



ĐẠI HỌC ĐÀ NẴNG

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Nhân bản – Phụng sự – Khai phóng

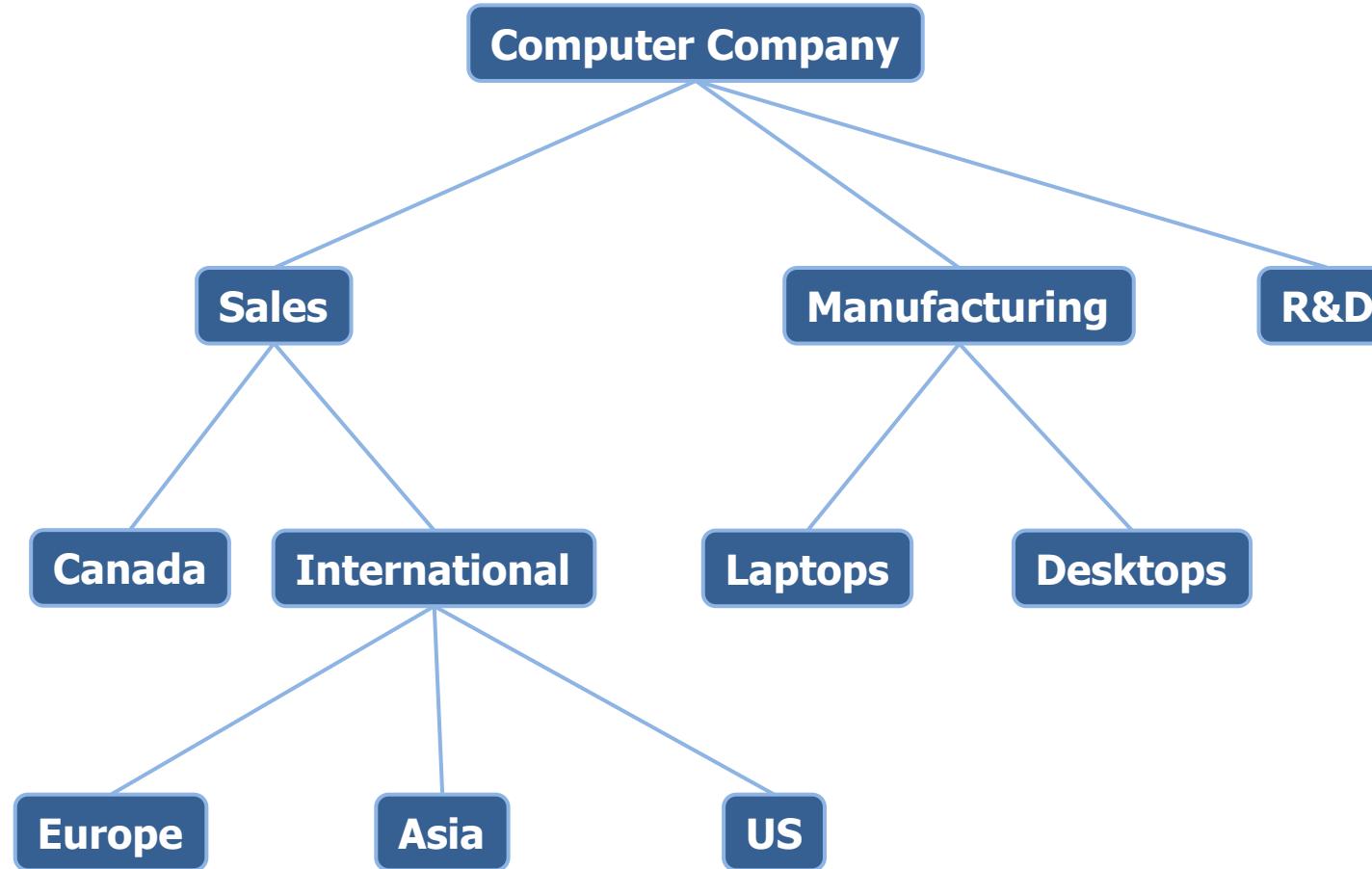
Trees

Data Structures & Algorithms

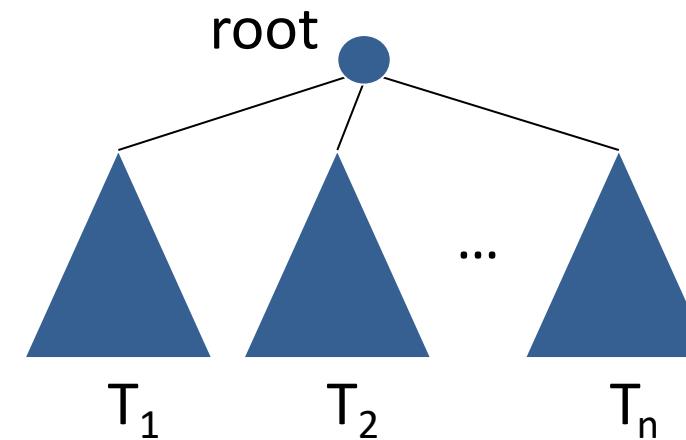
- **Introduction**
- **Binary Trees**
- **Binary Search Trees**
- **Forests**

- Introduction
- Binary Trees
- Binary Search Trees
- Forests

- Example



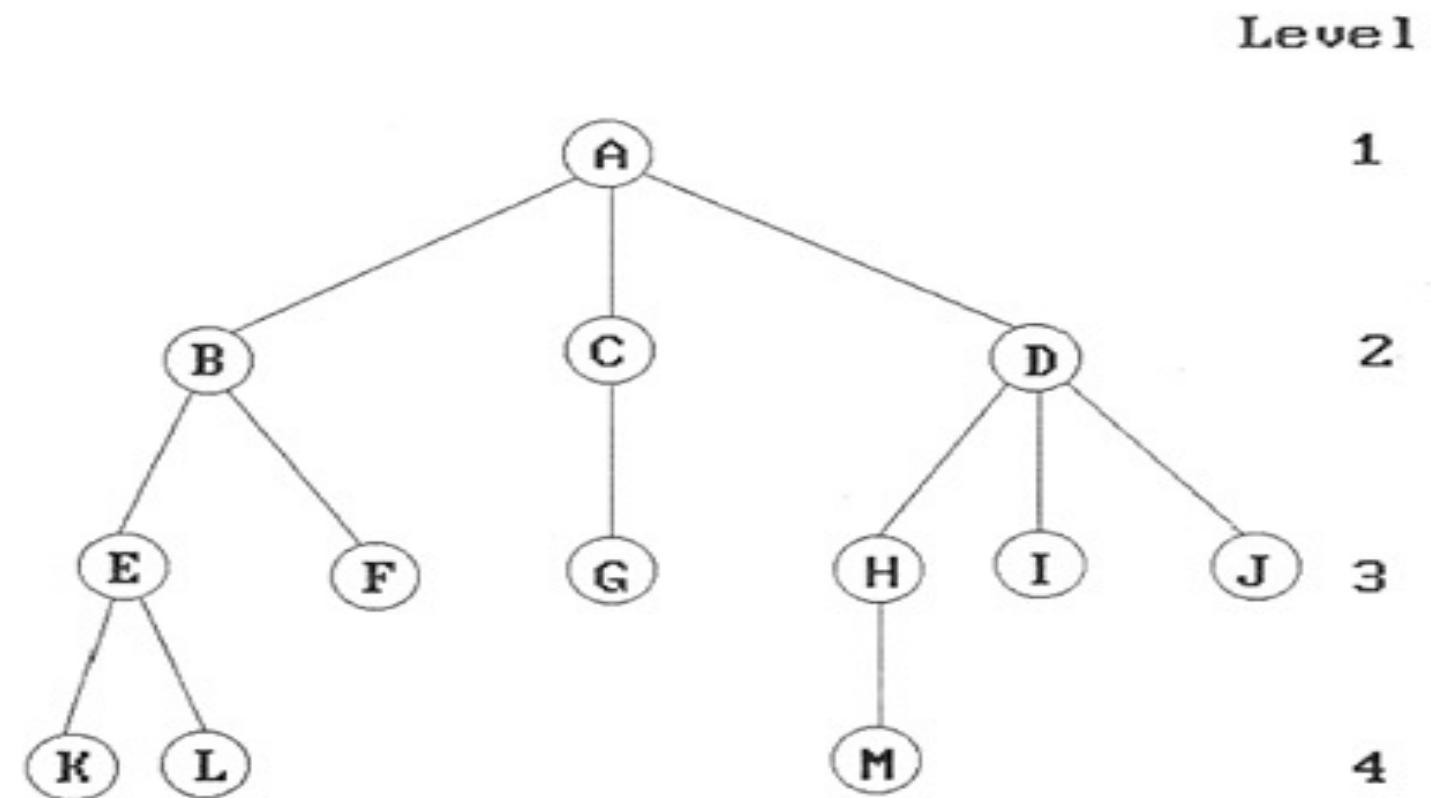
- A **tree** is a finite set of one/more nodes such that
 - There is a specially designated node called the **root**.
 - The remaining nodes are partitioned into $n \geq 0$ disjoint sets T_1, \dots, T_n , where each of these sets is a tree.We call T_1, \dots, T_n , the **sub-trees** of the root.



- The root of this tree is node A

- Definitions:

- Parent (A)
- Children (E, F)
- Siblings (C, D)
- Root (A)
- Leaf / Leaves
 - K, L, F, G, M, I, J...



- The **degree of a node** is the number of sub-trees of the node
- The **level of a node**
 - Initially letting the root be at level one
 - For all other nodes, the level is the level of the node's parent plus one.
 - The **height** or **depth** of a tree is the maximum level of any node in the tree.
- **List Representation**
 - The root comes first, followed by a list of sub-trees

data	link 1	link 2	...	link n
------	--------	--------	-----	--------

A node must have a varying number of link fields depending on the number of branches

- **Representation of Trees**

- **Left Child-Right Sibling Representation**

element	
left child	right sibling

```
typedef struct TreeNode * PtrToNode;
```

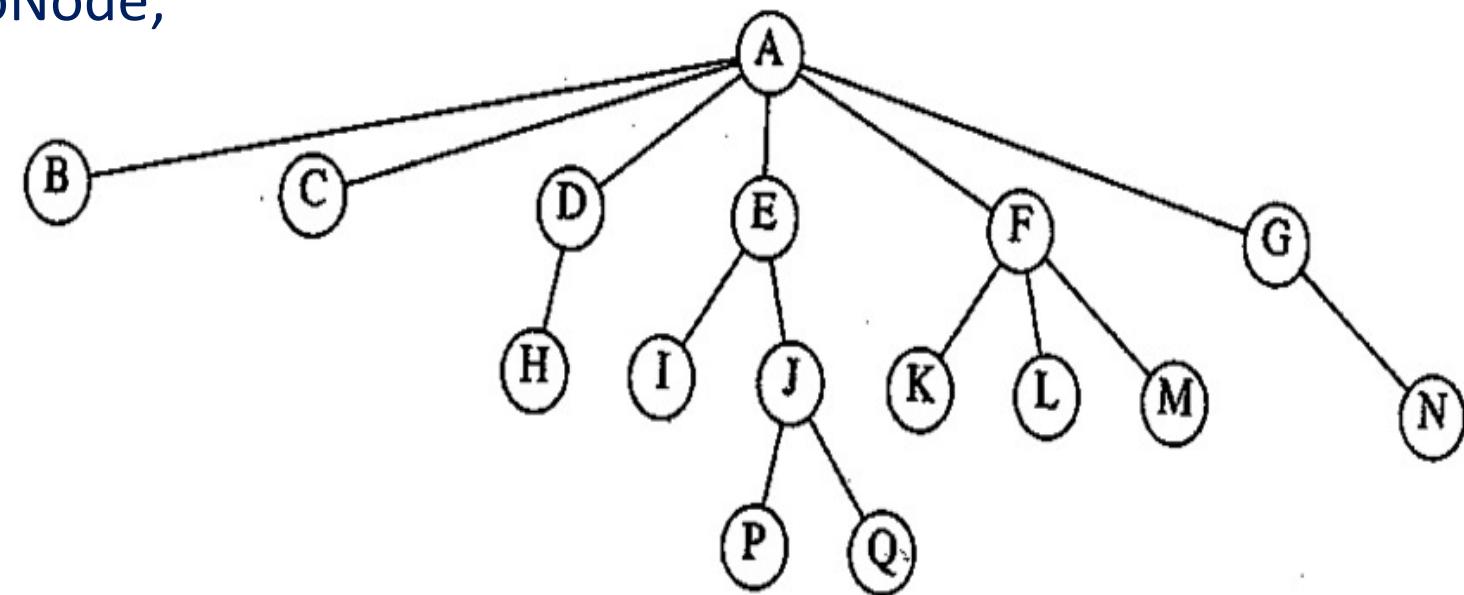
```
struct TreeNode{
```

```
    ElementType element;
```

```
    PtrToNode leftChild;
```

```
    PtrToNode rightSibling;
```

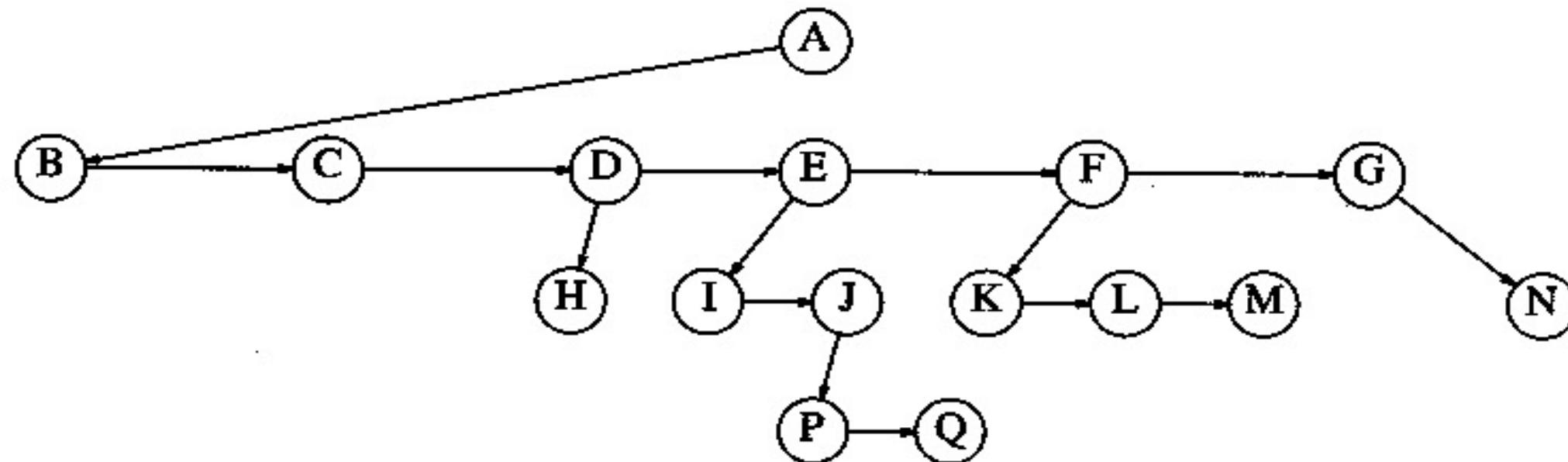
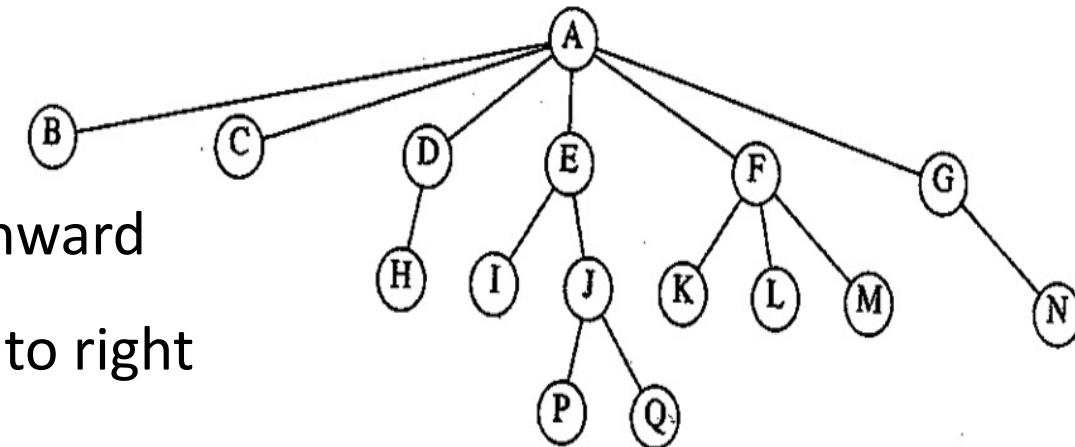
```
};
```



- **Representation of Trees**

- **Left Child-Right Sibling Representation**

- leftChild pointer: arrow that points downward
 - rightSibling pointer: arrow that goes left to right



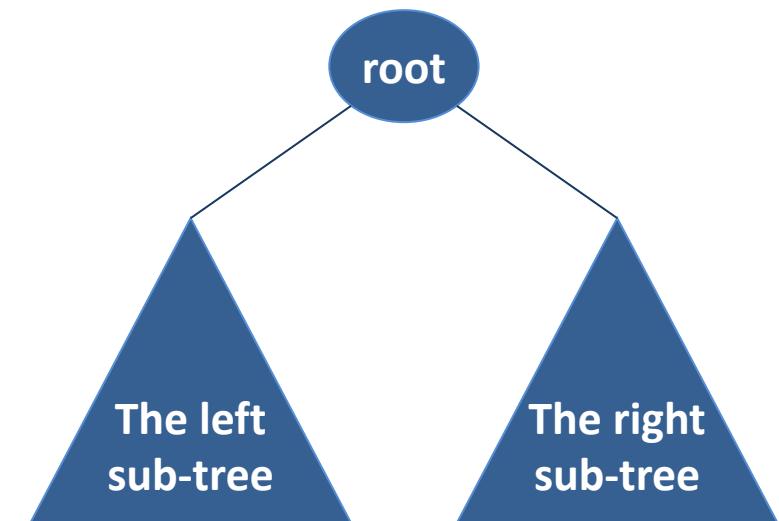
- Introduction
- **Binary Trees**
- Binary Search Trees
- Forests

- **Binary tree**

- is a finite set of nodes that is either empty or consists of a root and two disjoint binary trees called **the left sub-tree** and **the right sub-tree**.

⇒ Any tree can be transformed into a binary tree

- By using left child-right sibling representation
- The left and right subtrees are distinguished

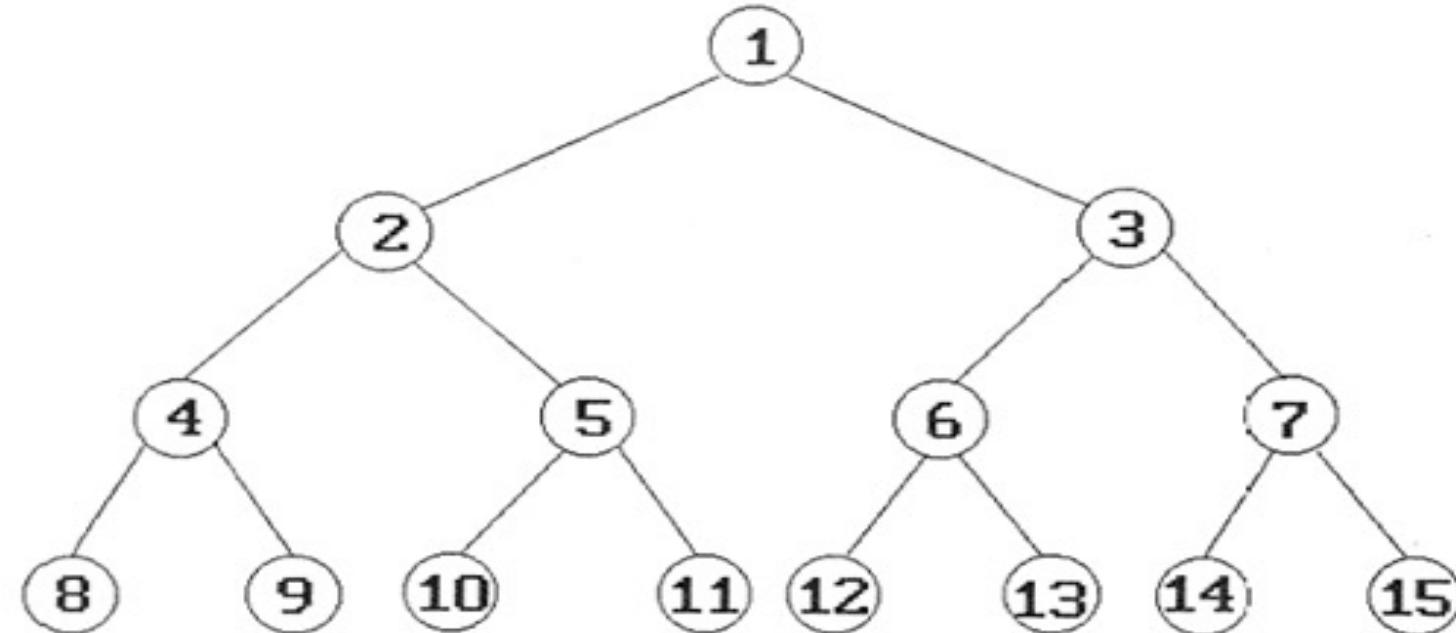


- Properties of Binary Trees

- Lemma 1 [Maximum number of nodes]
 - (1) The maximum number of nodes on level i of a binary tree is 2^{i-1} , $i \geq 1$.
 - (2) The maximum number of nodes in a binary tree of depth k is $2^k - 1$, $k \geq 1$.
 - The proof is by induction on i .
- Lemma 2
 - For any nonempty binary tree, T , if n_0 is the number of leaf nodes and n_2 the number of nodes of degree 2, then $n_0 = n_2 + 1$.

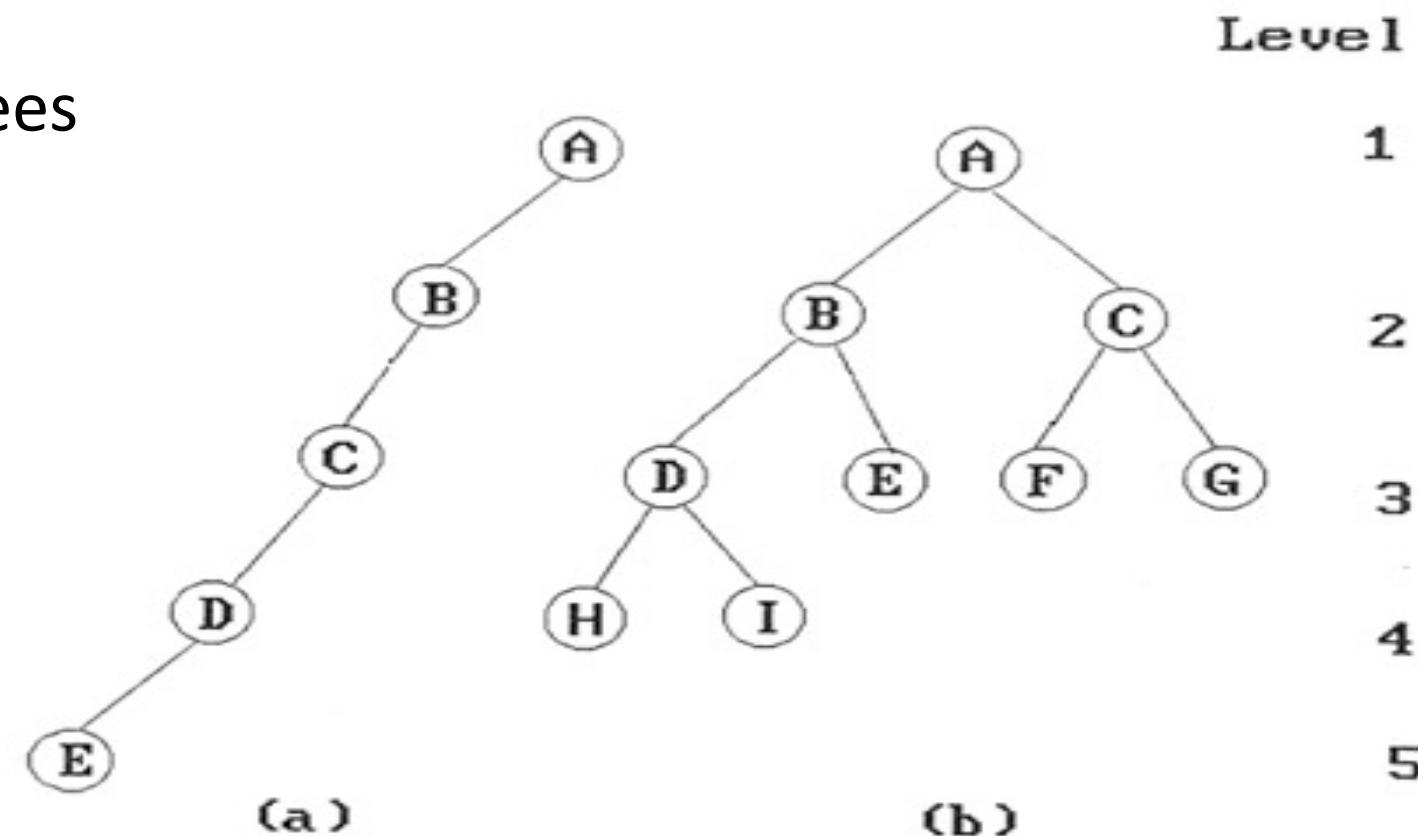
- Special Binary Trees

- A ***full binary tree*** of depth k is a binary tree of depth k having $2^k - 1$ nodes, $k \geq 0$



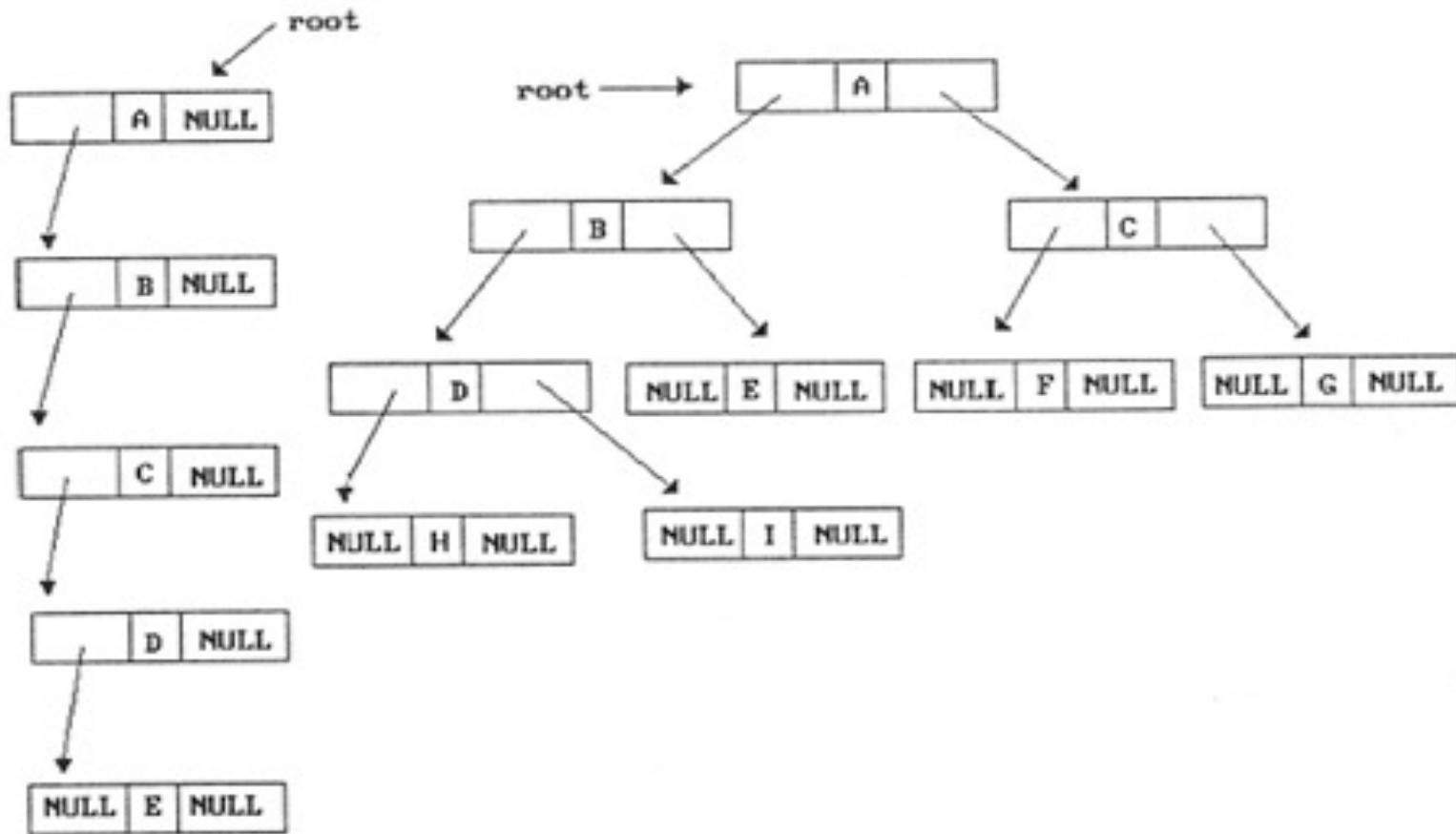
- **Special Binary Trees**

- A binary tree with n nodes and depth k is **complete** iff its nodes correspond to the nodes numbered from 1 to n in the full binary tree of depth k
- **Skewed Binary Trees**



• Binary Tree Representation

- Array Representation
- Linked Representation



[1]	A
[2]	B
[3]	—
[4]	C
[5]	—
[6]	—
[7]	—
[8]	D
[9]	—
[10]	E
[11]	F
[12]	G
[13]	H
[14]	I
[15]	—
[16]	E

- Binary Tree Representation - **Array Representation**

- **Lemma 3:** If a complete binary tree with n nodes (depth = $\log_2 n + 1$) is represented sequentially, then for any node with index i , $1 \leq i \leq n$, we have:
 - (1) parent (i) is at $i/2$, $i \neq 1$.
 - (2) left-child (i) is $2i$, if $2i \leq n$.
 - (3) right-child (i) is $2i+1$, if $2i+1 \leq n$.
- For complete binary trees, this representation is ideal since it wastes no space. However, for the skewed tree, less than half of the array is utilized.

- Binary Tree Representation - **Linked Representation**

```
typedef struct node *tree_pointer;  
typedef struct node {  
    int data;  
    tree_pointer left_child;  
    tree_pointer right_child;  
};
```

- **Binary Tree Traversals**

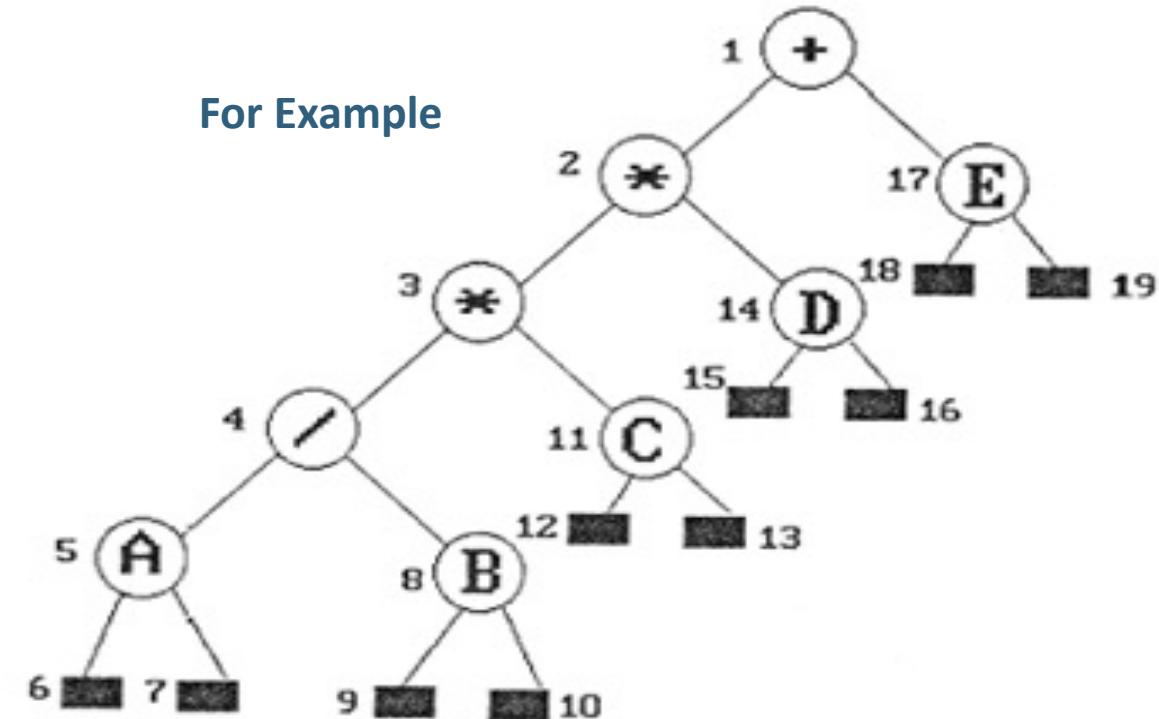
- **Notations**

- L : moving left
 - V : visiting the node
 - R : moving right

- **Traversing order**

- Inorder Traversal: LVR
 - Preorder Traversal: VLR
 - Postorder Traversal: LRV

For Example

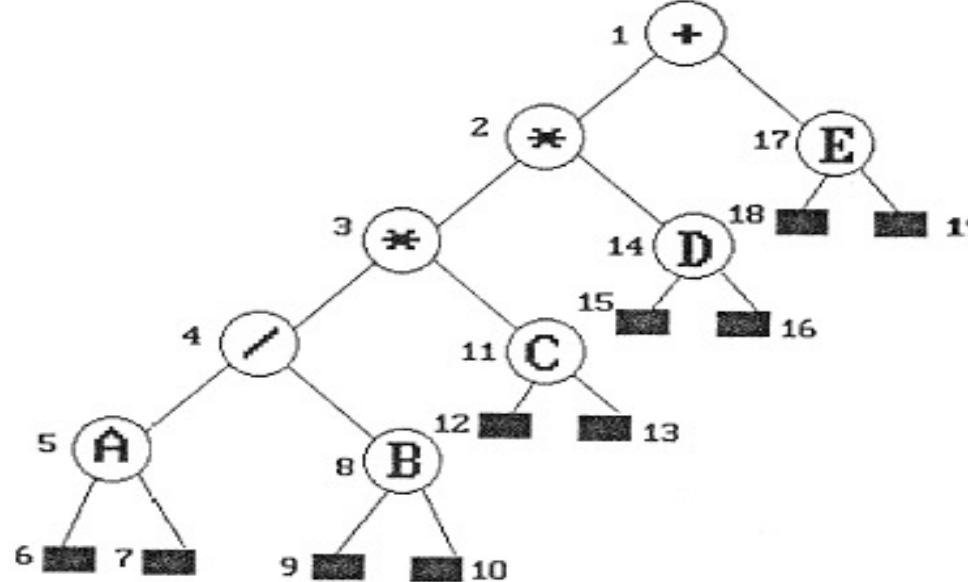


- Inorder Traversal: $A / B * C * D + E$
 - Postorder Traversal: $A B / C * D * E +$
 - Preorder Traversal: $+ * * / A B C D E$

- Binary Tree Traversals - **Inorder Traversal**
 - A recursive function starting from the root
 - Move left → Visit node → Move right

```
void inorder(tree_pointer ptr)
/* inorder tree traversal */
{
    if (ptr) {
        inorder(ptr->left_child);
        printf("%d",ptr->data);
        inorder(ptr->right_child);
    }
}
```

- Binary Tree Traversals - Inorder Traversal



In-order Traversal

A / B * C * D + E

Call of <i>inorder</i>	Value in root	Action	Call of <i>inorder</i>	Value in root	Action
1	+		11	C	
2	*		12	NULL	
3	*		11	C	printf
4	/		13	NULL	
5	A		2	*	printf
6	NULL		14	D	
5	A	printf	15	NULL	
7	NULL		14	D	printf
4	/	printf	16	NULL	
8	B		1	+	printf
9	NULL		17	E	
8	B	printf	18	NULL	
10	NULL		17	E	printf
3	*	printf	19	NULL	

- Binary Tree Traversals - Preorder Traversal
 - A recursive function starting from the root
 - Visit node → Move left → Move right

```
void preorder(tree_pointer ptr)
/* preorder tree traversal */
{
    if (ptr) {
        printf("%d",ptr->data);
        preorder(ptr->left_child);
        preorder(ptr->right_child);
    }
}
```

- Binary Tree Traversals - Postorder Traversal
 - A recursive function starting from the root
 - Move left → Move right → Visit node

```
void postorder(tree-pointer ptr)
/* postorder tree traversal */
{
    if (ptr) {
        postorder(ptr->left-child);
        postorder(ptr->right-child);
        printf("%d", ptr->data);
    }
}
```

- Other Traversals

- Iterative Inorder Traversal
 - Using a stack to simulate recursion
 - Time Complexity: $O(n)$, n is #num of node.
- Level Order Traversal
 - Visiting at each new level from the left-most node to the right-most
 - Using Data Structure: Queue

- Other Traversals - **Iterative Inorder Traversal**

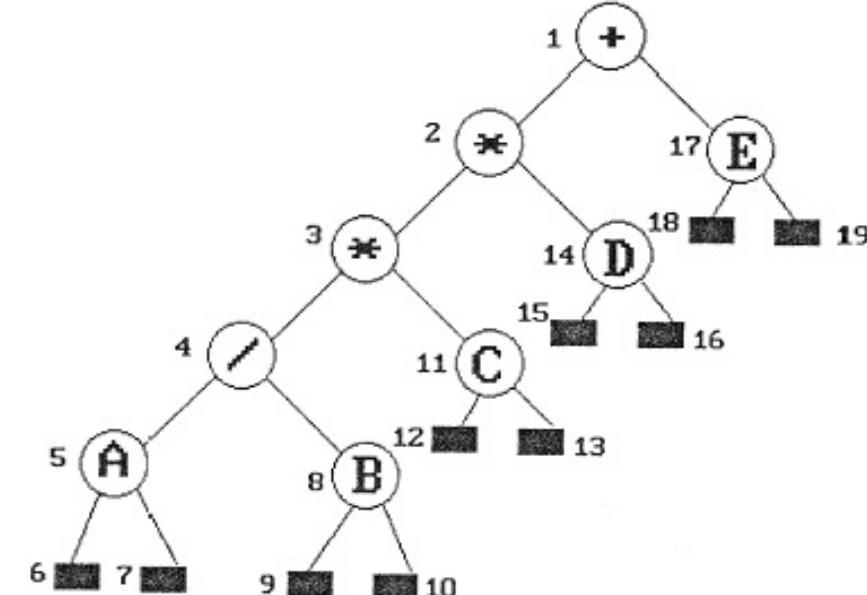
- Using a **stack** to simulate recursion
- Time Complexity: $O(n)$, n is #num of node.

```
void iter_inorder(tree_pointer node)
{
    int top = -1; /* initialize stack */
    tree_pointer stack[MAX_STACK_SIZE];
    for (;;) {
        for(; node; node = node->left_child)
            add(&top, node); /* add to stack */
        node = delete(&top); /* delete from stack */
        if (!node) break; /* empty stack */
        printf("%d", node->data);
        node = node->right_child;
    }
}
```

- Other Traversals - Iterative Inorder Traversal

Add "+" in stack
Add "*"
Add "*"
Add "/"
Add "A"
Delete "A" & Print
Delete "/" & Print
Add "B"
Delete "B" & Print
Delete "*" & Print
Add "C"

Delete "C" & Print
Delete "*" & Print
Add "D"
Delete "D" & Print
Delete "+" & Print
Add "E"
Delete "E" & Print



In-order Traversal:
A / B * C * D + E

- Other Traversals - **Level Order Traversal**

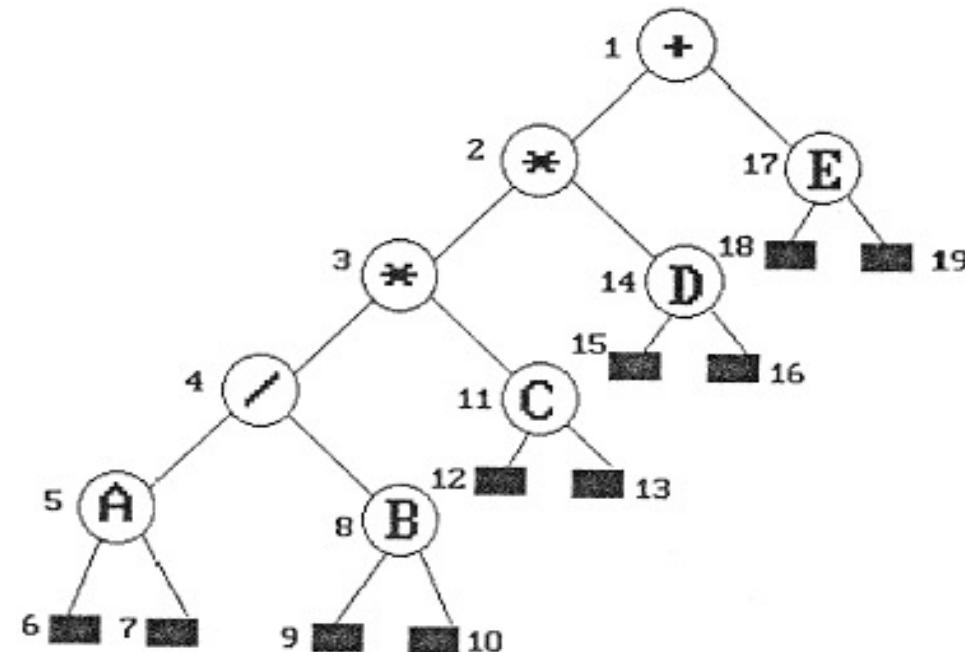
- Visiting at each new level from the left-most node to the right-most
- Using Data Structure: **Queue**

```
void level_order(tree_pointer ptr)
/* level order tree traversal */
{
    int front = rear = 0;
    tree_pointer queue[MAX_QUEUE_SIZE];
    if (!ptr) return; /* empty tree */
    addq(front, &rear, ptr);
    for (;;) {
        ptr = deleteq(&front, rear);
        if (ptr) {
            printf("%d", ptr->data);
            if (ptr->left_child)
                addq(front, &rear, ptr->left_child);
            if (ptr->right_child)
                addq(front, &rear, ptr->right_child);
        }
        else break;
    }
}
```

- Other Traversals - Level Order Traversal

Add “+” in Queue
Deleteq “+”
Addq “*”
Addq “E”
Deleteq “*”
Addq “*”
Addq “D”
Deleteq “E”
Deleteq “*”

Addq “/”
Addq “C”
Deleteq “D”
Deleteq “/”
Addq “A”
Addq “B”
Deleteq “C”
Deleteq “A”
Deleteq “B”



Level-order Traversal:

+ * E * D / C A B

- Additional Binary Tree Operations

- Copying Binary Trees
 - Copy a binary tree to another one
- Testing for Equality of Binary Trees
 - Verify if two binary trees are identical

• Copying Binary Trees

- Modified from postorder traversal program

```
tree_pointer copy(tree_pointer original)
/* this function returns a tree-pointer to an exact copy
of the original tree */
{
    tree_pointer temp;
    if (original) {
        temp = (tree_pointer) malloc(sizeof(node));
        if (IS_FULL(temp)) {
            fprintf(stderr, "The memory is full\n");
            exit(1);
        }
        temp->left_child = copy(original->left_child);
        temp->right_child = copy(original->right_child);
        temp->data = original->data;
        return temp;
    }
    return NULL;
}
```

- **Testing for Equality of Binary Trees**

- Two binary trees having identical topology and data are said to be equivalent

```
int equal(tree_pointer first, tree_pointer second)
{
    /* function returns FALSE if the binary trees first and
    second are not equal, Otherwise it returns TRUE */
    return ((!first && !second) || (first && second &&
        (first->data == second->data) &&
        equal(first->left_child, second->left_child) &&
        equal(first->right_child, second->right_child)))
}
```

- **Functions to implement**

- Count the number of nodes in a binary tree
- Count the number of leaves in a binary tree
- Search a node in a binary tree
- Find a sub-tree in a binary tree

- Introduction
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- **Binary Search Trees (BST)**

- BST is a binary tree, that may be satisfies the following properties:
 - (1) Every element has a unique key
 - (2) The keys in a nonempty left sub-tree must be smaller than the key in the root of the sub-tree
 - (3) The keys in a nonempty right sub-tree must be larger than the key in the root of the sub-tree
 - (4) The left and right sub-trees are also binary search trees

- **Declarations:**

```
typedef struct tree_node *tree_ptr;  
struct tree_node{  
    element_type element;  
    tree_ptr left;  
    tree_ptr right;  
};  
typedef tree_ptr SEARCH_TREE;
```

- **Search in a BST**

- **Recursive search**

```
tree_ptr recSearch(element_type x, SEARCH_TREE T ) {  
    if( T == NULL ) return NULL;  
    if( x < T->element )          return(recSearch( x, T->left ) );  
    else if( x > T->element )     return(recSearch( x, T->right ) );  
    else                           return T;  
}
```

- **Search in a BST**

- **Iterative search**

```
tree_ptr iteSearch(element_type x, SEARCH_TREE T ) {  
    while (T){  
        if (x == T->element) return T;  
        if (x < T->element)      T = T->left;  
        else                      T = T->right;  
    }  
    return NULL;  
}
```

- **Time Complexity**

- recSearch: $O(h)$, h is the height of BST.
 - iteSearch: $O(h)$

- **Search the smallest element**

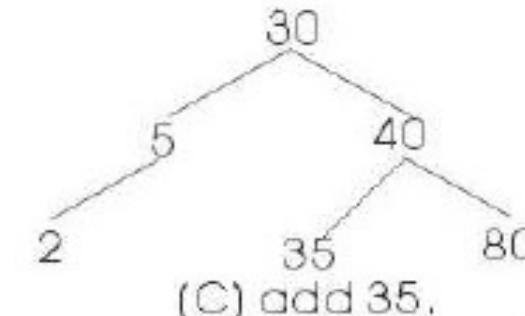
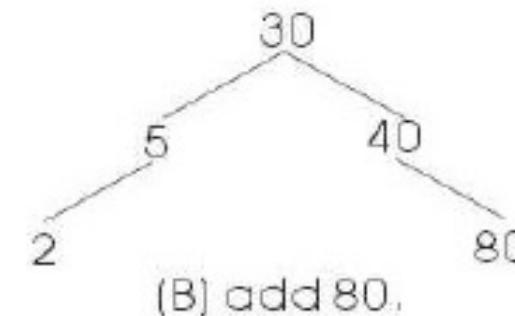
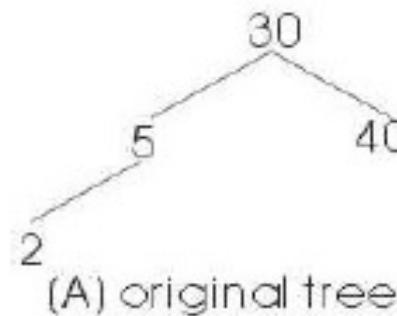
```
tree_ptr searchMin( SEARCH_TREE T ){  
    if( T == NULL ) return NULL;  
    else if( T->left == NULL )      return( T );  
    else                                return(searchMin ( T->left ) );  
}
```

- **Search the largest element**

```
tree_ptr searchMax( SEARCH_TREE T ){  
    if( T != NULL )  
        while( T->right != NULL )      T = T->right;  
    return T;  
}
```

- **Inserting an element to a BST**

- First search key in the tree. Note search always terminates at a null sub-tree
- Add the key at the null sub-tree where search terminates



- Inserting an element to a BST

```
tree_ptr insert( element_type x, SEARCH_TREE T ){  
    if( T == NULL ) { /* Create and return a one-node tree */  
        T = (SEARCH_TREE) malloc ( sizeof (struct tree_node) );  
        if( T == NULL ) fprintf(stderr, "the memory is full.\n"); exit(1);  
        else { T->element = x;  
                T->left = T->right = NULL;  
            }  
    }  
    else if( x < T->element ) T->left = insert( x, T->left );  
    else if( x > T->element ) T->right = insert( x, T->right );  
        /* else x is in the tree already. We'll do nothing */  
    return T;  
}
```

- Delete a node from a BST

if the tree is empty **return** false

else attempt to locate the node containing the target using the binary search algorithm

if the target is not found **return** false

else the target is found, so remove its node as follows:

Case 1: **if** the node has 2 empty sub-trees

then replace the link in the parent with null

Case 2: **if** the node has no left child

then link the parent of the node to the right (non-empty) sub-tree

- Delete a node from a BST

Case 3: if the node has no right child

then link the parent of the node to the left (non-empty) sub-tree

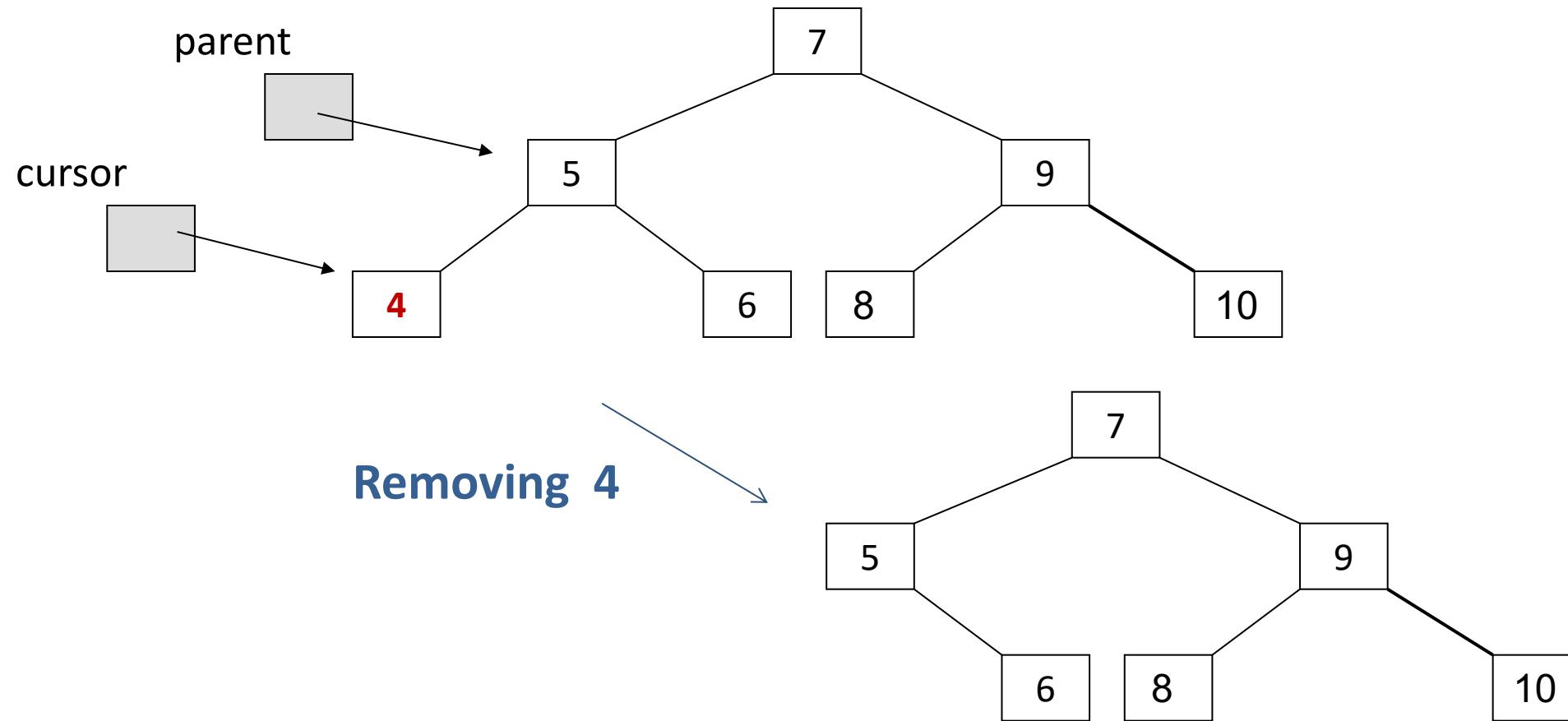
Case 4: if the node has a left sub-tree and a right sub-tree,

then Replace the node with the largest element in the left sub-tree
or the smallest element from the right sub-tree.

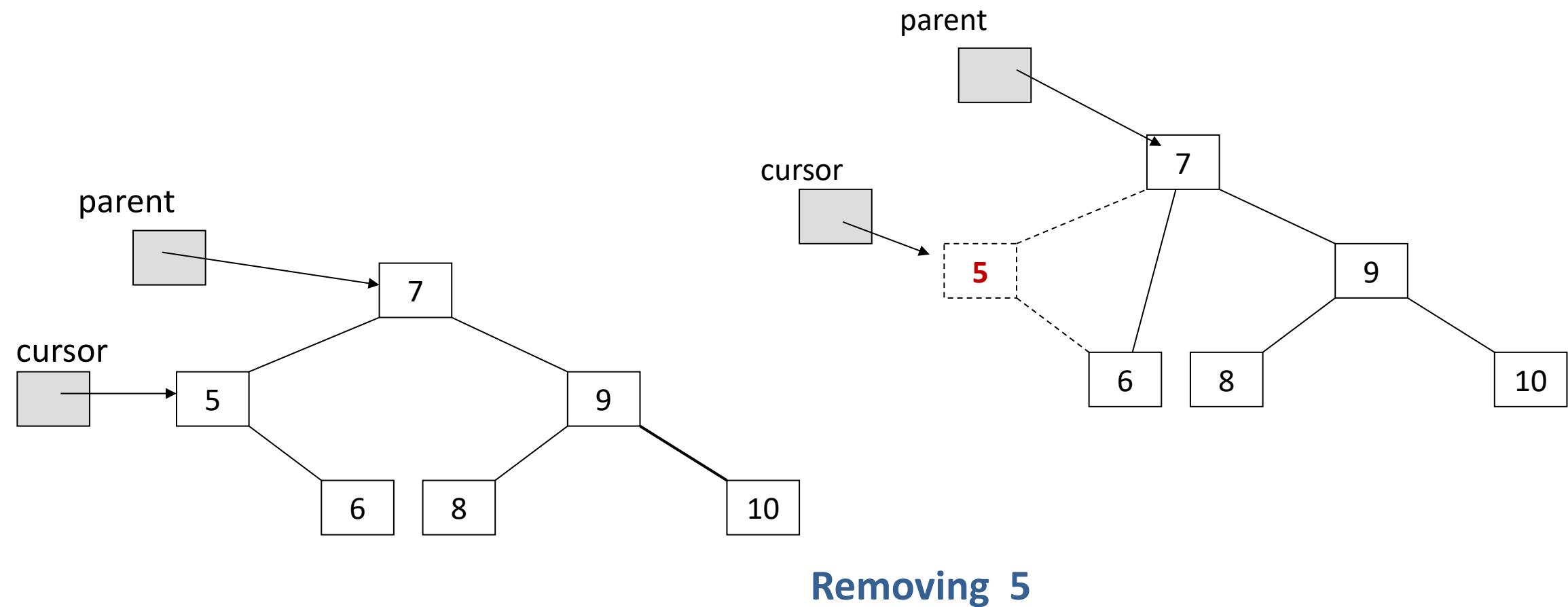
Delete the largest (or smallest, respectively) element in
the respective sub-tree.

- Delete a node from a BST

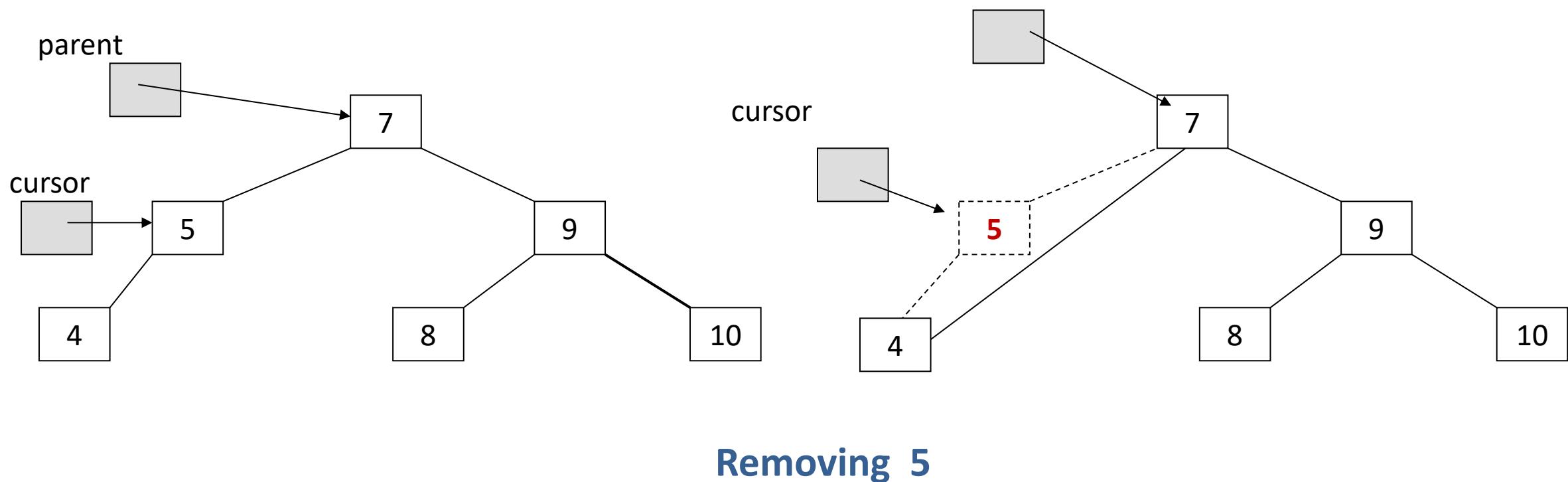
- Case 1: removing the node has 2 empty sub-trees



- Delete a node from a BST
 - Case 2: removing the node has no left child

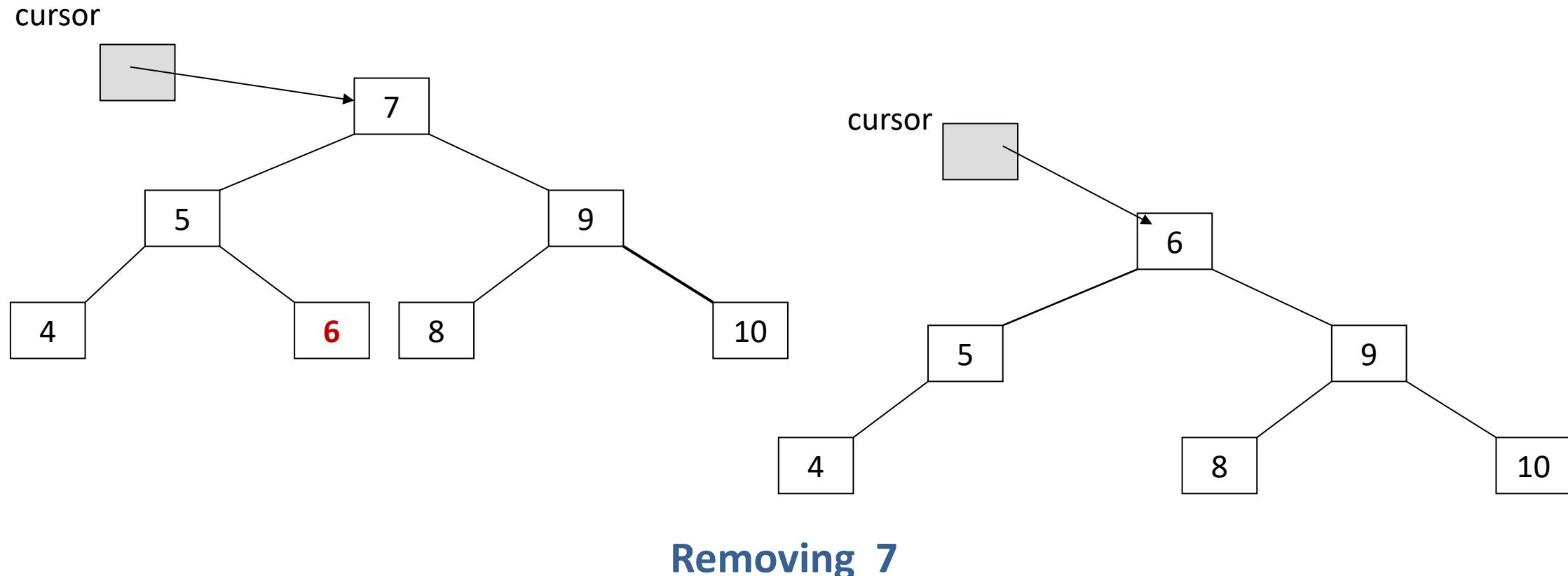


- Delete a node from a BST
 - Case 3: removing the node has no right child



- Delete a node from a BST

- Case 4: removing the node has 2 sub-trees



- Delete a node from a BST

```
tree_ptr delete( element_type x, SEARCH_TREE T ){  
    tree_ptr tmp_cell;  
    if( T == NULL ) {  
        fprintf(stderr, "Element not found");  
        exit(1);  
    }  
    else if( x < T->element )      T->left = delete( x, T->left ); /* Go left */  
    else if( x > T->element )      T->right = delete( x, T->right ); /* Go right */  
    else    /* Found element to be deleted */
```

```
if( T->left && T->right ){           /* Two children : case 4 */
    /* Replace with smallest in right sub-tree */
    tmp_cell = search_min( T->right );
    T->element = tmp_cell->element;
    T->right = delete( T->element, T->right );
}

else {                                /* One child & 0 child : case 1, 2, 3 */
    tmp_cell = T;
    if( T->left == NULL )   T = T->right;      /* a right child, also handles 0 child*/
    else if( T->right == NULL ) T = T->left;      /* Only a left child */
    free( tmp_cell );
}

return T;
}
```

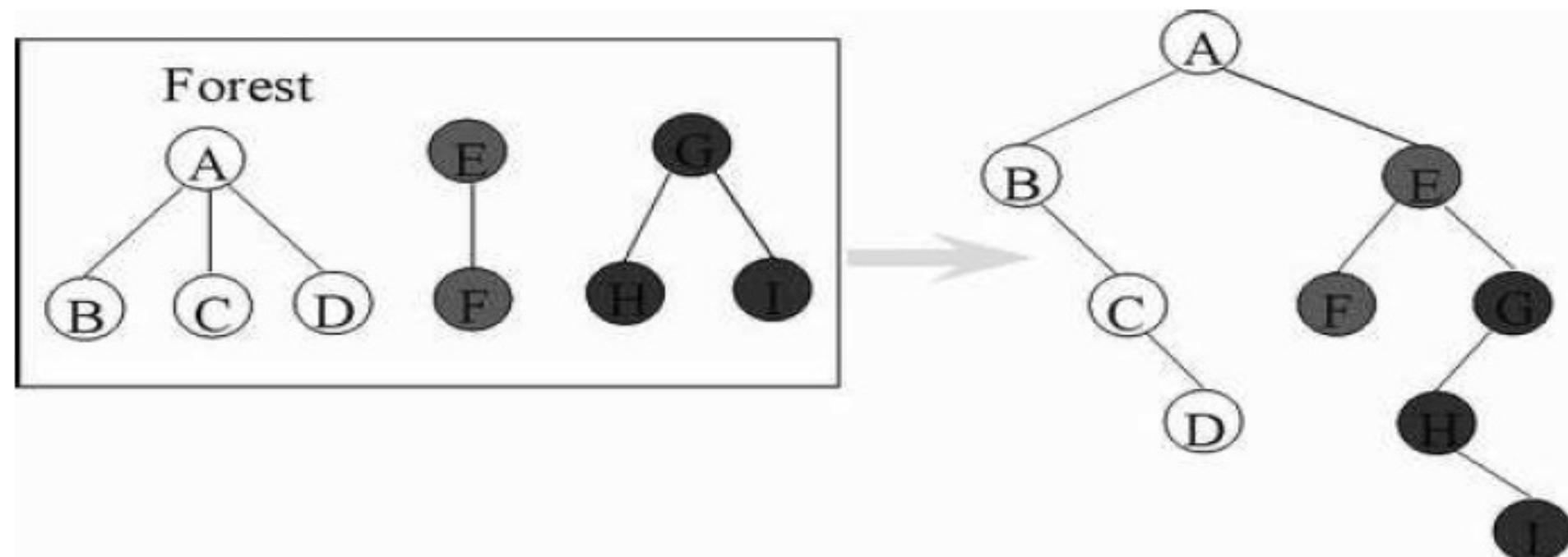
- Make a BST empty

```
SEARCH_TREE make_empty( SEARCH_TREE T ){
    if( T != NULL ){
        make_empty( T->left);
        make_empty( T->right);
        free (T);
    }
    return NULL;
}
```

- Introduction
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- Binary Search Trees
- **Forests**

- **Forests**

- **forest** is an ordered set of $n \geq 0$ disjoint trees
- T_1, \dots, T_n is a forest of trees
- Transforming a forest into a binary tree
 - Transform each tree into a binary tree by using left-child right-sibling
 - Connect the binary trees into a single tree



- Forest Traversals

Pre-order:

- (1) If F is empty, then return.
- (2) Visit the root of the first tree of F .
- (3) Traverse the subtrees of the first tree in tree preorder.
- (4) Traverse the remaining trees of F in preorder.

In-order:

- (1) If F is empty, then return.
- (2) Traverse the subtrees of the first tree in tree inorder.
- (3) Visit the root of the first tree.
- (4) Traverse the remaining trees in tree inorder.

Post-order:

- (1) If F is empty, then return.
- (2) Traverse the subtrees of the first tree of F in tree postorder.
- (3) Traverse the remaining trees of F in tree postorder.
- (4) Visit the root of the first tree of F .

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Enjoy the Course...!