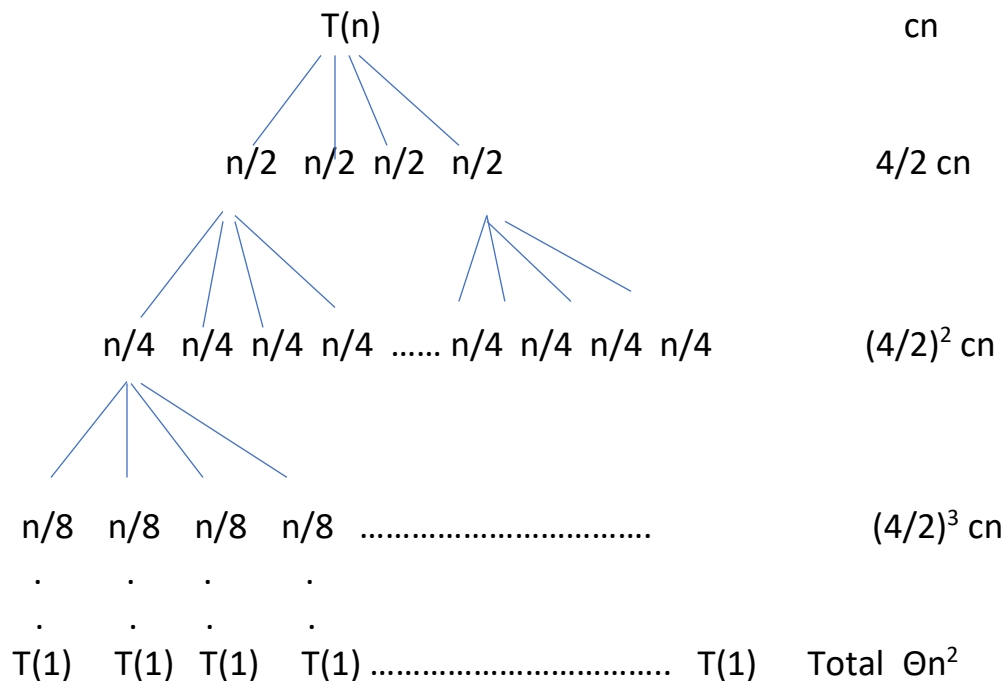


**Problem 1** (2 points) Solving Recurrence Relations

Draw the recursion tree for  $T(n) = 4T(\lfloor n/2 \rfloor) + cn$ , where  $c$  is a constant, and provide a tight asymptotic bound on its solution. Verify your bound by the substitution method.

**Recurrence Tree:** A recurrence tree is a tree where each node represents the cost of a certain recursive sub-problems. Then we sum up the numbers in each node to get the cost of the entire algorithm.



Height of tree =  $\log n$

Cost of each level =  $2^i cn$

**By using Master Theorem we calculated asymptotic bound:**

$T(n) = a T(n/b) + \Theta(n^k \log^p n)$  where  $a \geq 1$ ,  $b > 1$ ,  $k \geq 0$ ,  $P$  is real number

here  $a=4$ ,  $b=2$ ,  $k=1$ ,  $p=0$

$a > b^k$  so  $T(n) = \Theta(n \log^4 2)$

$T(n) = \Theta(n^2)$

**Proof:**

$T(n) = 4T(n/2) + cn$  equation - 1

$T(n/2) = 4T(n/4) + cn/2$  equation - 2

$$T(n/4) = 4T(n/8) + cn/4 \quad \text{equation - 3}$$

Substitute equation 2 in 1 we will get

$$\begin{aligned} T(n) &= 4[4T(n/4 + cn/2] + cn \\ &= 16T(n/4) + 3cn \\ &= 4^2T(n/2^2) + 3n \end{aligned}$$

Substitute equation 3 in above equation

$$\begin{aligned} T(n) &= 16T[4T(n/8) + cn/4] + cn \\ &= 64T(n/8) + 7cn \\ &= 4^3T(n/2^3) + 7n \end{aligned}$$

By observing pattern, we found out

$$\begin{aligned} T(n) &= 4^i T(n/2^i) + tcn \\ &= 4^{\log_2 n} T(1) + tcn \\ &= n \log_2^4 + tcn \\ &= n^2 + tcn \\ T(n) &= O(n^2) \end{aligned}$$

$$\text{Let } n/2^i = 1 \Rightarrow n = 2^i \Rightarrow \log_2 n = i$$

### Another method:

Guess:  $T(n) \leq a(n^2 - n)$        $a$  is constant

$$\begin{aligned} T(n) &= 4T(\lfloor n/2 \rfloor) + cn \leq 4T(n/2) + cn \leq 4a((n/2)^2 - n/2) + cn \\ &= an^2 - 2an - cn \\ &= an^2 - (2a - c)n \quad \text{when } a > c \\ T(n) &\leq a(n^2 - n) \end{aligned}$$

### Problem 2 (2 points) Solving Recurrence Relations

Give asymptotic upper and lower bounds for  $T(n)$  in each of the following recurrences:

$$(1) T(n) = 8T(n/3) + n^2$$

By using Master Theorem:  $T(n) = aT(n/b) + \Theta(n^k \log^p n)$

$$a=8, b=3, k=2, p=0$$

we found out that  $a < b^k$  and  $p = 0$  so  $T(n) = O(n^k)$

$$T(n) = O(n^2)$$

$$(2) T(n) = T(n-1) + \log n$$

$$\text{Solution: } T(n) = T(n-1) + \log n$$

$$T(n-1) = T(n-2) + \log(n-1)$$

$$T(n-2) = T(n-3) + \log(n-2)$$

By substituting the values of  $T(n-1)$  in  $T(n)$  we get:

$$T(n) = T(n-2) + \log(n-1) + \log n$$

By substituting the values of  $T(n-2)$  in above equation we get:

$$T(n) = T(n-3) + \log(n-2) + \log(n-1) + \log n$$

$$= T(n-3) + \log((n-2)(n-1)n)$$

We found out the pattern and get

$$T(n) = T(1) + \log n!$$

$$= \log(n/e)^n$$

$$= n(\log n - \log e)$$

$$= n(\log n - 1.44n)$$

$$T(n) = \Theta(n \log n)$$

**Problem 3** (4 points) Building a Heap using Insertion (Problem 6 – 1, p. 166 –167)

We can build a heap by repeatedly calling MAX-HEAP-INSERT to insert the elements into the heap.

Consider the following variation on the BUILD-MAX-HEAP procedure:

BUILD-MAX-HEAP'(A)

1  $A.heap-size = 1$

2 **for**  $i = 2$  **to**  $A.length$

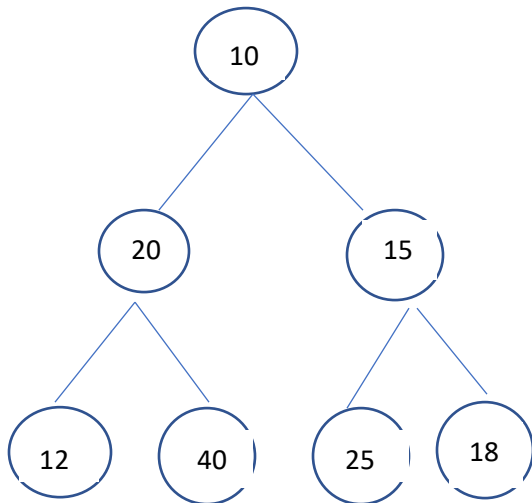
3 MAX-HEAP-INSERT(A, A[i])

- a. Do the procedures BUILD-MAX-HEAP and BUILD-MAX-HEAP' always create the same heap when run on the same input array? Prove that they do or provide a counterexample.

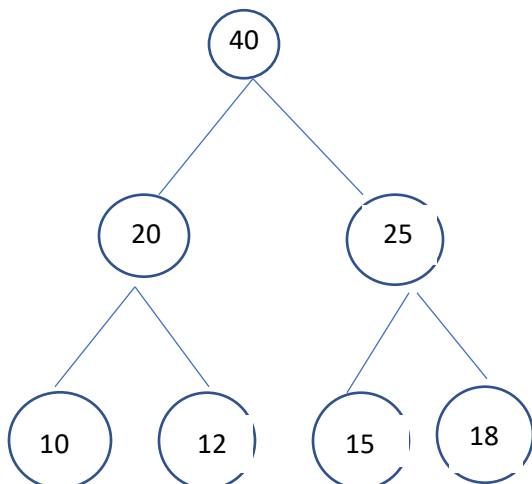
Answer: The procedures BUILD-MAX-HEAP and BUILD-MAX-HEAP' do not always create the same heap when run on the same input array.  
Consider the following counterexample:

10	20	15	12	40	25	18
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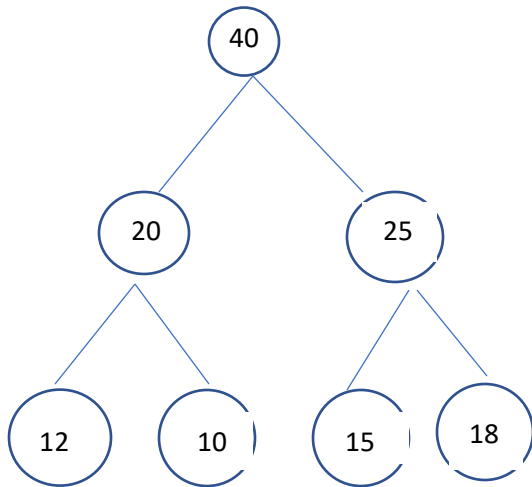
Binary Tree:



BUILD-MAX-HEAP:



BUILD-MAX-HEAP':



b. Show that in the worst case, BUILD-MAX-HEAP' requires  $\Theta(n \lg n)$  time to build an  $n$ -element heap.

**Answer:** The initial heap size is 1 and the size is incremented by one after each call to MAX-Heap-Insert. The worst-case running time for Max-Heap-Insert is  $\Theta(\lg(\text{heap-size}))$ . Thus, the worst-case running time  $T(n)$  for Build-Max-Heap' is

$$\begin{aligned}
 T(n) &= \sum_{i=1}^{n-1} \Theta(\lg i) \\
 &= \Theta\left(\sum_{i=1}^{n-1} (\lg i)\right) \\
 &= \Theta(\lg(n-1)!) \\
 &= \Theta(\Theta(n \lg n)) \\
 &= \Theta(n \lg n)
 \end{aligned}$$