## Real-Time Motion Estimation: Optical Flow - Feature Point Tracking

Jenn-Jier James Lien (連 震 杰) Professor

Computer Science and Information Engineering National Cheng Kung University

> (O) (06) 2757575 ext. 62540 jjlien@csie.ncku.edu.tw http://robotics.csie.ncku.edu.tw

### **Major Issues**

#### 1. Template matching

- 1) 0 order/moment = texture (grayvalue or color)
- 2) 1<sup>st</sup> order/moment/derivation = gradient component
  - > Optical flow for sub-pixel matching

## 2. Optimization Using Sum of Squared Difference (SSD) min $E = \sum [Ax - b]^2$ using 1st order Taylor series expansion

Ax = b': estimation value. b: ground truth, min E = min  $\sum [b-b']^2$ 

#### 3. Hessian matrix

- 1) Aperture problem
- 2) Texture or textureless judgment
- 3) Uncertainty

#### Solution/Optimization of Homogenous Matrix

- 1. Closed Form Solution:
  - $Ax = 0 \implies A^tA = Covariance Matrix => PCA => Smallest >= 0 eigenvalue => eigenvector$
- 2. Pseudo Inverse:

$$Ax = b, x = (A^{T}A)^{-1}(A^{T}b)$$

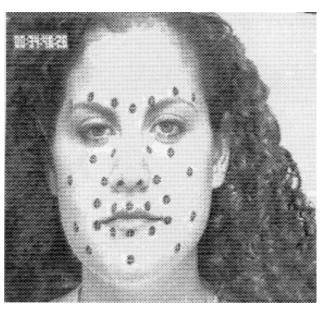
- 3. Sum of Squared Difference: (max likelihood exponential term) min  $E = \sum [Ax b]^2$ 
  - 3.1 Ax = b': estimation value. b: ground truth,  $E = \sum [b b']^2$ 
    - a. Initial value estimation => Pseudo Inverse (linear approach)
    - b. L-M (non-linear approach)
      - b.1 First order Taylor series expansion
      - **b.2** 2<sup>nd</sup> order Taylor series expansion (sensitive to noise)
  - 3.2 Ax = b': estimation value. b'': estimation value,  $\mathbf{E} = \sum [\mathbf{b''} \mathbf{b'}]^2$ 
    - a. EM (Expected-Maximization), initial b = average value

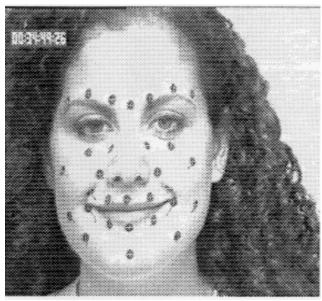
Machine learning for prediction

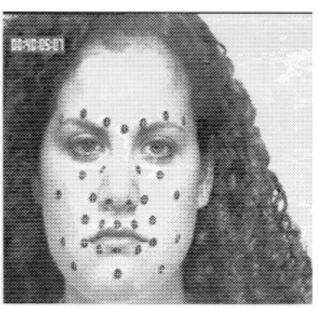
4. Lagrange Approach (outlier)

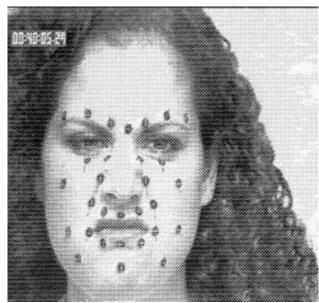
min 
$$\mathbf{E} = \sum [\mathbf{A}\mathbf{x} - \mathbf{b}]^2 + \lambda \frac{(\mathbf{x}^2 + \mathbf{y}^2)^2}{\text{constraint}}$$

## 1.0 Feature/Dot Tracking: Plastic Surgery

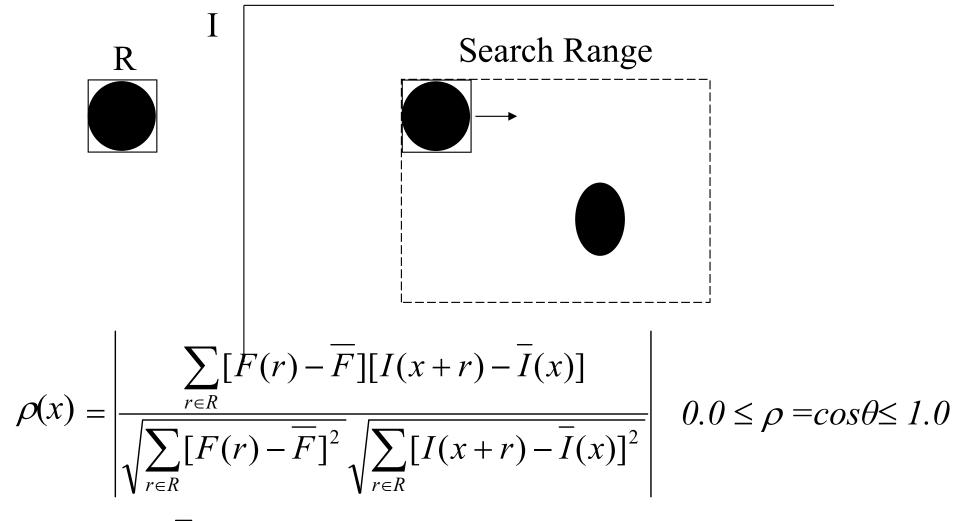








#### **Template Matching: Correlation Coefficient**



Why minus  $\overline{I}(x)$  and minus  $\overline{F}$ ?

(Ex: Gaussian distribution Normalization)

Why divided standard deviation?

#### Disadvantage/Inaccurate of Feature/Dot Tracking

- ☐ Feature/Dot is easily deformed.
- ☐ Feature/Dot is affected by the reflections/specular due to lighting.
- ☐ Computational time is slow when the number of feature/dots and search regions are increased.
- ☐ Mismatching when features/dots are closer to each other.

#### **Template Matching**

- Matching (similarity measure) => Likelihood Probability
  - 1. Texture → Feature maps extracted from Deep Learning
    - > 0 order
    - > Pro: Stable
    - > Con: Sensitive to lighting
  - 2. Shape
    - > 1st order
    - ➤ Pro: Not sensitive to lighting
    - Con: Sensitive to noise
  - 3. Color
    - > RGB => HSV => Histogram domain
    - Pro: Fast to extract ROI (Region of interest)
    - Con: Confuse by similar background color
  - 4. RGB-D

## Template Matching: Search Range, Window Size and Pyramid

- ☐ Search range
- Window size
  - > Large window size
    - >> Contain more texture + structure information
    - » Sensitive to distorted information
    - » Slow
  - > Small window size
    - » Contain less texture + structure information
    - » Not sensitive to distorted information
    - » Fast
- Pyramid
  - > Speed up from N<sup>2</sup> to N log N
  - Large tracking distance by extending search range

#### **Matching Methods:**

#### 1.1 Sum-Square-Difference

- ☐ SIFT
- ☐ HOG

#### 1.2.1 Correlation Matrix:

- 1) X1\*X2
- 2) X1\*X2 / (Lo\_X1\*Lo\_X2)

#### 1.2.2 Covariance Matrix:

- 1) (X1-mX1)\*(X2-mX2)
- 2) (X1-mX1)\*(X2-mX2)/(Lo\_X1\*Lo\_X2)

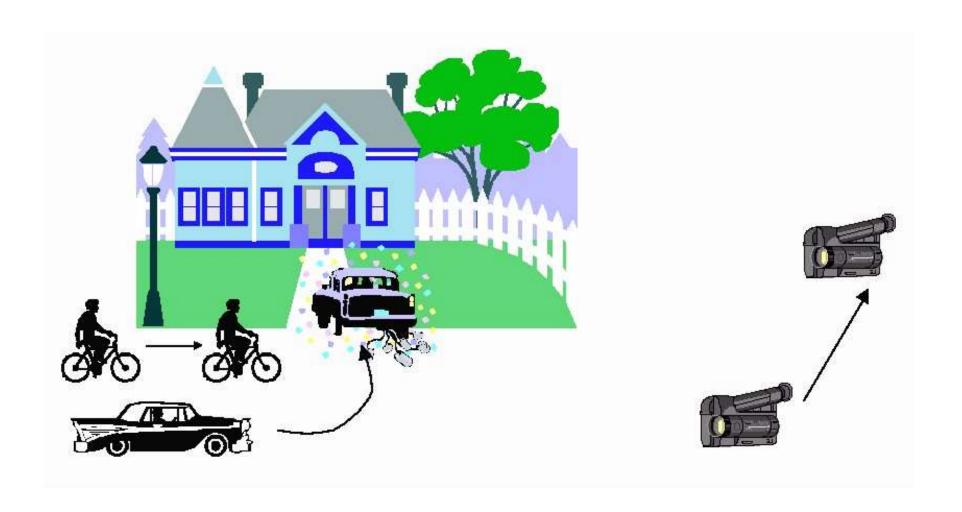
#### 2.1 Optical Flow

- ☐ Strong correlation/covariance (matrix):
  - Diagonal components of correlation/covariance matrix has CSIE NCKU brightest grayvalues strong correction

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# Template Matching: Sub-Pixel Accuracy and One Pixel Match

## 2.0 Movement between Camera and Object

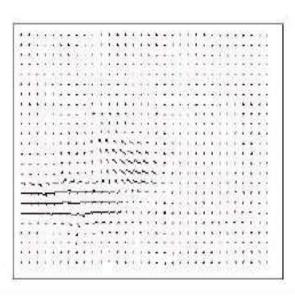


#### **Definition of Optical Flow**

☐ Optical flow is the 2-D velocity field (u, v) induced in an image due to the projection of 3-D moving objects onto the image plane







### **Applications**

13

- ☐ Corresponding points Vs. Optical flow
  - ➤ 2D -> 3D reconstruction
  - > Mosaic
  - > Stabilization
  - **>** ...

- > SIFT
- > SURF
- > HOG

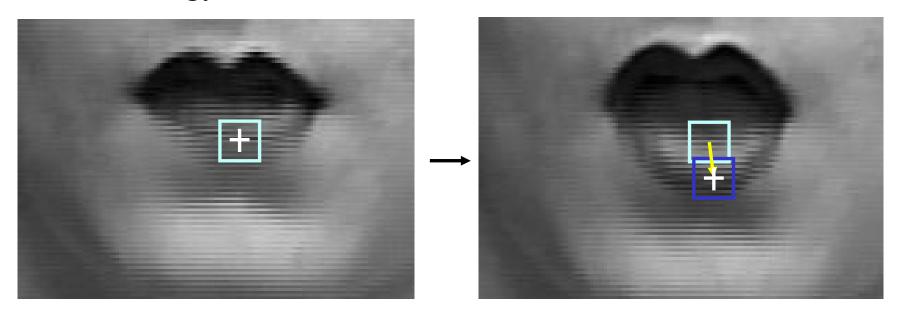
#### Methods of Computing Optical Flow: Matching

- ☐ Three prevalent approaches to compute optical flow:
  - 1) Token matching or correlation
    - » Extract features from each frame (gray level windows, edge detection)
    - » Match them from frame to frame
  - 2) Gradient techniques
    - » Relate optical flow to spatial and temporal image derivatives
  - 3) Velocity sensitive
    - » Frequency domain models of motion estimation

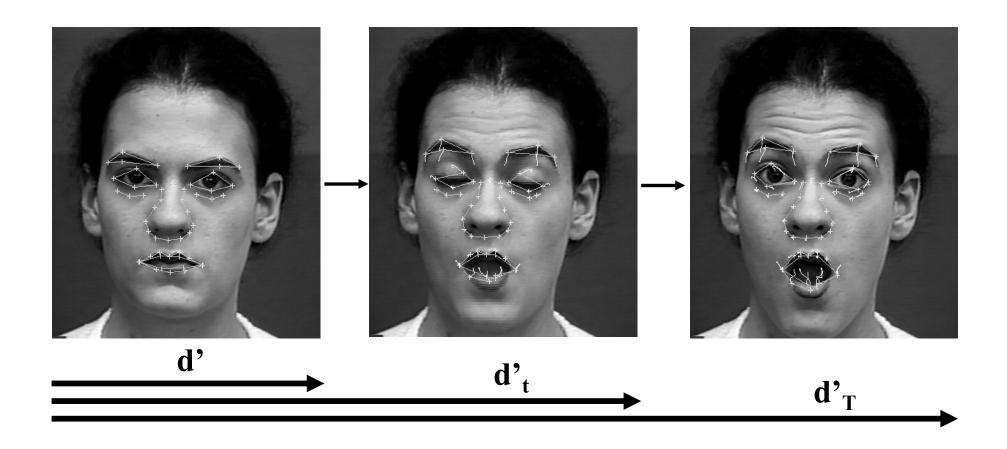
#### 2.1 Optical Flow: Difference Minimization

min 
$$\mathbf{E} = \sum [\mathbf{I}_{t}(\mathbf{x}-u(\mathbf{x},\mathbf{y}), \mathbf{y}-v(\mathbf{x},\mathbf{y})) - \mathbf{I}_{t+1}(\mathbf{x},\mathbf{y})]^{2}$$
 SSD problem

E: Energy or Cost Function



## **Feature Point Tracking**



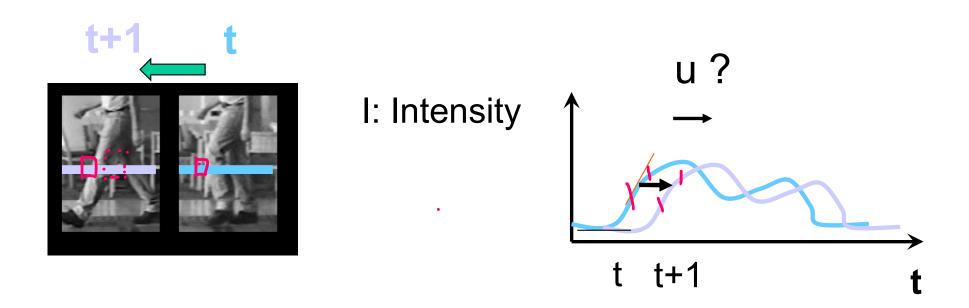
#### A 1-D Gradient Technique

- ☐ Suppose we have 1-D image that changes over time due to a translation of the image
- ☐ Suppose we also assume that the image function is, at least over small neighborhoods, well approximated by a linear function (continue, Taylor series expansion)
  - Completely characterized by its value and slope
- ☐ Can we estimate the motion of the image by comparing its spatial derivative (texture/edge) at a point to its temporal derivative?
  - Example: Spatial derivative (gradient as edge) is 10 units/pixel and temporal derivative is 20 units/frame

17

Then motion is (20 units/frame)/(10 units/pixel)=2 pixels/frame

#### 2.2.1 1-D Lucas-Kanade Flow

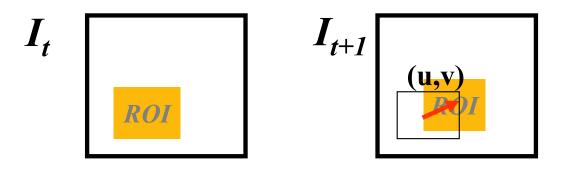


min 
$$E = \sum [I_t(x-u) - I_{t+1}(x)]^2$$

$$x \in \mathbb{R}$$

$$// \times //$$

#### 2.2.2 2-D Lucas-Kanade Flow



min 
$$E = \sum [I_t(x-u(x,y), y-v(x,y)) - I_{t+1}(x,y)]^2$$
  
 $x,y \in \mathbb{R}$   
 $// \times //$ 

### Flow Computation: Physical Interpretation

- Q: what is  $(\frac{\partial I}{\partial x}, \frac{\partial I}{\partial y})$ ?
- \* A: image brightness gradient direction
  - □ known (spatial derivatives) (texture, edge)
- $\bullet$  Q: what is (u, v)?
- \* A: local motion vector
  - □ unknown
- Q: what is  $\frac{\partial I}{\partial t}$ ?
- \* A: change of brightness at a location w.r.t time
  - □ known (temporal derivative)

## 2.2.2-1 Lucas-Kanade Flow: 8SD Minimization

$$\min E = \sum_{x \in R} [I(x+h) - F(x)]^2$$
template

$$\frac{\partial E}{\partial h} = \sum_{x \in R} 2[\underline{I(x+h)} - F(x)] * \frac{\partial}{\partial h} \underline{I(x+h)} = 0$$

By first order Taylor series expansion

$$I(x+h) \approx I(x) + h \frac{\partial I(x)}{\partial x}$$

then

$$\sum_{x \in R} 2 \left[ I(x) + h \frac{\partial I(x)}{\partial x} - F(x) \right] * \frac{\partial}{\partial h} \left[ I(x) + h \frac{\partial I(x)}{\partial x} \right] = 0$$

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$$\sum_{x \in R} \left[ I(x) - F(x) \right] \left( \frac{\partial h}{\partial h} \frac{\partial I(x)}{\partial x} \right) + \sum_{x \in R} h \frac{\partial I(x)}{\partial x} \left( \frac{\partial h}{\partial h} \frac{\partial I(x)}{\partial x} \right) = 0$$

$$h = \left[ \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x} \right) \left( F(x) - I(x) \right) \right] \left[ \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x} \right) \left( \frac{\partial I(x)}{\partial x} \right) \right]^{-1}$$

Iteration

$$h_0 = 0$$

$$h_{n+1} = h_n + \left[ \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x} \right) \right|_{x+h_n} \left[ F(x) - I(x+h_n) \right] \left[ \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x} \right) \left( \frac{\partial I(x)}{\partial x} \right) \right|_{x+h_n} \right]^{-1}$$

$$h_{n+1} = h_n + e * G^{-1}$$
 until  $|h_{n+1} - h_n| < \varepsilon$ 

#### **Lucas-Kanade Flow Equation**

$$h_{n+1} = h_n + e * G^{-1}$$
 until  $|h_{n+1} - h_n| < \varepsilon$ 

where

$$e = \begin{bmatrix} e_1 \\ e_2 \end{bmatrix} = \begin{bmatrix} \sum_{x \in R} \frac{\partial I}{\partial x_1} \Big|_{x+h_n} [F(x_1) - I(x_1 + h_n)] \\ \sum_{x \in R} \frac{\partial I}{\partial x_2} \Big|_{x+h_n} [F(x_2) - I(x_2 + h_n)] \end{bmatrix} \qquad G^{-1} = \frac{\begin{bmatrix} G_{22} & -G_{12} \\ -G_{12} & G_{11} \end{bmatrix}}{G_{11}G_{22} - G_{12}^2}$$

$$G = \begin{bmatrix} G_{11} & G_{12} \\ G_{12} & G_{22} \end{bmatrix} = \begin{bmatrix} \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_1} \right)^2 \Big|_{x+h_n} & \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_1} \right) \left( \frac{\partial I(x)}{\partial x_2} \right) \Big|_{x+h_n} \\ \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_1} \right) \left( \frac{\partial I(x)}{\partial x_2} \right) \Big|_{x+h_n} & \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_2} \right)^2 \Big|_{x+h_n} \end{bmatrix}$$

#### 2.2.2-2 Lucas-Kanade Flow: General Case

Minimize a cost function E of the sum of squared differences (SSD)

min 
$$E(d(x)) = \sum_{x \in R} [I_t(x - d(x)) - I_{t+1}(x)]^2 w(x)$$

$$d(x) = d^{i}(x) + \Delta d(x)$$

$$I_{t}(x - d) = I_{t}(x - (d^{i} + \Delta d))$$

The first order Taylor's expansion:

$$I_t(x-d^i-\Delta d) \approx I_t(x-d^i) - I_t'(x-d^i)^T \Delta d = 0$$

24

#### The incremental change $\Delta d$ in the SSD cost function:

$$E(\Delta d) = E(d^{i} + \Delta d) - E(d^{i})$$

$$\approx \sum_{x \in R} [I_{t}(x - d^{i}) - I_{t}'(x - d^{i})^{T} \Delta d - I_{t+1}(x)]^{2} w(x) - \sum_{x \in R} [I_{t}(x - d^{i}) - I_{t+1}(x)]^{2} w(x)$$

$$= \sum_{x \in R} [I_{t}'(x - d^{i})^{T} \Delta d]^{2} w(x) - 2\sum_{x \in R} [I_{t}(x - d^{i}) - I_{t+1}(x)] I_{t}'(x - d^{i})^{T} \Delta dw(x)$$

$$= \Delta d^{T} G \Delta d - 2e^{T} \Delta d$$

25

where

$$G = \sum_{x} I'_{t}(x - d^{i})I'_{t}(x - d^{i})^{T} w(x)$$

$$e^{T} = \sum_{x} [I_{t}(x - d^{i}) - I_{t+1}(x)]I'_{t}(x - d^{i})^{T} w(x)$$

$$G = \sum_{x} I'_{t}(x - d^{i})I'_{t}(x - d^{i})^{T} w(x)$$

The Hessian matrix of the gradients of  $I_t$  with a window function w(x)

$$e^{T} = \sum_{x} [I_{t}(x-d^{i}) - I_{t+1}(x)]I_{t}(x-d^{i})^{T} w(x)$$

The difference-gradient row vector which is the product of the difference (or error) between the regions in the two consecutive images and the gradient of the gray-value  $I_t$  together with a window function w(x)

The maximum decrement  $E(\Delta d)$  occurs when its gradient with respect to  $\Delta d$  is zero

$$\frac{\partial E(\Delta d)}{\partial (\Delta d)} = G\Delta d + (\Delta d^T G)^T - 2e = 2(G\Delta d - e) = 0$$

Hence, 
$$\Delta d(x) = G^{-1}e$$

Initializing  $d^{(0)}(x) = [0,0]^T$  and following above equations, the optical flow d(x) can be robustly estimated through iterations yielding the sub-pixel accuracy.

The motion estimate d(x) is more accurate when the gradients of both  $I_t(x)$  and  $I_{t+1}(x)$  are large and nearly equal

not deform CSIE NCKU

#### 2.2.3 LM Optimization: Optical Flow

- ☐ 1. Initialization by 0 order approach:
  - Correlation Coefficient Match:
  - $\triangleright$  Lx = 0 or Ax =b
- **□** 2. LM by 1st and 2<sup>nd</sup> order deviations (iteration): Taylor Extension

$$h_{n+1} = h_n + e * G^{-1}$$
 until  $|h_{n+1} - h_n| < \varepsilon$ 

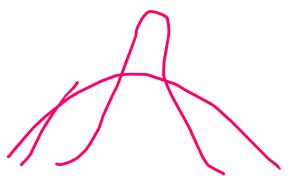
$$e = \begin{bmatrix} e_1 \\ e_2 \end{bmatrix} = \begin{bmatrix} \sum_{x \in R} \frac{\partial I}{\partial x_1} \Big|_{x+h_n} [F(x) - I(x+h_n)] \\ \sum_{x \in R} \frac{\partial I}{\partial x_2} \Big|_{x+h_n} [F(x) - I(x+h_n)] \end{bmatrix}$$

$$G^{-1} = \frac{\begin{bmatrix} G_{22} & -G_{12} \\ -G_{12} & G_{11} \end{bmatrix}}{G_{11}G_{22} - G_{12}^{2}}$$

where
$$G = \begin{bmatrix} G_{11} & G_{12} \\ G_{12} & G_{22} \end{bmatrix} = \begin{bmatrix} \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_1} \right)^2 \big|_{x+h_n} & \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_1} \right) \left( \frac{\partial I(x)}{\partial x_2} \right) \big|_{x+h_n} \\ \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_1} \right) \left( \frac{\partial I(x)}{\partial x_2} \right) \big|_{x+h_n} & \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_2} \right)^2 \big|_{x+h_n} \end{bmatrix}$$

#### **Hessian Matrix**

$$G = \begin{bmatrix} G_{11} & G_{12} \\ G_{12} & G_{22} \end{bmatrix} = \begin{bmatrix} \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_1} \right)^2 \Big|_{x+h_n} \\ \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_1} \right) \left( \frac{\partial I(x)}{\partial x_2} \right) \Big|_{x+h_n} \\ \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_2} \right) \Big|_{x+h_n} \\ \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_2} \right) \Big|_{x+h_n} \\ \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_2} \right)^2 \Big|_{x+h_n} \\ \end{pmatrix} = O$$



29

#### Correlation Matrix Vs. Covariance Matrix Vs. Hessian Matrix

#### 1. Covariance Matrix A Vs. Correlation Matrix A'

#### 2. $A=(A'-m)=UWV^T$

- Gaussian distribution N(m, Lamda²),
- > as affine transform

#### 3. Affine transform –

m: as the translation terms

U: Eigenvector matrix as the rotation matrix

W: Eigenvalues (Lamda<sup>2</sup>) as the variance/scaling terms at denomination for normalization.

V: Coordinate rotation matrix

## 2.2.4 Demo: Feature Point Tracking

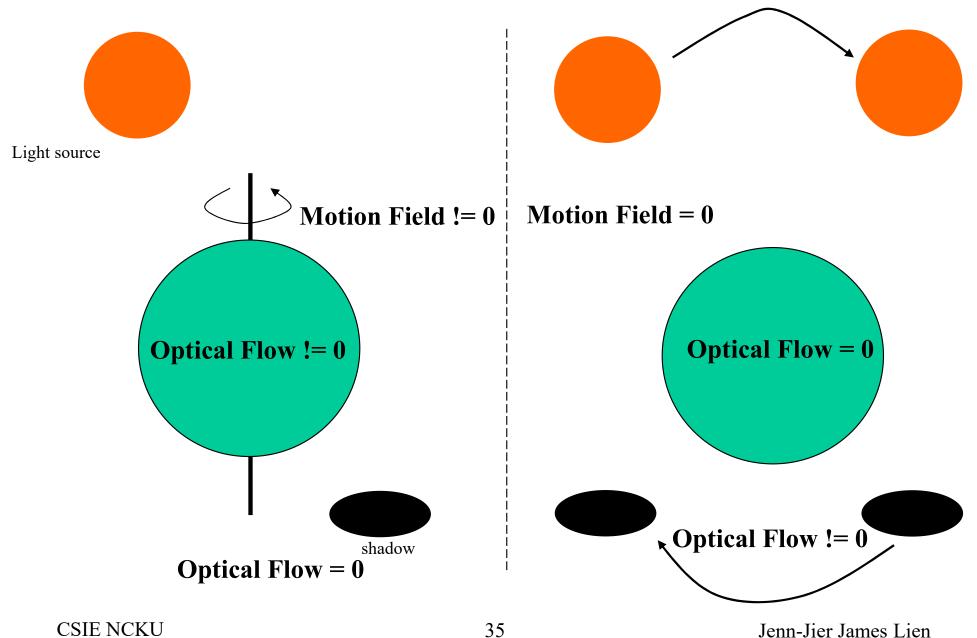
#### **Good Feature to Track**

## **1-Pixel Tracking**

#### 2.3 Affine Flow

min 
$$E = \sum_{x \in R} [I(x + (ax + h)) - F(x)]^2$$

## 3.0 Motion Field and Optical Flow



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## 3.1.1 Optical Flow Constraint Equation

$$I(x + \delta x, y + \delta y, t + \delta t) = I(x, y, t)$$

1. Optical flow (velocity) vector (u(x,y),v(x,y))

$$\delta x = u \, \delta t, \ \delta y = v \, \delta t$$

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

For small time interval  $\delta t \rightarrow 0$  and the motion field is continuous almost everywhere

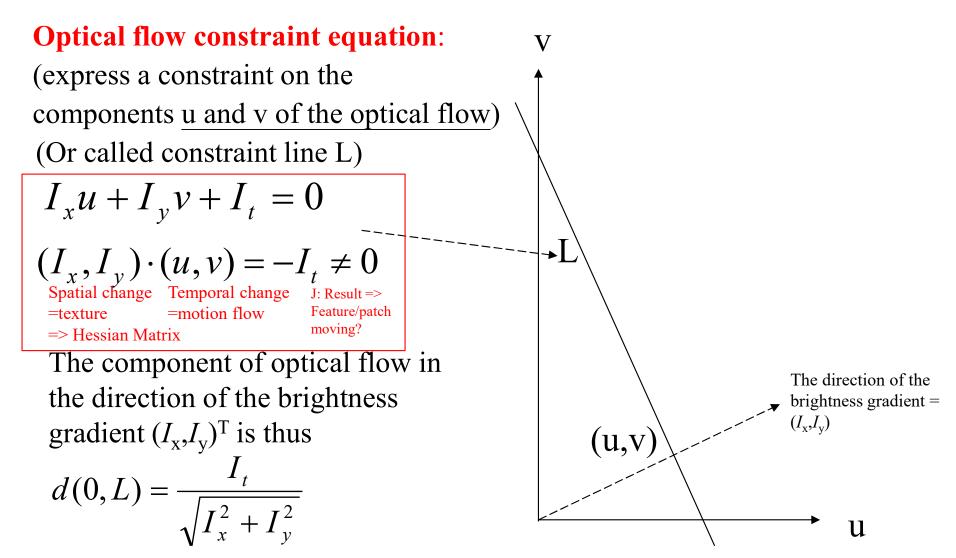
2. And by the first order Taylor's expansion:

$$I(x, y, t) + \delta x \frac{\partial I}{\partial x} + \delta y \frac{\partial I}{\partial v} + \delta t \frac{\partial I}{\partial t} = I(x, y, t)$$

$$\frac{\partial I}{\partial x} \frac{\partial x}{\partial t} + \frac{\partial I}{\partial y} \frac{\partial y}{\partial t} + \frac{\partial I}{\partial t} \frac{\partial t}{\partial t} = 0$$

$$CSI \frac{\partial I}{\partial x} u + \frac{\partial I}{\partial v} v + \frac{\partial I}{\partial t} 1 = 0$$

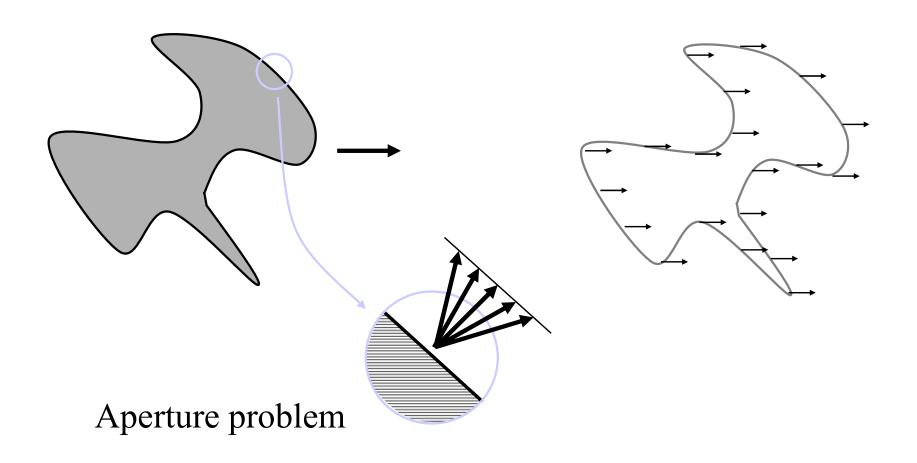
$$36$$



We can only determine the component in the direction of the brightness gradient.

We cannot, however, determine the component of the optical flow at right angles (90°) to this direction, that is, along the <u>isobrightness</u> contour. This ambiguity is also known as the aperture problem.

## 3.1.2 Aperture Problem



$$h_{n+1} = h_n + e * G^{-1}$$
 until  $|h_{n+1} - h_n| < \varepsilon$ 

where

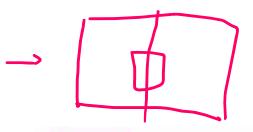
$$e = \begin{bmatrix} e_1 \\ e_2 \end{bmatrix} = \begin{bmatrix} \sum_{x \in R} \frac{\partial I}{\partial x_1} \Big|_{x+h_n} [F(x) - I(x+h_n)] \\ \sum_{x \in R} \frac{\partial I}{\partial x_2} \Big|_{x+h_n} [F(x) - I(x+h_n)] \end{bmatrix} \quad G^{-1} = \frac{\begin{bmatrix} G_{22} & -G_{12} \\ -G_{12} & G_{22} \end{bmatrix}}{G_{11}G_{22} - G_{12}^2}$$

$$G = \begin{bmatrix} G_{11} & G_{12} \\ G_{12} & G_{22} \end{bmatrix} = \begin{bmatrix} \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_1} \right)^2 \Big|_{x+h_n} & \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_1} \right) \left( \frac{\partial I(x)}{\partial x_2} \right) \Big|_{x+h_n} \\ \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_1} \right) \left( \frac{\partial I(x)}{\partial x_2} \right) \Big|_{x+h_n} & \sum_{x \in R} \left( \frac{\partial I(x)}{\partial x_2} \right)^2 \Big|_{x+h_n} \end{bmatrix}$$

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39

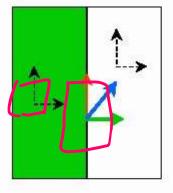
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$$I_x u + I_y v + I_t = 0$$
$$(I_x, I_y) \cdot (u, v) = -I_t \neq 0$$

=motion flow

=> Hessian Matrix



no spatial change in brightness induce no temporal change in brightness no discernible motion

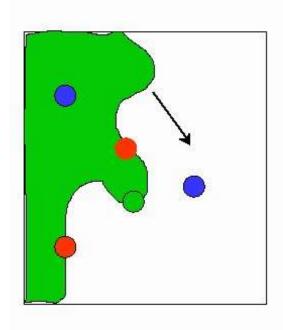
motion perpendicular to local gradient induce no temporal change in brightness no discernible motion

motion in the direction of local gradient induce temporal change in brightness discernible motion



only the motion component in the direction of local gradient induce temporal change in brightness discernible motion

### **Aperture Problem**



- intensity gradient is zero no constraints on (u, v)  $(0,0) \cdot (u,v) = 0$ interpolated from other places
- intensity gradient is nonzero but is *constant*  $(\frac{\partial I}{\partial x}, \frac{\partial I}{\partial y}) \cdot (u, v) = -\frac{\partial I}{\partial t}$ one constraints on (u, v) only the component along the gradient are recoverable
- intensity gradient is nonzero and *changing* multiple constraints on (*u*, *v*) motion recoverable

$$(\frac{\partial I}{\partial x_1}, \frac{\partial I}{\partial y_1}) \cdot (u, v) = -\frac{\partial I}{\partial t}_{(x_1, y_1)}$$
$$(\frac{\partial I}{\partial x_2}, \frac{\partial I}{\partial y_2}) \cdot (u, v) = -\frac{\partial I}{\partial t}_{(x_2, y_2)}$$

## 3.2 Addition: Harris Corner Detector (1/3)

#### Basic Idea



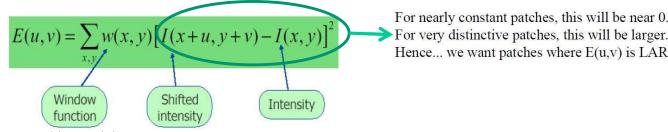
"flat" region: no change in all directions



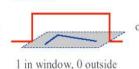
no change along the edge direction

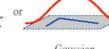


"corner": significant change in all directions



Smoothing, weight Window function W(x,y) =





Gaussian

#### in SSD:

$$\sum [I(x+u,y+v) - I(x,y)]^{2} \approx \sum [I(x,y) + uI_{x} + vI_{y} - I(x,y)]^{2}$$
 First order approx
$$= \sum u^{2}I_{x}^{2} + 2uvI_{x}I_{y} + v^{2}I_{y}^{2}$$

$$= \left[ u \ v \right] \left( \sum \begin{bmatrix} I_{x}^{2} & I_{x}I_{y} \\ I_{x}I_{y} & I_{y}^{2} \end{bmatrix} \right) \begin{bmatrix} u \\ v \end{bmatrix}$$
 Rewrite as matrix equation
$$= \sum \left[ u \ v \right] \begin{bmatrix} I_{x}^{2} & I_{x}I_{y} \\ I_{x}I_{y} & I_{y}^{2} \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix}$$

• For small shifts [u,v] we have a bilinear approximation:

$$E(u,v) \cong \begin{bmatrix} u,v \end{bmatrix} \quad M \quad \begin{bmatrix} u \\ v \end{bmatrix}$$
$$M = \sum_{x,y} w(x,y) \begin{bmatrix} I_x^2 & I_x \\ I_x I_y & I \end{bmatrix}$$

Compute  $\lambda_{1,2}$  (eigenvalues) of M

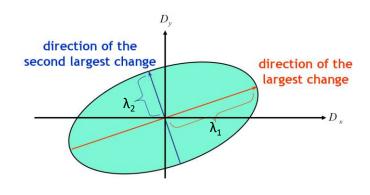
#### Taylor Series for 2D function

textureless

For nearly constant patches, this will be near 0.

Hence... we want patches where E(u,v) is LARGE.

$$f(x+u,y+v) = f(x,y) + uf_x(x,y) + vf_y(x,y) +$$
First partial derivatives
$$\frac{1}{2!} \left[ u^2 f_{xx}(x,y) + uv f_{xy}x, y + v^2 f_{yy}(x,y) \right] +$$
Second partial derivatives
$$\frac{1}{3!} \left[ u^3 f_{xxx}(x,y) + u^2 v f_{xxy}(x,y) + uv^2 f_{xyy}(x,y) + v^3 f_{yyy}(x,y) \right]$$
Third partial derivatives
$$+ \dots \text{ (Higher order terms)}$$



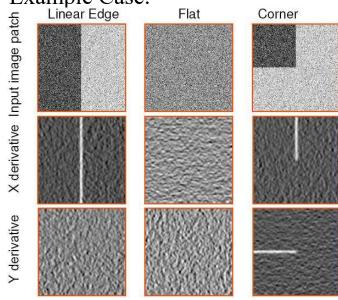
Hessian

Matrix:

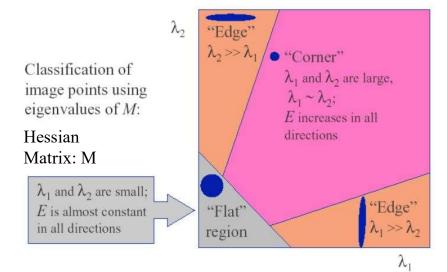
M

## Addition: Harris Corner Detector (2/3)

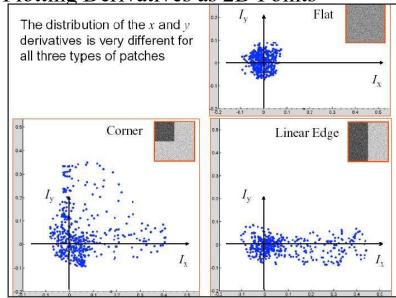
• Example Case:



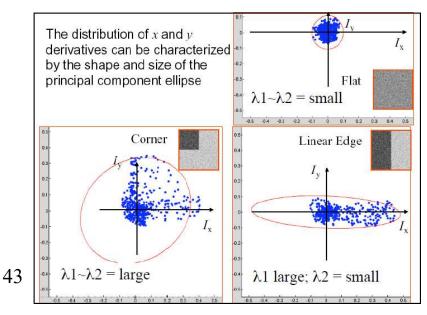
• Classification via Eigenvalues



• Plotting Derivatives as 2D Points



• Fitting Ellipse to each Set of Points



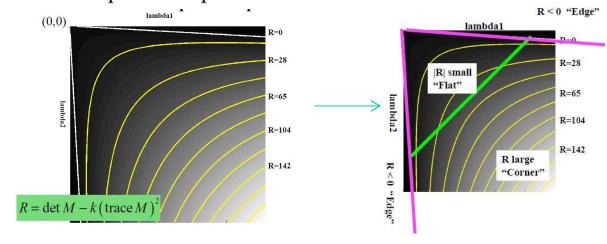
## Addition: Harris Corner Detector (3/3)

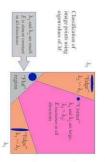
• Measure of corner response:

$$R = \det(M) - k \operatorname{trace}(M)^{2} = \lambda_{0}\lambda_{1} - k(\lambda_{0} + \lambda_{1})^{2}$$

where k is a determined constant; k = 0.04 - 0.06

Corner Response Map

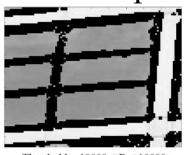




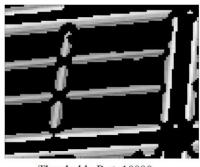
- R depends only on eigenvalues of M
- R is large for a corner
- *R* is negative with large magnitude for an edge
- |R| is small for a flat region

Corner Response Example





Threshold: -10000 < R < 10000 (neither edges nor corners)

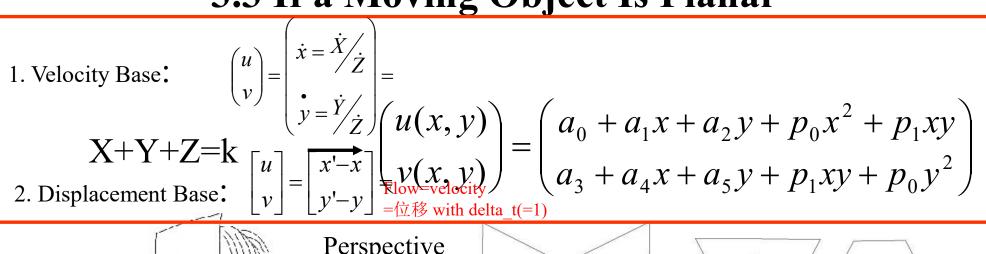


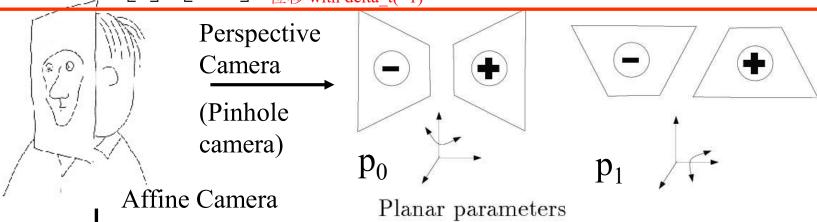
Threshold: R < -10000 (edges)



Threshold: > 10000 (corners)

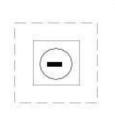
### 3.3 If a Moving Object Is Planar

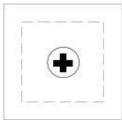


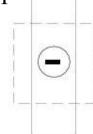


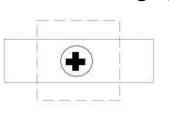


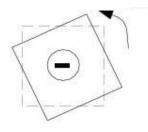


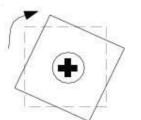


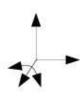












Divergence:  $a_1 + a_5 = u_x + v_y$ 

Curl: 
$$-a_2 - a_4 = -(u_y + v_x)$$

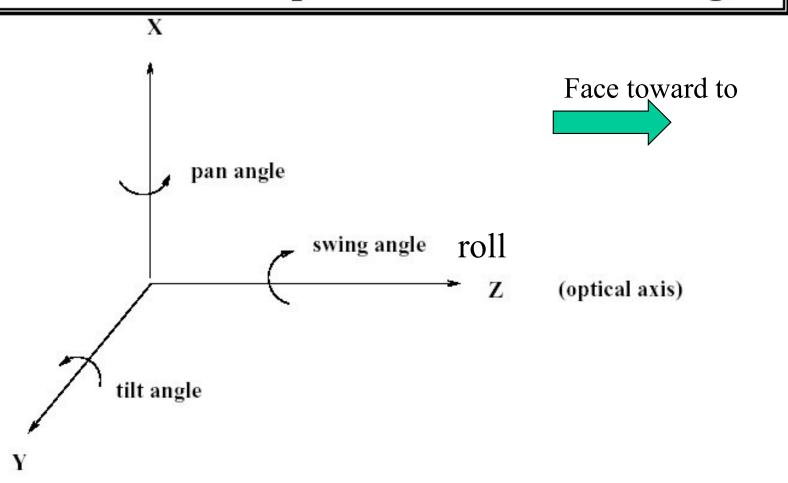
Deformation :  $a_1 - a_5 = u_x - v_v$ 

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45

### 3.3.1 In the Velocity-Based Scheme

### Rotation Matrix Representation: Euler angles



## Rotation Matrix Representation: Euler angles

Assume rotation matrix R results from successive Euler rotations of the camera frame around its X axis by  $\omega$ , its once rotated Y axis by  $\phi$ , and its twice rotated Z axis by  $\kappa$ , then

$$R(\omega,\phi,\ \kappa)=R_X(\omega)R_Y(\phi)R_Z(\kappa)$$

where  $\omega$ ,  $\phi$ , and  $\kappa$  are often referred to as tilt, pan, and swing angles respectively.

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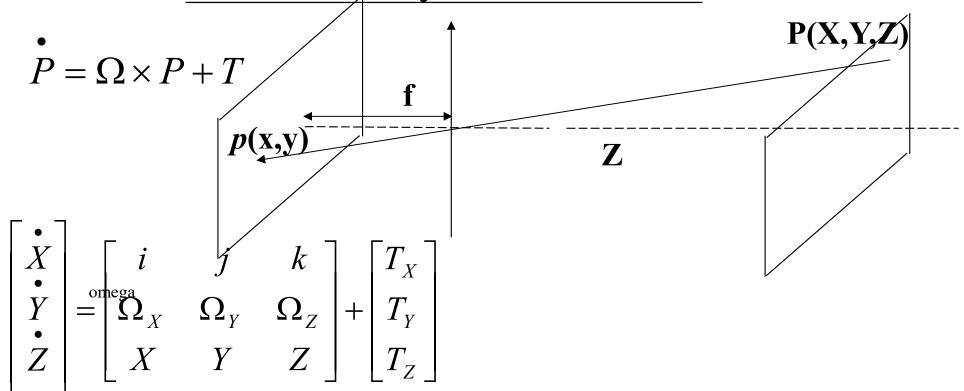
$$R_x(\omega) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \omega & \sin \omega \\ 0 & -\sin \omega & \cos \omega \end{pmatrix}$$

$$R_y(\phi) = \begin{pmatrix} \cos \phi & 0 & -\sin \phi \\ 0 & 1 & 0 \\ \sin \phi & 0 & \cos \phi \end{pmatrix}$$

$$R_z(\kappa) = \begin{pmatrix} \cos \kappa & \sin \kappa & 0 \\ -\sin \kappa & \cos \kappa & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

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In the Velocity-Based Scheme



49

$$\begin{bmatrix} \dot{X} \\ \dot{Y} \\ \dot{Y} \\ \dot{Z} \end{bmatrix} = \begin{bmatrix} \Omega_{Y}Z - \Omega_{Z}Y + T_{X} \\ \Omega_{Z}X - \Omega_{X}Z + T_{Y} \\ \Omega_{X}Y - \Omega_{Y}X + T_{Z} \end{bmatrix}$$

$$\left(\frac{x}{f=1} = \frac{X}{Z}\right)$$

$$\frac{y}{f=1} = \frac{Y}{Z}$$

$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} \dot{x} = \dot{X}/\dot{Z} \\ \dot{y} = \dot{Y}/\dot{Z} \end{pmatrix} = \begin{pmatrix} \dot{X} & X\dot{Z} \\ Z & Z^2 \\ \dot{Y} & Y\dot{Z} \end{pmatrix} = \frac{1}{Z^2} \begin{pmatrix} \dot{X}Z - X\dot{Z} \\ \dot{Y}Z - Y\dot{Z} \end{pmatrix}$$

$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} -\Omega_X xy + \Omega_Y (1 + x^2) - \Omega_Z y + (T_X - T_Z x)/Z \\ -\Omega_X (1 + y^2) + \Omega_Y xy + \Omega_Z x + (T_Y - T_Z y)/Z \end{pmatrix} = \begin{pmatrix} u_R + u_T \\ v_R + v_T \end{pmatrix}$$

$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} \left(\Omega_Y + \frac{T_X}{Z}\right) + \left(-\frac{T_Z}{Z}\right)x + (-\Omega_Z)y + \Omega_Y x^2 + (-\Omega_X)xy \\ \left(-\Omega_X + \frac{T_Y}{Z}\right) + \Omega_Z x + \left(-\frac{T_Z}{Z}\right)y + \Omega_Y xy + (-\Omega_X)y^2 \end{pmatrix}$$

Flow=velocity=

## 3.3.2 In the Displacement-Based Scheme

- $\square$  Convert a rotation vector  $\mathbf{a} = [\mathbf{a}_{x}, \mathbf{a}_{y}, \mathbf{a}_{z}]$  into a rotation matrix
- ☐ Skew symmetric matrix
  - The cross product of two vectors can be written in terms of a skew symmetric matrix

$$a,b \in R^3$$
  
 $a \times b = \hat{a}b = J(a)b$ 

$$\hat{a} = J(a) = \begin{pmatrix} 0 & -a_z & a_y \\ a_z & 0 & -a_x \\ -a_y & a_x & 0 \end{pmatrix} \in so(3)$$

#### Rotation Matrix Representation: Quaternion

$$R = (dI + S)(dI - S)^{-1}$$
 where

$$S = \begin{pmatrix} 0 & -c & b \\ c & 0 & -a \\ -b & a & 0 \end{pmatrix}$$

$$R = \begin{pmatrix} d^2 + a^2 - b^2 - c^2 & 2(ab - cd) & 2(ac + bd) \\ 2(ab + cd) & d^2 - a^2 + b^2 - c^2 & 2(bc - ad) \\ 2(ac - bd) & 2(bc + ad) & d^2 - a^2 - b^2 + c^2 \end{pmatrix}$$

where  $a^2 + b^2 + c^2 + d^2 = 1$  and a, b, c, and d are referred to as the quaternion parameters.

### Rotation Matrix Representation: $RR^t = 1$

Prove RR'=1

From the above definition of S, we have

$$(dI + S)^t = (dI - S)$$

$$(dI - S)^t = (dI + S)$$

As a result, we have

$$RR^{t} = (dI + S)(dI - S)^{-1}[(dI + S)(dI - S)^{-1}]^{t}$$

$$= (dI + S)(dI - S)^{-1}(dI + S)^{-1}(dI - S)$$

$$= (dI + S)[(dI + S)(dI - S)]^{-1}(dI - S)$$

$$= (dI + S)[(dI + S)(dI - S)]^{-1}$$
$$(dI - S)(dI + S)(dI + S)^{-1}$$
$$= (dI + S)(dI + S)^{-1}$$
$$= I$$

Note 
$$(dI + S)(dI - S) = (dI - S)(dI + S)$$

#### In the Displacement-Based Scheme

#### In the Velocity-Based Scheme

$$\overset{\bullet}{P} = \Omega \times P + T$$

$$\begin{bmatrix} \dot{X} \\ \dot{Y} \\ \dot{Z} \end{bmatrix} = \begin{bmatrix} \Omega_{Y}Z - \Omega_{Z}Y + T_{X} \\ \Omega_{Z}X - \Omega_{X}Z + T_{Y} \\ \Omega_{X}Y - \Omega_{Y}X + T_{Z} \end{bmatrix}$$

$$\left(\frac{x}{f=1} = \frac{X}{Z}\right)$$

$$\left(\frac{y}{f=1} = \frac{Y}{Z}\right)$$

#### In the Dispacement-Based Scheme

time t

$$\begin{bmatrix} X' \\ Y' \\ Z' \end{bmatrix} = R \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} + T = \begin{bmatrix} 1 & -\Omega_Z & \Omega_Y \\ \Omega_Z & 1 & -\Omega_X \\ -\Omega_Y & \Omega_X & 1 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} + \begin{bmatrix} T_X \\ T_Y \\ T_Z \end{bmatrix}$$
Position at time to time to time to the time to the time to the time to the time to time to the time

$$= \begin{bmatrix} X - \Omega_Z Y + \Omega_Y Z + T_X \\ \Omega_Z X + Y - \Omega_X Z + T_Y \\ -\Omega_Y X + \Omega_X Y + Z + T_Z \end{bmatrix} = Z \begin{bmatrix} x - \Omega_Z y + \Omega_Y + \frac{T_X}{Z} \\ \Omega_Z x + y - \Omega_X + \frac{T_Y}{Z} \\ -\Omega_Y x + \Omega_X Y + Z + T_Z \end{bmatrix}$$

$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} \left(\Omega_Y + \frac{T_X}{Z}\right) + \left(-\frac{T_Z}{Z}\right)x + (-\Omega_Z)y + \Omega_Y x^2 + (-\Omega_X)xy \\ \left(-\Omega_X + \frac{T_Y}{Z}\right) + \Omega_Z x + \left(-\frac{T_Z}{Z}\right)y + \Omega_Y xy + (-\Omega_X)y^2 \end{pmatrix}$$

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} \frac{X'}{Z'} \\ \frac{Y'}{Z'} \end{bmatrix} = \begin{bmatrix} \frac{x - \Omega_Z y + \Omega_Y + T_X / Z}{-\Omega_Y x + \Omega_X y + 1 + T_Z / Z} \\ \frac{\Omega_Z x + y - \Omega_X + T_Y / Z}{-\Omega_Y x + \Omega_X y + 1 + T_Z / Z} \end{bmatrix}$$

Flow=velocity=
$$\triangle \mathbb{R}$$

$$\begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} x' - x \\ y' - y \end{bmatrix} = \begin{bmatrix} -\Omega_X xy + \Omega_Y (1 + x^2) - \Omega_Z y + (T_X - T_Z x)/Z \\ 1 + (\Omega_X y - \Omega_Y x) + T_Z/Z \\ -\Omega_X (1 + y^2) + \Omega_Y xy + \Omega_Z x + (T_Y - T_Z y)/Z \\ 1 + (\Omega_X y - \Omega_Y x) + T_Z/Z \end{bmatrix}$$
Flow from to to over delta t=1

56

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$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} \left(\Omega_Y + \frac{T_X}{Z}\right) + \left(-\frac{T_Z}{Z}\right)x + (-\Omega_Z)y + \Omega_Y x^2 + (-\Omega_X)xy \\ \left(-\Omega_X + \frac{T_Y}{Z}\right) + \Omega_Z x + \left(-\frac{T_Z}{Z}\right)y + \Omega_Y xy + (-\Omega_X)y^2 \end{pmatrix}$$

### 3.3.3 Motion: Displacement Field or Velocity Field ??

$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} -\Omega_X xy + \Omega_Y (1 + x^2) - \Omega_Z y + (T_X - T_Z x)/Z \\ -\Omega_X (1 + y^2) + \Omega_Y xy + \Omega_Z x + (T_Y - T_Z y)/Z \end{pmatrix} = \begin{pmatrix} u_R + u_T \\ v_R + v_T \end{pmatrix}$$

$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} \left(\Omega_{Y} + \frac{T_{X}}{Z}\right) + \left(-\frac{T_{Z}}{Z}\right)x + (-\Omega_{Z})y + \Omega_{Y}x^{2} + (-\Omega_{X})xy \\ \left(-\Omega_{X} + \frac{T_{Y}}{Z}\right) + \Omega_{Z}x + \left(-\frac{T_{Z}}{Z}\right)y + \Omega_{Y}xy + (-\Omega_{X})y^{2} \end{pmatrix}$$

$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} \left(\Omega_{Y} + \frac{T_{X}}{Z}\right) + \left(-\frac{T_{Z}}{Z}\right)x + (-\Omega_{X})y + \left(-\frac{T_{Z}}{Z}\right)y + \Omega_{Y}xy + (-\Omega_{X})y^{2} \\ \left(-\frac{T_{Z}}{Z}\right)y + \Omega_{Y}xy + (-\Omega_{X})y^{2} \end{pmatrix}$$

$$\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} u \\ v \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix}$$

$$\begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} x'-x \\ y'-y \end{bmatrix} = \begin{bmatrix} u(x,y) \\ v(x,y) \end{bmatrix} = \begin{bmatrix} a_0 + a_1x + a_2y + p_0x^2 + p_1xy \\ a_3 + a_4x + a_5y + p_1xy + p_0y^2 \end{bmatrix}$$

=位移 with delta t(=1)

#### **Optical flow: Displacement field or Velocity field ?**

- ➤ If the time interval between two image frames is short enough (high sampling rate) or
- > the motion of the object is slow compared with frame rate

$$\rightarrow Z >> T_z$$

» If small rotation parameters

$$\Omega_{\scriptscriptstyle X},\Omega_{\scriptscriptstyle Y},\Omega_{\scriptscriptstyle Z}$$

## 4.1 2D (Planar) Motions (Transformation) (1/11)

#### 6) 8-Parameter planar transformation Vs. perspective projection transformation

Motion 
$$u(x, y) = a_0 + a_1x + a_2y + p_0x^2 + p_1xy$$
  
flow  $v(x, y) = a_3 + a_4x + a_5y + p_0xy + p_1y^2$ 

$$curl = -a_2 + a_4 = -(u_y - v_x), \quad (4)$$

(3)

divergence =  $a_1 + a_5 = (u_x + v_y)$ ,

Motion flow

$$u(x, y) = a_0 + a_1 x + a_2 y \tag{8}$$

$$v(x, y) = a_3 + a_4 x + a_5 y + c x^2$$
 (9)

$$deformation = a_1 - a_5 = (u_x - v_y)$$
 (5)

 $Yaw = p_0,$   $Pitch = p_1.$ 

a<sub>i</sub>: Constants.

 $u(x) = [u(x,y), v(x,y)]^T$ : Horizontal and vertical components of the flow at the image point x = (x,y).

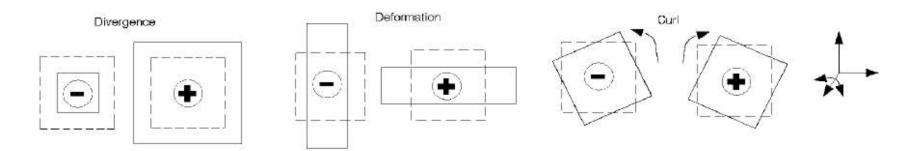


Figure 3. The figure illustrates the motion captured by the various parameters used to represent the motion of the regions. The solid lines indicate the deformed image region and the "-" and "+" indicate the sign of the quantity.

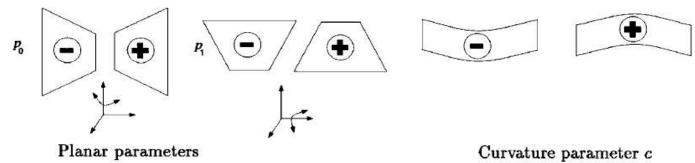


Figure 4. Additional parameters for planar motion and curvature.

## 2D (Planar) Motions (Transformation) (2/11)

(11)

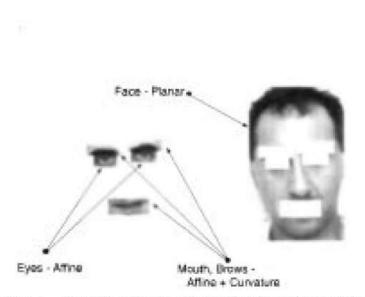
$$\mathbf{X}(\mathbf{x}) = \begin{bmatrix} 1 & x & y & 0 & 0 & 0 & x^2 & xy & 0 \\ 0 & 0 & 0 & 1 & x & y & xy & y^2 & x^2 \end{bmatrix}$$
 (10)

$$\mathbf{A} = [a_0 \ a_1 \ a_2 \ a_3 \ a_4 \ a_5 \ 0 \ 0 \ 0]^T$$

$$\mathbf{P} = [a_0 \ a_1 \ a_2 \ a_3 \ a_4 \ a_5 \ p_0 \ p_1 \ 0]^T \quad (12)$$

$$\mathbf{C} = [a_0 \ a_1 \ a_2 \ a_3 \ a_4 \ a_5 \ 0 \ 0 \ c]^T \tag{13}$$

such that  $\mathbf{u}(\mathbf{x}; \mathbf{A}) = \mathbf{X}(\mathbf{x})\mathbf{A}$ ,  $\mathbf{u}(\mathbf{x}; \mathbf{P}) = \mathbf{X}(\mathbf{x})\mathbf{P}$ , and  $\mathbf{u}(\mathbf{x}; \mathbf{C}) = \mathbf{X}(\mathbf{x})\mathbf{C}$  represent, respectively, the affine, planar, and affine + curvature flow models described above.



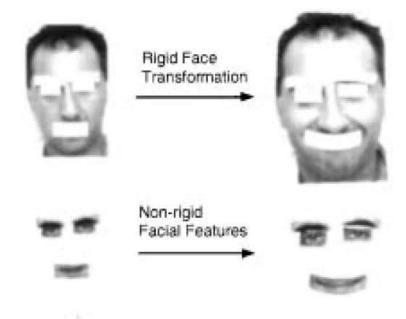


Figure 1. Illustration showing the parametric motion models employed and an example of a face undergoing a looming motion while smiling.

Table 1. The mid-level predicates derived from deformation and motion parameter estimates.

Rightward

Leftward

Upward

Downward

Expansion

Contraction

Horizontal deformation

Counter clockwise rotation

Vertical deformation

Clockwise rotation

The tores for erassitying factor expressions (D — organing, E = ending).

Derived predicates (mouth)

Threshold

> 0.25

< -0.25

< -0.1

> 0.1

> 0.02

>0.005

> 0.005

< -0.005

< -0.005

< -0.02

Parameter

 $a_0$ 

 $a_3$ 

Div

Def

Curl

(2	/1	11	
(3)	/ <b>I</b>	1)	

Table 2. The mid-level predicates derived from deformation and motion parameter estimates as applied to head motion.

Parameter	Threshold	Derived predicates (head)	
a <sub>0</sub>	>0.5	Rightward	
	<-0.5	Leftward	
a <sub>3</sub>	<-0.5	Upward	
	>0.5	Downward	
Div	>0.01	Expansion	
	<-0.01	Contraction	
Def	>0.01	Horizontal deformation	
1620	<-0.01	Vertical deformation	
Curl	>0.005	Clockwise rotation	
	<-0.005	Counter clockwise rotation	
$p_0$	<-0.00005	Rotate right about neck	
ā.(5)	>0.00005	Rotate left about neck	
$p_1$	<-0.00005	Rotate forward	
4 . •	>0.00005	Rotate backward	

c	<-0.0001	Curving upward ('U' like)
	>0.0001	Curving downward

Expr.	B/E	Satisfactory actions
Anger	В	Inward lowering of brows and mouth contraction
Anger	E	Outward raising of brows and mouth expansion
Disgust Disgust	B E	Mouth horizontal expansion and lowering of brows Mouth contraction and raising of brows
		200
Happiness	В	Upward curving of mouth and expansion or horizontal deformation
Happiness	E	Downward curving of mouth and contraction or horizontal deformation
Surprise	В	Raising brows and vertical expansion of mouth
Surprise	E	Lowering brows and vertical contraction of mouth
Sadness	В	Downward curving of mouth and upward-inward motion in inner parts of brows
Sadness	E	Upward curving of mouth and downward-outward motion in inner parts of brows
Fear	Came	Expansion of mouth and raising-inwards inner parts of brown
Fear	Mode	Contraction of mouth and lowering inner parts of brows

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## 2D (Planar) Motions (Transformation) (4/11)

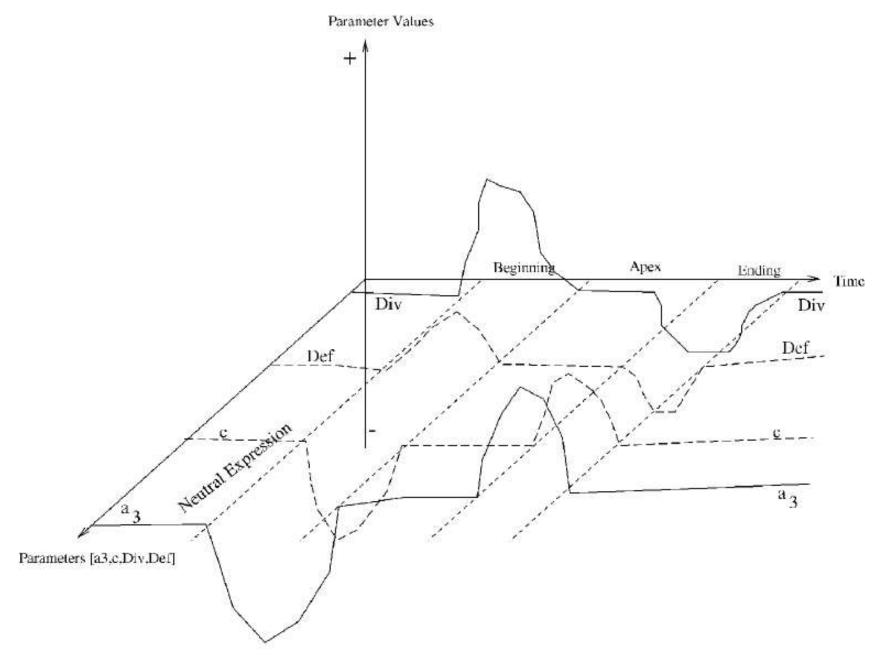


Figure 5. The temporal model of the "smile" expression. Model

61

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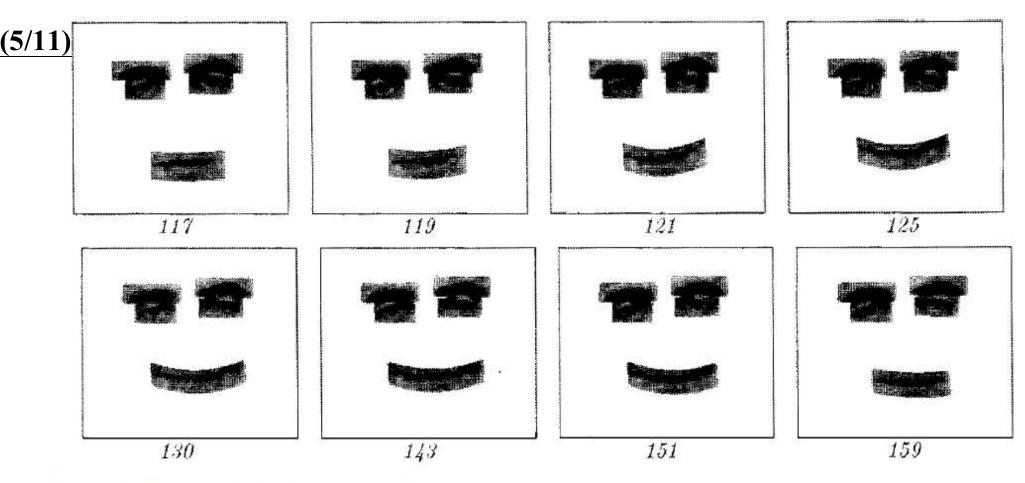
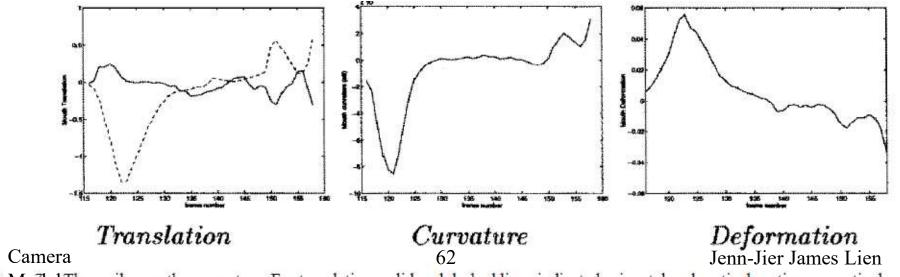
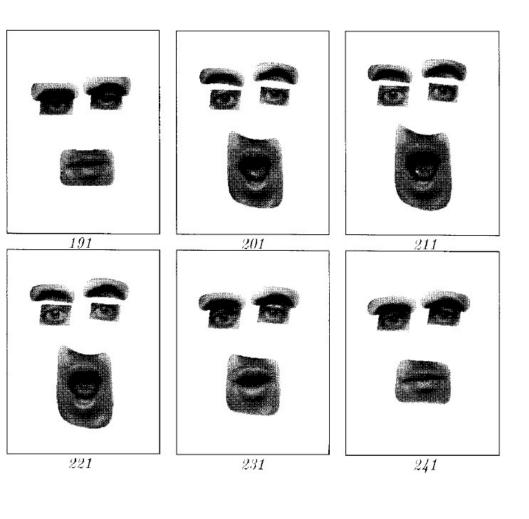


Figure 6. Smile experiment: facial expression tracking.



FigModel The smile mouth parameters. For translation, solid and dashed lines indicate horizontal and vertical motion respectively.

# 2D (Planar) Motions (Transformation) (6/11)



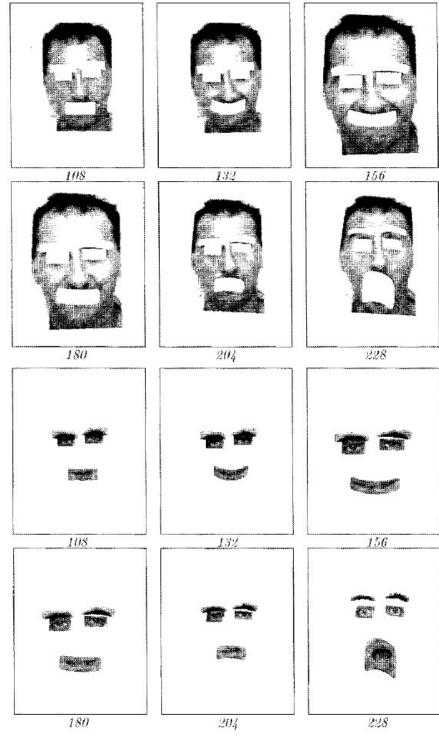


Figure 10. Surprise experiment: facial expression tracking. Features every 10 frames. Camera

Model

Iann liar Iamas I ian Figure 14. Looming experiment. Facial expression tracking with rigid head motion (every 24 frames).

## 2D (Planar) Motions (Transformation) (7/11)

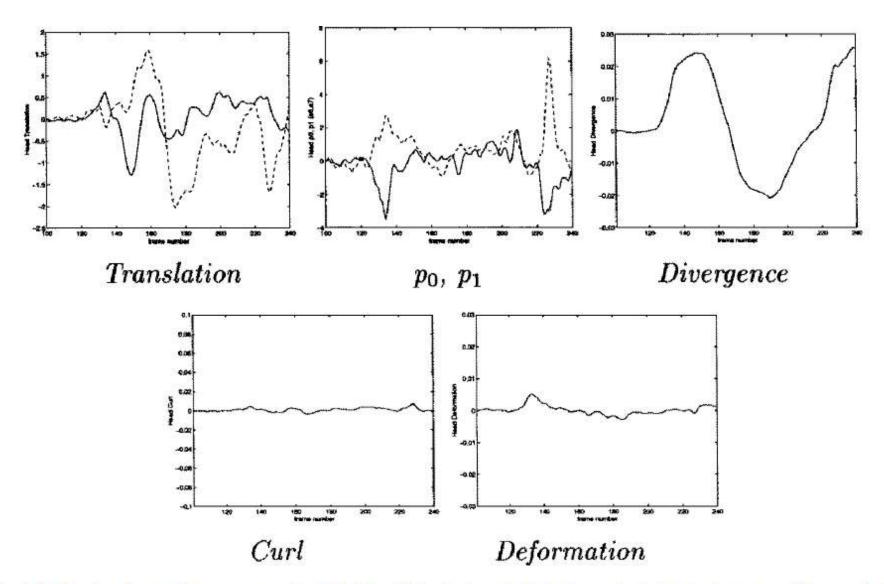


Figure 15. The looming face motion parameters. Translation: solid = horizontal, dashed = vertical. Quadratic terms: solid =  $p_0$ , dashed =  $p_1$ . Camera

64 Jenn-Jier James Lien

Model

## 2D (Planar) Motions (Transformation) (8/11)

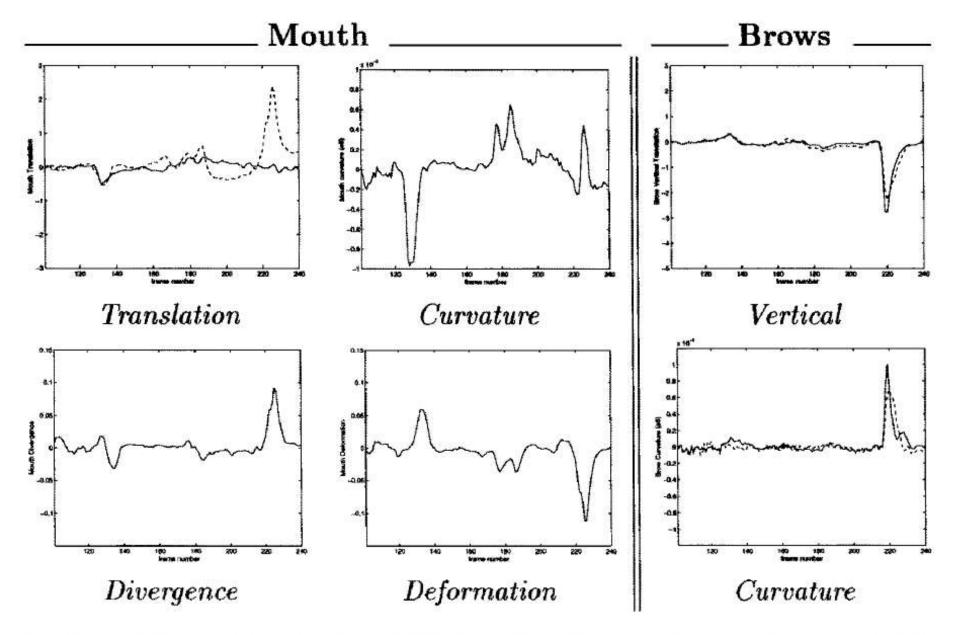
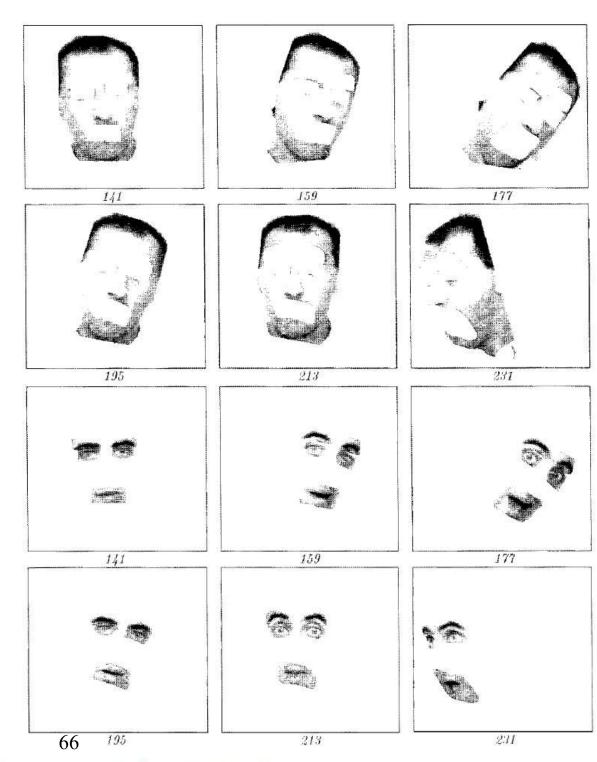


Figure 16. The looming sequence. Mouth translation: solid and dashed lines indicate horizontal and vertical motion respectively. For the brows, the solid and dashed lines indicate left and right brows respectively.

# 2D (Planar) Motions (Transformation) (9/11)



Camera Model

Figure 17. Rotation experiment. Rigid head tracking, every 18th frame.

## 2D (Planar) Motions (Transformation) (10/11)

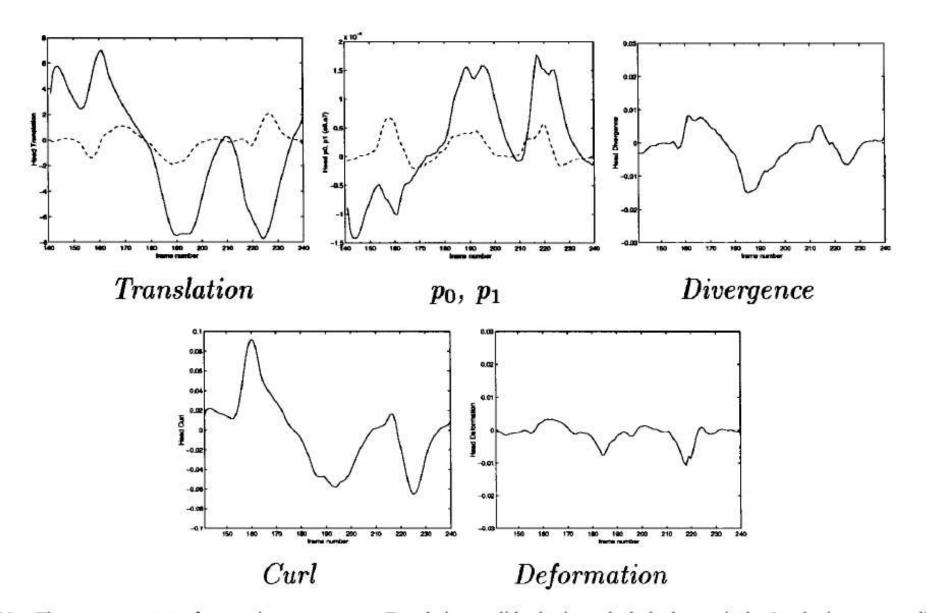


Figure 18. The rotate sequence face motion parameters. Translation: solid = horizontal, dashed = vertical. Quadratic terms: solid =  $p_0$ , dashed =  $p_1$ .

Camera 67 Jenn-Jier James Lien Model

## 2D (Planar) Motions (Transformation) (11/11)

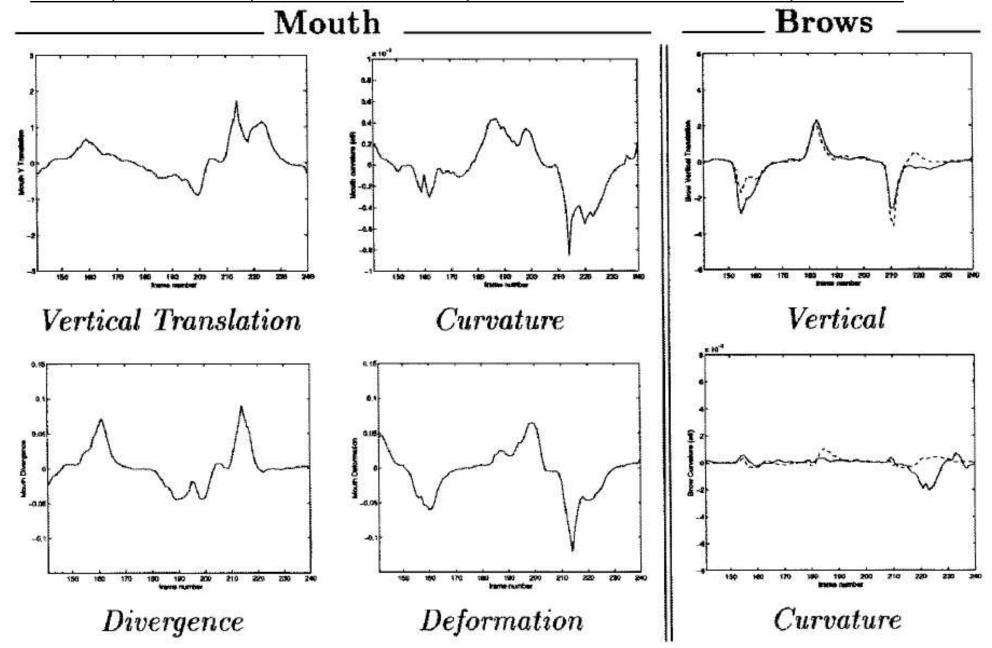


Figure 19. The rotate sequence. For the brows, the solid and dashed lines indicate left and right brows respectively.

Camera

Model

The rotate sequence. For the brows, the solid and dashed lines indicate left and right brows respectively.

Jenn-Jier James Lien

## 2D (Planar) Motions (Transformation)

Name	Matrix	# D.O.F.	Preserves:	Icon
translation	$egin{bmatrix} oldsymbol{I} oldsymbol{I} oldsymbol{t} oldsymbol{I}_{2 imes 3} \end{bmatrix}_{2 imes 3}$	2	orientation $+\cdots$	
rigid (Euclidean)	$\left[egin{array}{c c} R & t\end{array} ight]_{2 imes 3}$	3	lengths + · · ·	$\Diamond$
similarity $S_x = S_y$	$\left[\begin{array}{c c} sR & t\end{array}\right]_{2 \times 3}$	4	angles + · · ·	$\Diamond$
affine $S_x! = S_y$	$\left[egin{array}{c} oldsymbol{A} \end{array} ight]_{2 imes 3}$	6	parallelism $+\cdots$	
projective	$\left[egin{array}{c}  ilde{H} \end{array} ight]_{3 imes 3}$	8	straight lines	

**Table 1:** Hierarchy of 2D coordinate transformations. The  $2 \times 3$  matrices are extended with a third  $[0^T \ 1]$  row to form a full  $3 \times 3$  matrix for homogeneous coordinate transformations.

#### **#D.O.F: Degrees Of Freedom**

1) Translation:  $t_x$ ,  $t_y$ 

2) Euclidean:  $t_x$ ,  $t_y$ ,  $\theta$ 

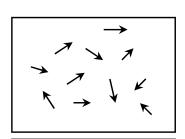
3) Similarity:  $t_x$ ,  $t_y$ ,  $\theta$ , s

4) Affine:  $a_{00}$ ,  $a_{01}$ ,  $a_{02}$ ,  $a_{10}$ ,  $a_{11}$ ,  $a_{12}$ 

5) Projective:  $h'_{00}$ ,  $h'_{01}$ ,  $h'_{02}$ ,  $h'_{10}$ ,  $h'_{11}$ ,  $h'_{12}$ ,  $h'_{20}$ ,  $h'_{21}$ 

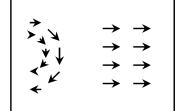
Motion flow Parameter planar transformation: a0, a1, a2, a3, a4, a5, p0 and p1

#### 4.2 Kinematic Models



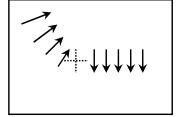
Optical Flow/Feature tracking: no constraints





Layered Motion: rigid constraints





Articulated: kinematic chain constraints





Nonrigid: implicit / learned constraints

#### References

G. Adiv. "Determining Three-Dimensional Motion and Structure from **Optical Flow Generated by Several Moving Objects," IEEE Transaction** on Pattern Analysis and Machine Intelligence, 7(4):384-401, July 1985. J.Q Fang and T.S. Huang, "Solving Three Dimensional Small-Rotation Motion Equations," IEEE Conference on Computer Vision and Pattern Recognition, pp. 253-258, 1983. B.K.P.Horn, Robot Vision, The MIT Press, 1989. B.D. Lucas, "Generalized Image Matching by the Method of Differences," Carnegie Mellon University, Technical Report CMU-CS-85-160, Ph.D. dissertation, July 1984. J. Shi and C. Tomasi, "Good Feature to Track," IEEE Conference on Computer Vision and Pattern Recognition, pages 593-600, 1994. **Source Code:** 

➤ http://vision.stanford.edu/~birch/klt/