

# Encoding Relation Requirements for Relation Extraction: A Semantic Loss Perspective

Anonymous EMNLP submission

## Abstract

Identifying relations between entities is crucial for knowledge base population, question answering and etc. Most existing relation extractors make predictions purely based on the input natural language text, and ignore the argument type and cardinality constraints required by each relation. In this paper, we propose to format these constraints into boolean expressions, and incorporating them to existing relation extractors by introducing a new loss term. Our method does not introduce extra cost to the prediction phase and is plug-and-play for most existing relation extraction models. Experiments on both English and Chinese datasets show that our method improves the base model by a clear margin.

## 1 Introduction

Relation extraction (RE) aims at identifying relations between pairs of entities from raw text, and its success can benefit many knowledge base (KB) related tasks like KB population, question answering (QA) and etc (Suchanek et al., 2013).

In the literature, RE is usually investigated in the distant supervision (DS) paradigm, where datasets are automatically constructed by aligning existing KB (*subject*, *relation*, *object*) triples with a large corpus, and considers sentences containing the subject and object entity in a triple as evidence for the corresponding relation (Riedel et al., 2010). To alleviate the sentence level noise in the automatically constructed dataset, RE is often considered in the multi-instance learning (MIL) framework where all the sentences containing the target subject and object are packed into a *sentence bag*, and relation extractors take in these sentences to predict the relations for the entity pair. Following this framework, Zeng et al. (2015) uses piecewise convolutional neural network (PCNN) to handle the extraction task, Lin et al. (2016) introduces

attention mechanism for better noise tolerance, Ye et al. (2017) makes further improvements by learning co-occurrence tendency between relations via learning to rank.

Typically, most existing relation extractors relies only on input sentences to make predictions, and ignores the constraints required by each relation. Take the relation *Capital* for example, it expects its subject to be a country and its object to be a city. And in most cases, it also expects a city to be the capital of only one country. This kind of constraints can help us identify inconsistent predictions and thereby improve the extraction results.

However, properly utilizing these clues is non-trivial, since many KBs do not have a well-defined typing system or a cardinality specification for relations. Chen et al (2014) evades this difficulty by implicitly mining such requirements from data. Specifically, while collecting cardinality requirements directly from data, they evades the tricky argument type constraints by collecting relations pairs that have the same subject or object type instead, which do not require a concrete specification for argument type. Then, they use integer linear programming (ILP) to resolve the predictions that are inconsistent regarding the constraints. However, since ILP operates at the post-processing phase and needs an adequate number of predictions to correct the results, their method typically requires more time during prediction, and could not be applied to online applications. Besides, since ILP does not change the prediction scores of the base model, it tends to leave the predictions corrected by the constraints with low scores, which hurts the performance in terms of the precision-recall curve criterion.

To overcome the problems of ILP, we propose to incorporate these constraints by introducing an additional loss term to penalize inconsistent pre-

dictions during training. Specifically, we consider the type and cardinality constraints as propositional logic constraints, and use the semantic loss framework (Xu et al., 2017) to convert them into a loss term. Compared to other methods of enforcing logical constraints like the teacher-student network (Hu et al., 2016) that relies on fuzzy relaxation of the constraints, semantic loss possesses the precise meaning of the constraints and is fully differentiable since it directly use the predicted probability to construct the loss. In this way, the base model is encouraged to find more textual clues when detecting conflicts, which leads to better prediction ability of the model, and the prediction phase incurs no extra costs. Further, since we only add a loss term to the base model, our method is plug-and-play for most relation extraction models.

We conduct experiments on both English and Chinese datasets, and the experimental results show that our method can clearly improve the base model, and delivers superior performance compared to the ILP method.

## 2 Our Approach

In this section, we describe the details about how we add the loss term, which significantly increases the quality of relation extraction results. First, we briefly introduce our base relation extraction model (Sec. 2.1). Then we describe our constraints in detail (Sec. 2.2) and how to incorporate these constraints during training (Sec. 2.3).

### 2.1 Base Model

While our method is compatible to most existing relation extractors, in this paper, we take the attentive convolutional neural network (Lin et al., 2016) as our base model, which is currently one of the most widely used extractor in RE. It operates in the MIL framework, specifically, it uses convolutional neural network for sentence embedding, and an attention layer to selectively aggregate sentence embeddings into a sentence bag embedding, which is then fed to a softmax classifier to generate the predicted relation distribution,  $p'$ .

### 2.2 Relation Constraints

Our relation constraints are similar to those used by Chen et al. (2014). Specially, our relation constraints are defined over combination of two entity pairs:  $(subj_1, r_1 obj_1)$  and  $(subj_2, r_2, obj_2)$  (we

will use  $subj$ ,  $obj$  and  $r$  refers to subject, object and relation of entity pair respectively in the rest of the paper). We derive type constraints and cardinality constraints from an existing KB to implicitly capture the expected type and cardinality requirements for the arguments of a relation.

**Type Constraint** If the subject (or object) set of relation  $r_1$  in KB has a large intersection with those of  $r_2$ , then we consider  $r_1$  and  $r_2$  to have the same expected subject (or object) type. We thereby assign relation pairs  $(r_1, r_2)$  into  $C^{ts}$  if they have the same subject type,  $C^{to}$  if they have the same object type,  $C^{tso}$  if the subject type of one relation is the same as the object type of the other. The idea is that, if the predicted relations of two triples require the same entity to belong to different types, then at least one of the prediction must be wrong.

**Cardinality Constraint** Given a subject (or object), some relations should only have one object (or subject). For example, the relation *Capital* would expect only one object for a given subject. Following this observation, we assign relation  $r$  into  $C^{cs}$  if it can have multiple subjects for a given object,  $C^{co}$  if it can have multiple objects for a given subject.

Thus we finally get 5 sub-categories of constraints set  $C^\Phi$ , where  $\Phi = \{ts, to, tso, cs, co\}$

### 2.3 Incorporating Constraints for Training

In this section, we demonstrate our method of converting the relation constraints into a loss term using the semantic loss framework (Xu et al., 2017). We also introduce a principled variant of our method to speed up the training process with only minor drop in the prediction ability.

**Relation Constraint Loss** Semantic loss is a general framework that can encode propositional logic constraints as a loss term in a principled way. Concretely, the semantic loss  $L^s(C, p)$  is defined as:

$$L^s(C, p) = -\log \sum_{x \models C} \prod_{i: x \models X_i} p_i \prod_{i: x \not\models X_i} (1 - p_i) \quad (1)$$

where  $C$  is a set of constraints that are defined over variables  $X = \{X_1, X_2, \dots, X_n\}$ ,  $p_i$  is the predicted probability of  $X_i$  which is defined in Eq. 3 in our work,  $x \models C$  refers to the assignment  $x = \{x_1, x_2, \dots, x_n\}$  of variables  $X$  that satisfies the constraints in  $C$ , and  $i : x \models X_i$  (or

$i : x \models \neg X_i$ ) refers to the indices  $i$  where  $x_i$  is set to true (or false) in assignment  $x$ .

As for our type constraints, the assignment  $x$  of variables  $X \in \{0, 1\}^R$ , where  $R$  is the number of relations, is derived by a pair of relations  $(r_1, r_2) \in C^{ts, to, tso}$ . Specifically,  $x_i \in x$  equals 1 only when  $r_1$  or  $r_2$  is the  $i^{th}$  relation, and all  $x_i \in x$  are set to 0 when  $r_1 = r_2$ .

As for our cardinality constraints, the assignment  $x$  of variables  $X \in \{0, 1\}^R$  is derived by one relation  $r \in C^{cs, co}$ . Specifically,  $x_i \in x$  equals 1 only when  $r$  is the  $i^{th}$  relation.

需要一个例子？？

**Training Procedure** Here we introduce the learning and optimization details of our model. Our objective function consists of two parts:

$$J(\theta) = J_{en}(\theta) + J_{SL}(\theta) \quad (2)$$

where  $J_{en}(\theta)$  is the original cross-entropy classification loss, and  $J_{SL}(\theta)$  is our semantic loss.

For any combinations of two entity pair in a batch, we use the left and right part of Eq. 3 to get the probability vector  $p$  for type constraints and cardinality constraints in Eq. 1 respectively.

$$p_i^t = 1 - (1 - p_{1i}')(1 - p_{2i}'); \quad p_i^c = p_{1i}'p_{2i}' \quad (3)$$

where  $p_1'$  and  $p_2'$  represents the outputs of our base model for the two entity pairs,  $p_i^t$  and  $p_i^c$  denotes the predicted probability of variable  $X_i$  in the type and cardinality constraints respectively.

And then we compute the semantic loss terms  $\lambda^k m^k L^s(C^k, p)$ , ( $k \in \Phi$ ) for each sub-category constraints over two entity pairs. Here  $\lambda^k$  denotes the corresponding weight coefficient and  $m^k$  is a 0-1 mask which are set to 1 when the two entity pairs satisfies the conditions of  $C^k$ .

Finally the total semantic loss is defined as follows:

$$J_{SL}(\theta) = \sum_{i < j} \sum_{k \in \Phi} \lambda^k m^k L^s(C^k, p_{ij}^k) \quad (4)$$

where  $0 \leq i < j < batch\_size$ ,  $p_{ij}^k$  refers to the probability vector generated from Eq. 3.

Note that, for each loss term, all the relation assignment pairs that satisfies the corresponding constraints are included. Therefore, minimizing these semantic loss terms actually increases the likelihood of all the relation predictions that satisfies our relation constraints.

During training, we iterate by randomly selecting a mini-batch from the training set until converge, and adopt the Adam optimizer (Kingma and Ba, 2014) to minimize the objective function.

**Simplified Semantic Loss** In Eq. 1, for each entity pair combination, we need to calculate the semantic loss for every  $x \models C$ , which would be time-consuming since there are many relation assignments that are consistent with the constraint set  $C$ . However, among these assignments, only the gold standard relation assignment is the one that we desire. Therefore, we simplifies Eq. 1 by only including the gold relation assignment and a few randomly sampled consistent assignments as supplements. We find that, with this simplification, we can significantly speeds up the training process at the cost of only minor drop in performance.

### 3 Experiments

#### 3.1 Experimental Settings

**Datasets** We evaluate our approach on an English dataset and a Chinese dataset, which are proposed by Chen et al. (2014).

The English dataset is generated by mapping the triples in DBpedia (Bizer et al., 2009) to the sentences in the New York Time corpus. It has 51 relations, about 50k entity tuples, 134k sentences for training and 30k entity tuples, 53k sentences for testing.

The Chinese dataset uses a KB constructed by using the Infoboxes of HudongBaiKe, and aligns its triples to a corpus collected from four chinese economic newspapers. It contains 28 relations, about 60k entity tuples, 120k sentences for training and 40k tuples, 83k sentences for testing.

We do not use Riedel’s dataset (Riedel et al., 2010), which is commonly used in RE, because Chen et al. (2014) have already proven that relation constraints does not work on that dataset.

**Hyperparameters** We use grid search to determine the optimal parameters. Our base model use convolution window size 3, sentence embedding size 256, position embedding size 5 and batch size 50. The word embedding size is 50 and 300 for the English and Chinese dataset respectively. The loss weights for type constraints are 0.001 and 0.005 for the English and Chinese dataset respectively, and the weight for cardinality constraints is 0.0005 for both the English and Chinese dataset.

#### 3.2 Experimental Results

Following previous work on RE, we use the precision-recall curve as our evaluation criterion.

We compare our semantic loss method (SL) along with its simplified version (SL-simple) with the base relation extractor (referred to as Base, see Sec. 2.1) and the ILP method (Chen et al., 2014), which uses ILP to incorporate the relation constraints at the post-processing phase.

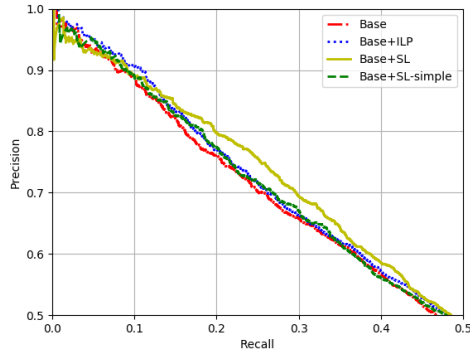


Figure 1: The English Dataset

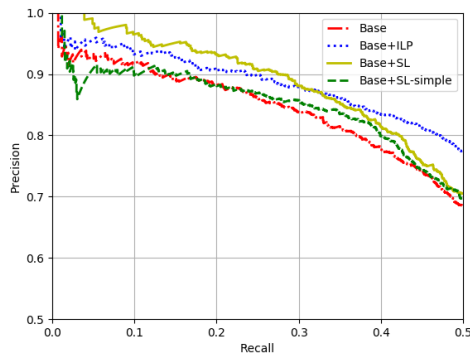


Figure 2: The Chinese Dataset

**Compare with Base Model** As shown in Fig. 1 and Fig. 2, by encouraging the base relation extractor to make predictions that are consistent to our constraints, our SL method clearly improves the baseline relation extractor in both datasets.

Take <Center For Responsible Lending, North Carolina> and <Meredith College, North Carolina> as an example. Base outputs relations *Location* and *CoachedTeam* for these two entity pairs, and our SL model predicts *Location* and *State* instead. Note that *Location* requires its subject to be a place, and *CoachedTeam* expects its subject to be a team, so there exists a conflict between the two predictions. Base confuses *CoachedTeam* with *State* since many team names are the same as their state names, and these two relations sometimes share similar expressions in the contexts. However, with the help of relation

constraints during training, our semantic loss term identifies the conflict and thus encourages the base extractor to focus more on the textual clues about relation *CoachedTeam*.

**Compare with ILP Method** As shown in Fig. 1, in the English dataset, SL performs generally better than ILP. We think the superior performance comes from the fact that SL functions during training and ILP only acts as a post-processor. Therefore, SL can encourage the base model to find more textual clues when detecting conflicts, while ILP can only find the most probable relation assignment that satisfies the constraints based on the output scores of the base model, which will possibly drop some high-score predictions and thus still leave the correct relation with a low score. 但看图，ilp在高精度时效果好。。。

As for the Chinese dataset, based on the same reason, as shown in Fig. 2, we can see that SL performs better in the high precision region. However, we find that the constraints in Chinese are more effective than that in the English dataset, which leads to more corrections of the ILP method. Therefore, since ILP tend to leave the predictions corrected by the constraints with low scores, a large fraction of the correct predictions gather around the low score region, which leads to higher precision of the ILP curve in the high recall region. 那英语那个图咋办啊？说英语上线索不给力？

Note that, in practice, since we often require high-confidence extraction results, the performance of the high-precision region of the PR curve is more important than the low-precision one. Further, recall that different from ILP, SL does not introduce extra cost during prediction. These experiments indicate that our SL method is more effective than ILP in practice.

**Compare with Simplified Semantic Loss** We also show the performance of our simplified semantic loss in Fig. 1 and Fig. 2 (SL-simple). We can see that, while inferior to SL, SL-simple also improves Base by a clear margin, and it is also comparable to ILP in the English dataset. In our experiments, compared to the original SL method, SL-simple reduces the extra training time introduced by calculating the semantic loss by 7 times in the English dataset, and 3 times in the Chinese dataset, which has less constraints than those in the English dataset. This indicates that SL-simple acts as a good balance



of the trade-off between extraction quality and extra training time. 为什么会有那个尖啊?

## 4 Conclusion

In this paper, we introduced a new loss term for RE to help resolve the conflicts to type and cardinality constraints among local relation predictions, which is compatible to most existing relation extraction models. Our method does not bring extra cost during prediction, and the experiments show that our method consistently improves the results of the base relation extractor by a clear margin.

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