

# Algorithmic aspects of Optimal Channel Coding: A geometric point of view

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# Introduction

- ▶ How much classical information can we send through quantum channels?
- ▶ Shannon and Holevo's *channel capacity*: average number of bits per channel we can faithfully transmit in the limit of taking  $n$  channel copies.

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- ▶ How much classical information can we send through quantum channels?
- ▶ Shannon and Holevo's *channel capacity*: average number of bits per channel we can faithfully transmit in the limit of taking  $n$  channel copies.
- ▶ Our setting: what is the *best* strategy (maximizing the probability of success) of sending  $k$  equiprobable messages through *one* channel.
- ▶ How efficiently can we compute a *good* strategy?

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General Convex Problem: MECP

- MECP general properties

- Particular cases of MECP

# Channel Coding Function

## Definition (Channel Coding Function)

$W = \{W_x\}_{x \in X}$  a classical-quantum channel, ie. a finite set of quantum states.  $S \subseteq X$  a code for  $|S|$  messages, best POVM gives

$$\begin{aligned}
 g_W(S) := & \underset{\Lambda}{\text{maximize}} && \frac{1}{|S|} \sum_{x \in S} \text{Tr}(\Lambda_x W_x) \\
 & \text{subject to} && \sum_{x \in S} \Lambda_x = \mathbb{1} \\
 & && \Lambda_x \succcurlyeq 0, \forall x \in S
 \end{aligned} \tag{1}$$

# Channel Coding Function

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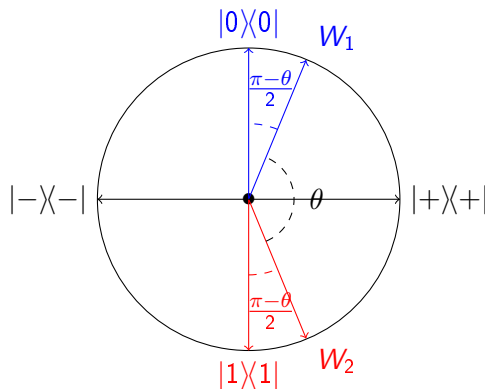
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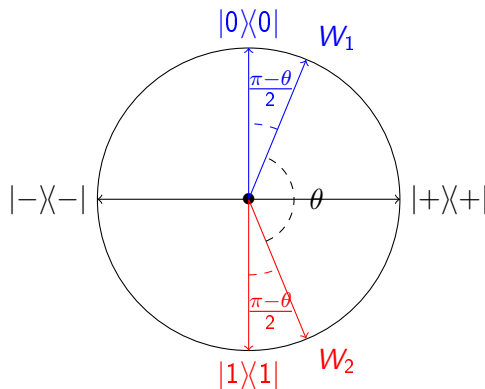
The probability of success of the best strategy is given by:

$$S(W, k) := \frac{1}{k} \max_{S \subseteq X, |S| \leq k} f_W(S)$$

## First examples on the Bloch sphere

Any  $S$  such that  $|S| = 1$ Best POVM =  $\mathbb{1}$  $f(S) = 1, S(W, 1) = 1$ 

## First examples on the Bloch sphere

Any  $S$  such that  $|S| = 1$ Best POVM =  $\mathbb{1}$  $f(S) = 1, S(W, 1) = 1$  $W = \{W_1, W_2\}$  pure states.We take  $S = \{1, 2\}$ .Best POVM is  $\{|0\rangle\langle 0|, |1\rangle\langle 1|\}$ :

$$f_W(S) = 2 \cos^2 \left( \frac{\pi - \theta}{4} \right)$$

$$= 1 + \sin \frac{\theta}{2}$$

$$S(W, 2) = \frac{1 + \sin \frac{\theta}{2}}{2}$$



## Dual point of view

Finding a measurement is hard, we look at the (SDP) dual POV:

Property (Strong Duality)

$$f_W(S) = \underset{\rho}{\text{minimize}} \quad \text{tr}(\rho) \quad (2)$$

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Property ([Bae, 2013])

The optimal matrix  $\rho$  is unique, whereas the optimal POVM  $\Lambda$  isn't (proved via KKT conditions: primal and dual constraints mixed).

Remark

The problem of computing one image of  $f_W$  is known as *minimum-error quantum state discrimination*.

## Geometric point of view

Definition (Penumbra (inspired by [Burgeth et al., 2007]))

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Property

$Q_d := \mathcal{D}_d - \mathbb{1}_d$  centered set of states.

Then  $\mathcal{D}(m, r) := m - rQ_d$  with  $m \in T_1 := \{\text{tr}(M) = 1\} \cap \mathcal{H}_d$  is the base of  $P(m + r\mathbb{1}_d)$  included in  $T_1$ .

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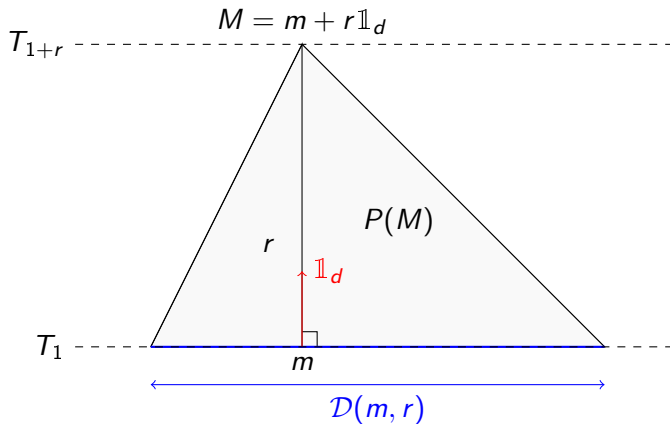
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In particular for  $W \in \mathcal{D}_d$  and any  $M$  with  $\text{tr}(M) \geq 1$ :

$$W \in \mathcal{D}(m, r) \iff m + r\mathbb{1}_d \succcurlyeq W$$

$$M \succcurlyeq W \iff W \in \mathcal{D}(M - r_M \mathbb{1}_d, r_M) \text{ where } r_M := \text{tr}(M) - 1$$

## Drawing



## Equivalent geometric formulation

Let  $W$  a finite set of points in  $\mathcal{D}_d$ , and  $S$  a subset of its indices:

MinTr: Find the minimum trace matrix  $\rho$  such that  $\forall x \in S, \rho \succcurlyeq W_x$ .

MEQP: Find the smallest  $r \geq 0$  and  $m \in T_1$  s.t.  $\{W_x\}_{x \in S} \subseteq \mathcal{D}(m, r)$ .

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### Theorem

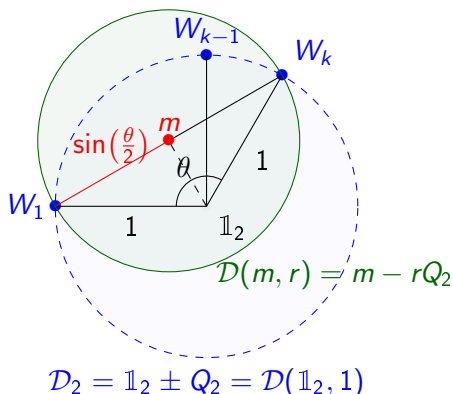
$\rho$  the solution of MinTr and  $m, r$  a solution of MEQP for  $W, S$ .

Then  $\rho = m + r\mathbb{1}_d$ . In particular,  $f_W(S) = \text{tr}(\rho) = 1 + r$ .

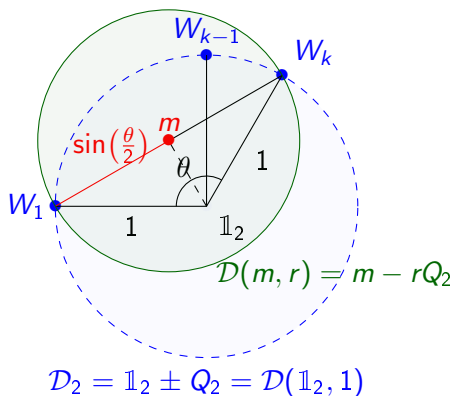


# Application in the Bloch sphere

For a set of  $k$  pure states:



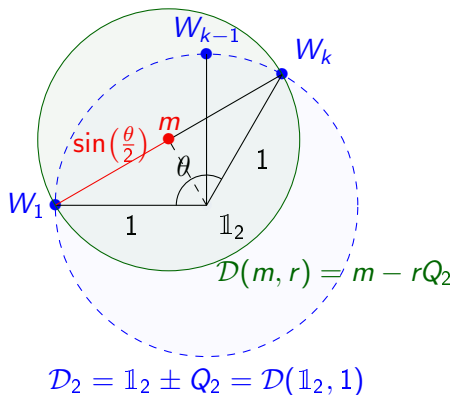
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For a set of  $k$  pure states:

- ▶ All of them in one hemisphere:  $r = \sin(\frac{\theta}{2})$ , so  $f_W(S) = 1 + \sin(\frac{\theta}{2})$ .

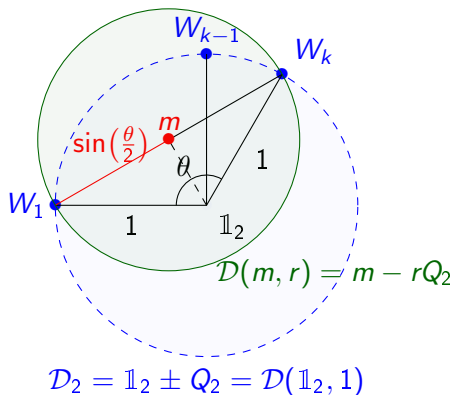
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We recover in particular the example of the beginning.

## General Convex Problem

For  $d > 2$ ,  $\mathcal{D}_d$  not a ball, but still a *convex body*: a full-dimensional compact convex set in  $\mathbb{R}^{d^2-1}$

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MECP: [Brandenberg and König, 2013]  $P \subseteq \mathbb{R}^n$  compact (finite for us),  $C \subseteq \mathbb{R}^n$  convex body, find the least dilatation factor  $r \geq 0$ , such that a translate of  $rC$  contains  $P$ :

$$\begin{aligned} R(P, C) := \quad & \text{minimize} \quad r \\ & \text{subject to} \quad P \subseteq m + rC \\ & \quad \quad \quad m \in \mathbb{R}^n, r \geq 0 \end{aligned} \tag{3}$$

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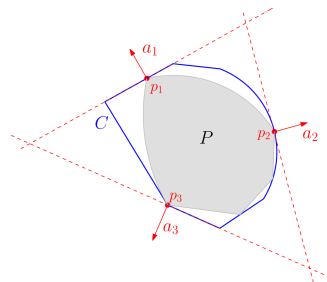
In particular, MEQP is the case where we take points  $P \subseteq \mathcal{D}_d \subseteq \mathbb{R}^{d^2-1}$  and we take  $C = -Q_d = \mathbb{1}_d - \mathcal{D}_d$ .  
In particular  $f_W(S) = 1 + R(\{W_x\}_{x \in S}, -Q_d)$ .

# Optimality conditions

## Theorem (Optimality conditions)

$P$  is optimally contained in  $C$  iff:

1.  $P \subseteq C$
2. For some  $2 \leq k \leq n+1$ , there exist  $p_1, \dots, p_k \in P$  and hyperplanes  $H(a_i, 1)$  supporting  $P$  and  $C$  in  $p_i$  s.t.  $0 \in \text{conv}\{a_1, \dots, a_k\}$ .





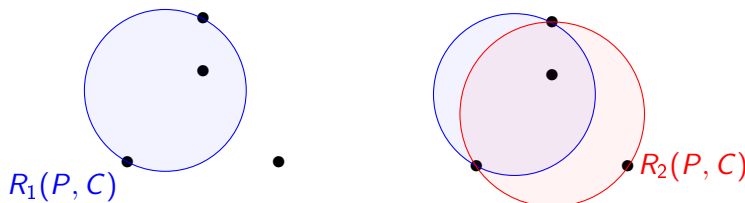
# Core-radii and $S(W, k)$

## Definition (Core-radii)

The  $k$ -th core-radius of  $P$  with respect to  $C$  is defined by:

$$R_k(P, C) := \max_{S \subseteq P, |S| \leq k+1} R(S, C)$$

In particular:  $S(W, k) = \frac{1}{k}(1 + R_{k-1}(W, -Q_d))$



# Properties on core-radii

## Theorem (Helly's theorem)

If  $\dim(P) \leq k$ , then  $R_k(P, C) = R(P, C)$ . For us:  $d^2$  measurement outputs used at most.

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## Theorem ( $R_k/k$ decreases)

$\left(\frac{R_k(P, C)}{k}\right)_{k \in [n]}$  decreases. For us:  $\left(\frac{S(W, k) - \frac{1}{k}}{1 - \frac{1}{k}}\right)_{k \in [n]}$  decreases.

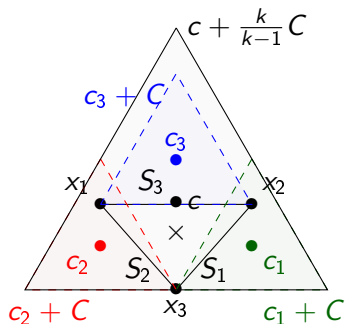
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► Let us show that  $\left(\frac{R_k(P,C)}{k}\right) \leq \left(\frac{R_{k-1}(P,C)}{k-1}\right)$ .

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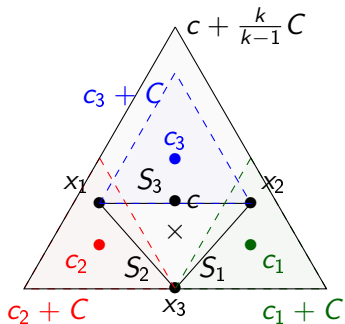
- ▶ Let us show that  $\left(\frac{R_k(P,C)}{k}\right) \leq \left(\frac{R_{k-1}(P,C)}{k-1}\right)$ .
- ▶  $S = \{x_1, \dots, x_{k+1}\} \subseteq P$  s.t.  $R(S, C) = R_k(P, C)$ : if it does not exist, then  $R_k(P, C) = R_{k-1}(P, C)$  and we are done.
- ▶ We suppose  $\sum_{i=1}^{k+1} x_i = 0$  and  $R_{k-1}(P, C) = 1$ .
- ▶ We will show that  $R(S, C) \leq \frac{k}{k-1}$ .

## MECP general properties

 $R_k/k$  decreases ||►  $S_j = \text{conv}\{x_i : i \neq j\}$  facets of  $S$ .

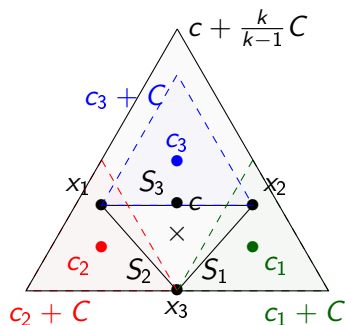
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- ▶  $-\frac{1}{k}x_j = \frac{1}{k} \sum_{i \neq j} x_i \in S_j$   
 $x_i \in S_i$  for  $i \neq j$

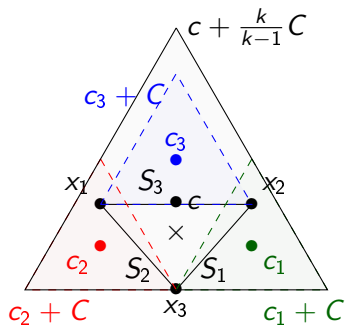
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 $x_j \in S_i$  for  $i \neq j$
- ▶  $S_i \subseteq c_i + C$  so  $(k - \frac{1}{k})x_j \in \sum_{i=1}^{k+1} S_i \subseteq \sum_{i=1}^{k+1} c_i + (k+1)C$  by convexity of  $C$ .



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- ▶ Implies  $R(S, C) \leq \frac{k+1}{k - \frac{1}{k}} = \frac{k}{k-1}$   
with  $c = \frac{k}{k-1} \sum_{i=1}^{k+1} \frac{1}{k+1} c_i$  QED.

# Greedy algorithm

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**Algorithm 1:** Greedy algorithm

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**Input:**  $k \in \{1, \dots, n\}$ **Output:** An *approximation* of  $R_{k-1}(P, C)$ : a set  $S_k$  of size  $k$   
such that  $R_{k-1}(P, C) \leq (1 + c)R(S_k, C)$ 

```
1  $S_0 = \emptyset$ 
2 for  $i \in \{1, \dots, k\}$  do
3    $p_i = \operatorname{argmax}_{p \in P - S_{i-1}} R(S_{i-1} \cup \{p\}, C)$ 
4    $S_i = S_{i-1} \cup \{p_i\}$ 
5 return  $S_k$ 
```

---

We assume we have an *oracle* that computes  $R(S, C)$  for cost 1.  
Thus the greedy algorithm is polynomial. Does it give a good  
approximation ratio  $1 + c$ ?

## Regular simplex

- ▶ Take points in  $T^n$  and  $C = -T^n$  where  $T^n$  is the  $n$ -regular simplex centered in 0. Previous drawing was  $T^2$ .
- ▶ Corresponds to the classical case of our channel problem:  
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- ▶ Corresponds to the classical case of our channel problem:  $T^n$  is the space of probability distributions of dimension  $n + 1$ .
- ▶ In [Barman and Fawzi, 2017], it was shown that the greedy algorithm has an approximation ratio  $1 + c = \frac{1}{1-e^{-1}}$  and that it is optimal if  $P \neq NP$ .
- ▶ This was done through the *submodularity* of  $f_W$ , which is the discrete equivalent of concavity.
- ▶ However,  $f_W$  is not submodular even for one qubit.

# Ball

- ▶ MECP well studied when  $C = \mathbb{B}^n$  is a  $n$ -ball, known as MEB.
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## Definition (Core-set)

$S \subseteq P$  is a  $\epsilon$ -core-set of  $P$  if  $R(S, C) \leq R(P, C) \leq (1 + \epsilon)R(S, C)$

## Theorem ([Badoiu and Clarkson, 2003])

An  $\epsilon$ -core-set  $S_\epsilon$  of  $P \subseteq \mathbb{R}^n$  of size  $\lceil \frac{2}{\epsilon} \rceil$  can be efficiently computed.  
In particular, this gives a  $(1 + \frac{2}{k})$ -approximation of  $R_k(P, C)$ .

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## Proof of Corollary.

Let  $\epsilon$  such that  $k + 1 = \lceil \frac{2}{\epsilon} \rceil = |S_\epsilon|$ . Then  $\epsilon \leq \frac{2}{k}$ , so  
 $R_k(P, C) \leq R(P, C) \leq (1 + \epsilon)R(S_\epsilon, C) \leq (1 + \frac{2}{k})R(S_\epsilon, C)$ . □

# Algorithm

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**Algorithm 2:** [Badoiu and Clarkson, 2003] algorithm

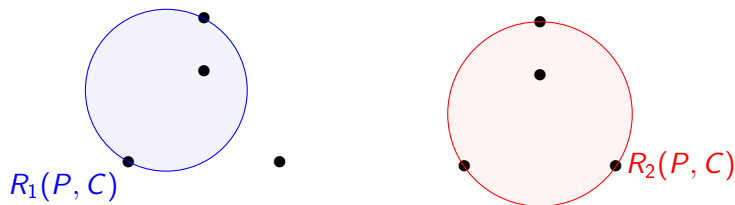
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**Input:**  $k \in \{1, \dots, n\}$ **Output:** An  $\frac{2}{k}$ -core-set  $S_k$  of  $P \subseteq \mathbb{R}^n$  of size  $k + 1$ 

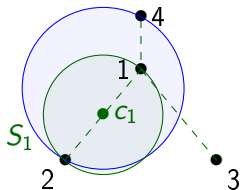
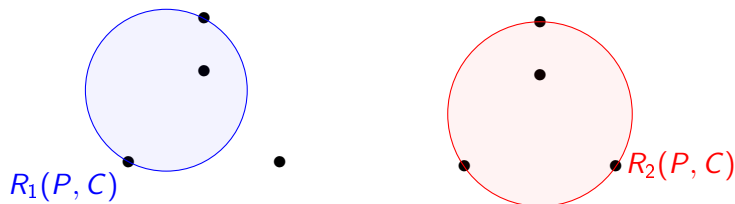
- 1  $S_0 = \{p\}$  with  $p$  any point of  $P$
  - 2  $c_0 = p, r_0 = 0$  // Center and radius of MEB of  $S_0$
  - 3 **for**  $i \in \{1, \dots, k\}$  **do**
  - 4      $q_i =$  furthest point from  $c_{i-1}$  in  $P$
  - 5      $S_i = S_{i-1} \cup \{q_i\}$
  - 6      $c_i, r_i$  such that  $\text{MEB}(S_i) = \mathcal{B}(c_i, r_i)$
  - 7 **return**  $S_k$
-



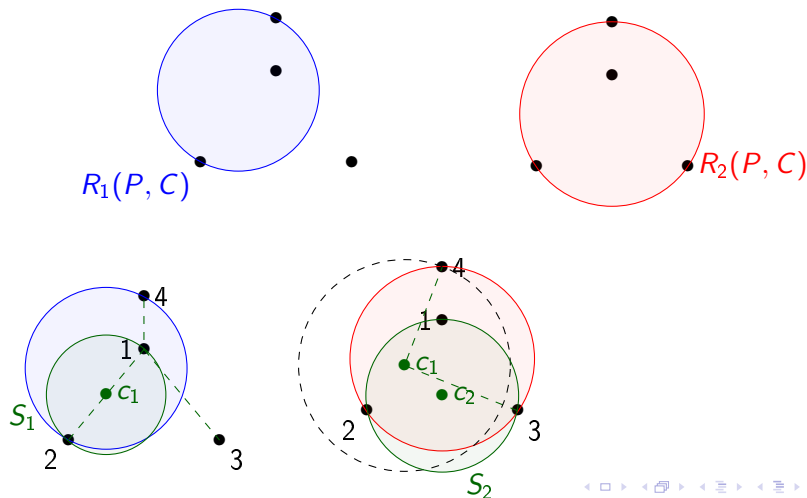
## Example



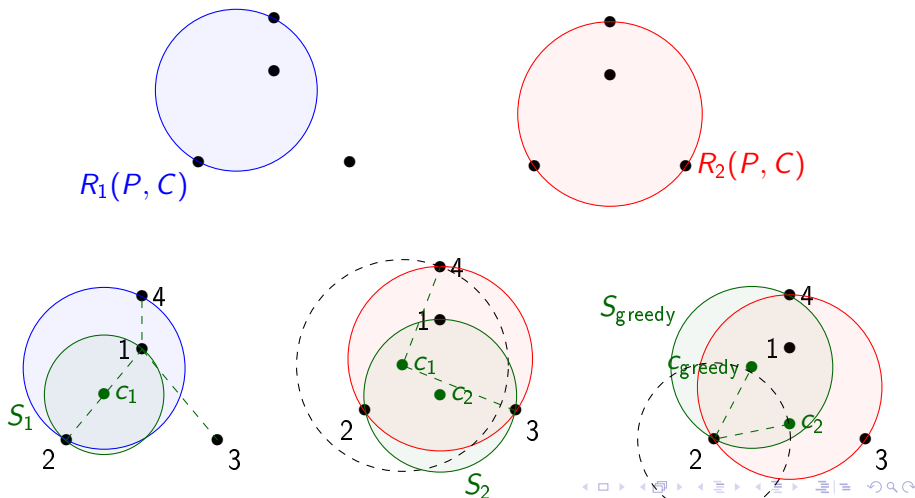
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## Key lemmas of the proof

Theorem on MECP optimality conditions restated for MEB gives:

### Lemma (Half-space lemma)

$\mathcal{B}(P)$  is the minimum enclosing ball of  $P \subseteq \mathbb{R}^n$  iff any closed half-space that contains the center  $c_{\mathcal{B}(P)}$  also contains a point of  $P$  on the boundary of  $\mathcal{B}(P)$ .

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### Proof sketch.

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### Proof sketch.

- ▶ If  $c_{i+1} = c_i$ , then we have an MEB of all  $P$ .
- ▶ Else, take  $H \ni c_i$  with  $H \perp \vec{c_i c_{i+1}}$ , and  $p \in \partial \mathcal{B}(S_i) \cap H^+ \cap S_i$
- ▶ Get two inequalities:

1.  $r_{i+1} \geq \|c_{i+1} - p\|_2 \geq \sqrt{r_i^2 + \|c_{i+1} - c_i\|_2^2}$
2.  $r_{i+1} \geq \|c_{i+1} - q_{i+1}\|_2 \geq R - \|c_{i+1} - c_i\|_2$

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### Lemma (Half-space lemma)

$\mathcal{B}(P)$  is the minimum enclosing ball of  $P \subseteq \mathbb{R}^n$  iff any closed half-space that contains the center  $c_{\mathcal{B}(P)}$  also contains a point of  $P$  on the boundary of  $\mathcal{B}(P)$ .

### Proof sketch.

- ▶ If  $c_{i+1} = c_i$ , then we have an MEB of all  $P$ .
- ▶ Else, take  $H \ni c_i$  with  $H \perp \vec{c_i c_{i+1}}$ , and  $p \in \partial \mathcal{B}(S_i) \cap H^+ \cap S_i$
- ▶ Get two inequalities:

1.  $r_{i+1} \geq \|c_{i+1} - p\|_2 \geq \sqrt{r_i^2 + \|c_{i+1} - c_i\|_2^2}$
2.  $r_{i+1} \geq \|c_{i+1} - q_{i+1}\|_2 \geq R - \|c_{i+1} - c_i\|_2$

- ▶ Implies that after  $k = \lceil \frac{2}{\epsilon} \rceil$  steps, we get  $R \leq (1 + \epsilon)r_k$ .



## Optimality of this algorithm

- ▶ The previous algorithm gives a PTAS, ie. for fixed  $\epsilon$ , we can efficiently compute an  $(1 + \epsilon)$ -approximation of  $R_k(P, C)$  for all  $k$  and  $P$ .

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- ▶ Otherwise, the previous algorithm works since  $\epsilon \leq \frac{2}{k}$ .
- ▶ FPTAS (ie. polynomial in  $\frac{1}{\epsilon}$ ) exists?
- ▶ No! Reduction from *Exact cover by 3-sets* shows NP-hardness and that no FPTAS exists if  $P \neq NP$ .

# Core-sets in general

## Definition (Core-set)

$S \subseteq P$  is a  $\epsilon$ -core-set of  $P$  if  $R(S, C) \leq R(P, C) \leq (1 + \epsilon)R(S, C)$

Valid definition in general problem MECP.

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Valid definition in general problem MECP.

Not efficient in general:

### Theorem ([Brandenberg and König, 2013])

For all  $P, C \subseteq \mathbb{R}^n, \epsilon \geq 0$ , there exists an  $\epsilon$ -core-set of size at most  $\lceil \frac{n}{1+\epsilon} \rceil + 1$ .

Moreover, for any  $\epsilon < 1$  there exist  $P \subseteq \mathbb{R}^n$  and a 0-symmetric convex body  $C$  (ie.  $C = -C$ ) such that no smaller subset of  $P$  suffices.

Also, this bound is optimal for the  $n$ -regular simplex.

## Quantum states

- ▶ We look at  $Q_d = \mathcal{D}_d - \mathbb{1}_d \subseteq \mathbb{R}^n$  with  $n = d^2 - 1$ .
- ▶ We have  $P \subseteq \mathcal{D}_d = \mathbb{1}_d + Q_d$  and  $C = -Q_d$  in that case.

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- ▶ In  $C$ : 0 is equidistant from all extremal points (pure states).
- ▶  $\mathcal{B}\left(0, \frac{1}{\sqrt{d(d-1)}}\right) \subseteq C \subseteq \mathcal{B}\left(0, \sqrt{1 - \frac{1}{d}}\right)$  and tight ratio  $d - 1$ .



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if  $k < \lceil \frac{d-1}{1+\epsilon} \rceil$ , then for  $|S| \leq k + 1$ :  $R(\mathcal{D}_d, -Q_d) =$   
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- ▶ ...?

## Open questions

- ▶ Simplex worst convex shape and ball best convex shape?
- ▶ Greedy works well in general (constant approximation ratio)?
- ▶ If not in general, what properties do quantum states have that make greedy works?  
(experimentally we were unable to find counter-examples)

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