# Computer Architecture and Programming

ICS312
Machine-level and
Systems Programming

Henri Casanova (henric@hawaii.edu)



# "Computer Architecture"?

- The field of Computer Architecture is about the fundamental structure of computer systems
  - What are the components
  - How are they interconnected?
  - How fast does the whole system operate?
  - How much power does it consume?
  - How much does it cost to mass-produce?
  - How to achieve desired speed/power/cost trade-offs?
- The conceptual model for computer architecture, that hasn't fundamentally changed since 1965: the Von-Neumann architecture

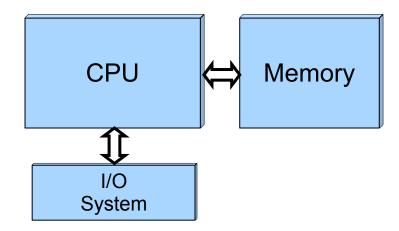


#### **Von-Neumann**

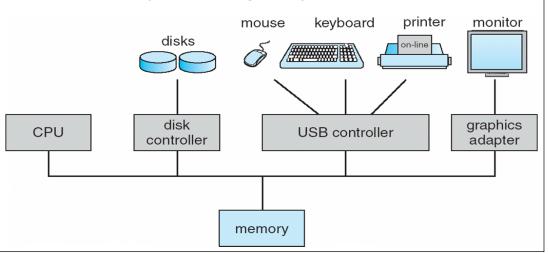
- In 1944, John von Neumann joined ENIAC
- He wrote a memo about computer architecture, formalizing ENIAC ideas
  - Eckert and Mauchly have pretty much been forgotten (they were in the trenches)
- These ideas became the Von Neumann architecture model
  - A processor that performs operations and controls all that happens
  - A memory that contains code and data
  - □ I/O of some kind



#### **Von-Neumann Model**



- Amazingly, it's still possible to think of the computer this way at a conceptual level (model from ~70 years ago!!!)
- But a computer today doesn't look quite like this



# .

## **Data Stored in Memory**

- All "information" in the computer is in binary form
  - Since Claude Shannon's M.S. thesis in the 30's
  - □ 0: zero voltage, 1: positive voltage (e.g., 5V)
  - bit: the smallest unit of information (0 or 1)
- The basic unit of memory is a byte
  - □ 1 Byte = 8 bits, e.g., "0101 1101"
  - □ 1 KiB =  $2^{10}$  byte = 1,024 bytes
  - □ 1 MiB =  $2^{10}$  KiB =  $2^{20}$  bytes (~ 1 Million)
  - □ 1 GiB =  $2^{10}$  MiB =  $2^{30}$  bytes (~ 1 Billion)
  - □ 1 TiB =  $2^{10}$  GiB =  $2^{40}$  bytes (~ 1 Trillion)
  - □ 1 PiB =  $2^{10}$  TiB =  $2^{50}$  bytes (~ 1000 Trillion)
  - □ 1 EiB =  $2^{10}$  PiB =  $2^{60}$  bytes (~ 1 Million Trillion)
- Note the "i" in the notations above: means "power of 2"

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## **Data Stored in Memory**

- Each byte in memory is labeled by a unique address
- An address is a number which identifies the memory location of each byte in memory
  - □ e.g., the byte at address 3 is 00010010
  - e.g., the byte at address 241 is 10110101
- Typically, we write address in binary as well
  - e.g., the byte at address 00000011 is 00010010
  - e.g., the byte at address 11110001 is 10110101
- All addresses in the machine have the same number of bits
  - □ e.g., 8-bit addresses
- The processor has instructions that say "Read the byte at address X and give me its value" and "Write some value into the byte at address X"



# **Conceptual View of Memory**

#### address

0000	0000	0000	0000
0000	0000	0000	0001
0000	0000	0000	0010
0000	0000	0000	0011
0000	0000	0000	0100
0000	0000	0000	0101
0000	0000	0000	0110
0000	0000	0000	0111
0000	0000	0000	1000

#### content

0110	1110
1111	0100
0000	0000
0000	0000
0101	1110
1010	1101
0000	0001
0100	0000
1111	0101

. . .



# **Conceptual View of Memory**

address		content		
0000	0000	0000	0000	0110 1110
0000	0000	0000	0001	1111 0100
0000	0000	0000	0010	0000 0000
0000	0000	0000	0011	0000 0000
0000	0000	0000	0100	0101 1110
000	<b>A 1</b> — <b>A</b>	draa	<b>-</b> 0000	0000 0000 0040
000				0000 0000 0010
000	TI	ie co	ntent	is 0000 0000
0000	0000	0000	1000	1111 0101



## **Conceptual View of Memory**

ac	ld	ress
----	----	------

0000 0000 0000 0000

0000 0000 0000 0001

0000 0000 0000 0010

0000 0000 0000 0011

0000 0000 0000 0100

#### content

0110 1110

1111 0100

0000 0000

0000 0000

0101 1110

000

000

At address 0000 0000 0000 0100 the content is 0101 1110

0000 0000 0000 1000

1111 0101

. .

. . .



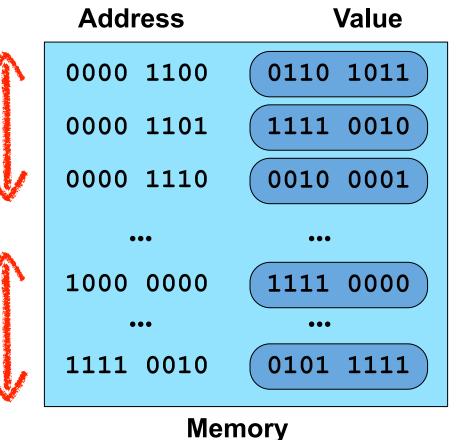
## **Both Code and Data in Memory**

Code

Data

- Once a program is loaded in memory, its address space contains both code and data
- To the CPU those are not really different, but the programmer knows which bytes are data an which are code
  - Always conveniently hidden from you if you've never written assembly
  - But we'll have to keep code/data straight in these lecture notes

#### **Example Address Space**

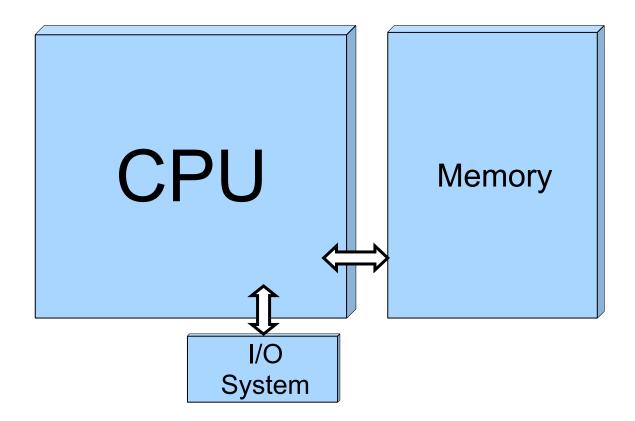




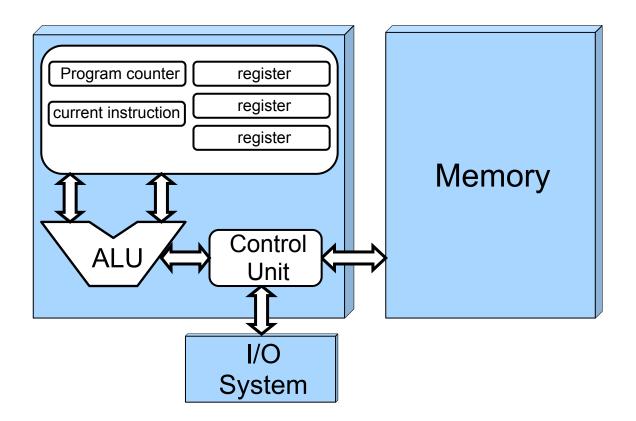
#### We need a CPU

- So now we have a memory in which we can store/retrieve bytes at precise location
- These bytes presumably have some useful meaning to us
  - e.g., integers, ASCII codes of characters, floating points numbers, RGB values
  - e.g., instructions that specify what to do with the data; when you buy a processor, the vendor defines the instruction set (e.g., instruction "0010 1101" means "increment some useful counter")
- The CPU is the piece of hardware that modifies the content of memory
  - In fact, one can really think of the CPU as a device that takes use from on memory state (i.e, all the stored content) to another memory state (some new, desired stored content)

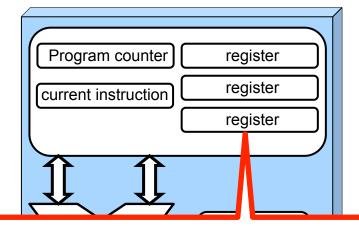










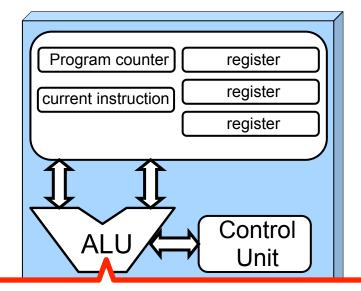


Registers: the "variables" that hardware instructions work with

Data can be loaded from memory into a register
Data can be stored from a register back into memory
Operands and results of computations are in registers
Accessing a register is really fast
There is a limited number of registers

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#### What's in the CPU?

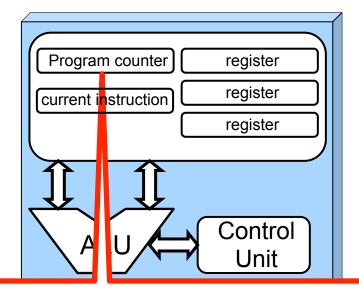


Arithmetic and Logic Unit: what you do computation with

used to compute a value based on current register values and store the result back into a register

+, \*, /, -, OR, AND, XOR, etc.



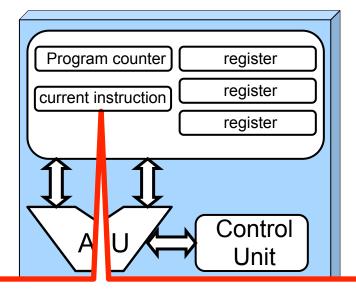


**Program Counter:** Points to the next instruction

Special register that contains the address in memory of the next instruction that should be executed

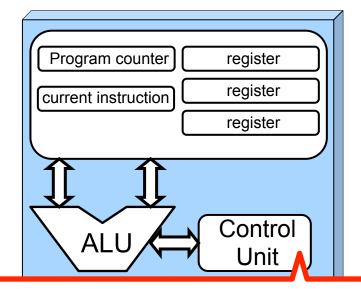
(gets incremented after each instruction, or can be set to whatever value whenever there is a change of control flow)





Current Instruction: Holds the instruction that's currently being executed

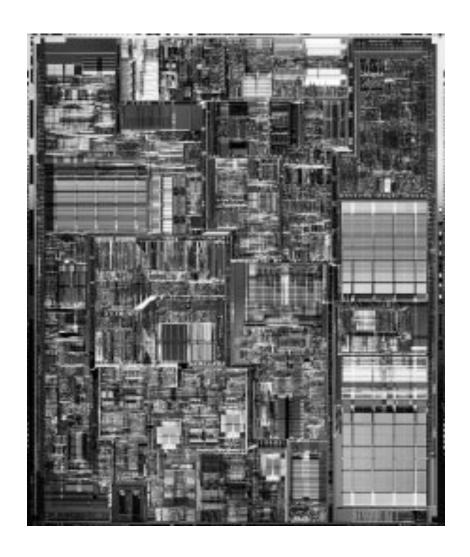


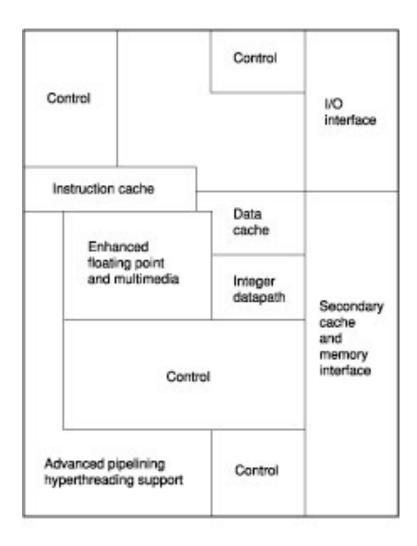


Control Unit: Decodes instructions and make them happen

Logic hardware that decodes instructions (i.e., based on their bits) and sends the appropriate (electrical) signals to hardware components in the CPU

# The CPU in its "Glory"





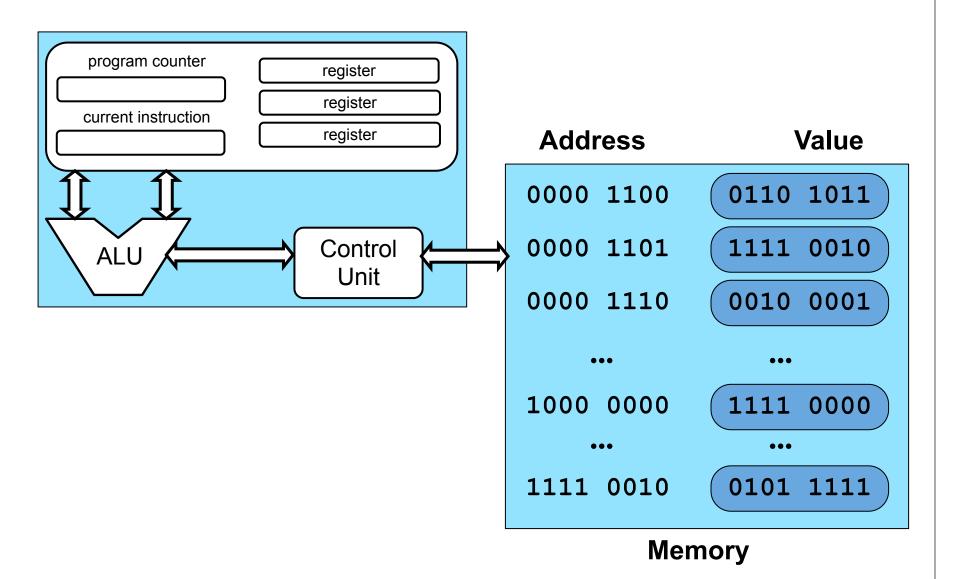


# Fetch-Decode-Execute Cycle

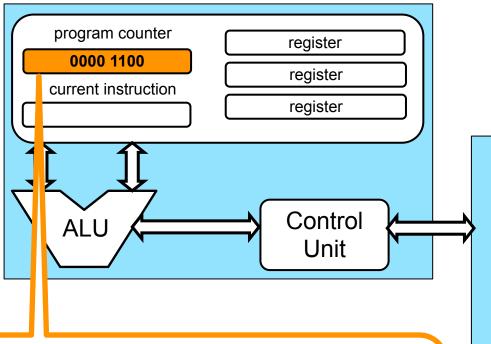
- The Fetch-Decode-Execute cycle
  - The control unit fetches the next program instruction from memory
    - Using the program counter to figure out where that instruction is located in the memory
  - The instruction is decoded and signals are send to hardware components
    - e.g., is the instruction loading something from memory? is it adding two register values together?
  - Operands are fetched from memory and put in registers, if needed
  - The ALU executes computation, if any, and store results in the registers
  - Register values are stored back to memory, if needed
  - Repeat
- Computers today implement MANY variations on this model
- But one can still program with the above model in mind
  - but certainly without (fully) understanding performance issues

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#### **Fetch-Decode-Execute**







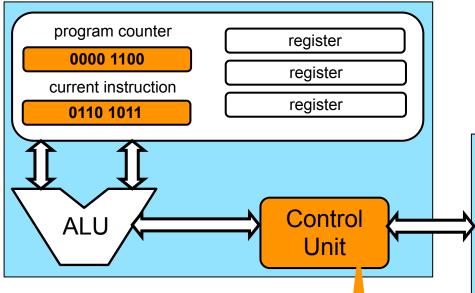
Somehow, the program counter is initialized to some content, which is an address (we'll see how that happens much later)

Address	value	
0000 1100	0110 1011	
0000 1101	1111 0010	
0000 1110	0010 0001	
•••	•••	
1000 0000	1111 0000	
•••	•••	
1111 0010	0101 1111	
Memory		

Valua

Addross





Fetch the content (instruction) at address 0000 1100, which is "0110 1011", and store it in the "current instruction" register

Address	Value	
0000 1100	0110 1011	
0000 1101	1111 0010	
0000 1110	0010 0001	
•••	•••	
1000 0000	 1111 0000	
1000 0000	 1111 0000 	
1000 0000  1111 0010	1111 0000 0101 1111	

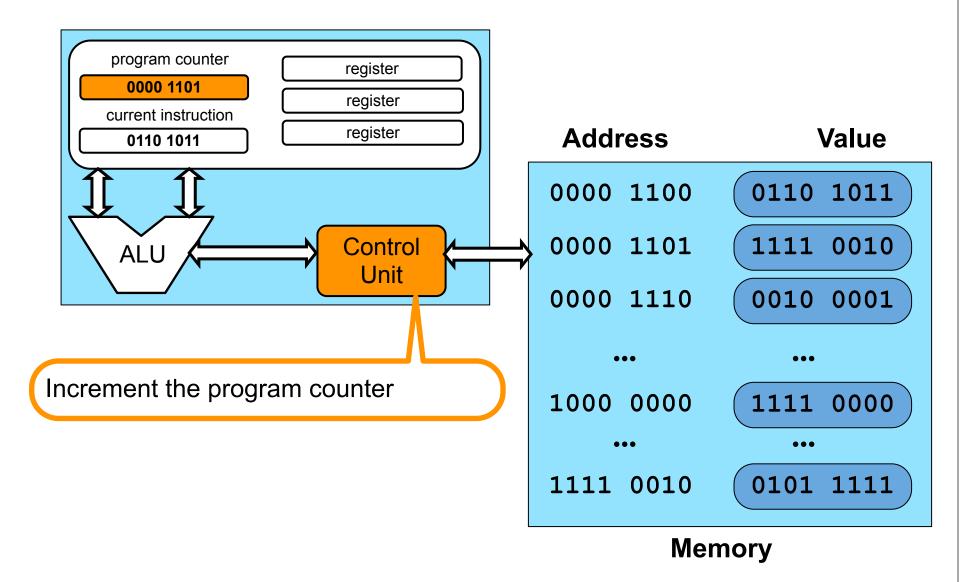
1/-1--

**A** al al ...

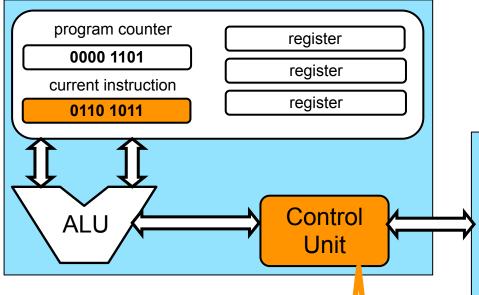
**Memory** 



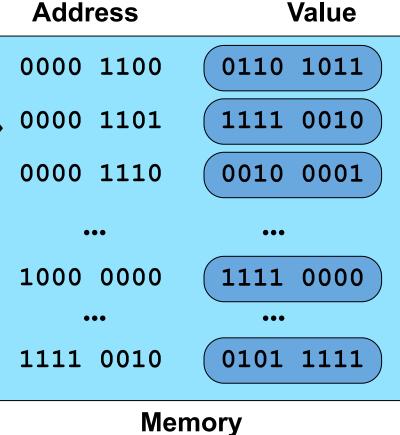
#### **Fetch-Decode-Execute**



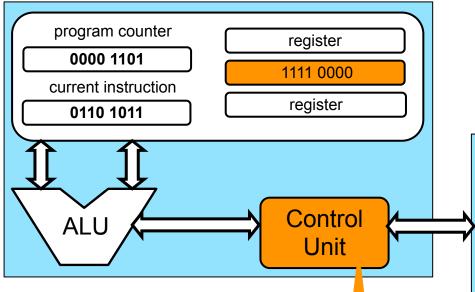




Decode instruction "0110 1011". Say it means: "Load the value at address 1000 0000 and store it in the second register"

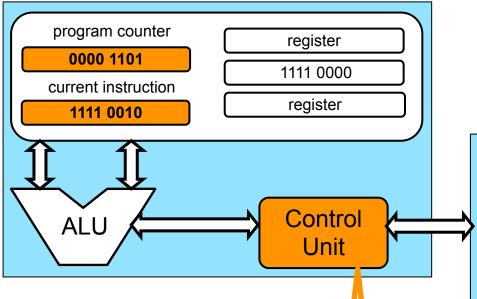






Send signals to all hardware components to execute the instruction: load the value at address 1000 0000, which is "1111 0000" and store it in the second register

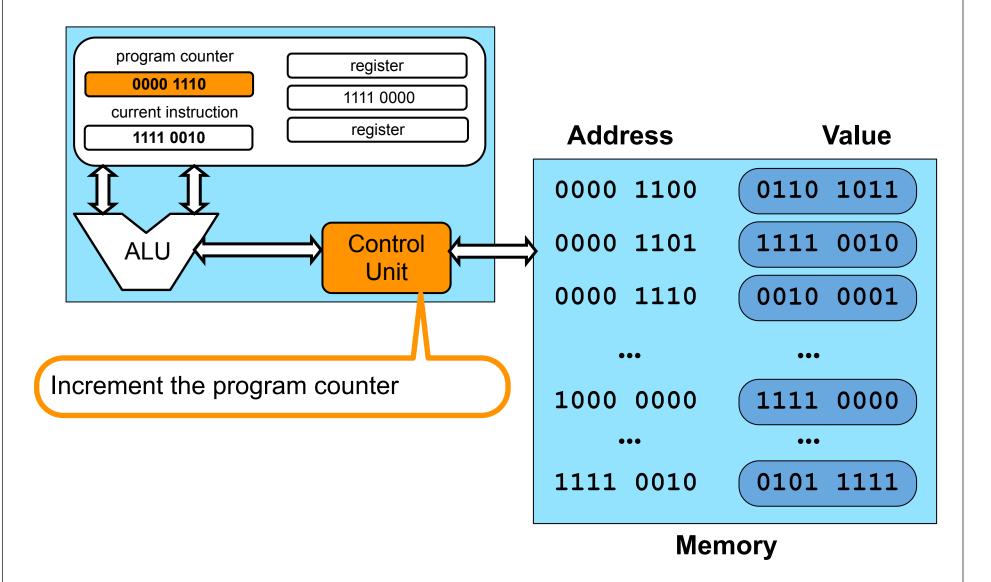




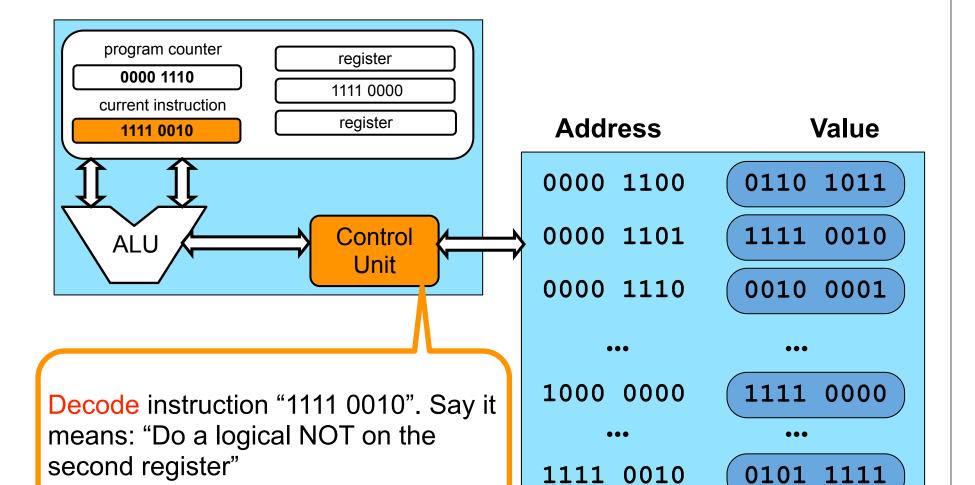
Fetch the content (instruction) at address 0000 1101, which is "1111 0010", and store it in the "current instruction" register



#### **Fetch-Decode-Execute**

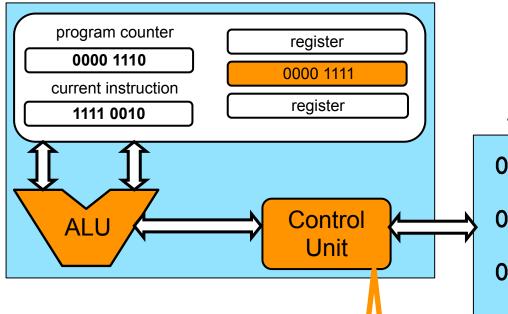






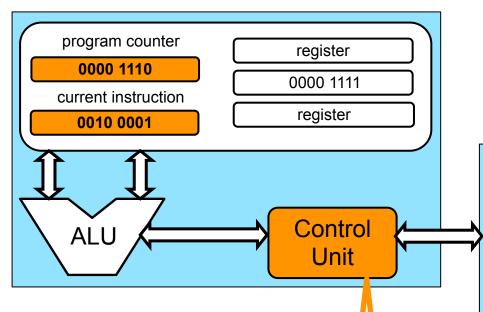
**Memory** 





Send signals to all hardware components to execute the instruction: do a logical NOT on the second register

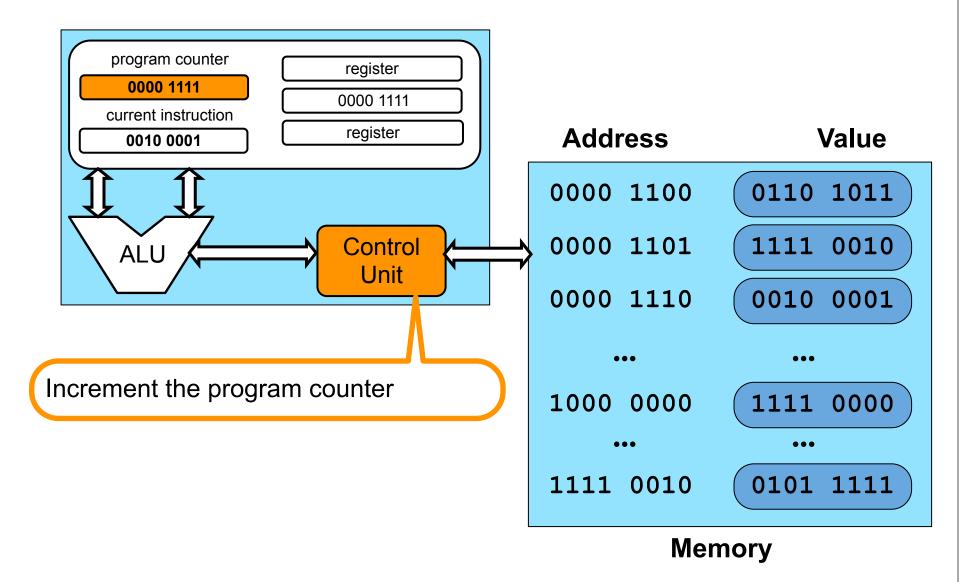




Fetch the content (instruction) at address 0000 1110, which is "0010 0001", and store it in the "current instruction" register

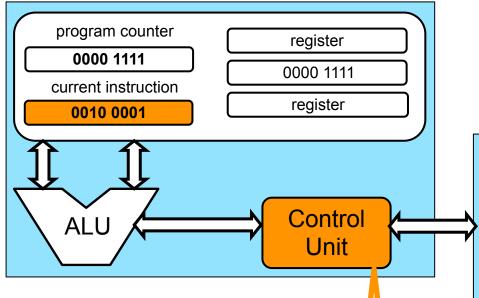


#### **Fetch-Decode-Execute**





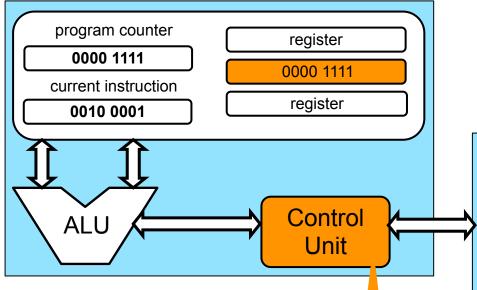
#### **Fetch-Decode-Execute**



Decode instruction "0010 0001". Say it means: "Store the value in the second register to memory at address 1111 0010"

Address		`	Value
0000	1100	0110	1011
0000	1101	1111	0010
0000	1110	0010	0001
•	••	•••	
1000	0000	1111	0000
•	••	•••	
1111	0010	0101	1111
Memory			





Send signals to all hardware components to execute the instruction: store the value in the second register, which is 0000 1111, to memory at address 1111 0010



#### **Fetch-Decode-Execute**

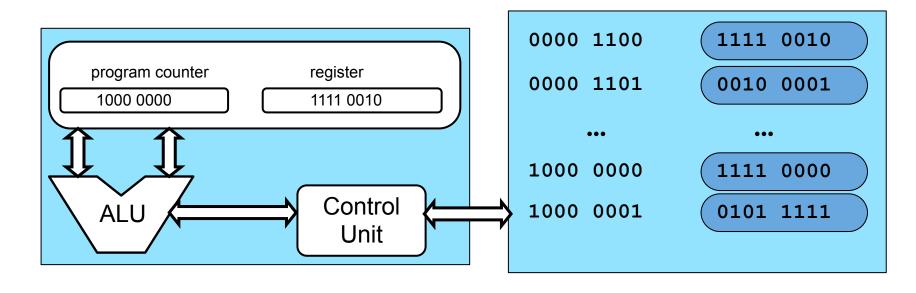
- This is only a simplified view of the way things work
- The "control unit" is not a single thing
  - Control and data paths are implemented by several complex hardware components
- There are multiple ALUs, there are caches, there are multiple CPUs in fact ("cores")
- Execution is pipelined: e.g., while one instruction is fetched, another is executed
- Decades of computer architecture research have gone into improving performance, thus often leading to staggering hardware complexity
  - Doing smart things in hardware requires more logic gates and wires, thus increasing processor cost
- But conceptually, fetch-decode-execute is it



#### **In-Class Exercise**

With the following instruction set definition and machine state, what is the new memory state after execution completes?

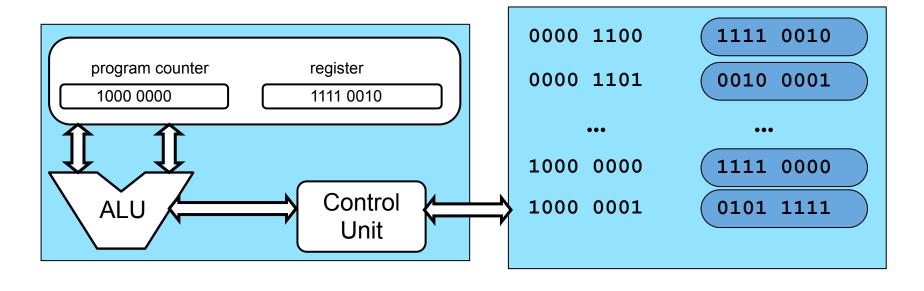
code	operation	
1111 0000	Increment the register	
1111 0010	Decrement the register	
0101 1111	Save register to address not(register)	





■ Fetch the instruction: "1111 0000"

code	operation						
1111 0000	Increment the register						
1111 0010	Decrement the register						
0101 1111	Save register to address not(register)						

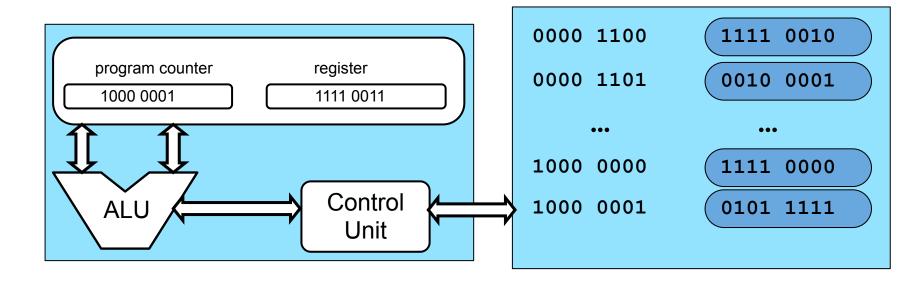




Fetch the instruction: "1111 0000"

Execute it: increment register to value "1111 0011"

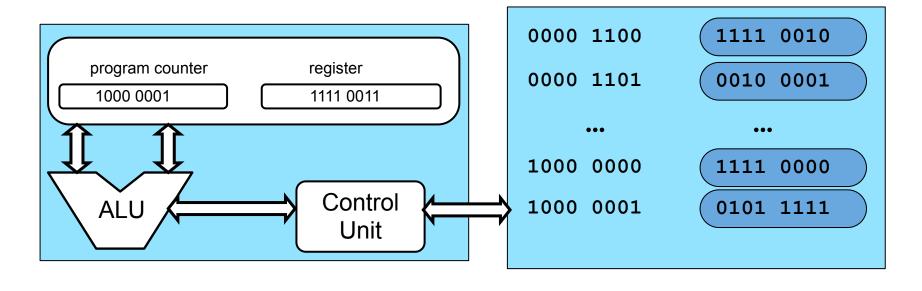
code	operation						
1111 0000	Increment the register						
1111 0010	Decrement the register						
0101 1111	Save register to address not(register)						





- Fetch the instruction: "1111 0000"
- Execute it: increment register to value "1111 0011"
- Fetch the next instruction: "0101 1111"

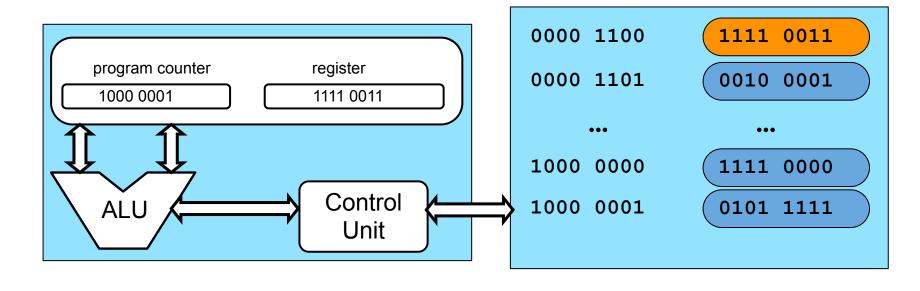
code	operation						
1111 0000	Increment the register						
1111 0010	Decrement the register						
0101 1111	Save register to address not(register)						





- Fetch the instruction: "1111 0000"
- Execute it: increment register to value "1111 0011"
- Fetch the next instruction: "0101 1111"
- Execute it: save value "1111 0011" to address "0000 1100"

code	operation						
1111 0000	Increment the register						
1111 0010	Decrement the register						
0101 1111	Save register to address not(register)						





### The Clock

- Every computer maintains an internal clock that regulates how quickly instructions can be executed, and is used to synchronize system components
  - Just like a metronome
- In the previous example, each "event" happens at a different "tick" of the clock
- The frequency of the clock is called the clock rate
- The time in between two clock ticks is called a clock cycle or cycle for short
- Clock cycle = 1 / Clock Rate
  - □ Clock rate = 2.4 GHz
  - $\Box$  Clock cycle = 1 / (2.4\*1000\*1000\*1000)

 $= 0.416 e^{-9} sec$ 

= 0.416 ns (nanosec)



### **Faster/slower Clock Rate**

- The higher the clock rate, the shorter the clock cycle
- It's tempting to think that a faster clock rate means a faster computer
- But it all depends of what amount of work is done in a clock cycle!
  - □ Computer A: clock rate of 2GHz and a multiplication requires 10 cycles
  - Computer B: clock rate of 1.5GHz and a multiplication requires 5 cycles
  - Computer B is faster than Computer A to run a program that performs a lot of multiplications
- Therefore, clock rates should not be used to compare computers in different families
  - A 1.4GHz Pentium 4 is most likely slower than a 1.5GHz Pentium 4
  - A 2.4GHz Pentium 4 may be slower than a 2.0GHz AMD Athlon64
- Furthermore, comparisons depends on the type of applications
  - Computer A faster than Computer B for some applications
  - Computer B faster than Computer A for some others



### Instructions

- Instructions are encoded in binary machine code
  - e.g.: 01000110101101 may mean "perform an addition of two registers and store the results in another register"
- The CPU is built using gates (OR, AND, etc.) which themselves use transistors
  - See ICS331
- These gates implement instruction decoding
  - Based on the bits of the instruction code, several signals are sent to different electronic components, which in turn perform useful tasks
- Typically, an instruction consists of two parts
  - The opcode: what the instruction computes
  - The operands: the input to the computation

opcode	operands								
0 1 0 0 0	1 1 0 1 0 1 1 0 1								



### **Instruction Set Architecture (ISA)**

- When designing a CPU, one must define the set of all the instructions it understands
  - This is one thing that Intel engineers do
- This is called the ISA: Instruction Set Architecture
- Typical ISA include instructions for
  - Performing arithmetic operations on register values
  - Load values from memory into registers
  - Store values from registers into memory
  - Test register values to decide what instruction to execute next
- Envision a loooong specification manual that lists all the possible instructions...

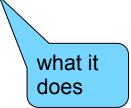
# ISA specification Example: x86

Let's look at the Web site <a href="http://ref.x86asm.net/">http://ref.x86asm.net/</a>

pf OF	00 <u>s</u>	o o proc s	t m r	1 2	mnemonic	op1	op2	<u>op3</u>	<u>op4</u>	<u>iext</u>	tested f	modif f	<u>def f</u>	undef f	<u>f values</u>	description, notes
	00	r		Ι	ADD	r/m8	r8					oszapc	oszapc			Add
	01	r		I	ADD	r/m16/32	r16/32					oszapc	oszapc			Add
	02	r			ADD	r8	r/m8					oszapc	oszapc			Add
	03	r			ADD	r16/32	r/m16/32					oszapc	oszapc			Add
	04				ADD	AL	imm8					oszapc	oszapc			Add
	05				ADD	eAX	imm16/32					oszapc	oszapc			Add
	06				PUSH	ES										Push Word, Doubleword or Quadword Onto the Stac
	07				POP	ES		n oper	an	ds						Pop a Value from the Stack
	80	r		I	OR	r/m8	r8	Opoi	u i	ao		oszapc	osz.pc	a	oc	Logical Inclusive OR
	09	r		I	OR	r/m16/32	r16/32					oszapc	osz.pc	a	oc	Logical Inclusive OR
	OΑ	r			OR	r8	r/m8					oszapc	osz.pc	a	oc	Logical Inclusive OR
	ЭВ	r			OR	r16/32	r/m16/32					oszapc	osz.pc	a	oc	Logical Inclusive OR
	OC				OR	AL	imm8					oszapc	osz.pc	a	oc	Logical Inclusive OR
	DD				OR	eAX	imm16/32					oszapc	osz.pc	a	oc	Logical Inclusive OR
	Œ				PUSH	CS										Push Word, Doubleword or Quadword Onto the Stac



- pf Prefix
- OF OF Prefix
- po Primary Opcode
- so Secondary Opcode
- flds Opcode Fields
- O Register/Opcode Field
- proc Introduced with Processor
- st Documentation Status
- m Mode of Operation
- rl Ring Level
- X Lock Prefix/FPU Push/FPU Pop
- mnemonic Instruction Mnemonic
- op1, op2, ... Instruction Operands
- <u>iext</u> Instruction Extension Group
- grp1, grp2, grp3 Main Group, Sub-group, Sub-sub-group
- tested f, modif f, def f, undef f Tested, Modified, Defined, and Undefined Flags
- f values Flags Values
- description, notes





## **Assembly language**

- It's really difficult for humans to read/remember binary instruction encodings
  - But people used to do it!
  - One would typically use hexadecimal encoding, but still it seems impossible to doin today's world
- Therefore it is typical to use a set of mnemonics, which form the assembly language
  - It is often said that the CPU understands assembly language
  - This is not technically true, as the CPU understand machine code, which we, as humans, choose the represent using assembly language
- An assembler transforms assembly code into machine code (i.e., from a human readable format into a binary format that the CPU understands)



### **Assembly Language**

- It used to be that all computer programmers did all day was to write assembly code
- This was difficult for many reasons
  - Difficult to read
  - Very difficult to debug
  - Different from one computer to another!
- The use of assembly language for all programming prevented the (sustainable) development of large software project involving many programmers
- This is the main motivation for the development of high-level languages
  - □ FORTRAN, Cobol, C, etc.

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## **High-level Languages**

- The first successful high-level language was FORTRAN
  - Developed by IBM in 1954 to run on they 704 series
  - Used for scientific computing
- The introduction of FORTRAN led people to believe that there would never be bugs again because it made programming so easy!
  - But high-level languages led to larger and more complex software systems, hence leading to bugs
- Another early programming language was COBOL
  - Developed in 1960, strongly supported by DoD.
  - Used for business applications
- In the early 60s IBM had a simple marketing strategy
  - On the IBM 7090 you used FORTRAN to do science
  - On the IBM 7080 you used COBOL to do business
- Many high-level languages have been developed since then, and they are what most programmers use
  - Fascinating history (see ICS 313)



## **High-Level Languages**

- Having high-level languages is good, but CPUs do not understand them
  - As we saw, they only understand very basic instructions to manipulate registers, etc.
- Therefore, there needs to be a translation from a high-level language to machine code
- The translation is done by a compiler
- Let's see this on a picture....

## The Big (Simplified) Picture

### **High-level code**

char "tmpfilename;
int num\_schedulers=0;
int num\_request\_submitters=0;
int l,j;

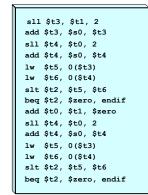
if (!(f = fopen(filename,"r"))) {
 xbt\_assert1(0,"Cannot open file %s",filename);
 }
 while(fgets(buffer,256,fi) {
 if (!strncmp(buffer,"SCHEDULER",9))
 num\_schedulers++;
 if (!strncmp(buffer,"REQUESTSUBMITTER",16))
 num\_request\_submitters++;
 }
 fclose(f);
 tmpfilename = strdup("/tmp/jobsimulator\_

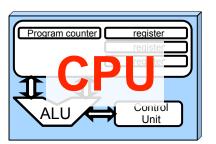




#### Machine code







# The Big (Simplified) Picture

#### **High-level code**

int num schedulers=0: int num\_request\_submitters=0; if (!(f = fopen(filename,"r"))) { xbt\_assert1(0,"Cannot open file %s",filename); while(fgets(buffer,256,f)) { if (!strncmp(buffer,"SCHEDULER",9)) num schedulers++: if (!strncmp(buffer,"REQUESTSUBMITTER",16)) num\_request\_submitters++; tmpfilename = strdup("/tmp/jobsimulator\_

### Hand-written **Assembly code**

sl1 \$t3, \$t1, 2 add \$t3, \$s0, \$t3 sll \$t4, \$t0, 2 add \$t4, \$s0, \$t4 lw \$t5, 0(\$t3) lw \$t6, 0(\$t4) slt \$t2, \$t5, \$t6 beq \$t2, \$zero, endif





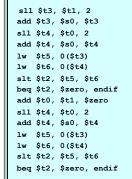
#### Machine code



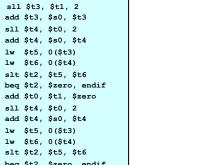


Program counter

#### **Assembly code**









## This course's topics:

#### **High-level code**

char \*tmpfilename;
int num\_schedulers=0;
int num\_request\_submitters=0;
int i,j;

if (![f = fopen(filename,"r"))) {
 xbt\_assert1(0,"Cannot open file %s",filename);
 }
 while(fgets(buffer,256,f)) {
 if (!strncmp(buffer,"SCHEDULER",9))
 num\_schedulers++;
 if (!strncmp(buffer,"REQUESTSUBMITTER",16))
 num\_request\_submitters++;
 }
 fclose(f);
 tmpfilename = strdup("/tmp/jobsimulator\_





# Hand-written Assembly code

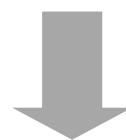
sll \$t3, \$t1, 2 add \$t3, \$s0, \$t3 sll \$t4, \$t0, 2 add \$t4, \$s0, \$t4 lw \$t5, 0(\$t3) lw \$t6, 0(\$t4) slt \$t2, \$t5, \$t6 beq \$t2, \$zero, endif

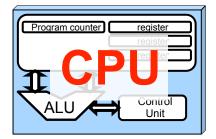


### **Assembly code**

sll \$t3, \$t1, 2
add \$t3, \$s0, \$t3
sll \$t4, \$t0, 2
add \$t4, \$s0, \$t4
lw \$t5, 0(\$t3)
lw \$t6, 0(\$t4)
slt \$t2, \$t5, \$t6
beq \$t2, \$zero, endif
add \$t0, \$t1, \$zero
sll \$t4, \$t0, 2
add \$t4, \$s0, \$t4
lw \$t5, 0(\$t3)
lw \$t6, 0(\$t4)
slt \$t2, \$t5, \$t6

#### Machine code







### What we do in this course

- First part of the semester (bulk of the course)
  - Learn how to write assembly code
    - For the x86 architecture
  - Learn how to use an assembler and a compiler to run our assembly code
- Second part of the semester (shorter)
  - Learn about systems tools
    - loader, linker, compiler, debugger, etc.



## Why should we learn all this?

- Why should we learn how to write assembly code?
  - Students: "We won't write assembly code for a living!"
- Reason #1: Many of you will have to write some assembly
  - Write small piece of assembly for performance optimization as part of larger software projects
  - Write assembly code for embedded devices
- Reason #2: Learning assembly makes you a better programmer in high-level languages
  - Makes you keenly aware of what happens under the cover, which allows for easier debugging
  - Makes you understand "performance bugs"
  - Allows you to write more efficient high-level code
  - Allows you to read generated assembly to better understand what's going on

# Why should we learn all this?

- Why should we learn how compilers work?
  - Students: "We won't develop compilers for a living!"
- Reason #1: Many of you will develop "some" compilers
  - Some of you may develop a compiler for a programming language
  - But often one has to write "compiler" for things that one doesn't always think of as programming languages
    - E.g., configuration files for large software systems
- Reason #2: Knowing how a compiler works makes you a better programmer
  - You know understand the connection between high-level code and generated assembly code (see previous slide)
  - You understand what some high-level language constructs really entail under the cover, and thus understand their performance implications



## Why should we learn all this?

- Meta-reason: this course should go a long way in giving you a holistic understanding of how a program goes from just a text file to a running code
  - You should be able to describe in low-level details how you go from "I wrote a piece of C code that calls a function that adds 2 and 2 together and prints the result" to "the computer prints 4"
  - The complexity of such a simple thing is actually quite stunning, and we'll take a simplified view
  - There should be something satisfying in knowing how things work from top to bottom!
- This "holistic understanding" should be acquired with ICS312, ICS331, ICS431/EE461, and ICS332



### Conclusion

- If you want to know more
  - Take a computer architecture course
  - Classic Textbook: Computer Organization and Design, Fourth Edition: The Hardware/ Software Interface (Patterson and Hennessy, Morgan Kaufmann)

