

## COMMENT

# How the Bayesians Got Their Beliefs (and What Those Beliefs Actually Are): Comment on Bowers and Davis (2012)

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Bowers and Davis (2012) criticize Bayesian modelers for telling “just so” stories about cognition and neuroscience. Their criticisms are weakened by not giving an accurate characterization of the motivation behind Bayesian modeling or the ways in which Bayesian models are used and by not evaluating this theoretical framework against specific alternatives. We address these points by clarifying our beliefs about the goals and status of Bayesian models and by identifying what we view as the unique merits of the Bayesian approach.

*Keywords:* Bayesian inference, probabilistic models, theoretical frameworks, computational neuroscience

In their target article, Bowers and Davis (2012) present an extensive critique of Bayesian models of cognition. However, this critique makes general claims about Bayesian models based on careful selection of specific examples and has a singular focus on identifying the weaknesses of the Bayesian approach rather than considering its merits relative to other theoretical frameworks. As a consequence, the reader may come away with several misconceptions about the goals and status of Bayesian models of cognition, along with an overly pessimistic view of the prospects of this approach. In this comment, we attempt to correct these misconceptions and to identify what we see as the merits of the Bayesian approach over other theoretical frameworks for studying human cognition. We support our claims by appealing to many of the specific examples of Bayesian models cited by Bowers and Davis.

### Misconceptions About Bayesian Models

The arguments presented by Bowers and Davis (2012) paint a picture of Bayesian models (and Bayesian modelers) that we do not believe is accurate. In this section, we identify and address six misconceptions that a reader of their article could obtain.

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### The Goal of Bayesian Modeling Is to Show That People Are Optimal

Bowers and Davis (2012) focus on the idea that Bayesian modelers seek to show that people perform optimally at particular tasks. However, this is rarely the goal of Bayesian modeling. Rather, Bayesian models typically aim to provide explanations of human behavior. Identifying optimal solutions to computational problems posed by the environment and comparing these optimal solutions to human behavior is the tool that is used to yield these explanations. That the solutions are optimal licenses a particular kind of explanation—an explanation of cognition in terms of function, known as a “teleological explanation”—allowing us to assert that the match between the solution and human behavior may be why people act the way that they do.<sup>1</sup>

Comparing human behavior to optimal solutions does not imply a belief that people are actually computing those optimal solutions. Computing optimal solutions in complex tasks is simply not a viable hypothesis about how the mind or brain works. For instance, we have about 1,000 olfactory receptors, and we can recognize 1,000–10,000 odors. Performing inference over these variables for an arbitrary pattern of olfactory receptor activation is computationally intractable. The same problem occurs in most realistic problems of inductive inference, such as object recognition, diagnosing diseases, and interpreting sentences. Rather than providing evidence that people are computing the optimal solutions, a cor-

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<sup>1</sup> Note that the term *teleological explanation* does not imply that the evolution of neural mechanisms performing Bayesian computations will have been driven by the goal of performing those calculations. Rather, teleological explanation provides an account of why natural selection might favor one mechanism rather than another, as in the rest of biology.

respondence between these solutions and human behavior suggests that we should begin to explore approximate algorithms that can find decent solutions to these problems in reasonable time, as we discuss in detail below.

The authors distinguish between “Type 1” and “Type 2” answers to “why” questions, with Type 1 being the (apparently more common) claim that people are optimal in an unqualified sense, whereas Type 2 is the claim that people are optimal with respect to a set of assumptions. This distinction does not seem meaningful, as only Type 2 questions appear to be well posed. In other words, optimal behavior is not defined without a specification of the problem being solved, and the assumptions of the agent are a key part of any inductive problem. Because the assumption of optimality means the solution is fully determined by the problem, the content of any Bayesian model of cognition reduces to a set of claims about this problem.

Viewed in this light, a Bayesian model is just an empirical hypothesis, in the same way as models developed in other theoretical frameworks. Models can be evaluated against existing data, but should also be used to make new predictions that are tested in the laboratory. The main differences from other kinds of models are that (a) the content of the Bayesian model is a proposal about the problem that people are solving rather than a characterization of the mechanisms by which they might be solving it, and (b) the style of explanation is teleological rather than mechanistic.

This characterization of Bayesian models should make it clear that the Bayesian framework is a means of generating empirical hypotheses, rather than an assertion that people are optimal or that particular kinds of processes take place inside people’s heads. This approach seems close to what the authors refer to as “methodological Bayesianism,” which we believe is the dominant view among Bayesian modelers (as opposed to their “theoretical Bayesianism,” which, as we note below, may not exist).

In rare cases Bayesian models are used to argue that people behave optimally on a specific task. For example, Griffiths and Tenenbaum (2006) used a Bayesian model to argue that people accurately incorporate information about the distributions of different quantities in forming predictions. We agree with Bowers and Davis (2012) that making an argument of this kind requires strong constraints on the assumptions that go into such models. However, we also believe that such constraints are commonly used in cases where Bayesian models are used to argue for optimality. For example, Griffiths and Tenenbaum collected empirical estimates of the appropriate prior distributions and derived their model predictions directly from these estimated distributions.

### Bayesian Models Are Unfalsifiable and Overly Flexible

In evaluating claims about falsifiability, it is useful to distinguish between a *model* and a *theoretical framework*. A model is proposed to account for a specific phenomenon and makes specific assumptions in order to do so. A theoretical framework provides a general perspective and a set of tools for making models. For example, the Bayesian approach outlined above, connectionism (Rumelhart & McClelland, 1986), and symbolic cognitive architectures such as ACT-R (Anderson, 1993) are three theoretical frameworks, each of which can be used to define models of specific phenomena. Models are falsifiable, but frameworks are typically not. Rather, frameworks live or die based on their ability

to generate models that are useful. For a detailed discussion of the role of models and frameworks in science, see Lakatos (1970).

We believe that specific Bayesian models are readily falsifiable (or, at least, as falsifiable as any empirical hypothesis—any hypothesis can be “saved” by suitable ad hoc adjustments to other aspects of the theory; e.g., Duhem, 1914/1954; Putnam, 1974). But the general Bayesian approach, as with any scientific framework, is not. Frameworks provide the tools for building specific models, which can be assessed against observation and experiment. Frameworks, of whatever kind, cannot be falsified directly. Rather, they can be productive (i.e., creating models with novel predictions, corroborated by experiment; generating new lines of theoretical and empirical inquiry), or they can be unproductive (i.e., creating models that are continually in need of ad hoc patching and that generate few corroborating novel predictions, or fresh theoretical insights).

The charge of Bowers and Davis (2012) that the Bayesian framework is unfalsifiable is, therefore, misconceived: The same charge could be applied to the germ theory of disease, quantum mechanics, or the theory of evolution by natural selection. Moreover, lack of falsifiability is a characteristic shared by all other theoretical frameworks that have been proposed for modeling cognition. The idea that human cognition emerges from the interaction of simple components (e.g., Rumelhart & McClelland, 1986) does not yield falsifiable predictions; the same is true for the proposal that human cognition is symbolic computation (e.g., Newell & Simon, 1976). We *would* be worried about a lack of falsifiability if we viewed the Bayesian framework as making the unconditional assertion that people are optimal, but it should be clear from the preceding discussion that this is not the case. It may, however, explain some of the concerns of Bowers and Davis.

Turning to models rather than frameworks, Bowers and Davis (2012) argue that Bayesian models are unfalsifiable because of their flexibility in making different assumptions about priors, likelihoods, and utility functions. We agree that there are potentially many degrees of freedom in Bayesian models but disagree that there are more degrees of freedom than for other kinds of models. Connectionist models have a great deal of flexibility in the choice of architecture, learning algorithm, initialization, and training set. Symbolic cognitive architectures have potentially infinite degrees of freedom in the specification of production rules and a slightly more constrained set of degrees of freedom in the mechanisms used to select productions. As in other modeling frameworks, these degrees of freedom are constrained through the plausibility of different assumptions and the practice of evaluating models by running experiments to test novel predictions. Being careful about degrees of freedom and using appropriate procedures for comparing and testing models are important things to keep in mind for all forms of computational modeling; they do not constitute a problem that is specific to Bayesian models.

Part of the reason that the degrees of freedom of Bayesian models might seem salient is that they are unusually transparent. Using a Bayesian model requires declaring what the priors, likelihoods, and utility functions involved might be. Other modeling approaches implicitly have analogues of these degrees of freedom (e.g., the learning algorithm used in a neural network can be interpreted as a prior distribution on the weights; Mackay, 1995), but the assumptions that are made about their values are less apparent. Unprincipled assumptions in other models are rarely the

focus of explicit discussion. For example, connectionist models of word recognition generally set resting activations proportional to log frequency (e.g., Davis, 2010) or present words in proportion to the log of their frequency (Seidenberg & McClelland, 1989). Why choose a log function? The data show that ease of word recognition is generally inversely related to log frequency, so modelers choose a log function because it fits the data. Because the choice of function does not follow from any theoretical principles, modelers are free to choose any function they like. In contrast, a model like the Bayesian reader (Norris, 2006) explains the log frequency on the basis that the prior probability of a word can be approximated by the probability of the word as derived from word frequency counts.

### **Bayesian Modelers Believe That “Bayesian Processes” Underlie Human Cognition**

Bowers and Davis (2012) introduce the notion of a “theoretical Bayesian” as one who believes “that the mind carries out or approximates Bayesian computations at the algorithmic level, in some unspecified way” (p. 393). This is a broad definition, since anybody who believes that people behave in a way that is consistent with Bayesian inference on a given task must believe that the mind is somehow approximating the Bayesian solution. The position is clarified through the definition of a “Bayesian algorithm” as one that must “(a) store priors in the forms of probability distributions, (b) compute estimates of likelihoods based on incoming data, (c) multiply these probability functions, and (d) multiply priors and likelihoods for at least some alternative hypotheses” (p. 393). Under this definition, we suspect theoretical Bayesians (like the “Bayesian fundamentalists” of Jones & Love, 2011) may not exist (Chater et al., 2011).

Most Bayesian models of cognition are defined at Marr’s (1982) “computational level,” characterizing the problem people are solving and its ideal solution. Such models make no direct claims about cognitive processes—what Marr termed the “algorithmic level.” To use Marr’s analogy, a computational level analysis plays a role in explaining cognition similar to that played by a mathematical theory of aerodynamics in explaining bird flight. The theory of aerodynamics says nothing about the anatomical mechanisms of bone and muscle that support flight other than that they must produce a solution with particular properties. When explaining cognition, it is easier to confuse levels of analysis, given that Bayes’s rule can be viewed as a procedure for updating beliefs as well as a mathematical solution for what those beliefs should be. Nonetheless, most Bayesian models appeal to the mathematical solution rather than the algorithmic procedure.

Recently, advocates of Bayesian models have begun a more detailed exploration of how computational- and algorithmic-level models might relate (e.g., Shi, Griffiths, Feldman, & Sanborn, 2010; Vul, Goodman, Griffiths, & Tenenbaum, 2009). These approaches take the main constraint produced by successful computational-level analyses to be the idea that people must somehow be approximating Bayesian inference, and explore algorithms that have this property. However, these algorithms need not bear a direct resemblance to Bayesian inference or satisfy Points a–d in the definition above. For example, Shi et al. (2010) showed that certain classes of Bayesian models can be approximated with an exemplar model, a traditional form of psychological process

model. The idea behind this approximation is that people store examples of past events in memory, which act like samples from the prior, and then activate these stored exemplars based on their similarity to observed data, which acts like the likelihood function. Priors and likelihood functions appear in proving that this algorithm approximates Bayesian inference but disappear into familiar psychological notions of memory and similarity in defining the algorithm itself. Although this is just one example, we believe that there need be nothing intrinsically “Bayesian” about algorithms that approximate Bayesian inference.

The attractiveness of exploring approximate algorithms as hypotheses about cognitive processes is that they clarify what is being approximated and the nature of the approximation. The resulting models can be viewed as “heuristics,” but ones that we understand and can connect directly to optimal solutions, as opposed to the “bag of tricks” approach that is sometimes advocated as an alternative to Bayesian models (and by Bowers & Davis, 2012). We see the combination of optimal solutions and efficient approximation algorithms as a powerful set of tools for analyzing how people might solve intractable computational problems.

### **Bayesian Models Are Never Compared to Other Approaches**

One of the most serious charges of Bowers and Davis (2012) is that Bayesian modelers fail in their scientific duty to compare their models to competing explanations. We believe that there is, in some contexts, an argument as to why such an approach might be scientifically reasonable, but we also believe that the charge is largely false (and that this can be illustrated by some of the articles cited by Bowers and Davis).

As noted above, Bayesian models of cognition are typically defined at Marr’s (1982) computational level. As such, it is not clear that they are in competition with accounts proposed at other levels of analysis, making it less relevant to compare to these accounts. To return to the example of bird flight, we can imagine a physicist who has devised a model of bird flight based on aerodynamics and a biologist who has devised a model based on the properties of the muscles and bones in a bird’s wing. Both of these models make predictions about how birds fly. However, it is not obvious that the models should be compared in their fit or that one model should be rejected in favor of the other if it fits better.<sup>2</sup> The two models can both be valid, giving a characterization of the phenomenon at different levels of analysis; they are not in competition with one each other. However, the physicist’s model should be compared with other functional analyses, and the biologist’s model should be held up against other mechanistic models.

This argument suggests a reason why it might be appropriate not to compare Bayesian models with other models that are framed in terms of cognitive or neural processes. However, in practice such comparisons are extremely common. To take some examples from the articles cited by Bowers and Davis (2012), Norris (2006)

<sup>2</sup> In fact, it is possible to argue that one might expect the mechanistic account to provide a better fit regardless. A mechanistic account that actually characterizes the mechanisms correctly should fit better than the correct functional account, since the functional account will not be able to capture deviations due to the constraints provided by the mechanisms.

compared his Bayesian model to a number of non-Bayesian theories; Lewandowsky, Griffiths, and Kalish (2009) were explicitly motivated by a comparison with heuristic accounts; Oaksford and Chater (1994) and Feldman, Griffiths, and Morgan (2009) identified predictions that discriminated their account from other non-Bayesian explanations; and Ma, Navalpakkam, Beck, van den Berg, and Pouget (2011) used model comparison to test their Bayesian models against seven non-Bayesian alternatives.

### Probabilistic Population Codes Require Noisy Neurons

Bowers and Davis (2012) are remarkably assertive when it comes to evaluating the neural evidence for probabilistic inference. As far as they are concerned, there is no such evidence. To evaluate this claim, we first need to define what would constitute a neural Bayesian theory. As we discussed earlier, optimality is not the key concept. Instead, the central questions are as follows: Do neurons encode single values, or do they also encode information about the certainty of the stimulus? Can they represent probability distributions (or likelihood functions) even when they have multiple peaks? Moreover, do neural computations take into account these probability distributions? These are fundamental questions, particularly because almost all theories of neural computation proposed in the last 30 years have assumed that neurons encode single values.

We suspect that, even with this broader definition, Bowers and Davis (2012) would continue to assert that there is no evidence for probabilistic encoding in neural circuits. Indeed, they argue that one of the main theories of how neurons encode probability distributions (the probabilistic population code idea of Ma, Beck, Latham, & Pouget, 2006) is based on the assumption that neurons are noisy, which is problematic given that several laboratories have shown that neurons are a lot less noisy than previously suspected and may in fact be very reliable.

If one were to take the characterization of probabilistic population codes offered by Bowers and Davis (2012) at face value, a lack of variability in neurons would seem damning. However, contrary to what Bowers and Davis suggest, this theory is not based on the idea that neurons are stochastic devices and that internal noise is what allows them to encode probability distributions. Instead, following Marr (1982) and others, Ma et al. (2006) proposed that uncertainty comes from the nature of the computational problems faced by the brain: Sensory measurements are not sufficient to specify the value of the latent variables (such as the direction of motion of objects or their identity) with absolute certainty. For instance, recovering the three-dimensional structure of an image from random dot stereograms is an ill-posed problem, with an infinite number of solutions, even if the images themselves are noiseless. Importantly, this external uncertainty implies that the measurements must vary from trial to trial, not because of noise in the sensors, but because of variability in the world (e.g., there are infinitely many images corresponding to the concept of, say, “car”). This in turn generates variability in the response of the neurons. Such variability is not under the control of the nervous system (because it is not generated by the neurons themselves), but the nervous system gets to decide how to parameterize this uncertainty. The probabilistic population code described by Ma et al. provides a method for uncovering the parameterization used in the brain, based on the distribution of neural responses conditioned on

the latent variable (e.g., the variability in middle temporal neurons for a variety of objects moving, say, rightward).

Is this theory proven wrong if neurons turn out to be nearly deterministic devices? The answer is clearly no. It is critical not to confuse variability due to the external world, which is the focus of Ma et al. (2006), with variability due to internal neuronal noise. Whether neurons are stochastic is irrelevant to the probabilistic population code theory. There are plenty of other ways to test this theory, and the results so far are encouraging: Neural variability closely follows the distribution used by Ma et al. (Graf, Kohn, Jazayeri, & Movshon, 2011), and neurons combine their inputs across sensory modalities (Fetsch, Pouget, DeAngelis, & Angelaki, in press) and across time (Beck et al. 2008) in a way that takes into account the reliability of the encoded signals, as predicted by the probabilistic approach.

### Bayesian Modelers Do Not Believe Constraints From Biology and Evolution Are Important

One of the most puzzling assertions of Bowers and Davis (2012) is that the emphasis on computational-level analyses represented by Bayesian models of cognition implies “of course . . . that the findings from other domains (e.g., biology and evolution) will play a relatively minor role in constraining theories in psychology” (p. 406). This is by no means an obvious conclusion. Many Bayesian modelers share with Marr (1982) the belief that contributions to understanding the human mind are going to come from all three levels of analysis, even as they share with him the conviction that the computational level provides the most effective place to start understanding feats of inductive inference such as visual perception, understanding language, and learning causal relationships. The “function first” strategy highlighted by Griffiths, Chater, Kemp, Perfors, and Tenenbaum (2010) is not a function-only strategy, and it is not the only strategy that is likely to ultimately succeed. There are fundamental questions about the mind and brain that can only be answered at other levels of analysis, and the insights yielded by biology and evolution are going to play a key role in developing an integrated theory at all these levels.

### The Merits of the Bayesian Approach

Readers of Bowers and Davis (2012) might come away wondering why anybody would bother to make Bayesian models of cognition, as these models seem to provide few unique insights into the nature of the mind. In this section, we highlight some of the factors that we see as merits of the Bayesian approach when compared to other theoretical frameworks.

### Universal Laws

The teleological explanations yielded by Bayesian models of cognition are valuable not just because they satisfy our desire to answer why questions, but because they provide the foundation for universal laws of cognition—principles that we expect to hold true for intelligent organisms of any kind, anywhere in the universe. Shepard (1987) made a classic argument for the virtue of such laws and provided his candidate for the first such law—the universal law of generalization. This argument rested on a Bayesian analysis



of the problem of generalization (see Tenenbaum & Griffiths, 2001, for details).

### Deriving General Predictions

Bayesian models of cognition cast many different phenomena in a single framework, and Bayesian inference provides a general account of learning and memory that provides the basis for many specific models. As a consequence, predictions that result from mathematical analyses of models based on Bayesian inference potentially apply across a wide range of domains. A relevant example taken from the articles criticized by Bowers and Davis (2012) is the analysis of serial reproduction given by Xu and Griffiths (2010). Contrary to the discussion of this article by Bowers and Davis, the goal of this analysis was not to show that a rational account could be given of biases in reconstruction from memory (Huttenlocher, Hedges, & Vevea, 2000, had already provided such an account). Rather, the goal was to test a general prediction about how information should change when passed from person to person that resulted from assuming that human learning and memory could be modeled as Bayesian inference. This prediction—that information should change through transmission to come to match people's prior distributions (Griffiths & Kalish, 2007)—is expressed at a level of generality where it can be tested in any domain, with any kind of stimuli. This generality results from having a general account of learning and memory, in the form of Bayesian inference, and is valuable because it means that the same simple result can provide an explanation for aspects of language evolution (Griffiths & Kalish, 2007) as well as a new method for estimating people's prior distributions (Lewandowsky et al., 2009).

### Understanding Why Particular Mechanisms Work

Bowers and Davis (2012) object in several places that phenomena captured by Bayesian models were already explained by existing mechanisms or might easily be explained by appealing to intuitive mechanisms. For example, the phenomenon of reconstruction from memory explored by Xu and Griffiths (2010) and Huttenlocher et al. (2000) might be explained “as long as memory of an event is biased toward preexisting knowledge” (p. 400), and the analysis of the perceptual magnet effect by Feldman et al. (2009) is criticized as being consistent with a variety of algorithmic models. These complaints might reflect a particular set of beliefs about the goals of cognitive science, which can be illustrated through a simple thought experiment.

Imagine, at some point in the future, that cognitive scientists have succeeded in identifying the cognitive and neural processes that underlie all aspects of human behavior. Is the task of cognitive science now complete? We suspect that Bowers and Davis (2012) might say yes, but many Bayesian modelers would say no. Left open are questions about why these are the particular mechanisms that are used, whether there is a simple unifying theory that can explain them, and whether there are principles that can allow computers to behave similarly without instantiating the same cognitive and neural processes.

This thought experiment makes it clear how Bayesian models can be useful even in contexts where the psychological mechanisms are known. The Bayesian model of reconstruction from

memory indicates not just that information from the past should be used, but exactly how it should be used. This can be captured by a simple mechanism, but the mechanism is now no longer arbitrary. The analysis of the perceptual magnet effect likewise explains why several previous models were able to capture this effect: They were approximating the optimal solution to the problem. To be fair, the concern that Bowers and Davis (2012) expressed was that this analysis placed few constraints on possible mechanisms. It actually imposes a strong constraint: We should expect mechanisms that approximate Bayesian inference to be able to produce the perceptual magnet effect. It just happens that many of the mechanisms that decades of research in cognitive science have converged on do possess this property—something that is hardly an accident.

Note, though, that the thought experiment is, in most contexts, very far from reality. It seems to us just as inconceivable that the mechanisms of cognition can be understood in the absence of an understanding of their function as that we might be able to unravel the functioning of a pocket calculator without any notion that it is doing arithmetic. Given the large role that uncertainty plays in the problems that people need to solve, we believe that Bayesian analysis will be play a central role in specifying the function of different aspects of human cognition.

### Identifying Commonalities Between Different Mechanistic Accounts

Focusing on the abstract computational problems underlying human cognition can sometimes yield insights that are blurred when thinking purely in terms of mechanisms. In particular, this approach can make it possible to recognize the commonalities between existing theories, and to use this as the basis for identifying new theoretical approaches. One salient example is the analysis of category learning given by Ashby and Alfonso-Reese (1995), who showed that category learning could be analyzed as a problem of density estimation and that popular psychological process models such as exemplar and prototype models corresponded directly to different strategies for density estimation that had been explored by statisticians. This insight identifies a commonality between these different approaches and immediately provides access to a theoretical literature about the circumstances where one approach or the other might be expected to be more successful. Another example is the analysis of causal induction given by Griffiths and Tenenbaum (2005), who used causal graphical models to show that previous psychological models of causal induction had focused on the problem of estimating the strength of a causal relationship, neglecting the structural question of whether a relationship existed. Considering this question led to a novel model of causal induction that performed well in cases that were problematic for previous models.<sup>3</sup>

### Coherence

Bowers and Davis (2012) substantially underplay the centrality of coherence in cognition. When, say, judging the depth of a

<sup>3</sup> We note that this article provides another example of a case where a Bayesian model was extensively compared with competing accounts.

nearby tabletop, the cognitive system is not solving a singular problem, using a set of specialized tricks for that purpose. Rather, it builds a representation of the layout of the scene, of which the tabletop is a part, specifying the depths of locations and orientations of table, wall, desk, the viewer's own body, as well as the location of the vertical, origin of light sources, shadows, and so on. The components of this representation are not computed separately but interact in complex ways (that a manuscript occludes the surface of the desk indicates that it is closer than the desk; the orientation of the water surface in a drinking glass indicates that the desk is tilted; and so on). In short, the process of building a model of the world from a sensory stimulus cannot result from the operation of independent mechanisms drawn from a "bag of tricks" (Ramachandran, 1990).

Enforcing coherence constraints requires being able to determine which degrees of belief about particular aspects of the scene are (or are not) compatible. The rules of probability are precisely coherence constraints on degrees of belief. Indeed, a variety of formal arguments (e.g., Savage, 1954) show that violation of the laws of probability will lead to incoherent beliefs and actions. Thus, once we allow that the perception and cognition are concerned not merely with individual judgments, but building coherent models of the world, then a Bayesian analysis becomes close to inevitable. This approach is, indeed, widely applied in computer vision (e.g., Zhu, Chen, & Yuille, 2009).<sup>4</sup>

Coherence constraints are equally crucial in language understanding (e.g., the interpretation of each part of the speech signal, as well as background knowledge, will affect the interpretation of the rest). Similarly, coherence constraints are crucial in general knowledge. Suppose it were the case that we have special-purpose mechanisms for answering questions such as whether Berlin or Hamburg is the larger city (e.g., Gigerenzer & Goldstein, 1996). If so, we should presumably have, equally, special-purpose mechanisms for determining whether Berlin, Hamburg, etc., are capital cities, whether these cities have soccer teams, whether they are in the former East or West Germany, or, indeed, whether capital cities are generally larger than other cities, and so on. Yet, on the face of it, such special-purpose mechanisms seem liable to lead to incoherence. Why should we not simultaneously believe, for example, that Berlin is larger than Hamburg (because it is a capital city), but that capital cities are generally smaller than other cities? Or suppose that we employ a different special-purpose algorithm to estimate the number of inhabitants of a city (e.g., Hertwig, Hoffrage, & Martignon, 1999). Might this not lead to contradictory conclusions concerning the relative size of two cities? A key attraction of Bayesian methods is that they provide a principled way of establishing coherence constraints and avoiding such inferential chaos.

Similarly, we suggest that an important consideration for cognitive science is that the brain is not concerned merely with answering independent "one-off" questions (for which special-purpose mechanism might be applicable), but with building a coherent general-purpose model of the external world that can be used to deal with a vast range of (potentially unforeseen) questions and challenges. Bayesian models provide a way to explain how our rich and (at least locally and partially) coherent probabilistic knowledge is used in, and the sophisticated and flexible inferences we make about, the world.

## Probability Matching as a Case Study

The one topic for which Bowers and Davis (2012) explicitly identify an alternative to Bayesian models is in their treatment of probability matching, which they view as posing a challenge to accounts that assume a rational relationship between beliefs and behavior. They correctly point out that when presented with multiple alternatives with different probabilities of producing a reward, a rational agent should always choose the alternative with the highest probability. Instead, people choose alternatives with frequencies proportional to their probabilities. Bowers and Davis propose a mechanism to explain why this might occur in a sequence of choices, based on a neural network that implements a "win-stay, lose-shift" strategy of repeating a choice until it fails to produce a reward.

Probability matching is an interesting choice of example, as it is one of the phenomena (together with order effects; Kruschke, 2006) that have played a key role in explorations of possible mechanisms for approximating Bayesian inference. In particular, probability matching is what one might expect if people sample from a probability distribution over alternatives. Many Bayesian models make the assumption that this is how people select responses, based on a long tradition of behavioral models of choice (e.g., Luce, 1959). However, these models reveal a more subtle pattern than simply matching the probabilities of rewarded outcomes: In many experiments, people seem to be probability matching to the posterior distribution produced by the Bayesian model. For example, in the data of Griffiths and Tenenbaum (2006), the distribution of people's predictions was similar to the posterior distribution produced by the Bayesian model. Goodman, Tenenbaum, Feldman, and Griffiths (2008) found the same pattern for the rules selected by participants in a category-learning task.

Probability matching to the posterior is a more challenging phenomenon to explain than probability matching to the frequency of reward. In particular, it requires a mechanism that approximates Bayesian inference. Vul et al. (2009) proposed an explanation for this phenomenon based on the idea that people might be making decisions based on a single sample from a posterior distribution. This can be shown to be a reasonable strategy when the value of a correct decision is low relative to the cost of generating samples. This account also addresses the move toward deterministically selecting the highest probability outcome that Bowers and Davis (2012) raise as an issue for analyses based on sampling, as greater computational resources or greater value for a correct decision should result in generating more samples and thus favor higher probability outcomes. A variety of simple cognitive processes can be used to obtain samples from posterior distributions, including the exemplar model approach of Shi et al. (2010) introduced above and an algorithm that is based on the win-stay, lose-shift principle (Bonawitz, Denison, Chen, Gopnik, & Griffiths, 2011). The sim-

<sup>4</sup> Coherence constraints can be embodied mechanistically in, for example, constraint-satisfaction algorithms, as used in both symbolic and connectionist models (e.g., Rumelhart & McClelland, 1986; Waltz, 1972). Such algorithms are implementations of (approximations to) Bayesian methods, rather than alternative approaches. Note that recent technical developments, such as Bayesian graphical models (e.g., Pearl, 1988, 2000), have provided a richer understanding of such algorithms than was previously available.

ilarity of this approach to that proposed by Bowers and Davis suggests that the gap between Bayesian and non-Bayesian models may not be as large as it might appear.

### Conclusion

Bowers and Davis (2012) summarize their argument (somewhat ironically) through an application of Bayes's rule. In the same ironic vein, we believe that there are several reasons why it is inappropriate to appeal to Bayes's rule in this case. First, the hypothesis that people are optimal is not something that even the most fervent Bayesian believes. Its prior probability, and hence its posterior probability, should be zero. Second, the alternative hypotheses are not specified. Despite chastising Bayesian modelers for failing to compare to alternative accounts, Bowers and Davis do not identify specific frameworks to which the approach can be compared or attempt to evaluate the merits of these frameworks. Finally, and perhaps most importantly, the hypotheses being evaluated are not mutually exclusive, and the problem that we want to solve is not determining which of these hypotheses is true. Rather, the question is how we as scientists should organize our efforts. Different theoretical frameworks, such as Bayesian modeling, connectionism, and production systems, have different insights to offer about human cognition, distributed across different levels of analysis. A connectionist model and a Bayesian model of the same phenomenon can both provide valuable information—one about how the brain might solve a problem, the other about why this solution makes sense—and both could well be valid. The ultimate test of these different theoretical frameworks will be not whether they are true or false, but whether they are useful in leading us to new ideas about the mind and brain, and we believe that the Bayesian approach has already proven fruitful in this regard.

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## REPLY

# Is That What Bayesians Believe? Reply to Griffiths, Chater, Norris, and Pouget (2012)

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Griffiths, Chater, Norris, and Pouget (2012) argue that we have misunderstood the Bayesian approach. In their view, it is rarely the case that researchers are making claims that performance in a given task is near optimal, and few, if any, researchers adopt the theoretical Bayesian perspective according to which the mind or brain is actually performing (or approximating) Bayesian computations. Rather, researchers are said to adopt something more akin to what we called the *methodological Bayesian* approach, according to which Bayesian models are statistical tools that allow researchers to provide teleological explanations of behavior. In our reply we argue that many Bayesian researchers often appear to be making claims regarding optimality, and often appear to be making claims regarding how the mind computes at algorithmic and implementational levels of descriptions. We agree that some Bayesian theorists adopt the methodological approach, but we question the value of this approach. If Bayesian theories in psychology and neuroscience are only designed to provide insights into teleological questions, we expect that many readers have misunderstood, and hence there is a pressing need to clarify what Bayesian theories of cognition are all about.

**Keywords:** Bayes, Bayesian, optimal, algorithm, cognition

Bayesian theories in psychology and neuroscience have generated a great deal of excitement in recent years. A topic search of Web of Knowledge lists 51 articles published in *Psychological Review* in response to the term *Bayesian*, and 35 of these were published between 2005 and 2011. In response to the same search term, 14 out of 17 articles from *Nature Neuroscience* and 22 out of 24 articles in *Current Biology* were published since 2005. In our view, this excitement is related to the many strong and surprising claims about how we achieve near-optimal performance in a wide range of tasks in all variety of domains, from low-level vision to memory, language, reasoning, and motor control.

However, Griffiths, Chater, Norris, and Pouget (2012) argue that we have misunderstood the Bayesian approach. In their view, it is rarely the case that researchers are making the claim that performance in a given task is near optimal, and few, if any, researchers adopt the *theoretical Bayesian* perspective, according to which the mind or brain is actually performing (or approximating) Bayesian computations. Rather, researchers are said to adopt something more akin to what we called the *methodological Bayesian* approach, according to which Bayesian models are statisti-

cal tools that can be used to constrain theories: If behavior can be captured with a Bayesian model, it allows researchers to make teleological explanations about *why* people act the way that they do.

We find these claims regarding optimality and the theoretical Bayesian perspective surprising and remain unconvinced regarding the merits of the methodological Bayesian approach. Below we briefly consider these and related issues.

### Bayesian Theories and Optimality

The claim that Bayesian researchers seek to show that people are optimal in a given task is hard to reconcile with the many statements in the literature, such as the quotes at the start of our target article (Bowers & Davis, 2012). One only has to look at the titles of many articles to understand where we and others have formed the impression that advocates of Bayesian models are highlighting the optimality of human performance in various domains (e.g., Ernst & Banks, 2002; Faisal & Wolpert, 2009; Feldman, Griffiths, & Morgan, 2009; Norris, 2006). In any case, in order to even get started on a Bayesian analysis, it has to be assumed that we are (near) optimal. As Griffiths et al. (2012) put it, the fact that “solutions are optimal licenses a particular kind of explanation . . . known as a ‘teleological explanation’ ” (p. 415). Although Griffiths et al. emphasize teleological explanations, in practice the success of a Bayesian model is taken as evidence for *both* teleological explanations and optimality claims.

Indeed, it is not always clear that the teleological explanation being offered is anything other than that human performance is near optimal. For example, consider the Bayesian reader model that we discussed in the target article (Bowers & Davis, 2012).

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Norris (2006) wrote: "Both the general behavior of the model and the way the model predicts different patterns of results in different tasks follow entirely from the assumption that human readers approximate optimal Bayesian decision makers" (p. 327). This passage appears to highlight the importance of optimality per se, rather than any other teleological explanation. Indeed, the assumptions (priors, likelihood functions) do not appear to constitute a key theoretical claim. For instance, in order for the model to capture human performance in the lexical decision task, Norris assumed that there are "background" and "virtual nonwords" that are stored in memory, and the task of the participant is to decide whether a given input is closer to a word or a virtual nonword. A subsequent version of the model adopted another method for making lexical decisions, but in neither case were the likelihood functions presented as a central claim. Similarly, the Bayesian reader included word frequency as its prior, but again, this was not considered a key claim, as Norris noted that the prior could incorporate other factors such as age of acquisition if the data demanded it. What is constant across the iterations of the Bayesian reader model is the claim that word identification is near optimal. Or to give another example, to make their Bayesian model of motion perception better match human performance, Weiss, Simoncelli, and Adelson (2002) added a "gain control" function that mapped stimulus contrast into perceived contrast. The authors did not highlight this aspect of their model, and indeed, it does not appear to constitute a core part of their theory. Rather, the emphasis was placed on the claim that motion perception is near optimal, as reflected in the title of their article ("Motion illusions as optimal percepts").

Furthermore, even when theorists place teleological explanations at the forefront and relegate optimality claims to the background, it is not at all clear that a successful Bayesian model provides strong evidence for a given set of priors or likelihoods. That is, it is quite likely that another teleological explanation (another set of likelihoods and priors) will allow another Bayesian model to account for the data just as well (cf. Jones & Love, 2011). In other words, the success of a given model may be nothing more than a "just-so story." In the target article we gave numerous examples in which Bayesian theories were built post hoc around the data.

Griffiths et al. (2012) take issue with our claim that Bayesian models have more degrees of freedom than non-Bayesian models and describe our claim regarding the unfalsifiability of the Bayesian *framework* as misconceived. However, we never claimed that Bayesian models have more degrees of freedom compared to alternative approaches. In fact, we readily acknowledge the free parameters in all models, noting simply that "we have emphasized the flexibility of Bayesian models because it is often assumed (and claimed) that Bayesian models are more constrained than the non-Bayesian alternatives" (p. 397). We agree that when evaluating the Bayesian framework, the critical question is whether Bayesian models are productive or unproductive. Answers to this question can only be determined in the standard manner: namely, by comparing models and determining which of them provides a more parsimonious account of a wider range of data (as well as which models make novel predictions). As we detailed in Bowers and Davis (2012), Bayesian models are rarely compared to alternative theories (we explicitly claimed "rarely" rather than "never"), and when models are compared, the Bayesian models are often compared to "straw man" theories (e.g., Lewandowsky,

Griffiths, & Kalish, 2009). The common failure to compare Bayesian to non-Bayesian models can easily lead to just-so stories in which performance is described as near optimal. For example, Wozny, Beierholm, and Shams (2010) argued that probability matching can be explained as near optimal on the assumption that participants are looking for predictable patterns. What they did not consider, however, is that the pattern can be explained by a suboptimal adaptive network approach that we discussed in some detail.

### Theoretical Bayesians

Jones and Love (2011) challenged the *fundamentalist Bayesian* perspective, according to which theories are developed through "rational analysis" as described by Anderson (1990). That is, a theory is developed at Marr's computational level through a consideration of the problem and the environment, with little consideration of the underlying mechanisms (at the level of the brain) that support performance. This was clearly Anderson's approach, who wrote that the goal of rationalist theory "is to predict behavior from the structure of the environment rather than the structure of the mind" (Anderson, 1991, pp. 473–474), and that a rationalist theory "focuses us on what is outside the head rather than what is inside" (Anderson, 1990, p. 23). Nevertheless, in a response to Jones and Love, Chater et al. (2011) wrote: "Bayesian Fundamentalism is purely a construct of [Jones and Love's] imagination" (p. 194).

In Bowers and Davis (2012) we challenged a more modest interpretation of Bayesian theorizing, which we called the theoretical Bayesian approach. From this view, modelers are free to constrain their theories using behavioral data, biology, and the like. The key point is that theoretical Bayesians are making not only teleological claims (answering *why* questions) but also claims about how the mind and brain work at the algorithmic and implemental levels of description. Or as Chater, Oaksford, Hahn, and Heit (2010) wrote: "Bayesian methods . . . may bridge across each of Marr's levels of explanation" (p. 820). Nevertheless, according to Griffiths et al. (2012), there are few, if any, theoretical Bayesians either.

However, the claim that there are few, if any, theoretical Bayesians is hard to reconcile with many relevant statements in the literature. For instance, how are we to interpret the Körding and Wolpert (2006) quote at the start of Bowers and Davis (2012)? Again, one need only to look at the titles of many articles and books to understand where we got the impression that theorists often claim that the mind and brain actually perform (or approximate) Bayesian computations (e.g., Doya, Ishii, Pouget, & Rao, 2007). In addition, the frequent references to neuroscience provide clear evidence that researchers often take a theoretical Bayesian perspective (see Bowers & Davis, 2012).

Consider a recent article by Tenenbaum, Kemp, Griffiths, and Goodman (2011). These authors claimed that Bayesian models should be considered proposals about how to answer three central questions: (1) How does knowledge guide learning and inference? (2) What form does knowledge take? (3) How is knowledge acquired? That is, the authors appear to be focusing more on *how* rather than teleological *why* questions. The answers of Tenenbaum et al. to these questions appear to constitute algorithmic claims regarding representations and processes:

Bayesian models typically combine richly structured, expressive knowledge representations (question 2) with powerful statistical inference engines (questions 1 and 3), arguing that only a synthesis of sophisticated approaches to both knowledge representation and inductive inference can account for human intelligence. (p. 1279)

Similarly, Tenenbaum et al. appear to take a theoretical Bayesian position when they write:

Much ongoing work is devoted to pushing Bayesian models down through the algorithmic and implementation levels. The complexity of exact inference in large-scale models implies that these levels can at best approximate Bayesian computations, just as in any working Bayesian AI system. (p. 1284)

Given that Bayesian AI (artificial intelligence) systems typically make estimates of priors and likelihoods, multiply these probability functions, and multiply priors and likelihoods for at least some alternative hypotheses, it is not clear how to reconcile the above quote with Griffiths et al. (2012), who claim that there are few, if any, theoretical Bayesians. Perhaps we have misinterpreted Tenenbaum et al. (2011), among others, but we expect we are not the only ones.

### Methodological Bayesians

The rapid progress in mathematics and computer science has allowed Bayesian analyses to be applied to a range of questions in psychology and neuroscience, but we question the value of these analyses if it is also assumed that the algorithms and mechanisms that mediate behavior are non-Bayesian. Putting aside the above concerns regarding post hoc theorizing, it is not clear how a detailed characterization of the optimal solution is an important advance over standard (non-Bayesian) adaptive solutions. Bayesian and adaptive solutions to a problem will inevitably be highly correlated (e.g., both Bayesian and non-Bayesian theories will take advantage of past learning—i.e., priors). The optimal solution will generally differ in detail from an adaptive solution (e.g., a solution derived from a neural network), but then, human performance generally differs in detail from optimal solutions. Griffiths et al. (2012) suggest that observing a correspondence between an optimal solution and human behavior “suggests that we should begin to explore approximate algorithms that can find decent solutions to these problems in reasonable time” (p. 416). But we would argue that this type of exploration is already being undertaken by computational modelers of cognition, without necessitating a prior Bayesian analysis.

Of course, if there were cases in which a Bayesian model identified a counterintuitive solution to a problem—or better, made a novel prediction that current non-Bayesian models miss—then the model would be making an important contribution. But this is rarely the case. Or if Bayesian models were needed in order to make teleological explanations, we would agree that the approach is essential. But it seems to us that stronger teleological conclusions can be made with traditional non-Bayesian process models. That is, the teleological explanations derived from processing models consider the role that algorithms play in explaining why performance is as it is.

### Bayesian Neuroscience

Given that Griffiths et al. (2012) reject the view that the brain is actually performing (or approximating) Bayesian computations, it

is surprising that they take issue with our claim that there is little or no evidence from neuroscience in support of Bayesian theories. They consider our conclusion “remarkably assertive,” but our position should not be considered so extreme given that two of the key advocates of the Bayesian coding hypothesis wrote: “The neurophysiological data on the [Bayesian coding] hypothesis, however, is almost non-existent” (Knill & Pouget, 2004, p. 712). Accordingly, our strong claims seem well justified when considering the data collected up to that point. To support our conclusion, we looked at more recent evidence and found little or no reason to update this view. Our conclusion regarding the neuroscience is not so different from that of Vilares and Körding (2011), who wrote in a recent review of Bayesian models:

Finally, we want to point out that there is currently some disconnection between Bayesian theories and experimental data about the nervous system. While there are many theoretical proposals of how the nervous system might represent uncertainty, there is not much experimental support for any of them. (p. 35)

The main criticism of Griffiths et al. (2012) about our analysis is that we assumed that the Bayesian coding hypothesis depends on the intrinsic noisiness of neurons, which they take as a significant misreading of Ma, Beck, Latham, and Pouget (2006). However, as Ma and Pouget (2009) wrote: “An important aspect of population codes is the stochastic nature of neuronal responses” (p. 749), and this internal noise is thought to provide ambiguities that are addressed through Bayesian solutions (e.g., Orbán & Wolpert, 2011). Furthermore, contrary to Griffiths et al., we did not suggest that the Bayesian coding hypothesis rests on the idea that neurons are stochastic devices. Rather, we wrote: “Of course, even if single neurons are more reliable than assumed by Ma et al. (2006), this does not rule out the claim that collections of neurons compute in a Bayesian-like fashion” (Bowers and Davis, 2012, p. 404).

There is one way in which a Bayesian theory of neural computation might have stronger support: that is, if Bayesian theories are characterized so broadly as to encompass almost any theory of neural signaling. For example, Knill and Pouget (2004) also wrote:

This is the basic premise on which Bayesian theories of cortical processing will succeed or fail—that the brain represents information probabilistically, by coding and computing with probability density functions or approximations to probability density functions. . . . The opposing view is that neural representations are deterministic and discrete. . . . (p. 713)

This is the same point that Griffiths et al. (2012) make when they say the critical question for Bayesian theory of neuroscience is whether neurons code single values or probability distributions. If we understand this characterization of Bayesian neural processing, all that is required is that a given input produces a pattern of activation across a set of neurons, and this pattern (rather than the discrete output of single neurons) influences higher levels of processing before a decision is made. We would agree that there is long-standing evidence for this supposition, both behavioral and neurobiological. Indeed, the claim that cognitive and neural representations are deterministic and discrete (the “non-Bayesian” approach) is adopted by no one, as far as we are aware. The view that graded signals pass on their signal to higher levels of processing is a common claim in most theories in psychology that goes by the name of “cascaded processing.”

## Conclusions

What is clear from the recent debate started by Jones and Love (2011) and continued by us is that there is a good deal of confusion about what theoretical claims are being advanced by Bayesian modelers. Jones and Love thought that many Bayesian theorists were claiming that much about the mind could be understood by studying what is outside the head (i.e., the environment and the task) rather than what is inside the head, but this Bayesian fundamentalist position was strongly rejected by leading Bayesian theorists. We thought that claims regarding optimality were novel and central to the Bayesian approach, and that many Bayesian theorists were claiming that cognition and behavior were supported by Bayesian-like algorithms, but this theoretical Bayesian perspective has also been rejected by Griffiths et al. (2012). There seems to be agreement that there are Bayesian theorists who adopt what we refer to as a methodological Bayesian approach, although there is disagreement as to the promise of this approach (of which we are doubtful). We also suspect that a clear statement of the methodological Bayesian position would have generated far less excitement than a theoretical Bayesian perspective that researchers may have been (mis)understood to be embracing. Given the recent disagreements regarding the fundamentalist and theoretical Bayesian perspectives (and whether these views even exist), there is a pressing need to clarify what Bayesian theories of cognition are all about.

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