

Enumerative Combinatorics

Lecture Notes

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Abstract

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Contents

1	Introduction	1
1.1	Words	1
1.2	Permutations	2
1.3	Merry-go-rounds and Fermat's little theorem	2
1.4	Binomial coefficients	2
1.5	The Pascal triangle	2
1.6	Exercises	2
2	Permutations and binomial coefficients	3
2.1	The cab driver problem	3
2.2	Balls in boxes and multisets	3
2.3	Integer compositions	3
2.4	Principle of inclusion and exclusion	3
2.5	The derangement problem	3
2.6	Exercises	3
3	Linear recurrences - The Fibonacci sequence	4
3.1	Fibonacci's rabbit problem	4
3.2	Fibonacci numbers and the Pascal triangle	4
3.3	Domino tilings	4
3.4	Linear recurrence relations	4
3.5	The characteristic equation	4
3.6	Linear recurrence relations of order 2	4
3.7	The Binet formula	4
3.8	Linear recurrence relations of arbitrary order	4
3.9	The case of roots with multiplicities	4
3.10	Exercises	4
4	A nonlinear recurrence: many faces of Catalan numbers	5
4.1	Triangulations of a polygon	5
4.2	Recurrence relation for triangulations	5
4.3	The cashier problem	5
4.4	Dyck paths	5
4.5	Recurrence relations for Dyck paths	5
4.6	Reflection trick and a formula for Catalan numbers	5
4.7	Binary trees	5
4.8	Exercises	5

5	Generating functions: a unified approach to combinatorial problems - Solving linear recurrences	6
5.1	Generating functions: first examples	6
5.2	Formal power series	6
5.3	When are formal power series invertible?	6
5.4	Derivation of formal power series	6
5.5	Binomial theorem for negative integer exponents	6
5.6	Solving the Fibonacci recurrence relation	6
5.7	Generating functions of linear recurrence relations are rational	6
5.8	Solving linear recurrence relations: general case	6
5.9	Exercises	6
6	Generating function of the Catalan sequence	7
6.1	Composition of formal power series	7
6.2	Derivation and integration of formal power series	7
6.3	Chain rule - Inverse function theorem	7
6.4	Logarithm - Logarithmic derivative	7
6.5	Binomial theorem for arbitrary exponents	7
6.6	Generating function for Catalan numbers	7
6.7	Exercises	7
7	Partitions - Euler's generating function for partitions and pentagonal formula	8
7.1	Definition and first examples	8
7.2	Young diagrams	8
7.3	Generating function for partitions	8
7.4	Partition with odd and distinct summands	8
7.5	Sylvester's bijection	8
7.6	Euler's pentagonal theorem	8
7.7	Proof of Euler's pentagonal theorem	8
7.8	Computing the number of partitions via the pentagonal theorem	8
7.9	Exercises	8
8	Gaussian binomial coefficients	9
8.1	Generating function for partitions inside a rectangle	9
8.2	q -binomial coefficients: definition and first properties	9
8.3	Recurrence relation for q -binomial coefficients	9
8.4	Explicit formula for q -binomial coefficients	9
8.5	Euler's partition function	9
8.6	q -binomial coefficients in linear algebra	9

8.7	q -binomial theorem	9
8.8	Exercises	9

1 Introduction

We start by discussing fundamental combinatorial constructions such as words of a fixed alphabet, permutations of finite sets and the number of subsets with a given number of elements. While we give some examples of how some combinatorial problems naturally arise, our main goal in this first section is to provide an intuitive introduction to the topic of Enumerative Combinatorics.

1.1 Words

The first definition of this lecture is the definition of a word over a finite alphabet of symbols.

Definition 1.1 (word). Let A be a finite set, called *alphabet*. A *word* is a finite sequence of elements of A . The *size* of A is its cardinality $|A|$. The *size* or *length* of a word is its number of elements from A .

We might abuse language to a certain extent and call the elements of A *letters*.

Example 1.2. Let $A = \{1, 2, 3, 4\}$, then 112, 132, 1234, 111, 2345, and so on are all words over A . Notice that the length of the words is not fixed.

In the study of combinatorics a very classical question is as follows. Given an alphabet A of length $|A| = n$, how many words of length k consisting of n letters exist? This question leads us to the following theorem.

Theorem 1.1. The number of words of length k in an alphabet consisting of n letters is equal to n^k .

Proof. There are n possibilities for the first letter. The same holds for all other letters. Thus, the total number of words equals

$$\underbrace{n \cdot n \cdot n \cdots n}_{k\text{-times}} = n^k$$

□

The above proof is fairly simple, but there is a different possible interpretation of this problem. Let X and Y be sets. We might ask: what is the total number of maps of the form $X \rightarrow Y$ without restrictions (an element in Y can be hit multiple times)? It appears that this question can be solved using theorem 1.1. For seeing this, we number the elements of X and Y using natural numbers. Given a map $f : X \rightarrow Y$, the sequence $f(1), f(2), \dots, f(k)$ forms a word of length k . The number of words is therefore equivalent to the number of such maps.

1.2 Permutations

1.3 Merry-go-rounds and Fermat's little theorem

1.4 Binomial coefficients

1.5 The Pascal triangle

1.6 Exercises

2 Permutations and binomial coefficients

Hello

2.1 The cab driver problem

2.2 Balls in boxes and multisets

2.3 Integer compositions

2.4 Principle of inclusion and exclusion

2.5 The derangement problem

2.6 Exercises

3 Linear recurrences - The Fibonacci sequence

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3.1 Fibonacci's rabbit problem

3.2 Fibonacci numbers and the Pascal triangle

3.3 Domino tilings

3.4 Linear recurrence relations

3.5 The characteristic equation

3.6 Linear recurrence relations of order 2

3.7 The Binet formula

3.8 Linear recurrence relations of arbitrary order

3.9 The case of roots with multiplicities

3.10 Exercises

4 A nonlinear recurrence: many faces of Catalan numbers

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4.1 Triangulations of a polygon

4.2 Recurrence relation for triangulations

4.3 The cashier problem

4.4 Dyck paths

4.5 Recurrence relations for Dyck paths

4.6 Reflection trick and a formula for Catalan numbers

4.7 Binary trees

4.8 Exercises

5 Generating functions: a unified approach to combinatorial problems - Solving linear recurrences

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- 5.1 Generating functions: first examples
- 5.2 Formal power series
- 5.3 When are formal power series invertible?
- 5.4 Derivation of formal power series
- 5.5 Binomial theorem for negative integer exponents
- 5.6 Solving the Fibonacci recurrence relation
- 5.7 Generating functions of linear recurrence relations are rational
- 5.8 Solving linear recurrence relations: general case
- 5.9 Exercises

6 Generating function of the Catalan sequence

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6.1 Composition of formal power series

6.2 Derivation and integration of formal power series

6.3 Chain rule - Inverse function theorem

6.4 Logarithm - Logarithmic derivative

6.5 Binomial theorem for arbitrary exponents

6.6 Generating function for Catalan numbers

6.7 Exercises

7 Partitions - Euler's generating function for partitions and pentagonal formula

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7.1 Definition and first examples

7.2 Young diagrams

7.3 Generating function for partitions

7.4 Partition with odd and distinct summands

7.5 Sylvester's bijection

7.6 Euler's pentagonal theorem

7.7 Proof of Euler's pentagonal theorem

7.8 Computing the number of partitions via the pentagonal theorem

7.9 Exercises

8 Gaussian binomial coefficients

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- 8.1 Generating function for partitions inside a rectangle
- 8.2 q -binomial coefficients: definition and first properties
- 8.3 Recurrence relation for q -binomial coefficients
- 8.4 Explicit formula for q -binomial coefficients
- 8.5 Euler's partition function
- 8.6 q -binomial coefficients in linear algebra
- 8.7 q -binomial theorem
- 8.8 Exercises