

Charles M. Lizza
William C. Baton
Sarah A. Sullivan
SAUL EWING ARNSTEIN & LEHR LLP
One Riverfront Plaza
1037 Raymond Blvd., Suite 1520
Newark, NJ 07102
clizza@saul.com
wbaton@saul.com

*Attorneys for Plaintiff
TherapeuticsMD, Inc.*

OF COUNSEL:

Edgar H. Haug
Nicholas F. Giove
Andrew Wasson
Anna N. Lukacher
Camille Y. Turner
HAUG PARTNERS LLP
745 Fifth Avenue
New York, NY 10151

**UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY**

THERAPEUTICSMD, INC.,

Plaintiff,

v.

TEVA PHARMACEUTICALS USA, INC.
and TEVA PHARMACEUTICAL
INDUSTRIES LIMITED,

Defendants.

Civil Action No. _____

(Filed Electronically)

COMPLAINT

Plaintiff TherapeuticsMD, Inc. (“TherapeuticsMD” or “Plaintiff”), by its undersigned attorneys, for its Complaint against defendants Teva Pharmaceuticals USA, Inc. (“Teva USA”) and Teva Pharmaceutical Industries Limited (“Teva Ltd.”) (collectively, “Teva” or “Defendants”), alleges:

NATURE OF THE ACTION

1. This is a civil action for patent infringement arising under the patent laws of the United States, Title 35 of the United States Code, involving U.S. Patent No. 10,888,516 (“the ’516 patent” or “patent-in-suit”) (attached as Exhibit A).

THE PARTIES

2. TherapeuticsMD, Inc. is a corporation organized and existing under the laws of the State of Nevada, having a principal place of business at 951 Yamato Road, Suite 220, Boca Raton, Florida 33487.

3. TherapeuticsMD, Inc. is the owner of New Drug Application (“NDA”) No. 208564, which was approved by the U.S. Food and Drug Administration (“FDA”) for the manufacture and sale of Imvexxy[®] (estradiol vaginal inserts) 4 mcg and 10 mcg.

4. TherapeuticsMD, Inc. is the current owner and assignee of each of the eleven (11) patents listed in FDA’s publication titled, “Approved Drug Products with Therapeutics Equivalence Evaluations” (commonly known as the “Orange Book”) as covering TherapeuticsMD’s Imvexxy[®], of which one (1) is the patent-in-suit.

5. Upon information and belief, defendant Teva Ltd. is a corporation organized and existing under the laws of Israel, having a principal place of business at 124 Dvora HaNevi’a St., Tel Aviv 6944020, Israel.

6. Upon information and belief, Teva Ltd. represented in its SEC filings that it is the “leading generic pharmaceutical company in the United States” and, in 2020, it “led the U.S. generics market in total prescriptions and new prescriptions.” Teva Ltd.’s Form 10-K for the fiscal year ending in December 31, 2020, at 3, 56.

7. Upon information and belief, Teva Ltd. operates through a global network of subsidiaries that it directly or indirectly owns and controls, including defendant Teva USA. In

its most recent SEC form 10-K, Teva Ltd. stated that it “operate[s] [its] business through three segments: North America, Europe and International Markets.” *Id.* at 2. In particular, Teva Ltd. stated that “Anda, [its] distribution business in the United States, distributes generic, specialty and [over the counter] pharmaceutical products from various third party manufacturers to independent retail pharmacies, pharmacy retail chains, hospitals and physician offices in the United States.” *Id.* at 3.

8. As of December 31, 2020, Teva Ltd.’s “generic products pipeline” included “213 product applications awaiting FDA approval” where “70% of [these] pending applications include a paragraph IV patent challenge.” *Id.* at 58. Upon information and belief, Teva Ltd.’s “generic products pipeline” includes the generic pharmaceutical products for which Teva USA is the named Abbreviated New Drug Application (“ANDA”) applicant.

9. Upon information and belief, Teva Ltd. is in the business of, among other things: (i) the development and manufacture of generic pharmaceutical products for sale throughout the world, including throughout the United States and, more specifically, throughout the State of New Jersey; (ii) in concert with and/or through its various subsidiaries, including defendant Teva USA, the preparation, submission, and filing of Abbreviated New Drug Applications (“ANDAs”) seeking FDA approval to market generic drugs throughout the United States, including throughout the State of New Jersey; and (iii) in concert with and/or through its various subsidiaries, including defendant Teva USA, the distribution of generic pharmaceutical products for sale throughout the United States, including throughout the State of New Jersey.

10. Upon information and belief, defendant Teva USA is a corporation organized and existing under the laws of the State of Delaware having principal places of business located at

400 Interpace Parkway, Parsippany, New Jersey 07054 and 1090 Horsham Road, North Wales, Pennsylvania 19454.

11. Upon information and belief, Teva USA is a wholly owned subsidiary of Teva Ltd. Upon information and belief, Teva USA acts at the direction of, under the control of, and for the benefit of Teva Ltd., and is controlled and/or dominated by Teva Ltd. Upon information and belief, Teva USA and Teva Ltd. have at least one officer and/or director in common.

12. Upon information and belief, Teva USA is in the business of, among other things: (i) the development and manufacture of generic pharmaceutical products for sale throughout the United States, including throughout the State of New Jersey; (ii) alone or in concert with and/or through its parent and various subsidiaries, including defendant Teva Ltd., the preparation, submission, and filing of ANDAs seeking FDA approval to market generic drugs throughout the United States, including throughout the State of New Jersey; and (iii) alone or in concert with and/or through its parent and various subsidiaries, including defendant Teva Ltd., the distribution of generic pharmaceutical products for sale throughout the United States, including throughout the State of New Jersey.

13. Upon information and belief, Defendants or their affiliates manufacture and/or direct the manufacture of generic pharmaceutical products for which Teva USA is the named ANDA applicant. Upon information and belief, Defendants each, directly or indirectly, derive substantial revenue from the sales of such generic pharmaceutical products.

JURISDICTION AND VENUE

14. This Court has jurisdiction over the subject matter of this action pursuant to 28 U.S.C. §§ 1331 and 1338(a).

15. This Court has personal jurisdiction over Defendants under: (i) Fed. R. Civ. P. 4(k)(1); (ii) Fed. R. Civ. P. 4(k)(2); and (iii) N.J. Ct. R. 4:4-4.

16. Teva USA has already consented to personal jurisdiction and venue in four related matters: *TherapeuticsMD, Inc. v. Teva Pharmaceuticals USA, Inc.*, C.A. No. 20-3485 (BRM)(ESK), in its Answer filed on June 15, 2020 and Amended Answer filed on July 2, 2020 (*see* 20-3485 (BRM)(ESK), ECF Nos. 10, 20); *TherapeuticsMD, Inc. v. Teva Pharmaceuticals USA, Inc.*, C.A. No. 20-8809 (BRM)(ESK), in its Answer filed on August 5, 2020 (*see* 20-8809 (BRM) (ESK), ECF. No. 12); *TherapeuticsMD, Inc. v. Teva Pharmaceuticals USA, Inc.*, C.A. No. 20-11087 (BRM)(ESK), in its Answer filed on September 9, 2020 (*see* 20-11087 (BRM)(ESK), ECF No. 11); and *TherapeuticsMD, Inc. v. Teva Pharmaceuticals USA, Inc.*, C.A. No. 20-17496 (BRM)(ESK), in its Answer filed on December 21, 2020 (*see* 20-17496, ECF No. 14).

17. This Court has personal jurisdiction over Teva USA at least because, upon information and belief: (i) Teva USA maintains a principal place of business in New Jersey located at 400 Interpace Parkway, Parsippany, New Jersey 07054; (ii) Teva USA is doing business in New Jersey and maintains continuous and systematic contacts with this Judicial District; (iii) Teva USA, together with its parent Teva Ltd., is in the business of developing and manufacturing generic pharmaceutical products for importation, sale, and/or distribution in the State of New Jersey; (iv) Teva USA, together with its parent Teva Ltd., has committed, induced, and/or contributed to acts of patent infringement in New Jersey; (v) Teva USA has previously submitted to the jurisdiction of this Court, has availed itself of New Jersey's legal protections in hundreds of prior litigations, and previously consented to personal jurisdiction and venue in this Judicial District¹; and (vi) Teva USA's May 13, 2021 notice of paragraph IV certification

¹ This Court has personal jurisdiction over Teva Ltd. and Teva USA because Teva Ltd. and Teva USA have previously submitted to the jurisdiction of this Court and have further previously availed themselves of this Court by initiating lawsuits, consenting to this Court's jurisdiction,

(“Notice Letter”) identified the correspondence address for Teva USA’s offer of confidential access as 400 Interpace Parkway, Parsippany, NJ 07054.

18. Upon information and belief, Teva USA is registered with the State of New Jersey’s Division of Revenue and Enterprise Services as a business operating in New Jersey with Business Identification Number 0100250184. Upon information and belief, Teva USA is registered with the State of New Jersey’s Department of Health as a drug & medical device “manufacturer and wholesaler” and “wholesaler” with Registration Numbers 5000583 and 5003436, respectively.

19. In its Notice Letter, Teva USA asserts that it prepared, submitted, and filed with FDA, pursuant to § 505(j) of the Federal Food, Drug, and Cosmetic Act (“FDCA”) (codified at 21 U.S.C. § 355(j)), ANDA No. 214137, seeking approval to engage in the commercial manufacture, use, and/or sale of Estradiol Vaginal Insert 4 mcg and 10 mcg (“Defendants’ ANDA Product”) before the expiration of the ’516 patent throughout the United States, including in this Judicial District.

20. This Court has personal jurisdiction over Defendants at least because, upon information and belief, if ANDA No. 214137 receives final approval, Defendants’ ANDA

and asserting counterclaims in other civil actions initiated in this jurisdiction. *See, e.g., Teva Pharmaceuticals USA, Inc., et al. v. Sandoz Inc., et al.*, No. 3-17-cv-00275 (FLW)(DEA) (D.N.J.) (Teva USA and Teva Ltd. filed complaint for patent infringement); *Teva Pharmaceuticals USA, Inc., et al. v. Dr. Reddy’s Laboratories, Ltd., et al.*, No. 3-17-cv-00517 (FLW)(DEA) (D.N.J.) (same); *Teva Pharmaceuticals USA, Inc., et al. v. Dr. Reddy’s Laboratories, Ltd., et al.*, No. 2-15-cv-00471 (CCC)(MF) (D.N.J.) (same); *Teva Pharmaceuticals USA, Inc., et al. v. Synthron Pharmaceuticals, Inc., et al.*, No. 2-15-cv-00472 (CCC)(MF) (D.N.J.) (same); *Adapt Pharma Operations Ltd., et al. v. Teva Pharmaceuticals USA, Inc., et al.*, No. 2-18-cv-09880 (JLL)(JAD) (D.N.J.) (Teva USA and Teva Ltd. did not contest jurisdiction); *Janssen Pharmaceuticals, Inc., et al. v. Teva Pharmaceuticals USA, Inc., et al.*, No. 2-18-cv-00734 (CCC)(MF) (D.N.J.) (same); *Boehringer Ingelheim Pharmaceuticals, Inc., et al. v. Teva Pharmaceuticals USA, Inc., et al.*, No. 3-17-cv-11510 (MAS)(LHG) (D.N.J.) (Teva USA and Teva Ltd. filed counterclaims and did not contest jurisdiction).

Product will be manufactured, sold, distributed, and/or used by Defendants in New Jersey, prescribed by physicians practicing in New Jersey, and/or administered to patients in New Jersey.

21. Upon information and belief, Teva USA's acts of preparing and filing ANDA No. 214137 and directing notice of its ANDA submission to Plaintiff were performed at the direction of, with the authorization of, and with the cooperation, participation, assistance, and, at least in part, the benefit of Teva Ltd. These are acts with real and injurious consequences giving rise to this infringement action, including the present and/or anticipated commercial manufacture, use, and/or sale of Defendants' ANDA Product before the expiration of the '516 patent throughout the United States, including in this Judicial District. Because defending against an infringement lawsuit such as this one is an essential and expected part of a generic ANDA filer's business, Teva Ltd. and Teva USA reasonably anticipate being sued in New Jersey.

22. This Court has personal jurisdiction over Teva Ltd. because, among other things: (a) Teva Ltd. has purposefully directed its activities and the activities of Teva USA, its wholly owned subsidiary, at residents and corporate entities within the State of New Jersey; (b) the claims set forth herein as to Teva Ltd. arise out of or relate to those activities; (c) Teva Ltd.'s contacts with the State of New Jersey (direct and/or indirect) are continuous and systematic; and (d) it is reasonable and fair for this Court to exercise personal jurisdiction over Teva Ltd.

23. Venue is proper in this Court under 28 U.S.C. §§ 1391(b), 1391(c), and/or 1400(b).

FACTS COMMON TO ALL COUNTS

24. TherapeuticsMD's Imvexxy[®] is sold and marketed under NDA No. 208564, which was approved by FDA as a New Product on May 29, 2018.

25. Because TherapeuticsMD conducted efficacy clinical trials to secure FDA approval of Imvexxy[®], FDA granted Imvexxy[®] three years of regulatory exclusivity.

26. Imvexxy[®] is supplied as a vaginal insert with either 4 mcg or 10 mcg of estradiol. Estradiol, the active ingredient in Imvexxy[®], is an estrogen that is indicated for the treatment of moderate to severe dyspareunia, a symptom of vulvar and vaginal atrophy, due to menopause.

27. NDA No. 208564 pertains to Imvexxy[®] 4 mcg and 10 mcg.

28. Imvexxy[®]'s recommended dosage is one vaginal insert daily for two weeks, followed by one insert twice weekly.

29. FDA's Orange Book lists eleven (11) patents as covering TherapeuticsMD's Imvexxy[®]. Pursuant to 21 U.S.C. §§ 355(b)(1) and 355(c)(2), these eleven (11) patents were submitted to FDA with or after the approval of NDA No. 208564. These eleven (11) patents are listed in the Orange Book as covering Imvexxy[®].

30. Teva USA sent Teva's Notice Letters to TherapeuticsMD, purportedly pursuant to § 505(j)(2)(A)(iv) of the FDCA, 21 U.S.C. § 355(j)(2)(A)(iv), and § 314.95 of Title 21 of the Code of Federal Regulations, regarding ANDA No. 214137. In these letters, Teva USA states that Teva USA's ANDA has been submitted under § 505(j) of the FDCA, with paragraph IV certifications to obtain approval to engage in the commercial manufacture, use, or sale of Estradiol Vaginal Insert 4 mcg and 10 mcg, before the expiration of United States Patent Nos. 9,180,091; 9,289,382; 10,258,630; 10,398,708; 10,471,072; 10,537,581; 10,568,891; 10,668,082; 10,806,697; and 10,835,487. United States Patent Nos. 9,180,091; 9,289,382; 10,258,630; 10,398,708; 10,471,072; 10,537,581; 10,568,891; 10,668,082; 10,806,697; and 10,835,487 are ten (10) of the eleven (11) patents listed in FDA's Orange Book as covering Imvexxy[®].

31. TherapeuticsMD filed a complaint against Teva USA and Teva Ltd. in this Court on April 1, 2020 alleging infringement of United States Patent Nos. 9,180,091; 9,289,382; 10,258,630; 10,398,708; 10,471,072. *TherapeuticsMD, Inc. v. Teva USA, Inc.*, C.A. No. 20-3485 (BRM)(ESK), ECF No. 1. TherapeuticsMD filed a second complaint against Teva USA and Teva Ltd. in this Court on July 13, 2020 alleging infringement of United States Patent Nos. 10,537,581 and 10,568,891. *TherapeuticsMD, Inc. v. Teva USA, Inc.*, C.A. No. 20-8809 (BRM)(ESK), ECF No. 1. TherapeuticsMD filed a third complaint against Teva USA and Teva Ltd. in this Court on August 21, 2020 alleging infringement of United States Patent No. 10,668,082. TherapeuticsMD filed a fourth complaint against Teva USA and Teva Ltd. in this Court on November 30, 2020 alleging infringement of United States Patent Nos. 10,806,697 and 10,835,487. *TherapeuticsMD, Inc. v. Teva USA, Inc.*, C.A. No. 20-17496 (BRM)(ESK), ECF No. 1. These four actions have been consolidated for all purposes, including trial, under Civil Action No. 20-3485 (consolidated). *TherapeuticsMD, Inc. v. Teva USA, Inc.*, C.A. No. 20-3485 (BRM)(ESK) (consolidated), ECF No. 51.

32. Teva USA sent a letter to TherapeuticsMD dated May 13, 2021, purportedly pursuant to § 505(j)(2)(A)(iv) of the FDCA, 21 U.S.C. § 355(j)(2)(A)(iv), and § 314.95 of Title 21 of the Code of Federal Regulations, regarding ANDA No. 214137 (the “Notice Letter”).

33. The Notice Letter states that Teva USA’s ANDA has been submitted under § 505(j) of the FDCA, with a paragraph IV certification to obtain approval to engage in the commercial manufacture, use, or sale of Estradiol Vaginal Insert 4 mcg and 10 mcg, before the expiration of the ’516 patent. The ’516 patent is one (1) of the eleven (11) patents listed in FDA’s Orange Book as covering Imvexxy®.

34. Upon information and belief, Teva USA's ANDA was submitted under § 505(j)(2) of the FDCA with a certification pursuant to 21 U.S.C. § 355(j)(2)(A)(vii)(IV) that the '516 patent is invalid, unenforceable, and/or will not be infringed by the manufacture, use, or sale of Defendants' ANDA Product.

35. Upon information and belief, the proposed prescribing information for Defendants' ANDA Product includes a header titled, "Indications and Usage," and states that Defendants' ANDA Product is for treatment of moderate to severe dyspareunia, a symptom of vulvar and vaginal atrophy, due to menopause.

36. Upon information and belief, the proposed prescribing information for Defendants' ANDA Product includes a header titled, "Dosage and Administration," and states that Defendants' ANDA Product should be administered intravaginally; insert with the smaller end up for a depth of about two inches into the vaginal canal. Insert 1 daily at approximately the same time for 2 weeks, followed by 1 insert twice weekly, every three to four days (for example, Monday and Thursday). Generally, women should be started at the 4 mcg dosage strength. Dosage adjustment should be guided by the clinical response.

37. Upon information and belief, administration of Defendants' ANDA Product will be indicated for the treatment of moderate to severe dyspareunia, a symptom of vulvar and vaginal atrophy, due to menopause.

38. The '516 patent, titled, "Soluble Estradiol Capsule For Vaginal Insertion," was duly and legally issued by the U.S. Patent and Trademark Office on January 12, 2021, to TherapeuticsMD, Inc. on assignment from the inventors.

39. Pursuant to 21 U.S.C. § 355(b)(1), the '516 patent was submitted to FDA after the approval of NDA No. 208564. The '516 patent was subsequently listed in the Orange Book as covering Imvexxy®.

40. The Notice Letter does not include any unenforceability contentions with respect to any claims of the patent-in-suit.

FIRST COUNT
(Defendants' Infringement of the '516 patent)

41. TherapeuticsMD repeats and re-alleges each of the foregoing paragraphs as if fully set forth herein.

42. Upon information and belief, Teva USA, purportedly at the direction and control of Teva Ltd., prepared ANDA No. 214137.

43. Upon information and belief, Teva Ltd. provided material and significant support to Teva USA in the preparation of ANDA No. 214137.

44. Upon information and belief, Teva USA, purportedly at the direction and control of Teva Ltd., submitted ANDA No. 214137 to FDA pursuant to § 505(j) of the FDCA (codified at 21 U.S.C. § 355(j)) for the purpose of seeking FDA approval to market Defendants' ANDA Product prior to the expiration of the patent-in-suit.

45. Upon information and belief, ANDA No. 214137 is based upon Imvexxy® (estradiol vaginal inserts), 4 mcg and 10 mcg, as its reference listed drug.

46. Upon information and belief, Defendants' ANDA Product is Estradiol Vaginal Insert, 4 mcg and 10 mcg.

47. Upon information and belief, Teva USA, purportedly at the direction and control of Teva Ltd., submitted ANDA No. 214137 with a paragraph IV certification to the '516 patent for the purpose of obtaining FDA approval to engage in the commercial manufacture, use,

offering for sale, sale, and/or importation of Defendants' ANDA Product before the expiration of the '516 patent.

48. Under 21 U.S.C. § 355(j)(2)(B), the filer of an ANDA containing a paragraph IV certification must provide notice of the filing to each patent owner and each NDA holder. Under 21 U.S.C. § 355(j)(2)(B)(iv)(II), such notice must "include a detailed statement of the factual and legal basis of the opinion of the applicant that the patent is invalid or will not be infringed." Likewise, 21 C.F.R. § 314.95(c)(7) requires that such notice include a "detailed statement of the factual and legal basis of the applicant's opinion that the patent is not valid, unenforceable, or will not be infringed." The detailed statement must include: "For each claim of a patent alleged not to be infringed, a full and detailed explanation of why the claim is not infringed" and "[f]or each claim of a patent alleged to be invalid or unenforceable, a full and detailed explanation of the ground supporting the allegation." 21 C.F.R. § 314.95(c)(7)(i)–(ii).

49. Upon information and belief, as of the date of Notice Letter, Teva USA and Teva Ltd. were aware of the statutory provisions and regulations set out in 21 U.S.C. § 355(j)(2)(B)(iv)(II) and 21 C.F.R. § 314.95(c)(7).

50. Purportedly in accordance with 21 U.S.C. § 355(j)(2)(B)(iv) and 21 C.F.R. § 314.95(d)(1), Teva USA sent a copy of the Notice Letter to TherapeuticsMD, Inc. at 951 Yamato Road, Suite 220, Boca Raton, Florida 33431.

51. Under 35 U.S.C. § 271(e)(2)(A), Teva USA's submission, at the direction and control of Teva Ltd., of ANDA No. 214137 with a paragraph IV certification to the '516 patent for the purpose of obtaining approval to engage in the commercial manufacture, use, or sale of Defendants' ANDA Product before the expiration of the '516 patent is an act of infringement of the '516 patent.

52. Upon information and belief, Teva USA and Teva Ltd. will commercially manufacture, use, offer to sell, and/or sell within the United States, and/or import into the United States, Defendants' ANDA Product if ANDA No. 214137 ever receives final FDA approval.

53. Upon information and belief, Teva USA and Teva Ltd.'s commercial manufacture, use, offering to sell, and/or sale within the United States, and/or importation into the United States, of Defendants' ANDA Product would infringe, directly and/or indirectly, one or more of the '516 patent's claims under 35 U.S.C. § 271.

54. Upon information and belief, Teva USA and Teva Ltd.'s commercial offering for sale and/or sale of Defendants' ANDA Product will induce and/or contribute to third-party infringement of one or more claims of the '516 patent under 35 U.S.C. § 271.

55. This case is "exceptional," and TherapeuticsMD is entitled to an award of reasonable attorneys' fees under 35 U.S.C. § 285.

56. The acts of infringement set forth above will cause TherapeuticsMD irreparable harm for which there is no adequate remedy at law, unless Teva USA and Teva Ltd. are preliminarily and permanently enjoined by this Court.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff respectfully requests the following relief:

- A. A judgment declaring that the '516 patent is valid and enforceable;
- B. A judgment, pursuant to 35 U.S.C. § 271(e)(2)(A), declaring that Defendants infringed the '516 patent by submitting to FDA ANDA No. 214137 with a paragraph IV certification for the purpose of obtaining approval for the commercial manufacture, use, or sale of Defendants' ANDA Product before the expiration of the '516 patent;
- C. A judgment, pursuant to 35 U.S.C. § 271(a), (b), and/or (c), declaring that the commercial manufacture, use, offering to sell, or sale within the United States, and/or

importation into the United States, of Defendants' ANDA Product before the expiration of the '516 patent (including any regulatory extension) would directly and/or indirectly infringe the '516 patent;

D. An order, pursuant to 35 U.S.C. § 271(e)(4)(A), § 281, and § 283, that the effective date of any final approval of ANDA No. 214137 shall be no earlier than the date on which the '516 patent expires (including any regulatory extension);

E. An order, pursuant to 35 U.S.C. § 271(e)(4)(B), § 281, and § 283, preliminarily and permanently enjoining Defendants, their officers, agents, servants, employees, attorneys, and any person in active concert or participation or privity with Defendants, from engaging in the commercial manufacture, use, offering to sell, or sale within the United States, and/or importation into the United States, of Defendants' ANDA Product until the expiration of the '516 patent (including any regulatory extension);

F. A judgment, pursuant to 35 U.S.C. § 271(e)(4)(C) and § 284, awarding TherapeuticsMD damages or other monetary relief if Defendants commercially manufacture, use, offer to sell, or sell within the United States, and/or import into the United States, any product that is the subject of ANDA No. 214137, prior to the expiration of the '516 patent (including any regulatory extension);

G. A judgment, pursuant to 35 U.S.C. § 271(e)(4)(C) and § 284, declaring that Defendants' infringement of the '516 patent is willful and awarding TherapeuticsMD enhanced damages if Defendants commercially manufacture, use, offer to sell, or sell within the United States, and/or import into the United States, any product that is the subject of ANDA No. 214137, prior to the expiration of the '516 patent (including any regulatory extension);

H. A judgment, pursuant to 35 U.S.C. § 285, declaring that this is an exceptional case and awarding TherapeuticsMD its attorneys' fees and costs; and

I. Such other and further relief as this Court may deem just and proper.

Dated: June 21, 2021

OF COUNSEL:

Edgar H. Haug

Nicholas F. Giove

Andrew Wasson

Anna N. Lukacher

Camille Y. Turner

HAUG PARTNERS LLP

745 Fifth Avenue

New York, NY 10151

By: s/ William C. Baton

Charles M. Lizza

William C. Baton

Sarah A. Sullivan

SAUL EWING ARNSTEIN & LEHR LLP

One Riverfront Plaza

1037 Raymond Blvd., Suite 1520

Newark, NJ 07102

clizza@saul.com

wbaton@saul.com

sarah.sullivan@saul.com

Attorneys for Plaintiff

TherapeuticsMD, Inc.

CERTIFICATION PURSUANT TO LOCAL CIVIL RULES 11.2 & 40.1

Pursuant to Local Civil Rules 11.2 and 40.1, I hereby certify that the matter captioned *TherapeuticsMD, Inc. v. Teva Pharmaceuticals USA, Inc.*, Civil Action No. 20-3485 (BRM)(ESK) (D.N.J.) (consolidated) is related to the matter in controversy because the matter in controversy involves the same plaintiff, one of the same defendants, and because Teva USA is seeking FDA approval to market generic versions of the same pharmaceutical product.

I further certify that, to the best of my knowledge, the matter in controversy is not the subject of any other action pending in any court, or of any pending arbitration or administrative proceeding.

Dated: June 21, 2021

OF COUNSEL:

Edgar H. Haug
Nicholas Giove
Andrew Wasson
Anna N. Lukacher
Camille Y. Turner
HAUG PARTNERS LLP
745 Fifth Avenue
New York, NY 10151

By: s/ William C. Baton _____

Charles M. Lizza
William C. Baton
Sarah A. Sullivan
SAUL EWING ARNSTEIN & LEHR LLP
One Riverfront Plaza
1037 Raymond Blvd., Suite 1520
Newark, NJ 07102
clizza@saul.com
wbaton@saul.com
sarah.sullivan@saul.com

*Attorneys for Plaintiff
TherapeuticsMD, Inc.*

Exhibit A



US010888516B2

(12) **United States Patent**
Bernick et al.

(10) **Patent No.:** **US 10,888,516 B2**

(45) **Date of Patent:** ***Jan. 12, 2021**

(54) **SOLUBLE ESTRADIOL CAPSULE FOR VAGINAL INSERTION**

(56) **References Cited**

U.S. PATENT DOCUMENTS

(71) Applicant: **TherapeuticsMD, Inc.**, Boca Raton, FL (US)

(72) Inventors: **Brian A. Bernick**, Boca Raton, FL (US); **Julia M. Amadio**, Boca Raton, FL (US); **Peter H. R. Persicaner**, Boca Raton, FL (US); **Thorsteinn Thorsteinsson**, Boynton Beach, FL (US); **Janice Louise Cacace**, St. Petersburg, FL (US); **Frederick D. Sancilio**, Stuart, FL (US); **Neda Irani**, Palm Beach Gardens, FL (US)

(73) Assignee: **TherapeuticsMD, Inc.**, Boca Raton, FL (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.
This patent is subject to a terminal disclaimer.

(21) Appl. No.: **16/833,213**

(22) Filed: **Mar. 27, 2020**

(65) **Prior Publication Data**

US 2020/0289529 A1 Sep. 17, 2020

Related U.S. Application Data

(63) Continuation of application No. 14/649,818, filed as application No. PCT/US2013/046443 on Jun. 18, 2013, now abandoned.

(60) Provisional application No. 61/745,313, filed on Dec. 21, 2012.

(51) **Int. Cl.**

A61K 9/00 (2006.01)
A61K 31/565 (2006.01)
A61K 9/02 (2006.01)
A61K 9/107 (2006.01)
A61K 9/48 (2006.01)
A61K 31/57 (2006.01)
A61K 47/10 (2017.01)
A61K 47/14 (2017.01)
A61K 47/44 (2017.01)

(52) **U.S. Cl.**

CPC **A61K 9/0034** (2013.01); **A61K 9/02** (2013.01); **A61K 9/1075** (2013.01); **A61K 9/48** (2013.01); **A61K 9/4858** (2013.01); **A61K 9/4866** (2013.01); **A61K 31/565** (2013.01); **A61K 31/57** (2013.01); **A61K 47/10** (2013.01); **A61K 47/14** (2013.01); **A61K 47/44** (2013.01)

(58) **Field of Classification Search**

None

See application file for complete search history.

1,967,351 A	1/1934	Doisy
2,232,438 A	2/1941	Butenandt
2,379,832 A	7/1945	Serini et al.
2,649,399 A	8/1953	Beall et al.
3,198,707 A	8/1965	Nomine et al.
3,478,070 A	11/1969	Stein et al.
3,526,648 A	9/1970	Bertin et al.
3,710,795 A	1/1973	Higuchi et al.
3,729,560 A	4/1973	Hagerman
3,729,566 A	4/1973	Ericsson et al.
3,755,573 A	8/1973	Berman
3,755,575 A	8/1973	Lerner
3,903,880 A	9/1975	Higuchi et al.
3,916,898 A	11/1975	Robinson
3,916,899 A	11/1975	Theeuwes et al.
3,921,636 A	11/1975	Zaffaroni
3,923,997 A	12/1975	Meuly
3,948,254 A	4/1976	Zaffaroni
3,971,367 A	7/1976	Zaffaroni
3,977,404 A	8/1976	Theeuwes
3,993,072 A	11/1976	Zaffaroni
4,008,719 A	2/1977	Theeuwes et al.
4,012,496 A	3/1977	Schopflin et al.
4,014,334 A	3/1977	Theeuwes et al.
4,014,987 A	3/1977	Heller et al.
4,016,251 A	4/1977	Higuchi et al.
4,071,623 A	1/1978	van der Vies
4,093,709 A	6/1978	Choi et al.
4,154,820 A	5/1979	Simoons
4,155,991 A	5/1979	Schopflin et al.
4,196,188 A	4/1980	Besins
4,215,691 A	8/1980	Wong
4,237,885 A	12/1980	Wong et al.

(Continued)

FOREIGN PATENT DOCUMENTS

BR	PI1001367-9 A2	7/2012
CA	2044371 A1	12/1991

(Continued)

OTHER PUBLICATIONS

US 6,214,374 B1, 04/2001, Schmirler et al. (withdrawn)

(Continued)

Primary Examiner — Dennis J Parad

(74) *Attorney, Agent, or Firm* — Sterne, Kessler, Goldstein & Fox P.L.L.C.

(57) **ABSTRACT**

According to various embodiments of this disclosure, pharmaceutical formulations comprising solubilized estradiol are provided. In various embodiments, such formulations are encapsulated in soft capsules which may be vaginally inserted for the treatment of vulvovaginal atrophy.

US 10,888,516 B2

Page 2

(56)

References Cited

U.S. PATENT DOCUMENTS

4,310,510	A	1/1982	Sherman et al.	5,653,983	A	8/1997	Bonte
4,327,725	A	5/1982	Cortese et al.	5,656,286	A	8/1997	Miranda et al.
4,372,951	A	2/1983	Vorys	5,660,839	A	8/1997	Allec
4,384,096	A	5/1983	Sonnabend	5,662,927	A	9/1997	Ehrlich
4,393,871	A	7/1983	Vorhauer et al.	5,663,160	A	9/1997	Dumas
4,402,695	A	9/1983	Wong	5,676,968	A	10/1997	Lipp et al.
4,423,151	A	12/1983	Baranczuk	5,677,292	A	10/1997	Li et al.
4,449,980	A	5/1984	Millar et al.	5,686,097	A	11/1997	Crisologo
4,610,687	A	9/1986	Fogwell	5,693,335	A	12/1997	Xia
4,629,449	A	12/1986	Wong	5,694,947	A	12/1997	Lehtinen et al.
4,732,763	A	3/1988	Beck et al.	5,700,480	A	12/1997	Hille et al.
4,738,957	A	4/1988	Laurent et al.	5,709,844	A	1/1998	Arbeit et al.
4,756,907	A	7/1988	Beck et al.	5,719,197	A	2/1998	Mantelle
4,762,717	A	8/1988	Crowley, Jr.	5,735,801	A	4/1998	Caillouette
4,788,062	A	11/1988	Gale et al.	5,739,176	A	4/1998	Dunn et al.
4,816,257	A	3/1989	Buster et al.	5,744,463	A	4/1998	Bair
4,822,616	A	4/1989	Zimmermann et al.	5,747,058	A	5/1998	Tipton et al.
4,865,848	A	9/1989	Cheng et al.	5,762,614	A	6/1998	Caillouette
4,900,734	A	2/1990	Maxson et al.	5,770,176	A	6/1998	Nargessi
4,906,475	A	3/1990	Kim	5,770,219	A	6/1998	Chiang et al.
4,942,158	A	7/1990	Sarpotdar et al.	5,770,220	A	6/1998	Meconi
4,961,931	A	10/1990	Wong	5,770,227	A	6/1998	Dong
5,030,629	A	7/1991	Rajadhyaksha	5,776,495	A	7/1998	Duclos et al.
5,043,331	A	8/1991	Hirvonen et al.	5,780,044	A	7/1998	Tipton
5,059,426	A	10/1991	Chiang	5,780,050	A	7/1998	Jain
5,064,654	A	11/1991	Berner et al.	5,788,980	A	8/1998	Nabahi
5,108,995	A	4/1992	Casper	5,788,984	A	8/1998	Schmidt Gollwitzer
5,128,138	A	7/1992	Blank	5,789,442	A	8/1998	Garfield et al.
5,130,137	A	7/1992	Crowley, Jr.	5,811,416	A	9/1998	Chwalisz et al.
5,140,021	A	8/1992	Maxson et al.	5,811,547	A	9/1998	Nakamichi et al.
5,164,416	A	11/1992	Nagai et al.	5,814,329	A	9/1998	Shah
5,208,225	A	5/1993	Boissonneault et al.	5,820,878	A	10/1998	Shinmura
5,211,952	A	5/1993	Spicer et al.	5,827,200	A	10/1998	Caillouette
5,252,334	A	10/1993	Chiang et al.	5,840,327	A	11/1998	Gale
5,280,023	A	1/1994	Ehrlich et al.	5,843,468	A	12/1998	Yum
5,288,496	A	2/1994	Lewis	5,843,979	A	12/1998	Wille
5,340,584	A	8/1994	Spicer et al.	5,858,394	A	1/1999	Lipp
5,340,585	A	8/1994	Pike et al.	5,863,552	A	1/1999	Yue
5,340,586	A	8/1994	Pike et al.	5,866,603	A	2/1999	Li et al.
5,362,497	A	11/1994	Yamada et al.	5,869,084	A	2/1999	Paradissis et al.
5,382,573	A	1/1995	Casper	5,882,676	A	3/1999	Yum
5,393,528	A	2/1995	Staab	5,885,612	A	3/1999	Meconi
5,393,529	A	2/1995	Hoffmann et al.	5,888,533	A	3/1999	Dunn
5,419,910	A	5/1995	Lewis	5,891,462	A	4/1999	Carrara
5,453,279	A	9/1995	Lee et al.	5,891,868	A	4/1999	Cummings et al.
5,468,736	A	11/1995	Hodgen	5,898,038	A	4/1999	Yallampalli et al.
5,474,783	A	12/1995	Miranda et al.	5,902,603	A	5/1999	Chen
5,480,776	A	1/1996	Dullien	5,904,931	A	5/1999	Gunther
5,514,673	A	5/1996	Heckenmuller et al.	5,906,830	A	5/1999	Farinas
5,516,528	A	5/1996	Hughes et al.	5,912,010	A	6/1999	Wille
5,527,534	A	6/1996	Myhling	5,916,176	A	6/1999	Caillouette
5,529,782	A	6/1996	Staab	RE36,247	E	7/1999	Plunkett et al.
5,538,736	A	7/1996	Barth	5,919,477	A	7/1999	Bevan
5,543,150	A	8/1996	Bologna et al.	5,922,349	A	7/1999	Elliesen et al.
5,547,948	A	8/1996	Barcomb	5,928,666	A	7/1999	Farinas et al.
5,556,635	A	9/1996	Darnez	5,942,243	A	8/1999	Shah
5,565,199	A	10/1996	Page et al.	5,942,531	A	8/1999	Diaz et al.
5,567,831	A	10/1996	Li	5,952,000	A	9/1999	Fikstad
5,569,652	A	10/1996	Beier et al.	5,958,446	A	9/1999	Miranda et al.
5,580,572	A	12/1996	Liorzou	5,962,445	A	10/1999	Stewart
5,582,592	A	12/1996	Kendrick	5,968,919	A	10/1999	Gyurik
5,585,370	A	12/1996	Casper	5,972,372	A	10/1999	Saleh et al.
5,595,759	A	1/1997	Wright et al.	5,985,311	A	11/1999	Cordes
5,595,970	A	1/1997	Garfield et al.	5,985,850	A	11/1999	Falk
5,605,702	A	2/1997	Math	5,985,861	A	11/1999	Levine et al.
5,607,691	A	3/1997	Solas	5,989,568	A	11/1999	De Lacharriere
5,607,693	A	3/1997	Bonte	5,993,856	A	11/1999	Ragavan et al.
5,609,617	A	3/1997	Cady	6,001,846	A	12/1999	Edwards et al.
5,620,705	A	4/1997	Dong et al.	6,007,835	A	12/1999	Bon Lapillonne
5,626,866	A	5/1997	Heiber	6,010,715	A	1/2000	Pollock
5,629,021	A	5/1997	Wright	6,013,276	A	1/2000	Teillaud
5,633,011	A	5/1997	Dong et al.	6,022,562	A	2/2000	Autant et al.
5,633,242	A	5/1997	Oettel et al.	6,024,974	A	2/2000	Li
5,639,743	A	6/1997	Kaswan et al.	6,024,976	A	2/2000	Miranda et al.
5,645,856	A	6/1997	Lacy et al.	6,028,057	A	2/2000	Burns
				6,030,948	A	2/2000	Mann
				6,039,968	A	3/2000	Nabahi
				6,040,340	A	3/2000	Garfield
				6,056,972	A	5/2000	Hermesmyer

US 10,888,516 B2

Page 3

(56)

References Cited

U.S. PATENT DOCUMENTS

6,060,077	A	5/2000	Meignant	6,465,005	B1	10/2002	Biali
6,068,853	A	5/2000	Berner	6,465,006	B1	10/2002	Zhang
6,074,625	A	6/2000	Hawthorne et al.	6,468,526	B2	10/2002	Chrisope
6,077,531	A	6/2000	Salin-Drouin	6,469,016	B1	10/2002	Place et al.
6,080,118	A	6/2000	Blythe	6,472,434	B1	10/2002	Place et al.
6,083,178	A	7/2000	Caillouette	6,479,232	B1	11/2002	Howett et al.
6,086,916	A	7/2000	Agnus et al.	6,495,160	B2	12/2002	Esposito
6,087,352	A	7/2000	Trout	6,500,814	B1	12/2002	Hesch
6,090,404	A	7/2000	Meconi	6,503,896	B1	1/2003	Tanabe et al.
6,096,338	A	8/2000	Lacy et al.	6,511,969	B1	1/2003	Hermesmyer
6,106,848	A	8/2000	Willcox	6,521,250	B2	2/2003	Seibert
6,117,446	A	9/2000	Place	6,526,980	B1	3/2003	Tracy et al.
6,117,450	A	9/2000	Dittgen et al.	6,528,094	B1	3/2003	Savoir et al.
6,124,362	A	9/2000	Bradbury	6,531,149	B1	3/2003	Meconi
6,133,251	A	10/2000	Dittgen et al.	6,537,580	B1	3/2003	Savoir et al.
6,133,320	A	10/2000	Yallampalli et al.	6,538,039	B2	3/2003	Laurent
6,139,868	A	10/2000	Hoffmann	6,544,196	B2	4/2003	Caillouette
6,139,873	A	10/2000	Hughes, Jr. et al.	6,544,553	B1	4/2003	Hsia et al.
6,149,935	A	11/2000	Tenzel	6,548,053	B1	4/2003	Murray
6,153,216	A	11/2000	Cordes et al.	6,548,491	B2	4/2003	Tanabe et al.
6,165,491	A	12/2000	Grasset et al.	6,551,611	B2	4/2003	Elliesen et al.
6,165,975	A	12/2000	Adams et al.	6,555,131	B1	4/2003	Wolff
6,187,323	B1	2/2001	Aiache	6,562,367	B1	5/2003	Wolff
6,187,339	B1	2/2001	de Haan et al.	6,562,370	B2	5/2003	Luo
6,190,331	B1	2/2001	Caillouette	6,562,790	B2	5/2003	Chein
6,201,072	B1	3/2001	Rathi et al.	6,569,463	B2	5/2003	Patel et al.
6,217,886	B1	4/2001	Rubinstein	6,583,129	B1	6/2003	Mazer et al.
6,225,297	B1	5/2001	Stockemann	6,586,006	B2	7/2003	Roser et al.
6,227,202	B1	5/2001	Matapurkar	6,589,549	B2	7/2003	Shih et al.
6,228,383	B1	5/2001	Hansen	6,593,317	B1	7/2003	de Ziegler et al.
6,228,852	B1	5/2001	Shaak	6,599,519	B1	7/2003	Seo
6,242,509	B1	6/2001	MacQueen	6,610,325	B1	8/2003	Meignant et al.
6,245,811	B1	6/2001	Horrobin	6,610,652	B2	8/2003	Adams et al.
6,262,115	B1	7/2001	Guittard et al.	6,610,670	B2	8/2003	Backensfeld et al.
6,267,984	B1	7/2001	Hamlin	6,610,674	B1	8/2003	Schreiber
6,274,165	B1	8/2001	Meconi	6,635,274	B1	10/2003	Carter
6,277,418	B1	8/2001	Markaverich et al.	6,638,528	B1	10/2003	Kanios
6,283,927	B1	9/2001	Caillouette	6,638,536	B2	10/2003	Savoir et al.
6,284,263	B1	9/2001	Place	6,645,528	B1	11/2003	Straub et al.
6,287,588	B1	9/2001	Shih et al.	6,649,155	B1	11/2003	Dunlop
6,287,693	B1	9/2001	Savoir et al.	6,653,298	B2	11/2003	Potter et al.
6,294,188	B1	9/2001	Ragavan et al.	6,656,929	B1	12/2003	Agnus et al.
6,294,192	B1	9/2001	Patel et al.	6,660,726	B2	12/2003	Hill et al.
6,294,550	B1	9/2001	Place et al.	6,663,608	B2	12/2003	Rathbone et al.
6,299,900	B1	10/2001	Reed et al.	6,663,895	B2	12/2003	Savoir et al.
6,303,132	B1	10/2001	Nelson	6,664,296	B1	12/2003	Meignant
6,303,588	B1	10/2001	Danielov	6,682,757	B1	1/2004	Wright
6,306,841	B1	10/2001	Place et al.	6,692,763	B1	2/2004	Cummings et al.
6,306,914	B1	10/2001	de Ziegler et al.	6,708,822	B1	3/2004	Muni
6,309,669	B1	10/2001	Setterstrom et al.	6,716,454	B2	4/2004	Meignant et al.
6,309,848	B1	10/2001	Howett et al.	6,720,001	B2	4/2004	Chen
6,312,703	B1	11/2001	Orthoefer	6,737,081	B2	5/2004	Savoir et al.
6,328,987	B1	12/2001	Marini	6,740,333	B2	5/2004	Beckett et al.
6,342,491	B1	1/2002	Dey et al.	6,743,448	B2	6/2004	Kryger
6,344,211	B1	2/2002	Hille	6,743,815	B2	6/2004	Huebner et al.
6,372,209	B1	4/2002	Chrisope	6,747,018	B2	6/2004	Tanabe et al.
6,372,245	B1	4/2002	Vo	6,750,291	B2	6/2004	Kim
6,372,246	B1	4/2002	Wei et al.	6,756,208	B2	6/2004	Griffin et al.
6,387,390	B1	5/2002	Deaver et al.	6,776,164	B2	8/2004	Bunt et al.
6,402,705	B1	6/2002	Caillouette	6,787,152	B2	9/2004	Kirby et al.
6,416,778	B1	7/2002	Ragavan et al.	6,805,877	B2	10/2004	Massara et al.
6,420,352	B1	7/2002	Knowles	6,809,085	B1	10/2004	Elson et al.
6,423,039	B1	7/2002	Rathbone et al.	6,818,226	B2	11/2004	Reed et al.
6,423,683	B1	7/2002	Heaton et al.	6,821,524	B2	11/2004	Marini
6,432,438	B1	8/2002	Shukla	6,841,716	B1	1/2005	Tsutsumi
6,436,633	B1	8/2002	Kreider et al.	6,844,334	B2	1/2005	Hill et al.
6,440,454	B1	8/2002	Santoro et al.	6,855,703	B1	2/2005	Hill et al.
6,444,224	B1	9/2002	Rathbone et al.	6,860,859	B2	3/2005	Mehrotra et al.
6,444,234	B1	9/2002	Kirby et al.	6,866,865	B2	3/2005	Hsia et al.
6,451,300	B1	9/2002	Leyba	6,869,969	B2	3/2005	Huebner et al.
6,451,339	B2	9/2002	Patel et al.	6,878,518	B2	4/2005	Whitehead
6,451,779	B1	9/2002	Hesch	6,901,278	B1	5/2005	Notelovitz
6,455,246	B1	9/2002	Howett et al.	6,905,705	B2	6/2005	Palm et al.
6,455,517	B1	9/2002	Tanabe et al.	6,911,211	B2	6/2005	Tamarkin
6,465,004	B1	10/2002	Houze	6,911,438	B2	6/2005	Wright
				6,923,988	B2	8/2005	Patel et al.
				6,924,274	B2	8/2005	Lardy et al.
				6,932,983	B1	8/2005	Straub et al.
				6,939,558	B2	9/2005	Massara et al.

US 10,888,516 B2

Page 4

(56)

References Cited

U.S. PATENT DOCUMENTS

6,943,021 B2	9/2005	Klausner et al.	7,732,408 B2	6/2010	Josephson et al.
6,958,327 B1	10/2005	Hillisch et al.	7,749,989 B2	7/2010	Hill et al.
6,960,337 B2	11/2005	Pike	7,767,656 B2	8/2010	Shoichet et al.
6,962,691 B1	11/2005	Lulla et al.	7,799,769 B2	9/2010	White
6,962,908 B2	11/2005	AloBl et al.	7,815,936 B2	10/2010	Hasenzahl
6,967,194 B1	11/2005	Matsuo et al.	7,815,949 B2	10/2010	Cohen
6,974,569 B2	12/2005	Boyd	7,829,115 B2	11/2010	Besins et al.
6,977,250 B2	12/2005	Rodriguez	7,829,116 B2	11/2010	Frye
6,978,945 B2	12/2005	Wong et al.	RE42,012 E	12/2010	Deaver et al.
6,987,129 B2	1/2006	Mak et al.	7,850,992 B2	12/2010	Hwang
6,995,149 B1	2/2006	Reilhac	7,854,753 B2	12/2010	Kraft
7,004,321 B1	2/2006	Hackbirth	7,858,607 B2	12/2010	Mamchur
7,005,429 B2	2/2006	Dey et al.	RE42,072 E	1/2011	Deaver et al.
7,011,846 B2	3/2006	Shojaei et al.	7,862,552 B2	1/2011	McIntyre et al.
7,018,992 B2	3/2006	Koch et al.	7,867,990 B2	1/2011	Schultz et al.
7,030,104 B2	4/2006	Paris	7,871,643 B2	1/2011	Lizio
7,030,157 B2	4/2006	Ke et al.	7,879,830 B2	2/2011	Wiley
RE39,104 E	5/2006	Duclos et al.	7,884,093 B2	2/2011	Creasy et al.
7,074,779 B2	7/2006	Sui et al.	7,925,519 B2	4/2011	Greene
7,083,590 B1	8/2006	Bunt et al.	7,939,104 B2	5/2011	Blrbera et al.
7,091,213 B2	8/2006	Metcalf, III et al.	7,943,602 B2	5/2011	Bunschoten et al.
7,094,228 B2	8/2006	Zhang	7,943,604 B2	5/2011	Coelingh Bennink et al.
7,097,853 B1	8/2006	Keister	7,945,459 B2	5/2011	Grace et al.
7,101,342 B1	9/2006	Caillouette	7,960,368 B2	6/2011	Rao
7,105,573 B2	9/2006	Krajcik	7,989,436 B2	8/2011	Hill et al.
7,135,190 B2	11/2006	Piao et al.	7,989,487 B2	8/2011	Welsh et al.
7,153,522 B1	12/2006	Ikeura	8,022,053 B2	9/2011	Mueller et al.
7,163,681 B2	1/2007	Giles-Komar et al.	8,048,017 B2	11/2011	Xu
7,163,699 B2	1/2007	Besse	8,048,869 B2	11/2011	Bunschoten et al.
7,175,850 B2	2/2007	Cevc	8,063,030 B2	11/2011	Ellman
7,179,799 B2	2/2007	Hill et al.	8,071,576 B2	12/2011	Visser
7,196,074 B2	3/2007	Blye et al.	8,071,729 B2	12/2011	Giles-Komar et al.
7,198,800 B1	4/2007	Ko	8,075,916 B2	12/2011	Park
7,198,801 B2	4/2007	Carrara et al.	8,075,917 B2	12/2011	Park
7,226,910 B2	6/2007	Wilson et al.	8,076,317 B2	12/2011	Kulmann
7,247,625 B2	7/2007	Zhang et al.	8,076,319 B2	12/2011	Leonard
7,250,446 B2	7/2007	Sangita et al.	8,080,553 B2	12/2011	Auspitz
7,267,829 B2	9/2007	Kirby et al.	8,088,605 B2	1/2012	Beudet et al.
7,300,926 B2	11/2007	Prokai et al.	8,096,940 B2	1/2012	Iverson
7,303,763 B2	12/2007	Ho	8,101,209 B2	1/2012	Legrand et al.
7,317,037 B2	1/2008	Fensome et al.	8,101,773 B2	1/2012	Smith et al.
7,329,654 B2	2/2008	Kanojia et al.	8,114,152 B2	2/2012	Furst
7,335,650 B2	2/2008	Potter et al.	8,114,434 B2	2/2012	Sasaki et al.
7,374,779 B2	5/2008	Chen et al.	8,114,442 B2	2/2012	Tucker
7,378,404 B2	5/2008	Peters et al.	8,119,741 B2	2/2012	Pavlin
7,381,427 B2	6/2008	Ancira	8,121,886 B2	2/2012	Azar
7,387,789 B2	6/2008	Klose et al.	8,124,118 B2	2/2012	Hugosson
7,388,006 B2	6/2008	Schmees et al.	8,124,595 B2	2/2012	Boissonneault
7,414,043 B2	8/2008	Kosemund et al.	8,147,561 B2	4/2012	Binmoeller
7,427,413 B2	9/2008	Savoir et al.	8,148,546 B2	4/2012	Biasner
7,427,609 B2	9/2008	Leonard	8,158,613 B2	4/2012	Staniforth
7,429,576 B2	9/2008	Labrie	8,158,614 B2	4/2012	Lambert et al.
7,431,941 B2	10/2008	Besins et al.	8,163,722 B2	4/2012	Savoir
7,456,159 B2	11/2008	Houze	8,177,449 B2	5/2012	Watkinson
7,459,445 B2	12/2008	Hill et al.	8,182,833 B2	5/2012	Hermismeyer
7,465,587 B2	12/2008	Imrich	8,187,615 B2	5/2012	Friedman
7,470,433 B2	12/2008	Carrara et al.	8,187,640 B2	5/2012	Dunn
7,485,666 B2	2/2009	Villanueva et al.	8,195,403 B2	6/2012	Wood, Jr.
7,497,855 B2	3/2009	Ausiello et al.	8,202,736 B2	6/2012	Mousa et al.
7,498,303 B2	3/2009	Arnold	8,217,024 B2	7/2012	Ahmed et al.
7,534,765 B2	5/2009	Gregg et al.	8,221,785 B2	7/2012	Chien
7,534,780 B2	5/2009	Ring	8,222,008 B2	7/2012	Thoene
7,550,142 B2	6/2009	Giles-Komar et al.	8,222,237 B2	7/2012	Narkunan
7,563,565 B1	7/2009	Matsuo et al.	8,227,454 B2	7/2012	Hill et al.
7,569,274 B2	8/2009	Alphonse	8,227,509 B2	7/2012	Castro et al.
7,572,779 B2	8/2009	AloBl et al.	8,241,664 B2	8/2012	Dudley et al.
7,572,780 B2	8/2009	Hermismeyer	8,247,393 B2	8/2012	Ahmed et al.
7,589,082 B2	9/2009	Savoir et al.	8,257,724 B2	9/2012	Cromack
7,671,027 B2	3/2010	Loumaye	8,257,725 B2	9/2012	Cromack
7,674,783 B2	3/2010	Hermismeyer	8,268,352 B2	9/2012	Karan
7,687,281 B2	3/2010	Roth et al.	8,268,806 B2	9/2012	Labrie
7,687,485 B2	3/2010	Levinson et al.	8,268,878 B2	9/2012	Johnson
7,694,683 B2	4/2010	Callister et al.	8,273,730 B2	9/2012	Fernandez et al.
7,704,983 B1	4/2010	Hodgen et al.	8,287,888 B2	10/2012	Song et al.
7,727,720 B2	6/2010	Dhallan	8,288,366 B2	10/2012	Gonzalez
			8,318,898 B2	11/2012	Fasel
			8,324,193 B2	12/2012	Lee Sepsick
			8,329,680 B2	12/2012	Evans et al.
			8,337,814 B2	12/2012	Osbakken

US 10,888,516 B2

Page 5

(56)

References Cited

U.S. PATENT DOCUMENTS

8,344,007 B2	1/2013	Chui	9,114,145 B2	8/2015	Bernick et al.
8,349,820 B2	1/2013	Zeun et al.	9,114,146 B2	8/2015	Bernick et al.
8,353,863 B2	1/2013	Imran	9,180,091 B2	11/2015	Bernick et al.
8,357,723 B2	1/2013	Satyam	9,248,136 B2	2/2016	Bernick et al.
8,361,995 B2	1/2013	Schramm	9,289,382 B2	3/2016	Bernick et al.
8,362,091 B2	1/2013	Besonov	9,301,920 B2	4/2016	Bernick et al.
8,372,424 B2	2/2013	Berry	9,931,349 B2	4/2018	Shadiack et al.
8,372,806 B2	2/2013	Bragagna	10,052,386 B2	8/2018	Bernick et al.
8,377,482 B2	2/2013	Laurie	10,098,894 B2	10/2018	Amadio et al.
8,377,994 B2	2/2013	Dreschler	10,206,932 B2	2/2019	Bernick et al.
8,394,759 B2	3/2013	Birathur	10,258,630 B2	4/2019	Mirkin et al.
8,415,332 B2	4/2013	Reape	10,398,708 B2	9/2019	Mirkin et al.
8,420,111 B2	4/2013	Hermesmeyer	10,471,072 B2	11/2019	Bernick et al.
8,435,561 B2	5/2013	Besins et al.	10,537,581 B2	1/2020	Bernick et al.
8,435,972 B2	5/2013	Sayeed	10,568,891 B2	2/2020	Mirkin et al.
8,449,879 B2	5/2013	Laurent Applegate	2001/0005728 A1	6/2001	Guittard et al.
8,450,108 B2	5/2013	Boyce	2001/0009673 A1	7/2001	Gunther
8,454,945 B2	6/2013	Narain	2001/0021816 A1	9/2001	Caillouette
8,455,468 B2	6/2013	Kellermann	2001/0023261 A1	9/2001	Ryoo
8,461,138 B2	6/2013	Boissonneault	2001/0027189 A1	10/2001	Bennink et al.
8,476,252 B2	7/2013	Pickersgill	2001/0029357 A1	10/2001	Bunt et al.
8,481,488 B2	7/2013	Carter	2001/0031747 A1	10/2001	de Ziegler et al.
8,486,374 B2	7/2013	Zlatkis	2001/0032125 A1	10/2001	Bhan et al.
8,486,442 B2	7/2013	Yamaji	2001/0034340 A1	10/2001	Pickar
8,492,368 B2	7/2013	Lewandowski	2012/0269878 A2	10/2001	Cantor et al.
8,507,467 B2	8/2013	Ueda	2001/0053383 A1	12/2001	Sablotsky
8,512,693 B2	8/2013	Azevedo	2001/0056068 A1	12/2001	Chwalisz et al.
8,512,754 B2	8/2013	Needham	2002/0012710 A1	1/2002	Lansky
8,518,376 B2	8/2013	Schuz	2002/0026158 A1	2/2002	Rathbone et al.
8,536,159 B2	9/2013	Zeng	2002/0028788 A1	3/2002	Bunt et al.
8,540,967 B2	9/2013	Trivedi	2002/0035070 A1	3/2002	Gardlik
8,541,400 B2	9/2013	Joabsson	2002/0058648 A1	5/2002	Hammerly
8,551,462 B2	10/2013	Marens	2002/0058926 A1	5/2002	Rathbone et al.
8,551,508 B2	10/2013	Lee et al.	2002/0064541 A1	5/2002	Lapidot et al.
8,557,281 B2	10/2013	Tuominen	2002/0076441 A1	6/2002	Shih et al.
8,568,374 B2	10/2013	De Graaff	2002/0102308 A1	8/2002	Wei et al.
8,591,951 B2	11/2013	Kohn	2002/0107230 A1	8/2002	Waldon et al.
8,613,951 B2	12/2013	Troiano	2002/0114803 A1	8/2002	Deaver et al.
8,633,178 B2	1/2014	Cacace	2002/0119174 A1	8/2002	Gardlik
8,633,180 B2	1/2014	Zeng	2002/0119198 A1	8/2002	Gao
8,636,787 B2	1/2014	Sabaria	2002/0132801 A1	9/2002	Heil et al.
8,636,982 B2	1/2014	Schuz	2002/0137749 A1	9/2002	Levinson et al.
8,653,129 B2	2/2014	Fein	2002/0142017 A1	10/2002	Simonnet
8,658,627 B2	2/2014	Voskuhl	2002/0151530 A1	10/2002	Leonard et al.
8,658,628 B2	2/2014	Biucum	2002/0156394 A1	10/2002	Mehrotra et al.
8,663,681 B2	3/2014	Ahmed et al.	2002/0169150 A1	11/2002	Pickar
8,663,692 B1	3/2014	Mueller	2002/0169205 A1	11/2002	Garfield
8,663,703 B2	3/2014	Moldavski	2002/0173510 A1	11/2002	Levinson et al.
8,664,207 B2	3/2014	Zheng	2002/0193356 A1	12/2002	Van Beek et al.
8,669,293 B2	3/2014	Sharoni	2002/0193758 A1	12/2002	Sandberg
8,679,552 B2	3/2014	Guthery	2002/0197286 A1	12/2002	Brandman
8,694,358 B2	4/2014	Tryfon	2003/0003139 A1	1/2003	Gunther
8,697,127 B2	4/2014	Sah	2003/0004145 A1	1/2003	Leonard
8,697,710 B2	4/2014	Zeng	2003/0007994 A1	1/2003	Bunt et al.
8,703,105 B2	4/2014	Besonov	2003/0027772 A1	2/2003	Breton
8,709,385 B2	4/2014	Schuz	2003/0044453 A1	3/2003	Volkel
8,709,451 B2	4/2014	Rapoport	2003/0049307 A1	3/2003	Gyurik
8,715,735 B2	5/2014	Funke	2003/0064097 A1	4/2003	Patel et al.
8,721,331 B2	5/2014	Raghuprasad	2003/0064975 A1	4/2003	Koch et al.
8,722,021 B2	5/2014	Eini	2003/0072760 A1	4/2003	SirBlsku
8,734,846 B2	5/2014	Hrkach	2003/0073248 A1	4/2003	Roth et al.
8,735,381 B2	5/2014	Podolski	2003/0073673 A1	4/2003	Hesch
8,741,336 B2	6/2014	Dipierro et al.	2003/0077297 A1	4/2003	Chen et al.
8,741,373 B2	6/2014	Rao	2003/0078245 A1	4/2003	Bennink et al.
8,753,661 B2	6/2014	Gassner	2003/0091620 A1	5/2003	Venkateshwaran
8,784,882 B2	7/2014	Mattern	2003/0091640 A1	5/2003	Ramanathan et al.
8,846,648 B2	9/2014	Bernick et al.	2003/0092691 A1	5/2003	Besse et al.
8,846,649 B2	9/2014	Bernick et al.	2003/0096012 A1	5/2003	Besse et al.
8,933,059 B2	1/2015	Bernick et al.	2003/0104048 A1	6/2003	Patel et al.
8,987,237 B2	3/2015	Bernick et al.	2003/0109507 A1	6/2003	Beckmann
8,987,238 B2	3/2015	Bernick et al.	2003/0113268 A1	6/2003	Buenaefae
8,993,548 B2	3/2015	Bernick et al.	2003/0114420 A1	6/2003	Salvati et al.
8,993,549 B2	3/2015	Bernick et al.	2003/0114430 A1	6/2003	MacLeod et al.
9,006,222 B2	4/2015	Bernick et al.	2003/0124182 A1	7/2003	Shojaei et al.
9,012,434 B2	4/2015	Bernick et al.	2003/0124191 A1	7/2003	Besse et al.
			2003/0130558 A1	7/2003	Massara et al.
			2003/0144258 A1	7/2003	Heil et al.
			2003/0157157 A1	8/2003	Luo et al.
			2003/0166509 A1	9/2003	Bltycky et al.

US 10,888,516 B2

Page 6

(56)

References Cited

U.S. PATENT DOCUMENTS

2003/0170295 A1	9/2003	Yoon	2005/0042173 A1	2/2005	Besse et al.
2003/0175329 A1	9/2003	Mak	2005/0042268 A1	2/2005	Aschkenasay et al.
2003/0175333 A1	9/2003	Shefer	2005/0048116 A1	3/2005	Straub et al.
2003/0180352 A1	9/2003	Patel et al.	2005/0054991 A1	3/2005	Paterson
2003/0181353 A1	9/2003	Nyce	2005/0079138 A1	4/2005	Chickering, III et al.
2003/0181728 A1	9/2003	Salvati et al.	2005/0085453 A1	4/2005	Govindarajan
2003/0191096 A1	10/2003	Leonard et al.	2005/0101579 A1	5/2005	Shippen
2003/0195177 A1	10/2003	Leonard et al.	2005/0113350 A1	5/2005	Duesterberg et al.
2003/0215496 A1	11/2003	Patel et al.	2005/0118244 A1	6/2005	Theobald
2003/0219402 A1	11/2003	Rutter	2005/0118272 A1	6/2005	Besse et al.
2003/0220297 A1	11/2003	Berstein et al.	2005/0129756 A1	6/2005	Podhaisky
2003/0224057 A1	12/2003	Martin-Letellier et al.	2005/0152956 A1	7/2005	Dudley
2003/0224059 A1	12/2003	Lerner et al.	2005/0153946 A1	7/2005	Hirsh et al.
2003/0225047 A1	12/2003	Friedman	2005/0164977 A1	7/2005	Coelingh Bennink
2003/0225048 A1	12/2003	Friedman	2005/0182105 A1	8/2005	Nirschl et al.
2003/0225050 A1	12/2003	Eichardt et al.	2005/0186141 A1	8/2005	Gonda
2003/0228686 A1	12/2003	Klausner et al.	2005/0187267 A1	8/2005	Hamann et al.
2003/0229057 A1	12/2003	Caubel et al.	2005/0192253 A1	9/2005	Salvati et al.
2003/0235596 A1	12/2003	Gao	2005/0192310 A1	9/2005	Gavai et al.
2003/0236236 A1	12/2003	Chen et al.	2005/0196434 A1	9/2005	Brierre
2004/0009960 A1	1/2004	Heil et al.	2005/0207990 A1	9/2005	Funke et al.
2004/0022820 A1	2/2004	Anderson	2005/0209209 A1	9/2005	Koch et al.
2004/0034001 A1	2/2004	Karara	2005/0214384 A1	9/2005	Juturu et al.
2004/0037881 A1	2/2004	Guittard et al.	2005/0220825 A1	10/2005	Funke et al.
2004/0039356 A1	2/2004	Maki	2005/0220900 A1	10/2005	Wuttke
2004/0043043 A1	3/2004	Schlyter	2005/0222106 A1	10/2005	Bracht
2004/0043943 A1	3/2004	Guittard et al.	2005/0228692 A1	10/2005	Hodgdon
2004/0044080 A1	3/2004	Place et al.	2005/0228718 A1	10/2005	Austin
2004/0048900 A1	3/2004	Flood	2005/0239747 A1	10/2005	Le
2004/0052824 A1	3/2004	Abou Chacra-Vernet et al.	2005/0239758 A1	10/2005	Roby
2004/0073024 A1	4/2004	Metcalfe, III et al.	2005/0244360 A1	11/2005	Billoni
2004/0077605 A1	4/2004	Salvati et al.	2005/0244522 A1	11/2005	Carrara et al.
2004/0077606 A1	4/2004	Salvati et al.	2005/0245902 A1	11/2005	Cornish et al.
2004/0087548 A1	5/2004	Salvati et al.	2005/0250746 A1	11/2005	Iammatteo
2004/0087564 A1	5/2004	Wright	2005/0250750 A1	11/2005	Cummings et al.
2004/0089308 A1	5/2004	Welch	2005/0250753 A1	11/2005	Fink et al.
2004/0092494 A9	5/2004	Dudley	2005/0256028 A1	11/2005	Yun et al.
2004/0092583 A1	5/2004	Shanahan-Prendergast	2005/0266078 A1	12/2005	Jorda et al.
2004/0093261 A1	5/2004	Jain et al.	2005/0266088 A1	12/2005	Frijlink
2004/0097468 A1	5/2004	Wimalawansa	2005/0271597 A1	12/2005	Keith
2004/0101557 A1	5/2004	Gibson et al.	2005/0271598 A1	12/2005	Friedman et al.
2004/0106542 A1	6/2004	Deaver et al.	2005/0272685 A1	12/2005	Hung
2004/0110732 A1	6/2004	Masini Eteve	2005/0272712 A1	12/2005	GruB2 et al.
2004/0131670 A1	7/2004	Gao	2006/0009428 A1	1/2006	Grub2
2004/0138103 A1	7/2004	Patt	2006/0014728 A1	1/2006	Chwalisz et al.
2004/0142012 A1	7/2004	Bunt et al.	2006/0018937 A1	1/2006	Friedman et al.
2004/0146539 A1	7/2004	Gupta	2006/0019978 A1	1/2006	Bllog
2004/0146894 A1	7/2004	Warrington et al.	2006/0020002 A1	1/2006	Salvati et al.
2004/0147578 A1	7/2004	Calvet	2006/0030615 A1	2/2006	Fensome et al.
2004/0161435 A1	8/2004	Gupta	2006/0034889 A1	2/2006	Jo et al.
2004/0176324 A1	9/2004	Salvati et al.	2006/0034904 A1	2/2006	Weimann
2004/0176336 A1	9/2004	Rodriguez	2006/0040904 A1	2/2006	Ahmed et al.
2004/0185104 A1	9/2004	Piao et al.	2006/0051391 A1	3/2006	Dvoskin et al.
2004/0191207 A1	9/2004	Lipari	2006/0052341 A1	3/2006	Cornish et al.
2004/0191276 A1	9/2004	Muni	2006/0052799 A1*	3/2006	Middleman A61B 17/10 606/114
2004/0198706 A1	10/2004	Carrara et al.	2006/0069031 A1	3/2006	Loumaye
2004/0210280 A1	10/2004	Liedtke	2006/0078618 A1	4/2006	Constantinides
2004/0213744 A1	10/2004	Lulla et al.	2006/0083778 A1	4/2006	Allison et al.
2004/0219124 A1	11/2004	Gupta	2006/0084704 A1	4/2006	Shih
2004/0225140 A1	11/2004	Sciano	2006/0088580 A1	4/2006	Seibertz
2004/0234606 A1	11/2004	Levine et al.	2006/0089337 A1	4/2006	Casper et al.
2004/0241219 A1	12/2004	Hille	2006/0093678 A1	5/2006	Chickering, III et al.
2004/0243437 A1	12/2004	Grace et al.	2006/0100180 A1	5/2006	Bohlmann
2004/0253319 A1	12/2004	Netke et al.	2006/0106004 A1	5/2006	Brody et al.
2004/0259817 A1	12/2004	Waldon et al.	2006/0110415 A1*	5/2006	Gupta A61K 8/0212 424/401
2004/0266745 A1	12/2004	Schwanitz et al.	2006/0111424 A1	5/2006	Salvati et al.
2005/0003003 A1	1/2005	Deaver	2006/0121102 A1	6/2006	Chiang
2005/0004088 A1	1/2005	Hesch	2006/0121626 A1	6/2006	Imrich
2005/0009800 A1	1/2005	Thumbbeck et al.	2006/0134188 A1	6/2006	Podhaisky et al.
2005/0014729 A1	1/2005	Pulaski	2006/0135619 A1	6/2006	Kick et al.
2005/0020550 A1	1/2005	Latif	2006/0165744 A1	7/2006	Anyarambhatla
2005/0020552 A1	1/2005	Aschkenasay et al.	2006/0193789 A1	8/2006	Tamarkin
2005/0021009 A1	1/2005	Massara et al.	2006/0194775 A1	8/2006	Tofovic et al.
2005/0025833 A1	2/2005	Aschkenasay et al.	2006/0204557 A1	9/2006	Gupta et al.
2005/0031651 A1	2/2005	Gervais et al.	2006/0233743 A1	10/2006	Kelly
			2006/0233841 A1	10/2006	Pushpala
			2006/0235037 A1	10/2006	Purandare et al.

US 10,888,516 B2

Page 7

(56)

References Cited

U.S. PATENT DOCUMENTS

2006/0240111	A1	10/2006	Fernandez et al.	2008/0039405	A1	2/2008	Joseph
2006/0246122	A1	11/2006	Langguth	2008/0050317	A1	2/2008	Besonov
2006/0247216	A1	11/2006	Haj-Yehia	2008/0051351	A1	2/2008	Ghisalberti
2006/0247221	A1	11/2006	Coelingh Bennink	2008/0063607	A1	3/2008	Berman
2006/0251581	A1	11/2006	Madenjian	2008/0069779	A1	3/2008	Schuz
2006/0252049	A1	11/2006	Shuler et al.	2008/0069791	A1	3/2008	Beissert
2006/0257472	A1	11/2006	Nielsen	2008/0085877	A1	4/2008	Bortz
2006/0275218	A1	12/2006	Dov	2008/0095831	A1	4/2008	McGraw
2006/0275360	A1	12/2006	Ahmed et al.	2008/0095838	A1	4/2008	Abou Chacra-Vernet
2006/0276414	A1	12/2006	Coelingh Bennink	2008/0113953	A1	5/2008	DeVries et al.
2006/0280771	A1	12/2006	Groenewegen et al.	2008/0114050	A1	5/2008	Fensome et al.
2006/0280797	A1	12/2006	Shoichet et al.	2008/0119537	A1	5/2008	Zhang et al.
2006/0280800	A1	12/2006	Nagi et al.	2008/0125402	A1	5/2008	Diliberti et al.
2006/0292223	A1	12/2006	McIlroy	2008/0138379	A1	6/2008	Jennings-Spring
2007/0004693	A1	1/2007	Woolfson et al.	2008/0138390	A1	6/2008	Gricenko
2007/0004694	A1	1/2007	Woolfson et al.	2008/0139392	A1	6/2008	Yuan
2007/0009559	A1	1/2007	Alosio	2008/0145423	A1	6/2008	Khan et al.
2007/0009594	A1	1/2007	Grub2	2008/0153789	A1	6/2008	Dmowski
2007/0010550	A1	1/2007	McKenzie	2008/0175814	A1	7/2008	Phiasivongsa et al.
2007/0014839	A1	1/2007	Bracht	2008/0175905	A1	7/2008	Biksh
2007/0015698	A1	1/2007	Goldstein	2008/0175908	A1	7/2008	Biksh
2007/0021360	A1	1/2007	Nyce et al.	2008/0188829	A1	8/2008	Creasy
2007/0027201	A1	2/2007	McComas et al.	2008/0206156	A1	8/2008	Cronk
2007/0031491	A1	2/2007	Levine et al.	2008/0206159	A1	8/2008	Schuz
2007/0036843	A1	2/2007	Hirsh et al.	2008/0206161	A1	8/2008	Tamarkin et al.
2007/0037780	A1	2/2007	Anigbogu	2008/0214512	A1	9/2008	Seitz
2007/0037782	A1	2/2007	Suzuki	2008/0220069	A1	9/2008	Allison
2007/0042038	A1	2/2007	Besse	2008/0226698	A1	9/2008	Beste
2007/0049567	A1	3/2007	Wiley	2008/0227763	A1	9/2008	Paris
2007/0060589	A1	3/2007	Purandare et al.	2008/0234199	A1	9/2008	Katamreddy
2007/0066628	A1	3/2007	Zhang et al.	2008/0234240	A1	9/2008	Duesterberg
2007/0066637	A1	3/2007	Zhang et al.	2008/0255078	A1	10/2008	Katamreddy
2007/0066675	A1	3/2007	Zhang et al.	2008/0255089	A1	10/2008	Katamreddy
2007/0071777	A1	3/2007	Bromer et al.	2008/0261931	A1	10/2008	Stenlof
2007/0078091	A1	4/2007	Hubler	2008/0299220	A1	12/2008	Tamarkin et al.
2007/0088029	A1	4/2007	Bllog	2008/0306036	A1	12/2008	Katamreddy
2007/0093548	A1	4/2007	Diffendal et al.	2008/0312197	A1	12/2008	Rodriguez
2007/0116729	A1	5/2007	Palepu	2008/0312198	A1	12/2008	Rodriguez
2007/0116829	A1	5/2007	Prakash et al.	2008/0319078	A1	12/2008	Katamreddy
2007/0128263	A1	6/2007	Wall	2009/0004246	A1	1/2009	Woolfson
2007/0154533	A1	7/2007	Dudley	2009/0010968	A1	1/2009	Peyrot
2007/0167418	A1	7/2007	Ferguson	2009/0011041	A1	1/2009	Musaevea
2007/0178166	A1	8/2007	Bernstein et al.	2009/0017120	A1	1/2009	Brisco
2007/0184558	A1	8/2007	Roth et al.	2009/0022683	A1	1/2009	Park
2007/0185068	A1	8/2007	Ferguson	2009/0047357	A1	2/2009	Tomohira
2007/0190022	A1	8/2007	Chiao	2009/0053294	A1	2/2009	Prendergast
2007/0191319	A1	8/2007	Ke et al.	2009/0060982	A1	3/2009	Ron et al.
2007/0191321	A1	8/2007	Ahmed	2009/0060997	A1	3/2009	Seitz
2007/0196415	A1	8/2007	Houston	2009/0068118	A1	3/2009	Eini et al.
2007/0196433	A1	8/2007	Ron et al.	2009/0074859	A1	3/2009	Patel
2007/0207225	A1	9/2007	Squadrito	2009/0081206	A1	3/2009	Leibovitz
2007/0225281	A1	9/2007	Zhang et al.	2009/0081278	A1	3/2009	De Graaff et al.
2007/0232574	A1	10/2007	Bernard	2009/0081303	A1	3/2009	Savoir et al.
2007/0238713	A1	10/2007	Gast et al.	2009/0092656	A1	4/2009	Klamerus et al.
2007/0243229	A1	10/2007	Smith et al.	2009/0093440	A1	4/2009	Murad
2007/0248658	A1	10/2007	Bracht	2009/0098069	A1	4/2009	Vacca
2007/0254858	A1	11/2007	Cronk	2009/0099106	A1	4/2009	Phiasivongsa et al.
2007/0255197	A1	11/2007	Wilkins	2009/0099149	A1	4/2009	Kresevic
2007/0264309	A1	11/2007	Chollet et al.	2009/0130029	A1	5/2009	Tamarkin
2007/0264345	A1	11/2007	Eros et al.	2009/0131385	A1	5/2009	Voskuhl
2007/0264349	A1	11/2007	Lee et al.	2009/0136574	A1	5/2009	Diaz-Astruc et al.
2007/0270394	A1	11/2007	El-Alfy et al.	2009/0137478	A1	5/2009	Bernstein et al.
2007/0286819	A1	12/2007	DeVries et al.	2009/0137538	A1	5/2009	Klamerus et al.
2007/0287688	A1	12/2007	Chan	2009/0143344	A1	6/2009	Chang
2007/0287789	A1	12/2007	Jones et al.	2009/0164341	A1	6/2009	Sunvold et al.
2007/0292359	A1	12/2007	Schuz	2009/0175799	A1	7/2009	Tamarkin
2007/0292387	A1	12/2007	Jon et al.	2009/0181088	A1	7/2009	Song et al.
2007/0292461	A1	12/2007	Danziger	2009/0186081	A1	7/2009	Slot
2007/0292493	A1	12/2007	Brierre	2009/0197843	A1	8/2009	Notelovitz
2007/0298089	A1	12/2007	Yoshinaga	2009/0203658	A1	8/2009	Rose
2008/0026035	A1	1/2008	Chollet et al.	2009/0214474	A1	8/2009	Jennings
2008/0026040	A1	1/2008	Rivera Guzman	2009/0227025	A1	9/2009	Nichols et al.
2008/0026062	A1	1/2008	Farr et al.	2009/0227550	A1	9/2009	Mattern
2008/0038219	A1	2/2008	Carlson	2009/0232897	A1	9/2009	Sahoo et al.
2008/0038350	A1	2/2008	Gerecke et al.	2009/0258096	A1	10/2009	Cohen
				2009/0264395	A1	10/2009	Creasy
				2009/0269403	A1	10/2009	Shaked et al.
				2009/0285772	A1	11/2009	Phiasivongsa et al.
				2009/0285869	A1	11/2009	Trimble

US 10,888,516 B2

Page 8

(56)

References Cited

U.S. PATENT DOCUMENTS

2009/0318558	A1	12/2009	Kim et al.	2011/0250259	A1	10/2011	Buckman
2009/0324714	A1	12/2009	Krešević	2011/0250274	A1	10/2011	Shaked et al.
2009/0325916	A1	12/2009	Zhang et al.	2011/0256092	A1	10/2011	Phiasivongsa et al.
2010/0008985	A1	1/2010	Vermeulen	2011/0262373	A1	10/2011	Umbert Millet
2010/0028360	A1	2/2010	Atwood	2011/0262494	A1	10/2011	Achleitner et al.
2010/0034838	A1	2/2010	Staniforth	2011/0268665	A1	11/2011	Tamarkin et al.
2010/0034880	A1	2/2010	Sintov	2011/0275584	A1	11/2011	Volkman
2010/0040671	A1	2/2010	Ahmed et al.	2011/0281832	A1	11/2011	Wennogle
2010/0048523	A1	2/2010	Blchman et al.	2011/0287094	A1	11/2011	Penhasi
2010/0055138	A1	3/2010	Jacobs	2011/0293720	A1	12/2011	General et al.
2010/0074959	A1	3/2010	Hansom et al.	2011/0294738	A1	12/2011	Kuliopulos
2010/0086501	A1	4/2010	Chang	2011/0300167	A1	12/2011	Covic
2010/0086599	A1	4/2010	Huempel et al.	2011/0301087	A1	12/2011	McBride
2010/0092568	A1	4/2010	Lerner et al.	2011/0306579	A1	12/2011	Stein
2010/0105071	A1	4/2010	Laufer et al.	2011/0311592	A1	12/2011	BirBlra
2010/0119585	A1	5/2010	Hille et al.	2011/0312927	A1	12/2011	Nachaegari et al.
2010/0129320	A1	5/2010	Phiasivongsa et al.	2011/0312928	A1	12/2011	Nachaegari et al.
2010/0136105	A1	6/2010	Chen et al.	2011/0318405	A1	12/2011	Erwin
2010/0137265	A1	6/2010	Leonard	2011/0318431	A1	12/2011	Gulati
2010/0137271	A1	6/2010	Chen et al.	2012/0009276	A1	1/2012	De Groote
2010/0143420	A1	6/2010	Lee	2012/0015350	A1	1/2012	NaBltiyan et al.
2010/0143481	A1	6/2010	Shenoy	2012/0021041	A1	1/2012	Rossi
2010/0150993	A1	6/2010	Theobald	2012/0028888	A1	2/2012	Janz
2010/0152144	A1	6/2010	Hermesmyer	2012/0028910	A1	2/2012	Takruri
2010/0168228	A1	7/2010	Bose et al.	2012/0028936	A1	2/2012	Popova
2010/0183723	A1	7/2010	Laurent-Applegate et al.	2012/0045532	A1	2/2012	Cohen
2010/0184736	A1	7/2010	Coelingh Bennink et al.	2012/0046264	A1	2/2012	Lieb
2010/0190758	A1	7/2010	Fausser et al.	2012/0046518	A1	2/2012	Yoakum
2010/0204326	A1	8/2010	D Souza	2012/0052077	A1	3/2012	Truitt, III et al.
2010/0210994	A1	8/2010	Zarif	2012/0058171	A1	3/2012	Zeeman
2010/0221195	A1	9/2010	Ziv	2012/0058962	A1	3/2012	Sparrow
2010/0227797	A1	9/2010	Danielsson	2012/0058979	A1	3/2012	Auspitz
2010/0240626	A1	9/2010	Kulkarni et al.	2012/0064135	A1	3/2012	Harms
2010/0247482	A1	9/2010	Chen	2012/0065179	A1	3/2012	Andersson
2010/0247632	A1	9/2010	Dong et al.	2012/0065221	A1	3/2012	Bilbul
2010/0247635	A1	9/2010	Schmidt	2012/0087872	A1	4/2012	Schuz
2010/0255085	A1	10/2010	Liu et al.	2012/0101073	A1	4/2012	Mannion
2010/0273730	A1	10/2010	Hsu	2012/0121517	A1	5/2012	Kim
2010/0278759	A1	11/2010	Murad	2012/0121692	A1	5/2012	Fang
2010/0279988	A1	11/2010	Setiawan	2012/0122829	A1	5/2012	Masini
2010/0291191	A1	11/2010	Lipitsky	2012/0128625	A1	5/2012	Shalwitz et al.
2010/0292199	A1	11/2010	Leverd	2012/0128654	A1	5/2012	Terpstra
2010/0303825	A9	12/2010	SirBlsku	2012/0128683	A1	5/2012	Shantha
2010/0312137	A1	12/2010	Gilmour et al.	2012/0128733	A1	5/2012	Perrin
2010/0316724	A1	12/2010	Whitfield et al.	2012/0128777	A1	5/2012	Keck et al.
2010/0322884	A1	12/2010	Wilkins	2012/0129773	A1	5/2012	Geier
2010/0330168	A1	12/2010	Gicquel et al.	2012/0129819	A1	5/2012	Vancaillie
2011/0028439	A1	2/2011	Witt-Enderby et al.	2012/0136013	A1	5/2012	Wennogle
2011/0039814	A1	2/2011	Ross	2012/0142645	A1	6/2012	Marx
2011/0053845	A1	3/2011	Levine et al.	2012/0148670	A1	6/2012	Lee
2011/0066473	A1	3/2011	Bernick et al.	2012/0149748	A1	6/2012	Shanler et al.
2011/0076775	A1	3/2011	Stewart et al.	2012/0172343	A1	7/2012	Schuermann
2011/0076776	A1	3/2011	Stewart et al.	2012/0184515	A1	7/2012	Schwede
2011/0086825	A1	4/2011	Chatroux	2012/0231052	A1	9/2012	Brinton
2011/0087192	A1	4/2011	Uhland	2012/0232011	A1	9/2012	Kneissel
2011/0091555	A1	4/2011	De Luigi Bruschi et al.	2012/0232042	A1	9/2012	Krenz
2011/0098258	A1	4/2011	Canet	2012/0263679	A1	10/2012	Wallace
2011/0098631	A1	4/2011	McIntyre et al.	2012/0269721	A1	10/2012	Weng et al.
2011/0104268	A1	5/2011	Segot	2012/0277249	A1	11/2012	Tarrand
2011/0104289	A1	5/2011	Savoir Vilboeuf et al.	2012/0277727	A1	11/2012	Doshi
2011/0130375	A1	6/2011	Marliani	2012/0283671	A1	11/2012	ShiBlta et al.
2011/0135719	A1	6/2011	Besins et al.	2012/0295911	A1	11/2012	Mannion
2011/0142945	A1	6/2011	Chen	2012/0301517	A1	11/2012	Warner
2011/0152840	A1	6/2011	Lee	2012/0301538	A1	11/2012	Latere
2011/0158920	A1	6/2011	Fisher	2012/0302535	A1	11/2012	Caufriez
2011/0171140	A1	7/2011	Illum	2012/0316130	A1	12/2012	Hendrix
2011/0182997	A1	7/2011	Lewis et al.	2012/0316496	A1	12/2012	Horres
2011/0190201	A1	8/2011	Wood, Jr.	2012/0321579	A1	12/2012	Edelson
2011/0195031	A1	8/2011	Du	2012/0322779	A9	12/2012	Voskuhl
2011/0195114	A1	8/2011	Carrara et al.	2012/0328549	A1	12/2012	Edelson
2011/0195944	A1	8/2011	Mura et al.	2012/0329738	A1	12/2012	Liu
2011/0217341	A1	9/2011	Sah	2013/0004619	A1	1/2013	Goh
2011/0238003	A1	9/2011	Karabelas	2013/0011342	A1	1/2013	Hazot
2011/0244043	A1	10/2011	Wang	2013/0017239	A1	1/2013	Fernandez
2011/0250256	A1	10/2011	Hyun Oh	2013/0022674	A1	1/2013	Dudley et al.
				2013/0023505	A1	1/2013	Garfield
				2013/0023823	A1	1/2013	Volland
				2013/0028850	A1	1/2013	Hazot
				2013/0029947	A1	1/2013	Nachaegari et al.

US 10,888,516 B2

Page 9

(56)

References Cited

U.S. PATENT DOCUMENTS

2013/0029957 A1 1/2013 Venkateshwaran
 2013/0045266 A1 2/2013 Kang
 2013/0045953 A1 2/2013 Grenier
 2013/0059795 A1 3/2013 Lo
 2013/0064897 A1 3/2013 Binay
 2013/0072466 A1 3/2013 Choi
 2013/0084257 A1 4/2013 Ishida
 2013/0085123 A1 4/2013 Zhao
 2013/0089574 A1 4/2013 Stock
 2013/0090318 A1 4/2013 Gainer
 2013/0102781 A1 4/2013 Ely
 2013/0108551 A1 5/2013 Gruell
 2013/0116215 A1 5/2013 Lleo
 2013/0116222 A1 5/2013 Altomari
 2013/0122051 A1 5/2013 Gullapalli
 2013/0123175 A1 5/2013 McKee
 2013/0123220 A1 5/2013 Queiroz
 2013/0123351 A1 5/2013 Dewitt
 2013/0129818 A1 5/2013 Bernick et al.
 2013/0131027 A1 5/2013 Schmitz
 2013/0131028 A1 5/2013 Snyder
 2013/0131029 A1 5/2013 Biltussen
 2013/0149314 A1 6/2013 Bullerdiel
 2013/0164225 A1 6/2013 Besonov
 2013/0164346 A1 6/2013 Son
 2013/0165744 A1 6/2013 Carson
 2013/0178452 A1 7/2013 King
 2013/0183254 A1 7/2013 Cochran
 2013/0183325 A1 7/2013 Sforzini
 2013/0189193 A1 7/2013 Besonov
 2013/0189196 A1 7/2013 Tamarkin
 2013/0189230 A1 7/2013 Kooy
 2013/0189368 A1 7/2013 Mosqueira
 2013/0210709 A1 8/2013 Covic
 2013/0216550 A1 8/2013 Penninger
 2013/0216596 A1 8/2013 Fernandez Botello
 2013/0224177 A1 8/2013 Kim
 2013/0224257 A1 8/2013 Sah
 2013/0224268 A1 8/2013 Jaikaria
 2013/0224300 A1 8/2013 Maggio
 2013/0225412 A1 8/2013 Sardari Lodriche
 2013/0225542 A1 8/2013 Frick
 2013/0226113 A1 8/2013 Langguth
 2013/0243696 A1 9/2013 Wang
 2013/0245253 A1 9/2013 Mook
 2013/0245570 A1 9/2013 Jackson
 2013/0261096 A1 10/2013 Merian
 2013/0266645 A1 10/2013 Schoenecker
 2013/0267485 A1 10/2013 Da Silva Maia Filho
 2013/0273167 A1 10/2013 Kim
 2013/0274211 A1 10/2013 Prusthy
 2013/0280213 A1 10/2013 Voskuhl
 2013/0316374 A1 11/2013 Menon
 2013/0317065 A1 11/2013 Seto
 2013/0317315 A1 11/2013 Tsang
 2013/0324565 A1 12/2013 Zhao
 2013/0331363 A1 12/2013 Zhao
 2013/0338122 A1 12/2013 Bernick et al.
 2013/0338123 A1 12/2013 Bernick et al.
 2013/0338124 A1 12/2013 Zhao
 2013/0345187 A1 12/2013 Rodriguez Oquendo
 2014/0018335 A1 1/2014 Seto
 2014/0024590 A1 1/2014 Taylor
 2014/0031289 A1 1/2014 Kim
 2014/0031323 A1 1/2014 Perez
 2014/0066416 A1 3/2014 Leunis
 2014/0072531 A1 3/2014 Oh
 2014/0079686 A1 3/2014 Prouty
 2014/0088051 A1 3/2014 Bernick et al.
 2014/0088058 A1 3/2014 Maurizio
 2014/0088059 A1 3/2014 Santha
 2014/0094426 A1 4/2014 Drummond
 2014/0094440 A1 4/2014 Bernick et al.
 2014/0094441 A1 4/2014 Bernick et al.
 2014/0099362 A1 4/2014 Bernick et al.

2014/0100159 A1 4/2014 Conrad
 2014/0100204 A1 4/2014 Bernick et al.
 2014/0100205 A1 4/2014 Bernick et al.
 2014/0100206 A1 4/2014 Cacace
 2014/0113889 A1 4/2014 Haine
 2014/0127185 A1 5/2014 Sayeed
 2014/0127280 A1 5/2014 Jukarainen
 2014/0127308 A1 5/2014 Opara
 2014/0128798 A1 5/2014 Malanchin
 2014/0148491 A1 5/2014 Valia et al.
 2014/0186332 A1 7/2014 Ezrin
 2014/0187487 A1 7/2014 Shoichet
 2014/0193523 A1 7/2014 Henry
 2014/0194396 A1 7/2014 Wennogle
 2014/0206616 A1 7/2014 Ko et al.
 2014/0213565 A1 7/2014 Bernick et al.
 2014/0329783 A1 11/2014 Bernick et al.
 2014/0370084 A1 12/2014 Bernick et al.
 2014/0371182 A1 12/2014 Bernick et al.
 2014/0371183 A1 12/2014 Bernick et al.
 2014/0371184 A1 12/2014 Bernick et al.
 2014/0371185 A1 12/2014 Bernick et al.
 2015/0031654 A1 1/2015 Amadio
 2015/0045335 A1 2/2015 Bernick et al.
 2015/0133421 A1 5/2015 Bernick et al.
 2015/0148323 A1 5/2015 Bernick et al.
 2015/0164789 A1 6/2015 Bernick et al.
 2015/0224117 A1 8/2015 Bernick et al.
 2015/0224118 A1 8/2015 Bernick et al.
 2015/0297733 A1 10/2015 Oberegger et al.
 2015/0302435 A1 10/2015 Bernick et al.
 2015/0342963 A1 12/2015 Bernick et al.
 2015/0352126 A1 12/2015 Bernick et al.
 2015/0359737 A1 12/2015 Bernick et al.
 2016/0030449 A1 2/2016 Persicaner et al.
 2016/0213685 A1 7/2016 Bernick et al.
 2017/0056418 A1 3/2017 Thorsteinsson et al.
 2017/0216310 A1 8/2017 Mirkin et al.
 2017/0281645 A1 10/2017 Shadiack et al.
 2017/0281647 A1 10/2017 Shadiack et al.
 2017/0281776 A1 10/2017 Shadiack et al.
 2018/0161343 A1 6/2018 Mirkin et al.
 2018/0161344 A1 6/2018 Mirkin et al.
 2018/0161345 A1 6/2018 Bernick et al.
 2018/0221389 A1 8/2018 Amadio et al.
 2018/0256598 A1 9/2018 Mirkin et al.
 2018/0280410 A1 10/2018 Amadio et al.
 2018/0289723 A1 10/2018 Bernick et al.
 2019/0022107 A1 1/2019 Mirkin et al.
 2019/0046542 A1 2/2019 Bernick et al.
 2019/0070197 A1 3/2019 Amadio et al.
 2019/0142844 A1 5/2019 Bernick et al.
 2019/0247401 A1 8/2019 Amadio et al.
 2019/0343771 A1 11/2019 Mirkin et al.
 2019/0343845 A1 11/2019 Bernick et al.
 2019/0358243 A1 11/2019 Mirkin et al.

FOREIGN PATENT DOCUMENTS

CA 2612380 12/2006
 CN 102258455 A 11/2011
 EP 0261429 A1 3/1988
 EP 275716 A1 7/1988
 EP 0279977 A2 8/1988
 EP 622075 A1 11/1994
 EP 785211 A1 7/1997
 EP 785212 A1 7/1997
 EP 811381 A1 12/1997
 EP 0904064 A1 3/1999
 EP 0813412 B1 12/1999
 EP 0750495 B1 12/2002
 EP 1300152 A1 4/2003
 EP 1094781 B1 7/2008
 EP 2191833 A1 6/2010
 GB 452238 A 8/1936
 GB 720561 A 12/1954
 GB 848881 A 9/1960
 GB 874368 A 8/1961
 GB 1589946 A 5/1981

US 10,888,516 B2

Page 10

(56)	References Cited		WO	2005030175	4/2005
	FOREIGN PATENT DOCUMENTS		WO	2005081825	9/2005
			WO	2005087194	9/2005
			WO	2005087199	9/2005
IN	2005KOL00053	8/2005	WO	2005105059	11/2005
IN	216026	3/2008	WO	2005115335	12/2005
IN	244217	11/2010	WO	2005120470	12/2005
JP	H4-503810	9/1990	WO	2005120517	12/2005
JP	H2-264725 A	10/1990	WO	2006013369	2/2006
JP	2002 510336 A	4/2002	WO	2006034090	3/2006
JP	2006 513182 A	4/2006	WO	2006036899	4/2006
RU	2155582 C2	9/2000	WO	2006053172	5/2006
WO	199010425 A1	9/1990	WO	2006105615	10/2006
WO	1990011064	10/1990	WO	2006113505	10/2006
WO	1993017686	9/1993	WO	2006138686	12/2006
WO	1994022426	10/1994	WO	2006138735	12/2006
WO	1995005807	3/1995	WO	2007045027	4/2007
WO	1995030409	11/1995	WO	2007076144 A2	7/2007
WO	1996009826	4/1996	WO	2007103294	9/2007
WO	1996019975	7/1996	WO	2007120868	10/2007
WO	1996030000	10/1996	WO	2007123790	11/2007
WO	1997005491	2/1997	WO	2007124250	11/2007
WO	1997040823 A1	11/1997	WO	2007144151	12/2007
WO	1997043989	11/1997	WO	2008049516	5/2008
WO	1998010293	3/1998	WO	2008152444	12/2008
WO	1998032465	7/1998	WO	2009002542	12/2008
WO	WO 1998041217 A1	9/1998	WO	2009036311	3/2009
WO	1998051280	11/1998	WO	2009040818	4/2009
WO	199922680 A1	5/1999	WO	2009069006	6/2009
WO	1999032072	7/1999	WO	2009098072	8/2009
WO	1999039700	8/1999	WO	2009133352	11/2009
WO	1999042109	8/1999	WO	2010033188	3/2010
WO	1999043304	9/1999	WO	2010146872	12/2010
WO	1999048477	9/1999	WO	2011000210	1/2011
WO	1999052528 A1	10/1999	WO	2011073995	6/2011
WO	1999053910	10/1999	WO	2011120084	10/2011
WO	WO 1999055333 A1	11/1999	WO	2011128336	10/2011
WO	1999062497 A1	12/1999	WO	2012009778	1/2012
WO	1999063974	12/1999	WO	2012024361	2/2012
WO	2000001351	1/2000	WO	2012055814 A1	5/2012
WO	2000006175	2/2000	WO	2012055840 A1	5/2012
WO	2000038659	6/2000	WO	2012065740	5/2012
WO	2000045795	8/2000	WO	2012098090 A1	7/2012
WO	2000050007	8/2000	WO	2012116277 A1	8/2012
WO	2000059577	10/2000	WO	2012118563 A2	9/2012
WO	2000076522	12/2000	WO	2012120365 A1	9/2012
WO	2001037808	5/2001	WO	2012127501 A2	9/2012
WO	2001054699	8/2001	WO	2012156561 A1	11/2012
WO	2001060325	8/2001	WO	2012156822 A1	11/2012
WO	2001087276 A1	11/2001	WO	2012158483 A2	11/2012
WO	2001091757	12/2001	WO	2012166909 A1	12/2012
WO	2002007700	1/2002	WO	2012170578 A1	12/2012
WO	2002011768	2/2002	WO	2013011501 A1	1/2013
WO	2002022132	3/2002	WO	2013025449 A1	2/2013
WO	2002040008	5/2002	WO	2013028639 A1	2/2013
WO	2002041878	5/2002	WO	2013035101 A1	3/2013
WO	2002053131	7/2002	WO	2013044067 A1	3/2013
WO	2002078602	10/2002	WO	2013045404 A2	4/2013
WO	2002078604	10/2002	WO	2013059285 A1	4/2013
WO	2003028667	4/2003	WO	2013063279 A1	5/2013
WO	2003041718	5/2003	WO	2013064620 A1	5/2013
WO	2003041741	5/2003	WO	2013071281 A1	5/2013
WO	2003068186	8/2003	WO	WO 2013078422 A2	5/2013
WO	2003077923	9/2003	WO	2013088254	6/2013
WO	2003082254	10/2003	WO	2013102665 A1	7/2013
WO	2003092588	11/2003	WO	2013106437 A1	7/2013
WO	2004014397 A1	2/2004	WO	2013113690	8/2013
WO	2004014432	2/2004	WO	2013124415 A1	8/2013
WO	2004017983	3/2004	WO	WO 2013112947 A1	8/2013
WO	2004032897	4/2004	WO	2013127727 A1	9/2013
WO	WO 2004032942 A1	4/2004	WO	2013127728 A1	9/2013
WO	2004052336	6/2004	WO	2013144356 A1	10/2013
WO	2004054540	7/2004	WO	2013149258 A2	10/2013
WO	2004054576 A1	7/2004	WO	2013158454 A2	10/2013
WO	2004080413	9/2004	WO	2013170052 A1	11/2013
WO	2004105694 A2	12/2004	WO	2013178587 A1	12/2013
WO	2004110402 A1	12/2004	WO	2013181449 A1	12/2013
WO	2004110408 A2	12/2004	WO	2013192248	12/2013
WO	2005027911	3/2005	WO	2013192249	12/2013

US 10,888,516 B2

Page 11

(56)

References Cited

FOREIGN PATENT DOCUMENTS

WO	2013192250	12/2013
WO	2013192251	12/2013
WO	2014001904 A1	1/2014
WO	2014004424 A1	1/2014
WO	2014009434 A1	1/2014
WO	2014018569 A1	1/2014
WO	2014018570 A1	1/2014
WO	2014018571 A2	1/2014
WO	2014018856 A1	1/2014
WO	2014018932 A2	1/2014
WO	2014031958 A1	2/2014
WO	2014041120 A1	3/2014
WO	2014052792 A1	4/2014
WO	2014056897 A1	4/2014
WO	2014066442 A2	5/2014
WO	2014074846 A1	5/2014
WO	2014076231 A1	5/2014
WO	2014076569 A2	5/2014
WO	2014081598 A1	5/2014
WO	2014086739 A1	6/2014
WO	2014093114 A1	6/2014
WO	2014104784 A1	7/2014
WO	2015179782 A1	11/2015
WO	2016018993 A1	2/2016

OTHER PUBLICATIONS

Gullapalli, "Soft Gelatin Capsules (Softgels)," *Journal of Pharmaceutical Sciences*, vol. 99, Issue 10, pp. 4107-4148 (Year: 2010).*

Hitchcock, C. L. et al., "Oral micronized progesterone for vasomotor symptoms—a placebo-controlled randomized trial in healthy postmenopausal women," *Menopause: The Journal of The North American Menopause Society*, 19(8):886-893, The North American Menopause Society, United States (Aug. 2012).

Hosmer, J. et al., "Microemulsions Containing Medium-Chain Glycerides as Transdermal Delivery Systems for Hydrophilic and Hydrophobic Drugs," *AAPS PharmSciTech*, 10(2): 589-596, American Association of Pharmaceutical Scientists, United States (2009).

March, C. M. et al., "Roles of Estradiol and Progesterone in Eliciting the Midcycle Luteinizing Hormone and Follicle-Stimulating Hormone Surges," *The Journal of Clinical Endocrinology & Metabolism*, 49(4):507-513, The Endocrine Society, United States (Oct. 1, 1979).

Sofi, S. H., et al., "Gelucire: A Versatile Formulation Excipient," *lpppr.Human*, 10(3): 55-73 (2017).

Tang, O.S., et al., "Pharmacokinetics of different routes of administration of misoprostol," *Human Reproduction*, 17(2):332-226, European Society of Human Reproduction and Embryology, Belgium (2002).

Wang, H., et al., "Pharmacokinetics of hard micronized progesterone capsules via vaginal or oral route compared with soft micronized capsules in healthy postmenopausal women: a randomized open-label clinical study," *Drug Des Devel Ther.*, 13:2475-2482, Dove Press, England (2019).

Co-pending U.S. Appl. No. 16/837,929, Inventor, Bernick, B.A., filed Apr. 1, 2020 (Not Published).

Co-pending U.S. Appl. No. 16/837,933, Inventor, Bernick, B.A., filed Apr. 1, 2020 (Not Published).

Co-pending U.S. Appl. No. 16/837,937, Inventor, Bernick, B.A., filed Apr. 1, 2020 (Not Published).

Co-pending U.S. Appl. No. 16/834,780, Inventor, Bernick, B.A., filed Mar. 30, 2020 (Not Published).

Co-pending U.S. Appl. No. 16/834,844, Inventor, Bernick, B.A., filed Mar. 30, 2020 (Not Published).

Co-pending U.S. Appl. No. 16/833,186, Inventor, Bernick, B.A., filed Mar. 27, 2020 (Not Published).

Co-pending U.S. Appl. No. 16/833,188, Inventor, Bernick, B.A., filed Mar. 27, 2020 (Not Published).

Office Action dated Jan. 30, 2017, in U.S. Appl. No. 14/649,818 Inventors, Bernick, B.A., filed Jun. 4, 2015, 12 pages.

Office Action dated Nov. 2, 2017, in U.S. Appl. No. 14/649,818 Inventors, Bernick, B.A., filed Jun. 4, 2015, 21 pages.

Office Action dated Jun. 15, 2018, in U.S. Appl. No. 14/649,818 Inventors, Bernick, B.A., filed Jun. 4, 2015, 21 pages.

Office Action dated Jun. 3, 2019, in U.S. Appl. No. 14/649,818 Inventors, Bernick, B.A., filed Jun. 4, 2015, 15 pages.

Office Action dated Jan. 5, 2016, in U.S. Appl. No. 14/521,002 Inventors, Bernick, B.A., filed Oct. 22, 2014, 9 pages.

Office Action dated Jan. 6, 2017, in U.S. Appl. No. 14/521,002 Inventors, Bernick, B.A., filed Oct. 22, 2014, 10 pages.

Office Action dated Oct. 5, 2017, in U.S. Appl. No. 14/521,002 Inventors, Bernick, B.A., filed Oct. 22, 2014, 13 pages.

Office Action dated Jul. 20, 2018, in U.S. Appl. No. 14/521,002 Inventors, Bernick, B.A., filed Oct. 22, 2014, 16 pages.

Office Action dated Jun. 3, 2019, in U.S. Appl. No. 14/521,002 Inventors, Bernick, B.A., filed Oct. 22, 2014, 12 pages.

Office Action dated Apr. 2, 2020, in U.S. Appl. No. 14/521,002 Inventors, Bernick, B.A., filed Oct. 22, 2014, 6 pages.

Kingsburg, S.A. et al., "Treating dyspareunia caused by vaginal atrophy: a review of treatment options using vaginal estrogen therapy," *International Journal of Women's Health* 1:105-111, Dove Press, England (2009).

ACTIVEVELLA® (estradiol/ norethindrone acetate) prescribing information (Nov. 2017) FDA Label, 39 pages.

PROMETRIUM® (progesterone, USP) prescribing information (Jun. 2009) FDA Label, 33 pages.

VAGIFEM® (estradiol vaginal tablets) prescribing information (Nov. 2009) FDA Label, 14 pages.

Macbride, M.B., et al., "Vulvovaginal Atrophy," *Mayo Clinic Proceedings*, 85(1): 87-94, Elsevier, Netherlands (2010).

De Vries, T.P.G.M., et al., "Guide to Good Prescribing: A Practical Manual," Essential Medicines and Health Products Information Portal, World Health Organization, Annex 3 ("How to explain the use of some dosage forms"), Checklist 11 ("Vaginal tablet without applicator") available at <https://apps.who.int/iris/handle/10665/59001> (4 pages)(1994).

Rioux, J.E., et al "17 beta-Estradiol Vaginal Tablet Versus Conjugated Equine Estrogen Vaginal Cream to Relieve Menopausal Atrophic Vaginitis," *Menopause*, 7(3): 156-161, The North American Menopause Society, United States (2000).

Cicinelli, E. et al "Placement of the vaginal 17 beta-estradiol tablets in the inner or outer one third of the vagina affects the preferential delivery of 17 beta-estradiol toward the uterus or periurethral areas, thereby modifying efficacy and endometrial safety," *Am. J. Obstet. Gynecol*, 189: 55-58 (2003).

Abbas et al., Regression of endometrial implants treated with vitamin D₃ in a rat model of endometriosis, *European J of Pharma*, 715 (2013) 72-75, Elsevier.

Abitec, CapmulMCM, EP, Technical Data Sheet, version 10, 2014, Columbus, OH.

Abitec, CapmulMCM, NF, Technical Data Sheet, version 6, 2014, Columbus, OH.

Abitec, CapmulMCM, Safety Data Sheet, 2011, Janesville, WI.

Abitec, CapmulMCM, Technical Data Sheet, version 17, 2014, Columbus, OH.

Abitec, CapmulPG8, CAS No. 31565-12-5, version 11, 2006, Columbus, OH.

Abitec, Excipients for the Pharmaceutical Industry—Regulatory and Product Information, 2013, 2 pages.

Acarturk, Fusun, Mucoadhesive Vaginal Drug Delivery System, Recent Patents on Drug Delivery & Formulation, 2009, vol. 3, pp. 193-195.

Alabi, K. A., et al., Analysis of Fatty Acid Composition of Thevetia peruviana and Hura crepitans Seed oils using GC-FID, *Fountain Journal of Nat. and Appl. Sciences*, vol. 2(2), pp. 32-37, 2013, Osogbo.

Alexander, KS, Corn Oil, CAS No. 8001-30-7, Jan. 2009.

Alvarez et al., Ectopic uterine tissue as a chronic pain generator, *Neuroscience*, Dec. 6, 2012, 225:269-272.

Application Note FT-IR: JI-Ap-FT0508-008, CD spectra of pharmaceuticals substances—Steroids (2), JASCO International Co., Ltd., 2 pages.

US 10,888,516 B2

Page 12

(56)

References Cited

OTHER PUBLICATIONS

- Araya-Sibija et al., Crystallization of progesterone polymorphs using polymer-induced heteronucleation (PIHn) method, *Drug Development and Industrial Pharmacy*, Early Online, pp. 1-8, 2014, Informa Healthcare.
- Araya-Sibija, Andrea M.A., Morphology Study of Progesterone Polymorphs Prepared by Polymer-Induced Heteronucleation (PIHn), *Scanning* vol. 35 pp. 213-221, 2013, Wiley Period., Inc.
- Araya-Sibija, Andrea Manela, et al., Chemical Properties of Progesterone Selected Refer., *SciFinder*, 2014, American Chemical Society & US Natl. Lib. of Med.
- Araya-Sibija, Andrea Manela, et al., Polymorphism in Progesterone Selected References, *SciFinder*, Feb. 24, 2014, pp. 1-12, American Chem. Society & Natl. Lib. of Med.
- Araya-Sibija, Andrea Manela, et al., Polymorphism in Progesterone, *SciFinder*, pp. 1-46, Feb. 24, 2014, American Chem. Society & Natl. Lib. of Med.
- Archer et al., Effects of ospemifene on the female reproductive and urinary tracts: translation from preclinical models into clinical evidence, *Menopause: The Journal of the North American Menopause Society*, vol. 22, No. 77, pp. 1-11 (2015).
- Archer et al., Estrace® vs Premarin® for Treatment of Menopausal Symptoms: Dosage Comparison Study, *Advances in Therapy®*, vol. 9 No. 1, Jan./Feb. 1992.
- Ashburn et al., Cardiovascular, Hepatic and Renal Lesions in Mice Receiving Cortisone, Estrone and Progesterone, *Yale J Biology and Medicine*, vol. 35, Feb. 1963, pp. 329-340.
- Azeem, Adnan et al., Microemulsions as a Surrogate Carrier for Dermal Drug Delivery, *Drug Development and Industrial Pharmacy*, May 2000, vol. 35, No. 5, pp. 525-547 (abstract only). <http://informahealthcare.com/doi/abs/10.1080/03639040802448646>.
- Azure Pharma, Inc., ELESTRIN™—Estradiol Gel, Drug Info, <http://dailymed.nlm.nih.gov/dailymed/archives/fdaDrugInfo.cfm?archiveid=11885>, 26 pages, Aug. 2009.
- Bakhmutova-Albert, Ekaterina, et al., Enhancing Aqueous Dissolution Rates of Progesterone via Cocrystallization, *SSCI*, Division of Aptuit, Poster No. R6247, West Lafayette.
- Banerjee, Sila, et al., On the Stability of Salivary Progesterone Under Various Conditions of Storage, *Steroids*, vol. 46(6), pp. 967-974, Dec. 1985.
- Barnett, Steven M., Pressure-tuning infrared and solution Raman spectroscopic studies of 17 β -estradiol and several A-ring . . . , *Vibrational Spectroscopy* 8, Elsevier, pp. 263, 1995.
- Bartosova, Transdermal Drug Delivery In Vitro Using Diffusion Cells, *Current Medicinal Chemistry*, 2012, 19, 4671-4677, Bentham Science Publishers.
- Benbow et al., Distribution and Metabolism of Maternal Progesterone in the Uterus, Placenta, and Fetus during Rat Pregnancy, *Biology of Reproduction*, 52, 1327-1333 (1995).
- Bernabei, M.T., et al., Release of progesterone polymorphs from dimethylpolysiloxane polymeric matrixes, *Bollettino Chimico Farmaceutico*, vol. 122(1) pp. 20-26, 1983 *SciFinder*.
- Bhavnani et al., Misconception and Concerns about Bioidentical Hormones Used for Custom-Compounded Hormone Therapy, *J Clin Endocrin Metab*, Mar. 2012, 97(3):756-759.
- Bhavnani et al., Structure Activity Relationships and Differential Interactions and Functional Activity of Various Equine Estrogens Mediated via Estrogen Receptors (ERs) ER α and ER β , *Endocrinology*, Oct. 2008, 149(10):4857-4870.
- Bhavnani, B.R., Stanczyk, F.Z., Pharmacology of conjugated equine estrogens: Efficacy, safety and mechanism of action, *J. Steroid Biochem. Mol. Biol.*, (2013), Elsevier.
- Bhavnani, B.R., Stanczyk, F.Z., Use of medroxyprogesterone acetate for hormone therapy in postmenopausal women: Is it safe? *J. Steroid Biochem. Mol. Biol.*, (2013), Elsevier.
- BioMed Central, Solubility of Progesterone in Organic Solvents, Online PDF, <http://www.biomedcentral.com/content/supplementary/1475-2859-11-106-S2.pdf>.
- Blake et al., Single and multidose pharmacokinetic study of a vaginal micronized progesterone insert (Endometrin) compared with vaginal gel in healthy reproductive aged female subjects, *Fertility and Sterility* vol. 94, No. 4, Sep. 2010, Elsevier.
- Borka, Laszlo, Crystal Polymorphism of Pharmaceuticals, *Acta Pharm. Jugosl.*, voo. 40 pp. 71-94, 1990.
- Brinton, L.A., Felix, A.S., Menopausal hormone therapy and risk of endometrial cancer, *J. Steroid Biochem. Mol. Biol.* (2013), Elsevier.
- British Pharmacopocia 2014 Online, Refined Maize Oil, Ph. Eur. Monograph 1342, vol. I & II, Monographs: Medicinal and Pharmaceutical Substances, [http://www.pharmacopoeia.co.uk/bp2014/ixbin/bp.cgi?a=print&id=74\\$0&tab=a-z%20index](http://www.pharmacopoeia.co.uk/bp2014/ixbin/bp.cgi?a=print&id=74$0&tab=a-z%20index) [Feb. 3, 2014 1:37:50 PM].
- Burphy, Kenneth A. Percutaneous absorption of progesterone in postmenopausal women treated with transdermal estrogen, *Am J Obstet Gynecol*, vol. 180(6) part 1, pp. 1504-1511, 1999.
- Busetta, Par Bernard, Structure Cristalline et Molculaire de l'Oestradiol Hemihydrate, *Acta Cryst.*, B28 pp. 560, 1972, Bis(dimethyl-o-thiolophenylarsine)palladium(II).
- Busetta, Par Bernard, Structure Cristalline et Molculaire du Complexe Oestradiol-Propanol, *Acta Cryst.*, B28 pp. 1349, 1972, J.A. Kanter and J. Kroon.
- Campsteyn, Par H, et al., Structure Cristalline et Molculaire de la Progesterone C21H30O2, *Acta Cryst.*, B28 pp. 3032-3042, 1972.
- Cendejas-Santana, G, et al., Growth and characterization of progesterone crystallites, *Revista Mexicana de Fisica*, 50, Suplemento 1 pp. 1-3, 2004.
- ChemPro, Top-Notch Technology in Production of Oils and Fats, Chempro-Edible-Oil-Refining-ISO-TUV-Austria.
- Christen et al., Phase I/Pharmacokinetic Study of High-Dose Progesterone and Doxorubicin, *J Clin Oncol*, 11:2417-2426, 1993.
- Christensson et al., Limonene hydroperoxide analogues differ in allergenic activity, *Contact Dermatitis* 2008; 59: 344-352.
- Christensson et al., Limonene hydroperoxide analogues show specific patch test reactions, *Contact Dermatitis*, 70, 291-299, 2014.
- Christensson et al., Positive patch test reactions to oxidized limonene: exposure and relevance, *Contact Dermatitis*, 71, 264-272, 2014.
- Chun et al., Transdermal Delivery of Estradiol and Norethindrone Acetate: Effect of Vehicles . . . , *J. Kor. Pharm. Sci.*, vol. 35, No. 3, pp. 173-177, (2005).
- Cicinelli et al., Direct Transport of Progesterone From Vagina to Uterus, *Obstetrics & Gynecology*, vol. 95, No. 3, Mar. 2000, pp. 403-406.
- Cole, Wayne & Julian, Percy L., Sterols. I. A Study of the 22-Ketosteroids, Cont. of the Research Lab. of the Glidden Co., *Soya Prod. Div.*, vol. 67 pp. 1369-1375, Aug. 1945, Chicago.
- Committee Opinion, Incidentally Detected Short Cervical Length, Committee of Obstetric Practice, *Obstetrics & Gynecology*, ACOG, vol. 119, No. 4, Apr. 2012, pp. 879-882.
- Commodari, Fernando, Comparison of 17 β -estradiol structures from x-ray diffraction and solution NMR, *Magn. Reson. Chem.*, vol. 43, pp. 444-450, 2005, Wiley InterScience.
- Cooper, A, et al., Systemic absorption of progesterone from Progest cream in postmenopausal women, *The Lancet*, vol. 351, pp. 1255-1256, Research Letters, Apr. 25, 1998.
- Corbett et al., Trends in Pharmacy Compounding for Women's Health in North Carolina: Focus on Vulvodynia, *Southern Medical Journal*, vol. 107, No. 7, Jul. 2014, pp. 433-436.
- Corn Refiners Association, Corn Oil, 5th Edition, Washington, D.C., 2006.
- Critchley et al., Estrogen Receptor β , But Not Estrogen Receptor α , Is Present in the Vascular Endothelium of the Human and Nonhuman Primate Endometrium, *The Journal of Clinical Endocrinology & Metabolism*, 2001, vol. 86, No. 3, pp. 1370-1378.
- Dauqan, Eqbil M. A., et al., Fatty Acids Composition of Four Different Vegetable Oils (Red Palm Olein, Palm Olein, Corn Oil, IPCBEE, vol. 14, 2011, IACSIT Press, Singapore.
- Dideberg, O, et al., Crystal data on progesterone (C21H30O2), desoxycorticosterone (C21H30O3), corticosterone (C21H30O4) and aldosterone . . . , *J. Appl. Cryst.*, vol. 4 pp. 80, 1971.

(56)

References Cited**OTHER PUBLICATIONS**

- Diramio, Jackie A., Polyethylene Glycol Methacrylate/Dimetacrylate Hydrogels for Controlled Release of Hydrophobic Drugs, Masters of Science Thesis, University of Georgia, Athens, Georgia, 2002, 131 pages.
- Drakulic, Branko J, Role of complexes formation between drugs and penetration enhancers in transdermal . . . , *Inter. Journal of Pharmaceutics*, Elsevier, vol. 363, pp. 40-49, 2009.
- Du et al., Percutaneous progesterone delivery via cream or gel application in postmenopausal women: a randomized cross-over study of progesterone levels in serum, whole blood, saliva, and capillary blood, *Menopause: The Journal of The North American Menopause Society*, 2013, vol. 20, No. 11, pp. 1-7.
- Duax, William L, et al., Conformation of Progesterone Side Chain: Conflict between X-ray Data and Force-Field Calculations, *J. Am. Chem. Soc.*, vol. 103, pp. 6705-6712, Jun. 1981.
- Duclos, R, et al., Polymorphism of Progesterone: Influence of the carrier and of the solid dispersion manufacturing . . . , *J. Thermal Anal.*, vol. 37 pp. 1869-1875, 1991, Wiley.
- Ebian, A.R., Ebian Article: Polymorphism and solvation of ethinyl estradiol, *SciFinder, Pharmaceutica Acta Helvetiae*, vol. 54(4), pp. 111-114, 1979, Alexandria, Egypt.
- Eisenberger, A., Westhoff, C., Hormone replacement therapy and venous thromboembolism, *J. Steroid Biochem. Mol. Biol.*, (2013), Elsevier.
- Engelhardt et al., Conceptus Influences the Distribution of Uterine Leukocytes During Early Porcine Pregnancy, *Biology of Reproduction*, 66, 1875-1880 (2002).
- Estradiol, The Merck Index Online, Royal Society of Chemistry, <https://www.rsc.org/Merck-Index/monograph/mono1500003758/estradiol?q=unauthorize>.
- Ettinger et al., Comparison of endometrial growth produced by unopposed conjugated estrogens or by micronized estradiol in postmenopausal women, *Am J Obstet Gynecol* 1997;176:112-117.
- Excipients for Pharmaceuticals, Sasol Olefins & Surfactants GmbH, 2010, 28 pages.
- Faassen, Fried, Physicochemical Properties and Transport of Steroids across Caco-2 Cells, *Pharmaceutical Research*, vol. 20(2), 2003, Plenum Pub. Corp.
- FDA, Draft Guidance on Progesterone, Recommended Apr. 2010, Revised Feb. 2011 <http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM209294.pdf>.
- Ferrari, Roseli AP, et al., Oxidative Stability of Biodiesel From Soybean Oil Fatty Acid Ethyl Esters, *Sci. Agric.*, vol. 62(3), pp. 291-295, 2005, Piracicaba, Brazil.
- Filipsson et al., Concise International Chemical Assessment Document 5: Limonene, first draft, World Health Organization, Geneva, 1998, 36 pages.
- Final Report on the Safety Assessment of BHT, *International Journal of Toxicology*, 2002, 21(Suppl. 2):19-94, 2002.
- Flyvholm, Sensitizing risk of butylated hydroxytoluene based on exposure and effect data, *Contact Dermatitis*, 1990; 23:341-345.
- Fotherby, K., Bioavailability of Orally Administered Sex Steroids Used in Oral Contraception and Hormone Replacement Therapy, *Contraception*, 1996; 54:59-69.
- Franklin et al., Characterization of immunoglobulins and cytokines in human cervical mucus: influence of exogenous and endogenous hormones, *Journal of Reproductive Immunology*, 42 (1999) 93-106, Elsevier.
- Franz et al., Use of Excised Human Skin to Assess the Bioequivalence of Topical Products, *Skin Pharmacol Physiol* 2009;22:276-286.
- Freedman, R.R., Menopausal hot flashes: Mechanisms, endocrinology, treatment, *J. Steroid Biochem. Mol. Biol.* (2013), Elsevier.
- Fuchs et al., The Effects of an Estrogen and Glycolic Acid Cream on the Facial Skin of Postmenopausal Women: A Randomized Histologic Study, *Cutis. Jun.* 2003;71(6):481-8.
- Fugh-Berman, Adriane, Bioidentical Hormones for Menopausal Hormone Therapy: Variation on a Theme, *Journal of General Internal Medicine*, vol. 22, pp. 1030-1034, 2007.
- Furness et al., Hormone therapy in postmenopausal women and risk of endometrial hyperplasia (Review), 2012, pp. 1-204, The Cochrane Collaboration. Published by John Wiley & Sons, Ltd.
- Gäfvert et al., Free radicals in antigen formation: reduction of contact allergic response to hydroperoxides by epidermal treatment with antioxidants, *British Journal of Dermatology*, 2002; 146:649-656.
- Ganam-Quintanar et al., Evaluation of the transepidermal permeation of diethylene glycol monoethyl ether and skin water loss, *International Journal of Pharmaceutics*, vol. 147, No. 2, Feb. 28, 1997, pp. 165-171 (abstract only).
- Gattefossé SAS, Material Safety Data Sheet, Gelot 64, 2012, 8 pages.
- Gattefossé SAS, Regulatory Data Sheet, Gelot 64, 2012, 6 pages.
- Gattefossé SAS, Regulatory Data Sheet, Lauroglycol 90, 2012, 5 pages.
- Gattefossé, Excipients for Safe and Effective Topical Delivery, *Drug Development and Delivery Jul./Aug. 2012*, <http://drug-dev.com/Main/Black-Issues/Transdermal-Topical-Subcutaneous-NonInvasive-Deliv-5.aspx#>.
- Geelen, Math J.H. et al., "Dietary medium-chain fatty acids raise and (n-3) polyunsaturated fatty acids lower hepatic triacylglycerol synthesis in rats," *The Journal of Nutrition*, 1995, 125(10):2449-2456.
- Gillet et al., Induction of amenorrhea during hormone replacement therapy: optimal micronized progesterone dose, A multicenter study, *Maturitas* 19 (1994) 103-115.
- Giron-Forest, D, et al., Thermal analysis methods for pharmacopoeial materials, *J. Pharmaceutical & Biomedical Anal.*, 1989, vol. 7(12) pp. 1421-1433, 1989, Pergamon Press, Gr. Britain.
- Giron-Forest, D, Thermal analysis and calorimetric methods in the characterisation of polymorphs and solvates, *Thermochemica Acta*, vol. 248 pp. 1-59, 1995, Elsevier.
- Glaser et al, Pilot Study: Absorption and Efficacy of Multiple Hormones Delivered in a Single Cream Applied to the Mucous Membranes of the Labia and Vagina, *Gynecol Obstet Invest* 2008;66:111-118.
- Golatsowski et al., Comparative evaluation of saliva collection methods for proteome analysis, *Clinica Chimica Acta*, 419 (2013) 42-46.
- Graham et al, Physiological Action of Progesterone in Target Tissues, *Endocrine Reviews*, 1997, vol. 18, No. 4, pp. 502-519.
- Groothuis et al., Estrogen and the endometrium: lessons learned from gene expression profiling in rodents and human, *Human Reproduction Update*, vol. 13, No. 4 pp. 405-417, 2007.
- Gunstone, Frank D, et al., Vegetable Oils in Food Technology: Composition, Properties and Uses, Blackwell Publishing, CRC Press, 2002.
- Gurney, E.P. et al., The Women's Health Initiative trial and related studies: 10 years later: A clinician's view, *J. Steroid Biochem. Mol. Biol.*, (2013), Elsevier.
- Hamid et al., The effects of common solubilizing agents on the intestinal membrane barrier functions and membrane toxicity in rats, *International Journal of Pharmaceutics*, 379 (2009) 100-108, Elsevier.
- Haner, Barbara, Crystal data (I) for some pregnenes and pregnadienes, *Acta Cryst.*, vol. 17 pp. 1610, 1964.
- Hapgood, J.P., et al., Potency of progestogens used in hormonal therapy: Toward understanding differential actions, *J. Steroid Biochem. Mol. Biol.* (2013), Elsevier.
- Hargrove et al., Menopausal Hormone Replacement Therapy with Continuous Daily Oral Micronize Estradiol and Progesterone, *Obstet Gynecol*, vol. 73, No. 4, Apr. 1989, pp. 606-612.
- Hatton et al., Safety and efficacy of a lipid emulsion containing medium-chain triglycerides, *Clinical Pharmacy*, 1990, vol. 9, No. 5, pp. 366-371.
- He et al., Apoptotic Signaling Pathways in Uteri of Rats with Endometrial Hyperplasia Induced by Ovariectomy Combined with Estrogen, *Gynecol Obstet Invest* 2013;76:51-56.
- Helbling, Ignacio M, et al., The Optimization of an Intravaginal Ring Releasing Progesterone Using a Mathematical Model, *Pharm Res*, vol. 31 pp. 795-808, 2014, Springer Science.

US 10,888,516 B2

Page 14

(56)

References Cited

OTHER PUBLICATIONS

- Helmy et al., Estrogenic Effect of Soy Phytoestrogens on the Uterus of Ovariectomized Female Rats, *Clinic Pharmacol Biopharmaceut*, 2014, S2, 7 pages.
- Henderson, V.W., Alzheimer's disease: Review of hormone therapy trials and implications for treatment and prevention after . . . , *J. Steroid Biochem. Mol. Biol.* (2013), Elsevier.
- Henriksen et al., An ENDOR Study of Radiation-Induced Molecular Damage to Progesterone, *Jour. of Mag. Resonance*, vol. 63, pp. 333-342, 1985, Academic Press, Inc.
- Herman, Anna et al., "Essential oils and their constituents as skin penetration enhancer for transdermal drug delivery: a review," 2014 Royal Pharmaceutical Society, *Journal of Pharmacy and Pharmacology*, pp. 1-13.
- Hodis, H.N., Mack, W.J., Hormone replacement therapy and the association with heart disease and overall mortality: *Clinical . . . , J. Steroid Biochem. Mol. Biol.* (2013), Elsevier.
- Hospital, Michel, et al., X-ray Crystallography of Estrogens and Their Binding to Receptor Sites, *Mol. Pharmacology*, vol. 8 pp. 438-445, Academic Press, Inc., 1972.
- Hostynek, JJ, Predicting absorption of fragrance chemicals through human skin, *J. Soc. Cosm. Chem.*, 46, 221-229, (Jul./Aug. 1995).
- Hulsmann, Stefan, Stability of Extruded 17 β -Estradiol Solid Dispersions, *Pharmaceutical Development and Tech.*, vol. 6(2) pp. 223-229, 2001, Marcel Dekker, Inc.
- Hurn et al., Estrogen as a Neuroprotectant in Stroke, *Journal of Cerebral Blood Flow and Metabolism* 20:631-652, 2000, Lippincott Williams & Wilkins, Inc., Philadelphia.
- Hyder et al., Synthetic Estrogen 17 α -Ethynyl Estradiol Induces Pattern of Uterine Gene Expression Similar to Endogenous Estrogen 17 β -Estradiol, *JPET* 290(2):740-747, 1999.
- Idder, Salima, et al., Physicochemical properties of Progesterone, *SciFinder*, pp. 1-26, Feb. 24, 2014, American Chem. Society & US Natl. Lib. of Med.
- ISR, ISR (App. No. PCT/US12/66406).
- ISR, ISR (App. No. PCT/US13/023309).
- ISR, ISR and written opinion for PCT/US/13/46442, dated Nov. 1, 2013.
- ISR, ISR and written opinion for PCT/US/13/46443, dated Oct. 31, 2013.
- ISR, ISR and written opinion for PCT/US/13/46444, dated Oct. 31, 2013.
- ISR, ISR and written opinion for PCT/US/13/46445, dated Nov. 1, 2013.
- Johanson, Gunnar, Toxicity Review of Ethylene Glycol Monomethyl Ether and its Acetate Ester, *Critical Reviews in Toxicology*, 2000, vol. 30, No. 3, pp. 307-345 (abstract only). <http://informahealthcare.com/doi/abs/10.1080/10408440091159220>.
- Johnson, William S, et al., Racemic Progesterone, *Tetrahedron Letters* No. 4, pp. 193-196, 1963, Pergamon Press Ltd., Great Britain.
- Joshi et al., Detection and synthesis of a progestagen-dependent protein in human endometrium, *J Reprod Fert.* (1980) 59:273-285.
- Kanno et al., The OECD Program to Validate the Rat Uterotrophic Bioassay to Screen Compounds for in Vivo Estrogenic Responses: Phase I, *Environmental Health Perspectives* • vol. 109 | No. 8 | Aug. 2001, pp. 785-794.
- Karlberg et al., Air oxidation of d-limonene (the citrus solvent) creates potent allergens, *Contact Dermatitis*, 1992: 26: 332-340.
- Karlberg et al., Influence of an anti-oxidant on the formation of allergenic compounds during auto-oxidation of d-limonene, *Ann. Occup. Hyg.*, vol. 38, No. 2, pp. 199-207, 1994.
- Kaunitz, Andrew M. Extended duration use of menopausal hormone therapy, *Menopause: The Journal of The North American Menopause Society*, 2014, vol. 21, No. 6, pp. 1-3.
- Khalil, Sah, Stability and Dissolution Rates of Corticosteroids in Polyethylene Glycol Solid Dispersions, *Drug Dev. & Indus. Pharm.*, vol. 10(5) pp. 771-787, 1984, Marcel Dekker.
- Kharode et al., The Pairing of a Selective Estrogen Receptor Modulator, Bazedoxifene, with Conjugated Estrogens as a New Paradigm for the Treatment of Menopausal Symptoms and Osteoporosis Prevention, *Endocrinology* 149(12):6084-6091, 2008.
- Kim et al., Safety Evaluation and Risk Assessment of d-Limonene, *Journal of Toxicology and Environmental Health, Part B: Critical Reviews*, 2013, 16:1, 17-38 <http://dx.doi.org/10.1080/10937404.2013.769418>.
- Kincl et al., Increasing Oral Bioavailability of Progesterone by Formulation, *Journal of Steroid Biochemistry*, 1978, vol. 9, pp. 83-84.
- Knuth et al., Hydrogel delivery systems for vaginal and oral applications: Formulation and biological considerations, *Advanced Drug Delivery Reviews*, vol. 11, No. 1-2, Jul.-Aug. 1993, pp. 137-167 (abstract only).
- Koga et al., Enhancing mechanism of Labrasol on intestinal membrane permeability of the hydrophilic drug gentamicin sulfate, *European Journal of Pharmaceutics and Biopharmaceutics*, 64 (2006) 82-91.
- Komm et al., Bazedoxifene Acetate: A Selective Estrogen Receptor Modulator with Improved Selectivity, *Endocrinology*, 146(9):3999-4008, 2005.
- Korkmaz, Filiz, Biophysical Studies of Progesterone-Model Membrane Interactions, Thesis, Grad. School of Nat. and App. Sci. of the Middle East Tech. University, Sep. 2003.
- Kotiyan, Stability indicating HPTLC method for the estimation of estradiol, *Journal of Pharmaceutical and Biomedical Analysis*, vol. 22 pp. 667-671, 2000, Elsevier.
- Krzyszniowski, R, et al., EPR Study of the Stable Radical in a γ -Irradiated Single Crystal of Progesterone, *Jour. of Mag. Resonance*, vol. 46 pp. 300-305, 1982, Academic Press.
- Kubli-Garfias, C, et al., Ab initio calculations of the electronic structure of glucocorticoids, *Jour. of Mol. Structure, Theochem*, vol. 454 pp. 267-275, 1998, Elsevier.
- Kubli-Garfias, Carlos, Ab initio study of the electronic structure of progesterone and related progestins, *Jour. of Mol. Structure, Theochem* vol. 425, pp. 171-179, 1998, Elsevier (abstract only).
- Kuhnert-Brandstaetter and Grimm, Zur Unterscheidung von lösungsmittelhaltigen pseudopolymorphen Kristallformen und polymorphen Modifikationen bei Steroidhormonen. II. *Mikrochimica Acta*. vol. 1, pp. 127-139, 1968.
- Kuhnert-Brandstaetter and Junger and Kofler. Thermo-microscopic and spectrophotometric: Determination of steroid hormones, *Microchemical Journal* 9, pp. 105-133, 1965.
- Kuhnert-Brandstaetter and Kofler. Zur mikroskopischen Identitätsprüfung und zur Polymorphie der Sexualhormone, *Mikrochimica Acta*, vol. 6, pp. 847-853, 1959.
- Kuhnert-Brandstaetter and Linder. Zur Hydratbildung bei Steroidhormonen, *Sci. Pharm.*, vol. 41(2), pp. 109-116, 1973.
- Kumasaka et al., Effects of Various Forms of Progestin on the the Estrogen-Primed, Ovariectomized Rat, *Endocrine Journal*, 1994, 41(2):161-169.
- Kuon et al., A Novel Optical Method to Assess Cervical Changes during Pregnancy and Use to Evaluate the Effects of Progestins on Term and Preterm Labor, *Am J Obstet Gynecol.* Jul. 2011 ; 205(1): 82.e15-82.e20.
- Kuon et al., Actions of progestins for the inhibition of cervical ripening and uterine contractions to prevent preterm birth, *FVV in Obgyn*, 2012, 4 (2):110-119.
- Kuon et al., Pharmacological actions of progestins to inhibit cervical ripening and prevent delivery depend upon their properties, the route of administration and the vehicle, *Am J Obstet Gynecol.* May 2010 ; 202(5): 455.e1-455.e9.
- Labrie et al., Intravaginal prasterone (DHEA) provides local action without clinically significant changes in serum concentrations of estrogens or androgens, *Journal of Steroid Biochemistry & Molecular Biology*, vol. 138, pp. 359-367, 2013, Elsevier.
- Lacey, J.V. Jr., The WHI ten year's later: An epidemiologist's view, *J. Steroid Biochem. Mol. Biol.*, (2013), Elsevier.
- Lahiani-Skiba, Solubility and Dissolution Rate of Progesterone-Cyclodextrin . . . , *Drug Development and Industrial Pharmacy*, Informa Healthcare, vol. 32, pp. 1043-1058, 2006.
- Lancaster Robert W, et al., The Polymorphism of Progesterone: Stabilization of a 'Disappearing' Polymorph by . . . , *Jour. of Pharm. Sci.*, vol. 9(12) pp. 3419-3431, Wiley-Liss.

(56)

References Cited

OTHER PUBLICATIONS

- Land, Laura M, The influence of water content of triglyceride oils on the solubility of steroids, *Pharmaceutical Research*, vol. 22(5) May 2005, Springer Science+Business Media.
- Lauer et al., Evaluation of the hairless rat as a model for in vivo percutaneous absorption, *Journal of Pharmaceutical Sciences*, vol. 86, No. 1, Jan. 1997, pp. 13-18.
- Leonetti et al., Transdermal progesterone cream as an alternative progestin in hormone therapy, *Alternative Therapies*, Nov./Dec. 2005, vol. 11, No. 6, pp. 36-38.
- Leonetti, Helene B, et al., Topical progesterone cream has an antiproliferative effect on estrogen-stimulated endometrium, *Fertility and Sterility*, vol. 79(1), Jan. 2003.
- Lewis Jphn G. et al., Caution on the use of saliva measurements to monitor absorption of progesterone from transdermal creams in postmenopausal women, *Maturitas*, *The European Menopause Journal*, vol. 41, pp. 1-6, 2002.
- Li, Guo-Chian, Solid-state NMR analysis of steroidal conformation of 17a- and 17B-estradiol in the absence and presence of lipi . . . , *Steroids*, Elsevier, vol. 77, pp. 185-192, 2012.
- Lobo, R.A., Foreword, *J. Steroid Biochem. Mol. Biol.*, (2014), Elsevier.
- López-Belmonte, Corrigendum to "Comparative uterine effects on ovariectomized rats after repeated treatment with different vaginal estrogen formulations" [*Maturitas* 72 (2012) 353-358], *Maturitas* 74 (2013) 393, Elsevier.
- Lucy et al., Gonadotropin-releasing hormone at estrus: lutenizing hormone, estradiol, and progesterone during . . . *Biol Reprod*, Sep. 1986;35(2):300-311 (abstract only).
- L'vova, M. SH., et al., Thermal Analysis in the Quality Control and Standardization of Some Drugs, *J Thermal Anal.*, vol. 40 pp. 405-411, 1993, Wiley.
- Madishetti et al., Development of domperidone bilayered matrix type transdermal patches: physicochemical, in vitro and ex vivo characterization, *DARU*, vol. 18, No. 3, 2010, pp. 221-229.
- Magness et al., Estrone, Estradiol-17 β and Progesterone Concentrations in Uterine Lymph and Systematic Blood throughout the Porcine Estrone Estrous Cycle, *Journal of Animal Science*, vol. 57, pp. 449-455, ISU, 1983.
- Manson, JoAnn E. et al., "Menopausal hormone therapy and health outcomes during the intervention and extended poststopping phases of the women's health initiative randomized trials," *JAMA*, Oct. 2, 2013, vol. 310, No. 13, pp. 1353-1368.
- McGuffey, Irena, Softgel Technology as a Lipid-Blsed Delivery Tool for Bioavailability Enhancement, *Catalent Pharma Solutions*, Somerset, NJ, Mar. 2011.
- Mesley, R.J., Clathrate Formations from Steroids, *Chemistry and Industry*, vol. 37 pp. 1594-1595, Sep. 1965.
- Miao, Wenbin, et al., Chemical Properties of Progesterone, *SciFinder*, 2014, American Chemical Society & US Natl. Lib. of Med.
- Miles et al., Pharmacokinetics and endometrial tissue levels of progesterone after administration bv*Intramuscular and vaginal routes: a comparative study, *Fertility and Sterility*, vol. 62, No. 3, Sep. 1994, pp. 485-490.
- Miller et al., Safety and Feasibility of Topical Application of Limonene as a Massage Oil to the Breast, *Journal of Cancer Therapy*, 2012, 3, 749-754.
- Mueck, A.O. et al., Genomic and non-genomic actions of progestogens in the breast, *J. Steroid Biochem. Mol.Biol.*, (2013), Elsevier.
- Muramatsu, Mitsuo. Thermodynamic Relationship between a- and B-Forms of Crystalline Progesterone, *J. Pharmaceutical Sciences*, vol. 68(2) pp. 175-178, 1979, Amer. Pharm. Assoc.
- Ng, Jo-Han et al., Advances in biodiesel fuel for application in compression ignition engines, *Clean Techn Environ Policy*, vol. 12, pp. 459-493, 2010, Springer-Verlag.
- Nicklas, Martina, Preparation and characterization of marine sponge collagen nanoparticles and employment for the trans . . . , *Drug Devel. & Indust. Pharmacy*, 35(9) pp. 1035, 2009.
- Nilsson et al., Analysis of Contact Allergenic Compounds in Oxidized d-Limonene, *Chromatographia* vol. 42, No. 3/4, Feb. 1996, pp. 199-205.
- Notelovitz, Morris, et al., Initial 17-b-Estradiol Dose for Treating Vasomotor Symptoms, *Obstetrics & Gynecology*, vol. 95(5), pp. 726-731, part 1, May 2000, Elsevier.
- NuGen, What is NuGen HP Hair Growth System.
- NuGest900, NuGest 900™.
- O'Leary, Peter, Salivary, but not serum or urinary levels of progesterone are elevated after topical application of pregersterone cream to pre- and post-menopausal women, *Clinical Endocrinology*, vol. 53 pp. 615-620, Blackwell Science 2000.
- Opinion on the Diethylene Glycol Momoethyl Ether (DEGEE), Scientific Committee on Consumer Products, Dec. 19, 2006, 27 pages.
- Outterson, K., The Drug Quality and Security Act—Mind the Gaps, *n engl j med* 370;2 *nejm.org* Jan. 9, 2014, pp. 97-99.
- Palamakula et al., Preparation and In Vitro Characterization of Self-Nanoemulsified Drug Delivery Systems of Coenzyme Q10 Using Chiral Essential Oil Components, *Pharmaceutical Technology* Oct. 2004, pp. 74-88.
- Panay et al., The 2013 British Menopause Society & Women's Health Concern recommendations on hormone replacement therapy, *Menopause International: The Integrated Journal of Postreproductive Health*, published online May 23, 2013, Sage Publications. <http://min.sagepub.com/content/early/2013/05/23/1754045313489645.1>.
- Panchangnula et al., Development and evaluation of an intracutaneous depot formulation of corticosteroids using Transcutol . . . , *J Pharm Pharmacol. Sep.* 1991;43(9):609-614 (abstract only).
- Parasuraman et al., Blood sample collection in small laboratory animals, *Journal of Pharmacology & Pharmacotherapeutics* | Jul.-Dec. 2010 | vol. 1 | Issue 2, pp. 87-93.
- Park, Jeong-Sook, Solvent effects on physicochemical behavior of estradiols recrystallized for transdermal delivery, *Arch Pharm Res*, vol. 31(1), pp. 111-116, 2008.
- Park, Jeong-Sook, Use of CP/MAS solid-state NMR for the characterization of solvate . . . , *European Journal of Pharmaceutics and Biopharmaceutics*, vol. 60, pp. 407-412, 2005.
- Parrish, Damon A., A new estra-1,3,5(10)-triene-3,17b-diol solvate: estradiol-methanol-water, *Crystal Structure Comm.*, *Intn'l Union of Crystallography*, ISSN 0108-2701, 2003.
- Patel et al., Transdermal Drug Delivery System: A Review, *www.thepharmajournal.com*, vol. 1, No. 4, 2012, pp. 78-87.
- Payne, R.S., et al., Examples of successful crystal structure prediction: polymorphs of primidone and progesterone, *Intl. Jour. of Pharma.*, vol. 177 pp. 231-245, 1999, Elsevier.
- PCCA, Apothogram, PCCA, May 2014, Houston, TX.
- Persson, Linda C, et al., Physicochemical Properties of Progesterone Selecte, *SciFinder*, pp. 1-5, Feb. 24, 2014, American Chem. Society & US Natl. Lib. of Med.
- Pfaus et al., Selective facilitation of sexual solicitation in the female rat by a melanocortin receptor agonist, *PNAS*, Jul. 6, 2004, vol. 101, No. 27, pp. 10201-10204.
- Pheasant, Richard, Polymorphism of 17-Ethinylestradiol, *Schering Corporation*, Bloomfield, NJ, May 1950.
- Pickles, VR, Cutaneous reactions to injection of progesterone solutions into the skin, *Br Med Journal*, Aug. 16, 1952, pp. 373-374.
- Pinkerton et al., What are the concerns about custom-compounded "bioidentical" hormone therapy? *Menopause: The Journal of The North American Menopause Society*, vol. 21, No. 12, 2014, pp. 1-3.
- Pinkerton, J.V., Thomas, S., Use of SERMs for treatment in post-menopausal women, *J. Steroid Biochem. Mol. Biol.* (2014), Elsevier.
- Pisegna, Gisla L, A High-pressure Vibrational Spectroscopic Study of Polymorphism in Steroids . . . , Thesis, McGill University, Dept. of Chem, Nov. 1999, Natl. Lib. of Canada.
- Portman, David et al., One-year treatment persistence with local estrogen therapy in postmenopausal women diagnosed as having vaginal atrophy, *Menopause*, vol. 22, No. 11, 2015, pp. 000/000 (8 pages).
- Position Statement, Management of symptomatic vulvovaginal atrophy: 2013 position statement of the North American Menopause Society (NAMS), *Menopause*, vol. 20, No. 9, pp. 888-902.

US 10,888,516 B2

Page 16

(56)

References Cited

OTHER PUBLICATIONS

- Practice Bulletin No. 141, Management of Menopausal Symptoms, Obstetrics & Gynecology, ACOG, vol. 123, No. 1, Jan. 2014, pp. 202-216.
- Prajapati, Hetal N, et al., A comparative Evaluation of Mono-, Di- and Triglyceride of Medium Chain Fatty Acids by Lipid/Surfactant/Water, Springerlink.com, pp. 1-21, Apr. 2011.
- Prausnitz et al., Transdermal drug delivery, *Nat Biotechnol.* Nov. 2008 ; 1261-1268.
- Price, Sarah L, The computational prediction of pharmaceutical crystal structures and polymorphism, *Adv. Drug Delivery Reviews*, vol. 56 pp. 301-319, 2004, Elsevier.
- Product Information Sheet, Body Bllance Cream, Tahitian Noni International, 2013, 1 page.
- Product Safety Assessment: Diethylene Glycol Monoethyl Ether, Created: Sep. 24, 2007 The Dow Chemical Company Page, 5 pages.
- Progesterone, The Merck Index Online, Royal Society of Chemistry, 2013, search Feb. 17, 2014 <https://www.rsc.org/Merck-Index/monograph/print/mono1500007889/progesterone?q=authorize>.
- Progynova TS 100, available online at file:///C:/Users/Call%20Family/Desktop/Progynova%20TS%20100%2012%20Patches_Pack%20%28Estradiol%20Hemihydrate%29.html, 2010.
- Provider Data Sheet, About Dried Blood Spot Testing, ZRT Laboratory, 2014, 3 pages.
- Rahn et al., Vaginal Estrogen for Genitourinary Syndrome of Menopause A Systematic Review, *Obstet Gynecol.* 2014;124(6):1147-56.
- Rao, Rajeswara et al., "Intra Subject Variability of Progesterone 200 mg Soft Capsules in Indian Healthy Adult Postmenopausal Female Subjects under Fasting Conditions," *J Bioequiv Availab.* 2014, 6: 139-143.
- Reisman et al., Topical Application of the Synthetic Triterpenoid RTA 408 Protects Mice from Radiation-Induced Dermatitis, *Radiation Research* 181, 512-520 (2014).
- Rosilio, V, et al., Physical Aging of Progesterone-Loaded Poly(D,L-lactide-co-glycolide) Microspheres, *Pharmaceutical Research*, vol. 5(5) pp. 794-799, 1998, Plenum Pub. Corp.
- Ross et al., Randomized, double-blind, dose-ranging study of the endometrial effects of a vaginal progesterone gel in estrogen-treated postmenopausal women, *Ann J Obstet Gynecol.* Oct. 1997, vol. 177, No. 4, pp. 937-941.
- Ruan et al., Systemic progesterone therapy—Oral, vaginal, injections and even transdermal? *Maturitas*, 79 (2014) 248-255, Elsevier.
- Salem, HF, Sustained-release progesterone nanosuspension following intramuscular injection in ovariectomized rats, *International Journal of Nanomedicine* 2010;5 943-954, Dove Press.
- Salole, Eugene G., Estradiol, *Analytical Profiles of Drug Substances*, vol. 15, pp. 283-318, 1986.
- Salole, Eugene G., The physicochemical properties of oestradiol, *Journal of Pharmaceutical & Biomedical Analysis*, vol. 5, No. 7, pp. 635-648, 1987.
- Santen, R.J., Menopausal hormone therapy and breast cancer, *J. Steroid Biochem. Mol. Biol.*, (2013), Elsevier.
- Santen, RJ, Vaginal administration of estradiol: effects of dose, preparation and timing on plasma estradiol levels, *Climacteric* 2014;17:1-14.
- Sarkar, Bisu et al., Chemical Stability of Progesterone in Compounded Topical Preparations using PLO Transdermal Cream™ and HRT Cream™ Blse . . . , *J Steroids Horm Sci*, 4:2, 2013.
- Sarrel, et al., The Mortality Toll of Estrogen Avoidance: An Analysis of Excess Deaths Among Hysterectomized Women Aged 50 to 59 Years, *American Journal of Public Health, Research and Practice*, e1-e6. Published online ahead of print Jul. 18, 2013.
- Satyanarayana, D, et al., Aqueous Solubility Predictions of Aliphatic Alcohols, Alkyl Substituted Benzoates and Steroids, *Asian J. Chem.*, vol. 9(3) pp. 418-426, 1997.
- Scavarelli, Rosa Maria, et al., Progesterone and Hydrate or Solvate, *SciFinder*, pp. 1-2, Feb. 24, 2014, American Chem. Society.
- Schindler, A.E., The "newer" progestogens and postmenopausal hormone therapy (HRT), *J. Steroid Biochem. Mol. Biol.*, (2013), Elsevier.
- Schindler, Adolf E. et al., Classification and pharmacology of progestins, *Maturitas* 46S1 (2003) S7-S16.
- Schutte et al., A tissue engineered human endometrial stroma that responds to cues for secretory differentiation, decidualization and menstruation, *Fertil Steril*, Apr. 2012 ; 97(4): 997-1003, Elsevier.
- Schweikart et al., Comparative Uterotrophic Effects of Endoxifen and Tamoxifen in Ovariectomized Sprague-Dawley Rats, *Toxicologic Pathology*, 42: 1188-1196, 2014.
- SciFinder Scholar Prednisone Chemical Properties, *SciFinder*, 2014, pp. 1-7, National Library of Medicine.
- SciFinder Scholar Prednisone Physical Properties, *SciFinder*, 2014, pp. 1-10, National Library of Medicine.
- SciFinder Scholar Progesterone Experimental Properties, *SciFinder*, pp. 1-9, Feb. 24, 2014, American Chem. Society.
- Serantoni, Foresti, et al., 4-Pregnen-3,20-dione (progesterone, fonn II), *Crystal Structure Comm.*, 4(1) pp. 189-192, 1975, CAPLUS DataBase.
- Shao et al., Review Open Access Direct effects of metformin in the endometrium: a hypothetical mechanism for the treatment of women with PCOS and endometrial carcinoma, *Journal of Experimental & Clinical Cancer Research*, 2014, 33(1):41, 11 pages.
- Sharma, H.C., et al., Physical Properties of Progesterone Selected Refer, *SciFinder*, pp. 1-5, Feb. 24, 2014, American Chem. Society & US Natl. Lib. of Med.
- Shrier et al., Mucosal Immunity of the Adolescent Female Genital Tract, *Journal of Adolescent Health*, 2003; 32:183-186.
- Shufelt et al., Hormone therapy dose, formulation, route delivery, and risk of cardiovascular events in women: findings from the Women's Health Initiative Observational Study, *Menopause: The Journal of The North American Menopause Society*, vol. 21, No. 3, 2014, pp. 1-7, 2013.
- Siew, Adeline, moderator, Bioavailability Enhancement with Lipid-Blsd Drug-Delivery Systems, *Pharmaceutical Technology*, Aug. 2014, pp. 28, 30-31.
- Sigma-Aldrich, Progesterone-Water Soluble: powder, BioReagent, suitable for cell culture), MSDS available online: <http://www.sigmaaldrich.com/catalog/product/sigma/p7556>.
- Simon et al., Effective Treatment of Vaginal atrophy with an Ultra-low-dose estradiol vaginal tablet, *Obstetrics & Gynecology*, vol. 112, No. 5, Nov. 2008, pp. 1053-1060.
- Simon, James A., What if the Women's Health Initiative had used transdermal estradiol and oral progesterone instead? *Menopause: The Journal of The North American Menopause Society*, 2014, vol. 21, No. 7, pp. 1-15.
- Sitruk-Ware et al., Progestogens in hormonal replacement therapy: new molecules, risks, and benefits, *Menopause: The Journal of The North American Menopause Society*, vol. 9, No. 1, pp. 6-15, 2002.
- Struk-Ware, Regine, "Pharmacological profile of progestins," *Maturitas* 47 (2004) 277-283.
- Sitruk-Ware, Regine, Oral Micronized Progesterone—Bioavailability pharmacokinetics, pharmacological and therapeutic implications—A review, *Contraception*, Oct. 1987, vol. 36, No. 4, pp. 373-402.
- Smith et al., Lower Risk of Cardiovascular Events in Postmenopausal Women Taking Oral Estradiol Compared with Oral Conjugated Equine Estrogens, *JAMA Internal Medicine*, Published online Sep. 30, 2013, E1-E7. jamainternalmedicine.com.
- Smyth et al., Summary of Toxicological Data, A 2-Yr Study of Diethylene Glycol Monoethyl Ether in Rats, *Fd Cosmet. Toxicol.*, vol. 2, pp. 641-642, 1964.
- Stanczyk et al., Therapeutically equivalent pharmacokinetic profile across three application sites for AG200-15, a novel low-estrogen dose contraceptive patch, *Contraception*, 87 (2013) pp. 744-749.
- Stanczyk, F.Z. et al., "Percutaneous administration of progesterone: blood levels and endometrial protection," *Menopause: The Journal of The North American Menopause Society*, 2005, vol. 12, No. 2, pp. 232-237.

US 10,888,516 B2

Page 17

(56)

References Cited

OTHER PUBLICATIONS

- Stanczyk, F.Z. et al., Ethinyl estradiol and 17 β -estradiol in combined oral contraceptives: pharmacokinetics, pharmacodynamics and risk assessment, *Contraception* 87 (Jun. 2013) vol. 87, No. 6, pp. 706-727.
- Stanczyk, F.Z., "All progestins are not created equal," *Steroids* 68 (2003) 879-880.
- Stanczyk, F.Z., "Treatment of postmenopausal women with topical progesterone creams and gels: are they effective?" *Climacteric* 2014;17 (Suppl 2):8-11.
- Stanczyk, F.Z., Bhavnani, B.R., Current views of hormone therapy for the management and treatment of postmenopausal women, *J. Steroid Biochem. Mol. Biol.* (2014), Elsevier.
- Stein, Emily A. et al., Progesterone Physical Properties, SciFinder, pp. 1-46, Feb. 24, 2014, American Chem. Society & US Natl. Lib. of Med.
- Stephenson et al., "Transdermal progesterone: Effects on Menopausal symptoms and on thrombotic, anticoagulant, and inflammatory factors in postmenopausal women," *Int J Pharmaceutical Compounding*, vol. 12, No. 4, Jul./Aug. 2008, pp. 295-304.
- Strickley, Robert T., Solubilizing excipients in oral and injectable formulations, *Pharmaceutical Research* Feb. 2004, vol. 21, Issue 2, pp. 201-230 (abstract only).
- Strocchi, Antonio, Fatty Acid Composition, and Triglyceride Structure of Corn Oil, Hydrogenated Corn Oil, and Corn Oil Margarine, *Journal of Food Science*, vol. 47, pp. 36-39, 1981.
- Struhar, M. et al., Estradiol Benzoate: Preparation of an injection suspension . . . , SciFinder, Cesko-Slovenska Farmacie, vol. 27(6), pp. 245-249, 1978, Bratislava, Czech.
- Sullivan et al., A review of the nonclinical safety of Transcutol®[®], a highly purified form of diethylene glycol monoethyl ether (DEGEE) used as a pharmaceutical excipient, *Food and Chemical Toxicology*, 72 (2014) pp. 40-50.
- Sun, Jidong, D-Limonene: Safety and Clinical Applications, *Alternative Medicine Review*, vol. 12, No. 3, 2007, pp. 259-264.
- Tait, Alex D, Characterization of the Prod. from the Oxidation of Progesterone with Osmium Tetroxide, Dept of Investigative Med., Univ. Cambridge, Gt. Britain pp. 531-542, 1972.
- Takacs M. et al., The light sensitivity of corticosteroids in crystalline form, *Pharmaceutica acta Helvetiae*, vol. 66(5-6) pp. 137-140, 1991, Hardin Library.
- Tan, Melvin S. et al., A Sensitive Method for the Determination of Progesterone in Human Plasma by LC-MS-MS, M1025, Cedra Corporation, Austin, Texas.
- Tang et al., Effect of Estrogen and Progesterone on the Development of Endometrial Hyperplasia in the Fischer Rat, *Biology of Reproduction*, 31, 399-413 (1984).
- Tas et al., Comparison of antiproliferative effects of metformine and progesterone on estrogen-induced endometrial hyperplasia in rats, *Gynecol Endocrinol*, Early Online: 1-4, 2013. <http://informahealthcare.com/gye>.
- Tella, S.H., Gallagher, J.C., Prevention and treatment of postmenopausal osteoporosis, *J. Steroid Biochem. Mol. Biol.* (2013), Elsevier.
- Thomas, Joshua, et al., The effect of water solubility of solutes on their flux through human skin in vitro: An . . . , *Intl. J. of Pharmaceut.*, vol. 339 pp. 157-167, 2007, Elsevier.
- Thomas, Peter, Characteristics of membrane progestin receptor alpha (mPR α) and progesterone membrane receptor component 1 (PGRMC1) and their roles in mediating rapid progestin actions, *Frontiers in Neuroendocrinology*, 29 (2008) 292-312.
- Tripathi, R. et al., Study of Polymorphs of Progesterone by Novel Melt Sonocrystallization Technique: A Technical Note, *AAPS PharmSciTech*, vol. 11, No. 3, Sep. 2010.
- Trommer et al., Overcoming the stratum Corneum: The modulation of Skin Penetration, *Skin Pharmacol Physiol* 2006;19:106-121.
- Tuleu et al., Comparative Bioavailability Study in Dogs of a Self-Emulsifying Formulation of Progesterone Presented in a Pellet and Liquid Form Compared with an Aqueous Suspension of Progesterone, *Journal of Pharmaceutical Sciences*, vol. 93, No. 6, Jun. 2004, pp. 1495-1502.
- Ueda et al., Topical and Transdermal Drug Products, *Pharmacopeial Forum*, vol. 35(3), [May-Jun. 2009], 750-754.
- USP, 401 Fats and Fixed Oils, Chemical Tests, Second Supplement to USP36-NF 31, pp. 6141-6151, 2013.
- USP, Certificate—Corn Oil, Lot G0L404, Jul. 2013.
- USP, Lauroyl Polyoxylglycerides, Safety Data Sheet, US, 5611 Version #02, pp. 1-9, 2013.
- USP, Monographs: Progesterone, USP29, www.pharmacopeia.cn/v29240/usp29nf24s0_m69870.html, search done: Feb. 25, 2014.
- USP, Official Monographs, Corn Oil, NF 31, pp. 1970-1971, Dec. 2013.
- USP, Official Monographs, Lauroyl Polyoxylglycerides, NF 31, pp. 2064-2066, Dec. 2013.
- USP, Official Monographs, Medium Chain Triglycerides, NF 31, pp. 2271-2272, Dec. 2013.
- USP, Official Monographs, Mono- and Di-glycerides, NF 31, p. 2101, Dec. 2013.
- U.S. Appl. No. 12/561,515 Jan. 29, 2013 Advisory Action.
- U.S. Appl. No. 12/561,515 Final Office Action dated Oct. 26, 2012 in U.S. Appl. No. 12/561,515.
- U.S. Appl. No. 12/561,515 Notice of Allowance dated Sep. 11, 2013 in U.S. Appl. No. 12/561,515.
- U.S. Appl. No. 12/561,515 Office Action dated Dec. 12, 2011 in U.S. Appl. No. 12/561,515.
- U.S. Appl. No. 13/684,002 Mar. 20, 2013 Non-Final Office Action.
- U.S. Appl. No. 13/684,002 Jul. 16, 2013 Final Office Action.
- U.S. Appl. No. 13/684,002 Dec. 6, 2013 Notice of Allowance.
- U.S. Appl. No. 13/843,362 Mar. 16, 2015 Restriction Requirement.
- U.S. Appl. No. 13/843,428 Apr. 14, 2015 Restriction Requirement.
- U.S. Appl. No. 14/099,545 Feb. 18, 2014 Non-Final Office Action.
- U.S. Appl. No. 14/099,545 Jul. 14, 2014 Notice of Allowance.
- U.S. Appl. No. 14/099,562 Feb. 20, 2014 Restriction Requirement.
- U.S. Appl. No. 14/099,562 Mar. 27, 2014 Non-Final Office Action.
- U.S. Appl. No. 14/099,562 Jul. 2, 2014 Final Office Action.
- U.S. Appl. No. 14/099,562 Dec. 10, 2014 Notice of Allowance.
- U.S. Appl. No. 14/099,571 Mar. 28, 2014 Restriction Requirement.
- U.S. Appl. No. 14/099,571 Jul. 15, 2014 Notice of Allowance.
- U.S. Appl. No. 14/099,582 Apr. 29, 2014 Restriction Requirement.
- U.S. Appl. No. 14/099,582 Jun. 17, 2014 Non-Final Office Action.
- U.S. Appl. No. 14/099,582 Nov. 7, 2014 Notice of Allowance.
- U.S. Appl. No. 14/099,582 Jan. 22, 2015 Notice of Allowance.
- U.S. Appl. No. 14/099,598 May 13, 2014 Restriction Requirement.
- U.S. Appl. No. 14/099,598 Jul. 3, 2014 Non-Final Office Action.
- U.S. Appl. No. 14/099,598 Dec. 10, 2014 Notice of Allowance.
- U.S. Appl. No. 14/099,612 Mar. 20, 2014 Restriction Requirement.
- U.S. Appl. No. 14/099,612 Oct. 30, 2014 Non-Final Office Action.
- U.S. Appl. No. 14/099,612 Nov. 26, 2014 Notice of Allowance.
- U.S. Appl. No. 14/099,623 Mar. 5, 2014 Restriction Requirement.
- U.S. Appl. No. 14/099,623 Jul. 18, 2014 Non-Final Office Action.
- U.S. Appl. No. 14/099,623 Dec. 15, 2014 Notice of Allowance.
- U.S. Appl. No. 14/103,355 Dec. 8, 2014 Non-Final Office Action.
- U.S. Appl. No. 14/106,655 Jul. 3, 2014 Restriction Requirement.
- U.S. Appl. No. 14/125,554 Dec. 5, 2014 Restriction Requirement.
- U.S. Appl. No. 14/125,554 Apr. 14, 2015 Non-Final Office Action.
- U.S. Appl. No. 14/136,048 Nov. 4, 2014 Restriction Requirement.
- U.S. Appl. No. 14/136,048 Mar. 12, 2015 Non-Final Office Action.
- U.S. Appl. No. 14/475,814 Oct. 1, 2014 Non-Final Office Action.
- U.S. Appl. No. 14/475,814 Feb. 13, 2015 Notice of Allowance.
- U.S. Appl. No. 14/475,864 Feb. 11, 2014 Notice of Allowance.
- U.S. Appl. No. 14/475,864 Oct. 2, 2014 Non-Final Office Action.
- U.S. Appl. No. 14/476,040 Mar. 26, 2015 Restriction Requirement.
- U.S. Appl. No. 14/521,230 Dec. 5, 2014 Restriction Requirement.
- U.S. Appl. No. 14/521,230 Feb. 18, 2015 Non-Final Office Action.
- U.S. Appl. No. 14/624,051 Apr. 7, 2015 Non-Final Office Action.

US 10,888,516 B2

Page 18

(56)

References Cited

OTHER PUBLICATIONS

- Utian, Wulf H, et al., Relief of vasomotor symptoms and vaginal atrophy with lower doses of conjugated equine estrogens, *Fertility and Sterility*, vol. 75(6) pp. 1065, Jun. 2001.
- Voegtline et al., Dispatches from the interface of salivary bioscience and neonatal research, *Frontiers in Endocrinology*, Mar. 2014, vol. 5, article 25, 8 pages.
- Waddell et al., Distribution and metabolism of topically applied progesterone in a rat model, *Journal of Steroid Biochemistry & Molecular Biology*, 80 (2002) 449-455.
- Waddell et al., The Metabolic Clearance of Progesterone in the Pregnant Rat: Absence of a Physiological Role for the Lung, *Biology of Reproduction*, 40, 1188-1193 (1989).
- Walter et al., The role of progesterone in endometrial angiogenesis in pregnant and ovariectomised mice, *Reproduction* (2005) 129 765-777.
- Weber, E.J., Corn Lipids, *Cereal Chem.*, vol. 55(5), pp. 572-584, The American Assoc of Cereal Chem, Sep.-Oct. 1978.
- Weber, M.T., et al., Cognition and mood in perimenopause: A systematic review and meta-analysis, *J. Steroid Biochem. Mol. Biol.* (2013), Elsevier.
- Weintraub, Arlene, "Women fooled by untested hormones from compounding pharmacies," *Forbes*, Feb. 20, 2015; retrieved online at <http://onform.es/1LLUm1V> on Feb. 23, 2015, 3 pages.
- Whitehead et al., Absorption and metabolism of oral progesterone, *The British Medical Journal*, vol. 280, No. 6217 (Mar. 22, 1980), pp. 825-827, BMJ Publishing Group.
- Wiranidchapong, Chutima, Method of preparation does not affect the miscibility between steroid hormone and polymethacrylate, *Thermochimica Acta* 485, Elsevier, pp. 57, 2009.
- Wood et al., Effects of estradiol with micronized progesterone or medroxyprogesterone acetate on risk markers for breast cancer in postmenopausal monkeys, *Breast Cancer Res Treat* (2007) 101:125-134.
- Wren et al., Effect of sequential transdermal progesterone cream on endometrium, bleeding pattern, and plasma progesterone and salivary progesterone levels in postmenopausal women, *Climacteric*, 2000, 3(3), pp. 155-160. <http://dx.doi.org/10.1080/13697130008500109>.
- Wu et al., Gene Expression Profiling of the Effects of Castration and Estrogen Treatment in the Rat Uterus, *Biology of Reproduction*, 69, 1308-1317 (2003).
- Yalkowsky, Samuel H, & Valvani, Shri C, Solubility and Partitioning I: Solubility of Nonelectrolytes in Water, *J. of Pharmaceutical Sciences*, vol. 69(8) pp. 912-922, 1980.
- Yalkowsky, Samuel H, *Handbook of Aqueous Solubility Data, Solutions*, pp. 1110-1111, CRC Press, Boca Raton, London, New York, Wash. D.C.
- Yue, W., Genotoxic metabolites of estradiol in breast: potential mechanism of estradiol induced carcinogenesis, *Journal of Steroid Biochem & Mol Biology*, vol. 86 pp. 477-486, 2003.
- Zava, David T. et al., Percutaneous absorption of progesterone, *Maturitas*, 77 (2014) 91-92, Elsevier.
- Zava, David T., Topical Progesterone Delivery and Levels in Serum, Saliva, Capillary Blood, and Tissues, Script, ZRT Laboratory, pp. 4-5. http://www.zrtlab.com/component/docman/cat_view/10-publications?Itemid.
- Castelo-Branco Camil et al., "Treatment of atrophic vaginitis," *Therapy*, 2007, vol. 4, No. 3, pp. 349-353.
- Chambin et al., Interest of Multifunctional Lipid Excipients: Case of Gelucire® 44/14, *Drug Development and Industrial Pharmacy*, vol. 31, No. 6, pp. 527-534 (Year: 2005).
- Cho, Y.A et al., Transdermal Delivery of Ketorolac Tromethamine: Effects of Vehicles and Penetration Enhancers, *Drug Development and Industrial Pharmacy*, 30(6):557-564, Jun. 2004.
- Cicinelli et al., "First uterine pass effect" is observed when estradiol is placed in the upper but not lower third of the vagina, *Fertility and Sterility*, vol. 81, No. 5, May 2004, pp. 1414-1416.
- Cicinelli, Intravaginal oestrogen and progestin administration: advantages and disadvantages, *Best Practices & Research Clinical Obstetrics and Gynaecology* vol. 22, No. 2, 2008, pp. 391-405.
- Crandall, Carolyn, "Vaginal Estrogen Preparations: A Review of Safety and Efficacy for Vaginal Atrophy," *Journal of Women's Health*, 2002, vol. 11, No. 10, pp. 857-877.
- Care, "MIGLYOL® 810, 812 INCI: Caprylic/Capric Triglyceride," CREMER OLEO GmbH & Co. KG. pp. 1-7, available at http://s3.amazonaws.com/petercremerna/products/spec_sheets/159/339/301/origirsui/MIGLYOL_810_812_TDS.pdf?1389204445 (Mar. 2013) accessed on Dec. 30, 2016.
- Garad S. et al., "Preclinical Development for Suspensions," A.K. Kulshreshtha et al. (eds.), *Pharmaceutical Suspensions: From Formulation Development to Manufacturing*, Springer, New York 2010, pp. 127-176.
- Holm et al., "Examination of oral absorption and lymphatic transport of halofantrine in a triple-cannulated canine model after administration in self-microemulsifying drug delivery systems (SMEDDS) containing structured triglycerides," *European Journal of Pharmaceutical Sciences* 20 (2003) 91-97.
- Humberstone, Andrew et al., "Lipid-based vehicles for the oral delivery of poorly water soluble drugs," *Advanced Drug Delivery Reviews*, 25 (1997) 103-128.
- Karande, et al., Enhancement of transdermal drug delivery via synergistic action of chemicals, *Biochimica et Biophysica Acta*, 1788:2362-2373, Sep. 2009.
- Knuth et al., Hydrogel delivery systems for vaginal and oral applications: Formulation and biological considerations, *Advanced Drug Delivery Reviews*, vol. 11, No. 1-2, Jul.-Aug. 1993, pp. 137-167.
- Lane, Majella E., "Skin penetration enhancers," *International Journal of Pharmaceutics* 447 (2013) 12-21.
- Lindmark, Tuulikki et al., "Absorption Enhancement through Intracellular Regulation of Tight Junction Permeability by Medium Chain Fatty Acids in Caco-2 Cells," *JPET* 284(1):362-369, 1998.
- Lindmark, Tuulikki et al., "Mechanisms of Absorption Enhancement by Medium Chain Fatty Acids in Intestinal Epithelial Caco-2 Cell Monolayers," *JPET* 275(2):958-964, 1995.
- Lopes, Luciana B. et al., Enhancement of transdermal delivery of progesterone using medium-chain mono and diglycerides as skin penetration enhancers, *Pharmaceutical Development and Technology*, 14:5, 524-529, Mar. 2009.
- Monti, D. et al., Effect of different terpene-containing essential oils on permeation of estradiol through hairless mouse skin, *International Journal of Pharmaceutics*, 237:209-24, 2002.
- Pachman et al., "Management of menopause-associated vasomotor symptoms: Current treatment options, challenges and future directions," *International Journal of Women's Health*, May 7, 2010.
- Potluri, Praveen and Guru V. Betageri, "Mixed-micellar proliposomal systems for enhanced oral delivery of progesterone," *Drug Delivery*, 2006, vol. 13, No. 3, pp. 227-232.
- Prajapati Hetal N. et al., "A Comparative Evaluation of Mono-, Di- and Triglyceride of Medium Chain Fatty Acids by Lipid/Surfactant/Water Phase Diagram, Solubility Determination and Dispersion Testing for Application in Pharmaceutical Dosage Form Development," *Pharm Res. Jan. 2012; 29(1): 285-305*. Published online Aug. 23, 2011. doi: 10.1007/s11095-011-0541-3.
- Prajapati Hetal N. et al., "Effect of Difference in Fatty Acid Chain Lengths of Medium-Chain Lipids on Lipid/Surfactant/Water Phase Diagrams and Drug Solubility," *J. Excipients and Food Chem.* 2 (3) 2011:73-88.
- Rao, R. et al., "The Affect of Capmul, Labrafil and Transcutol on Progesterone 100 Mg Soft Capsules Bioavailability in Indian Healthy Adult Postmenopausal Female Subjects Under Fasting Conditions," *Bioequivalence & Bioavailability*, 7(2):095-107, 2015.
- Sallee, Verney L. et al., "Determinants of intestinal mucosal uptake of short- and medium-chain fatty acids and alcohols," *Journal of Lipid Research*, 1973, vol. 14, 475-484.
- Sarpal, K. et al., "Self emulsifying drug delivery systems: a strategy to improve oral bioavailability," *Current Research & Information on Pharmaceuticals Sciences (CRIPS)*, 2010, vol. 11, No. 3, pp. 42-49.
- Search Report, Extended European Search Report for EP13741053. 6, dated Jul. 1, 2015.
- Search Report, Extended European Search Report for EP13807188. 1, dated Nov. 23, 2015.

US 10,888,516 B2

Page 19

(56)

References Cited

OTHER PUBLICATIONS

Search Report, International Search Report and Written Opinion for PCT/US14/61811, dated Jan. 21, 2015.

Search Report, International Search Report and Written Opinion for PCT/US15/23041, dated Jun. 30, 2015.

Search Report, International Search Report and Written Opinion for PCT/US15/42621, dated Oct. 29, 2015.

U.S. Appl. No. 12/561,515 Dec. 12, 2011 Non-Final Office Action.

U.S. Appl. No. 12/561,515 Oct. 26, 2012 Final Office Action.

U.S. Appl. No. 12/561,515 Sep. 11, 2013 Notice of Allowance.

U.S. Appl. No. 13/843,428 Jul. 2, 2015 Non-Final Office Action.

U.S. Appl. No. 14/106,655 Jun. 19, 2015 Final Office Action.

U.S. Appl. No. 14/690,955 Feb. 1, 2016 Non-Final Office Action.

Ettinger et al., "Measuring symptom relief in studies of vaginal and vulvar atrophy: the most bothersome symptom approach," *Meno-pause*, vol. 15, No. 5, 2008, pp. 885-889.

Eugster-Hausmann et al., "Minimized estradiol absorption with ultra-low-dose 10 µg 17β-estradiol vaginal tablets," *Climacteric* 2010;13:219-227.

Martelli, Mary Elizabeth, "Vaginal Medicine Administration," *The Gale Encyclopedia of Nursing and Allied Health*, Gale Group, 2002, pp. 2542-2543.

Regidor, P., "Progesterone in Peri- and Postmenopause: A Review," *Geburtshilfe Frauenheilkd*, Nov. 2014 74(11):995-1002.

Simon, James A. et al., "A vaginal estradiol softgel capsule, TX-004HR, has negligible to verylow systemic absorption of estradiol: Efficacy and pharmacokineticdata review," *Maturitas* 99 (2017) 51-58.

Stefanick, "Estrogens and progestins: background and history, trends in use, and guidelines and regimens approved by the US Food and Drug Administration," *The American Journal of Medicine* (2005) vol. 118 (12B), 64S-73S.

* cited by examiner

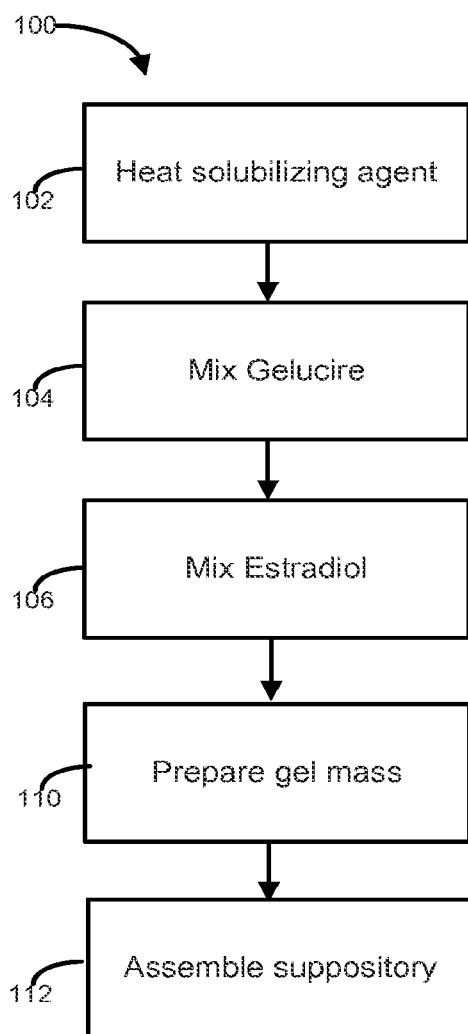


Fig. 1

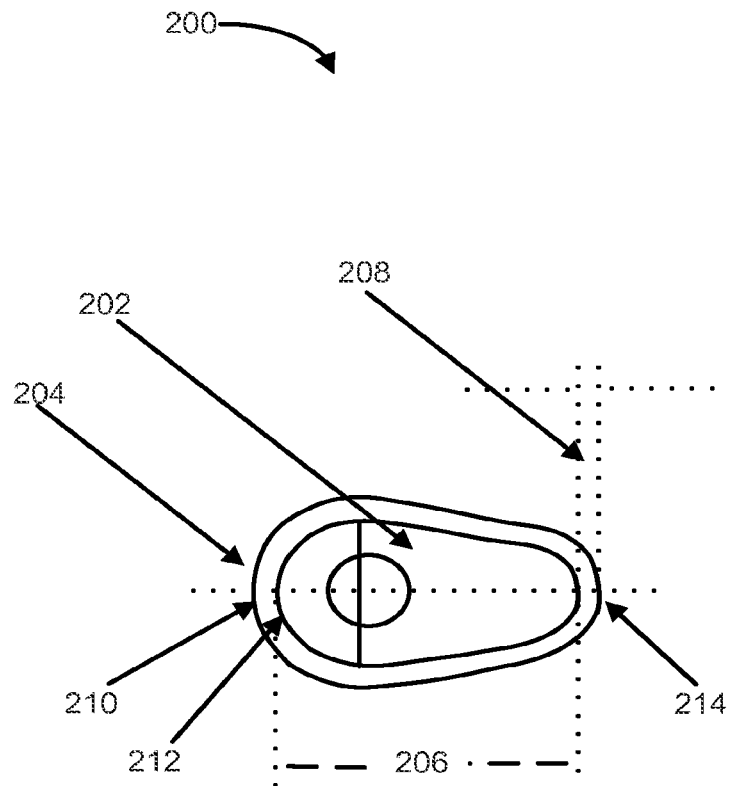


Fig. 2

US 10,888,516 B2

1

**SOLUBLE ESTRADIOL CAPSULE FOR
VAGINAL INSERTION****CROSS-REFERENCE TO RELATED
APPLICATIONS**

This application claims priority to the following U.S. patent applications: U.S. Provisional Application Ser. No. 61/661,302, entitled "ESTRADIOL FORMULATIONS," which was filed on Jun. 18, 2012; U.S. Provisional Application Ser. No. 61/662,265, entitled "PROGESTERONE FORMULATIONS," which was filed on Jun. 20, 2012; U.S. patent application Ser. No. 13/684,002, entitled "NATURAL COMBINATION HORMONE REPLACEMENT FORMULATIONS AND THERAPIES," which was filed Nov. 21, 2012; U.S. Provisional Application Ser. No. 61/745,313, entitled "SOLUBLE ESTRADIOL CAPSULE FOR VAGINAL INSERTION," which was filed on Dec. 21, 2012; U.S. Patent Application Serial No. PCT/US2013/023309, entitled "TRANSDERMAL HORMONE REPLACEMENT THERAPIES," which was filed Jan. 25, 2013; and U.S. patent application Ser. No. 13/843,428, entitled "NATURAL COMBINATION HORMONE REPLACEMENT FORMULATIONS AND THERAPIES," which was filed Mar. 15, 2013. All aforementioned applications are hereby incorporated by reference herein in their entirety.

BACKGROUND**Field**

Postmenopausal women frequently suffer from certain vaginally localized states including, for example, atrophic vaginitis or vulvar and vaginal atrophy (hereinafter "vulvovaginal atrophy" or "WA") with symptoms including, for example, dryness, itching, soreness, irritation, bleeding and dyspareunia; with urinary frequency, urgency, urinary discomfort and incontinence also occurring (singularly and collectively, "estrogen-deficient urinary state(s)"). For the sake of clarity, the terms "atrophic vaginitis" and vulvovaginal atrophy are used herein interchangeably. The molecular morphology of WA is well known in the medical field.

Each of these VVA-related states, inter alia, are symptoms associated with decreased estrogenization of the vulvovaginal tissue, and can even occur in women treated with oral administration of an estrogen-based pharmaceutical drug product. Although WA is most common with menopausal women, it can occur at any time in a woman's life cycle.

WA-related states are generally treated with local administration of an estrogen-based natural or synthetic hormone in the form of a topically applied gel or cream, or through vaginal insertion of a compressed tablet. These forms of administration can provide low levels of circulating estrogen but are not intended to contribute to the treatment of other states related to estrogen deficiencies typically treated via administration of a systemically absorbed estrogen product. For example, such systemically absorbed products include orally administered formulations as well as creams, gels, sprays, and transdermally delivered products. However, vaginal gels and creams may rub, wear or wash off before the estrogen is fully absorbed into the local tissue. In addition, various commercially available estrogen-containing creams contain an alcohol such as benzyl alcohol and/or stearyl alcohol. The use of such products may result in itching or burning when applied. The above referenced vaginal creams and gels require insertion via a reusable vaginal applicator/plunger for which patients complain of

2

difficulty to accurately dose, discomfort or pain upon insertion, and increased trauma to the genital mucosa all in relation to the vaginal applicator. Furthermore, the reusable applicator/plunger is also difficult to clean resulting in hygienic concerns as well as increased rates of infection all decreasing the ongoing compliance of the therapy.

Similarly, vaginal suppositories in the form of inserted tablets may not fully dissolve, reducing the effective dose of absorbed estrogen; may cause unwanted and unnecessary vaginal discharge; may cause an increase of vulvovaginal pruritus and/or back pain; and the insertion, itself, using the applicator provided with the reference-listed tableted drug, Vagifem® (Novo Nordisk; Princeton, N.J.), may cause a rupture of the vaginal fornix.

There has been at least one attempt at providing a soluble or suspended estrogen capsule for vaginal insertion as described in U.S. Pat. No. 6,060,077 (the '077 patent). The '077 patent provides for a non-systemic treatment for vaginal dryness in menopausal women using an immediate or slow-release formulation comprising a natural estrogen compound in solution or suspension in a lipophilic agent, a hydrophilic gel-forming bioadhesive agent, a gelling agent for the lipophilic agent, and a hydrodispersible agent in a hard or soft capsule. It is specifically stated that these formulations are designed to avoid systemic passage of estradiol following administration. Once in contact with vaginal secretions, these formulations require the presence of the hydrophilic gel-forming bioadhesive agent to react with the hydrodispersible agent to form an estrogen-containing emulsion to facilitate absorption. A practical issue arises when attempting to use this medicament when vaginal secretions are required to activate the formulation while the treatment is designed to treat vaginal dryness.

Accordingly, an estrogen-based vaginal suppository that provides an ease of administration/insertion, improved safety of insertion, lacking or minimizing vaginal discharge following administration, and that does not require vaginal secretions to activate the formulation could provide a more effective dosage form with improved efficacy, safety and patient compliance.

SUMMARY

According to various embodiments of this disclosure, encapsulated pharmaceutical formulations comprising solubilized estradiol are provided. Such formulations are encapsulated in soft capsules which are vaginally inserted for the treatment of vulvovaginal atrophy.

BRIEF DESCRIPTION OF THE DRAWINGS

The subject matter of the present invention is particularly pointed out and distinctly claimed below. A more complete understanding of the present invention, however, may best be obtained by referring to the detailed description and claims when considered in connection with the figures, wherein like numerals denote like elements and wherein:

FIG. 1 is a flow diagram illustrating a process in accordance with various embodiments of the invention; and

FIG. 2 illustrates a suppository in accordance with various embodiments of the invention.

**DETAILED DESCRIPTION OF THE
ILLUSTRATED EMBODIMENTS****Definitions**

The term "active pharmaceutical ingredient" as used herein, means the active compound(s) used in formulating a drug product.

US 10,888,516 B2

3

The term “AUC,” as used herein, refers to the area under the curve that represents changes in blood concentration of an active pharmaceutical ingredient (e.g., estradiol, which is also referred to in the literature as 17 β -estradiol, oestradiol, or E2) over time.

The term “bioavailability,” as used herein means the concentration of an active ingredient (e.g., estradiol) in the blood (serum or plasma). The relative bioavailability may be measured as the concentration in the blood (serum or plasma) versus time. Pharmacokinetic (PK) indicators that may be used to measure and assess bioavailability are determined by suitable metrics including AUC, Cmax, and, optionally, Tmax.

The term “bioequivalent” means that a test drug product provides similar bioavailability compared to a reference drug product pursuant to the criteria set forth for bioequivalence by the United States Food and Drug Administration, as amended. In general, the bioavailability of an active pharmaceutical ingredient in a bioequivalent drug product is 80 to 125% of the bioavailability of the active pharmaceutical ingredient of the reference drug product concerning AUC and Cmax.

The term “bio-identical hormones,” as used herein, means those synthetically-derived compounds which are identical in chemical structure to the hormones naturally produced in vivo. These natural or bio-identical hormones are synthesized from various ingredients to match the chemical structure and effect of estradiol or estrone, or estriol (the 3 primary estrogens).

The term, “Cmax” as used herein, refers to the maximum value of blood concentration shown on the curve that represents changes in blood concentrations of an active pharmaceutical ingredient (e.g., estradiol) over time.

The term “co-administered” as used herein, means that two drug products are administered simultaneously or sequentially on the same or different days.

The term “drug product” as used herein means at least one active pharmaceutical ingredient in combination with at least one excipient and provided in unit dosage form.

The term “excipients,” as used herein, refer to non-active pharmaceutical ingredients such as carriers, solubilizing agents, oils, lubricants and others used in formulating pharmaceutical products. They are generally safe for administering to animals, including humans, according to established governmental standards, including those promulgated by the United States Food and Drug Administration.

The term “natural,” as used herein with reference to hormones discussed herein, means bio-identical hormones synthesized to match the chemical structure and effect of those that occur naturally in the human body (endogenous). An exemplary natural estrogen is estradiol (also described as 17 β -estradiol and E2).

The term “medium chain,” as used herein means any medium chain carbon-containing substance, including C4-C18, and including C6-C12 substances, fatty acid esters of glycerol, fatty acids, and mono-, di-, and triglycerides of such substances. For further illustration, C6-C14, C6-C12 fatty acids, and C8-C10 fatty acids are all medium chain fatty acids and may be used in instances in which this specification calls for use of medium chain fatty acids, e.g., medium chain fatty acid esters of glycerol or other glycols.

The term “reference listed drug product” as used herein means Vagifem®.

The term “solubilizer,” as used herein, means any substance or mixture of substances that may be used to enhance the solubility of estradiol, including, for example and with-

4

out limitation, appropriate pharmaceutically acceptable excipients, such as solvents, co-solvents, surfactants, emulsifiers, oils and carriers.

The term “treatment”, as used herein, or a derivative thereof, contemplates partial or complete inhibition of the stated disease state or condition when a formulation as described herein is administered prophylactically or following the onset of the disease state for which such formulation is administered. For the purposes of the present disclosure, “prophylaxis” refers to administration of the active ingredient(s) to an animal, typically a human, to protect the animal from any of the disorders set forth herein, as well as others.

The term, “Tmax” as used herein, refers to the time that it takes for an active pharmaceutical ingredient (e.g., estradiol) and/or estrone blood concentrations to reach the maximum value.

Description

Provided herein are pharmaceutical formulations comprising solubilized estradiol (in various embodiments, at least 90% in solution); providing said formulations do not embrace within the fill one or more of the following components: a hydrophilic gel-forming bioadhesive (e.g., mucoadhesive) agent; a lipophilic agent; a gelling agent for the lipophilic agent, and/or a hydrodispersible agent. The hydrophilic gel-forming bioadhesive agent may provide or exclude one or more of a: carboxyvinyllic acid; hydroxypropylcellulose; carboxymethylcellulose; gelatin; xanthane gum; guar gum; aluminum silicate; or mixtures thereof. The lipophilic agent may provide or exclude one or more of a: liquid triglyceride; solid triglyceride (with a melting point of about 35° C.); carnauba wax; cocoa butter; or mixtures thereof. The gelling agent may provide or exclude one or more of a hydrophobic colloidal silica. The hydrodispersible agent may provide or exclude one or more of a: polyoxyethylene glycol; polyoxyethylene glycol 7-glyceryl-cocotate and mixtures thereof.

Generally, the pharmaceutical formulations described herein are prepared and administered as filled capsules, typically soft capsules of one or more materials well known in the art including, for example and without limitation, soft gelatin capsules. However, in various embodiments, pharmaceutical formulations described herein are prepared as a gel, cream, ointment, transdermal delivery system or like preparation.

Other aspects of the present disclosure include the use of formulations as described herein for the treatment of vulvovaginal atrophy including the treatment of at least one VVA symptom including, for example and without limitation, dryness, itching, soreness, irritation, bleeding and dyspareunia.

Another aspect of the present disclosure provides uses of the formulations described herein for the treatment of estrogen-deficient urinary states.

Another aspect of the present disclosure provides alcohol-free or substantially alcohol-free formulations, and uses thereof. Among others, the formulations offer improved comfort during use, thus tending to enhance patient compliance.

The methods of treatment described herein are generally administered to a human female.

A further aspect of the present invention provides formulations of the present invention wherein circulating blood level concentrations following administration of a formulation of the present invention are bioequivalent to circulating blood level concentrations following administration of the

US 10,888,516 B2

5

reference listed drug product, as determined through the completion of a bioequivalence clinical study.

The formulations of the present disclosure may also be vaginally administered with or without the co-administration of an orally administered estrogen-based (or progestin-based or progestin- and estrogen-based) pharmaceutical drug product, or patch, cream, gel, spray, transdermal delivery system or other parenterally-administered estrogen-based pharmaceutical drug product, each of which can include natural, bio-similar, or other synthetic or derived estrogens and/or an administered progestin. As used herein, the term "progestin" means any natural or man-made substance that has pharmacological properties similar to progesterone.

Modulation of circulating estrogen levels provided via the administration of a formulation of the present disclosure, if any, are not intended to be additive to any co-administered estrogen product and its associated circulating blood levels.

The timing of administration of a formulation of the present disclosure may be conducted by any safe means as prescribed by an attending physician. Typically, a patient will insert one capsule intra-vaginally each day for 14 days, then one capsule twice weekly for the remaining time prescribed by such physician. Intra-vaginal insertion may be via the use of an applicator or without an applicator via use of the patient's digits. Use of an applicator or otherwise requires due care as to not puncture or tear surrounding tissue.

Estradiol dosage strengths can vary. For formulations of the present disclosure, estradiol (or estradiol equivalent to the extent such estradiol is in a hydrated or other form requiring compensation therefore) dosage strength of is at least about 1 microgram (mcg), at least about 2.5 mcg; at least about 5 mcg; at least about 10 mcg, from about 1 mcg to about 10 mcg, from about 10 mcg to about 25 mcg, about 1 mcg, about 2.5 mcg, about 5 mcg, about 10 mcg and about 25 mcg. To protect against adverse effects of estradiol, the lowest possible dose should be used for treatment of WA and other states set forth herein. In one embodiment, the dosage is about 10 mcg; in another the dosage is about 25 mcg.

Also provided are soft capsules designed for ease of insertion and to hold the capsule in place until the contents therein are completely released. In various embodiments, softgel capsules in accordance with various embodiments are sized to comfortably fit within a human vagina. Thus, the softgel capsules may comprise any dimension capable of fitting into a human vagina. With reference to FIG. 2, softgel capsule **200** is illustrated. Softgel capsule **200** comprises fill material **202** and gelatin **204**. Gelatin **204** has a thickness represented by space **208**. Space **208** comprises a distance of 0.108 inches. The distance from one end of softgel capsule **200** to another is represented by space **206**. Space **206** comprises a distance of 0.690 inches. The size of softgel capsule **200** may also be described by the arc swept by a radius of a given length. For example, arc **210**, which is defined by the exterior of gelatin **204**, is an arc swept by a radius of 0.189 inches. Arc **212**, which is defined by the interior of gelatin **204**, is an arc swept by a radius of 0.0938 inches. Arc **214**, which is defined by the exterior of gelatin **204** opposite arc **210**, is an arc swept by a radius of 0.108 inches.

Estradiol can be formulated pursuant to the teachings below. These formulations can be prepared for vaginal insertion in a single unit dosage form or as otherwise specified herein.

In various embodiments, estradiol is solubilized at least once during manufacturing and, in various embodiments,

6

estradiol is solubilized at one point following administration. Solubility may be expressed as a mass fraction (% w/w). As used herein, the term "soluble" or "solubilized" means that the estradiol is: at least about 85% soluble, at least 90% soluble, at least 95% soluble and, frequently, is 100% soluble. % Solubility is expressed herein as a mass fraction (% w/w, also referred to as wt %).

Upon release of the fill into the vaginal canal following insertion of a capsule of the present disclosure, estradiol may be locally absorbed into body tissues.

In various embodiments, the solubilizing agent is selected from at least one of a solvent or co-solvent. Suitable solvents and co-solvents include any mono-, di- or triglyceride and glycols, and combinations thereof.

Solubilized estradiol of the present disclosure is prepared via blending estradiol with a pharmaceutically acceptable solubilizing agent including for example and without limitation, at least one medium chain fatty acid such as medium chain fatty acids consisting of at least one mono-, di-, or triglyceride, or derivatives thereof, or combinations thereof (collectively, "glycerides"). In various embodiments, solubilized estradiol of the present disclosure may also comprise at least one glycol or derivatives thereof or combinations thereof (collectively, "glycols") and/or combinations of such at least one glyceride and glycol. Glycols may be used as solubilizing agents and/or to adjust viscosity and, thus, may be considered thickening agents, as discussed further herein. Optionally added are other excipients including, for example and without limitation, anti-oxidants, lubricants and the like. Sufficient solubilizing agent(s) is/are used to solubilize estradiol.

Pharmaceutically acceptable solubilizing agents include, for example and without limitation, the use of at least one of a caproic fatty acid; a caprylic fatty acid; a capric fatty acid; a tauric acid; a myristic acid; a linoleic acid; a succinic acid; a glycerin; mono-, di-, or triglycerides and combinations and derivatives thereof; a polyethylene glycol; a polyethylene glycol glyceride (GELUCIRE (polyethylene glycol glyceride) GATTEFOSSE SAS, Saint-Priest, France); which can be used herein as a solubilizing agent or as an anionic surfactant); a propylene glycol; a caprylic/capric triglyceride (MIGLYOL (caprylic/capric triglyceride)); SASOL Germany GMBH, Hamburg); MIGLYOL includes MIGLYOL 810 (caprylic/capric triglyceride), MIGLYOL 812 (caprylic/capric triglyceride), MIGLYOL 816 (caprylic/capric triglyceride) and MIGLYOL 829 (caprylic/capric/succinic triglyceride)); a caproic/caprylic/capric/lauric triglyceride; a caprylic/capric/linoleic triglyceride; a caprylic/capric/succinic triglyceride; a propylene glycol monocaprylate; propylene glycol monocaprate; (CAPMUL PG-8 (propylene glycol monocaprylate) and CAPMUL PG-10 (propylene glycol monocaprate)); the CAPMUL brands are owned by ABITEC, Columbus Ohio); a propylene glycol mono- and dicaprylate; a propylene glycol mono- and dicaprate; medium chain mono- and di-glycerides (CAPMUL MCM (medium chain mono- and diglycerides)); a diethylene glycol mono ester (including 2-(2-Ethoxyethoxy)ethanol: TRANSCUTOL (diethylene glycol monoethyl ether)); a diethylene glycol monoethyl ether; glyceryl mono- and di-caprylates; propylene glycol; 1,2,3-propanetriol (glycerol, glycerin, glycerine) esters of saturated coconut and palm kernel oil and derivatives thereof; triglycerides of fractionated vegetable fatty acids, and combinations and derivatives thereof. In various embodiments, propylene glycol is used in a cream or ointment.

US 10,888,516 B2

7

These solubilizers, as defined herein, and combinations thereof, can be used to form solubilized estradiol formulations of the present disclosure.

At least one anionic and/or non-ionic surfactant can be used in additional embodiments of the presently disclosed formulations containing solubilized estradiol.

Exemplary non-ionic surfactants may include, for example and without limitation, one or more of oleic acid, linoleic acid, palmitic acid, and stearic acid. In further embodiments, the non-ionic surfactant may comprise polyethylene sorbitol esters, including polysorbate 80, which is commercially available under the trademark TWEEN 80 (polysorbate 80) (Sigma Aldrich, St. Louis, Mo.). Polysorbate 80 comprises approximately 60%-70% oleic acid with the remainder comprising primarily linoleic acids, palmitic acids, and stearic acids. Polysorbate 80 may be used in amounts ranging from about 5 to 50%, and in certain embodiments, about 30% of the formulation total mass. In various other embodiments, the non-ionic surfactant is selected from one or more of glycerol and polyethylene glycol esters of long chain fatty acids, for example, lauroyl macrogol-32 glycerides and/or lauroyl polyoxyl-32 glycerides, commercially available as GELUCIRE, including, for example, GELUCIRE 39/01 (glycerol esters of saturated C12-C18 fatty acids), GELUCIRE 43/01 (hard fat NF/JPE) and GELUCIRE 50/13 (stearoyl macrogol-32 glycerides EP, stearoyl polyoxyl-32 glycerides NF, stearoyl polyoxylglycerides (USA FDA IIG)). These surfactants may be used at concentrations greater than about 0.01%, and typically in various amounts of about 0.01%-10.0%, 10.1%-20%, and 20.1%-30%.

Ratios of solubilizing agent(s) to surfactant(s) can vary depending upon the respective solubilizing agent(s) and the respective surfactant(s) and the desired physical characteristics of the resultant formulation of solubilized estradiol. For example and without limitation, CAPMUL MCM and a non-ionic surfactant can be used at ratios including 65:35, 70:30, 75:25, 80:20, 85:15 and 90:10. Other non-limiting examples include: CAPMUL MCM and GELUCIRE 39/01 can be used in ratios including, for example and without limitation, 6:4, 7:3, and 8:2; CAPMUL MCM and GELUCIRE 43/01 can be used in ratios including, for example and without limitation, 7:3, and 8:2; CAPMUL MCM and GELUCIRE 50/13 can be used in ratios including, for example and without limitation, 7:3, and 8:2, and 9:1.

Another exemplary non-ionic surfactant includes PEG-6 palmitostearate and ethylene glycol palmitostearate, which is available commercially as TEFOSE 63 ("Tefose 63"; GATTEFOSSE SAS, Saint-Priest, France) which can be used with, for example, CAPMUL MCM having ratios of MCM to TEFOSE 63 of, for example, 8:2 and 9:1. Additional examples of solubilizing agents with non-ionic surfactants include, for example, MIGLYOL 812:GELUCIRE 50/13 and MIGLYOL 812:TEFOSE 63.

Anionic surfactants are well known and can include, for example and without limitation: ammonium lauryl sulfate, dioctyl sodium sulfosuccinate, perfluoro-octane sulfonic acid, potassium lauryl sulfate and sodium stearate.

Non-ionic and/or anionic surfactants can be used alone or with at least one solubilizing agent or can be used in combination with other surfactants. Accordingly, such surfactants, or any other excipient as set forth herein, should be used to provide solubilized estradiol, upon release from a vaginally-inserted capsule, with consistency of the solubilized estradiol that promotes absorption and minimizes

8

vaginal discharge, particularly when compared to the vaginal discharge frequently occurring following use of a VAGI-FEM tablet.

Moreover, the estradiol in the formulations disclosed herein need not be fully solubilized (e.g., at least 98% in solution) at the time of administration/insertion but, rather, needs to be substantially solubilized at the time of release from the vaginally-inserted capsule. As such, the solubilizing agents taught herein, with or without additional excipients other than the solubilizing agents, may be in the liquid or semi-solid form upon administration providing the estradiol containing solubilizing agents and other excipients permit flow to fill capsules. To the extent the estradiol is not fully solubilized at the time of administration/insertion, the estradiol should be substantially solubilized at a temperature of about 37° C. (e.g., body temperature) and, generally, at a pH of about 4.5. In another embodiment, at least one thickening agent may be added to formulations of the present disclosure. The viscosity of the solubilized estradiol may depend upon the solubilizing agent(s) used, the addition of other excipients to the formulation preparation and the desired or required final viscosity required to optimize absorption of the solubilized estradiol. In certain embodiments, the surfactant(s) referenced herein above may provide thickening of the solubilized estradiol such that, upon release, will aid the estradiol in being absorbed by the vaginal mucosa while minimizing vaginal discharge, particularly when compared to the vaginal discharge frequently occurring following use of a Vagifem tablet. Examples of other such thickening agents include, for example and without limitation, hard fats; propylene glycol; a mixture of hard fat EP/NF/JPE, glyceryl ricinoleate, ethoxylated fatty alcohols (ceteth-20, steareth-20) EP/NF (commercially available as OVUCIRE 3460 (mixture of hard fat EP/NF/JPE (and) glyceryl ricinoleate (and) ethoxylated fatty alcohols (ceteth-20, steareth-20) EP/NF) (Gattefosse, Saint-Priest France); a mixture of hard fat EP/NF/JPE, glycerol monooleate (type 40) EP/NF (commercially available as OVUCIRE WL 3264 (mixture of hard fat EP/NF/JPE (and) glycerol monooleate (type 40) EP/NF); a mixture of hard fat EP/NF/JPE, glyceryl monooleate (type 40) EP/NF (commercially available as OVUCIRE WL 2944 (mixture of hard fat EP/NF/JPE (and) glyceryl monooleate (type 40) EP/NF)); and a mixture of various hard fats (commercially available as WITESPOL (hard fats); Sasol Germany GmbH, Hamburg). In various embodiments, the viscosity of formulations in accordance with various embodiments may comprise from about 50 cps to about 1000 cps at 25° C.

In other embodiments, one or more muco-adherent agents may be used to assist with mucosal absorption of the solubilized estradiol. For example, polycarbophil may be used as an acceptable muco-adherent agent. Other agents include, for example and without limitation, poly (ethylene oxide) polymers having a molecular weight of from about 100,000 to about 900,000, chitosans carbopols including polymers of acrylic acid crosslinked with allyl sucrose or allyl pentaerythritol, polymers of acrylic acid and C10-C30 alkyl acrylate crosslinked with allyl pentaerythritol, carbomer homopolymer or copolymer that contains a block copolymer of polyethylene glycol and a long chain alkyl acid ester and the like. Various hydrophilic polymers and hydrogels may be used. In various embodiments, the hydrophilic polymer will swell in response to contact with vaginal or other bodily secretions, enhancing moisturizing and muco-adherent effects. The selection and amount of hydrophilic polymer may be based on the selection and amount of pharmaceutically acceptable solubilizing agent chosen. The

US 10,888,516 B2

9

formulation includes a hydrophilic polymer but optionally excludes a gelling agent. In embodiments having a hydrogel, from about 5% to about 10% of the total mass may comprise the hydrophilic polymer. In further embodiments, hydrogels may be employed. A hydrogel may comprise chitosan, which swell in response to contact with water. In various embodiments, a cream formulation may comprise PEG-90M.

In additional embodiments, formulations of the present disclosure may include one or more thermoreversible gels, typically of the hydrophilic nature including for example and without limitation, hydrophilic sucrose and other saccharide-based monomers (U.S. Pat. No. 6,018,033, which is herein incorporated by reference).

In other embodiments, a lubricant may be used. Any suitable lubricant may be used, such as for example lecithin. Lecithin may comprise a mixture of phospholipids.

In additional embodiments, an antioxidant is used. Any suitable antioxidant may be used such as, for example and without limitation, butylated hydroxytoluene.

In various embodiments, a pharmaceutical formulation comprises about 20% to about 80% solubilizing agent by weight, about 0.1% to about 5% lubricant by weight, and about 0.01% to about 0.1% antioxidant by weight.

The choice of excipient will, to a large extent, depend on factors such as for example and without limitation, the effect of the excipient on solubility and stability. Additional excipients used in various embodiments may include colorants and preservatives. Colorants, for example, may comprise about 0.1% to about 2% by weight. Preservatives may, for example and without limitation, comprise methyl and propyl paraben, for example, in a ratio of about 10:1, and at a proportion of about 0.005% and 0.05% by weight.

As is with all solubilizing agents, excipients and any other additives used in the formulations described herein, each is to be non-toxic, pharmaceutically acceptable and compatible with all other ingredients used.

Further provided herein are methods for the treatment of WA and/or estrogen-deficient urinary states comprising administering to a female, typically a human, in need of treatment a non-toxic and pharmaceutically effective dose of a formulation as further provided herein.

As referenced above, the formulations of the present disclosure are generally vaginally administered via capsules such as soft capsules, including soft gelatin capsules. It is desirable to prepare these soft capsules such that they disintegrate to the extent that substantially all of the solubilized estradiol is released upon disintegration, providing rapid absorption of the solubilized estradiol and minimal to no capsule residue.

Additional objects of the present disclosure include: providing increased patient ease of use while potentially minimizing certain side effects from inappropriate insertion, minimizing incidence of vulvovaginal mycotic infection compared to incidence of vulvovaginal mycotic infection due to usage of Vagifem and other currently available products and; decreased resultant genital pruritus compared to the genital pruritus and/or back pain that may be generated via the use of Vagifem and other currently available products. In illustrative embodiments of the invention, oils are used as solubilizing agents to solubilize estradiol and include medium chain fatty acid esters, (e.g., esters of glycerol, polyethylene glycol, or propylene glycol) and mixtures thereof. In illustrative embodiments, the medium chain fatty acids are C6 to C14 or C6 to C12 fatty acids. In illustrative embodiments, the medium chain fatty acids are saturated, or predominantly saturated, e.g., greater than

10

about 60% or greater than about 75% saturated. In illustrative embodiments, estradiol is soluble in the oils at room temperature, although it may be desirable to warm certain oils initially during manufacture to improve viscosity. In illustrative embodiments, the oil or oil/thickening agent is liquid at between room temperature and about 50° C., e.g., at or below 50° C., at or below 40° C., or at or below 50° C. In illustrative embodiments, GELUCIRE 44/14 (lauroyl macrogol-32 glycerides EP lauroyl polyoxyl-32 glycerides NF lauroyl polyoxylglycerides (USA FDA IIG)) is heated to about 65° C. and CAPMUL MCM is heated to about 40° C. to facilitate mixing of the oil and non-ionic surfactant, although such heating is not necessary to dissolve the estradiol. In illustrative embodiments, the solubility of estradiol in the oil (or oil/surfactant) is at least about 0.5 wt %, e.g., 0.8 wt % or higher, or 1.0 wt % or higher. Illustrative examples of mono- and diglycerides of medium chain fatty acids include, among others, CAPMUL MCM, CAPMUL MCM C10 (glyceryl monocaprate), CAPMUL MCM C8 (glyceryl monocaprylate), and CAPMUL MCM C8 EP (glyceryl monocaprylate). These oils are C8 and C10 fatty acid mono- and diglycerides. Illustrative examples of oils that are triglycerides of medium chain fatty acids include, among others, MIGLYOL 810 and MIGLYOL 812. Illustrative examples of oils that are medium chain fatty acid esters of propylene glycol include, among others, CAPMUL PG-8, CAPMUL PG-2L EP/NF (propylene glycol dilaurate), CAPMUL PG-8 NF (propylene glycol monocaprylate), CAPMUL PG-12 EP/NF (propylene glycol monolaurate) and CAPRYOL (propylene glycol monocaprylate (type II) NF). Other illustrative examples include MIGLYOL 840 (propylene glycol dicaprylate/dicaprate).

Illustrative examples of oils that are medium chain fatty acid esters of polyethylene glycol include, among others, GELUCIRE 44/14 (PEG-32 glyceryl laurate EP), which is polyethylene glycol glycerides composed of mono-, di- and triglycerides and mono- and diesters of polyethylene glycol.

These illustrative examples comprise predominantly medium chain length, saturated, fatty acids (i.e., greater than 50% of the fatty acids are medium chain saturated fatty acids); specifically predominantly C8 to C12 saturated fatty acids.

It will be understood that commercially available fatty acid esters of glycerol and other glycols are often prepared from natural oils and therefore may comprise components additional to the fatty acid esters that comprise the predominant (by weight) component(s) and that therefore are used to characterize the product. Such other components may be, e.g., other fatty acid triglycerides, mono- and diesters, free glycerol, or free fatty acids. So, for example, when an oil/solubilizing agent is described herein as a saturated C8 fatty acid mono- or diester of glycerol, it will be understood that the predominant component of the oil, i.e., >50 wt % (e.g., >75 wt %, >85 wt % or >90 wt %) are caprylic monoglycerides and caprylic diglycerides. For example, the Technical Data Sheet by ABITEC for CAPMUL MCM C8 describes CAPMUL MCM C8 as being composed of mono and diglycerides of medium chain fatty acids (mainly caprylic) and describes the alkyl content as <=1% C6, >=95% C8, <=5% C10, and <=1.5% C12 and higher.

By way of further example, MIGLYOL 812 is generally described as a C8-C10 triglyceride because the fatty acid composition is at least about 80% caprylic (C8) acid and capric (C10) acid. However, it can also comprise small amounts of other fatty acids, e.g., less than about 5% of caproic (C6) acid, lauric (C12) acid, and myristic (C14) acid.

US 10,888,516 B2

11

Specifically, a product information sheet for MIGLYOL by SASOL provides the composition of fatty acids as follows:

Tests	810	812	818	829	840
Caproic acid (C6:0)	max. 2,0	max. 2,0	max. 2	max. 2	max. 2
Caprylic acid (C8:0)	65,0-80,0	50,0-65,0	45-65	45-55	65-80
Capric acid (C10:0)	20,0-35,0	30,0-45,0	30-45	30-40	20-35
Lauric acid (C12:0)	max. 2	max. 2	max. 3	max. 3	max. 2
Myristic acid (C14:0)	max. 1,0	max. 1,0	max. 1	max. 1	max. 1
Linoleic acid (C18:2)	—	—	2-5	—	—
Succinic acid	—	—	—	15-20	—

So, if an embodiment of this invention is described as comprising (or consisting essentially of) a capsule shell, estradiol solubilized in C8-C10 triglycerides, and a thickening agent, it will be understood that the fatty acid esters component of the formulation may be, e.g., MIGLYOL 812 or a similar product.

By way of further illustration, GELUCIRE 44/14 is generally described as lauroyl polyoxyl-32 glycerides, i.e., polyoxyethylene 32 lauric glycerides (which is a mixture of mono-, di-, and triesters of glycerol and mono- and diesters of PEGs) because the fatty acid composition is 30 to 50% lauric acid and smaller amounts of other fatty acids, e.g., up to 15% caprylic acid, up to 12% capric acid, up to 25% myristic acid, up to 25% palmitic acid, and up to 35% stearic acid. The product may also contain small amounts of non-esterified glycols. So, if an embodiment of this invention is described as comprising (or consisting essentially of) a capsule shell, estradiol solubilized in triglycerides, and a thickening agent that is a non-ionic surfactant comprising C8 to C18 fatty acid esters of glycerol and polyethylene glycol, it will be understood that the thickening agent component of the formulation may be, e.g., GELUCIRE 44/14 or a similar product.

Similarly, if an embodiment of this invention is described as comprising (or consisting essentially of) a capsule shell, estradiol solubilized in triglycerides, and a thickening agent that is a non-ionic surfactant comprising PEG-6 stearate, ethylene glycol palmitostearate, and PEG-32 stearate, it will be understood that the thickening agent component of the formulation may be, e.g., TEFOSE 63 or a similar product.

Mixtures of medium chain fatty acid glycerides, e.g., C6-C12, C8-C12, or C8-C10 fatty acid mono- and diglycerides or mono-, di-, and triglycerides are very well suited for dissolving estradiol; good results have been obtained with an oil that is predominantly a mixture of C8-C10 saturated fatty acid mono- and diglycerides. Longer chain glycerides appear to be not as well suited for dissolution of estradiol.

High solubility of estradiol has been obtained in 2-(2-Ethoxyethoxy)ethanol, e.g., TRANSCUTOL and in Propylene glycol monocaprylate, e.g., Capryol™ 90 (Gattefosse).

In various embodiments, the solubilizing agent is selected from at least one of a solvent or co-solvent. Suitable solvents

12

and co-solvents include any mono-, di- or triglyceride and glycols, and combinations thereof.

In addition, other solubilizers include, for example and without limitation, glyceryl mono- and di-caprylates, propylene glycol and 1,2,3-propanetriol (glycerol, glycerin, glycerine).

Illustrative Drug Product(s)

Through extensive trial-and-error testing of various fatty acid esters of glycerol and other glycols, embodiments of the invention have been invented that have one or more favorable characteristics for development as a human drug product. Such favorable characteristics include, e.g., lack of or reduction of irritation relative to otherwise similar formulations, lack of or reduction in vaginal discharge of drug product relative to otherwise similar formulations, lack of or reduction of drug product residue inside the vagina, etc. Non-irritating formulations are formulations that in most uses in most patients, when used as prescribed, does not cause pain, soreness, swelling or irritation inside the vagina such that most patients do not go off treatment prior to completion of a prescribed course of therapy. Non-irritating formulations are also formulations that are non-irritating, as described in the preceding sentence, relative to competing products such as tablets, creams, or other intravaginal estrogen delivery forms. Such illustrative drug products are also easily self-administered by a patient in any position by inserting the encapsulated formulation digitally approximately 2 inches into her vagina without a need for an applicator and with minimal to no corresponding discharge.

Formulations that do not create a residue are formulations that are absorbed and/or dispersed without resulting in particulates or other unpleasant remains of non-absorbed or non-dispersed drug product. Again, lack of residue can be relative to competing products.

Formulations that do not discharge from the vagina are formulations that do not flow or drip out of the vagina. Again, lack of discharge can be relative to competing products.

Such embodiments include an encapsulated liquid pharmaceutical formulation for intra-vaginal delivery of estradiol, said formulation comprising estradiol that is at least about 90% solubilized in one or more C6 to C14 fatty acid mono-, di-, or triesters of glycerol and a thickening agent.

A more specific such embodiment is such formulation wherein the estradiol is solubilized (e.g., >90% solubilized) in one or more C6 to C12 fatty acid mono-, di-, or triesters of glycerol, e.g., one or more C6 to C14 triglycerides, e.g., one or more C6 to C12 triglycerides, such as one or more C8-C10 triglycerides.

In such general and more specific embodiments, the thickening agent can be a non-ionic surfactant, e.g., a polyethylene glycol saturated or unsaturated fatty acid ester or diester. In certain such embodiments, the non-ionic surfactant comprises a polyethylene glycol long chain (C16-C20) fatty acid ester and further comprises an ethylene glycol long chain fatty acid ester, such as PEG-fatty acid esters or diesters of saturated or unsaturated C16-C18 fatty acids, e.g., oleic, lauric, palmitic, and stearic acids. In certain such embodiments, the non-ionic surfactant comprises a polyethylene glycol long chain saturated fatty acid ester and further comprises an ethylene glycol long chain saturated fatty acid ester, such as PEG- and ethylene glycol-fatty acid esters of saturated C16-C18 fatty acids, e.g., palmitic and stearic acids. Such non-ionic surfactant can comprise PEG-6 stearate, ethylene glycol palmitostearate, and PEG-32 stearate, such as but not limited to TEFOSE 63.

US 10,888,516 B2

13

In certain such embodiments, the non-ionic surfactant employed as the thickening agent is not hydrophilic and has good emulsion properties. An illustrative example of such surfactant is TEFOSE 63, which has a HLB value of about 9-10.

As noted above, such formulations are liquid at room temperature, not gels, hard fats, or any other solid form. The thickening agent serves to increase viscosity, e.g., up to 10,000 cP (10,000 mPa-s), typically to no more than 5000 cP, and more typically to between 50 and 1000 cP. In some such embodiments, the non-ionic surfactant, e.g., GELUCIRE or TEFOSE, may be solid at room temperature and require melting to effect mixing with the estradiol solubilized in fatty acid-glycol esters but the resultant formulation is advantageously liquid, not solid.

The formulation of such embodiments is typically encapsulated in a soft gelatin capsule or other soft capsule.

Typically, such formulations do not comprise a bioadhesive (i.e., mucoadhesive) agent, a gelling agent, or a dispersing agent, or, at least, do not comprise one or two of such components.

In more specific such formulations, the capsule shell, the active pharmaceutical ingredient, the fatty acid esters and the thickening agent are the only essential ingredients. Non-essential ingredients, e.g., colorants, antioxidants or other preservatives, etc., may, of course, be included but other ingredients in amounts that would materially change the solubility of the estradiol, the PK of the encapsulated formulation, the irritancy, vaginal discharge, intravaginal residue, etc., e.g., other oils or fatty acid esters, lecithin, muco-adherent agents, gelling agents, dispersing agents, or the like would not be included. Such embodiments of the invention may be described as consisting essentially of the capsule shell, the active pharmaceutical ingredient, the fatty acid esters and the thickening agent, as described in the immediately preceding paragraphs describing illustrative embodiments discovered to have favorable characteristics.

As an example of such embodiments discovered to have such favorable characteristics is mentioned the product identified in Example 3, below, as "Trial 5".

EXAMPLES

In various embodiments, a vehicle system is created by dissolving an active pharmaceutical ingredient (e.g., estradiol) in one or more pharmaceutically acceptable solubiliz-

14

ing agents. A vehicle system may then be combined with a gel mass to create a final formulation suitable for use in, for example, a vaginal suppository. In that regard, in various embodiments, one or more vehicle systems may be combined with one or more gel masses. Other excipients may also be included in the vehicle system in various embodiments.

Example 1

Formulation: Vehicle System

In various embodiments, estradiol active pharmaceutical ingredient is procured and combined with one or more pharmaceutically acceptable solubilizing agents. Estradiol may be in micronized form or non-micronized form. In various embodiments, the final formulation comprises estradiol in a dosage strength of from about 1 mcg to about 25 mcg.

Estradiol is combined with various pharmaceutically acceptable solubilizing agents in various embodiments. As described above, CAPMUL MCM, MIGLYOL 812, GELUCIRE 39/01, GELUCIRE 43/01, GELUCIRE 50/13, and TEFOSE 63 (may, alone or in various combinations, be used as a pharmaceutically acceptable solubilizing agent in connection with estradiol.

Solubility of estradiol may affect final formulation stability and uniformity, so care should be taken when selecting an appropriate vehicle system. It is noted that surfactants are typically amphiphilic molecules that contain both hydrophilic and lipophilic groups. A hydrophilic-lipophilic balance ("HLB") number is used as a measure of the ratio of these groups. It is a value between 0 and 20 which defines the affinity of a surfactant for water or oil. HLB numbers are calculated for nonionic surfactants, and these surfactants have numbers ranging from 0-20. HLB numbers >10 have an affinity for water (hydrophilic) and number <10 have an affinity of oil (lipophilic).

In that regard, GELUCIRE 39/01 and GELUCIRE 43/01 each have an HLB value of 1. GELUCIRE 50/13 has an HLB value of 13. TEFOSE 63 has an HLB value of between 9 and 10.

Various combinations of pharmaceutically acceptable solubilizing agents were combined with estradiol and examined. TABLE 1 contains the results. TABLE 1 contains the following abbreviations: CAPMUL MCM ("MCM"), GELUCIRE 39/01 ("39/01"), GELUCIRE 43/01 ("43/01"), GELUCIRE 50/13 ("50/13"), and TEFOSE ("Tefose 63").

TABLE 1

Vehicle # system	Ratio	Physical state @ Room Temperature	Physical State @ 37° C. after ~30 minutes	Viscosity cps	Melting Time @ 37° C.	Dispersion in water 37° C.
1 MCM:39/01	8:2	Solid	Clear liquid	50@37° C.	Start: 6 min Finish: 12 min	Small oil drops on top
2 MCM:39/01	7:3	Solid	Clear liquid		Start: 9 min Finish: 19 min	
3 MCM:39/01	6:4	Solid	Clear liquid		Start: 20 min Finish: 32 min	
4 MCM:43/01	8:2	Solid	Liquid with solid particles			
5 MCM:43/01	7:3	Solid	Liquid with solid particles			

US 10,888,516 B2

15

16

TABLE 1-continued

Vehicle # system	Ratio	Physical state @ Room Temperature	Physical State @ 37° C. after ~30 minutes	Viscosity cps	Melting Time @ 37° C.	Dispersion in water 37° C.
6 MCM:50/13	9:1	Liquid/cloudy	Liquid/cloudy	140@25° C.	Clear after 20 min	Uniformly cloudy dispersion
7 MCM:50/13	8:2	Liquid/cloudy	Liquid/cloudy	190@25° C.		Uniformly cloudy dispersion
8 MCM:50/13	7:3	Semisolid	Semisolid			
9 MCM:TEFOSE 63	9:1	Semisolid	Liquid/cloudy	150@25° C.	Start: 1 min Finish: 5 min	Uniformly cloudy dispersion
10 MCM:TEFOSE 63	7:3	Semisolid	Semisolid	240@25° C.		Uniformly cloudy dispersion
11 MCM:TEFOSE 63	8:2	Semisolid	Semisolid	380@25° C.	Semisolid after 30 min at 37° C., doesn't melt at 41° C.	Uniformly cloudy dispersion
12 MIGLYOL 812: 50/13	9:1	Semisolid	Semisolid	140@25° C.		2 phases, oil on top
13 MIGLYOL 812: TEFOSE 63	9:1	Liquid/cloudy	Liquid/cloudy	90@25° C.	Start: 1 min Finish: 5 min	2 phases, oil on top

Vehicle systems in TABLE 1 that were liquid or semisolid at room temperature were tested using a Brookfield viscometer (Brookfield Engineering Laboratories, Middleboro, Mass.) at room temperature. Vehicle systems appearing in TABLE 1 that were solid at ambient temperature were tested using a Brookfield viscometer at 37° C.

Vehicle systems appearing in TABLE 1 that were solid were placed at 37° C. to assess their melting characteristics. The results are in TABLE 1. It is noted that vehicle system 11 in TABLE 1 did not melt at 37° C. or 41° C.

A dispersion assessment of the vehicle systems appearing in TABLE 1 was performed. The dispersion assessment was performed by transferring 300 mg of each vehicle system in 100 ml of 37° C. water, without agitation, and observing for mixing characteristics.

Example 2

Formulation: Gel Mass

In various embodiments, a vehicle system may be combined with a gel mass. A gel mass may comprise, for example, gelatin (e.g., Gelatin, NF (150 Bloom, Type B)), hydrolyzed collagen (e.g., GELITA®, GELITA AG, Eberbach, Germany), glycerin, SORBITOL SPECIAL® (a sorbitan-sorbitol based soft gel plasticizer), and/or other suitable materials in varying proportions. SORBITOL SPECIAL® may be obtained commercially and may tend to act as a plasticizer and humectant.

Gel masses A through F were prepared according to the formulations in TABLE 2. Gel masses A through F differ in the proportion of one or more components, for example.

TABLE 2

Ingredient	Gel A % w/w	Gel B % w/w	Gel C % w/w	Gel D % w/w	Gel E % w/w	Gel F % w/w
Gelatin, NF (150 Bloom, Type B)	41.0	41.0	41.0	41.0	43.0	43.0
Glycerin 99.7%, USP	6.0	6.0	6.0	6.0	18.0	18.0
Sorbitol Special, USP	15.0	15.0	15.0	15.0		
GELITA (hydrolyzed collagen)	3				3.0	
Citric acid		0.1	0.5	1		0.1
Purified Water	35.0	37.9	37.5	37.0	36.0	38.9
Total	100.0	100.0	100.0	100.0	100.0	100.0
Dissolution gel strips, Avg of 3 (500 ml DH2O, 50 rpm @ 37° C.)	48 min (42, 45, 58)	50 min (50, 51, 50)	75 min (76, 75, 74)	70 min (70, 71, 70)		
Dissolution gel strips, Avg of 3 (500 ml pH 4 buffer, 50 rpm @ 37° C.)	70 min				72 min	82 min
					84 min	

US 10,888,516 B2

17

Each gel mass A through F was prepared at a temperature range from about 45° C. to about 85° C. Each molten gelatin mass A through F was cast into a film, dried and cut into strips. The strips were cut into uniform pieces weighing about 0.5 g, with about 0.5 mm thickness. Strips were placed into a USP Type 2 dissolution vessel in either water or pH 4 buffer solution and the time for them to completely dissolve was recorded and listed in TABLE 2. It is noted that gel mass A has the fastest dissolution in both water and pH 4 buffer solution.

Example 3

Formulation: Final Formulation and Encapsulation

Various combinations of vehicle systems from TABLE 1 and gel masses from TABLE 2 were prepared. The combinations are shown in TABLE 3.

TABLE 3

Trial	Vehicle system	Ratio	Batch Size g	Gel
1	MCM:39/01	8:2	750	A
2	MCM:50/13	8:2	750	A
3	MCM:TEFOSE 63	8:2	750	A
4	MCM:TEFOSE 63	8:2	750	B
5	MIGLYOL 812: TEFOSE 63	9:1	750	A

Estradiol was combined with each vehicle system so that about 10 mcg of estradiol was contained within 300 mg of each vehicle system. Batch size was as listed in TABLE 3. To encapsulate the vehicle system, each 300 mg of vehicle system was combined with about 200 mg of the listed gel mass. Thus, for example, in Trial 1, MCM:39/01 in an 8:2 ratio was combined with gel A and 10 mcg of estradiol. In each final dosage, Trial 1 comprised 300 mg of vehicle system, 200 mg of gel mass and 10 mcg of estradiol. It should be noted, however, that in various embodiments the total mass of vehicle system, gel mass, and estradiol may be from about 100 mg to about 1000 mg.

Each combination of vehicle system, estradiol, and gel mass may be suitable for use in, for example, a vaginal suppository.

Example 4

Estradiol Solubility

In various experiments, suitable solvents were determined for providing sufficient solubility to make 2 mg of estradiol in a 100 mg fill mass, with a desired goal of achieving ~20 mg/g solubility for estradiol. Initial solubility experiments were done by mixing estradiol with various solvents, saturate the solution with the estradiol, equilibrate for at least 3 days and filter the un-dissolved particles and analyzing the clear supernatant for the amount of estradiol dissolved by HPLC.

Estradiol solubility experiments were performed. From this list at least one item (e.g. propylene glycol) is known to be unsuitable for encapsulation.

TABLE 4

Ingredient	Solubility (mg/g)
PEG 400	105*
Propylene Glycol	75*

18

TABLE 4-continued

Ingredient	Solubility (mg/g)
Polysorbate 80	36*
TRANSCUTOL HP	141
CAPMUL PG8	31.2

*Literature reference—Sable, E.G. (1987) The Physicochemical Properties of Oestradiol, J Pharm and Biomed Analysis, 5, 635-640

In further solubility studies, estradiol was soluble at least 6 mg/gm MIGLYOL TRANSCUTOL in ratios of 81:19 to 95:5, in MIGLYOL; ethanol at 91:11, and in MIGLYOL-CAPMUL PG8 at 88:11, but not in MIGLYOLTRANSCUTOL at 96:4, MIGLYOLLabrasol at 70:30 to 80:20, or MIGLYOLCAPMUL PG8 at 86:14.

Example 5

Process

With reference to FIG. 1, a method of making a fill material 100 is shown. Step 102 comprises heating a solubilizing agent to 40° C.±5° C. Heating may be accomplished through any suitable means. The heating may be performed in any suitable vessel, such as a stainless steel vessel. The solubilizing agent may be any such solubilizing agent described herein, for example, CAPMUL MCM.

Step 104 comprises mixing GELUCIRE with the solubilizing agent. As used herein, any form of GELUCIRE may be used in step 104. For example, one or more of GELUCIRE 39/01, GELUCIRE 43/01, GELUCIRE 50/13, may be used in step 104. Mixing may be facilitated by an impeller, agitator, or other suitable means. Step 104 may be performed under an inert or relatively inert gas atmosphere, such as nitrogen gas. Mixing may be performed in any suitable vessel, such as a stainless steel vessel.

Step 106 comprises mixing estradiol into the mixture of the solubilizing agent and GELUCIRE. The estradiol may be mixed in micronized or nonmicronized form. Mixing may occur in a steel tank or other acceptable container. Mixing may be facilitated by an impeller, agitator, or other suitable means. Step 106 may be performed under an inert or relatively inert gas atmosphere, such as nitrogen gas. In various embodiments, however, the addition of estradiol may be performed prior to step 104. In that regard, in various embodiments, step 106 is performed prior to step 104.

Step 110 comprises preparing the gel mass. Any of the gel masses described herein may be used in step 110. In that regard, gelatin (e.g., Gelatin, NF (150 Bloom, Type B)), hydrolyzed collagen, glycerin, and/or other suitable materials may be combined at a temperature range from about 45° C. to about 85° C. and prepared as a film. Mixing may occur in a steel tank or other acceptable container. Mixing may be facilitated by an impeller, agitator, or other suitable means. Step 110 may be performed under an inert or relatively inert gas atmosphere, such as nitrogen gas. Step 112 comprises degassing. The resulting mixture from step 112 may comprise a fill material suitable for production into a softgel capsule.

In step 112, a soft gel capsule is prepared by combining the material obtained in step 106 with the gel mass of step 110. The gel film may be wrapped around the material, partially or fully encapsulating it. The gel film may also be formed or otherwise filled with the material obtained in step 106.

Step 112 may be performed in a suitable die to provide a desired shape. Vaginal soft gel capsules may be prepared in a variety of geometries. For example, vaginal soft gel

US 10,888,516 B2

19

capsules may be shaped as a tear drop, a cone with frusto-conical end, a cylinder, a cylinder with larger “cap” portion, or other shapes suitable for insertion into the vagina. Vaginal soft gel capsules in accordance with various embodiments may or may not be used in connection with an applicator.

It will be apparent to those skilled in the art that various modifications and variations can be made in the present disclosure without departing from the spirit or scope of the disclosure. Thus, it is intended that the present disclosure cover the modifications and variations of this disclosure provided they come within the scope of the appended claims and their equivalents.

Likewise, numerous characteristics and advantages have been set forth in the preceding description, including various alternatives together with details of the structure and function of the devices and/or methods. This disclosure is intended as illustrative only and as such is not intended to be exhaustive. It will be evident to those skilled in the art that various modifications may be made, especially in matters of structure, materials, elements, components, shape, size and arrangement of parts including combinations within the principles of the disclosure, to the full extent indicated by the broad general meaning of the terms in which the appended claims are expressed. To the extent that these various modifications do not depart from the spirit and scope of the appended claims, they are intended to be encompassed therein.

What is claimed is:

1. A method of treating a symptom of vulvovaginal atrophy in a female human patient in need thereof, the method comprising administering to the female human an estradiol-containing soft gelatin capsule intravaginally once daily for 14 days and one capsule twice weekly thereafter, the estradiol-containing soft gelatin capsule comprising a gelatin shell surrounding an estradiol-containing liquid fill material, the estradiol-containing liquid fill material comprising one or more C6 to C14 fatty acid mono-, di-, or triesters of glycerol and 1 to 10 mcg of estradiol, wherein the estradiol-containing liquid fill material has a viscosity of between 50 to 1000 cP as measured at 25° C.

wherein:

administering the estradiol-containing soft gelatin capsule intravaginally to the female human in need thereof provides an estradiol AUC and estradiol C_{max} that are each 80% to 125% of the estradiol AUC and estradiol C_{max} obtained upon intravaginal administration of a reference soft gelatin capsule in a reference human female patient, the reference soft gelatin capsule consisting of a soft gelatin shell and a reference liquid fill material within the soft gelatin shell, wherein the reference liquid fill material consists of approximately 270 mg of a C8 to C10 triglyceride composition containing at least about 80 percent by weight of a mixture of caprylic acid and capric acid; approximately 30 mg of a surfactant containing a mixture of PEG-6 stearate, PEG-32 stearate, and ethylene glycol palmitostearate; and

20

1 to 10 mcg of estradiol further wherein the reference liquid fill material has a viscosity of between 50 to 1000 cP as measured at 25° C.

2. The method of claim 1, wherein the estradiol-containing fill material further comprises a nonionic surfactant.

3. The method of claim 2, wherein the nonionic surfactant comprises a mixture of PEG-6 stearate, PEG-32 stearate, and ethylene glycol palmitostearate.

4. The method of claim 1, wherein the symptom of vulvovaginal atrophy is dyspareunia.

5. The method of claim 1 wherein each capsule disintegrates in the patient's vagina leaving no capsule residue.

6. The method of claim 1, wherein the estradiol-containing soft gelatin capsule and the reference soft gelatin capsule have substantially similar shapes and sizes.

7. The method of claim 6, wherein the estradiol-containing soft gelatin capsule and the reference soft gelatin capsule have identical shapes and sizes.

8. The method of claim 7, wherein the estradiol-containing soft gelatin capsule is shaped with a wider bottom portion and a narrower top portion.

9. The method of claim 7, wherein the estradiol-containing soft gelatin capsule is in the shape of a tear drop, a cone with a frustoconical end, or a cylinder with a wider cap portion.

10. The method of claim 2, wherein the nonionic surfactant has an HLB value of or less than about 10.

11. The method of claim 2, wherein the nonionic surfactant has an HLB value of about 9 to about 10.

12. The method of claim 2, wherein the nonionic surfactant comprises PEG-6 stearate, PEG-32 stearate, and ethylene glycol palmitostearate.

13. The method of claim 1, wherein the gelatin shell of the estradiol-containing soft gelatin capsule comprises 150 bloom, Type B gelatin, hydrolyzed collagen, and glycerin.

14. The method of claim 13, wherein the gelatin shell further comprises a sorbitan-sorbitol based soft gel plasticizer.

15. The method of claim 1, wherein the estradiol-fill containing material has a mass of about 300 mg.

16. The method of claim 1, wherein the estradiol-containing soft gelatin capsule has an interior wall surface defining an inner space, wherein a maximal length defined by two points on opposing sides of the interior wall surface is about 0.69 inches.

17. The method of claim 1, wherein the estradiol-containing soft gelatin capsule has an interior wall surface defining an inner space and an exterior wall surface disposed over the interior wall surface defining a thickness, wherein the thickness measured perpendicular to the interior wall surface and the exterior wall surface is about 0.108 inches.

18. The method of claim 2, wherein the estradiol-containing fill material comprises a 9:1 ratio of one or more C6 to C14 fatty acid mono-, di-, or triesters of glycerol to nonionic surfactant.

19. The method of claim 1, wherein administering the reference soft gelatin capsule does not substantially raise circulating estradiol blood levels of the patient following administration.

* * * * *