

The Live Textbook of Physical Chemistry

Volume 2: Quantum Mechanics



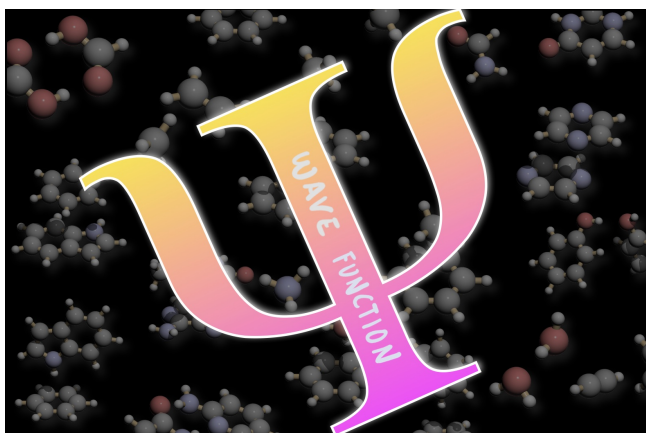
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Preface



This textbook is the official textbook for the Physical Chemistry 2 Course (CHM 3002) at Florida Tech.

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How to use this book

If you have taken P-Chem 1 at Florida Tech in the Fall Semester 2020, you should already be familiar with this format. Everything we did with the Live Textbook of P-Chem 1 in FS2020 will be happening this semester with P-Chem 2. Please read this book carefully, since everything that will be in your exams is explained here. Since this book is specifically tailored for the CHM 3002 course at Florida Tech, there are no superfluous parts. In other words, everything in it might be subject to question in the quizzes and the final exam.

Definitions and exercises are usually numbered and are highlighted in the text in this format (lighter grey, indented, and following a grey vertical bar). Please study the definitions carefully since they are fundamental concepts that will be used several times in the remainder of the text, and they will be subject to quizzes and exams. Exercises are essential for cementing the concepts, and you should attempt to execute them first without looking at the solution. Even if you were able to solve an exercise on your own, always read the solution after, since it might contain additional explanations expanding the main concepts in the text.

Navigating the book should be straightforward. On each page, there is a useful sidebar on the left that gives you an overview of all chapters, and a toolbar at the top with important tools. Arrows to shift between chapters might also be present, depending on your browser. If you are old-school and prefer a pdf, you can download a printout by clicking on the toolbar's corresponding icon. If you are *really* old-school and prefer a printed book, the best solution is to download the pdf and print it yourself. It is a LaTeX book, and I can promise you it will look good on paper. However, I cannot provide physical copies to each student. In the toolbar, you will find a useful search box that is capable of searching the entire book. The most adventurous will find in the toolbar a link to the raw GitHub source code. Feel free to head on over there and fork the book.

Each chapter of this book represents one week of work in the classroom and at home. The sidebar on the left will reflect your syllabus, as well as the main structure of the class on Canvas. The book is a live document, which means it will be updated throughout the semester with new material. While you are not required to check it every day, you might want to review each week's chapter before the lecture on Friday.

If you spot a mistake or a typo, contact Dr. Peverati via email and you will receive a credit of up to three points towards your final score, once the typo has been verified and corrected.

Chapter 1

The Motivation for Quantum Mechanics

1.1 Introduction

Quantum mechanics is an important intellectual achievement of the 20th century. It is one of the more sophisticated field in physics that has affected our understanding of nano-meter length scale systems important for chemistry, materials, optics, and electronics. The existence of orbitals and energy levels in atoms can only be explained by quantum mechanics. Quantum mechanics can explain the behaviors of insulators, conductors, semi-conductors, and giant magneto-resistance. It can explain the quantization of light and its particle nature in addition to its wave nature. Quantum mechanics can also explain the radiation of hot body, and its change of color with respect to temperature. It explains the presence of holes and the transport of holes and electrons in electronic devices. Quantum mechanics has played an important role in photonics, quantum electronics, and micro-electronics. But many more emerging technologies require the understanding of quantum mechanics; and hence, it is important that scientists and engineers understand quantum mechanics better. One area is nano-technologies due to the recent advent of nano-fabrication techniques. Consequently, nano-meter size systems are more common place. In electronics, as transistor devices become smaller, how the electrons move through the device is quite different from when the devices are bigger: nano-electronic transport is quite different from micro-electronic transport. The quantization of electromagnetic field is important in the area of nano-optics and quantum optics. It explains

how photons interact with atomic systems or materials. It also allows the use of electromagnetic or optical field to carry quantum information. Moreover, quantum mechanics is also needed to understand the interaction of photons with materials in solar cells, as well as many topics in material science. When two objects are placed close together, they experience a force called the Casimir force that can only be explained by quantum mechanics. This is important for the understanding of micro/nano-electromechanical sensor systems (M/NEMS). Moreover, the understanding of spins is important in spintronics, another emerging technology where giant magneto-resistance, tunneling magneto-resistance, and spin transfer torque are being used. Quantum mechanics is also giving rise to the areas of quantum information, quantum communication, quantum cryptography, and quantum computing. It is seen that the richness of quantum physics will greatly affect the future generation technologies in many aspects.

1.2 Quantum Mechanics is Bizarre

The development of quantum mechanics is a great intellectual achievement, but at the same time, it is bizarre. The reason is that quantum mechanics is quite different from classical physics. The development of quantum mechanics is likened to watching two players having a game of chess, but the watchers have not a clue as to what the rules of the game are. By observations, and conjectures, finally the rules of the game are outlined. Often, equations are conjectured like conjurors pulling tricks out of a hat to match experimental observations. It is the interpretations of these equations that can be quite bizarre. Quantum mechanics equations were postulated to explain experimental observations, but the deeper meanings of the equations often confused even the most gifted. Even though Einstein received the Nobel prize for his work on the photo-electric effect that confirmed that light energy is quantized, he himself was not totally at ease with the development of quantum mechanics charted by the younger physicists. He was never comfortable with the probabilistic interpretation of quantum mechanics by Born and the Heisenberg uncertainty principle: “God doesn’t play dice,” was his statement assailing the probabilistic interpretation. He proposed “hidden variables” to explain the random nature of many experimental observations. He was thought of as the “old fool” by the younger physicists during his time. Schrödinger came up with the bizarre “Schrödinger cat paradox” that showed the struggle that physicists had with quantum mechanics’s interpretation. But with today’s understanding of quantum mechanics, the paradox is a thing of yesteryear. The latest twist to the interpretation in

quantum mechanics is the parallel universe view that explains the multitude of outcomes of the prediction of quantum mechanics. All outcomes are possible, but with each outcome occurring in different universes that exist in parallel with respect to each other.¹

The development of quantum mechanics was initially motivated by two observations which demonstrated the inadequacy of classical physics. These are the “ultraviolet catastrophe” and the photoelectric effect.

1.3 The Ultraviolet Catastrophe

A blackbody is an idealized object which absorbs and emits all frequencies. Classical physics can be used to derive an equation which describes the intensity of blackbody radiation as a function of frequency for a fixed temperature—the result is known as the Rayleigh-Jeans law. Although the Rayleigh-Jeans law works for low frequencies, it diverges as ν^2 ; this divergence for high frequencies is called the ultraviolet catastrophe. Max Planck explained the blackbody radiation in 1900 by assuming that the energies of the oscillations of electrons which gave rise to the radiation must be proportional to integral multiples of the frequency, i.e.,

$$E = nh\nu \tag{1.1}$$

Using statistical mechanics, Planck derived an equation similar to the Rayleigh-Jeans equation, but with the adjustable parameter h . Planck found that for $h = 6.626 \times 10^{-34}$ J s, the experimental data could be reproduced. Nevertheless, Planck could not offer a good justification for his assumption of energy quantization. Physicists did not take this energy quantization idea seriously until Einstein invoked a similar assumption to explain the photoelectric effect.

1.4 The Photoelectric Effect

In 1886 and 1887, Heinrich Hertz discovered that ultraviolet light can cause electrons to be ejected from a metal surface. According to the classical wave theory of light, the intensity of the light determines the amplitude of the wave, and so a greater light intensity should cause the electrons on the metal

¹This section was adapted in part from Prof. Weng Cho CHEW’s Quantum Mechanics Made Simple Lecture Notes available here.

to oscillate more violently and to be ejected with a greater kinetic energy. In contrast, the experiment showed that the kinetic energy of the ejected electrons depends on the frequency of the light. The light intensity affects only the number of ejected electrons and not their kinetic energies. Einstein tackled the problem of the photoelectric effect in 1905. Instead of assuming that the electronic oscillators had energies given by Planck's formula, eq. (1.1), Einstein assumed that the radiation itself consisted of packets of energy $E = h\nu$, which are now called photons. Einstein successfully explained the photoelectric effect using this assumption, and he calculated a value of h close to that obtained by Planck.

Two years later, Einstein showed that not only is light quantized, but so are atomic vibrations. Classical physics predicts that the molar heat capacity at constant volume (C_V) of a crystal is $3R$, where R is the molar gas constant. This works well for high temperatures, but for low temperatures C_V actually falls to zero. Einstein was able to explain this result by assuming that the oscillations of atoms about their equilibrium positions are quantized according to $E = nh\nu$, Planck's quantization condition for electronic oscillators. This demonstrated that the energy quantization concept was important even for a system of atoms in a crystal, which should be well-modeled by a system of masses and springs (i.e., by classical mechanics).

1.5 Wave-Particle Duality

Einstein had shown that the momentum of a photon is

$$p = \frac{h}{\lambda}. \quad (1.2)$$

This can be easily shown as follows. Assuming $E = h\nu$ for a photon and $\lambda\nu = c$ for an electromagnetic wave, we obtain

$$E = \frac{hc}{\lambda} \quad (1.3)$$

Now we use Einstein's relativity result $E = mc^2$ to find

$$\lambda = \frac{h}{mc} \quad (1.4)$$

which is equivalent to eq. (1.2). Note that m refers to the relativistic mass, not the rest mass, since the rest mass of a photon is zero. Since light can

behave both as a wave (it can be diffracted, and it has a wavelength), and as a particle (it contains packets of energy $h\nu$), de Broglie reasoned in 1924 that matter also can exhibit this wave-particle duality. He further reasoned that matter would obey the same eq. (1.2) as light. In 1927, Davisson and Germer observed diffraction patterns by bombarding metals with electrons, confirming de Broglie's proposition.²

Rewriting the previous equations in terms of the wave vector, $k = \frac{2\pi}{\lambda}$, and the angular frequency, $\omega = 2\pi\nu$, we obtain the following two equations

$$\begin{aligned}p &= \hbar k \\ E &= \hbar\omega,\end{aligned}\tag{1.5}$$

which are known as **de Broglie's equations**. We will use those equation to develop wave mechanics in the next chapters.

²The previous 3 sections were adapted in part from Prof. C. David Sherrill's A Brief Review of Elementary Quantum Chemistry Notes available [here](#).

Chapter 2

Classical Mechanics

Quantum mechanics cannot be derived from classical mechanics, but classical mechanics can inspire quantum mechanics. Quantum mechanics is richer and more sophisticated than classical mechanics. Quantum mechanics was developed during the period when physicists had rich knowledge of classical mechanics. In order to better understand how quantum mechanics was developed in this environment, it is better to understand some fundamental concepts in classical mechanics. Classical mechanics can be considered as a special case of quantum mechanics. We will review some classical mechanics concepts here.

2.1 Newtonian Formulation

In classical mechanics, a particle moving in the presence of potential $V(q)$ will experience a force given by:

$$F(q) = -\frac{dV(q)}{dq}, \quad (2.1)$$

where q represents the coordinate or the position of the particle. Hence, the particle can be described by the equations of motion:

$$\frac{dp}{dt} = F(q) = -\frac{dV(q)}{dq}, \quad \frac{dq}{dt} = \frac{p}{m}. \quad (2.2)$$

For example, when a particle is attached to a spring and moves along a frictionless surface, the force the particle experiences is $F(q) = -kq$ where k is the spring constant. Then the equations of motion of this particle are

$$\frac{dp}{dt} = \dot{p} = -kq, \quad \frac{dq}{dt} = \dot{q} = p/m \quad (2.3)$$

Given p and q at some initial time t_0 , one can integrate (2.2) or (2.3) to obtain p and q for all later time. Notice that only two variables p and q are sufficient to describe the state of a particle. These equations of motion are essentially derived using Newton's law. However, there are at least two other methods of deriving these equations of motion, which are described below.

2.2 Lagrangian Formulation

Another way to derive the equations of motion for classical mechanics is via the use of the Lagrangian and the principle of least action. The Lagrangian formulation is obtained by starting from the definition of the Lagrangian of the system:

$$L = K - V, \quad (2.4)$$

where K is the kinetic energy, and V is the potential energy. Both are expressed in terms of coordinates (q, \dot{q}) where $q \in \mathbb{R}^n$ is the position vector and $\dot{q} \in \mathbb{R}^n$ is the velocity vector. Notice that for a fixed time, t , q and \dot{q} are independent variables, since \dot{q} cannot be derived from q alone.

The time integral of the Lagrangian is called the **action**, and is defined as:

$$S = \int_{t_1}^{t_2} L dt, \quad (2.5)$$

which is a functional: it takes in the Lagrangian function for all times between t_1 and t_2 and returns a scalar value. The equations of motion can be derived from the principle of least action,¹ which states that the true evolution of a system $q(t)$ described by the coordinate q between two

¹Sometimes also called principle of stationary action, or variational principle, or Hamilton's principle.

specified states $q_1 = q(t_1)$ and $q_2 = q(t_2)$ at two specified times t_1 and t_2 is a stationary point of the action functional. For a stationary point:

$$\delta S = \frac{dS}{dq} = 0 \quad (2.6)$$

Requiring that the true trajectory $q(t)$ be a stationary point of the action functional S we obtain the equation of motion (figure 2.1[^][This diagram is taken from Wikipedia by user Maschen, and distributed under CC0 license]). This can be achieved applying classical variational calculus to the variation of the action integral S under perturbations of the path $q(t)$ (eq. (2.6)). The resulting equation of motion (or set of equations in the case of many dimensions) is sometimes also called the Euler—Lagrange equation:²

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}} \right) = \frac{\partial L}{\partial q}. \quad (2.7)$$

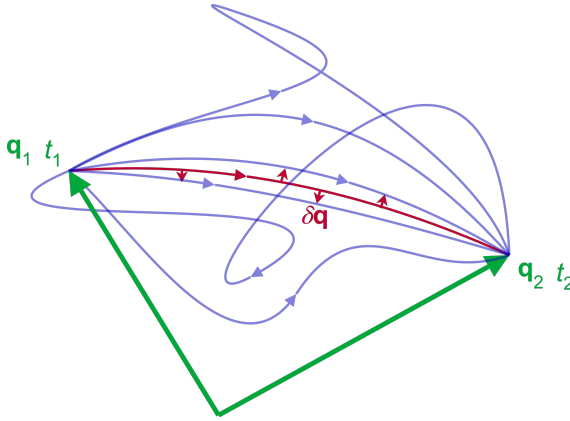


Figure 2.1: Principle of least action: As the system evolves, q traces a path through configuration space (only some are shown). The path taken by the system (red) has a stationary action under small changes in the configuration of the system.

²The mathematical derivation of the Euler—Lagrange equation is rather long and unimportant at this stage. For the curious, it can be found here.

2.3 Hamiltonian mechanics

A third way of obtaining the equation of motion is Hamiltonian mechanics, which uses the generalized momentum in place of velocity as a coordinate. The generalized momentum is defined in terms of the Lagrangian and the coordinates (q, \dot{q}) :

$$p = \frac{\partial L}{\partial \dot{q}}. \quad (2.8)$$

The Hamiltonian is defined from the Lagrangian by applying a Legendre transformation as:³

$$H(p, q) = p\dot{q} - L(q, \dot{q}), \quad (2.9)$$

The Lagrangian equation of motion becomes a pair of equations known as the Hamiltonian system of equations:

$$\begin{aligned} \dot{p} &= \frac{dp}{dt} = -\frac{\partial H}{\partial q} \\ \dot{q} &= \frac{dq}{dt} = +\frac{\partial H}{\partial p}, \end{aligned} \quad (2.10)$$

where $H = H(q, p, t)$ is the Hamiltonian of the system, which often corresponds to its total energy. For a closed system, it is the sum of the kinetic and potential energy in the system:

$$H = K + V. \quad (2.11)$$

Notice the difference between the Hamiltonian, eq. (2.11), and the Lagrangian, eq. (2.4). In Newtonian mechanics, the time evolution is obtained by computing the total force being exerted on each particle of the system, and from Newton's second law, the time evolutions of both position and velocity are computed. In contrast, in Hamiltonian mechanics, the time evolution is obtained by computing the Hamiltonian of the system in the generalized momenta and inserting it into Hamilton's equations. This approach is equivalent to the one used in Lagrangian mechanics, since

³We have already encountered Legendre transform in The Live Textbook of Physical Chemistry 1 when transforming from the thermodynamic energy to any of the other thermodynamic potentials.

the Hamiltonian is the Legendre transform of the Lagrangian. The main motivation to use Hamiltonian mechanics instead of Lagrangian mechanics comes from the more simple description of complex dynamic systems.

Example 2.1. *Basic Interpretation of Hamiltonian Mechanics*

A simple interpretation of Hamiltonian mechanics comes from its application on a one-dimensional system consisting of one particle of mass m . The Hamiltonian can represent the total energy of the system, which is the sum of kinetic and potential energy.

$$\begin{aligned} H &= K + V \\ K &= \frac{p^2}{2m}, \quad V = V(q), \end{aligned} \tag{2.12}$$

where q is the space coordinate and $p = m\dot{q}$ is the momentum. Then K is a function of p alone, while V is a function of q alone.

In this example, the time derivative of the momentum p equals the Newtonian force, and so the first Hamilton equation means that the force equals the negative gradient of potential energy:

$$\text{Newtonian force:} \quad \frac{dp}{dt} = -\frac{\partial V(q)}{\partial q}, \tag{2.13}$$

which is exactly the first equation of motion in eq. (2.2) of Newtonian mechanics. Notice that this equation could have been easily obtained in the Lagrangian formulation by writing the Lagrangian (2.4), and using the Euler-Lagrange equation, eq. (2.7). The time derivative of q is the velocity, and so the second Hamilton equation means that the particle's velocity equals the derivative of its kinetic energy with respect to its momentum:

$$\text{Velocity:} \quad \frac{dq}{dt} = \frac{\partial K}{\partial p} = \frac{\partial \left(\frac{p^2}{2m} \right)}{\partial p} = \frac{p}{m}, \tag{2.14}$$

which is exactly the second equation of motion in eq. (2.2) of Newtonian mechanics.

Chapter 3

The Schrödinger Equation

In 1925, Erwin Schrödinger and Werner Heisenberg independently developed the new quantum theory. Schrödinger's method involves partial differential equations, whereas Heisenberg's method employs matrices; however, a year later the two methods were shown to be mathematically equivalent. Most textbooks begin with Schrödinger's equation, since it seems to have a better physical interpretation via the classical wave equation. Indeed, the Schrödinger equation can be viewed as a form of the wave equation applied to matter waves.

3.1 The Time-Independent Schrödinger Equation

We can start the derivation of the single-particle time-independent Schrödinger equation (TISEq) from the equation that describes the motion of a wave in classical mechanics:

$$\psi(x, t) = \exp[i(kx - \omega t)], \quad (3.1)$$

where x is the position, t is time, $k = \frac{2\pi}{\lambda}$ is the wave vector, and $\omega = 2\pi\nu$ is the angular frequency of the wave. If we are not concerned with the time evolution, we can consider uniquely the derivatives of eq. (3.1) with respect to the location, which are:

$$\begin{aligned}\frac{\partial\psi}{\partial x} &= ik \exp[i(kx - \omega t)] = ik\psi, \\ \frac{\partial^2\psi}{\partial x^2} &= i^2 k^2 \exp[i(kx - \omega t)] = -k^2\psi,\end{aligned}\tag{3.2}$$

where we have used the fact that $i^2 = -1$.

Assuming that particles behaves as wave—as proven by de Broglie's—we can now use the first of de Broglie's equation, eq. (1.5), we can replace $k = \frac{p}{\hbar}$ to obtain:

$$\frac{\partial^2\psi}{\partial x^2} = -\frac{p^2\psi}{\hbar^2},\tag{3.3}$$

which can be rearranged to:

$$p^2\psi = -\hbar^2 \frac{\partial^2\psi}{\partial x^2}.\tag{3.4}$$

The total energy associated with a wave moving in space is simply the sum of its kinetic and potential energies:

$$E = \frac{p^2}{2m} + V(x),\tag{3.5}$$

from which we can obtain:

$$p^2 = 2m[E - V(x)],\tag{3.6}$$

which we can then replace into eq. (3.4) to obtain:

$$2m[E - V(x)]\psi = -\hbar^2 \frac{\partial^2\psi}{\partial x^2},\tag{3.7}$$

which can then be rearranged to the famous **time-independent Schrödinger equation (TISE)**:

$$-\frac{\hbar^2}{2m} \frac{\partial^2\psi}{\partial x^2} + V(x)\psi = E\psi,\tag{3.8}$$

A two-body problem can also be treated by this equation if the mass m is replaced with a reduced mass $\mu = \frac{m_1 m_2}{m_1 + m_2}$.

3.2 The Time-Dependent Schrödinger Equation

Unfortunately, the analogy with the classical wave equation that allowed us to obtain the TISEq in the previous section cannot be extended to the time domain by considering the equation that involves the partial first derivative with respect to time. Schrödinger himself presented his time-independent equation first, and then went back and postulated the more general time-dependent equation. We are following here the same strategy and just give the time-independent variable as a postulate. The single-particle time-dependent Schrödinger equation is:

$$i\hbar \frac{\partial \psi(x,t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x,t)}{\partial x^2} + V(x)\psi(x,t) \quad (3.9)$$

where $V \in \mathbb{R}^n$ represents the potential energy of the system. Obviously, the time-dependent equation can be used to derive the time-independent equation. If we write the wavefunction as a product of spatial and temporal terms, $\psi(x,t) = \psi(x)f(t)$, then equation (3.9) becomes:

$$\psi(x)i\hbar \frac{df(t)}{dt} = f(t) \left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x) \right] \psi(x), \quad (3.10)$$

which can be rearranged to:

$$\frac{i\hbar}{f(t)} \frac{df(t)}{dt} = \frac{1}{\psi(x)} \left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x) \right] \psi(x). \quad (3.11)$$

Since the left-hand side of eq. (3.11) is a function of t only and the right hand side is a function of x only, the two sides must equal a constant. If we tentatively designate this constant E (since the right-hand side clearly must have the dimensions of energy), then we extract two ordinary differential equations, namely:

$$\frac{1}{f(t)} \frac{df(t)}{dt} = -\frac{iE}{\hbar} \quad (3.12)$$

and:

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x)}{\partial x^2} + V(x)\psi(x) = E\psi(x). \quad (3.13)$$

The latter equation is the TISEq. The former equation is easily solved to yield

$$f(t) = e^{-iEt/\hbar} \quad (3.14)$$

The solutions of eq. (3.15), $f(t)$, are purely oscillatory, since $f(t)$ never changes in magnitude. Thus if:

$$\psi(x, t) = \psi(x) \exp\left(\frac{-iEt}{\hbar}\right), \quad (3.15)$$

then the total wave function $\psi(x, t)$ differs from $\psi(x)$ only by a phase factor of constant magnitude. There are some interesting consequences of this. First of all, the quantity $|\psi(x, t)|^2$ is time independent, as we can easily show:

$$|\psi(x, t)|^2 = \psi^*(x, t)\psi(x, t) = \exp\left(\frac{iEt}{\hbar}\right) \exp\left(\frac{-iEt}{\hbar}\right) = \psi^*(x)\psi(x). \quad (3.16)$$

Wave functions of the form of eq. (3.15) are called stationary states. The state $\psi(x, t)$ is “stationary,” but the particle it describes is not! Of course eq. (3.15) represents only a particular solution to the time-dependent Schrödinger equation. The general solution is, in general, much more complicated.¹

$$\psi(\mathbf{r}, t) = \sum_i c_i e^{-iE_i t/\hbar} \psi_i(\mathbf{r}) \quad (3.17)$$

¹This sections was adapted in part from Prof. C. David Sherrill’s A Brief Review of Elementary Quantum Chemistry Notes available here.

Chapter 4

Analytically Soluble Models

Chapter 5

The Hydrogen Atom

Chapter 6

Spin

Chapter 7

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