

# **Library Collections Policy**

Printed copies are for reference only. Please refer to the electronic copy in the Policy and Procedure Manager (PPM), the electronic policy management system (EPMS), to ensure you are referring to the latest version.

## Purpose:

The primary purpose of the University Library (Library) collection is to support and enhance the scholarly and clinical practice activities of Murdoch University staff and students. The Library collection has been developed since the foundation of Murdoch University in 1974.

The physical resources accessible from the Library's locations as well as the electronic resources accessible through the Library's network are treated as a single collection using standard principles and practices for description and access.

This document sets out the principles that inform and guide the development and maintenance of the Library's collection of information resources.

#### Audience:

Staff, Students, tenants, contractors, visitors, public

## **Objectives:**

- To develop and maintain a single integrated collection of scholarly information resources, described by standard metadata, which is internationally available via major search engines, and national and international databases.
- To enable Library staff to make consistent purchasing and related decisions about material to be added to the Library collection.
- To enable Library staff to make retention and deselection decisions regarding material within the Library collection.
- To ensure that the Library allocates collection funds in a consistent, structured and cost-effective manner.

### **Definitions:**

Any defined terms below are specific to this document. The definition of common terms appears in the Murdoch University Dictionary of Terms.

- "Library" means University Library.
- "University" means Murdoch University.
- "Print disability" means a difficulty or inability to read printed material due to a perceptual, physical or visual disability.

 "Scholarly and clinical practice" means activities that encompass the teaching, learning, research and clinical practice of the University.

## **Roles and Responsibilities:**

Different levels of University staff have different levels of responsibility in relation to this policy as outlined below:

- The Director, Library and Student Services is responsible for the Library Collections Policy (*policy*) and its periodic review.
- Library staff have primary responsibility for evaluating, selecting and reviewing resources to support scholarly and clinical practice at the University.
- Academic staff are encouraged to be involved in the selection of library resources and are expected to recommend those resources needed to support their research and teaching. Academic staff are also encouraged to be involved in any significant reviews of the Library's collections.
- All Library clients and all Library staff are able to recommend the purchase of relevant resources.
- Library staff will work to provide equitable access to required resources for persons with disability.

## **Policy Statement:**

#### 1. Selection

### 1.1 Purpose

Information resources, whether purchased or donated, are selected for the Library collections to support and enhance existing or future scholarly and clinical practice needs of the staff and students of the University. Academic staff who are initiating new teaching developments or embarking on new areas of research are strongly encouraged to meet with Library staff to discuss the availability of relevant resources and the ways in which the library can provide support.

### 1.2 Subject Level Collection Statements

The Library will maintain Subject Level Collection statements that set out the current level of collecting activity for a subject's research and teaching needs, in addition to the extent of the existing collection within a subject area. These statements will be used to guide selection decisions.

#### 1.3 Preference for Electronic Resources

In order to provide the most equitable access to the Library collection for University staff and students, the Library will acquire resources in electronic format whenever and wherever possible, except where this option is economically unviable or where licensing conditions do not meet Library requirements.

At times, print or physical materials may remain the preferred option, for example if:

- 1.3.1 There is no electronic version available to the Library at an acceptable price and/or within a reasonable timeframe.
- 1.3.2 The electronic version does not provide for either perpetual access to the purchased text, or timely and continuing updating of the subscribed text.
- 1.3.3 The electronic journal archive is not owned by the University for use in perpetuity (cancellation of print subscriptions is contingent upon satisfactory archiving and ongoing access to purchased electronic information, including publisher commitment to technological migration).
- 1.3.4 The electronic journal back file is not equivalent in coverage or content to the print back issues because issues are missing or content is selective rather than complete.
- 1.3.5 The image quality of illustrative materials (tables, graphs, photos, illustrations, musical notation, scripts other than English, etc.) in electronic books or journals is inferior and is not adequate for teaching, learning or research, or printing gives unacceptable results.
- 1.3.6 There is a quality requirement for audio-visual materials that the electronic version cannot consistently meet.
- 1.3.7 The item acquired is a preservation copy, for a special collection, or is significant in its own right in print format.
- 1.3.8 A print copy of a book is needed to meet equity and accessibility requirements.

#### 1.4 Prescribed Texts

A priority for the Library is the provision of access to texts prescribed for courses offered by the University. Electronic texts are purchased in preference to print if available in acceptable formats.

Prescribed and recommended textbooks, as specified by Unit Coordinators, are supplied from the University's identified textbook supplier according to a formula for multiple copies determined by the Library. The provision of these texts by the Library is not intended to replace student acquisition of personal copies of their prescribed or recommended texts.

## 1.5 Resources Budget

The Library's collection resources budget is determined as part of the University's annual budget process and is allocated and managed by the Library.

The Library uses this budget allocation to acquire resources by purchase and subscription and funds resource sharing programs and eBook demand or usage driven purchasing models.

The Library will actively pursue the cost effective purchase of materials.

The purchase of duplicate copies is kept to a minimum.

In allocating the collection resources budget, the Library gives priority to:

- 1.5.1 Ongoing commitments and subscriptions.
- 1.5.2 The acquisition of textbooks and recommended readings for all units taught at the University.
- 1.5.3 Resources that support the University's scholarly and clinical practice.

#### 1.6 Collection Valuation

Regular valuations of the Library collections will be conducted in compliance with the University's risk management requirements.

### 1.7 Special Collections

The Library's Special Collections contain rare, valuable, significant and unique archival and published resources of significance to the University's scholarly and clinical practice. For policy relating to Special Collections, please refer to the <u>Special Collections Policy</u>.

## 1.8 Digital Collections

The Library collects digital items where they are relevant to the scholarly and clinical practice of the University.

#### 1.8.1 Online Readings

The Library will, where possible, make available electronic copies of journal articles and book chapters cited on student reading lists. Access will be limited to Murdoch University staff and students.

The following guidelines apply:

- 1.8.1.1 Preference will be given to linking to existing licensed information resources rather than making a new electronic copy and storing locally.
- 1.8.1.2 All copying must comply with the educational copying provisions of the Australian Copyright Act and with University policy.
- 1.8.1.3 Electronic copies will be made using Optical Character Recognition (OCR) software where possible.

### 1.8.2 Examination Papers

The Library will provide online access for all exam papers released by the University, or supplied individually by Unit Coordinators, in a timely manner.

A collection (current year plus previous 5 years) of electronic exampapers will be maintained.

#### 1.8.3 Theses and Research Outputs

The Library manages the University's digital institutional repository. It aims to collect, preserve and make openly available the scholarly output of Murdoch University researchers and students.

Research outputs that will be accepted into the Research Repository include:

- 1.8.3.1 Publications where at least one author is affiliated with Murdoch University.
- 1.8.3.2 Research theses undertaken at Murdoch University.
- 1.8.3.3 Internship reports produced by final year undergraduate students.

Where students are required to deposit a digital copy of their thesis or dissertation with the Library, these will become part of the Library's collection. Requirements for deposit are outlined in the following procedures:

<u>Graduate Research Degrees Procedure</u> Clause 58.5 Honours Procedure Clause 7.6

## 1.8.4 Digitisation

The University Library identifies uniqueness and priority for the digitisation of materials to support the University's learning, teaching and research's programs. The digital collections will be visible, available and accessible to Murdoch University and the wider academic community as far as copyright or donor restrictions permit. The digitisation of collections will also ensure that rare, unique and fragile academic collections are digitally preserved.

#### 1.9 Donations

The Library appreciates the benefits of donations in enriching our collections to support the scholarly and clinical practice needs of the University community. Donations will be accepted for inclusion in the collections on the basis of relevance to the University's scholarly and clinical practice programs and in accordance with the Library's criteria for selection. Either the Coordinator, Special Collections or the Manager, Research and Resources, will make the decision on whether resources are consistent with the University's teaching and research activities, in consultation with relevant Library staff.

All donations must be accompanied with the corresponding donation form (<u>Published</u> and <u>Unpublished material</u>). Donations will be assessed based on location, retention, storage, cataloguing and preservation of the materials or other considerations relating to their use or disposition, are at the discretion of the Library. Unsolicited donations will not be assessed and will be returned or disposed.

The requests for special arrangements for donations (such as locating the donated items somewhere other than the Main Collection) will be considered and subject to approval by the Director Library and Knowledge Management Services. The University cannot guarantee that it can or will honour the requested conditions to apply to the donation; however, it will make all reasonable endeavours to do so. The Library reserves the right to decline or dispose of donations.

## 1.9.1 Cultural Gifts Program

Murdoch University is one of the participating institutions of the Cultural Gift Program administered by the Department of Communications and the Arts. The Cultural Gift Program encourages people to donate cultural significant items to public Australian Collections. The program offers tax incentives to donors including:

- 1.9.1.1 The market value of the gift is fully tax deductible, with some exceptions.
- 1.9.1.2 The donor can choose to spread the deduction across up to five income years.
- 1.9.1.3 Gifts are exempt from capital gains tax.
- 1.9.1.4 If the donor arranges for valuations for their gift, the cost of those valuations is tax deductible.

For more information, please contact the Manager, Research and Resources.

#### 1.10 Staff Publications

The Library aims to collect books published by staff during their employment at Murdoch. Staff members are encouraged to notify the Library of any eligible publications.

## 1.11 Freely Available Resources

Library staff may select freely available, quality online resources for inclusion in the Library Catalogue. These are included on the basis of relevance to the University's learning, teaching and research programs and in accordance with the Library's criteria for selection.

### 2. Access

The Library supports the principles of intellectual freedom as set out in the Australian Library and Information Association's 'Statement on free access to information' (https://www.alia.org.au/about-alia/policies-standards-and-guidelines/statement-free-access-information).

Library collections may be accessed by staff and students of the University. Other groups may also access the collections in accordance with vendor licences.

Library collections are made available on unrestricted access whenever possible; however, access to certain materials may be mediated where:

- Items are high-use materials supporting current teaching;
- Space constraints do not permit unrestricted access;
- Items are identified as valuable or rare; or
- The physical nature or content of the material makes it vulnerable to damage or theft.

## 2.1 Resources Not Available in the Library Collection

The Library aims to hold a core collection to support the University's existing and future scholarly and clinical practice needs; however, purchasing resources for the collection may not always be the most appropriate. In these cases, the Library makes use of reciprocal borrowing and resource sharing arrangements for students and staff with other academic institutions.

In addition, the Library meets the cost of supplying items through interlibrary loan and document delivery for staff and postgraduate students.

The Library will attempt to provide access to titles that are out-of-print or otherwise unavailable, where required for the University's scholarly and clinical practice needs. This will be subject to format availability and copyright law.

## 2.2 Materials for Persons with Print Disability

The Library aims to provide electronic resources in formats that are accessible to persons with print disability.

If the Library does not have access to a required resource in a suitable format, students with print disability may request the assistance of Library staff to source the text in an alternate format. The following guidelines apply:

- 2.2.1 The Library will liaise with external providers, where applicable, in order to meet the student's requirements.
- 2.2.2 Students may be required to purchase the required text directly from the supplier or publisher or other provider.
- 2.2.3 Any copying of print materials must comply with the copying provisions of the Australian Copyright Act's Fair Dealing provisions for persons with a disability.

#### 3. Collection Review

The Library's collection is reviewed on an ongoing basis as part of routine collection maintenance in order to maintain relevant University research-level collections. Reviews are carried out by Library staff, with academic staff consultation for significant reviews, and are informed by the Subject Level Collection Statements.

#### 3.1 Criteria for Review

Items are primarily reviewed based on their content. If an item meets the content criteria, criteria related to usage and format will then be considered in deciding how the Library will provide access to the content.

#### 3.1.1 Content criteria:

- 3.1.1.1 Relevance to current and future areas of scholarly and clinical practice.
- 3.1.1.2 Accuracy of content.
- 3.1.1.3 Currency of content depending on discipline.

- 3.1.1.4 Murdoch University author.
- 3.1.1.5 Murdoch University publication.
- 3.1.1.6 Author of national or international significance.
- 3.1.1.7 The work is fundamental or expected in a University collection.
- 3.1.1.8 Nature and extent of the existing subject collection.

#### 3.1.2 Format criteria

- 3.1.2.1 Physical condition.
- 3.1.2.2 Usage.
- 3.1.2.3 Availability of later edition/s unless earlier edition/s demonstrate high usage or historical significance.
- 3.1.2.4 Holdings include surplus multiple copies not borrowed in the last 3 years.
- 3.1.2.5 Holdings include duplication across print and electronic formats, where electronic format guarantees archival and long term access.
- 3.1.2.6 Availability of updated formats.
- 3.1.2.7 Incomplete sets of works which cannot be used if they are incomplete.

### 3.1.3 Holdings in Other Libraries

A title not held elsewhere in Australia may be retained in support of scholarship and research, depending on subject requirements and also format and physical condition.

## 3.1.4 Format

Superseded formats may require replacement or reformatting. The criteria for review above will be applied to all formats of library material.

#### 3.1.5 Electronic books

The following additional factors are taken into consideration when reviewing electronic books:

- 3.1.5.1 The item contains information that is dangerous to keep in circulation, is outdated, or has been superseded.
- 3.1.5.2 The item is an older edition of a title.
- 3.1.5.3 The item is replaced with an identical title on a different platform where the older copy has a restrictive licence, e.g. 1 user.

#### 3.1.6 Subscriptions

Every year, the Library will review subscriptions and databases as part of the annual renewal process, especially where there are concerns about costs, licensing issues and/or usage patterns.

## 3.1.6.1 Electronic Subscriptions

The following additional factors are taken into consideration when reviewing electronic subscriptions:

- 3.1.6.1.1 Duplication of titles across packages.
- 3.1.6.1.2 Impact and quality of titles.
- 3.1.6.1.3 Content provider publisher versus aggregator.
- 3.1.6.1.4 Number of required resources in a package.
- 3.1.6.1.5 Perpetual access provisions.
- 3.1.6.1.6 Available substitutes.

## 3.1.6.2 Print Subscriptions

The following additional factors are taken into consideration when reviewing print serial, journal, newspaper, magazine or periodical titles:

- 3.1.6.2.1 Current subscription status of titles.
- 3.1.6.2.2 Completeness of set.
- 3.1.6.2.3 Physical condition and binding of set.

### 3.1.6.3 Electronic vs Print Journals

Subscribed print journals are reviewed upon renewal for availability in a suitable electronic format with perpetual access provisions. If such a format is available, the title will be transferred to an electronic subscription. If no suitable electronic format is available, the subscription will be continued in print.

### 3.1.6.4 Superseded formats and editions

Print versions of items available in suitable perpetualaccess electronic formats will be deselected unless there is a valid reason to retain them.

#### **Performance Indicators:**

- 90% of all items required by undergraduates are held in the collection.
- 100% of items on Library-managed unit reading lists are available to students
- Averaged responses to the following statements in the periodic Library InSync Survey have a gap between the Importance and Performance means of less than 0.9:
  - Physical resources (e.g. books, journals, DVDs) meet my learning and research needs.
  - Online resources such as online articles, databases, ebooks are useful for my studies and help me with my learning needs.

- Online reading list resources (e.g. My Unit Readings) are accessible when I need them.
- o The Library anticipates my learning and research needs.
- Access to Library information resources has helped me to be successful at university.

### **Related Documents:**

Adding Out-of-print/Unavailable Works to the Library Collections under <u>Copyright Act</u> <u>1968</u> (Cth) s.50

**Library Client Service Charter** 

## References:

There are no references.

## **Approval and Implementation:**

Approval Authority:	Director, Library and Student Services			
Responsible Officer(s):	Manager, Research & Projects			
	Manager, Learning & Engagement			
Approval Authority for supporting procedures:	There are no supporting procedures.			
Approval Authority for supporting guidelines:	There are no supporting guidelines.			
Approval Authority for supporting standards:	There are no supporting standards.			
Contact Officer:	Manager, Research & Projects			
	Manager, Learning & Engagement			

## **Revision History:**

Approved/ Amended/ Rescinded	Date Approved	Effective Date	Next Review Date	Resolution No. (if applicable)
Approved	11/06/2019		11/06/2022	
Approved	12/11/2015		12/11/2018	
Approved	29/06/2012		29/06/2015	