# Estimation of Channel Distribution Functions using a Neural Network

Peter Hartig

April 27, 2020

The channel state perspective

The optimization framework

Incorporating a Neural Network

Extension of ViterbiNet: Reduced

Simulation Results

#### **Outline**

#### The channel state perspective

The optimization framework

Incorporating a Neural Network

Extension of ViterbiNet: Reduced

Simulation Results

#### The Channel State

- Observations are made of some channel in a point-to-point communication system.
- ▶ For each observation, this channel takes on a state  $s[k] \in S$ .
- ▶ The true state s[k] is hidden by the addition of noise to an observation y[k].

## **Sampling Channel State**

Over many observations, the sequence  $\mathbf{y}$  corresponds to a sequence of channel states  $\mathbf{s} \in \mathcal{S}^{N}$ 



#### **Sampling Channel State**

Over many observations, the sequence  $\mathbf{y}$  corresponds to a sequence of channel states  $\mathbf{s} \in S^N$ 



For a channel represented by an LTI system, the state is determined entirely by the transmitted information  $\mathbf{x}$ .

## **Estimating the True Channel State**

#### Goal:

We attempt to estimate the true, hidden, sequence of channel states,  $\mathbf{s}$ , based the sequence of samples  $\mathbf{y}$ .

#### Note

Assume we known the number of channel states |S|

#### **Outline**

The channel state perspective

The optimization framework

Incorporating a Neural Network

Extension of ViterbiNet: Reduced

Simulation Results

 $\underset{\mathbf{s} \in S^N}{\text{maximize }} p(\mathbf{s}|\mathbf{y}).$ 

$$\underset{\mathbf{s}\in S^{N}}{\text{maximize }} p(\mathbf{s}|\mathbf{y}).$$

Using Bayes' theorem

$$p(\mathbf{s}|\mathbf{y}) = rac{p(\mathbf{y}|\mathbf{s})p(\mathbf{s})}{p(\mathbf{y})}$$

Noting that p(y) can be ignored

$$\underset{\mathbf{s} \in S^N}{\text{maximize }} p(\mathbf{s}|\mathbf{y}).$$

Using Bayes' theorem

$$ho(\mathbf{s}|\mathbf{y}) = rac{
ho(\mathbf{y}|\mathbf{s})
ho(\mathbf{s})}{
ho(\mathbf{y})}$$

Noting that p(y) can be ignored

$$\max_{\mathbf{s} \in S^N} p(\mathbf{y}|\mathbf{s}) p(\mathbf{s}) \tag{1}$$

$$\underset{\mathbf{s} \in S^N}{\mathsf{maximize}} \ p(\mathbf{y}|\mathbf{s})p(\mathbf{s})$$

$$\underset{\mathbf{s} \in S^N}{\mathsf{maximize}} \ p(\mathbf{y}|\mathbf{s})p(\mathbf{s})$$

Assuming

$$p(\mathbf{y}|\mathbf{s}) = \prod_{k=0}^{N-1} p(y[k]|\mathbf{s})$$

$$\underset{\mathbf{s} \in S^N}{\mathsf{maximize}} \ p(\mathbf{y}|\mathbf{s})p(\mathbf{s})$$

Assuming

$$p(\mathbf{y}|\mathbf{s}) = \prod_{k=0}^{N-1} p(y[k]|\mathbf{s})$$

and

$$p(y[k]|\mathbf{s}) = p(y[k]|s[k])$$

$$\underset{\mathbf{s} \in S^N}{\text{maximize }} p(\mathbf{y}|\mathbf{s})p(\mathbf{s})$$

Assuming

$$p(\mathbf{y}|\mathbf{s}) = \prod_{k=0}^{N-1} p(y[k]|\mathbf{s})$$

and

$$p(y[k]|\mathbf{s}) = p(y[k]|s[k])$$

this simplifies to

maximize 
$$\prod_{s \in S^N}^{N-1} p(y[k]|s[k]) p(s).$$

For the LTI channel

$$p(\mathbf{s}) = \\ p(s[N]|s[N-1]...s[0])p(s[N-1]|s[N-2]...s[0])...p(s[1]|s[0])p(s[0])$$

describes the consistency of transmitted symbols implied by the state sequence. The channel states of the LTI channel satisfy the Markov property

$$p(s[N]|s[N-1]...s[0]) = p(s[N]|s[N-1]]).$$

#### **Example with LTI channel - Continued**

With these assumptions,

maximize 
$$\prod_{s \in S^N} p(y[k]|s[k])p(s)$$

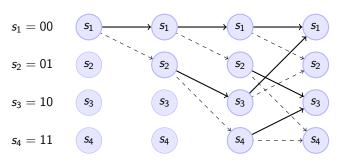
is equivalent to

minimize 
$$\sum_{s \in S^N}^{N-1} -log(p(y[k]|s[k])p(s[k]|s[k-1])).$$

For the LTI channel p(s[k]|s[k-1]) is 0 if states contradict transmission sequence, otherwise this term is constant.

## Viterbi Algorithm

minimize 
$$\sum_{k=0}^{N-1} -log(p(y[k]|s[k])p(s[k]|s[k-1])).$$



Example with channel impulse response length 2 and constellation size 2.

#### **Outline**

The channel state perspective

The optimization framework

Incorporating a Neural Network

Extension of ViterbiNet: Reduced

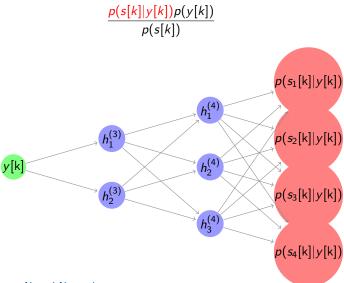
Simulation Results

The individual terms in

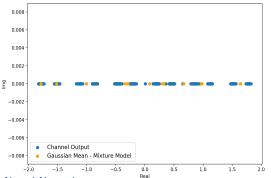
$$\underset{s \in S^{N}}{\text{minimize}} \sum_{k=0}^{N-1} -log(p(y[k]|s[k])p(s[k]|s[k-1])).$$

can be rewritten

$$p(y[k]|s[k])p(s[k]|s[k-1]) = \frac{p(s[k]|y[k])p(y[k])}{p(s[k])}p(s[k]|s[k-1]).$$



$$\frac{p(s[k]|y[k])p(y[k])}{p(s[k])}$$
$$p(y[k]) = \sum_{s_i \in \mathcal{S}} p(y[k], s_i)$$



$$\frac{p(s[k]|y[k])p(y[k])}{p(s[k])}$$

#### **Outline**

The channel state perspective

The optimization framework

Incorporating a Neural Network

Extension of ViterbiNet: Reduced

Simulation Results

#### **State Redundancy**

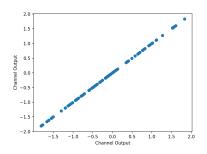


Figure: AWGN channel output for CIR = [1, .7, 0.4, 0.1] with SNR = 15 dB

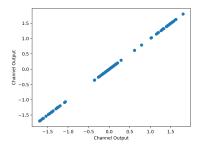


Figure: AWGN channel output for CIR = [1, 1, 0.15, 0.1] with SNR = 15 dB

## **Exploiting State Redundancy**

 Cluster some set of observed channel output into desired number of states

#### **Exploiting State Redundancy**

- Cluster some set of observed channel output into desired number of states
- 2. For states with ambiguous channel input, use majority decision

#### **Exploiting State Redundancy**

- Cluster some set of observed channel output into desired number of states
- 2. For states with ambiguous channel input, use majority decision
- 3. \*Choosing too few states will degrade performance

#### **Outline**

The channel state perspective

The optimization framework

Incorporating a Neural Network

Extension of ViterbiNet: Reduced

Simulation Results

Conclusion

Simulation Results 21

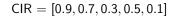
#### **Simulation System**

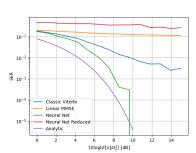
▶ BPSK with AWGN at receiver with SNR given by

SNR = 
$$\frac{E\{|x[k]|^2\}}{E\{|n[k]|^2\}}$$

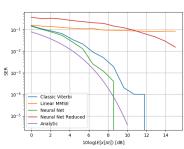
Impulse response is normalized.

#### **Detection Performance: LTI Channel**





$$CIR = [.9, 0, .0, .4, .7]$$



\*Reduced states uses 8 states in both figures above

#### **Detection Performance: Quantizer**

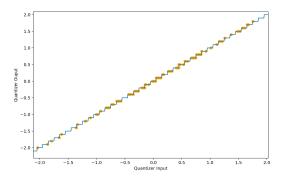
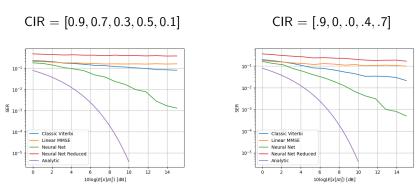


Figure: Quantizer input/output for AWGN channel with CIR = [0.9, 0.7, 0.3, 0.5, 0.1] (32 states) with SNR = 10 dB

Implementated by rounding down to chosen number of decimal places after adding noise at receiver.

Simulation Results 24

#### **Detection Performance: LTI Channel with Quantization**



<sup>\*</sup>Reduced states uses 8 states in both figures above

Simulation Results 25

<sup>\*</sup>Note that the "Classic" Viterbi is no longer using ideal metric here

#### **Outline**

The channel state perspective

The optimization framework

Incorporating a Neural Network

Extension of ViterbiNet: Reduced

Simulation Results

Conclusion

#### **Other Notes**

- ► Can be applied to other algorithms (BCJR).
- ► Generate training data for molecular communications channel and test on real data.

## Thank You.

## Questions or Comments?