, and 25 %, respectively, in the grading. Please state in your report the **division of labor**, i. e., describe the contributions of the individual group members.

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**List of  $\mathcal{NP}$ -complete problems to choose from.**

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**Problem:** [PARTITIONINTO3-SETS]

**Input:** A sequence  $X = (x_1, x_2, \dots, x_{3n})$  of  $3n$  natural numbers, and a natural number  $B$ , such that  $(B/4) < x_i < (B/2)$  for all  $i \in \{1, 2, \dots, 3n\}$  and  $\sum_{i=1}^{3n} x_i = nB$ .

**Output:** YES if  $X$  can be partitioned into  $n$  disjoint sets  $X_1, X_2, \dots, X_n$  such that for all  $j \in \{1, 2, \dots, n\}$  one has  $\sum_{x \in X_j} x = B$ .

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**Problem:** [1-IN-3-SATISFIABILITY]

**Input:** A set of clauses  $C = \{c_1, \dots, c_k\}$  over  $n$  boolean variables  $x_1, \dots, x_n$ , where every clause contains exactly three literals.

**Output:** YES if there is a satisfying assignment such that every clause has exactly one true literal, i. e., if there is an assignment

$$a: \{x_1, \dots, x_n\} \rightarrow \{0, 1\}$$

such that every clause  $c_j$  is satisfied and no clause has two or three satisfied literals, and NO otherwise.

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**Problem:** [MINIMUMCLIQUECOVER]

**Input:** An undirected graph  $G = (V, E)$  and a natural number  $k$ .

**Output:** YES if there is clique cover for  $G$  of size at most  $k$ . That is, a collection  $V_1, V_2, \dots, V_k$  of not necessarily disjoint subsets of  $V$ , such that each  $V_i$  induces a complete subgraph of  $G$  and such that for each edge  $\{u, v\} \in E$  there is some  $V_i$  that contains both  $u$  and  $v$ . NO otherwise.

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**Problem:** [GRAPH-3-COLORING]

**Input:** An undirected graph  $G = (V, E)$ .

**Output:** YES if there is a 3-coloring of  $G$  and NO otherwise. A 3-coloring assigns every vertex one of 3 colors such that adjacent vertices have different colors.

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**Problem:** [LONGEST-COMMON-SUBSEQUENCE]

**Input:** A sequence  $\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_n$  of strings over an alphabet  $\Sigma$  and a natural number  $B$ .

**Output:** YES if there is a string  $\mathbf{x}$  over  $\Sigma$  of length  $B$  which is a subsequence of all  $\mathbf{w}_i$ . The answer is NO otherwise.

Formally, we say that  $\mathbf{x} = x_1x_2 \dots x_{\ell_x}$  is a *subsequence* of  $\mathbf{w} = w_1w_2 \dots w_{\ell_w}$  if there is a strictly increasing sequence of indices  $i_j$ ,  $1 \leq j \leq \ell_x$ , such that for all  $j = 1, 2, \dots, \ell_x$  we have  $x_j = w_{i_j}$ .

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**Problem:** [MINIMUMRECTANGLETILING]

**Input:** An  $n \times n$  array  $A$  of non-negative numbers, positive integers  $k$  and  $B$ .

**Output:** YES if there is a partition of  $A$  into  $k$  non-overlapping rectangular sub-arrays such that the sum of the entries every sub-array is at most  $B$ . NO otherwise.

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**Problem:** [MINIMUM GRAPH TRANSFORMATION]

**Input:** Undirected graphs  $G_1 = (V_1, E_1)$ ,  $G_2 = (V_2, E_2)$  and an integer  $k > 0$ .

**Output:** YES if there is a transformation of order  $k$  that makes  $G_1$  isomorphic to  $G_2$ , and NO otherwise. A transformation of order  $k$  removes  $k$  existing edges from  $E_1$  and then adds  $k$  new edges to  $E_1$ .

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**Problem:** [MINIMUMDEGREEESPANNINGTREE]

**Input:** A graph  $G = (V, E)$  and an integer  $k$ .

**Output:** YES if there is a spanning tree  $T$  in which every node has degree at most  $k$ ; NO otherwise.

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End of Exercise Project.1

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