Database Design

Nguyễn Văn Diêu

HO CHI MINH CITY UNIVERSITY OF TRANSPORT

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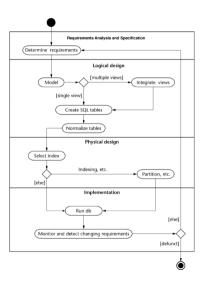
Kiến thức - Kỹ năng - Sáng tạo - Hội nhập Sứ mệnh - Tầm nhìn Triết lý Giáo dục - Giá trị cốt lõi

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1.1. Database Design Life Cycle



1.2. Requirements Analysis

- 1. Interviewing:
 - producers
 - users

Producing a formal requirements specification.

- 2. That specification includes:
 - Data required for processing
 - The natural data relationships
 - the software platform for the database implementation

1.3. Logical Database Design

- 1. Developing a conceptual model of the database
- 2. Refines that model into normalized SQL tables
- 3. Using techniques such as:
 - Entity-relationship (ER)
 - Unified Modeling Language (UML)

1.4. Physical Database Design

- 1. The goal of physical design is to maximize the performance of the database
- 2. It involves the selection:
 - Indexes
 - Partitioning
 - Clustering
 - Selective materialization of data
- 3. Begin after the SQL tables have been defined and normalized
- 4. It focuses on the methods:
 - Storing tables on disk
 - Accessing tables on disk
 - Enable the database to operate with high efficiency

1.5. Implementation, monitoring, and modification

- Once the logical and physical design is completed, the database can be created through implementation of the formal schema using the data definition language (DDL) of a DBMS
- 2. Then the data manipulation language (DML) can be used to query and update the database, as well as to set up indexes and establish constraints such as referential integrity
- 3. The language SQL contains both DDL and DML constructs

2.1. Functional Dependencies Definition

Let:

- $R(A_1, \dots, A_n)$ be a relational scheme,
- X and Y be subsets of $\{A_1, \dots, A_n\}$

We say:

• $X \rightarrow Y$, read "X functionally determines Y" or "Y functionally depends on X" if:

```
\forall (t_1, t_2) \in \forall r(R):
Not possible: t_1(X) = t_2(X) but t_1(Y) \neq t_2(Y)
```

• In the FD $X \rightarrow Y$, X is called the *Left side* and Y called the *Right side*.

2.2. Notational Conventions

- A, B, C, \cdots stand for single attributes
- U, V, \dots, Z stand for sets of attributes
- R is used to denote a relation scheme
- r(R) relation, the current instance of scheme R
- $A_1 \cdots A_n$ set of attributes $\{A_1, \cdots, A_n\}$
- XY stand for $X \cup Y$
- XA or AX stand for $X \cup \{A\}$

StudentGrade(StudentID, StudentName,SubjectID, SubjectName, Grades)

One current StudentGrade:

StudentGrade	(StudentID	StudentName	SubjectID	SubjectName	Grades)
	T01	Nam	S01	Database	7
	T01	Nam	S02	Math	6
	T02	Tuan	S01	Database	9
	T02	Tuan	S02	Math	5
	T03	Cuong	S01	Database	8
	T04	Quoc	S02	Math	8

 $\mathsf{StudentID} \to \mathsf{StudentName}$

 $SubjectID \rightarrow SubjectName$

StudentID, SubjectID \rightarrow Grades

2.3. Satisfaction of Dependencies

• r satisfies FD $X \rightarrow Y$

if
$$\forall (t_1, t_2) \in r(R) : t_1(X) = t_2(X)$$
 then $t_1(Y) = t_2(Y)$

- "if ... then" statement, it can be satisfied either by:
 - 1. $t_1(X) \neq t_2(X)$ or
 - 2. $t_1(Y) = t_2(Y)$
- If r does not satisfy $X \rightarrow Y$, the r violate $X \rightarrow Y$.
- If we declared $X \to Y$ hold for R, then all instance r of scheme R will satisfy $X \to Y$.
- FD $X \rightarrow \emptyset$ is *trivially satisfied* by any relation.
- FD Ø → Y is satisfied by those relations in which every tuple has the same Y - value.

2.4. FDs is an Integrity Constraints

Let: R(ABC); FD: $A \rightarrow B$

There is an *integrity constraint* of scheme *R*.

- Context: R
- Condition:

$$\forall (t_1, t_2) \in \forall r(R) : t_1.A = t_2.A$$

 $t_1.B = t_2.B$

end.

• Influence table:

	Insert	Delete	Update
R	+	-	+(A/B)

2.5. Inference FD

Let R; A, B, C: attributes.

FD: $A \rightarrow B$ and $B \rightarrow C$ are known to hold in R.

We claim that $A \rightarrow C$ must also hold in R

Proof by Contradiction

Suppose r satisfies $A \rightarrow B$ and $B \rightarrow C$,

but
$$\exists (t_1, t_2) \in r$$
: $t_1.A = t_2.A$ and $t_1.C \neq t_2.C$

- if $t_1.B \neq t_2.B$, then $r \text{ violate } A \rightarrow B$
- if $t_1.B = t_2.B$ but $t_1.C \neq t_2.C$ then $r \text{ violate } B \rightarrow C$

Hence r must satisfy $A \rightarrow C \blacksquare$

Direct Proof

$$\forall (t_1, t_2) \in \forall r(R) : t_1.A = t_2.A \implies t_1.B = t_2.B \quad (A \to B)$$

 $\implies t_1.C = t_2.C \quad (B \to C)$

from FD definition $\implies A \rightarrow C \blacksquare$

2.6. Logically Inference

- Let F set of functional dependencies hold on R
- $-X \rightarrow Y$ be a functional dependency.
 - F logically inference $X \to Y$ written $F \models X \to Y$ if $\forall r(R)$ satisfies F also satisfies $X \to Y$

e.g.
$$F = \{A \rightarrow B, B \rightarrow C\}$$

then $A \rightarrow C$ is logically inference by F
that is, $\{A \rightarrow B, B \rightarrow C\} \models A \rightarrow C$

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3.1. Amstrong's Inference Axioms

Giving U be a *universal set* of attributes. F be a FDs set involving only attributes in U. The inference rule are:

- 1. Reflexivity. If $Y \subseteq X \subseteq U$, then $X \to Y$ is logically implied by F. This rules gives the *trivial dependencies*. It hold in every relation.
- 2. Augmentation. $\{X \rightarrow Y, Z \subseteq U\} \models XZ \rightarrow YZ$.
- 3. Transitivity. $\{X \rightarrow Y, Y \rightarrow Z\} \models X \rightarrow Z$.

Let
$$R(ABCD)$$
, $F = \{A \rightarrow C, B \rightarrow D\}$.

$$F \models AB \rightarrow ABCD$$
?

- 1. $A \rightarrow C$ (given)
- 2. $AB \rightarrow ABC$ [augmentation of (1) by AB]
- 3. $B \rightarrow D$ (given)
- 4. $ABC \rightarrow ABCD$ [augmentation of (3) by ABC]
- 5. $AB \rightarrow ABCD$ [transitivity applied to (2) and (4)]

3.2. Lemma

Amstrong's Axioms are sound. That is,

if $X \to Y$ is deduced from F using the axioms, then $X \to Y$ is true in any relation in which the dependencies of F are true.

Proof:

Reflexivity. Clearly sound.

Augmentation. Suppose relation r satisfies $X \rightarrow Y$, but

$$\exists (t_1, t_2) : t_1(XZ) = t_2(XZ) \text{ and } t_1(YZ) \neq t_2(YZ)$$

$$\implies t_1.Y \neq t_2.Y$$

So $t_1.X = t_2.X$ and $t_1.Y \neq t_2.Y$, violating $X \rightarrow Y$ holds for r.

Transitivity. Similarly, as an exercise.

3.3. Lemma

There are several other inference *rules* that follow from Amstrong's Axioms *Lemma*:

- 1. Union. $\{X \rightarrow Y, X \rightarrow Z\} \models X \rightarrow YZ$
- 2. Pseudotransitivity. $\{X \rightarrow Y, WY \rightarrow Z\} \models WX \rightarrow Z$
- 3. Decomposition. $\{X \rightarrow Y, Z \subseteq Y\} \models X \rightarrow Z$

Proof

Proof:

Union. Given $X \rightarrow Y \models X \rightarrow XY$ (augment)

Given $X \rightarrow Z \models XY \rightarrow YZ$ (augment)

By transitivity $\{X \rightarrow XY, XY \rightarrow YZ\} \models X \rightarrow YZ$

Pseudotransitivity. Given $X \rightarrow Y \models WX \rightarrow WY$ (augment)

Since given $WY \rightarrow Z \models WX \rightarrow Z$ (transitivity)

Decomposition. Given $Z \subseteq Y \models Y \rightarrow Z$ (reflexivity)

Given $X \to Y$, by transitivity, $\models X \to Z \blacksquare$

$$F = \left\{ AB \to CD, C \to B, A \to C, BD \to G, H \to K \right\}$$

$$Show F \models AH \to GK$$

$$AB \to CD \models AB \to D \text{ [decomposition] (1)}$$

$$\left\{ A \to C, C \to B \right\} \models A \to B \text{ [transitivity] (2)}$$

$$(2) A \to B \models A \to AB \text{ [augment] (3)}$$

$$\left\{ (3) A \to AB, (1) AB \to D \right\} \models A \to D \text{ [transitivity] (4)}$$

$$\left\{ (2) A \to B, (4) A \to D \right\} \models A \to BD \text{ [union] (5)}$$

$$\left\{ (5) A \to BD, BD \to G \right\} \models A \to G \text{ [transitivity] (6)}$$

$$H \to K \models GH \to GK \text{ [augment] (7)}$$

$$\left\{ (6) A \to G, GH \to GK \right\} \models AH \to GK \text{ [pseudotransitivity]} \blacksquare$$

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4.1. Closure of FDs Set

- We define F⁺, the closure of F, to be the set of functional dependencies that are logically implied by F; i.e.,
- $\bullet \ F^+ = \{ \ X \to Y \mid F \models X \to Y \}$

e.g.
$$F = \{AB \rightarrow C, C \rightarrow B\}$$
 hold on $R(ABC)$, then:

$$F^{+} = \{ A \rightarrow A, AB \rightarrow A, AC \rightarrow A, ABC \rightarrow A, B \rightarrow B, AB \rightarrow B, BC \rightarrow B, ABC \rightarrow B, C \rightarrow C, AC \rightarrow C, BC \rightarrow C, ABC \rightarrow C, AB \rightarrow AB, ABC \rightarrow AB, AC \rightarrow AC, ABC \rightarrow AC, BC \rightarrow BC, ABC \rightarrow BC, ABC \rightarrow ABC, AB \rightarrow C, AB \rightarrow AC, AB \rightarrow BC, AB \rightarrow ABC, C \rightarrow B, C \rightarrow BC, AC \rightarrow B, AC \rightarrow AB \}$$

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Let R(ABC) and $F = \{A \rightarrow B, B \rightarrow C\}$. Then F^+ consists of all those dependencies $X \rightarrow Y$ such that either

- 1. X contains A e.g., $ABC \rightarrow AB$, $AB \rightarrow BC$, or $A \rightarrow C$,
- 2. X contains B but not A, and Y does not contain A e.g., $BC \to B$, $B \to C$, or $B \to \emptyset$, and
- 3. $X \to Y$ is one of the three dependencies $C \to C$, $C \to \emptyset$, or $\emptyset \to \emptyset$.

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4.2. Closure of Attribute Set

Let:

- R be an attributes set.
- F be a functional dependencies set hold on R.
- X be a subset of R.

We define X_F^+ is the *closure* of X (with *respect* of F) is the set of attributes A such that $X \to A$ can be logically implied from F (by FD definition).

$$X_F^+ = \{ A \mid F \models X \to A \}$$

$$R(ABCD)$$
, $F = \{ B \rightarrow D, A \rightarrow B, C \rightarrow B \}$
 $A_F^+ = ABD$

4.3. Algorithm

Closure of a set of attributes with respect to a set of functional dependencies.

Input: R, F, $X \subseteq R$

Output: X_F^+

Method: Computing a sequence attributes sets $X_F^{(0)}, X_F^{(1)}, \dots$ by the rule:

- 1. $X_E^{(0)} \leftarrow X$
- 2. Repeat $Y \rightarrow Z \in F : Y \subseteq X_F^{(i)}$

$$X_F^{(i+1)} \leftarrow X_F^{(i)} \cup Z$$

Until $X_F^{(i+1)}$ is not change

Since $X = X_F^{(0)} \subseteq \cdots \subseteq X_F^{(i)} \subseteq \cdots \subseteq R$, and R is finite, we must eventually reach i such that $X_F^{(i)} = X_F^{(i+1)}$.

$$F = \left\{ \begin{array}{ll} AB \rightarrow C, & D \rightarrow EG, & C \rightarrow A, & BE \rightarrow C \\ BC \rightarrow D, & CG \rightarrow BD, & ACD \rightarrow B, & CE \rightarrow AG \right\} \\ X = BD, \text{ compute } X_F^+ \colon \\ X_F^{(0)} = BD \\ X_F^{(1)} = BDEG \\ X_F^{(2)} = BCDEG \\ X_F^{(3)} = ABCDEG \\ X_F^{(3)} = X_F^{(4)} = \cdots \\ \text{Thus } (DB)_F^+ = ABCDEG \end{array}$$

4.4. Lemma

Dependencies Logically Implied by Closure Set.

Let R , F , $X \subseteq R$, X_F^+ , then:

- $X \rightarrow X_F^+$
- $Z \subseteq X_F^+$, then $X \to Z$

Proof: $X \rightarrow Z$:

Suppose $\exists (t_1, t_2) : t_1.X = t_2.X$, but $t_1.Z \neq t_2.Z$

So \exists $A \in Z$: $t_1.A \neq t_2.A$. That is contradiction with closure set definition, because of

 $A \in Z \in X_F^+ \blacksquare$

Similarly with $X \to X_F^+ \blacksquare$

4.5. Testing Membership in F^+

Let $X \rightarrow Y$ and F

Determine $F \models X \rightarrow Y$? We have three methods:

- 1. Using FD definition
- 2. Using Amstrong's axioms
- 3. Test if $X \rightarrow Y \in F^+$

In the 3^{th} method, F^+ can be very lager

How to show $X \rightarrow Y \in F^+$ without generating all of F^+

Algorithm:

- 1. X_F^+
- 2. if $Y \subseteq X_F^+$ then $F \models X \to Y$ if $Y \nsubseteq X_F^+$ then $F \not\models X \to Y$

$$F = \{A \rightarrow D, AB \rightarrow E, BI \rightarrow E, CD \rightarrow I, E \rightarrow C\}$$

Proofing items below by using Amstrong's axioms as an exercise.

1. $AE \rightarrow AI \in F^+$?

 $AE_F^+ = ACDEI$ contain the right side of the FD, so

$$AE \longrightarrow AI \in F^+ \text{ or } F \models AE \rightarrow AI \blacksquare$$

2. $DB \rightarrow C \in F^+$?

 $DB_F^+ = DB$ not contain the right side of the FD, so

$$DB \rightarrow C \notin F^+ \text{ or } F \not\models DB \rightarrow C \blacksquare$$

4.6. Covers and Equivalence of Dependencies Sets

Definition:

- Two FDs F and G over R are equivalent if $F^+ = G^+$
- Denote $F \equiv G$
- If $F \equiv G$, then F is a *cover* for G

Test whether F and G are equivalent:

- Step 1: $F \models G$
 - − Test every $g: X \rightarrow Y \in G$ is $g \in F^+$
 - $-g:X \to Y \in G$, compute X_F^+
 - If $Y \subseteq X_F^+$, then $g \in F^+$, if not: $g \notin F^+$
 - If \exists *g* ∈ *G*, \notin *F*⁺, then surely *F*⁺ \neq *G*⁺
- *Step 2: G* |= *F*

Analogous manner Step 1:, test every $f \in F$ is $f \in G^+$

$$F = \left\{ \begin{array}{l} A \rightarrow BC, \ A \rightarrow D, \ CD \rightarrow E \end{array} \right\}$$

$$G = \left\{ \begin{array}{l} A \rightarrow BCE, \ A \rightarrow ABD, \ CD \rightarrow E \end{array} \right\}$$

$$F \equiv G ?$$

$$Step 1: F \models G.$$

$$A \rightarrow BCE: \ A_F^+ = ABCDE \Rightarrow F \models A \rightarrow BCE$$

$$A \rightarrow ABD: \ A_F^+ = ABCDE \Rightarrow F \models A \rightarrow ABD$$

$$CD \rightarrow E: \ CD_F^+ = CDE \Rightarrow F \models CD \rightarrow E$$

$$Step 2: \ G \models F.$$

$$A \rightarrow BC: \ A_G^+ = ABCED \Rightarrow G \models A \rightarrow BC$$

$$A \rightarrow D: \ A_G^+ = ABCED \Rightarrow G \models A \rightarrow D$$

$$CD \rightarrow E: \ CD_G^+ = CDE \Rightarrow G \models CD \rightarrow E$$

$$F = G \blacksquare$$

4.7. Minimum Covers

Definition

- F have many cover sets, its equivalent to F
- We define a set F_c is a *minimal cover* of F if:
- 1. Every *right side* of FD in F_c is a single attribute

2.
$$\nexists X \rightarrow A \in F_c$$
: $\{F_c - \{X \rightarrow A\}\} \equiv F_c$

3.
$$\nexists X \rightarrow A \in F_c \text{ and } Z \subseteq X :$$

$$\left\{ F_c - \{X \rightarrow A\} \right\} \cup \{Z \rightarrow A\} \equiv F_c$$

One dependencies G maybe there are many minimum covers.

4.8. Algorithm

Find one minimum cover of dependencies set.

Input: Dependencies *F*.

Output: One minimum cover.

Method: Compute a sequence steps:

- 1. Decompose right side FDs in *F* to one attribute.
- 2. For each $X \rightarrow A$ in F:

if
$$F - \{X \rightarrow A\} \equiv F$$
 then $F = F - \{X \rightarrow A\}$

3. For each $X \rightarrow A$ in F:

if
$$Z \subset X$$
 and $\{F - \{X \to A\}\} \cup \{Z \to A\} \equiv F$ then $F = \{F - \{X \to A\}\} \cup \{Z \to A\}.$

Easy proofing three steps, we see as an exercise \blacksquare

$$F = \left\{ \begin{array}{ll} AB \rightarrow C, & D \rightarrow EG, & C \rightarrow A, & BE \rightarrow C, & BC \rightarrow D \\ CG \rightarrow BD, & ACD \rightarrow B, & CE \rightarrow AG \end{array} \right\}$$

Step 1, split right side FDs:

$$AB \rightarrow C$$
, $D \rightarrow E$, $D \rightarrow G$, $C \rightarrow A$, $BE \rightarrow C$, $BC \rightarrow D$

$$CG \rightarrow B$$
, $CG \rightarrow D$, $ACD \rightarrow B$, $CE \rightarrow A$, $CE \rightarrow G$

Step 2, remove
$$CE \rightarrow A$$
, $CG \rightarrow B$

Step 3,
$$ACD \rightarrow B$$
 replaced by $CD \rightarrow B$

One minimum cover

$$F_c = \{ AB \rightarrow C, D \rightarrow E, D \rightarrow G, C \rightarrow A, BE \rightarrow C, BC \rightarrow D, CG \rightarrow D, CD \rightarrow B, CE \rightarrow G \}$$

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4.9. Projected Functional Dependencies

```
R, F; R_1: Attributes Set. F_1 = projected \ FDs \ of \ F \ on \ R_1, denote F_1 = \pi_{R_1}(F):
```

- F_1 follow from F and
- Involve only attributes of R_1 .

4.10. Algorithm

Input: R, F; R_1 : Attributes Set

Output: $F_1 = \pi_{R_1}(F)$

Method: Compute a sequence steps

- 1. $F_1 \leftarrow \emptyset$
- 2. For each $X \subset \mathcal{R}_1$
 - Compute X_F^+
 - If $X_F^+ \cap R_1 \neq \varnothing$:

$$F_1 = F_1 + \left\{ X \rightarrow X_F^+ \cap R_1 \right\}$$

3. $F_1 \leftarrow$ a minimal cover of F_1

$$R(ABCD)$$
, $F = \{ A \rightarrow B, B \rightarrow C, C \rightarrow D \}$

Find $F_1 = \pi_{R_1(ACD)}(F)$

- First, $A_F^+ = ABCD \Rightarrow F_1 = \{ A \rightarrow C, A \rightarrow D \}$
- Next, $C_F^+ = CD \Rightarrow F_1 = \left\{ A \rightarrow C, A \rightarrow D, C \rightarrow D \right\}$
- Since $A_F^+ = R_1$, no any superset of A can find new FDs.

Thus, the only CD can be compute, but $CD^+ = CD$, add nothing.

- Done $F_1 = \{A \rightarrow C, A \rightarrow D, C \rightarrow D\}$
- Find a minimum cover of F_1 ,

Thus,
$$F_1 = \{ A \rightarrow C, C \rightarrow D \} \blacksquare$$

5.1. Keys by Functional Dependencies

$$R(A_1, \dots, A_n)$$
 and F ; subset $K \subseteq A_1 A_2 \cdots A_n$

K is a key of R if:

- 1. $K \rightarrow A_1 A_2 \cdots A_n$ is in F^+ , and
- 2. $\nexists Y \subsetneq K : Y \rightarrow A_1 A_2 \cdots A_n \text{ in } F^+$
- May be more than one key, one call primary key
- The keys not chose primary key is called candidate key
- Set consists key is called *super key*
- One key show in relation scheme by an underline

e.g.
$$R(ABC)$$
, $F = \{A \rightarrow B, B \rightarrow C\}$.
Only one key A , since $A \rightarrow ABC$ is in F^+ ($F \models A \rightarrow ABC$), and no set X dose not contain A , is also $X \rightarrow ABC$.

$$\mathcal{R}(ABC)$$

- $R_1(ABCD)$, $F_1=\left\{\begin{array}{l}AB\rightarrow CD,\ C\rightarrow A,\ D\rightarrow BC\end{array}\right\}$ R_1 have two keys: AB and D $R_1(\underline{AB}\ C\ \underline{D})$ $R_2(ABC)$, $F_2=\emptyset$ R_2 have one key, it all attributes.
- $R_2(\underline{ABC})$
- $-R_3(ABC)$, $F_3=\{A\rightarrow B, B\rightarrow C, C\rightarrow A\}$ R_3 have three keys: A, B and C

$$R_3(\underline{A} \underline{B} \underline{C})$$

5.2. Algorithm

Input: *R* , *F*.

Output: All keys of *R*.

Method: Computing a sequence steps:

1. Generate all subsets of R, not \varnothing set. Sorting it by ascending.

2. Computing closure those sets.

3. Only keep the sets, such that *its closure equal R*.

4. Remove the sets, it *contains any other sets* in list.

5. All sets remain are the all keys of relation scheme R.

```
R(ABC), F = \{A \rightarrow B, B \rightarrow C, B \rightarrow A\}
Find all kevs.
Step 1. Step 2:
A^{+} = ABC, \quad B^{+} = ABC, \quad C^{+} = C, \quad AB^{+} = ABC,
AC^{+} = ABC, BC^{+} = ABC, ABC^{+} = ABC
Step 3:
A^+ = ABC, B^+ = ABC, AB^+ = ABC
AC^{+} = ABC, BC^{+} = ABC, ABC^{+} = ABC
Step 4:
Α
Step 5:
\mathcal{R}(A B C)
```

5.3. Remarkable

We note some thing about keys algorithm above:

- 1. Attributes do not in FDs, must in every keys.
- 2. Attributes do only in left side FDs, then it must in every keys.
- 3. Attributes do only in right side FDs, then it must do not in any key.
- 4. Attributes do both in left side and right side FDs, then it either in or not in any key.

5.4. Algorithm

Input: Q, F.

Output: All keys on Q.

Method: Computing a sequence steps:

1. L: attributes in the *left side* of FDs

R: attributes in the *right side* of FDs

NF = Q - (L + R): attributes do not in FDs

OL = L - R: attributes *only in left side* of FDs

 $LR = L \cap R$: att. both in the left side and right side of FDs

- 2. Generate all subsets of *LR* including Ø. Sorting it by ascending.
- 3. Add NF and OL into subsets above.
- 4. Computing closure for all subsets.

 Decide the keys like general algorithm.

```
Q(ABCDEG), F = \{ B \rightarrow CD, D \rightarrow C, C \rightarrow EG \}.
Find all kevs.
Step 1: L = BCD, R = CDEG
        NF = A, OL = B, LR = CD
Step 2: \varnothing, C, D, CD
Step 3: AB, CAB, DAB, CDAB
Step 4: AB^+ = ABCDEG
       CAB
       DAR
       CDAR
One key result: AB
Q(ABCDEG)
e.g. Q(ABCD), F = \{ B \rightarrow CD, A \rightarrow C \}, find all keys.
```

```
Q(ABCD), F = \{AB \rightarrow CD, CD \rightarrow AB, B \rightarrow C\}.
Find all kevs.
Step 1: L = ABCD, R = ABCD, NF = \emptyset, OL = \emptyset, LR = ABCD
Step 2: Ø, D, BC, ABD, A, AB, BD, ACD
      B, AC, CD, BCD, C, AD, ABC, ABCD
Step 3: Nothing change
Step 4: \varnothing, AB^+ = ABCD, CD^+ = CDAB, ACD.
      A^+ = A, AC^+ = AC, ABC, BCD,
      B^+ = BC, AD^+ = AD, ABD, ABCD.
      C^{+} = C, BC^{+} = BC.
      D^+ = D. BD^+ = BDCA
```

Three keys result: (AB), (BD), (CD)

5.5. FDs logically implied by Keys

$$R$$
 , F , $K_1, K_2, \cdots K_n$: keys of R , then we have FDs: $K_1 \to R$, or $K_1 \to (R-K_1)$... $K_n \to R$, or $K_n \to (R-K_n)$ e.g. $R(ABCDE)$, $F = \left\{ \begin{array}{l} AB \to CD, \ D \to E, \ BC \to A \end{array} \right\}$ Two keys: $K_1 = AB, \ K_2 = BC$ Then, there are FDs logically implied by keys: $AB \to CDE$

 $BC \rightarrow ADF$

6.1. Normal Form

- Base on Keys checking system of DBMS, we have a concept to check all FDs on relation.
- This concept is call *Normal Form*.

A good Normal form has mainly two purposes:

- Removing duplicated data, redundancy (repetition) data.
- Ensuring data is logically stored.

6.2. First Normal Form (1NF)

- Relation scheme R is in *first normal form (1NF)* if every attribute A in R, Dom(A) are atomic.
- That is, the values in the domain are not lists or sets of values or composite values.
- Database scheme *D* is in 1NF if every relation scheme in *D* is in 1NF.

6.3. e.g.

Relation **Gender**, shown below, is not in 1NF because it contains values that are sets of atomic values.

Gender(Name, Sex)

Gender	(Name	Sex)	
	{Tuan, Nam, Cuong}	male	
	{Nhung, Hang}	female	

To be in INF, gender should be stored like this:

Gender(Name, Sex)

Gender	(Name	Sex)
	Tuan	male
	Nam	male
	Cuong	male
	Nhung	female
	Hang	female

Specially *DateTime* data type is a type with atomic domain.

6.4. e.g.

Assign(Flight, Day, Pilot, Gate)

```
with F = \{ Flight, Day \rightarrow Pilot; Flight \rightarrow Gate \}
Key: \{ Flight, Day \}
```

Assign	(Flight	Day	Pilot	Gate)
	VN123	20/10/2018	Cuong	7
	VN123	27/10/2018	Nhan	7
	VN456	28/10/2018	Tuan	8

We would like to update the first tuple:

```
ssign(VN123, 20/10/2018, Cuong; Gate = 9)
```

Assign	(Flight	Day	Pilot	Gate)
	VN123	20/10/2018	Cuong	9
	VN123	27/10/2018	Nhan	7

Assign will violate the FD $Flight \rightarrow Gate$.

To avoid violating the FD, every time an update is made, we have to scan the relation and update the gate number every place the flight number appears.

The flight number and gate number information is duplicated, thus making the *data* redundant.

We can represent the same information as a database of two relations, **PilotAssign** and **GateAssign**:

PilotAssign	(Flight	Day	Pilot)	
	VN123	20/10/2018	Cuong	
	VN123	27/10/2018	Nhan	
	VN456	28/10/2018	Tuan	
GateAssign	(Flight	Gate)		
	VN123	7		

To reconstruct the original relation Assign, taking

 $PilotAssign \bowtie GateAssign$

To update anomaly in Assign, altering to change in GateAssign.

We have also removed data redundancy of Gate attribute.

6.5. Fully dependent

- Given R, F; X, $Y \subseteq R$
- *Y* is called *fully dependent* upon *X* if:
 - 1. $X \rightarrow Y \in F^+$
 - 2. $\nexists X' \subseteq X : X' \rightarrow Y \in F^+$

e.g. $F = \{ Flight, Day \rightarrow Pilot, Gate; Flight \rightarrow Gate \}$

Gate is not fully dependent upon {Flight, Day}.

Pilot is fully dependent upon {Flight, Day}.

6.6. Prime and nonprime attributes

- Given R, F
- A is an attribute in R
- A is *prime* in R with respect to F if A is *contained in some key* of R.
- Otherwise *A* is *nonprime* in *R*.

e.g. Assign(Flight, Day, Pilot, Gate)

with $\mathcal{F} = \{ Flight, Day \rightarrow Pilot; Flight \rightarrow Gate \}$

Key: {Flight, Day}

Prime: Flight and Day. Nonprime: Pilot and Gate.

6.7. Second normal form (2NF)

- R is in second normal form (2NF) respect to FDs F
- if it is in 1NF and every nonprime attribute is fully dependent on every key of R.
- A database scheme *D* is in second normal form if every relation scheme in 2NF.

Assign(Flight, Day, Pilot, Gate)

```
with F = \{ Flight, Day \rightarrow Pilot; Flight \rightarrow Gate \}
Key: {Flight, Day}
```

Assign is not in 2NF, because *Gate* is not fully dependent on key {*Flight*, *Day*}.

If we let $D = \{ R_1(Flight, Day, Pilot); R_2(Flight, Gate) \}$, then both R_1 and R_2 are in 2NF.

so D is in 2NF.

6.8. Transitively Dependent

- R, F
- $X \subseteq R$, $A \in R$
- A: transitively dependent upon X in R if there is a $Y \subseteq R$ with:
 - $X \rightarrow Y \in F^+$
 - $Y \rightarrow X \in F^+$
 - $Y \rightarrow A \in F^+$
 - A ∉ XY

Conference(Room, Day, Reporter, Name)

 $F = \{ Room, Day \rightarrow Reporter, Name; Reporter \rightarrow Name \}$

- Room, Day \rightarrow Reporter
- Reporter → Room, Day
- Reporter \rightarrow Name
- $Name \notin \{Room, Day\} \cup \{Reporter\}$

Name is transitively dependent

6.9. Third Normal Form (3NF)

Classic Definition

R is in third normal form (3NF) if:

- It is in 1NF, and
- no nonprime attribute in R is transitively dependent upon a key of R.

Database scheme D is in *third normal form* if every relation scheme R in D is in third normal form.

Conference(Room, Day, Reporter, Name)

 $F = \{Room, Day \rightarrow Reporter, Name; Reporter \rightarrow Name \}$

It is not in 3NF because of the transitive dependency of Name upon $\{Room, Day\}$.

If $D = \{R_1(Room, Day, Reporter); R_2(Reporter, Name)\}$, then

D is in 3NF because both R_1 and R_2 are in 3NF.

6.10. Third Normal Form (3NF)

Modern Definition

R is in third normal form (3NF) if:

- It is in 1NF, and
- Whenever $X \rightarrow Y$ is a nontrivial FD in F^+ , either
 - X is a superkey, or
 - Each $B \in Y$ is a member of some key of R

Database scheme D is in *third normal form* if every relation scheme R in D is in third normal form.

6.11. Lemma 3NF \Rightarrow 2NF

Any relation scheme R in 3NF is in 2NF.

Proof Using method:

- $(\mathcal{X} \Rightarrow \mathcal{Y}) \Leftrightarrow (\neg \mathcal{Y} \Rightarrow \neg \mathcal{X})$
- $(3NF \Rightarrow 2NF) \Leftrightarrow (\neg 2NF \Rightarrow \neg 3NF)$
- Partial dependency ⇒ Transitive dependency.

R is not in 2NF \Rightarrow exists non-prime attribute A is not fully dependent upon key $K \subseteq R$. So that $\exists K' \subsetneq K$:

- $K' \to A \in F^+$ and because of
- $K' \rightarrow K \in F^+$
- $A \notin K = K' \cup K$

Therefore A is transitively dependent upon key K, so that R is not in 3NF.

6.12. Boyce-Codd Normal Form (BCNF)

Classic Definition

R: Boyce-Codd normal form (BCNF) if:

- It is in 1NF, and
- No attribute in R is transitively dependent upon a key of R.

Database scheme D is in Boyce-Codd normal form if every relation scheme R in D is in Boyce-Codd normal form.

- Because of (attribute in R) \supseteq (nonprime attribute in R)
- So if R is in BCNF, then in 3NF.

6.13. Boyce-Codd Normal Form (BCNF)

Modern Definition

R: Boyce-Codd normal form (BCNF) if:

- It is in 1NF, and
- for every $Y \subseteq R$ and for every attribute $A \in (R Y)$
- if $Y \rightarrow A$, then $Y \rightarrow R$
- That is, if Y non-trivially determines any attribute of R, then Y is a superkey for R.

Database scheme D is in Boyce-Codd normal form if every relation scheme R in D is in Boyce-Codd normal form.

Student(Id, Name, Addr, Hobby)

with $F = \{ Id \rightarrow Name, Addr \}$ Key: $\{ Id, Hobby \}$

Student is in 1NF, not in 2NF, 3NF or BCNF.

e.g. **Assign(Manager, Project, Branch)**, with *F*:

 $Manager \rightarrow Branch$: Each manager works in a particular branch.

 $Project, Branch \rightarrow Manager$: Each project has several managers, and runs on several branches; however, a project has a unique manager for each branch.

Key: {Manager, Project}, {Project, Branch}

Assign is in 3NF, not in BCNF.

7.1. Preserve Information from a Decomposition?

```
SuppInfo(Name, Addr, Item, Price), with
```

```
\textit{F} = \{ \textit{Name}, \textit{Item} \rightarrow \textit{Price}; \textit{Name} \rightarrow \textit{Addr} \}
```

Supplnfo is in 1NF. We can replace it into:

```
\rho = \{ \ \textit{Supp}(\textit{Name}, \textit{Addr}) \ \textit{in BCNF}, \\ \textit{SuppDetail}(\textit{Name}, \textit{Item}, \textit{Price}) \ \textit{in BCNF} \ \}
```

- *r* is a relation of Supplnfo.
- Instead of store it in Supplnfo, we store it by two relation:
 - 1. $r(Supp) = \pi_{Name,Addr}(r)$, and
 - 2. $r(SuppDetail) = \pi_{Name,Item,Price}(r)$

Question: r(Supp) and r(SuppDetail) contain the same data as r.

Only way to recover r is by taking $r(Supp) \bowtie r(SuppDetail)$.

It is right if: $r = r(Supp) \bowtie r(SuppDetail)$

7.2. Preserve Information Decomposition

Decomposition of $\{R, F\}$ is its replacement:

- $\bullet \ \rho = \{ R_1, R_2, \cdots, R_k \}$
- $R = R_1 \cup R_2 \cup \cdots \cup R_k$
- R_i is no requirement to be disjoin.

This decomposition is *Preserve information* if for every r(R) satisfying F:

$$r = \pi_{R_1}(r) \bowtie \pi_{R_2}(r) \bowtie \cdots \bowtie \pi_{R_k}(r)$$

In general:

$$r \subseteq \pi_{R_1}(r) \bowtie \pi_{R_2}(r) \bowtie \cdots \bowtie \pi_{R_k}(r)$$

7.3. Tableau Test for Preserve Information

Tableau, Chase Algorithm.

```
Input: R = \{A^1, A^2, \dots, A^n\}, F, decomposition \rho = \{R_1, R_2 \dots, R_k\}
```

Ouput: Whether ρ is *Recovering information*.

Method:

- 1. Construct a tableau T: n columns, k rows.
 - Column A^j corresponds to attribute A^j
 - Row i corresponds to Ri
 - For all (i, j) cell:

```
If A^{j} \in R_{i}:

(i, j) \leftarrow 0

else

(i, j) \leftarrow i (the same Row no.)
```

Tableau Test (cont.)

2. Repeatedly until no more change tableau T:

For each
$$X \rightarrow Y \in F$$

if $\exists (t_1, t_2) \in T : t_1.X = t_2.X \land t_1.Y \neq t_2.Y$
if $t_1.Y = 0$ make $t_2.Y \leftarrow 0$
if $t_2.Y = 0$ make $t_1.Y \leftarrow 0$
if $t_1.Y = t_1$, $t_2.Y = t_2$ make them both t_1 or t_2

3. If exits a row has become all 0 then

 ρ is *Preserve information*.

If not, ρ is *Not Preserve information*.

7.4. e.g.

$$R(ABCD)$$
, $F = \{A \rightarrow B, B \rightarrow C, CD \rightarrow A\}$
Decomposed into $\{R_1(AD), R_2(AC), R_3(BCD)\}$
Preserve information testing.

The initial tableau:

	Α	В	C	D
$R_1(AD)$	0	1	1	0
$R_2(AC)$ $R_3(BCD)$	0	2	0	2
$R_3(BCD)$	3	0	0	0

Apply $A \rightarrow B$ to equate 1, 2. Chosing 1 as the representative symbol (Figure below).

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7.4. e.g. (cont.)

$$F = \{ A \rightarrow B, B \rightarrow C, CD \rightarrow A \}$$

Apply $B \to C$ to equate 1, 0. Chosing 0 as the representative symbol (Figure below).

$$\begin{array}{c|ccccc} & \textbf{A} & \textbf{B} & \textbf{C} & \textbf{D} \\ \hline R_1(AD) & 0 & 1 & 0 & 0 \\ R_2(AC) & 0 & 1 & 0 & 2 \\ R_3(BCD) & 3 & 0 & 0 & 0 \\ \end{array}$$

$$F = \{ A \rightarrow B, B \rightarrow C, CD \rightarrow A \}$$

Apply $CD \rightarrow A$ for row 1 and row 3, to equate 0, 3. Chosing 0 as the representative symbol (Figure below).

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	Α	В	C	D
$R_1(AD)$	0	1	0	0
$R_2(AC)$ $R_3(BCD)$	0	1	0	2
$R_3(BCD)$	0	0	0	0

The third row has all 0. Preserve information decomposition \blacksquare

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7.5. e.g.

Supplnfo(Name, Addr, Item, Price),

 $F = \{ Name, Item \rightarrow Price; Name \rightarrow Addr \}$

Decompose:

 $R_1 = \text{Supp(Name, Addr)},$

 $R_2 = Supp Detail (Name, Item, Price)$

	Name	Addr	Item	Price
R_1	0	0	1	1
R_2	0	2	0	0

Since $Name \rightarrow Addr$ and two rows agree on Name, we may equate their symbols for Addr, making 2 become 0.

The resulting table is:

	Name	Addr	ltem	Price
R_1	0	0	1	1
R_2	0	0	0	0

The second row has all 0. Preserve information decomposition \blacksquare

7.6. e.g.

$$R(ABCDE)$$
, $F = \{ A \rightarrow C, B \rightarrow C, C \rightarrow D, DE \rightarrow C, CE \rightarrow A \}$, $R_1(AD)$, $R_2(AB)$, $R_3(BE)$, $R_4(CDE)$, $R_5(AE)$

The initial tableau:

	Α	В	C	D	Ε
$R_1(AD)$	0	1	1	0	1
$R_2(AB)$	0	0	2	2	2
$R_3(BE)$	3	0	3	3	0
$R_4(CDE)$	4	4	0	0	0
$R_5(AE)$	0	5	5	5	0

Apply $A \to C$ for rows: 1, 2, 5 to equate 1, 2, 5. Choosing 1 as the representative symbol (Figure below).

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$$F = \{ A \rightarrow C, B \rightarrow C, C \rightarrow D, DE \rightarrow C, CE \rightarrow A \}$$

Apply $B \to C$ for rows: 2, 3 to equate 1, 3. Choosing 1 as the representative symbol. (Figure below)

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$$F = \{ A \rightarrow C, B \rightarrow C, C \rightarrow D, DE \rightarrow C, CE \rightarrow A \}$$

Apply $C \rightarrow D$ for roes: 1, 2, 3, 5 to equate 0, 2, 3, 5. The result symbol must be 0. (Figure below)

7.6. e.g. (cont.)

$$F = \{ A \rightarrow C, B \rightarrow C, C \rightarrow D, DE \rightarrow C, CE \rightarrow A \}$$

Apply $DE \rightarrow C$ for rows: 3, 4, 5 to equate 1 with 0. (Figure below)

$$F = \{ A \rightarrow C, B \rightarrow C, C \rightarrow D, DE \rightarrow C, CE \rightarrow A \}$$

Apply $CE \rightarrow A$ for rows: 3, 4, 5 to equate 3, 4, 0 must be with 0. (Figure below)

	Α	В	C	D	Ε
$R_1(AD)$	0	1	1	0	1
$R_2(AB)$	0	0	1	0	2
$R_3(BE)$	0	0	0	0	0
$R_4(CDE)$	0	4	0	0	0
$R_5(AE)$	0	5	0	0	0

	Α	В	C	D	Ε
$R_1(AD)$	0	1	1	0	1
$R_2(AB)$	0	0	1	0	2
$R_3(BE)$	0	0	0	0	0
$R_4(CDE)$	0	4	0	0	0
$R_1(AD)$ $R_2(AB)$ $R_3(BE)$ $R_4(CDE)$ $R_5(AE)$	0	5	0	0	0

The third row has all 0. Preserve information decomposition \blacksquare

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7.7. Preserve Information Decomposition Theorem

Lossless Join Decomposition.

$$R$$
 , F ; $ho = \left\{ \ R_1, \ R_2 \ \right\}$: Decomposition.

 ρ has a $\it recovered$ $\it information$ $\it decomposition$ if and only if:

•
$$(R_1 \cap R_2) \to (R_1 - R_2) \in F^+$$
, or

•
$$(R_1 \cap R_2) \to (R_2 - R_1) \in F^+$$

Note: Two FDs need not be in F; it can be in F^+

Proof: The initial table is shown in below:

	$R_1 \cap R_2$	$R_1 - R_2$	$R_2 - R_1$
row for R_1	$0 \cdots 0$	$0 \cdots 0$	$1 \cdots 1$
row for R_2	$0 \cdots 0$	$2 \cdots 2$	$0 \cdots 0$

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7.7. Theorem (cont.)

If
$$(R_1 \cap R_2) \to (R_1 - R_2) \in F^+$$
.

Result has the second row to be all 0.

$$\begin{array}{c|ccccc} & R_1 \cap R_2 & R_1 - R_2 & R_2 - R_1 \\ \hline \text{row for } R_1 & 0 \cdots 0 & 0 \cdots 0 & 1 \cdots 1 \\ \text{row for } R_2 & 0 \cdots 0 & 0 \cdots 0 & 0 \cdots 0 \\ \hline \end{array}$$

If
$$(R_1 \cap R_2) \to (R_2 - R_1) \in F^+$$
.

Result has the first row to be all 0.

$$\begin{array}{c|ccccc} & R_1 \cap R_2 & R_1 - R_2 & R_2 - R_1 \\ \hline \text{row for } R_1 & 0 \cdots 0 & 0 \cdots 0 & 0 \cdots 0 \\ \text{row for } R_2 & 0 \cdots 0 & 2 \cdots 2 & 0 \cdots 0 \end{array}$$

We has ρ Preserve information decomposition \blacksquare

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7.8. e.g.

$$R(ABC)$$
 , $F = \{A \rightarrow B\}$

1. R into $R_1(AB)$ and $R_2(AC)$: Preserve information.

Since
$$R_1 \cap R_2 = A$$
; $R_1 - R_2 = B$ and $A \rightarrow B$

2. R into $R_1(AB)$ and $R_2(BC)$: Not Preserve information.

Since $R_1 \cap R_2 = B$, and:

- $R_1 R_2 = A$ and $F \nvDash B \rightarrow A$
- $R_2 R_1 = C$ and $F \nvDash B \rightarrow C$

R into $R_1(AB)$ and $R_2(BC)$: Not Preserve information.

Considering
$$r(R) = \{(1, 1, 1), (2, 1, 2)\}$$

$$egin{array}{c|c} \pi_{AB}(r) \\ egin{array}{c|c} \mathbf{A} & \mathbf{B} \\ \hline 1 & 1 \\ 2 & 1 \\ \hline \end{array}$$

π_{B0}	c(r)
В	C
1	1
1	2

This natural join is proper superset of r.

7.9. Preserve Dependencise Decoposition

Preserve Dependencies

R , F.

R decomposed into $\rho = \{ R_1, R_2, \cdots, R_n \}$.

Decomposition ρ preserve F if the union of all the dependencies in $\pi_{R_i}(F)$, for $i = \overline{1..n}$ logically implies all the dependencies in F:

$$\left\{\begin{array}{l} \pi_{R_1}(F) \cup \pi_{R_2}(F) \cup \dots \cup \pi_{R_n}(F) \end{array}\right\} \models F$$
, aka
$$\bigcup_{i=1}^n \pi_{R_i}(F) \models F$$

Note: The converse is always true; F always implies all its projections, and therefore implies their union.

$$F \models \bigcup_{i=1}^{n} \pi_{R_i}(F)$$

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Store(Category, Warehouse, Product), with

```
F = \{ Product \rightarrow Category; Category, Warehouse \rightarrow Product \}
Key: K_1 = \{ Category, Warehouse \},
K_2 = \{ Warehouse, Product \}
```

Decopose **Store** into:

- R₁(Category, Product),
 F₁ = {Product → Category}, K = {Product}
- $\mathcal{R}_2(Product, Warehouse)$,

$$F_2 = \emptyset$$
, $K = \{Product, Warehouse\}$

This is a reserve information, since: $F \models (R_1 \cap R_2) \rightarrow (R_1 - R_2)$ But this decomposition does not preserve dependencies, since

$$F_1 \cup F_2 \not\equiv F$$

R_1	(Category	Product)	R_2	(Product	Warehouse)
	1	1		1	1
	1	2		2	1

However, this join violates FD:

Category, Warehouse \rightarrow Product

Store	(Category	Warehouse	Product)
	1	1	1
	1	1	2

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7.11. Testing Preserve Dependencies

$$R$$
 , F , $\rho = \{R_1, \cdots, R_n\}$.

Easy to test ρ preserves F by:

- 1. Computing $F_i = \pi_{R_i}(F^+)$.
- 2. Test whether: $\bigcup_{i=1}^{n} F_i \models F$?

7.12. e.g.

$$R(ABCD)$$
, $F = \{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A\}$. Decomposition $\rho = \{R_1(AB), R_2(BC), R_3(CD)\}$
Project F^+ onto R_i , we get result:

$$F_1 = \{ A \rightarrow B, B \rightarrow A \},$$

$$F_2 = \{ B \rightarrow C, C \rightarrow B \},$$

$$F_3 = \{ C \rightarrow D, D \rightarrow C \}$$

So
$$\bigcup_{i=1}^{3} F_i \models F$$

7.13. Preserve Information Decomposition into BCNF

Decomposition Algorithm

Input: R_0 , F_0 .

Output: A decomposition of R_0 into a collection of relations, all of which are in BCNF.

Method: $R = R_0$ and $F = F_0$.

Apply recursively $\langle R, F \rangle$.

- 1. If R is in BCNF, return R. Done.
- 2. If R violated BCNF by $X \to Y$ (X is not a super key), decomposition R into $R_1(X_F^+)$ and $R_2(X \cup (R X_F^+))$.
- 3. Compute $F_1 = \pi_{R_1}(F^+)$, $F_2 = \pi_{R_2}(F^+)$.
- 4. Recursively decompose $< R_1, F_1 >$ and $< R_2, F_2 >$ using this algorithm.
- 5. Return the union of the results of these decompositions.

```
Instock(Product, Category, Supply, Date, Quantity), with
F = \{ Product \rightarrow Category; Category \rightarrow Supply; \}
       Product, Date \rightarrow Quantity \}
Key = \{Product, Date\} and Instock is in 1NF.
1 R = Instock
  R violated BCNF by Product \rightarrow Category
  Product^+ = \{Product, Category, Supply\}
  R_1 (Product, Category, Supply),
  F_1 = \{ Product \rightarrow Category; Category \rightarrow Supply \}
  Key = \{Product\}, violated BCNF by Category \rightarrow Supply
  R_2(Product \cup (R - Product^+)), R_2(Product, Date, Quantity)
  F_2 = \{ Product, Date \rightarrow Quantity \}
  Key = \{Product, Date\}, it is in BCNF.
```

```
2. R_1(Product, Category, Supply)
  F_1 = \{ Product \rightarrow Category; Category \rightarrow Supply \}
  Key = \{Product\}, violated BCNF by Category \rightarrow Supply
   Category^+ = \{Category, Supply\}
   R_{11}(Category, Supply)
  F_{11} = \{ Category \rightarrow Supply \}
  Key = \{Category\}, it is in BCNF.
   R_{12}(Product, Category)
  F_{12} = \{ Product \rightarrow Category \}
  Key = \{Product\}, it is in BCNF.
```

Renumber relation in BCNF result:

```
R_1 (Category, Supply), in BCNF
  F_1 = \{ Category \rightarrow Supply \}
  Key = \{ Category \}
R_2(Product, Category), in BCNF
  F_2 = \{Product \rightarrow Category\}
  Kev = \{ Product \}
R_3(Product, Date, Quantity), in BCNF
  F_3 = \{ Product, Date \rightarrow Quantity \}
  Key = \{Product, Date\}
```

This result can be show by tree below.

With Product = P; Category = C; Supply = S; Date = D; Quantity = Q.

$$R(PCSDQ); \ \mathsf{Key} = PD; \ \mathsf{1NF}$$

$$F = \left\{P \to C; \ C \to S; \ PD \to Q\right\}$$

$$P \to C$$

$$R_1(PCS); \ \mathsf{Key} = P; \ \mathsf{2NF}$$

$$F_1 = \left\{P \to C; \ C \to S\right\}$$

$$C \to S$$

$$R_2(PDQ); \ \mathsf{Key} = PD; \ \mathsf{BCNF}$$

$$F_2 = \left\{PD \to Q\right\}$$

$$R_{11}(CS); \ \mathsf{Key} = C; \ \mathsf{BCNF}$$

$$F_{11} = \left\{C \to S\right\}$$

$$R_{12}(PC); \ \mathsf{Key} = P; \ \mathsf{BCNF}$$

$$F_{12} = \left\{P \to C\right\}$$

Notice: This algorithm decomposition into BCNF, its preserve information but not sure about preserve FDs.

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7.15. Preserve Information Decomposition into 3NF

Synthesis Algorithm

It decompose into 3NF Relations With preserve information and dependency.

Input: R, F

Output: Decomposition ρ , each of which is in 3NF. It has preserve information and

dependency.

Method: Perform the following steps:

- 1. Find a minimum cover of F, say F_c .
- 2. For each $X \to A \in F_c$, use XA as the scheme of one of the relations in the decomposition.
- 3. If none of the relation schemes from Step 2 is a superkey of R, add another relation whose scheme is a key of R.

7.16. e.g.

$$R(ABCDE)$$
, $F = \{AB \rightarrow C, C \rightarrow B, A \rightarrow D\}$

F is also a minimum cover. So we have:

$$R_1(ABC)$$
 , $R_2(BC)$, $R_3(AD)$.

Not to use a relation whose is a proper subset of another relation, so we can drop R_2 .

R has two keys: $K_1 = ABE$ and $K_2 = ACE$.

Neither of these keys is a subset of the schemes chosen so far. Thus, we must add one of them, say $R_4(ABE)$.

The final decomposition of R is thus:

$$R_1(ABC)$$
; $F_1 = \{AB \rightarrow C, C \rightarrow B\}$; $3NF$
 $R_2(BC)$; $F_2 = \{C \rightarrow B\}$; $BCNF$
 $R_3(AD)$; $F_3 = \{A \rightarrow D\}$; $BCNF$
 $R_4(ABE)$; $F_4 = \emptyset$; $BCNF$

8.1. e.g.

Supply(Name, Item, Delivery)

Supply	(Name	Item	Delivery)
	Amazon	Laptop	Moto
	Amazon	Washer	Moto
	Amazon	Laptop	Pick-Up
	Amazon	Washer	Pick-Up
	McD	Hamb	Drone
	McD	Hamb	Scooter

- * (n, i, d) \in **Supply**: supply name n supplied item i and can use delivery type t for that item.
- * There is no FDs Name → Item or Name → Delivery on Supply, yet Supply decomposes losslessly onto:

(Name, Item) and (Name, Delivery).

SupItem	(Name	ltem)	Su	pDeli	(Name	Delivery)
	Amazon	Laptop			Amazon	Moto
	Amazon	Washer			Amazon	Pick-Up
	McD	Hamb			McD	Drone
					McD	Scooter
	Supl	tem ⋈ SupDeli	(Name	ltem	Delive	ry)
			Amazon	Lapto	p Moto	
			Amazon	Lapto	p Pick-U	р
			Amazon	Wash	er Moto	
			Amazon	Wash	er Pick-U	р
			McD	Hamb	Drone	
			McD	Hamb	Scoote	r

Another instance of the relation **Supply**:

Supply	(Name	ltem	Delivery)
	Amazon	Laptop	Moto
	Amazon	Washer	Moto
	Amazon	Washer	Pick-Up
	McD	Hamb	Drone
	McD	Hamb	Scooter

Like above instance, if we decompose this instance onto: (Name, Item) and (Name, Delivery).

And join the two projections, we do not get back original instance.

SupItem	(Name	Item)	SupDeli		(Name	Delivery)
	Amazon Amazon McD	Laptop Washer Hamb			Amazo Amazo McD	
					McD	Scooter
	Supl	tem ⋈ SupDeli	(Name	ltem	Deli	very)
			Amazon	Lapto	p Moto	<u> </u>
			Amazon	Lapto	p Pick-	-Up
			Amazon	Wash	er Moto)
			Amazon	Wash	er Pick-	-Up
			McD	Hamb	Dron	ie
			McD	Hamb	Scoo	ter

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8.2. Multivalued Dependencies

Difference of two instance above is in the first instance:

If
$$t_1(n, i, d), t_2(n, i', d')$$
, then $t_3(n, i', d)$.

Definition R(XYZ); X, Y be disjoint subsets of R, and

$$Z = \mathcal{R} - (XY)$$
.

r(R) satisfies the multivalued dependency (MVD) $X \rightarrow Y$

if
$$\forall (t_1, t_2) \in r(R)$$
: $t_1.X = t_2.X$
 $\exists t_3 \in r(R)$: $t_3.X = t_1.X = t_2.X$,
 $t_3.Y = t_1.Y$, and
 $t_2.Z = t_2.Z$.

The symmetry of t_1 and t_2 in this definition implies:

$$\exists \ t_4 \in r(R): \ t_4.X = t_1.X = t_2.X, \ t_4.Y = t_2.Y, \ \text{and} \ t_4.Z = t_1.Z.$$

Notice: Dislike FD, this definition concern one $r(\mathcal{R})$ not $\forall r(\mathcal{R})$.

8.2. Definition (cont.)

Suppose r on $\mathcal{R}(XYZ)$ satisfies the MVD $X \rightarrow Y$

Representation of the definition below for easy remember:

$r(\mathcal{R})$	X	Υ	Z)	
t_1 :	1	1	1	
t_2 :	1	2	2	
t_3 :	1	1	2	
t_4 :	1	2	1	

$r(\mathcal{R}:$	X	Υ	Z)
t_1 :	Х	у	z
t_2 :	X	y'	z'
t_3 :	X	У	z'
t_4 :	X	y'	Z

8.2. Definition (cont.)

Note 1: The X woheadrightarrow Y says that the relationship between X and Y is independent of the relationship between X and (R - Y).

Note 2: $X \rightarrow Y$ holds in R if whenever we have:

- $(t_1, t_2) \in r(R)$: $t_1(X) = t_2(X)$, then
- We can swap two values $t_1(Y)$ and $t_2(Y)$ and get new (t_3, t_4) that are also $\in r(R)$.

8.3. Lemma

Relation r(R) satisfies the $X \rightarrow Y$:

- 1. Z = R XY, then r(R) satisfies $X \rightarrow Z$.
- 2. If X, Y are not disjoin: Y' = Y X, then $X \rightarrow Y'$
- 3. $X' \subseteq X$, then $X \rightarrow X'Y$

Proof

- 1. Easy to see when we change role of Y and Z
- 2. Z = R (XY) = R (XY')Since $X \rightarrow Y$ $\forall (t_1, t_2) \in r(R): t_1.X = t_2.X$ $\exists t_3: t_3.X = t_1.X = t_2.X,$ $t_3.Y = t_1.Y \text{ and } t_3.Z = t_2.Z$

Since
$$Y \subseteq Y$$
, so that $t_3.Y = t_1.Y \Rightarrow t_3.Y = t_1.Y$

Definition implies r(R) satisfies $X \rightarrow Y'$

8.3. Lemma (cont.)

Relation r(R) satisfies the $X \rightarrow Y$:

- 1. Z = R XY, then r(R) satisfies $X \rightarrow Z$.
- 2. If X, Y are not disjoin: Y' = Y X, then $X \rightarrow Y'$
- 3. $X' \subseteq X$, then $X \rightarrow X'Y$

Proof

3.
$$Z = R - (XX'Y) = R - (XY)$$

Since $X \rightarrow Y$
 $\forall (t_1, t_2) \in r(R): t_1.X = t_2.X$
 $\exists t_3: t_3.X = t_1.X = t_2.X,$
 $t_3.Y = t_1.Y \text{ and } t_3.Z = t_2.Z$

Since $X' \subseteq X$, so that $t_3.X' = t_1.X'$, then $t_3.X'Y = t_1.X'Y$

Definition implies r(R) satisfies $X \rightarrow X'Y \blacksquare$

8.4. e.g.

r(R:ABCD) shown below satisfies the AB woheadrightarrow BC, hence it satisfies the AB woheadrightarrow D, AB woheadrightarrow C and AB woheadrightarrow ABC

$r(\mathcal{R}:$	Α	В	C	D)
t_1 :	1	1	1	1
t_2 :	1	1	2	2
t_3 :	1	1	1	2
t_4 :	1	1	2	1
t_5 :	1	2	2	1
<i>t</i> ₆ :	2	1	1	2

8.5. Trivial MVDs

Definition

- -R and $X, Y \subseteq R$
- MVD $X \rightarrow Y$ is *trivial* if any relation r(R) satisfies $X \rightarrow Y$.
- So that
 - $Y \subseteq X$ or
 - XY = R

8.6. Theorem

$$R$$
, $X \subseteq R$, $Y \subseteq R$, $Z = R - (XY)$

r(R) satisfies the $X \rightarrow Y$

$$\Leftrightarrow r(R)$$
 reserve information decomposition into
$$\begin{cases} r_1 : R_1(XY) \\ r_2 : R_2(XZ) \end{cases}$$
 Proof

$$r(XYZ)$$
, $r_1 = \pi_{XY}(r)$, $r_2 = \pi_{XZ}(r)$
 r . $X \rightarrow Y \Leftrightarrow r = r_1 \bowtie r_2$

1 "
$$\Rightarrow$$
": $r: X \rightarrow Y \Rightarrow r = r_1 \bowtie r_2$
i.e. $r: X \rightarrow Y \Rightarrow \begin{cases} r \subseteq r_1 \bowtie r_2, \\ r_1 \bowtie r_2 \subseteq r \end{cases}$

```
1.a r \subseteq r_1 \bowtie r_2
   i.e. \forall t \in r \Rightarrow t \in r_1 \bowtie r_2. This is evident without to MVD:
  \forall t \in r
    \left. \begin{array}{l} t_1 = t.XY \Rightarrow t_1 \in \pi_{XY}(r) \\ t_2 = t.XZ \Rightarrow t_2 \in \pi_{XZ}(r) \end{array} \right\} \Rightarrow t_1 \bowtie t_2 \in \pi_{XY}(r) \bowtie \pi_{XZ}(r) 
   Since t = t_1 \bowtie t_2 \Rightarrow t \in r_1 \bowtie r_2.
   1.b r_1 \bowtie r_2 \subseteq r
  i.e \forall t \in r_1 \bowtie r_2 \Rightarrow t \in r.
  \forall t \in r_1 \bowtie r_2 \Rightarrow \begin{cases} \exists t_1 \in r_1, \\ \exists t_2 \in r_2 \end{cases} : t = t_1 \bowtie t_2
i.e. \begin{cases} t.XY = t_1, \\ t.XZ = t_2, \\ t_1.X = t_2.X \end{cases}
```

So we have:

$$\begin{cases} t.X = t_1.X = t_2.X, & (1) \\ t.Y = t_1.Y, & (2) \\ t.Z = t_2.Z & (3) \end{cases}$$

$$t_{1} \in \pi_{XY}(r) \Rightarrow \exists t'_{1} \in r : t'_{1}.XY = t_{1}$$

$$t_{2} \in \pi_{XZ}(r) \Rightarrow \exists t'_{2} \in r : t'_{2}.XZ = t_{2}$$

$$(4,1,5) \Rightarrow t'_{1}.X = t_{1}.X = t_{2}.X = t'_{2}.X$$

$$\Rightarrow t'_{1}.X = t'_{2}.X$$

$$(4) \Rightarrow t'_{1}.Y = t_{1}.Y$$

$$(2)$$

$$\Rightarrow t.Y = t'_{1}.Y$$

We have:

$$\begin{cases} \exists (t'_1, t'_2) \in r, \ t'_1.X = t'_2.X \\ \exists t \in r, \ t.X = t'_1.X = t'_2.X \\ t.Y = t'_1.Y \\ t.Z = t'_2.Z \end{cases}$$

This is the definition of MVD $X \rightarrow Y$ holds on r : R(XYZ). t satisfied all requirements above so that $t \in r$.

2 "\(= r_1 \times r_2 \Rightarrow r : X \Rightarrow Y \)
$$\forall (t_1, t_2) \in r : t_1.X = t_2.X$$

$$\exists t'_1 \in (r_1 = \pi_{XY}(r)) : t'_1 = t_1.XY \qquad (1)$$

$$\exists t'_2 \in (r_2 = \pi_{XZ}(r)) : t'_2 = t_2.XZ \qquad (2)$$
Since $r = r_1 \bowtie r_2$, so:
$$\exists t \in r : t = t'_1 \bowtie t'_2$$
So that,
since (1): $t.XY = t'_1 = t_1.XY \qquad (3)$
since (2): $t.XZ = t'_2 = t_2.XZ \qquad (4)$

$$(3) \Rightarrow t.X = t_1.X, t.Y = t_1.Y \qquad (5)$$

$$(4) \Rightarrow t.X = t_2.X, t.Z = t_2.Z \qquad (6)$$

All above we have:

$$\begin{cases} \forall (t_1, t_2) \in r : t_1.X = t_2.X \\ \exists t : t.X = t_1.X = t_2.X \\ (5) \Rightarrow t.Y = t_1.Y \\ (6) \Rightarrow t.Z = t_2.Z \end{cases}$$

Follow definition r satisfied $X \rightarrow Y$ on $r : R(XYZ) \blacksquare$

8.7. Test MVDs

$$r: R(XYZ)$$
:

- **1.** Theorem 8.6 gives us a method to test if a relation r(R) satisfies $X \rightarrow Y$:
 - 1. $r_1 = \pi_{XY}(r)$, $r_2 = \pi_{XZ}(r)$
 - 2. Test if $r = r_1 \bowtie r_2$?
- 2. Another method: Use only some sorting and counting.
 - 1. Given X-value x:
 - 2. n_1 : tuples in r_1 with X-value x
 - 3. n_2 : tuples in r_2 with X-value x
 - 4. n: tuples in r with X-value x
 - 5. If $n = n_1 \times n_2$ for any X-value x, then $r = r_1 \bowtie r_2$

8.7. Test MVDs (cont.)

Define the function counts the number of different W-values associated with a given X-value in r.

$$C_{W}[X = x](r) = |\pi_{W}(\sigma_{X=x}(r))|$$

The condition for $X \rightarrow Y$ can be stated as:

For any X-value x in r.

$$C_{\mathcal{R}}[X = x](r) = C_{XY}[X = x](r) \times C_{XZ}[X = x](r)$$

Since $C_{WX}[X = x](r) = C_W[X = x](r)$, we can simplify to:

$$C_R[X = x](r) = C_Y[X = x](r) \times C_Z[X = x](r)$$

8.8. e.g.

$$R(ABCD)$$
, Test $AB \rightarrow C$

$$\begin{array}{l} \mathcal{C}_{ABCD}[AB=11](r)=4; \ \mathcal{C}_{C}[AB=11](r)=2; \ \mathcal{C}_{D}[AB=11](r)=2\\ \mathcal{C}_{ABCD}[AB=12](r)=1; \ \mathcal{C}_{C}[AB=12](r)=1; \ \mathcal{C}_{D}[AB=12](r)=1\\ \mathcal{C}_{ABCD}[AB=21](r)=1; \ \mathcal{C}_{C}[AB=21](r)=1; \ \mathcal{C}_{D}[AB=21](r)=1 \end{array}$$

9.1. Inference Axioms MVDs Alone

r(R); W, X, Y, Z be subsets of R.

M1. Reflexivity

$$Y \subseteq X \models X \rightarrow Y$$

M2. Augmentation

$$\left. \begin{array}{c} X \rightarrow Y \\ W \subseteq Z \end{array} \right\} \models XZ \rightarrow YW$$

M3. Transitivity

$$\left. \begin{array}{c} X \rightarrow Y \\ Y \rightarrow Z \end{array} \right\} \models X \rightarrow Z - Y$$

M4. Additivity

$$\left. \begin{array}{c} X \rightarrow Y \\ X \rightarrow Z \end{array} \right\} \models X \rightarrow YZ$$

9.1. Inference Axioms MVDs Alone (cont.)

M5. Decomposition

M6. Pseudo Transitivity

$$X \xrightarrow{\mathcal{Y}} Y$$

$$WY \xrightarrow{\mathcal{Y}} Z$$

$$\models XW \xrightarrow{\mathcal{Y}} Z - (YW)$$

M7. Complementation

$$Z = \mathcal{R} - (XY)$$
 $= X \rightarrow Z$

9.2. Inference Axioms FDs and MVDs

r(R); W, X, Y, Z be subsets of R.

C1. Replication

$$X \rightarrow Y \models X \twoheadrightarrow Y$$

C2. Coalescence

9.3. e.g.

$$R(ABCDE)$$
, $D = \{A \rightarrow BC, DE \rightarrow C\}$
 $D \models A \rightarrow BDE$?
 $A \rightarrow BC \models A \rightarrow BC$ (C1)
 $A \rightarrow BC \models A \rightarrow DE$ (M7)
 $A \rightarrow DE$
 $DE \rightarrow C\}$ $\models A \rightarrow C$ (M3)
 $A \rightarrow C \models A \rightarrow BDE$ (M7)

9.4. Finding X_F^+ by Chase

R , F , $X \subseteq R$;

Compute X_F^+ all attributes that functionally depend on X.

- \bullet Start with a tableau having two rows that agree only on X.
- 2 Chase the tableau using the FDs of F.
- 3 The columns in final tableau agrees two rows is X_F^+ .

e.g.

$$R(ABCDEH), F = \{AB \rightarrow C, BC \rightarrow AD, D \rightarrow E, CH \rightarrow B\}$$

$$F \models AB \rightarrow D$$
?

Start with the tableau:

Α	В	С	D	Ε	Н
0	0	1	1	1	1
0	0	2	2	2	2

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9.4. Finding X_F^+ by Chase (cont.)

Apply $AB \rightarrow C$ to infer 1 = 2; say both become 1. The resulting tableau is:

Α	В	С	D	Ε	Н	
0	0	1	1	1	1	
0	0	1	2	2	2	

Next, apply $BC \to AD$ to infer that 1 = 2, and apply $D \to E$ to infer 1 = 2. At this point, the tableau is:

Α	В	C	D	Ε	Н
0	0	1	1	1	1
0	0	1	1	1	2

Since the two tuples agree in the *D* column implies $F \models AB \rightarrow D$.

Other hand: $AB_F^+ = (ABCDE)$.

In this tableau, only one AB_F^+ not other attributes.

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9.5. Tableau Chase Test for MVDs

 $X \rightarrow Y$ holds in $r : \mathcal{R}(XYZ)$ if only if:

$$r(XYZ) = r_1(XY) \bowtie r_2(XZ)$$

So we can use Tableau to check $r_1(XY)$ and $r_2(XZ)$ reserve information with r(XYZ) whether implies X woheadrightarrow Y.

e.g.

$$R(ABCD)$$
 , $\mathfrak{D} = \{ A \rightarrow B, B \twoheadrightarrow C \}$

$$\mathfrak{D} \models A \twoheadrightarrow C \text{ holds in } r(R)$$
?

Start with tableau:

R	Α	В	С	D
$r_1(AC)$ $r_2(ABD)$	0	1	0	1
$r_2(ABD)$	0	0	2	0

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9.5. Tableau Chase Test for MVDs (cont.)

Apply $A \to B$ to infer that 1 = 0.

The tableau becomes:

Apply the $B \rightarrow C$, since two rows agree in the B column. We swap C columns to get two more rows which we add to the tableau, which becomes:

R		В	C	D
$r_1(AC)$ $r_2(ABD)$	0	0	0	1
$r_2(ABD)$	0	0	0 2	0
	0	0	2	1
	0	0	0	0

A row with all 0, so that $F \models A \rightarrow C \blacksquare$

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9.6. e.g.

$$R(ABCGHI)$$
 , $\mathfrak{D}=\left\{ A \twoheadrightarrow B, B \twoheadrightarrow HI, CG \twoheadrightarrow H \right\}$ $\mathfrak{D}\models AB \twoheadrightarrow BH$

Using Tableau Chase Test:

R	Α	В	C	G	Н	ı	
$r_1(AGBH)$	0	0	1	0	0	1	t_1
$r_2(AGCI)$	0	2	0	0	2	0	t_2
$A \rightarrow B$	0	0	0	0	2	0	t_3
using $(extbf{ extit{t}}_1, extbf{ extit{t}}_2)$	0	2	0	0	2	0	t_4
B → HI	0	0	1	0	2	0	t_5
using (au_1, au_3)	0	0	0	0	0	1	t_6
CG woheadrightarrow H	0	0	0	0	0	0	t_7
using (t_3, t_6)	0	0	0	0	2	1	t 8

There is a row with all 0, so that $\mathfrak{D} \models AB \rightarrow BH \blacksquare$

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9.7. Projecting MVDs

R(ABCDE), $\mathfrak{D} = \{A \rightarrow CD\}$; Decomposition S(ABC). Finding MVDs imply in S(ABC)?

MVDs maybe have left side are A or B or C, since orther trivial MVDs.

Furthermore, we claim A woheadrightarrow C holds in S, as does A woheadrightarrow B (by the complementation rule).

Start with the tableau:

	A			D	Ε
$r_1(AC)$ $r_2(ABDE)$	0	1	0	1	1
$r_2(ABDE)$	0	0	2	0	0

9.7. Projecting MVDs (cont.)

Use $A \rightarrow CD$ to swap the C and D components of these two rows to get two new rows:

R	A	В	C	D	Е
$r_1(AC)$	0	1	0	1	1
$r_2(ABDE)$	0	0	2	0	0
$r_1(AC)$ $r_2(ABDE)$	0	1	2	0	1
	0	0	0	1	0

Notice: The last row has 0 in all the attributes of S, that is, A, B, and C. That is enough to conclude that $A \rightarrow C$ holds in $S \blacksquare$

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9.8. Minimum Dependency Basis

Algorithm 1 David Maier (The Theory of Relational Databases)

Input: R, $\mathfrak{D} = \{ FDs, MVDs \}$, $X \subseteq R$

Output: Minimum dependency basis for X base on $\mathfrak D$

Denote: $X_{\mathfrak{D}}^{++}$

Method:

 $oldsymbol{1}$ — Change all FDs in $\mathfrak D$ to MVDs

$$X^{++}_{\mathfrak{D}} \leftarrow ig\{ A_1, \ A_2, \dots \ A_k ig\}$$
 , $A_i \in X$

$$- \ \forall X' \twoheadrightarrow Y' \in \mathfrak{D} \ : \ X' \subseteq X$$

$$X_{\mathfrak{D}}^{++} \leftarrow X_{\mathfrak{D}}^{++} + \{Y'\} + \{R - X'Y'\}$$

2
$$\forall \{Y_1, Y_2\} \in X_{\mathfrak{D}}^{++} : Y_1 \cap Y_2 \neq \emptyset :$$

$$X_{\mathfrak{D}}^{++} \leftarrow X_{\mathfrak{D}}^{++} \ - \ \{Y_1, Y_2\} \ + \ \{Y_1 \cap Y_2\} \ + \ \{Y_1 - Y_2\} \ + \ \{Y_2 - Y_1\}$$

9.8. Minimum Dependency Basis (cont.)

- - $\mathfrak{D} \models Y \rightarrow Z$ (augmentation)
 - $\mathfrak{D} \models X \rightarrow \{Z Y\}$ (transitivity)
 - If $\{Z Y\}$ is NOT the union of some sets in $X_{\mathfrak{D}}^{++}$: $X_{\mathfrak{D}}^{++} \leftarrow X_{\mathfrak{D}}^{++} + \{Z Y\}$
- 4 If no MVD in $\mathfrak D$ can be used to change $X^{++}_{\mathfrak D}$, stop. If not, turn to step 2

Like X_F^+ for FDs, with $X_{\mathfrak{D}}^{++}$ we can implies all MVDs with which left side is X and right side is subset of $X_{\mathfrak{D}}^{++}$

9.9. e.g.

$$R(ABCDE)$$
, $\mathfrak{D} = \{A \rightarrow BC, DE \twoheadrightarrow C\}$
Find $A_{\mathfrak{D}}^{++}$.

- 1. Change to MVDs: $\mathfrak{D} = \{A \twoheadrightarrow BC, DE \twoheadrightarrow C\}$ - Initial $A_{\mathfrak{D}}^{++} = \{A\}$ - $A \twoheadrightarrow BC$: $A_{\mathfrak{D}}^{++} = \{A, BC, DE\}$
- 2. None
- 3. $DE \rightarrow C$ with Y = DE: $A_{\mathfrak{D}}^{++} = \{A, BC, DE, C\}$
- 2. $Y_1 = BC$, $Y_2 = C$: $A_{\mathfrak{D}}^{++} = \{A, B, C, DE\}$
- 3. None 2. None 3. None

Result: $A_0^{++} = \{A, B, C, DE\}$

9.10. e.g.

$$R(ABCDE)$$
, $\mathfrak{D} = \{A \to BC, DE \twoheadrightarrow C\}$

Find $AD_{\mathfrak{D}}^{++}$.

- 1. Change to MVDs: $\mathfrak{D} = \{A \twoheadrightarrow BC, DE \twoheadrightarrow C\}$ - Initial $AD_{\mathfrak{D}}^{++} = \{A, D\}$ - $A \twoheadrightarrow BC$: $AD_{\mathfrak{D}}^{++} = \{A, D, BC, DE\}$
- 2. $Y_1 = D, Y_2 = DE :$ $AD_{\mathfrak{D}}^{++} = \{A, D, E, BC\}$
- 3. $DE \rightarrow C$ with Y = DE: $AD_{\mathfrak{D}}^{++} = \{A, D, E, BC, C\}$
- 2. $Y_1 = BC, Y_2 = C:$ $AD_{\mathfrak{D}}^{++} = \{A, D, E, B, C\}$

9.10. e.g. (cont.)

- 3. None
- 2. None
- 3. None

Result:
$$AD_{\mathfrak{D}}^{++} = \{A, B, C, D, E\}$$

So that:
$$\mathfrak{D} \models AD \rightarrow BE$$

We can test this MVD by use the Axioms or Tableau and Chase.

9.11. Another Algorithm

Minimum Dependency Basis, Beeri [1980]

Input: R, $\mathfrak{D} = \{ FDs, MVDs \}$, $X \subseteq R$

Output: Minimum dependency basis for X base on $\mathfrak D$

Denote: $X_{\mathfrak{D}}^{++}$

Method:

 $oldsymbol{1}$ Change all FDs in $\mathfrak D$ to MVDs

$$X_{\mathfrak{D}}^{++} \leftarrow \{ R - X \}$$

2 While (No more change $X_{\mathfrak{D}}^{++}$) Do

For each
$$V woheadrightarrow W \in \mathfrak{D}$$
 If $(\exists Y \in X^{++}_{\mathfrak{D}} \colon Y \cap W \neq \emptyset , Y \cap V = \emptyset)$ then
$$X^{++}_{\mathfrak{D}} \leftarrow X^{++}_{\mathfrak{D}} - \{Y\} + \{Y \cap W\} + \{Y - W\}$$

3 X_0^{++} is dependency basis of X

10.1. Fourth Normal Form

Definition

$$R$$
, $\mathfrak{D} = \{FDs, MVDs\}$

R is in fourth normal form (4NF) if for every $X \rightarrow Y$:

- $X \rightarrow Y$ is a *trivial* MVD or
- X is a superkey for R

e.g.

$$R(ABCDE)$$
, $\mathfrak{D} = \{A \rightarrow BC, C \rightarrow DE\}.$

R is not in 4NF because of the $C \rightarrow DE$.

R consisting of the two $R_1(ABC)$ and $R_2(CDE)$ is in 4NF with respect to \mathfrak{D} , even though $A \to B$ is implied by \mathfrak{D} and applies to R_1 .

 $A \rightarrow B$ is not trivial, but A is a key for R_1 .

10.2. Lemma

R, $\mathfrak{D} = \{FDs, MVD\}$. If R is in 4NF, then R is in BCNF.

Proof (use $\mathscr{C}_1 \Rightarrow \mathscr{C}_2 \Leftrightarrow \neg \mathscr{C}_2 \Rightarrow \neg \mathscr{C}_1$)

Suppose R is not in BCNF. Then we must have subsets K, Y, and A of R such that K is a key for \mathcal{R} and:

- 1. $K \rightarrow Y$,
- $2. Y \nrightarrow K,$
- 3. $Y \rightarrow A$.
- 4. $A \notin (KY)$

We try to prove $Y \rightarrow A$ is not trivial and Y is not a superkey.

- $-Y \rightarrow A \models Y \twoheadrightarrow A$ Since $A \notin Y$ and $YA \neq \mathcal{R}$, so $Y \twoheadrightarrow A$ is not trivial.
- $-Y \nrightarrow K$, so Y is not a key $\Rightarrow Y$ not a superkey

Therefore, R is not in 4NF

10.3. Decomposition into 4NF

Quite analogous to the BCNF decomposition algorithm.

Algorithm Decomposition into 4NF.

Input: R_0 with $\mathfrak{D}_0 = \{FDs, MVDs\}$ **Output:** $\rho = \{R_1, R_2, \dots, R_k\}$ in 4NF, conserve information **Method:** Do the following steps, with $R = R_0$ and $\mathfrak{D} = \mathfrak{D}_0$

- 1. Find a 4NF violation in R; $X \rightarrow Y$ in R, X is not a superkey. If none, return True; R by itself is a suitable decomposition.
- 2. If have a 4NF violation, break R into two:
 - *R*₁(*XY*)
 - $R_2(X(R-XY))$

10.3. Decomposition into 4NF (cont.)

- 3. Find the FD's and MVD's: $\mathfrak{D}_1 = \pi_{R_1}(\mathfrak{D})$ and $\mathfrak{D}_2 = \pi_{R_2}(\mathfrak{D})$.
- 4. Recursively decompose $\{R_1,\mathfrak{D}_1\}$ and $\{R_2,\mathfrak{D}_2\}$

e.g.

$$R(ABCDEI)$$
, $\mathfrak{D} = \{A \rightarrow BCD, B \rightarrow AC, C \rightarrow D\}$.

- Key = (BEI). R is not in 4NF because of A woheadrightarrow BCD is a nontrival MVD and A is not a key for R
- Decompose R into: $R_1(ABCD)$, $\mathfrak{D}_1 = \{B \to AC, C \to D\}$, key = B, 2NF $R_2(AEI)$, $\mathfrak{D}_2 = \emptyset$, 4NF
- Use C o D for $R_1(ABCD)$: $R_{11}(CD)$, $\mathfrak{D}_{11} = \{C \to D\}$, key = C, 4NF $R_{12}(ABC)$, $\mathfrak{D}_{12} = \{B \to AC\}$, key = B, 4NF

Result: $\rho = \{\mathcal{R}_{11}, \mathcal{R}_{12}, \mathcal{R}_2\}$ is thus in 4NF rescrect to \mathfrak{D} .

11.1. e.g.

For example, we have r(ABC) decomposes conserve information onto AB, AC and BC.

r	(A	В	C)
	1	1	1
	1	2	2
	3	3	3
	4	3	4
	5	5	5
	6	6	5

$$r = \pi_{AB}(r) \bowtie \pi_{AC}(r) \bowtie \pi_{BC}(r)$$

11.1. e.g. (cont.)

However, r satisfies no nontrivial MVDs, so it has no conserve information decomposition onto any pair of R_1 and R_2 such that $R_1 \neq ABC$ and $R_1 \neq ABC$.

11.2. Join Dependency

 $R = \{R_1, R_2, ..., R_p\}$ be a set of relation schemes over $U = R_1 R_2 ... R_p$. A relation r(U) satisfies

Join Dependency:
$$(JD) * [R_1, R_2, ..., R_p]$$

if r decomposes conserve information onto R_1, R_2, \cdots, R_p . That is,

$$r = \pi_{R_1}(r) \bowtie \pi_{R_2}(r) \bowtie \cdots \bowtie \pi_{R_p}(r)$$

We also write $*[R_1, R_2, \cdots, R_p]$ as *[R]

e.g.

In example 11.1, r satisfies the JD *[AB, AC, BC]

11.2. Join Dependency (cont.)

We know:

- 1. r(R) satisfies $X woheadrightarrow Y \Leftrightarrow r$ decomposes conserve information onto XY and XZ, where Z = R (XY).
- 2. This condition is just JD *[XY, XZ].
- 3. JD $*[R_1, R_2]$ is the same as the $R_1 \cap R_2 \twoheadrightarrow R_1$.
- 4. We can also define JDs in a manner similar to the definition of MVDs.
- 5. Let r satisfy $*[R_1, R_2, \dots, R_p]$. If r contains tuples t_1, t_2, \dots, t_p such that $t_i(R_i \cap R_i) = t_i(R_i \cap R_i)$

for all i, j, r must contain t such that $t(R_i) = t_i(R_i)$, $1 \le i \le p$.

11.3. e.g.

Suppose relation r(ABCDE) satisfies the JD *[ABC, BD, CDE] and contains the three tuples shown below.

r must also contain tuple t = (1, 1, 1, 2, 2)

$$JD *[R_1, R_2, \cdots, R_p]$$
 over R is *trivial* if $R = R_1$ for some i .

$$\mathsf{JD} * [R_1, R_2, \cdots, R_p] \text{ applies to } R \mathsf{ if } R = R_1 R_2 \cdots R_p.$$

11.4. Project Join Normal Form

```
R, F = \{FDs, JDs\} over R. R is in project join normal form (PJNF) with respect to F if for every JD *[R_1, R_2, \cdots, R_p] implied by F, that implies to R, and:
```

- JD is trivial or,
- Every R_i is a superkey for R.

```
e.g. Let R(ABCDEI), F = \{*[ABCD, CDE, BDI], *[AB, BCD, AD], A \rightarrow BCDE, BC \rightarrow AI\}. Key = (A), (BC) R is not PJNF because of JD *[ABCD, CDE, BDI]. If decompose \rho = \{R_1(ABCD), R_2(CDE), R_3(BDI)\}
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11.4. Project Join Normal Form (cont.)

```
\rho = \big\{R_1(ABCD), R_2(CDE), R_3(BDI)\big\}
R_1(ABCD), F_1 = \big\{*[AB, BCD, AD], A \rightarrow BCD, BC \rightarrow A\big\}
\mathsf{Key} = (A), BC. \mathsf{So}\ R_1 \mathsf{ is in PJNF}.
R_2(CDE), \mathcal{F}_2 = \emptyset, \mathsf{PJNF}.
R_3(BDI), F_3 = \emptyset, \mathsf{PJNF}.
```

12.1. Embedded Functional Dependencies

Given R, $X \rightarrow Y$ holds on R. If any $S \supseteq R$. Whether $X \rightarrow Y$ holds on S?

This is evident for FDs because the definition of FDs did not concert to other XY set.

r	(<i>R</i> :	X	Y)	
		1	2	
		1	2	
		3	4	
		3	4	

r	(<i>S</i> : X	Y	Z	W)
	1	2	\odot	\odot
	1	2	÷	¥
	3	4	0	(E)
	3	4	3	\odot

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12.2. Embedded Multivalued Dependencies

Consider the relation r(ABCD). The projection $\pi_{ABC}(r)$ satisfies A woheadrightarrow B, but r itself does not.

r	(A	В	C)
	1	1	1
	1	2	1
	1	1	2
	1	2	2
	2	2	2

(A	В	C	D)
1	1	1	1
1	2	1	1
1	1	2	2
1	2	2	1
2	2	2	2

Definition: Relation r(R) satisfies the embedded multivalued dependency X woheadrightarrow Y|Z if the X woheadrightarrow Y is satisfied by the $\pi_{X \cup Y \cup Z}(r)$.

Read: 'X multivalued determines Y in the context of Z'.

12.3. Embedded Join Dependencies

Multivalued dependencies is spectial of Join dependencies.

$$r(R)$$
, $X \rightarrow Y$.

Another description is JD *[XY, XZ] with Z = R - XY.

Definition

- Relation r(R) satisfies the embedded join dependency (EJD) $*[R_1, R_2, ..., R_p]$ if: $\pi_S(r)$ satisfies $*[R_1, R_2, ..., R_p]$ as a regular JD, where $S = R_1 R_2 ... R_p$.
- Allow R = S. That is, every JD is an EJD.
- Also write the embedded multivalued dependency (EMVD) *[XY, XZ] as $X \rightarrow Y|Z$.

References



Ulman, J. D. (1988). Principles of Database and Knowledge - Base Systems. (Vol. I). Rockville, USA: Computer Science Press.