Green's function-based methods in chemistry

Pierre-François LOOS

Laboratoire de Chimie et Physique Quantiques (UMR 5626), Université de Toulouse, CNRS, UPS, Toulouse, France,

ISTPC 2022 — June 24th, 2022













Today's program

Charged excitations

- One-shot $GW(G_0W_0)$
- Partially self-consistent eigenvalue GW (evGW)
- Quasiparticle self-consistent GW (qsGW)
- Other self-energies (GF2, SOSEX, T-matrix, etc)

Neutral excitations

- Random-phase approximation (RPA)
- Configuration interaction with singles (CIS)
- Time-dependent Hartree-Fock (TDHF) or RPA with exchange (RPAx)
- Time-dependent density-functional theory (TDDFT)
- Bethe-Salpeter equation (BSE) formalism

Correlation energy

- Plasmon (or trace) formula
- Galitski-Migdal formulation
- Adiabatic connection fluctuation-dissipation theorem (ACFDT)



Context

Charged excitations

Neutral excitations

Correlation energy

Assumptions & Notations

Let's talk about notations

- We consider closed-shell systems (2 opposite-spin electrons per orbital)
- We only deal with singlet excited states but triplets can also be obtained
- Number of occupied orbitals O
- Number of vacant orbitals V
- Total number of orbitals N = O + V
- $\phi_p(\mathbf{r})$ is a (real) spatial orbital
- *i*, *j*, *k*, *l* are occupied orbitals
- a, b, c, d are vacant orbitals
- p, q, r, s are arbitrary (occupied or vacant) orbitals
- μ , ν , λ , σ are basis function indexes
- *m* indexes the *OV* single excitations ($i \rightarrow a$)

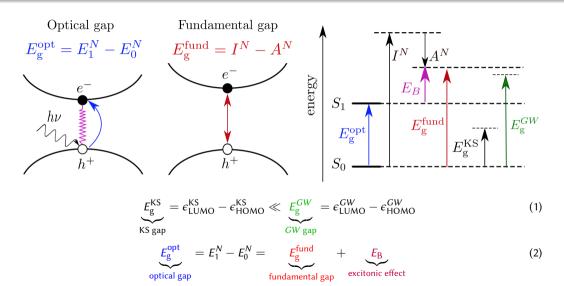


Useful papers/programs

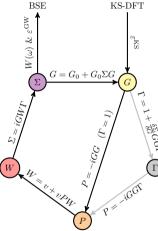
- molGW: Bruneval et al. Comp. Phys. Comm. 208 (2016) 149
- Turbomole: van Setten et al. JCTC 9 (2013) 232; Kaplan et al. JCTC 12 (2016) 2528
- Fiesta: Blase et al. Chem. Soc. Rev. 47 (2018) 1022
- FHI-AIMS: Caruso et al. PRB 86 (2012) 081102
- Reviews & Books:
 - Reining, WIREs Comput Mol Sci 2017, e1344. doi: 10.1002/wcms.1344
 - Onida et al. Rev. Mod. Phys. 74 (2002) 601
 - Blase et al. Chem. Soc. Rev. , 47 (2018) 1022
 - Golze et al. Front. Chem. 7 (2019) 377
 - Blase et al. JPCL 11 (2020) 7371
 - Martin, Reining & Ceperley Interacting Electrons (Cambridge University Press)
- GW100: IPs for a set of 100 molecules. van Setten et al. JCTC 11 (2015) 5665 (http://gw100.wordpress.com)



Fundamental and optical gaps (© Bruno Senjean)



Hedin's pentagon



Hedin, Phys Rev 139 (1965) A796

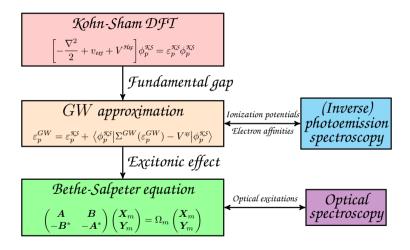
What can you calculate with *GW*?

- Ionization potentials (IPs) given by occupied MO energies
- Electron affinities (EAs) given by virtual MO energies
- Fundamental (HOMO-LUMO) gap (or band gap in solids)
- Correlation and total energies

What can you calculate with BSE?

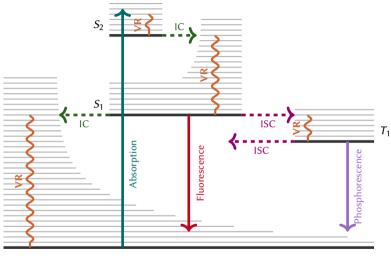
- Singlet and triplet optical excitations (vertical absorption energies)
- Oscillator strengths (absorption intensities)
- Correlation and total energies

The MBPT chain of actions



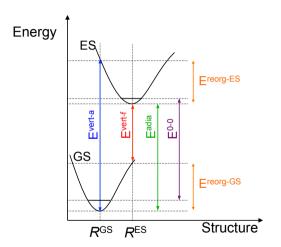
Blase et al. JPCL 11 (2020) 7371

Photochemistry: Jablonski diagram



Ground state S_0

Photochemistry: absorption, emission, and 0-0



Vertical excitation energies cannot be computed experimentally!!!

Context

- Charged excitations
- Neutral excitations

Correlation energy

Green's function and dynamical screening

One-body Green's function

$$G(\mathbf{r}_{1}, \mathbf{r}_{2}; \boldsymbol{\omega}) = \underbrace{\sum_{i} \frac{\phi_{i}(\mathbf{r}_{1})\phi_{i}(\mathbf{r}_{2})}{\omega - \epsilon_{i} - i\eta}}_{\text{removal part = IPs}} + \underbrace{\sum_{a} \frac{\phi_{a}(\mathbf{r}_{1})\phi_{a}(\mathbf{r}_{2})}{\omega - \epsilon_{a} + i\eta}}_{\text{addition part = EAs}}$$
(3)

Polarizability

$$P(\mathbf{r}_1, \mathbf{r}_2; \boldsymbol{\omega}) = -\frac{i}{\pi} \int G(\mathbf{r}_1, \mathbf{r}_2; \boldsymbol{\omega} + \boldsymbol{\omega}') G(\mathbf{r}_1, \mathbf{r}_2; \boldsymbol{\omega}') d\boldsymbol{\omega}'$$
(4)

Dielectric function and dynamically-screened Coulomb potential

$$\epsilon(\mathbf{r}_1, \mathbf{r}_2; \boldsymbol{\omega}) = \delta(\mathbf{r}_1 - \mathbf{r}_2) - \int \frac{P(\mathbf{r}_1, \mathbf{r}_3; \boldsymbol{\omega})}{|\mathbf{r}_2 - \mathbf{r}_3|} d\mathbf{r}_3$$
 (5)

$$W(\mathbf{r}_1, \mathbf{r}_2; \boldsymbol{\omega}) = \int \frac{\epsilon^{-1}(\mathbf{r}_1, \mathbf{r}_3; \boldsymbol{\omega})}{|\mathbf{r}_2 - \mathbf{r}_3|} d\mathbf{r}_3$$
 (6)

Dynamical screening in the orbital basis

Spectral representation of W

$$W_{pq,rs}(\omega) = \iint \phi_{p}(\mathbf{r}_{1})\phi_{q}(\mathbf{r}_{1})W(\mathbf{r}_{1},\mathbf{r}_{2};\omega)\phi_{r}(\mathbf{r}_{2})\phi_{s}(\mathbf{r}_{2})d\mathbf{r}_{1}d\mathbf{r}_{2}$$

$$= \underbrace{(pq|rs)}_{\text{(static) exchange part}} + 2\underbrace{\sum_{m}(pq|m)(rs|m)}_{\text{(dynamical) correlation part}} \underbrace{\frac{1}{\omega - \Omega_{m}^{\text{RPA}} + i\eta} - \frac{1}{\omega + \Omega_{m}^{\text{RPA}} - i\eta}}_{\text{(dynamical) correlation part}} \underbrace{W_{pq,rs}^{c}(\omega)}$$

$$(7)$$

Electron repulsion integrals (ERIs)

$$(pq|rs) = \iint \frac{\phi_p(\mathbf{r}_1)\phi_q(\mathbf{r}_1)\phi_r(\mathbf{r}_2)\phi_s(\mathbf{r}_2)}{|\mathbf{r}_1 - \mathbf{r}_2|} d\mathbf{r}_1 d\mathbf{r}_2$$
(8)

Screened ERIs (or spectral weights)

$$(pq|m) = \sum_{ia} (pq|ia) (\mathbf{X}_m^{\text{RPA}} + \mathbf{Y}_m^{\text{RPA}})_{ia}$$
(9)



Computation of the dynamical screening

Direct (ph-)RPA calculation (pseudo-hermitian linear problem)

$$\begin{pmatrix} A^{\text{RPA}} & B^{\text{RPA}} \\ -B^{\text{RPA}} & -A^{\text{RPA}} \end{pmatrix} \cdot \begin{pmatrix} \mathbf{X}_{m}^{\text{RPA}} \\ \mathbf{Y}_{m}^{\text{RPA}} \end{pmatrix} = \Omega_{m}^{\text{RPA}} \begin{pmatrix} \mathbf{X}_{m}^{\text{RPA}} \\ \mathbf{Y}_{m}^{\text{RPA}} \end{pmatrix}$$
(10)

For singlet states:
$$A_{ia,jb}^{\text{RPA}} = \delta_{ij}\delta_{ab}(\epsilon_a - \epsilon_i) + 2(ia|bj)$$
 $B_{ia,jb}^{\text{RPA}} = 2(ia|jb)$ (11)

Non-hermitian to hermitian

$$(\mathbf{A} - \mathbf{B})^{1/2} \cdot (\mathbf{A} + \mathbf{B}) \cdot (\mathbf{A} - \mathbf{B})^{1/2} \cdot \mathbf{Z}_m = \Omega_m^2 \mathbf{Z}_m$$
 (12)

$$(\boldsymbol{X}_m + \boldsymbol{Y}_m) = \Omega_m^{-1/2} (\boldsymbol{A} - \boldsymbol{B})^{+1/2} \cdot \boldsymbol{Z}_m$$
 (13)

$$(\boldsymbol{X}_m - \boldsymbol{Y}_m) = \Omega_m^{+1/2} (\boldsymbol{A} - \boldsymbol{B})^{-1/2} \cdot \boldsymbol{Z}_m$$
 (14)

Tamm-Dancoff approximation (TDA)

$$\boldsymbol{B} = \boldsymbol{0} \quad \Rightarrow \quad \boldsymbol{A} \cdot \boldsymbol{X}_m = \Omega_m^{\text{TDA}} \boldsymbol{X}_m \tag{15}$$

The self-energy

GW Self-energy

$$\underbrace{\sum^{\mathsf{xc}}(\mathbf{r}_{1}, \mathbf{r}_{2}; \boldsymbol{\omega})}_{GW \text{ self-energy}} = \underbrace{\sum^{\mathsf{x}}(\mathbf{r}_{1}, \mathbf{r}_{2})}_{\text{exchange}} + \underbrace{\sum^{\mathsf{c}}(\mathbf{r}_{1}, \mathbf{r}_{2}; \boldsymbol{\omega})}_{\text{correlation}} = \frac{i}{2\pi} \int G(\mathbf{r}_{1}, \mathbf{r}_{2}; \boldsymbol{\omega} + \boldsymbol{\omega}') W(\mathbf{r}_{1}, \mathbf{r}_{2}; \boldsymbol{\omega}') e^{i\eta \boldsymbol{\omega}'} d\boldsymbol{\omega}'$$
(16)

Exchange part of the (static) self-energy

$$\sum_{pq}^{\mathsf{x}} = -\sum_{i} (pi|iq) \tag{17}$$

Correlation part of the (dynamical) self-energy

$$\sum_{pq}^{c}(\omega) = 2\sum_{im} \frac{(pi|m)(qi|m)}{\omega - \epsilon_{i} + \Omega_{m}^{RPA} - i\eta} + 2\sum_{am} \frac{(pa|m)(qa|m)}{\omega - \epsilon_{a} - \Omega_{m}^{RPA} + i\eta}$$
(18)



Quasiparticle equation

Dyson equation

$$\left[\underline{G(\mathbf{r}_{1}, \mathbf{r}_{2}; \boldsymbol{\omega})}\right]^{-1} = \underbrace{\left[G_{\mathrm{KS}}(\mathbf{r}_{1}, \mathbf{r}_{2}; \boldsymbol{\omega})\right]^{-1}}_{\mathrm{KS \ Green's \ function}} + \underbrace{\boldsymbol{\Sigma}^{\mathbf{xc}}(\mathbf{r}_{1}, \mathbf{r}_{2}; \boldsymbol{\omega})}_{\mathrm{KS \ potential}} - \underbrace{\boldsymbol{\upsilon}^{\mathbf{xc}}(\mathbf{r}_{1})}_{\mathrm{KS \ potential}} \delta(\mathbf{r}_{1} - \mathbf{r}_{2})$$
(19)

Non-linear quasiparticle (QP) equation

$$\omega = \epsilon_p^{\text{KS}} + \sum_{pp}^{\text{xc}}(\omega) - V_p^{\text{xc}} \quad \text{with} \quad V_p^{\text{xc}} = \int \phi_p(\mathbf{r}) v^{\text{xc}}(\mathbf{r}) \phi_p(\mathbf{r}) d\mathbf{r}$$
 (20)

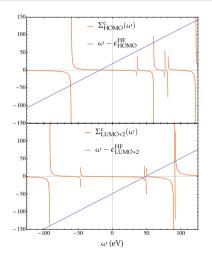
Linearized QP equation

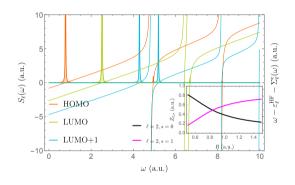
$$\left. \frac{\mathbf{\Sigma}_{pp}^{\mathsf{xc}}(\omega)}{\mathbf{\Sigma}_{pp}^{\mathsf{xc}}(\epsilon_{p}^{\mathsf{KS}}) + (\omega - \epsilon_{p}^{\mathsf{KS}})} \left. \frac{\partial \mathbf{\Sigma}_{pp}^{\mathsf{xc}}(\omega)}{\partial \omega} \right|_{\omega = \epsilon_{p}^{\mathsf{KS}}} \quad \Rightarrow \quad \boldsymbol{\epsilon}_{p}^{\mathsf{GW}} = \boldsymbol{\epsilon}_{p}^{\mathsf{KS}} + Z_{p} [\mathbf{\Sigma}_{pp}^{\mathsf{xc}}(\epsilon_{p}^{\mathsf{KS}}) - V_{p}^{\mathsf{xc}}]$$
 (21)

$$Z_{p} = \left[1 - \frac{\partial \Sigma_{pp}^{xc}(\omega)}{\partial \omega}\right]_{\omega = c^{KS}} \quad \text{with} \quad 0 \le Z_{p} \le 1$$
 (22)

renormalization factor

Solutions of the non-linear QP equation: evGW@HF/6-31G for H_2 at R=1 bohr





Loos et al, JCTC 14 (2018) 3071

Véril et al, JCTC 14 (2018) 5220



GW flavours

Acronyms

- perturbative GW, one-shot GW, or G_0W_0
- evGW or eigenvalue-only (partially) self-consistent GW
- qsGW or quasiparticle (partially) self-consistent GW
- scGW or (fully) self-consistent GW

Perturbative GW with linearized solution

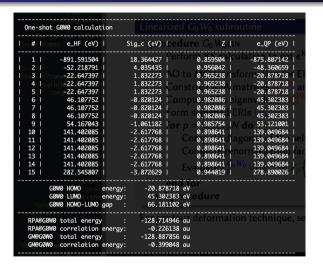
```
procedure G_0W_0LIN@KS
     Perform KS calculation to get \epsilon^{KS}, c^{KS}, and V^{xc}
     AO to MO transformation for ERIs: (\mu\nu|\lambda\sigma) \xrightarrow{c^{KS}} (pa|rs)
     Construct RPA matrices A^{RPA} and B^{RPA} from \epsilon^{KS} and (pq|rs)
     Compute RPA eigenvalues \Omega^{RPA} and eigenvectors X^{RPA} + Y^{RPA}
                                                                                                                       \triangleright This is a \mathcal{O}(N^6) step!
     Form screened ERIs (pg m)
     for p = 1, \ldots, N do
          Compute diagonal of the self-energy \sum_{n=0}^{c} (\omega) at \omega = \epsilon_{n}^{KS}
          Compute renormalization factors Z_n
          Evaluate \epsilon_p^{G_0W_0} = \epsilon_p^{\text{KS}} + Z_p \Big\{ \text{Re}[\frac{\Sigma_{pp}^c}{\Sigma_{pp}^c}(\epsilon_p^{\text{KS}})] - V_p^{\text{xc}} \Big\}
     end for
end procedure
```

For contour deformation technique, see, for example, Duchemin & Blase, JCTC 16 (2020) 1742



Context Charged excitations Neutral excitations Correlation energy

Example from QuAcK (Ne/cc-pVDZ)



https://github.com/pfloos/QuAcK



Perturbative GW with graphical solution

```
procedure G_0W_0GRAPH@KS
     Perform KS calculation to get \epsilon^{KS}, c^{KS}, and V^{xc}
     AO to MO transformation for ERIs: (\mu\nu|\lambda\sigma) \stackrel{\mathbf{c}^{KS}}{\rightarrow} (pq|rs)
     Construct RPA matrices A^{RPA} and B^{RPA} from \epsilon^{KS} and (pq|rs)
     Compute RPA eigenvalues \Omega^{RPA} and eigenvectors X^{RPA} + Y^{RPA}
                                                                                                                  \triangleright This is a \mathcal{O}(N^6) step!
     Form screened ERIs (pg m)
     for p = 1, \dots, N do
          Compute diagonal of the self-energy \Sigma_{pp}^{c}(\omega)
          Solve \omega = \epsilon_p^{\text{KS}} + \text{Re}[\sum_{n=0}^{c} (\omega)] - V_n^{\text{xc}} to get \epsilon_p^{C_0 W_0} via Newton's method
     end for
end procedure
```

Newton's method

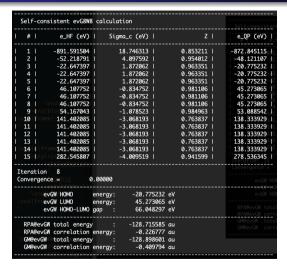
 $https://en.wikipedia.org/wiki/Newton\%27s_method$

Partially self-consistent eigenvalue GW

```
procedure EVGW@KS
     Perform KS calculation to get \epsilon^{KS}, c^{KS}, and V^{xc}
     AO to MO transformation for ERIs: (\mu\nu|\lambda\sigma) \stackrel{c^{KS}}{\rightarrow} (pa|rs)
     Set \epsilon^{G_{-1}W_{-1}} = \epsilon^{KS} and n = 0
     while \max |\Delta| > \tau \, \mathbf{do}
           Construct RPA matrices A^{RPA} and B^{RPA} from \epsilon^{G_{n-1}W_{n-1}} and (pq|rs)
           Compute RPA eigenvalues \Omega^{RPA} and eigenvectors X^{RPA} + Y^{RPA}
                                                                                                                          \triangleright This is a \mathcal{O}(N^6) step!
          Form screened ERIs (pq|m)
          for p = 1, \ldots, N do
                Compute diagonal of the self-energy \sum_{nn}^{c}(\omega)
                Solve \omega = \epsilon_n^{\text{KS}} + \text{Re}[\Sigma_{nn}^{\text{c}}(\omega)] - V_n^{\text{xc}} to get \epsilon_n^{G_n W_n}
           end for
           \Lambda = \epsilon^{G_n W_n} - \epsilon^{G_{n-1} W_{n-1}}
          n \leftarrow n + 1
     end while
end procedure
```

Context Charged excitations Neutral excitations Correlation energy

Example from QuAcK (Ne/cc-pVDZ)



https://github.com/pfloos/QuAcK



Quasiparticle self-consistent GW (qsGW)

```
procedure qsGW
      Perform HF calculation to get \epsilon^{\text{HF}} and c^{\text{HF}} (optional)
      Set \epsilon^{G_{-1}W_{-1}} = \epsilon^{HF}, \epsilon^{G_{-1}W_{-1}} = \epsilon^{HF} and n = 0
      while \max |\Delta| > \tau \ \mathbf{do}
            AO to MO transformation for ERIs: (\mu\nu|\lambda\sigma) \stackrel{\mathbf{c}^{G_{n-1}W_{n-1}}}{\rightarrow} (pa|rs)
                                                                                                                                              \triangleright This is a \mathcal{O}(N^5) step!
            Construct RPA matrices A^{RPA} and B^{RPA} from \epsilon^{G_{n-1}W_{n-1}} and (pq|rs)
            Compute RPA eigenvalues \Omega^{RPA} and eigenvectors X^{RPA} + Y^{RPA}
                                                                                                                                              \triangleright This is a \mathcal{O}(N^6) step!
             Form screened ERIs (pq|m)
            Evaluate \Sigma^{c}(\epsilon^{G_{n-1}W_{n-1}}) and form \tilde{\Sigma}^{c} \leftarrow \left[\Sigma^{c}(\epsilon^{G_{n-1}W_{n-1}})^{\dagger} + \Sigma^{c}(\epsilon^{G_{n-1}W_{n-1}})\right]/2
             Form \mathbf{F}^{HF} from \mathbf{c}^{G_{n-1}W_{n-1}} and then \tilde{\mathbf{F}} = \tilde{\mathbf{F}}^{HF} + \tilde{\Sigma}^{c}
            Diagonalize \tilde{F} to get \epsilon^{G_n W_n} and c^{G_n W_n}
            \Lambda = \epsilon^{G_n W_n} - \epsilon^{G_{n-1} W_{n-1}}
            n \leftarrow n + 1
      end while
end procedure
```

Example from QuAcK (Ne/cc-pVDZ)

	1			Sig_c (eV)		4		e_Q	P (eV)	
	1 1	-891.591504	1	18.755754	1	0.853363	1	-873.6	552325	÷
	2 1	-52.218791		4.058060		0.954380		-48.4	105559	
	3 1	-22.647397		1.855512		0.963520		-21.6	066156	
	4	-22.647397		1.855512		0.963520		-21.6	266156	
	5 I	-22.647397		1.855512		0.963520		-21.6	266156	
	6 I	46.107752		-0.848683		0.980977		45.6	067534	
	7 1	46.107752		-0.848683		0.980977		45.6	067534	
	8	46.107752		-0.848683		0.980977		45.6	267534	
	9	54.167043		-1.102700		0.984676		52.9	926661	
	10	141.402085		-3.043127		0.776916		138.6	069002	
	11 🗽	141.402085		-3.043127		0.77691€		138.6	069002	
	12\ nd{b	141.402085		-3.043127		0.77691€		138.6	069002	
	13 [frame	141.402085		-3.043127		0.776916		138.6	069002	
	14	141.402085		-3.043127		0.77691€		138.6	069002	
	15	282.545807		-3.998794		0.941677		278.	156200	
		m 16 (Example						100	ration	- 8
Coi	nvergence	(menter) (0.00001							
	qsi	GW HOMO	energy:	-21.0	 56156 €	eV				
		GW LUMO	energy:		67534 €					
	qs	GW HOMO-LUMO	gap :	66.1	33690 €	eV				
-	od FacCW	total	energy:	-128.4						
		exchange	energy:	-12.1						
		correlation		-0.4						

Summary	
One-electron ener Kinetic ener Potential ener	rgy: 128.2215634186 au
Two-electron ener Hartree ener Exchange ener Correlation ener	rgy: 66.0886388591 au rgy: -12.1010954570 au
Electronic ener Nuclear repulsi qsGW ener	ion: 0.0000000000 au
Dipole moment (Deb X 0.000000 0.0000	Y Z Tot.

https://github.com/pfloos/QuAcK



Other self-energies

Second-order Green's function (GF2) [Hirata et al. JCP 147 (2017) 044108]

$$\Sigma_{pq}^{\text{GF2}}(\omega) = \frac{1}{2} \sum_{iab} \frac{\langle iq || ab \rangle \langle ab || ip \rangle}{\omega + \epsilon_i - \epsilon_a - \epsilon_b} + \frac{1}{2} \sum_{ija} \frac{\langle aq || ij \rangle \langle ij || ap \rangle}{\omega + \epsilon_a - \epsilon_j - \epsilon_j}$$
(23)

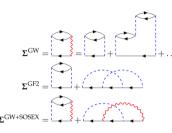
T-matrix

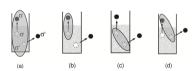
$$\Sigma_{pq}^{GT}(\omega) = \sum_{im} \frac{\langle pi|\chi_m^{N+2}\rangle \langle qi|\chi_m^{N+2}\rangle}{\omega + \epsilon_i - \Omega_m^{N+2}} + \sum_{am} \frac{\langle pa|\chi_m^{N-2}\rangle \langle qa|\chi_m^{N-2}\rangle}{\omega + \epsilon_i - \Omega_m^{N-2}}$$
(24)

$$\langle pi|\chi_m^{N+2}\rangle = \sum_{c \leq d} \langle pi||cd\rangle X_{cd}^{N+2,m} + \sum_{k \leq l} \langle pi||kl\rangle Y_{kl}^{N+2,m}$$
(25)

$$\langle pa|\chi_m^{N-2}\rangle = \sum_{c < d} \langle pa||cd\rangle X_{cd}^{N-2,m} + \sum_{k < l} \langle pa||kl\rangle Y_{kl}^{N-2,m}$$
(26)

pp-RPA problem:
$$\begin{pmatrix} \mathbf{A} & \mathbf{B} \\ -\mathbf{B}^{\mathsf{T}} & -\mathbf{C} \end{pmatrix} \cdot \begin{pmatrix} \mathbf{X}_{m}^{N\pm 2} \\ \mathbf{Y}_{m}^{N\pm 2} \end{pmatrix} = \Omega_{m}^{N\pm 2} \begin{pmatrix} \mathbf{X}_{m}^{N\pm 2} \\ \mathbf{Y}_{m}^{N\pm 2} \end{pmatrix} \quad (27)$$





Martin, Reining & Ceperley, Interacting Electrons (Cambridge University Press)

Context

- Charged excitations
- Neutral excitations

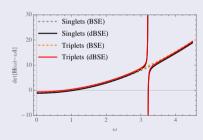
Correlation energy

Dynamical vs static kernels

A non-linear BSE problem [Strinati, Riv. Nuovo Cimento 11 (1988) 1]

$$\begin{pmatrix} A(\omega) & B(\omega) \\ -B(-\omega) & -A(-\omega) \end{pmatrix} \cdot \begin{pmatrix} X \\ Y \end{pmatrix} = \omega \begin{pmatrix} X \\ Y \end{pmatrix} \quad \text{Hard to solve!}$$
 (28)

Static BSE vs dynamic BSE for HeH+/STO-3G



Dynamical kernels can give you more than static kernels... Sometimes, too much...

Authier & Loos, JCP 153 (2020) 184105 [see also Romaniello et al, JCP 130 (2009) 044108]

Löwdin partitioning technique

Folding or dressing process

$$\underbrace{\boldsymbol{H} \cdot \boldsymbol{c} = \boldsymbol{\omega} \, \boldsymbol{c}}_{\text{A large linear system with N solutions...}} \Rightarrow \begin{pmatrix} \boldsymbol{h}_{1} & \boldsymbol{h}_{1} \\ \boldsymbol{h} & \boldsymbol{H}_{2} \\ \boldsymbol{N}_{N \times N_{0}} \end{pmatrix} \cdot \begin{pmatrix} \boldsymbol{c}_{1} \\ \boldsymbol{c}_{2} \end{pmatrix} = \boldsymbol{\omega} \begin{pmatrix} \boldsymbol{c}_{1} \\ \boldsymbol{c}_{2} \end{pmatrix} \qquad N = N_{1} + N_{2} \qquad (29)$$

Row #2:
$$\mathbf{h} \cdot \mathbf{c}_1 + \mathbf{H}_2 \cdot \mathbf{c}_2 = \boldsymbol{\omega} \, \mathbf{c}_2$$
 $\Rightarrow \mathbf{c}_2 = ($

$$\Rightarrow \mathbf{c}_2 = (\boldsymbol{\omega} \, \mathbf{1} - \mathbf{H}_2)^{-1} \cdot \mathbf{h} \cdot \mathbf{c}_1 \tag{30}$$

Row #1:
$$H_1 \cdot c_1 + h^{\mathsf{T}} \cdot c_2 = \omega c_1$$
 \Rightarrow $\tilde{H}_1(\omega) \cdot c_1 = \omega c_1$ (31)

A smaller non-linear system with N solutions...

$$\underbrace{\tilde{H}_1(\boldsymbol{\omega})}_{} = \boldsymbol{H}_1 + \boldsymbol{h}^{\mathsf{T}} \cdot (\boldsymbol{\omega} \, \mathbf{1} - \boldsymbol{H}_2)^{-1} \cdot \boldsymbol{h}$$

Effective Hamitonian

Static approx. (e.g.
$$\omega = 0$$
):
$$\tilde{\boldsymbol{H}}_1(\omega = 0) = \boldsymbol{H}_1 - \boldsymbol{h}^{\mathsf{T}} \cdot \boldsymbol{H}_2^{-1} \cdot \boldsymbol{h}$$
 (33)

A smaller linear system with N_1 solutions... approximations possible...

(32)

TD-DFT and BSE in practice: Casida-like equations

Linear response problem

$$\begin{pmatrix} \mathbf{A} & \mathbf{B} \\ -\mathbf{B} & -\mathbf{A} \end{pmatrix} \cdot \begin{pmatrix} \mathbf{X}_m \\ \mathbf{Y}_m \end{pmatrix} = \mathbf{\Omega}_m \begin{pmatrix} \mathbf{X}_m \\ \mathbf{Y}_m \end{pmatrix}$$

Blue pill: TD-DFT within the adiabatic approximation

$$\mathbf{A}_{ia,jb} = \left(\epsilon_a^{\mathrm{KS}} - \epsilon_i^{\mathrm{KS}}\right) \delta_{ij} \delta_{ab} + 2(ia|bj) + \mathbf{f}_{ia,bj}^{\mathrm{xc}} \qquad \mathbf{B}_{ia,jb} = 2(ia|jb) + \mathbf{f}_{ia,jb}^{\mathrm{xc}}$$
(34)

$$f_{ia,bj}^{xc} = \iint \phi_i(\mathbf{r})\phi_a(\mathbf{r}) \frac{\delta^2 E^{xc}}{\delta \rho(\mathbf{r})\delta \rho(\mathbf{r}')} \phi_b(\mathbf{r})\phi_j(\mathbf{r}) d\mathbf{r} d\mathbf{r}'$$
(35)

Red pill: BSE within the static approximation

$$\mathbf{A}_{ia,jb} = \left(\epsilon_a^{GW} - \epsilon_i^{GW}\right) \delta_{ij} \delta_{ab} + 2(ia|bj) - \mathbf{W}_{ij,ba}^{\text{stat}} \qquad \mathbf{B}_{ia,jb} = 2(ia|jb) - \mathbf{W}_{ib,ja}^{\text{stat}}$$

$$\mathbf{W}_{ij,ab}^{\text{stat}} \equiv \mathbf{W}_{ij,ab}(\omega = 0) = (ij|ab) - \mathbf{W}_{ij,ab}^{c}(\omega = 0)$$
(36)

$$\mathbf{W}_{ii,ab}^{\text{stat}} \equiv \mathbf{W}_{ii,ab}(\omega = 0) = (ij|ab) - \mathbf{W}_{ii,ab}^{c}(\omega = 0)$$
(37)

The bridge between TD-DFT and BSE

TD-DFT	Connection	BSI	
One-point density $ ho(1)$	$\rho(1) = -iG(11^+)$	Two-point Green's function $G(12)$	
Two-point susceptibility $\chi(12) = \frac{\partial \rho(1)}{\partial U(2)}$	$\chi(12) = -iL(12; 1^+2^+)$	Four-point susceptibility $L(12; 34) = \frac{\partial G(13)}{\partial U(42)}$	
Two-point kernel $K(12) = v(12) + \frac{\partial V^{xc}(1)}{\partial \rho(2)}$		Four-point kerne $i\Xi(1234) = v(13)\delta(12)\delta(34) - \frac{\partial \Sigma^{xc}(12)}{\partial G(34)}$	

For dynamical correction within BSE, see, for example, Loos & Blase, JCP 153 (2020) 114120



BSE in a computer

Vertical excitation energies from BSE

```
procedure BSE@GW
```

Compute GW quasiparticle energies ϵ_p^{GW} at the G_0W_0 , evGW, or qsGW level

Compute static screening W_{pa,rs}^{stat}

Construct BSE matrices A^{BSE} and B^{BSE} from ϵ_p^{GW} , (pq|rs), and $W_{pq,rs}^{stat}$

Compute lowest eigenvalues Ω_m^{BSE} and eigenvectors $X_m^{\text{BSE}} + Y_m^{\text{BSE}}$ (optional) \triangleright This is a $\mathcal{O}(N^4)$ step! end procedure

Removing the correlation part: TDHF and CIS

Linear response problem

$$\begin{pmatrix}
A & B \\
-B & -A
\end{pmatrix} \cdot \begin{pmatrix}
X_m \\
Y_m
\end{pmatrix} = \Omega_m \begin{pmatrix}
X_m \\
Y_m
\end{pmatrix}$$

TDHF = RPA with exchange (RPAx)

$$A_{ia,jb} = \left(\varepsilon_a^{\mathsf{HF}} - \varepsilon_i^{\mathsf{HF}}\right) \delta_{ij} \delta_{ab} + 2(ia|bj) - (ij|ba)$$

$$B_{ia,jb} = 2(ia|jb) - (ib|ja)$$

Linear response problem within the Tamm-Dancoff approximation

$$A \cdot X_m = \Omega_m X_m$$

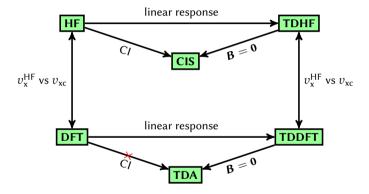
(39)

(38)

TDHF within TDA = CIS

$$\mathbf{A}_{ia,jb} = \left(\epsilon_a^{\mathsf{HF}} - \epsilon_i^{\mathsf{HF}}\right) \delta_{ij} \delta_{ab} + 2(ia|bj) - (ij|ba) \tag{40}$$

Relationship between CIS, TDHF, DFT and TDDFT



Linear response

General linear response problem

```
procedure Linear response

Compute A matrix at a given level of theory (RPA, RPAx, TD-DFT, BSE, etc)

if TDA then

Diagonalize A to get \Omega_m^{\text{TDA}} and X_m^{\text{TDA}}

else

Compute B matrix at a given level of theory

Diagonalize A - B to form (A - B)^{1/2}

Form and diagonalize (A - B)^{1/2} \cdot (A + B) \cdot (A - B)^{1/2} to get \Omega_m^2 and Z_m

Compute \sqrt{\Omega_m^2} and (X_m + Y_m) = \Omega_m^{-1/2} (A - B)^{1/2} \cdot Z_m

end if
end procedure
```

Form linear response matrices

Linear-response matrices for BSE

```
procedure FORM A FOR SINGLET STATES
    Set A = 0
    ia \leftarrow 0
    for i = 1, \dots, O do
         for a = 1, \dots, V do
              ia \leftarrow ia + 1
             ib \leftarrow 0
              for j = 1, \ldots, O do
                  for b = 1, \dots, V do
                       ib \leftarrow ib + 1
                       A_{ia,ib} = \delta_{ii}\delta_{ab}(\epsilon_a^{GW} - \epsilon_i^{GW}) + 2(ia|bj) - (ij|ba) + W_{ii,ba}^{c}(\omega = 0)
                  end for
              end for
         end for
    end for
end procedure
```

Properties

Oscillator strength (length gauge)

$$f_m = \frac{2}{3} \Omega_m \left[(\mu_m^x)^2 + (\mu_m^y)^2 + (\mu_m^z)^2 \right]$$
 (41)

Transition dipole

$$\mu_m^x = \sum_{ia} (i|\mathbf{x}|a)(\mathbf{X}_m + \mathbf{Y}_m)_{ia} \qquad (p|\mathbf{x}|q) = \int \phi_p(\mathbf{r}) \, \mathbf{x} \, \phi_q(\mathbf{r}) \, d\mathbf{r}$$
 (42)

Monitoring possible spin contamination [Monino & Loos, JCTC 17 (2021) 2852]

$$\left\langle \hat{S}^{2} \right\rangle_{m} = \left\langle \hat{S}^{2} \right\rangle_{0} + \underbrace{\Delta \left\langle \hat{S}^{2} \right\rangle_{m}}_{\text{JCP 134101 (2011) 134}}$$

$$\langle \hat{S}^2 \rangle_0 = \frac{n_\alpha - n_\beta}{2} \left(\frac{n_\alpha - n_\beta}{2} + 1 \right) + n_\beta + \sum_p (p_\alpha | p_\beta)$$
 (43)

Example from QuAcK (H₂O/cc-pVDZ)

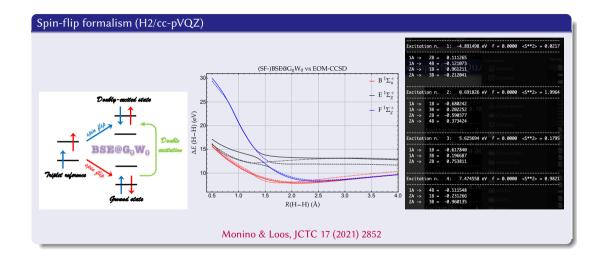
```
Excitation n_1 = 12 + 8.411378 \text{ eV} of = 0.0255 < 8**2 = 0.0000
 5 \rightarrow 6 = 0.704168
Excitation n. 2: 10.496539 \text{ eV} f = 0.0000 < \text{S**2} = 0.0000
 5 \rightarrow 7 = 0.699391
 5 -> 8 = -0.095559 Compute Lowest BSE eigenvalues Stor
Excitation n. 3: 11.080888 eV f = 0.0924 < **2 = 0.0000
 4 \rightarrow 6 = -0.703496
Excitation n. 4: 13.165908 \text{ eV} f = 0.0706 < S**2> = <math>0.0000
 4 \rightarrow 7 = 0.701946
Excitation n. 5: 14.913736 \text{ eV} f = 0.2678 < \text{S**2} = 0.0000
 3 \rightarrow 6 = 0.704100
```

```
Excitation n 1: 7.632804 eV f = 0.0000 < 5**2 = 2.0000
5 \rightarrow 6 = 0.700599
5 \rightarrow 9 = -0.089914
Excitation n. 2: 9.897068 \text{ eV} f = 0.0000 < S**2 > = 2.0000
 4 -> 6 - -0 695522
4 -> 9 = 0.093664
Excitation n. 3: 10.002114 \text{ eV} f = 0.0000 \text{ <}\text{S**2>} = 2.0000
5 \rightarrow 7 = 0.695328
5 \rightarrow 8 = -0.117774
Excitation n. 4: 11.995497 eV f = 0.0000 < ***2 = 2.0000
3 \rightarrow 6 = 0.228354
4 -> 7 - 0 651412
4 -> 8 = -0.135998
Excitation n. 5: 13.698483 eV f = 0.0000 < 5**2 > = 2.0000
 .....
 3 \rightarrow 9 = 0.101160
4 \rightarrow 7 = 0.234306
```

https://github.com/pfloos/QuAcK



Open-shell systems and double excitations



Context

Charged excitations

Neutral excitations

Correlation energy

Correlation energy at the GW or BSE level

RPA@GW correlation energy: plasmon (or trace) formula

$$E_{\rm c}^{\rm RPA} = \frac{1}{2} \left[\sum_{p} \Omega_{m}^{\rm RPA} - {\rm Tr} \left(\mathbf{A}^{\rm RPA} \right) \right] = \frac{1}{2} \sum_{m} \left(\Omega_{m}^{\rm RPA} - \Omega_{m}^{\rm TDA} \right)$$

Galitskii-Migdal functional

$$E_{c}^{\text{GM}} = \frac{-i}{2} \sum_{pq}^{\infty} \int \frac{d\omega}{2\pi} \frac{\sum_{pq}^{c} (\omega) G_{pq}(\omega) e^{i\omega\eta}}{2\pi} = 4 \sum_{ia} \sum_{m} \frac{(ai|m)^{2}}{\epsilon_{a}^{GW} - \epsilon_{i}^{GW} + \Omega_{m}^{\text{RPA}}}$$

ACFDT@BSE@GW correlation energy from the adiabatic connection

$$E_c^{\text{ACFDT}} = \frac{1}{2} \int_0^1 \text{Tr}(\mathbf{K} \mathbf{P}^{\lambda}) d\lambda \tag{44}$$

Adiabatic connection fluctuation dissipation theorem (ACFDT)

Adiabatic connection

$$E_{c}^{ACFDT} = \frac{1}{2} \int_{0}^{1} \text{Tr}\left(KP^{\lambda}\right) d\lambda \stackrel{\text{quad}}{\approx} \frac{1}{2} \sum_{k=1}^{K} w_{k} \text{Tr}\left(KP^{\lambda_{k}}\right)$$
(45)

 λ is the **strength** of the electron-electron interaction:

- $\lambda = 0$ for the non-interacting system
- $\lambda = 1$ for the physical system

Interaction kernel

$$\mathbf{K} = \begin{pmatrix} \tilde{\mathbf{A}} & \tilde{\mathbf{B}} \\ \tilde{\mathbf{B}} & \tilde{\mathbf{A}} \end{pmatrix} \qquad \tilde{A}_{ia,jb} = 2(ia|bj) \qquad \tilde{B}_{ia,jb} = 2(ia|jb) \tag{46}$$

Correlation part of the two-particle density matrix

$$\mathbf{P}^{\lambda} = \begin{pmatrix} \mathbf{Y}^{\lambda} \cdot (\mathbf{Y}^{\lambda})^{\mathsf{T}} & \mathbf{Y}^{\lambda} \cdot (\mathbf{X}^{\lambda})^{\mathsf{T}} \\ \mathbf{X}^{\lambda} \cdot (\mathbf{Y}^{\lambda})^{\mathsf{T}} & \mathbf{X}^{\lambda} \cdot (\mathbf{X}^{\lambda})^{\mathsf{T}} \end{pmatrix} - \begin{pmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{1} \end{pmatrix}$$
(47)

Gaussian quadrature

Numerical integration by quadrature

"A K-point Gaussian quadrature rule is a quadrature rule constructed to yield an exact result for polynomials up to degree 2K - 1 by a suitable choice of the roots x_k and weights w_k for k = 1, ..., K."

$$\left| \int_{a}^{b} f(x) w(x) dx \approx \sum_{k}^{K} \underbrace{w_{k}}_{\text{weights}} f(\underbrace{x_{k}}_{\text{roots}}) \right|$$
 (48)

Quadrature rules

Interval [a, b]	Weight function $w(x)$	Orthogonal polynomials	Name
[-1, 1]	1	Legendre $P_n(x)$	Gauss-Legendre
(-1, 1)	$(1-x)^{\alpha}(1+x)^{\beta}$, $\alpha, \beta > -1$	Jacobi $P_n^{\alpha,\beta}(x)$	Gauss-Jacobi
(-1, 1)	$1/\sqrt{1-x^2}$	Chebyshev (1st kind) $T_n(x)$	Gauss-Chebyshev
[-1, 1]	$\sqrt{1-x^2}$	Chebyshev (2nd kind) $U_n(x)$	Gauss-Chebyshev
$[0,\infty)$	$\exp(-x)$	Laguerre $L_n(x)$	Gauss-Laguerre
$[0,\infty)$	$x^{\alpha} \exp(-x)$, $\alpha > -1$	Generalized Laguerre $L_n^{\alpha}(x)$	Gauss-Laguerre
$(-\infty, \infty)$	$\exp(-x^2)$	Hermite $H_n(x)$	Gauss-Hermite

https://en.wikipedia.org/wiki/Gaussian_quadrature

ACFDT at the RPA/RPAx level

RPA matrix elements

$$A_{ia,jb}^{\lambda,\text{RPA}} = \delta_{ij}\delta_{ab}(\epsilon_a^{\text{HF}} - \epsilon_i^{\text{HF}}) + 2\lambda(ia|bj) \qquad B_{ia,jb}^{\lambda,\text{RPA}} = 2\lambda(ia|jb)$$
(49)

$$E_{c}^{RPA} = \frac{1}{2} \int_{0}^{1} \text{Tr}\left(KP^{\lambda}\right) d\lambda = \frac{1}{2} \left[\sum_{m} \Omega_{m}^{RPA} - \text{Tr}\left(A^{RPA}\right) \right]$$
 (50)

RPAx matrix elements

$$A_{ia,jb}^{\lambda,\text{RPAx}} = \delta_{ij}\delta_{ab}(\epsilon_a^{\text{HF}} - \epsilon_i^{\text{HF}}) + \frac{\lambda}{\lambda}[2(ia|bj) - (ij|ab)] \qquad B_{ia,jb}^{\lambda,\text{RPAx}} = \lambda[2(ia|jb) - (ib|aj)]$$
(51)

$$E_{c}^{RPAx} = \frac{1}{2} \int_{0}^{1} Tr(KP^{\lambda}) d\lambda \neq \frac{1}{2} \left[\sum_{m} \Omega_{m}^{RPAx} - Tr(A^{RPAx}) \right]$$
 (52)

If exchange added to kernel, i.e., $K = K^{x}$, then [Angyan et al. JCTC 7 (2011) 3116]

$$E_{c}^{\text{RPAx}} = \frac{1}{4} \int_{0}^{1} \text{Tr}\left(\mathbf{K}^{\mathbf{x}} \mathbf{P}^{\lambda}\right) d\lambda = \frac{1}{4} \left[\sum_{m} \Omega_{m}^{\text{RPAx}} - \text{Tr}\left(\mathbf{A}^{\text{RPAx}}\right) \right]$$
 (53)

ACFDT at the BSE level

BSE matrix elements

$$A_{ia,jb}^{\lambda,\text{BSE}} = \delta_{ij}\delta_{ab}(\varepsilon_a^{GW} - \varepsilon_i^{GW}) + \lambda \left[2(ia|bj) - W_{ij,ab}^{\lambda}(\omega = 0) \right] \qquad B_{ia,jb}^{\lambda,\text{BSE}} = \lambda \left[2(ia|jb) - W_{ib,ja}^{\lambda}(\omega = 0) \right] \tag{54}$$

$$E_{c}^{BSE} = \frac{1}{2} \int_{0}^{1} \text{Tr}\left(KP^{\lambda}\right) d\lambda \neq \frac{1}{2} \left[\sum_{m} \Omega_{m}^{BSE} - \text{Tr}\left(A^{BSE}\right) \right]$$
 (55)

λ -dependent screening

$$W_{pq,rs}^{\lambda}(\omega) = (pq|rs) + 2\sum_{m} (pq|m)^{\lambda} (rs|m)^{\lambda} \left[\frac{1}{\omega - \Omega_{m}^{\lambda,RPA} + i\eta} - \frac{1}{\omega + \Omega_{m}^{\lambda,RPA} - i\eta} \right]$$
 (56)

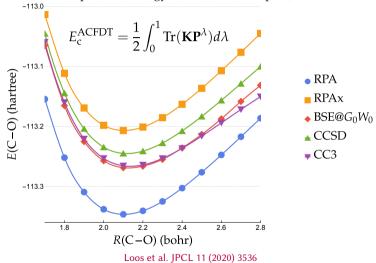
$$(pq|m)^{\lambda} = \sum_{i=1}^{n} (pq|ia) (\mathbf{X}_{m}^{\lambda, \text{RPA}} + \mathbf{Y}_{m}^{\lambda, \text{RPA}})_{ia}$$
 (57)

ACFDT in a computer

end procedure

ACFDT correlation energy from BSE procedure ACFDT for BSE Compute GW quasiparticle energies ϵ^{GW} and interaction kernel K Get Gauss-Legendre weights and roots $\{w_k, \lambda_k\}_{1 \le k \le K}$ $E_c \leftarrow 0$ for $k = 1, \ldots, K$ do Compute static screening elements $W_{pq,rs}^{\lambda_k}(\omega = 0)$ Perform BSE calculation at $\lambda = \lambda_k$ to get X^{λ_k} and $Y^{\lambda_k} > This is a <math>\mathcal{O}(N^6)$ step done many times! Form two-particle density matrix P^{λ_k} $E_c \leftarrow E_c + w_k \operatorname{Tr}(KP^{\lambda_k})$ end for

Ground-state potential energy surface of CO/cc-pVQZ



Useful papers/programs

- molGW: Bruneval et al. Comp. Phys. Comm. 208 (2016) 149
- Turbomole: van Setten et al. JCTC 9 (2013) 232; Kaplan et al. JCTC 12 (2016) 2528
- Fiesta: Blase et al. Chem. Soc. Rev. 47 (2018) 1022
- FHI-AIMS: Caruso et al. PRB 86 (2012) 081102
- Reviews & Books:
 - Reining, WIREs Comput Mol Sci 2017, e1344. doi: 10.1002/wcms.1344
 - Onida et al. Rev. Mod. Phys. 74 (2002) 601
 - Blase et al. Chem. Soc. Rev. , 47 (2018) 1022
 - Golze et al. Front. Chem. 7 (2019) 377
 - Blase et al. JPCL 11 (2020) 7371
 - Martin, Reining & Ceperley Interacting Electrons (Cambridge University Press)
- GW100: IPs for a set of 100 molecules. van Setten et al. JCTC 11 (2015) 5665 (http://gw100.wordpress.com)

