### Labwork 3 – Report

Member: Phan Manh Tung – USTHBI8-160 Vu Tuan Phong – USTHBI8-139

# T2 – Classification I

Data: For this labwork, we choose 2 dataset from UCI Machine Learning Repository namely Iris dataset and Breast Cancer Wisconsin dataset.

Tool: Python is our chosen programming language and we opt for google colab (a free python interactive environment offered by Google) for strong computing power and convenient coding.

# I) Perceptron

### 1.1 Iris dataset:

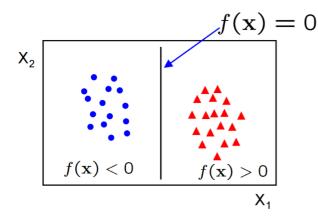
In this problem, we attempt to implement the model from scratch. First, we prepare the demanding input for the model. Iris dataset has 3 classes, which is not suitable for our binary model. Therefore, we eliminate class Irissetosa, leaving the dataset with 100 sample divided into 2 classes namely, Irisvirginica, Iris-versicolor.

```
[181] import numpy as np
  import matplotlib.pyplot as plt
  import pandas as pd

column = ["sepal length", "sepal width", "petal length", "petal width", "class"]
  df = pd.read_csv("/content/gdrive/My Drive/iris.data", sep=',', header=None)
  df.columns = column
  myclass = df["class"]
  df.head(5)
```

₿		sepal length	sepal width	petal length	petal width	class
	0	5.1	3.5	1.4	0.2	Iris-setosa
	1	4.9	3.0	1.4	0.2	Iris-setosa
	2	4.7	3.2	1.3	0.2	Iris-setosa
	3	4.6	3.1	1.5	0.2	Iris-setosa
	4	5.0	3.6	1.4	0.2	Iris-setosa

```
[183] # Get rid of class "Iris-setosa":
    mydf = df[df['class'] != "Iris-setosa"]
    twoclass = mydf["class"]
    print(len(twoclass))
```



A linear classifier has the form:

$$f(\mathbf{x}) = \mathbf{w}\mathbf{x}^T + b$$

Because our activation function of Perceptron algorithm is the sign of f(x) – the hyperplane, so that we also have to change the labels of the dataset into values 1 and -1 (-1 is Iris-versicolor and 1 is Iris-virginica).

Then we divide the dataset into train/test set with the ratio of 70:30.

```
[184] X = mydf.drop(["class"], axis=1).values
    y = twoclass.values

# Turn the class into -1; 1 for training purpose
# Iris-versicolor = -1; Iris-virginica = 1
    y = np.where(y == "Iris-versicolor", -1, 1)

# splitting the data into training and test sets (70:30)
    from sklearn.model_selection import train_test_split
    X_train,X_test,y_train,y_test = train_test_split(X,y,test_size=0.3,random_state=4)
```

Below part is our main implementation of the Perceptron algorithm. We follow the rule given on the slide.

# Perceptron Algorithm

- intialize  $\mathbf{w}_0 = \mathbf{0}$  (or close to  $\mathbf{0}$ )
- for all  $\mathbf{x}_i \in \mathcal{X}$ , if  $\mathbf{x}_i$  is misclassified

$$\mathbf{w}_t = \mathbf{w}_{t-1} + \alpha sign(f(\mathbf{x}_i))\mathbf{x}_i$$

• until all the data is correctly classified

```
[185] # Perceptron in action:
     def h(sl, sw, pl, pw, w1, w2, w3, w4, b):
         return np.sign(sl*w1 + sw*w2 + pl*w3 + pw*w4 + b)
     w1 = 0
     w2 = 0
     w3 = 0
     w4 = 0
     b = 0
     alpha = 0.1
     for j in range(100):
       for i in range(0, len(X train)):
         if h(X_train[i][0], X_train[i][1], X_train[i][2], X_train[i][3], w1, w2, w3, w4, b) != y_train[i]:
           w1 = w1 + alpha*y train[i]*X train[i][0]
           w2 = w2 + alpha*y_train[i]*X_train[i][1]
           w3 = w3 + alpha*y_train[i]*X_train[i][2]
           w4 = w4 + alpha*y train[i]*X train[i][3]
           b = b + alpha*y train[i]
     print(w1)
     print(w2)
     print(w3)
     print(w4)
     print(b)
```

C -5.67999999999973 -3.310000000000018 6.39999999999997 10.929999999999943 -6.299999999999994

The h() function is our activation function, which returns the sign of the hyperplane (value 1 or -1).

Because Iris dataset has 4 attributes: sepal length, sepal width, petal length, petal width. The hyperplane f(x) will contain 4 weights w1, w2, w3, w4 and bias value b. We initialize all 5 values at 0.

The learning rate (alpha) is set at 0.1

In the main for-loop, we have 100 iterations which is not a large value. Looping through the training set, for each misclassified point, we use that point to update our weights.

Then we use our model to make a very first prediction:

Afterwards, we use obtained weights to make predictions on the test set, then use the metric accuracy\_score to compare with the y\_test labels. Our model is 93.33% accurate on the testing set, which is a great result.

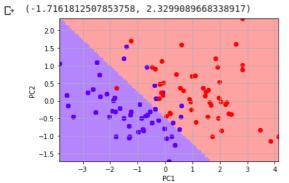
### 1.2 Using PCA for 2D visualization:

For easier visualization, we apply the PCA technique to reduce our 4-dimensional Iris dataset into a 2-dimensional dataset.

Then, we re-build the Perceptron algorithm with the new 2-dimensional dataset. This time, we have only 2 weights w1,w2 and 1 bias b to update.

```
def h(pc1, pc2, w1, w2, b):
        return np.sign(pc1*w1 + pc2*w2 + b)
    w1 = 0
    w2 = 0
    b = 0
    alpha = 1
    for j in range(1000):
      for i in range(0, len(X_pca)):
        if h(X_pca[i][0], X_pca[i][1], w1, w2, b) != y[i]:
          w1 = w1 + alpha*y[i]*X_pca[i][0]
          w2 = w2 + alpha*y[i]*X_pca[i][1]
          b = b + alpha*y[i]
    print(w1)
    print(w2)
    print(b)
   2.873257795532451
    3.899221277224042
    2
[ ] def which_flower(pc1, pc2):
        return np.sign(pc1*w1 + pc2*w2 + b)
    which flower(2,3)
[→ 1.0
```

```
from matplotlib.colors import ListedColormap
cmap_light = ListedColormap(['#AAAAFF','#AAFFAA','#FFAAAA'])
#generate all the points in the plane using np.meshgrid(np.arange(x min, x min, super small))
xx, yy = np.meshgrid(np.linspace(X_pca[:,0].min(), X_pca[:,0].max(), num=100), np.linspace(X_pca[:,1].min(), X_pca[:,1].max(), num=100))
Z = which_flower(xx.ravel(),yy.ravel())
Z = Z.reshape(xx.shape)
plt.figure()
# xx, yy are ALL the points in the plane, Z is the color of each area
# then plot the points using plt.scatter as usual
plt.pcolormesh(xx,yy,Z,cmap=cmap_light)
# Graph points
for i in range(0, len(X_pca)):
    if y[i] == 1:
        plt.scatter(X pca[i][0], X pca[i][1], c = 'r')
    elif y[i] == -1:
        plt.scatter(X_pca[i][0], X_pca[i][1], c = 'b')
plt.grid()
plt.xlabel('PC1')
plt.ylabel('PC2')
plt.xlim(xx.min(),xx.max())
plt.ylim(yy.min(),yy.max())
     --x_min x_max y_min y_max
```



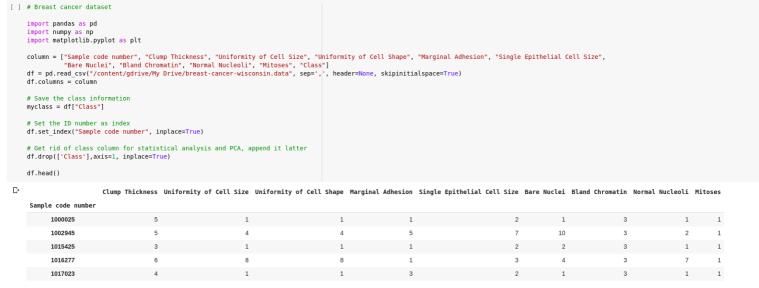
[ ] #MESH

We illustrate the final result with mesh color plot using matplotlib.pyplot. It is obvious that the hyperplane do a great job in dividing 2 distinct classes.

# Comment on the convergence rate of Perceptron on Iris:

- The convergence rate of the dataset relies on our initialized weights' values, number of iterations and most importantly, the learning rate alpha.
- For this particular dataset, we would say that it converges relatively fast. Given alpha=1, all the weights and bias is initially set at 0, the algorithm gives 93% accurate predictions after just 100 iterations. And as we continue to experiment, our algorithm reaches 97% accurate predictions at 1000 iterations.

For further study, we implement Perceptron with Breast Cancer dataset. But this time, we use the built-in sklearn's perceptron for convenience.



This dataset has 2 classes (benign or malignant) given 9 different attributes ranging from 1 to 10.

We again prepare our X (data) and y (label), divide them into train/test set with the ratio of 90:10.

Then, we import the Perceptron from sklearn.linear\_model. The perceptron.coef\_ gives all the updated weights.

We use to model to make our predictions on the test set and calculate the accuracy score. 98.57% is a really good score for prediction.

```
[ ] X = df.replace("?", 1).values
   y = myclass.values
   from sklearn.model selection import train test split
   X train,X test,y train,y test = train test split(X,y,test size=0.1,random state=4)
[ ] from sklearn.linear model import Perceptron
   perceptron = Perceptron(tol=1e-3, random state=0)
   perceptron.fit(X train, y train)
   # The obtained weights after running the algorithm
   print(perceptron.coef )
[[-4. 19. 6. 4. -2. 15. 5. 6. 11.]]
[ ] y pred = perceptron.predict(X test)
   print(y pred)
[ ] sm.accuracy score(y pred, y test)
C→ 0.9857142857142858
```

# II) K Nearest Neighbors.

#### 2.1 Iris dataset

```
[ ] import numpy as np
    import pandas as pd
    import matplotlib.pyplot as plt
    column = ["sepal length", "sepal width", "petal length", "petal width", "class"]
    df = pd.read csv("/content/gdrive/My Drive/iris.data", sep=',', header=None)
    df.columns = column
    myclass = df["class"]
    df.head(5)
₽
        sepal length sepal width petal length petal width
                                                                  class
     0
                  5.1
                               3.5
                                              1.4
                                                           0.2 Iris-setosa
     1
                  4.9
                               3.0
                                              1.4
                                                           0.2 Iris-setosa
     2
                  4.7
                               3.2
                                              1.3
                                                           0.2 Iris-setosa
     3
                  4.6
                               3.1
                                              1.5
                                                           0.2 Iris-setosa
                  5.0
                                                           0.2 Iris-setosa
[ ] X = df.drop(["class"], axis=1).values
    y = myclass.values
[ ] # splitting the data into training and test sets (80:20)
    from sklearn.model_selection import train_test_split
    X_train,X_test,y_train,y_test = train_test_split(X,y,test_size=0.2,random_state=4)
[ ] print(len(X train))
    print(len(y_train))
[→ 120
    120
```

KNN algorithm can work on multiple classes, not binary like Perceptron. Therefore, we quickly prepare our data: read it into dataframe with pandas, divide train/test (ratio 80:20).

We first implement to KNN with k=5, then report all the score of the dataset by comparing the prediction with the test labels.

It is clear that our model with k=5 works well in predicting all 3 classes. The overall accuracy is up to 97%, leaving only 3% classification error.

Then we vary k value from 2 to 19, then calculate the accuracy of each KNN.

The accuracy score remains constant when varying k.

```
[ ] for i in range(2,20):
      my knn = KNeighborsClassifier(n neighbors=i)
      my_knn.fit(X_train, y_train)
      y predict = my knn.predict(X test)
      print("Accuracy score with k =", i,"is",sm.accuracy score(y predict, y test))
Accuracy score with k = 3 is 0.966666666666667
    Accuracy score with k = 4 is 0.966666666666667
    Accuracy score with k = 5 is 0.966666666666667
    Accuracy score with k = 6 is 0.966666666666667
    Accuracy score with k = 7 is 0.966666666666667
    Accuracy score with k = 8 is 0.966666666666667
    Accuracy score with k = 9 is 0.966666666666667
    Accuracy score with k = 10 is 0.966666666666667
    Accuracy score with k = 11 is 0.966666666666667
    Accuracy score with k = 12 is 0.966666666666667
    Accuracy score with k = 13 is 0.966666666666667
    Accuracy score with k = 14 is 0.966666666666667
    Accuracy score with k = 15 is 0.966666666666667
    Accuracy score with k = 16 is 0.966666666666667
    Accuracy score with k = 17 is 0.966666666666667
    Accuracy score with k = 18 is 0.966666666666667
    Accuracy score with k = 19 is 0.966666666666667
```

Then, we normalize the dataset and repeat the same process. The result comes out nearly the same as applying KNN directly.

```
[ ] from sklearn.preprocessing import normalize
    X normalized = normalize(X)
    # Split train-test set
    X train,X test,y train,y test = train test split(X normalized,y,test size=0.2,random state=4)
    for i in range(2,20):
     my knn = KNeighborsClassifier(n neighbors=i)
     my knn.fit(X train, y train)
     y predict = my knn.predict(X test)
      print("Accuracy score with k =", i,"is",sm.accuracy_score(y_predict, y_test))
Accuracy score with k = 2 is 0.9666666666666666667
   Accuracy score with k = 3 is 0.966666666666667
    Accuracy score with k = 4 is 0.9666666666666667
   Accuracy score with k = 5 is 0.966666666666667
   Accuracy score with k = 6 is 0.966666666666667
   Accuracy score with k = 7 is 0.96666666666667
   Accuracy score with k = 9 is 0.966666666666667
   Accuracy score with k = 11 is 0.966666666666667
   Accuracy score with k = 12 is 0.966666666666667
    Accuracy score with k = 13 is 0.966666666666667
   Accuracy score with k = 14 is 0.966666666666667
    Accuracy score with k = 15 is 0.966666666666667
   Accuracy score with k = 16 is 0.966666666666667
    Accuracy score with k = 17 is 0.966666666666667
   Accuracy score with k = 18 is 0.966666666666667
   Accuracy score with k = 19 is 0.966666666666667
```

Afterwards, we apply PCA before implementing the KNN algorithm.

```
from sklearn.decomposition import PCA
   from sklearn import preprocessing
   scaled_data = preprocessing.scale(X)
   pca = PCA(n_components=2) # create a PCA object
   pca.fit(scaled data) # do the math
   X pca = pca.transform(scaled data) # get PCA coordinates for scaled data
   # Split train-test set
   X_train,X_test,y_train,y_test = train_test_split(X_pca,y,test_size=0.2,random_state=4)
   for i in range(2,20):
     my knn = KNeighborsClassifier(n neighbors=i)
     my_knn.fit(X_train, y_train)
     y predict = my knn.predict(X test)
     print("Accuracy score with k =", i,"is",sm.accuracy_score(y_predict, y_test))
\rightarrow Accuracy score with k = 2 is 0.9
   Accuracy score with k = 3 is 0.86666666666667
   Accuracy score with k = 4 is 0.9
   Accuracy score with k = 5 is 0.96666666666667
   Accuracy score with k = 6 is 0.966666666666667
   Accuracy score with k = 7 is 0.966666666666667
   Accuracy score with k = 8 is 0.966666666666667
   Accuracy score with k = 10 is 0.966666666666667
   Accuracy score with k = 11 is 0.966666666666667
   Accuracy score with k = 12 is 0.966666666666667
   Accuracy score with k = 13 is 0.966666666666667
   Accuracy score with k = 14 is 0.966666666666667
   Accuracy score with k = 15 is 0.966666666666667
   Accuracy score with k = 16 is 0.966666666666667
   Accuracy score with k = 17 is 0.966666666666667
   Accuracy score with k = 18 is 1.0
   Accuracy score with k = 19 is 0.96666666666667
```

The results is worse compared to previous approaches, due to the fact that PCA creates information loss to the original dataset.

Our KNN algorithm is based mainly on the value k chosen. So to improve our model, we propose a method of using k-cross validation to find the average accuracy after multiple implementation on different folds.

```
[ ] from sklearn.model selection import KFold
    avg accuracy list = []
    kfold = KFold(10, True, 1)
    for i in range(2,20):
     accuracy list = []
     for train index, test index in kfold.split(X):
       my knn = KNeighborsClassifier(n neighbors=i)
       X train, X test = X[train index], X[test index]
       y train, y test = y[train index], y[test index]
       my knn.fit(X train, y train)
       y predict = my knn.predict(X test)
       accuracy list.append(sm.accuracy score(y predict, y test))
     avg_accuracy = sum(accuracy_list)/len(accuracy list)
     avg accuracy list.append(avg accuracy)
     print("Accuracy with k =",i,"is", avg accuracy)
    print("The maximum value of accuracy: ",np.array(avg_accuracy_list).max())
    \# -----> k = 8,9,14,15
Accuracy with k = 2 is 0.9466666666666666
   Accuracy with k = 3 is 0.96666666666668
   Accuracy with k = 4 is 0.953333333333333
   Accuracy with k = 5 is 0.96000000000000002
   Accuracy with k = 7 is 0.953333333333333
   Accuracy with k = 10 is 0.9600000000000002
   Accuracy with k = 11 is 0.96666666666668
   Accuracy with k = 12 is 0.9600000000000002
   Accuracy with k = 13 is 0.96666666666668
   Accuracy with k = 14 is 0.9733333333333334
   Accuracy with k = 16 is 0.9600000000000002
   Accuracy with k = 17 is 0.96666666666668
   Accuracy with k = 18 is 0.9600000000000002
   Accuracy with k = 19 is 0.9600000000000002
   The maximum value of accuracy: 0.97333333333333334
```

We divide the dataset into 10 folds, record the accuracy score for each fold then averaging them. After that, we compare all the obtained averaged accuracy score. It is evident that k = 8,9,14,15 are the best values for k in our KNN algorithm.

Finally, we apply the Leave One Out - a special case of k-cross validation where number of folds = number of data points, leaving only 1 point for validation.

Classification Error is 3.33% as calculated.