

# Summary Compilation: Advanced Computational Approaches for Medical Resource Scheduling

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## WORKFLOW RECORDS

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I, Oleksii Dovhaniuk, confirm that the work presented in this essay is my own. Where information has been derived from other sources, I confirm that this has been indicated in the work.

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## Chapter 1

# Compilation

## 1.1 SR01US23

### 1.1.1 Meta

**Title:** AI for patient scheduling in the real-world health care setting: A metanarrative review

Rank	Grasp	Type	Outcome	Domain	COV19	CoI	DB	Prooved
5	90%	A	P	B	Yes	No	??	No

**Table 1.1:** Reference's metadata

### 1.1.2 Summary

Dacre Knight et al. [1] conducted a metanarrative literature review for Artificial Intelligence and Machine Learning technologies implemented in healthcare. The researchers define three types of studies: pre-pilot, pilot and implemented. Major databases were searched on August 14, 2020, and only the publications of the third type were selected for deeper review. The review paper highlights the advantages and obstacles of using AI technologies in healthcare. The authors consider their work's limitations and outline future research directions.

### 1.1.3 Notes

- Studies split into three stages: pre-pilot, pilot, implementation;
- 11 implemented works;
- general statements, low-on-insights review;
- 2 reviewers + consultant investigator

### 1.1.4 Reading

**Title page:** Metadata of the paper: title, authors, PII, DOI, Reference, Jurnal: Health Policy and Technology, citation, remark about possible editing during the publication process

**Page 1:** Authors affiliation details + Reprints

**Page 2:** More metadata: keywords, conflict of interest, no funding, no ethical approval required, technical content details, short title: AI for Patient Scheduling,

highlights: 4 highlights about possibility and high potential of an AI in the healthcare scheduling.

**Page 3:** Objectives: The artificial intelligence and machine learning approaches are uncharted territory in the optimal scheduling.

Methods: The authors use systematic review of publications starting from August 2020. The reviews of literature were conducted by two independent specialists per each article.

Results: Areas of AI application are: double-booking, missed appointment risk, wait time, disease-type matching performance, scheduling efficiency, examination length prediction, and surgical operation time.

Conclusions: Prooved the AI competence and found new ravenues for development

**Page 4:** Public Interest Summary: AI valuable asset which is shown in this literature review update.

**Page 5:** The same hihglights that before

**Page 6:** Abbreviations - AI, ML, Operation Room

**Page 7:** Here is the introduction of the paper where the financial aspects are alligned with the healthcare management efficiency and how the AI/ ML technologies can enhance this efficiency.

**Page 8:** Wrap up of the introduction where the authors hihlight versatility of the AI approaches used for reducing healthcare costs and optimising the workflow of the medical services. Also it is mentioned that not only benefits of the AI is in focus of this research but also obsticalse which may arise by utilising AI technology.

Begining Methods section: metanarrative following RAMESES guidances (6)

**Page 9:** The authors separates three types of studies based on the stage of the study (pilot study, solution testing, and actual application). In the review the only 3rd type publications are accepted into the review. Also in the literature search section, the used databases of materials are listed together with teir years of work.

**Page 10:** Date of the search is August 14, 2020 and the full search is available in the Supplenental Material.

Data Screening and Extraction  $\approx$  Data Analysis (start): two reviewers study selection – > 3rd senior investigator to resolve the conflicts – > data extraction (approach, stakeholder impact). descriptive statistics, no quantitative pooling (no metaanalysis)

**Page 11:** 3,415 studies in search – > 261 full review – > 11 real world studies. 8 countries (US, China, Switzerland, Singapore, India, Iran, Austria, and Finland). Due to difference of application studies have different requirements for datasets.

**Page 12:** The authors used Risk of Bias in Non-randomized Studies and the Cochrane risk-of-bias tools. Also the various scheduling strategies were highlighted here.

**Page 13:** There are mostly objectives regarding patients appointments and some also include cancellations/ no-show risk, resource allocation, daily demand, and physician-to-patient matching. Next there is multiple results from the reviewed studies.

**Page 14:** More specific cases with improvements.

**Page 15:** Healthcare costs in USA increased by 4% from 1980 requiring more efficient approaches of hospital management, and AI/ ML technology can provide this efficiency.

**Page 16:** Regression models and Markov algorithm predict no-show appointments. Patient scheduling is a multi-objective task. Nevertheless, the interest in AI is growing. (+lack of healthcare records +bias, +uncertainties)

**Page 17:** There are great benefits from AI in healthcare, including help in time of the COVID19 pandemic. The authors predict that AI will occupy valuable place in healthcare in the future, but for now it is important to analyse its capabilities.

**Page 18:** The contributors acknowledge the cons of the research, pointing out small number of selected publications with real world implementations that chosen studies are not recent. Inpatients in 1 of 11 publications. AI requires quality control.

**Page 19:** Evaluating the ML model biases and tracking progress of the technology. Conclusion: AI requires more enhancements for the actual application, review is presented, general future investigations.

## 1.2 SR02US22

### 1.2.1 Meta

**Title:** Current Trends in Operating Room Scheduling 2015 to 2020: a Literature Review

Rank	Grasp	Type	Outcome	Domain	COV19	CoI	DB	Prooved
5	95%	A	P	S	No	No	Has	Yes

**Table 1.2:** Reference's metadata

### 1.2.2 Summary

Sean Harris and David Claudio [2] conducted literature on current operating room scheduling trends from 2015 to 2020. This literature review updates knowledge about new studies continuing the three previous reviews. The authors also introduced new categories and metrics for structuring and analysing the findings. The categories were evaluated individually by complexity criteria, and at the end, the collective average complexity of the research works was presented. The research focuses mainly on the Operating Room Scheduling problem and less on the proposed solutions. Sean Harris and David Claudio underline the most promising future scheduler development directions. The emphasis is placed on the geographic location for the generalisation research and on the need for more practical implementations of the scheduling models.

### 1.2.3 Notes

- Cascade of literature reviews from 2000 to 2020;
- The geographical location by hospital or the first affiliation;
- Models generalisation from one country to another (urban to rural);
- Leeftink and Hans (153) dataset;
- Systematic textng and validation (32, 19, 230)
- Look into the next studies: 1, 8, 12, 231, 262;

- (thoughts) statistics by researchers in the field;
- (thoughts) geographical locations by countries and/ or cities;

### 1.2.4 Reading

**Page 1:** The abstract presents the papers as literature review based on the previous review studies in the field of operating room (OR) scheduling up to 2014. The current paper reviews 246 from 2015 to 2020 and underlines the next tendencies: the number of publications has grown in comparison with previous years, the development continues across all categories, and there is still unsufficient number of practical implementations of the schedulers. OT is the most valuable financial asset in hospitals, and it is possible to solve the OT scheduling problem from multiple approaches.

**Page 2:** There is multiple benefits from conducting a literature review: organise available materials, points toward uncharted territories, and provides common guidance for newcomers. The current literature review is built upon three previous reviews by following classification, but there are some works which do not follow the framed classifications.

**Page 3:** There are further extensions of the classification system: +location, +OR research frequency, two new subcategories in waiting time constraint, +planning horizon, +scheduling policy

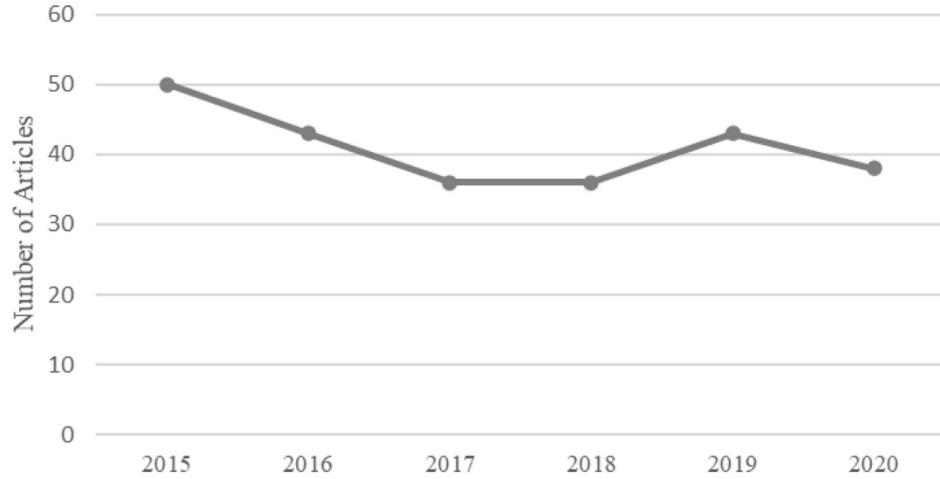
**Table 1** Recent Literature Review Article Coverage

Review	Years Covered	# Articles Reviewed	# Articles from 2015 to 2020
Cardoen et al. [1]	2000–2009	247	–
Demeulemeester et al. [4]	2000–2010	136	–
Samudra et al. [5]	2004–2014	216	–
Zhu et al. [8]	1950–2018	315*	52
Rahimi and Gandomi [9]	2000–2019	150**	70
<b>This review</b>	<b>2015–2020</b>	<b>246</b>	<b>246</b>

\*Not every article is classified into each of the categories; select articles are classified and used to illustrate trends; \*\*Scientometric review focusing on modeling and optimization techniques

**Figure 1.1:** Previous literature reviews from [2].

**Page 4:** There is replicated search strategy from the previous literature review considering only English and two major databases Web of Science and PubMed.



**Figure 1.2:** Number of articles per year [2].

**Page 5:** Sean Harris and David Claudio introduces complexity score for every category. Patients classification of elective and non-elective, inpatients and outpatients. If models do not use in-/outpatients classification then it is classified as general elective case. Non-elective cases can be categorised as emergent (up to 1 hour), urgent (up to 1 day), or general. 241 of 246 papers consider elective cases alone. From 2015 to 2020 the number of papers with clear separation of outpatients and inpatients decreased. Non-elective patient is a challenge for scheduling.

**Page 6:** There are two solutions to emergent cases: just go ahead with emergent-first; brack-in method (231). Proper schedulers evaluation is not possible due to absence of general scheduling policy. Dedicated, shared, and hybrid OR policies are considered for non-elective cases.

**Page 7:** Most researchers assume that there is dedicated emergent OR. The patient's complexity scores 1 is there is elective and non-elective cases and 0 otherwise. The OR policies is still a debatable topic.

**Page 8:** There are diverse objectives for each of the participation in healthcare services: patients, stakeholders, managers, and medical personnel. Two new terms: waiting time-number of days and waiting time-within day. Financial objectives are usually competing (cancellation < – > overtime). Overtime not always mean

overutilisation. And overall performance values have been improved from 2015 to 2020.

**Page 9:** Some constraint measures are more likely to be selected with one another than others which is visualised in tables. Complexity score for two objectives is 0.5 and for more than two objectives - 1. There are positive trends in direction of staff satisfaction.

**Page 10:** The authors state that the number of objective measurement will increase in future studies. The next three decision levels are usually considered: Case-mix planning (strategic = long), master Surgery planning (MSP = MSS = tactical = medium = 1 week), Patient scheduling (operational = short). In addition, there are three scheduling policies: block (allocation scheduling = defining start time), open (FIFO = FCFS), and modified block. The alternative way of analysing the decision aspect of the scheduling is by specialty, surgeon, and patient. The most popular is still patient-level planning.

**Page 11:** There are papers which consider multiple levels of decision-making at once (12, 262). Some exotic works propose solutions for OR scheduling problem and vehicle routine problem. The various planning horizons are picked for scheduling including varying horizons.

**Page 12:** The planning horizon is not always assigned explicitly. Some researchers work on dynamic scheduling but many more on rescheduling strategies which allows have idea of required capacity on weeks ahead and then more concrete scheduling in one/ two days prior to the surgery day.

**Page 13:** Additional duration is online scheduling (on-the-fly decision-making). There is developed terminology by (1) which is good to follow.

**Page 14:** Upstream/ Downstream Units introduce new level of complexity to the scheduling model: hardship to generalise the research and increase scheduling time, but rewards with more applicability of the solution. From 2000 to 2014 around 50% of papers studies include at least one of the units. Most researchers select downstream unit over upstream. Medical equipment as well as sterilization processing department became popular objectives of the scheduling problem.

**Page 15:** The ICU models are unpredictable, thus use stochastic approaches. Incorporating turnover time is a usual practice. The authros sugest increase in investigation uncommon upstream and downstream units.

**Page 16:** In general, from 50% to 60% of studies incorporate uncertainties. The most common is operation duration with is good trend that should remain. Sean Harris and David Claudio also suggest to improve research in the area of rescheduling. The solution methods are ordered by frequency: mathematical models, simulation approaches, methaheuristics (60%-30% 23%).

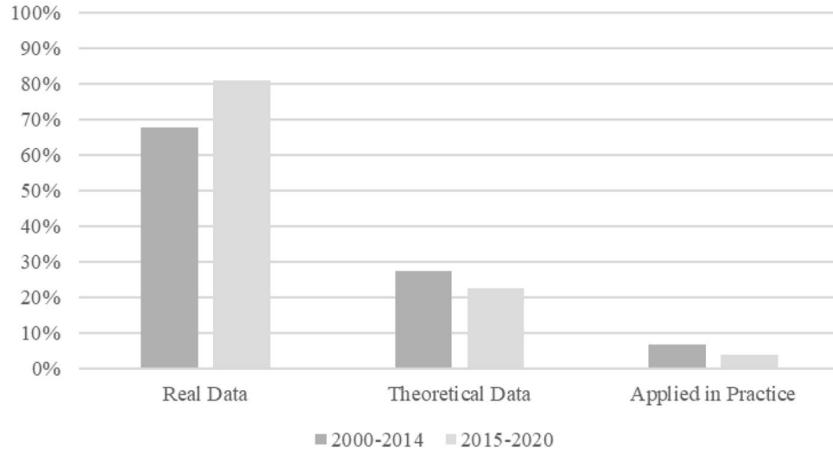
**Page 16:** The research methods are not easaly classified. The heuristics reduce scheduling time in cost of 0 to 10% of optimality gap.

**Page 17:** In the gap between 2015 and 2020 the papers with simulation optimisation solutions begone to appear. MIP – > goal programming. Simulation optimisation, hybrit simulation, heuristics, and goal programming are promissing and suggested scheduling approaches.

**Page 18:** Future reviews should adress the scheduling methods classifications. Healthcare requires practical validation of the scheduler work. The use of real data increased to 7% which showcases the increase and availability of healthcare records and enphacise the vast room for imptovement.

**Page 19:** The number of implemented models from 2015 to 2020 is reduced. The level of details in research workflow is increased and the investigators benefit from interviews with medical personnel.

**Page 20:** Systematic textng and validation (32, 19, 230).



**Figure 1.3:** Testing and application from [2].

**Page 21:** Future is in generalisable findings. Location critaria is taken into account for studies with real data (Leeftink and Hans (153) does not count). USA and China are the most common origins of the OR scheduling research. Most of US is in Mayo Clinic or the Northeastern and Midwestern part of the country. In Europe the leading position is in Italy.

**Page 22:** Using location cretatio opens new perspective in the literature review analysis.



**Figure 1.4:** Geographic Map of Article Locations and Frequency from [2].

	Patient Waiting Time	Overtime	OR Utilization	Financial	Throughput	Makespan	Deferral
USA	0.91	1.39	0.46	<b>1.79</b>	0.73	0.70	0.49
China	0.76	1.05	0.75	<b>1.91</b>	0.19	1.37	0.32
Italy	1.52	0.57	2.09	0.55	<b>3.43</b>	0.00	0.63
Iran	1.09	1.63	0.64	0.20	0.27	1.30	<b>1.80</b>
Belgium	1.09	0.41	0.00	0.59	<b>1.63</b>	0.98	0.00
Germany	0.53	2.11	1.51	1.80	0.00	0.00	<b>5.72</b>
Netherlands	1.59	2.11	2.26	0.90	<b>3.35</b>	0.00	1.91
Spain	3.19	0.00	<b>3.77</b>	0.00	2.23	0.00	0.00
Portugal	1.06	0.00	2.26	0.00	<b>5.59</b>	0.00	0.00

**Figure 1.5:** Ratio of Actual/Expected Occurrence of PM by Country from [2].

**Conclusions:** Review on 246 studies from 2015 to 2020 was conducted. Patient type is consistant and future works are in direction of non-elective cases (centralised vs. deventralised). The tendency of multiple performance measures should continue. All decision delineations (dynamic scheduling, rescheduling and online scheduling) are continuing to be desirable areas of research. The not traditional upstream capacities could be considered for future research. Incorporating more uncertainty in OR schedulers. Research methodology lies in development of heuristics and the suggestion areas are simulation-optimisation and goal programming. More research is needed in testing and application. Innovative diracation is to consider generalisation from one geographic location to another. For the papers in the research the complexity score increases closer to 2020. The collective work shows its benefits, but the field remains scarce meaning the challenges are not easy to concore.

## 1.3 SP01GB23

### 1.3.1 Meta

**Title:** Machine learning models to predict surgical case duration compared to current industry standards: scoping review

Rank	Grasp	Type	Output	Domain	COV19	CoI	DB	PR	Fnd
5	94%	A	P	A	Yes	No	Yes	Yes	No

**Table 1.3:** Reference's metadata

### 1.3.2 Summary

Christopher Spence et al. [3] published a narrative literature review of machine learning models for predicting surgery durations and challenged the standardised methods in the industry with machine learning algorithm efficiency. The authors searched studies on the open source databases till July 28, 2023. From 2593 publications, only 14 were accepted by the authors for in-depth analysis. The current work clearly states the paper selection process with a graphical flow visualisation. The analysis of the ML studies includes comparing the dataset size, data management, hospital implementation, model efficiency, model complexity and some fundamental construction differences in ML models. In conclusion, the authors highlighted the superiority of the ML models over standardised approaches and, at the same time, the need for more concrete ways of implementing and generalising the ML solutions in hospitals and the existing challenges to the researchers in the field of surgery duration prediction.

### 1.3.3 Notes

- Libraries: PubMed, Embase, MEDLINE, ClinicalTrials.gov, and the Cochrane Central Register of Controlled Trials (CENTRAL);
- Frameworks: PRISMA, Arksey and O'Malley;
- Check out national audit office NAO for open data;
- What is gray literature search;

- Medical Subject Heading (MeSH);
- Oxford Centre of Evidence-Based Medicine (OCEBM);
- Sources of data: 11, 16, 18-25, 39-42;
- National database: 19, 20, 40;
- Superior study in spectrum of sample size and explanation - 24;
- Data source EHR;
- What is retrospective observational study?
- What is randomized control trial?
- Contains details comparison table;
- TRIPOD-AI (59)?
- Supplementary materials;

### **1.3.4 Reading**

**Abstract:** The 2019 pandemic brings challenges to the scene of healthcare management. The novel AI approaches have been implemented in more rate. There is a question, whether the artificial intelligence approaches can substitute the existing healthcare standards. The literature until July 2023 was selected and analysed. 13 of 14 studies (2593 articles) demonstrate that machine learning is better than existing standardised approaches. NN is superior to any other machine learning algorithm. The AI niche is surgery duration prediction, for more areas of application the further research is required.

**Objectives:** Compare the novel machine learning approaches for predicting surgical case duration to present industry standards.

**Page 1:** The consequences of COVID-19 almost doubled the number of patients in waiting lists requiring surgery in 2023 compared to 2020. The national audit office (NAO) estimates plus four and a half million of cases by March 2025. There are

mechanism to reduce waisted time. The empirical estimation of surgery duration by surgeons should be changed to more advanced approach to improve the operating theatre efficiency. There is no generalised solution. Here the authors introduce AI, ML, and DL.

<b>PICO criteria</b>	
Population	Patients undergoing an operation in any surgical speciality
Intervention/exposure	Use of AI-based model to predict case-time duration
Control/comparator	Surgeon estimated/mean of last 10 cases used to predict case-time durations
<b>Outcome(s)</b>	
Primary	To analyse the data from different AI models to understand if greater surgical case-time duration prediction is possible with AI models versus the current industry standards
Secondary	To establish whether there are efficiency benefits associated with the utilization of ML models in surgical block booking
Tertiary	To understand which models, and with which variables, provide the greatest improvement in case-time prediction

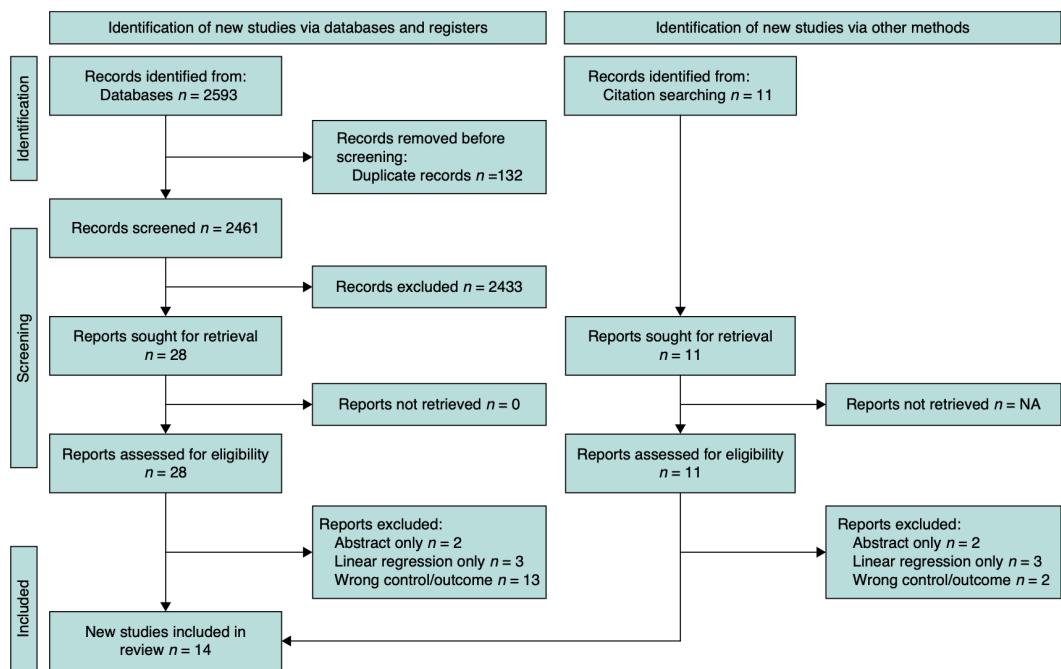
**Figure 1.6:** PICO framework from [3].

**Page 2:** DL has more than 4 layers. DL is promising direction for estimating the surgery duration, and it already has success in other healthcare scenarios. ML require accurate training dataset to produce efficient results. PRISMA protocol was developed for the literature scoping (can be accessed on request). Formulate research question: are AI approaches better? The search on each database to 28 July 2023. The titles and abstracts screened separately and disputes were settled by senior researcher.

**Page 3:** The data was extracted from the publications and structured using MS Excel v14. The evidence assessment is conducted with Oxford Centre of Evidence-Based Medicine (OCEBM). Since the meta-analysis is not feasible, the narrative

analysis was rendered instead. There are numerouse mathematical evaluational metrics for the literature resources. From 2593-initial-search result only 14 articles are fully following the requirements. Not all authors diclose their conflict of interests. The data management and documentation is not consistant throughout the studies. The explanation are more or less consistent with all 14 papers. 11 Studies are from USA and the last three are from Canada, Colombia, and taiwaan. Dataset sizes vary from 500 to 302,300. The depth of the input data starts from seven and goas up to >1500. There is only one work which is done an external valisation of the DL model. The variaty of machine learning techniques was used in the overviewed submissions.

**Page 4:** The factors with the most impact on the predictions are: surgery specialty, expert prediction, primary surgeon, patient weight, and average surgery duration. The all studies, with one exception, demonstrated comparison of multiple ML approaches. Efficiency savings are in the discussion section. Only one publication presented the time efficiency saving. The tree-based MLs show the most accurate predictions. The ML is not always worth then DL, but usually by increasing the training sample size, the DL eventually stay in lieder's position.



**Figure 1.7:** PRISMA diagram demonstrating the process of study selection, from screening to inclusion and the grey literature search (created using the online tool of Haddaway et al. (38)) from [3].

**Page 5:** The authors describe in more detail work by Jiao et. al. (19). The most common critarias:

- primary surgeon,
- historic average surgical duration,
- the experience of the surgeon,
- procedure name,
- the number the procedure lies within the list,
- type of anaesthesia,
- duration of the case,
- patient BMI,
- patient age,
- ASA score,
- patient sex,
- patient co-morbidities,
- anaesthesia provider (consultant/junior).

The clearing the medical records from redundant critarias helps reduce noise. Also, quality of the recording metters to the prediction outcome. ASA has lower importance than patient weight. Specific case of ML failure for correct prediction. The large predictions errors can significantly disrupt the hospital flow. Average OT costs in USA fluctuates from \$22 to \$133. The ML tend to ignore overruns in the surgery duration prediction. Abbas et al. (40) managed data in a way that provided generalise approach for the USA. The cleaning of datasets with missed fields have not been addressed in several studies. It is not enought to train on the dataset less then 1000, and large datasets is a must. There are numerous publications which are probably not generalisable.

**Page 6:** There is sparse number of ML implementations. There are only 14 accepted studies which may indicate challenge to conduct sufficient scientific report in this field. The implementation and maintenance of the ML models require coordination from parties with diverse background. The AI policy is not evolved enough. There are requirements for efficient ML usage, such as technical aspects and motivated human resources. Also the surgery duration prediction is not the only way of applying ML. Raising multiple general musts. The ML/DL are more optimal way of the surgery duration prediction, but there is not enough work done for proper injection of the technology into hospital's workflow. The authors provide the authors' contribution section.

## 1.4 SR01TN18

### 1.4.1 Meta

**Title:** Surgery case scheduling in a multistage operating room department: A literature review

Rank	Grasp	Grade	Type	Outcome	Domain	COV19	CoI	DB
5	80%	F	A	-	S	No	-	-

**Table 1.4:** Reference's metadata

### 1.4.2 Summary

Marwa Khalfalli demonstrated the work with an unclear structure and objectives. There are no supportive visuals in the text. The study is hard to read and comprehend due to the ever-changing narrative. The author presents an unknown principle of two-stage scheduling: the first stage is a surgery case allocation, and the second is sequential scheduling. **I do not recommend** using this paper as a guide for research.

### 1.4.3 Reading

**Abstract:** The operating theatre scheduling is a complex problem which involves medical personnel and other resources. The surgery case scheduling in a multistage operating room department is presented in the work.

**Page 1:** OR management is one of the most important spheres in a hospital. Two-step scheduling process includes allocation and sequencing of ORs. Two steps are considered as separate combinatorial problems. OR department consists of Public Health Unit (PHU), OR, and PACU. There are three operative phases.

**Page 2:** Intraoperative phase is the core of the surgery operation which requires multiple resources. In post-operative phase, the patient is transfert either to PACU or ICU. PACU may become a bottleneck of the surgery operation flow. ICU is closely connected to OR utilisation and patient satisfaction level. Further an example in the case study was given and the integration scheduling introduced. More of the literature review summaries in the following paragraphs.

**Page 3:** In the left half of the page the author dives vague details regarding the two-stage operating room department particularly the proposed problem description.

The right half eliberates more on the second stage of the scheduling process and presents more summaries of the existing studies.

**Page 4:** Many not coherent summaries of the different scheduling models.

**Page 5:** Introducing studies in the multi-objective scheduling.

**Conclusions:** There are three concluding ideas: more considerations should be put into downstream and upstream untis; general thoughts on two the most important critarias such as overtime and utilisation; and highlights some new design. (what new design?)

## 1.5 SR02GB23

### 1.5.1 Meta

**Title:** Fractured systems: a literature review of OR/MS methods applied to orthopaedic care settings and treatments

Rank	Grasp	Grade	Type	Outcome	Domain	COV19	CoI	DB
4	87%	A	A	P	B	Yes	No	No

**Table 1.5:** Reference's metadata

### 1.5.2 Summary

Matthew et al. presented the first quantitative taxonomise review of the Operation Research and Management Sciences for Orthopaedic care services. One of the motivations for the review compilation is the ageing of the world's population, meaning more and more people will require special care. The authors searched resources in the Scopus database and produced the selection process and additional rounds of the search (back search). The authors searched by six categories in 2021 from Clarivate Jornal Citation. To analyse the extracted papers, the studies were classified by location, funding status, care area, injury location, JCR categories, implementation stage, research aims, and solution approach. The compelling visualisation of the data was shown to support the arguments. Finally, the need for further research was stated, and the limitations of the current literature review were highlighted.

### 1.5.3 Notes

- Focus on the rate of people in the world aged above 60 years.
- Has advanced searching techniques (pp7-8)
- The second work which mentions Medical Subject Headings (MeSH)
- Six categories in the 2021 Clarivate Jornal Citation Report (JCR)

### 1.5.4 Reading

**Abstract:** The healthcare management is challanged with Earth growing population size and consequences of the COVID-19 pandemic. This literature review conducts

a structurate overview of 492 publications in the field of Operational Research and Management Science applications. The authords of the review found a research gap and addressed it in the work.

**Objectives / 1st page:** The aim is to quantify and taxonomise the current state of the OR/MS approaches implemented in orthopadic department.

**Page 2:** There have not been direct guidances how OR/MS applied in the practice to optimise ortophedic departments prior to this review. Matthew Howells et al. [4] summarised some other literature reviews and concluded that for the best of their knowlesge there are no literature review on OR/MS from the perspective of orthopedic health care services.

**Page 3:** The reviewd papers are classified into three contexts: general, medical, and methodological. The studies for review were searched accross 6 categories to have diverse perspective on the OR/MS:

- Health Case Sciences & Services (HCSS);
- Health Policy and Services (HPS);
- Industrial Engineering (IE);
- Medical Informatics (MI);
- Operations Research and Management Sciences (OR/MS);
- Orthopedic (T&O);

The authors also define three types of data sources:

- Primary data - collected by researchers themselfs;
- Secondary data - collected by third parties and used by researchers;
- Expert opinion - generalisations on the public research with no direct access to the research data;

- (i) Clarivate Journal Citation Reports category
- (ii) Year of publication
- (iii) Data source
- (iv) Level of implementation
- (v) Continent of application
- (vi) Funding status

**Figure 1.8:** List of data extracted from the reviewed studies in [4].

**Page 4:** The research implementation is categorised into theoretical, conceptualised, and implemented works. The origin and the funding status of the reviewed research are included in the scope of this work. The orthopedic healthcare services are segmented on the smaller groups defined by the type of the illness by longitude and the body part it effected.

**Page 5:** On this page the authors dive into more segmentations and classifications of the studies by the type of caregiver and environment (primary, secondary, tertiary, community, patient progression), by hospitalisation type (assignment, inpatients, surgery, post-surgery, rehabilitation, follow-up) and by scope (clinical, department, or hospital).

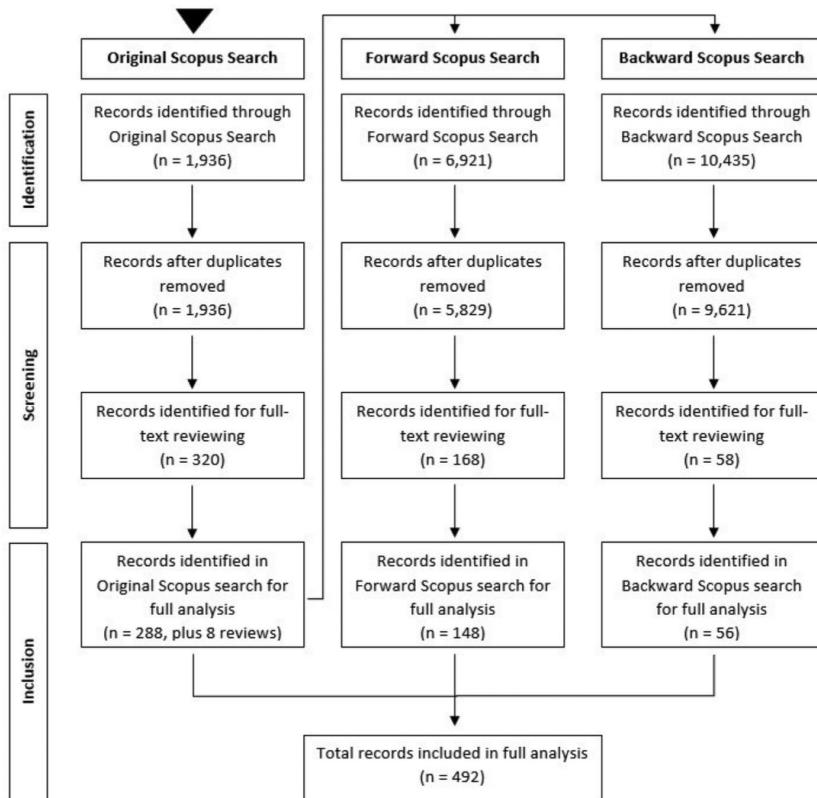
**Page 6:** Further clustering of the research is defined by the healthcare funding provider (patient, provider, societal), by research aims (evaluation, forecasting, improvement), by algorithms applied (Decision Analysis, Graph Theory, Heuristics, Markov, Multi-Criteria Decision-Making (MCDM), Optimisation, Queueing Theory, Soft OR, Statistical Analysis). The last classification is not exclusive the approach can be both the Delphi algorithm and an optimisation.

**Page 7:** There are three more approaches of the OR/MS research grouping: by outcomes (cost, health, time), by functional area (Bed Management, Capacity Planning, Cost Analysis, Cost-Effectiveness Analysis, Cost-Utility Analysis, Expected-Value Decision Analysis, Health-Utility Analysis, Location Planning, Manufacturing, Medical Decisions, Medical Simulations, Patient Scheduling, Risk-Benefit Analysis, Staff Utilisation, and System Design and Planning), and by planning decision levels (strategic, tactical, and operational which is further segmented into offline and online

scheduling). For searching the publications the Scopus database was used. The Appendices A an B shows the searching terms and requests.

**Page 8:** This page present an advanced searching techniques and the proces of screening the 1,936 paper to 14.88% for the full text review and 2.14% in the final analysis.

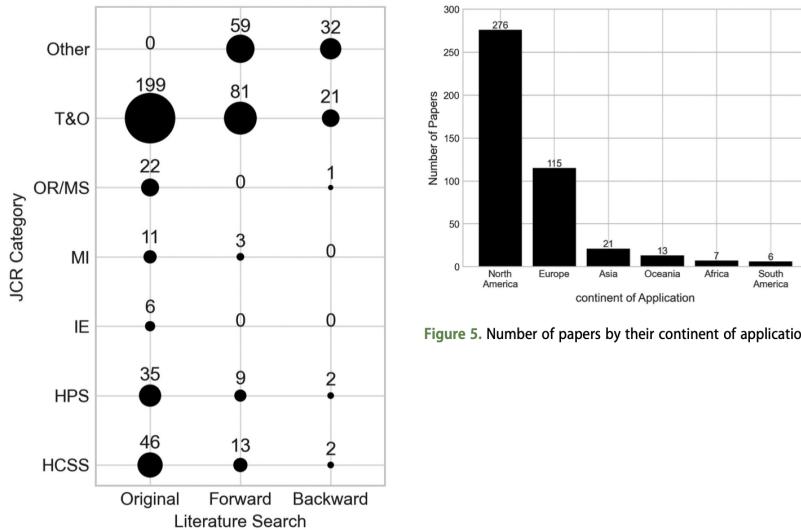
**Page 9:** Here the authors explain the next steps for literature search such as back search using works in the initial search (Appendix C).



**Figure 1.9:** Flow diagram of the literature search in [4].

**Page 10:** The authors answare questions Who, When, and Under which surcumstances the model was developed and published.

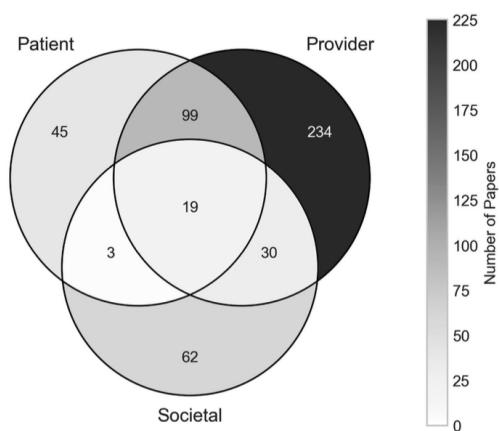
**Page 11:** Literature analysis by the search type and the funding.

**Figure 1.10:** Literature search analysis in [4].

**Page 12:** On this page the analysis of the papers by groups mentioned earlier.

**Page 13:** In this part there are more quantitative analysis of studies by care area and number of secondary/tertiary pathways.

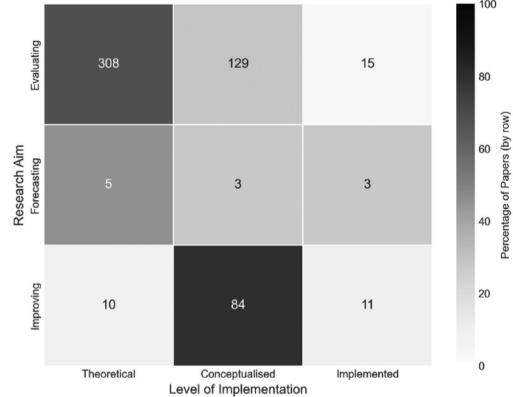
**Page 14:** Here the thoughts and answers to why the computational methods for orthopedic techniques have been developed.

**Table 1.** Number of papers by their JCR category and planning decision level.**Figure 1.11:** Fundings and JCR category analysis in [4].

**Page 15:** The authors analyse the papers research aims, research outcomes, and number of papers with the real world implementation (which is less than 5%).

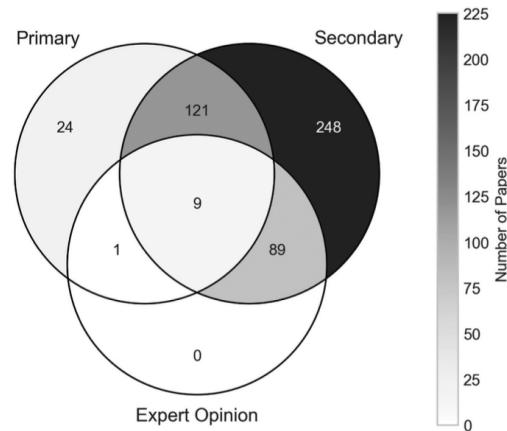
**Page 16:** The count of papers in each group by data type was analysed in this part of the review.

## Summary Compilation



**Figure 15.** Number of papers by their research aims and level of implementation.

## Workflow records

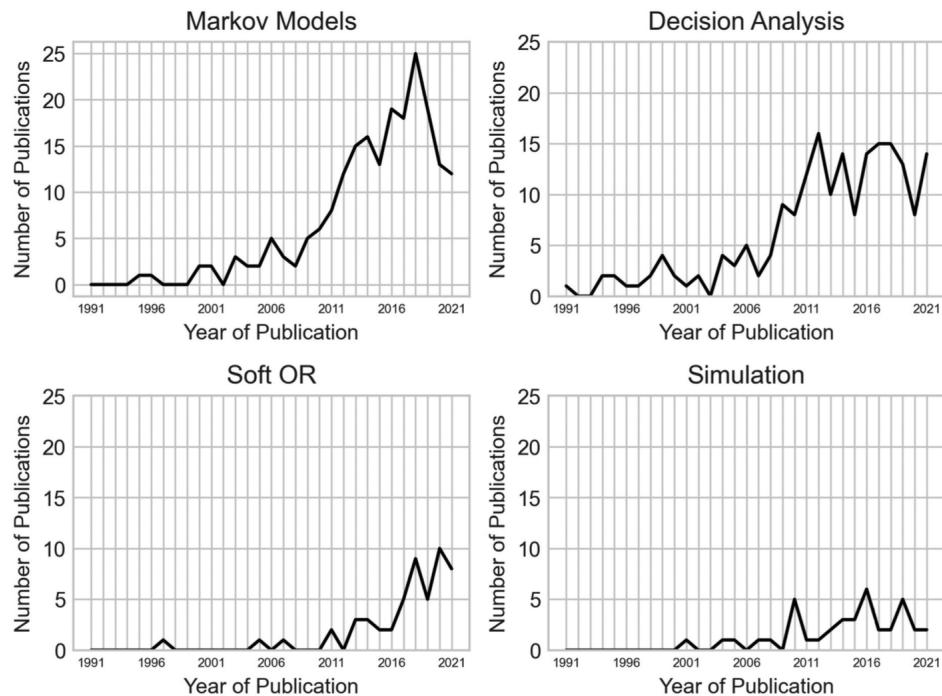


**Figure 16.** Number of papers by how the data was obtained.

**Figure 1.12:** Research aim-implementation chart and types of used data chart in [4].

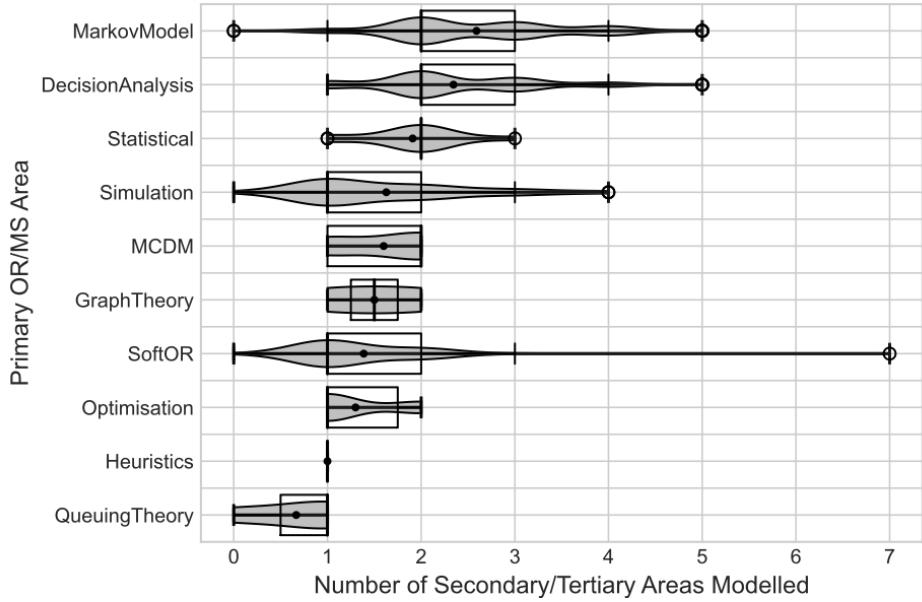
**Page 17:** On this page the developed approaches have been quantified by number of papers.

**Page 18:**

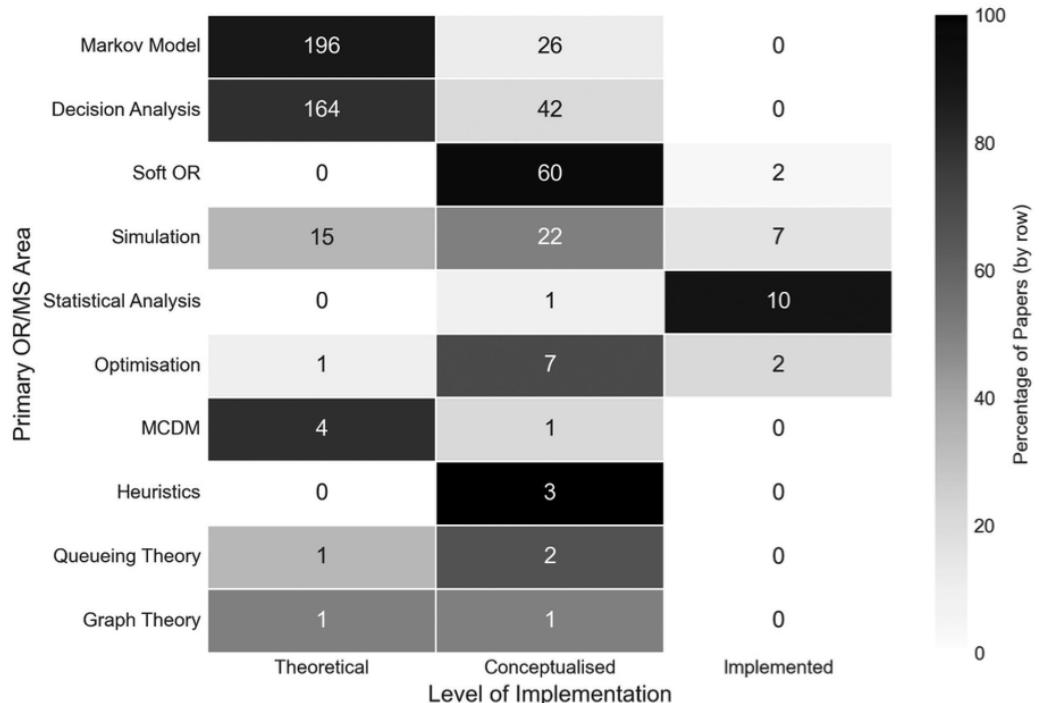


**Figure 1.13:** Trends from 1991 to 2021 from [4].

**Page 19:**



**Figure 1.14:** Number of papers by their primary OR/MS method area and level of implementation from [4].



**Figure 1.15:** Distribution of the number of secondary/tertiary care areas modelled by each primary or area from [4].

**Page 20:** Here the authors focus on the different type of simulations and their efficiency. Next the discussion on the work is introduces and the authors hihglighted

the limitations of the work in using just Scopus database with no concern to Medline and PubMed databases.

**Page 21:** The authors organise the materials in CSV filem Jupyter Notebook and Zenodo for research replicability. Next there are reflections on the frequency of the OR/MS research in the different regions of the world and on the trends in different categories.

**Page 22:** At the start of this page the non-resultive search and some drawbacks were discussed.

**Conclusion:** Matthew et al. summarise the current review in further points: the whole pathway modeling, capacity planning, optimisation, simulation, and queueing theories, mix-methodology, model implementation, addressing population ageing issue. These points are directions requiring further research.

## 1.6 SM01US23

### 1.6.1 Meta

**Title:** Ensemble Learning for Addressing Class Imbalance in Cardiology Appointment Scheduling and Overbooking

Rank	Grasp	Grade	Type	Outcome	Domain	COV19	CoI	DB
5	87%	B	A	P	A	Yes	-	Yes

**Table 1.6:** Reference's metadata

### 1.6.2 Summary

Roya Agharifar, Greg Servis, and Mohammad Khasawneh demonstrated an Ensemble Learning Prediction Model for no-show appointments in the radiology department with consideration of patient demographic data, medical records of previous appointments, and weather records. First, the authors analysed and reflected on existing studies. The medical data is represented by one year of EPIC Clarity Medical Records, and the weather records are taken from the National Centers for Environment Information, 2022. The cleaning, preparation, balancing, and analysis of medical data were performed. The prediction model consists of 3 types of algorithms bandle together by meta-model. The results yield up to 95.33% precision. In conclusion, the obstacles, research gaps, current research gains, and further work were underlined.

### 1.6.3 Notes

- EPIC Clarity Medical Record SQL database;
- No-show prediction considering weather;
- Weather data from National Centers for Environment Information (NCEI, 2022);
- Has the legend of dataset structure table;
- RepeatedStratifiedKFold splits classes in roughly the same distribution;

## 1.6.4 Reading

**Abstract:** The authors analyse the missing appointments in radiology through lens of the existing literature. The new prediction model was developed and evaluated for estimating the whether a patient will attend the appointment.

**Objectives:** The objective of this research is to analyse the patients behaviour of missing radiology appointments and addressing the issue with prediction model.

**Page 1:** The introduction of the work provides motivation for efficient no-show prediction of the healthcare services. Overbooking is a countermeasure which can be applied if there is a high risk of missing the appointment. By overbooking in risks of no-shows the utilisation of the medical resources is going to increase.

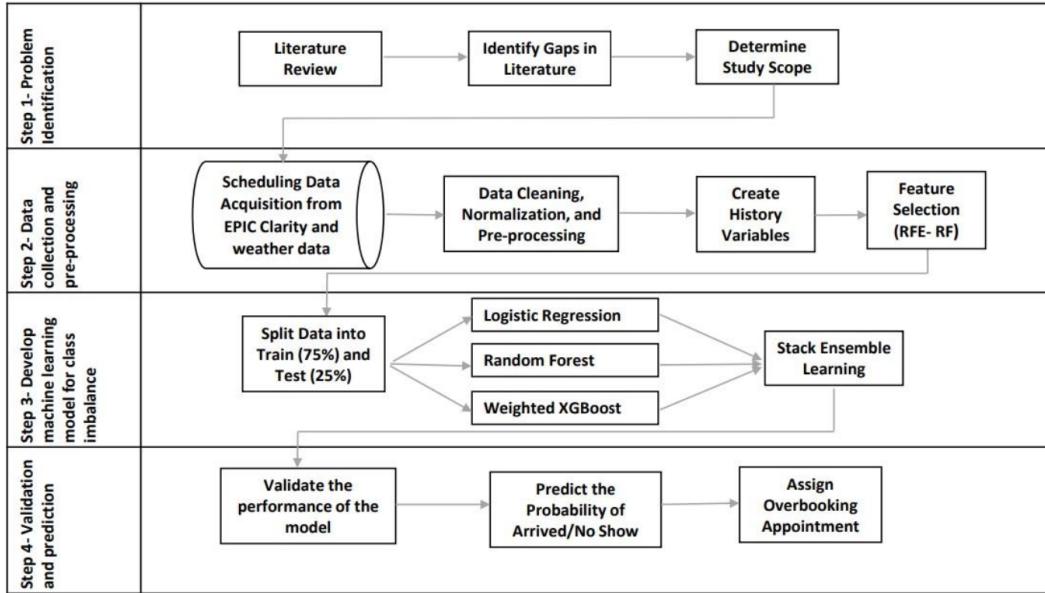
**Page 2:** Use machine learning technics to improve no-show prediction.

**Page 3:** There are multiple factors which determine likelihood of missing the appointment by patients: forgetting, socioeconomic factor, location, miscommunication. The existing studies considering patients demographic data as well as historic data of previous appointments for input in prediction models.

**Page 4:** In this page the authors show particular studies with the prediction models and analysis of the no-show reasons. Some works introduced that marital status, employment, employer, language, age, and insurance are also critical factors which influence the prediction results.

**Page 5:** There are few strategies to reduce effect of no-shows. First, remind patients about an appointment, overbook days when the risk of the no-show is high, increase patients awareness by phone calls and other medium. The criteria by which the no-show is quantified and evaluated differ from study to study in addition the factors of weather are not taken into consideration for the most part.

**Page 6:** The obstacles on the way of prediction model implementation is the possibility of biased decisions.



**Figure 1.16:** Research methodology framework from [5].

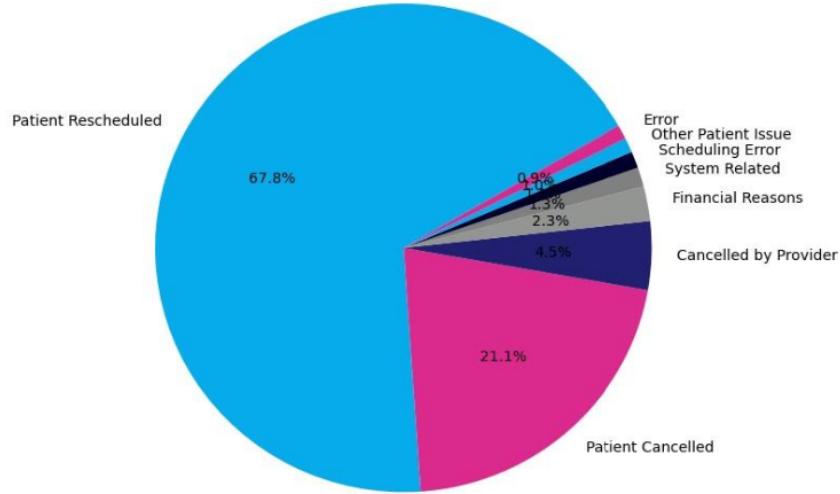
**Page 7:** The medical records and weather data were download from open source databases. In cardiology department at MSHS NYC from October 2021 to September 2022 there are almost 80,000 vists, from which 75.1% patients arrived and the rest are no-shows.

**Page 8:** The authors stated that the number of appointments influance the risk of missing the appointment (my thought is that probability theory when we have small and large numbers of visits can disrupt an interpretation of the data). Next the required data for prediction is mined from medical and weather records and prepared.

**Page 9:** The start of this page is a legend table of dataset structure. Then the open hours for appointments and general analysis of the critaria-arrival are shown.

**Page 10:** The further the appointment is in advance the more likely that patient will cont come. In addition, older people tent to be more responsible and miss less appointments than younger people.

**Page 11:** There is comple opposite tendencies to elgery than to young generation. Elgery people are scheduling their hospital visits far in advance, when younger people have longer time spent with physcists.



**Figure 1.17:** Research methodology framework from [5].

**Page 12:** The authors explained how they addressed uneven distribution of classes in the dataset. Then the description of the prediction model was provided, which uses bagging (multiple models on different subsets). The data was distributed training to testing in the next way: October 2021 - April 2022 (75%) to May - September 2022 (25%) (*my concern here is that the tendencies in different seasons and even months can also differ, which is not taken into account here*)

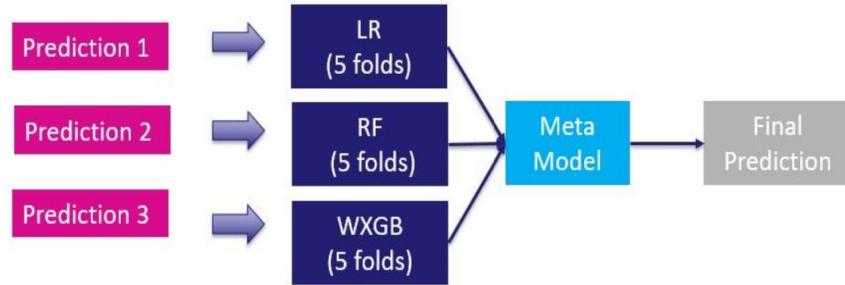
**Page 13:** The data samples were also balanced in rate of no-shows to arrivals.

Table 4: Model Performance

Model	F1 Score	Precision	Recall	Accuracy
Logistic Regression	85.87%	93.71%	79.54%	80.45%
Random Forest	88.87%	95.33%	83.23%	84.37%
XG Boost	91.66%	85.86%	98.30%	86.58%
Ensemble Model	92.30%	86.53%	98.80%	87.54%

Fig 11 depicts the ROC (receiver operating characteristic) curve related to the final model. The plot represents the true positive rate (sensitivity) against the false positive rate (specificity) at various classification thresholds. By adjusting the parameters to account for class imbalance, the AUC (area under the curve) of the ROC score was improved to 91% from 87%. This indicates that the model's ability to distinguish between positive and negative instances improved significantly after adjusting for class imbalance.

**Figure 1.18:** Performance of the prediction model from [5].



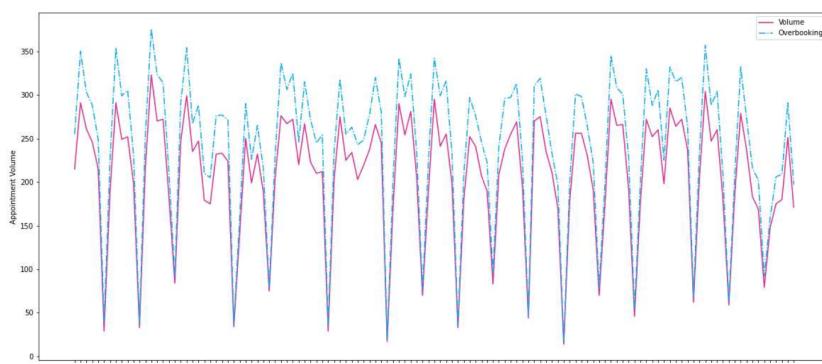
**Figure 1.19:** Architecture of the prediction model from [5].

**Page 14:** The trained model shows good results and balance between precision and recall. Most of the assumption regarding the no-shows were proved. Some metrics like distance, pm, and maximum temperature showed no effect on the prediction outcomes, so these metrics were removed from the model.

**Page 15:** The overbooking was estimated with consideration of no-show risk and patient's waiting time.

$$\text{Number of Overbookings} = \frac{\text{Number of appointments scheduled per day}}{1 - \text{prob(no-shows)}} \quad (2)$$

Fig 12 depicts the actual volume (pink) and the predicted overbooking appointments (blue).



**Figure 1.20:** Overbook prediction in [5].

**Conclusion:** In the conclusion, the authors highlight the importance of the prediction of appointment no-shows, performance of the developed model, need of practical implementation, advantages of overbooking, and possibility to connect morning and afternoon appointments in the way that the model could overbook at the morning to balance afternoon bookings. Last but not least the patients income level and race could be in benefit to the prediction model.

## 1.7 SR01MY22

### 1.7.1 Meta

**Title:** AI for patient scheduling in the real-world health care setting: A metanarrative review

Rank	Grasp	Grade	Type	Outcome	Domain	COV19	CoI	DB
5	90%	B	A	P	S	No	-	No

**Table 1.7:** Reference's metadata

### 1.7.2 Summary

Mohamad Khairulamirin Md Razali et al. conducted a classical literature review analysing the critical parameters of the Master Scheduling Surgery Problem. The authors' biggest emphasis lies in the optimisation component of the MSSP solutions. The seven known databases were searched for studies from 2000 to 2021, prioritising the publications between 2016 and 2021. What stands out from the other literature reviews is that the analysis methods and benchmarking methods have been discussed. As well as other literature reviews, the identified research gap was found to need more implementations of the schedulers in real hospitals and a lack of actual medical records for research. An unexpected suggestion was given regarding the hyper-heuristic optimisation models. The authors stated that hyper-heuristics have been successful and widely used for other optimisation problems. Still, no studies highlight the utilisation of the hyper-heuristic scheduler for the MSSP. Overall, this literature review has three most valuable points: a list of databases for further study search, benchmark approaches, and a new view on the hyper-heuristic methods.

### 1.7.3 Notes

- Literature databases: Scopus, WoS, Dimensions.ai, SpringerLink, ACM Digital Library, IEEE Xplore, and Google Scholar;
- Has solution evaluation methods: Sensitivity Analysis, Robustness Analysis, Model Variation Analysis, Pareto Frontier Analysis, Simulation;

- Short sights: ignoring objectives, priority of objectives, ignoring uncertainty, assumptions in hospital practice;
- Challenges: Data Availability, Simulation vs Real World, Software Cost;
- What is hyper-heuristic?

### 1.7.4 Reading

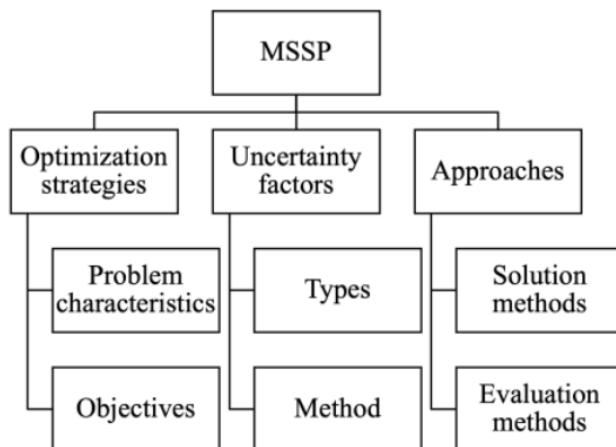
**Abstract:** The Master Surgery Scheduling Problem (MSSP) assigns the surgery cases to theatres, surgeons by specialty. In this literature review state-of-the-art MSSP problem have been analysed in studies from 2000 to 2021 focusing on the papers between 2016 and 2021.

**Objectives:** The work aims to overview the papers in the field of Master Surgery Scheduling Problem, identify trends and address the existing research gaps.

**Page 1:** The MSSP represents one of the decision levels described in (5). There is still some misalignments in terminology and only few studies addressed the MSSP in details.

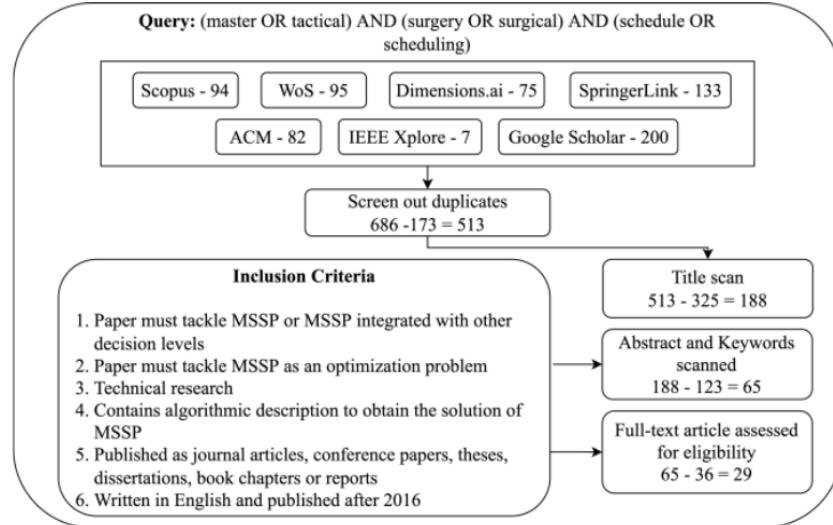
**Page 2:** Mohamad Khairulamirin Md Razali et al. emphasize the difference between this and other literature reviews on MSSP by concentrating on optimisation of MSSP. Then the questions of the research and methodology outlined and the introduction to MSSP was presented.

**Page 3:** This page continues to explain MSSP decision-making flow together with more details on the gathered studies (Focus January 1, 2016 - October 22, 2021).



**Figure 1.21:** MSSP clustering in [6].

**Page 4:** The authors describe the searching process and databases used. Then a simple comparative analysis was conducted on the several works. At the end of the page, types of the surgery group were introduced.



**Figure 1.22:** Quantitative summary of the literature search in [6].

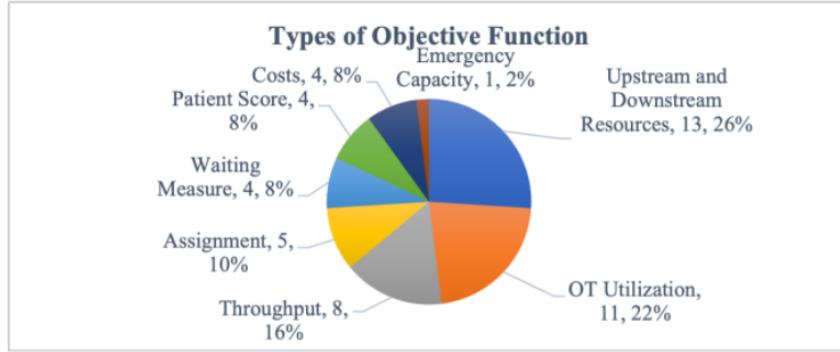
**Page 5:** The page consists of table with reference-contribution-applicability-limitation content of the overviewed studies.

**Page 6:** Planning horizon and schedule cyclicity is described in general terms by referencing on the reviewed literature.



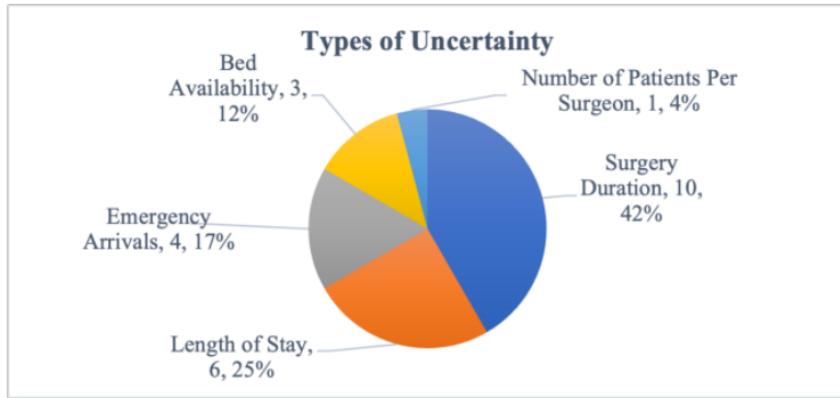
**Figure 1.23:** Studies distribution by the surgery group type and by planning horizon in [6].

**Page 7:** The objectives and constraints are indicated in the literature and quantitative summary on the objective functions is shown below.



**Figure 1.24:** Studies distribution by the objective functions in [6].

**Page 8:** In this page the distribution of works with uncertainty and general overview of papers with different solution approaches (optimisation, heuristics).



**Figure 1.25:** Studies distribution by uncertainty in [6].

**Page 9:** The authors summarise the evaluation methods into distribution pie-chart and the table containing the reference, algorithm name, benchmark approach, and results.

**Page 10:** Here the types of analyses used in the studies are presented as well as the obstacles or pure decisions made in the reviewed researches.

**Page 11:** The authors highlight importance of the real hospital records as well as the practical implementation of the proposed solutions. The concern is raised that the financial resources should also be spent optimally for the MSSP solutions. In addition the suggestions regarding the scheduling cycles, uncertainty, computational complexity (hyper-heuristics), benchmarking.

**Conclusion:** The authors repeat goals from the introduction to this research and

highlight the analysis of the papers from 2000 to 2021 in respect of uncertainty, used data, implementation, and solution approaches to MSSP. There are still uncharted territory in direction of strategies for optimising efficiency, multiple decision levels, MSSP complexity, and aspects which influence objectives usage.

## 1.8 SR01ES23

### 1.8.1 Meta

**Title:** Data science, analytics and artificial intelligence in e-health: trends, applications and challenges

Rank	Grasp	Grade	Type	Outcome	Domain	COV19	CoI	DB
5	93%	C	A	??	A	Yes	Yes	No

**Table 1.8:** Reference's metadata

### 1.8.2 Summary

The review work by Juliana Castaneda et al. [7] is a general overview of AI practices in healthcare and their implementation. It considers the development of AI solutions due to the COVID-19 crisis. The paper qualitatively summarises the machine learning methods in the scope of data development and some relation to the Internet of Things technology. The practical side of the work is in the methods used for quantifying the frequency of the word's appearance in the studies and the automatic topic extraction script. This work will benefit most newcomers in the crossfield of Artificial intelligence and healthcare who want to decide on the direction of their research.

### 1.8.3 Notes

- Non-negative matrix factorization (NMF). Diverse data intro meaningful topics.
- Data preprocessing + NMF + Python (scikit-learn library)
- Pyrthon cleaning data code [https://github.com/Julianac-j/NMF\\_ehealth](https://github.com/Julianac-j/NMF_ehealth)

### 1.8.4 Reading

**Abstract:** The development and application of the predictive models, and artificial intelligence in healthcare environment are hihglighted in this literature review. In addition the benefits for healthcare organisations will be discussed alongside the obstacles and complexities.

**Page 2:** Introduction to Big Data technology, Machine Learning, and Artificial intelligence comparing with the progress similar to arise of the Internet.

**Page 3:** The Big Data in scope of IoT Technology as well as IoT in Healthcare System were outlined in this page. The basic explanation of search methods for the literature and the structure of the article are presented next.

**Page 4:** Here is shown an exponential increase in papers regarding the AI, e-health, and data science in Scopus and Google Scholar with Google Scholar in the lied.

**Page 5:** The authors mentioned the pandemic challenge and the response in the scientific community to it. Also the non-negative matrix factorization was described with examples of implementation in the literature. In basic terms, this is method of extracting data from unstructured dataset into meaningful topics.

**Page 6:** Using Python clean the data, define the word frequency and using NMF quantify the most common topics.

No.	Five-word set	Topic	References
1	learning, machine, deep, model, recognition	machine & deep learning for recognition	Shatte, Hutchinson and Teague (2019) Yu, Beam and Kohane (2018) Kavakiotis et al. (2017)
2	IoT, internet, things, devices, security	IoT devices security	Al-Garadi et al. (2020) Din et al. (2019) Makhdoom et al. (2018)
3	blockchain, technology, applications, consensus, research	blockchain technology in security and privacy applications	Chukwu and Garg (2020) Roy et al. (2018)
4	data, big, analytics, processing, medical	big data in healthcare medical analytics	Wang and Alexander (2020) Syed et al. (2019)
5	access, control, encryption, data, attribute	cloud and fog computing for privacy and security	Sun (2020); Dang et al. (2019) Mutlag et al. (2019) Puliafito et al. (2019)

**Figure 1.26:** Topic modeling results obtained with the NMF algorithm in [7].

**Page 7:** Next is the total number of the papers reviewed (403) and the numbers of the papers in the searching groups.

**Page 8:** Analysis of the e-health papers by the number of words.

**Page 9:** The description of the prediction models in e-health was described in this page.

**Page 10:** The studies with descriptive analysis was mentioned here.

**Page 11:** Some management computational tools for unstructured data are show in this page.

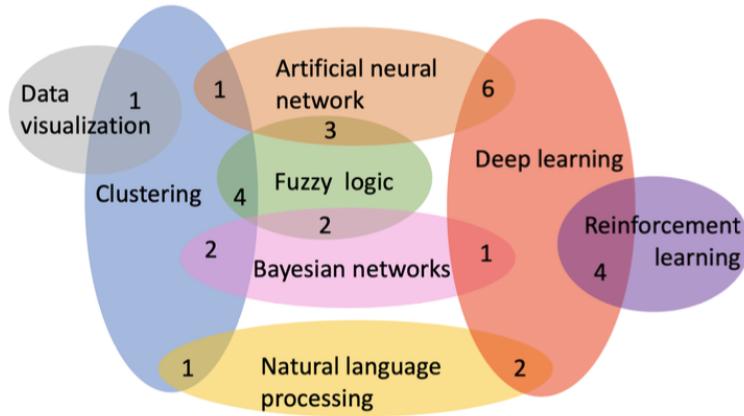
**Page 12:** Representing some data science arias such as data mining.

**Page 13:** Mentioning the dfferent areas of the Artificial Intelligance.

subfield	Year																					Total
	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021		
Deep learning	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2	2	8	17	20	34	85	
Reinforcement learning	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	2	3	3	5	15	
Clustering	0	0	0	1	0	2	0	0	5	0	1	4	3	5	11	9	8	12	5	8	74	
Data visualization	0	0	1	0	1	2	0	1	3	5	2	2	1	4	1	2	3	9	3	5	45	
Artificial neural network	0	0	0	0	1	2	1	1	1	0	3	2	3	0	2	2	4	5	3	10	40	
Natural language processing	1	0	0	0	0	0	1	1	1	0	2	4	4	3	3	2	3	9	4	5	43	
Fuzzy logic	0	0	0	1	1	0	1	1	2	0	5	1	4	2	6	4	2	1	2	10	43	
Bayesian networks	0	0	0	0	0	1	4	0	0	9	1	3	1	2	0	2	1	3	3	30		

**Figure 1.27:** Number of e-health papers from [7].

**Page 14:** The authors intriduced a machine learning and the questions which arise when working with them.



**Figure 1.28:** Number of e-health papers from [7] venn diagram.

**Page 15:** List of the papers which study or use machine learning techniques in e-health.

**Page 16:** Introduction of data mining, NN, and natural language processing AI.

**Page 17:** A particular applications of NLPs and best practisies in e-health for AI systems.

**Page 18:** AI in: medical care, diagnostics, personalised medical care, ...

**Page 19:** More AI applications in e-health: treatment optimisation, assistance or automated prescription, triage, surgery, pregnancy management.

**Page 20:** AI in general hospital care: demand forecasting, screening, ...

**Page 21:** ... epidemics prediction and flow analysis, fake news recognition.

**Page 22:** AI for healthcare management: resource forecasting and management, drug chain supply, medical services scheduling, ...

**Page 23:** ... facility allocation, performance evaluation, brand management and marketing, financial data, fraud detection, ...

**Page 24:** ... patient satisfaction. AI work in lab for COVID-19 vaccine development...

**Page 25:** ... fluently transforms into AI tools to recognise fake news about COVID-19. Also the authors start discussing other AI implementations...

**Page 26:** On this page the insights and obstacles in the e-health with IA are outlined. The main directions of AI implementation are cancer treatment, depression, Alzheimer disease, heart failure, and diabetes. To get full benefits from AI technology we need to overcome the defensiveness and the learning curve for the professionals in the healthcare industry and learn how to implement the AI systems flawlessly.

**Page 27:** The Corona Virus crises gave a push for new data and analytical development. The deep learning models not just boost the performance of the healthcare services but also ensure the safety and integrity of the patient's data.

**Page 28:** This page is all about legal regulations on the organisational and governance levels.

**Page 29:** It is a need in setting people's minds into all-sharing data to overcome the resistance barrier in society. The next paragraph is about predicting and managing the future pandemics.

**Conclusions:** The conclusion is played around the necessity of better data analysis and collection, since the machine learning models are already advanced in respect to the quality and quantity of the data resources.

## 1.9 SR01NL22

### 1.9.1 Meta

**Title:** AI for patient scheduling in the real-world health care setting: A metanarrative review

Rank	Grasp	Grade	Type	Outcome	Domain	COV19	CoI	DB
4	95%	B	A	P	A	Yes	??	No

**Table 1.9:** Reference's metadata

### 1.9.2 Summary

This article presents a systematic literature review that covers the natural language process models for predicting healthcare-related events from textual data. Oscar Hoekstra, William Hurst, and Joep Tummers conducted a quantitative analysis of the existing literature in the field by 2022. The authors emphasise that they are not comparing the existing studies due to the unavailability of the unified criteria but explore the performance of the NLP models separately. The work is well structured, but what needs to be added is a discussion on the healthcare problems which can be solved or optimised by the NLPs.

### 1.9.3 Notes

- PubMed, IEEE and WebOfScience;
- Quality assessment method?

### 1.9.4 Reading

**Abstract:** The systematic literature review is summarising the results and show currnt efficiency of the Natural Language Processing models in the area of healthcare related event prediction. The authors used open access databases to retreave the corresponding studies. The current state of the research does not allow to make a comprehencive comparison of the existing solutions.

**Objectives:** This research aims to investigate a medical event prediction solution with textual data on the input.

**Page 1:** There are a lot of textual medical records which require a lot of time and resources to structure in order to get some useful insights. The current research looks into the NLP prediction models which use these type of records to predict healthcare related events.

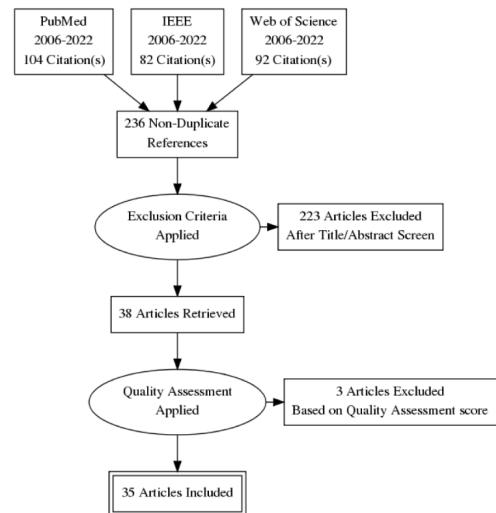
**Page 2:** In the beginning of the page, the authors formulate three questions for the research: What is the state-of-the-art? How do ML models predict? What ML models are used? Then the outline of the paper is presented. The rest of the page describes the methodology methods used to search and screen the studies related to the topic.

**Page 3:** The stages of the literature analysis are outlined and after the applying the selection methods the 38 articles have been selected.

Study exclusion criteria.	
No.	Exclusion criteria description
EC1	Papers not available in English or Dutch
EC2	Papers without full text available
EC3	Duplicate publication from multiple sources
EC4	Papers that do not describe the use of ML in the abstract
EC5	The ML application described does not focus on textual data
EC6	No event prediction, occurrence prediction, or result of treatment prediction
EC7	Papers that are literature reviews.

**Table 2**  
Study quality assessment criteria adapted from Kitchenham et al. [17].

Nr.	Quality assessment question
vQ1	Are the aims of the study clearly stated?
Q2	Is the dataset used in the study clearly described?
Q3	Is the underlying mechanism of the method clearly described?
Q4	Is the method reproducible?
Q5	Does the conclusion describe the main findings?
Q6	Are limitations of the approach mentioned?
Q7	Are accuracies related to the methods and results mentioned?
Q8	Are negative findings presented?



**Figure 1.29:** Selection criteria in [8].

**Page 4:** The quality evaluation results show that only one of nine papers is qualified. Also the performance criteria were present for the researched NLP models.

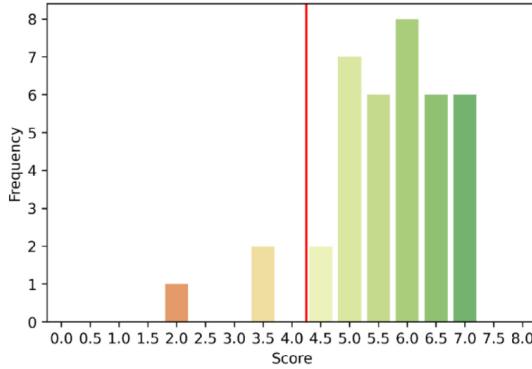


Fig. 4. Quality assessment scores by frequency. Cut-off score = 4.0.

**Table 3**  
Results of study exclusion by database source.

	PubMed	IEEE	WebOfScience
Exclude	81	73	86
Include	23	9	7
Percentage (Include)	22%	11%	8%

**Figure 1.30:** Quality evaluation in [8].

	Total	F1	Precision/ PPV	Recall/ Sensitivity	Specificity	Accuracy	NPV	ROC AUC	PR-AUC	MAE
Used in n articles	28	14	13	17	8	9	3	10	2	2
Total uses	121	88	74	81	10	26	5	34	5	4

**Table 5**  
Number of times each machine learning method was used in the selected studies. Unique uses are counted as the number of studies that used the method.

ML method	Unique uses	Total uses	ML method	Unique uses	Total uses
Tree	5	6	RNN	3	5
SVM	9	16	Gradient boosting	1	1
BERT	2	2	RF	4	5
word2vec	3	3	Elastic net	1	1
USE	1	1	LDA	1	1
KNN	1	1	SVC	1	1
LR	5	6	Rule-based	1	1
LSTM	4	5	DNN	1	2
BERT + LSTM	1	1	HAN	1	1
ML	4	8	CRF	2	8
LASSO	2	4	FOIL	1	2
XgBoost	1	1	LexRank	1	8
NN	1	1	NRT	1	8
SGD	1	1	DCA	1	8
ULMFIT	1	2	Naive Bayes	1	6
CNN	4	9			

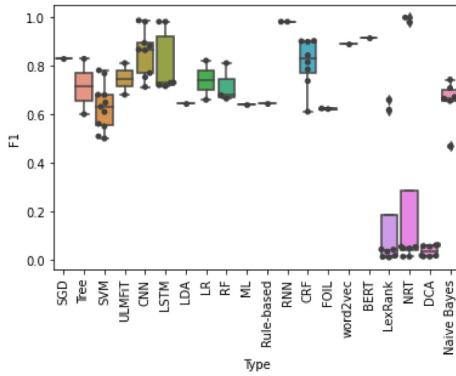


Fig. 5. F1-score of the types of methods in the selected studies.

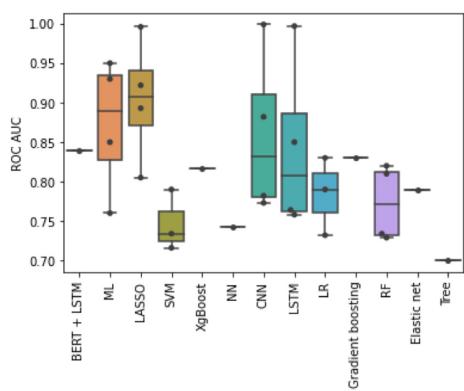


Fig. 6. Receiver operating characteristic area under the curve of the types of methods in the selected studies.

**Figure 1.31:** Method used distribution from [8].

**Page 5:** The SLR is the first in a kind according to the authors of the work. An interest in NLP technology is growing which is shown by the high publication rate for the last 5 years in comparison to earlier publications.

**Page 5:** There is no ultimate supaeiar MLP model which will outperform the other solutions. There NLPs which can perform using just textual data, but for most cases the additional structured data is used to enhance the results. Till the end of the page the authors summarise the scores of different models and overal quality of the studies by the number of selected publications.

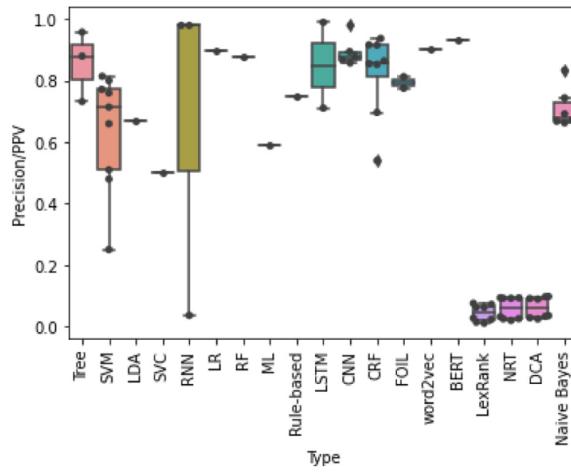


Fig. 7. Precision or PPV of the types of methods in the selected studies.

**Figure 1.32:** Precision or PPV of the types of methods in the selected studies from [8].

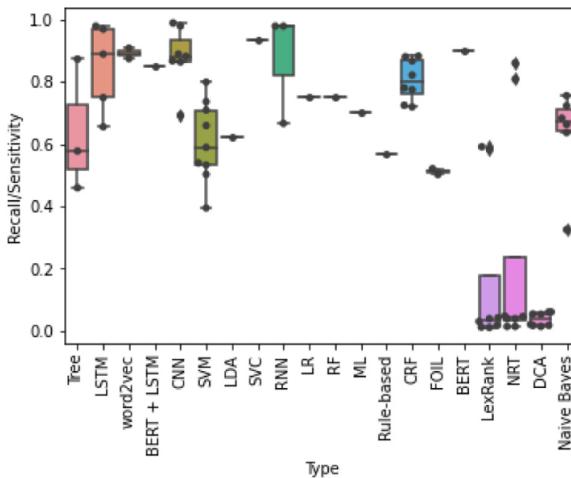


Fig. 8. Recall or Sensitivity of the types of methods in the selected studies.

**Figure 1.33:** Recall or Sensitivity of the types of methods in the selected studies from [8].

**Page 6:** There are multiple obstacles to the conducting this systematic literature review. First some studies present unexpected unrealistic results like F1-score above. Another challenge were studies which do not evaluate their models.

Data extraction form to extract general information about the articles.

No.	Extraction element	Contents
<b>General information</b>		
1	DOI	
2	Title	
3	Authors	
4	Year of publication	
5	Repository	
8	SLR category	<input type="checkbox"/> Include <input type="checkbox"/> Exclude
9	Notes about selection	
<b>Description</b>		
10	Keywords article	
11	Database terms	
12	Link	
13	Case study application	
14	Goal	
15	Approach	
16	Techniques	
17	Tools used	
<b>Evaluation</b>		
18	Quality assessment	Q1: Q2: Q3: Q4: Q5: Q6: Q7: Q8: tot:
19	QA notes	

**Figure 1.34:** Extracted data from publication in [8].

**Conclusion:** In the conclusion the authors highlighted stable good performance of the Neural Network models and also BERT models. The all outlined in the introduction aims were reached and the suggestion for the future research is to standardise the evaluation criteria for the NLP models.

## 1.10 SR02NL23

### 1.10.1 Meta

**Title:** Integrated Planning in Hospitals: A Review

Rank	Grasp	Grade	Type	Outcome	Domain	COV19	CoI	DB
5	96%	A	A	P	B	Yes	??	No

**Table 1.10:** Reference's metadata

### 1.10.2 Summary

Sebastian Rachuba, Melanie Reuter-Oppermann, and Clemens Thielen conducted a literature review considering hospital-integrated planning. The authors rendered a standard systematic literature review with taxonomy analysis. By the author's narrative, integrated refers to the interconnection of hospital resources and the mutual influence of different aspects of healthcare related to medical care planning. The three levels of integration were defined, and among these three levels, the reviewed studies were distributed. The integration of hospital planning was discussed, including the hospital levels of strategy, planning approaches, research objectives, and connections between research criteria. The arguments were supported by graphical visualisation of the analysed data. The main conclusions of the work are that the trends are shifting toward medical stuff from operating theatres, and there is a possible increase in papers which investigate healthcare services with no direct interaction with patients, such as pharmacy and OT cleaning.

### 1.10.3 Notes

- Health departments disconnection;
- Vertical/ horizontal integration;
- [www.webofscience.com](http://www.webofscience.com)

### 1.10.4 Reading

**Abstract:** This literature review is about an integrate operating theatre scheduling. The abstract begins with the starting point of medical resource scheduling in

scientific literature which is year 1950. The work proposes a taxonomy analysis of the current state of operating theatre scheduling research.

**Objectives:** Research the existing literature in scope of healthcare integrated planning.

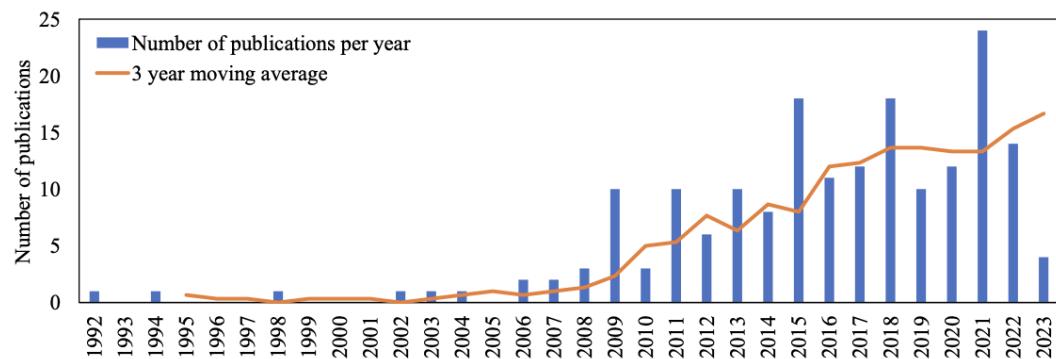
**Page 1:** The importance of healthcare system in modern world can be seen in the budgets issues for the healthcare need in Europe which is almost 11%.

**Page 2:** The ever increasing demand of the healthcare services can be regulated with the improvement of the medical resource management. One of the obstacles is disconnection between departments even in the same hospital. The efficient method to ensure interconnection between various departments is to use horizontal and vertical integration in hospitals.

**Page 3:** The structure of the paper as well as the methods used for searching the literature were outlined on this page.

**Page 4:** The authors did not limit the search for in-depth review papers by the year of publication. For the detailed review 318 potential studies were selected.

**Page 5:** This page introduces the classification of the papers by their level of integration. There are three levels of hospital planning integrated.



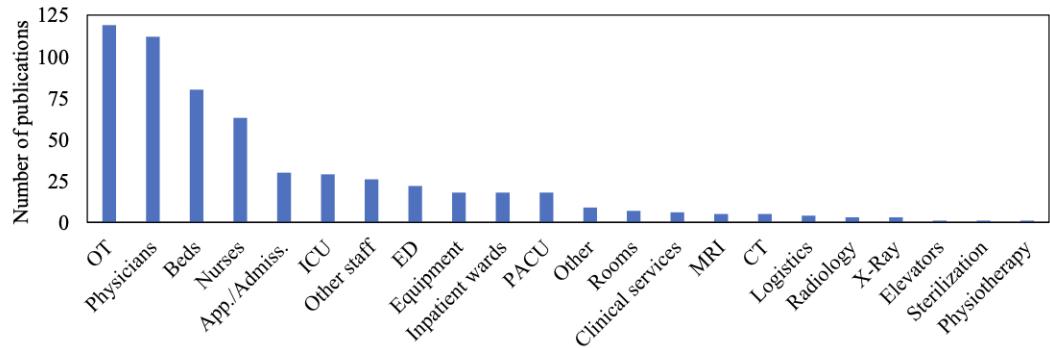
**Figure 1.35:** Number of publications over the years from [9].

**Page 6:** On this page, the authors explain the connection between all three levels of integrated planning and how it is interpreted into the operating theatre planning and scheduling.

**Page 7:** The second level of integrated planning is the least investigated and the first and the third levels have been interchangably in the lead by number of

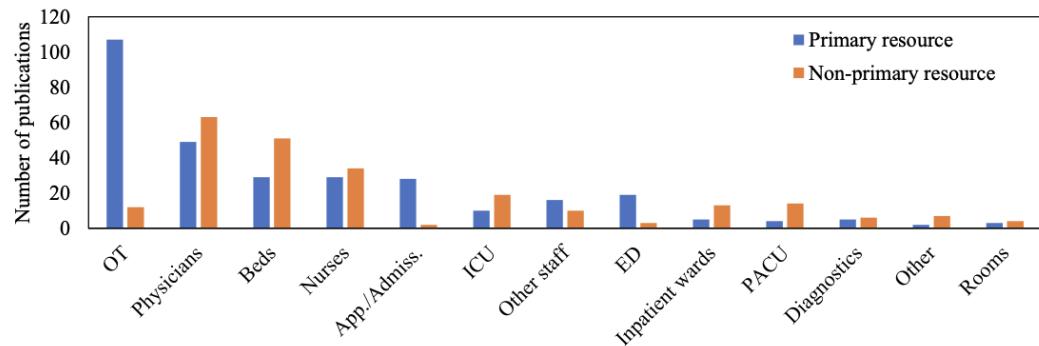
publications from year to year. Another tendency is in the number of tactical and strategical planning. The broader the perspective the smaller number of papers have looked into this, and it is true for all three levels of integrated.

**Page 8:** Here the authors list a medical resources that are objectives of the scheduling and planning.



**Figure 1.36:** Objective trends in [9].

**Page 9:** Operating theatres are most often considered as primary resources.



**Figure 1.37:** Objective trends as primary or secondary criteria in [9].

**Page 10:** The authors looked into the combinations of the resources in the literature.

		Combined resources													
		Beds	Rooms	Nurses	Physicians	Other staff	OT	ED	ICU	App./Admiss.	Inpatient wards	PACU	Diagnostics	Other	
Beds		1	15	15	5	13	10	7	3	5	2	2	0		
Rooms		1	2	1	3	1	1	1	1	0	1	0			
Nurses		13	2	26	13	10	11	4	7	3	0	6	1		
Physicians		20	2	26	12	29	13	4	8	5	3	7	1		
Other staff		4	2	11	11	1	6	0	4	0	0	1	2		
OT		47	0	27	74	3	1	23	4	12	19	2	1		
ED		13	2	13	17	8	2	2	0	1	1	4	2		
ICU		6	0	3	3	0	9	1	0	1	1	0	0		
App./Admiss.		11	4	16	17	7	10	0	1	0	1	3	1		
Inpatient wards		5	0	3	1	0	2	0	1	0	0	0	0		
PACU		2	0	0	1	0	4	1	1	0	0	0	0		
Diagnostics		1	0	4	5	1	1	1	0	3	0	0	0		
Other		1	0	0	0	0	0	0	0	0	0	0	0		

**Figure 1.38:** Resource combinations from [9].**Page 11:**

		Combined resources													
		Beds	Rooms	Nurses	Physicians	Other staff	OT	ED	ICU	App./Admiss.	Inpatient wards	PACU	Diagnostics	Other	
Beds		0	3	3	0	4	2	2	1	0	0	0	0	0	
Rooms		0	0	0	0	0	0	0	0	0	0	0	0	0	
Nurses		1	0	0	0	0	1	0	1	0	0	0	0	0	
Physicians		1	0	0	0	0	1	1	0	0	0	0	0	0	
Other staff		0	0	0	0	0	0	0	0	0	0	0	2		
OT		24	0	12	28	2	0	0	14	2	4	7	1	1	
ED		5	0	2	4	1	0	0	0	0	0	1	1		
ICU		3	0	1	2	0	3	0	0	0	0	0	0		
App./Admiss.		7	2	5	6	1	6	0	0	0	1	0	1		
Inpatient wards		1	0	0	1	0	1	0	0	0	0	0	0		
PACU		0	0	0	0	0	0	0	0	0	0	0	0		
Diagnostics		0	0	0	0	0	0	0	1	0	0	0	0		
Other		1	0	0	0	0	0	0	0	0	0	0	0		

		Combined resources													
		Beds	Rooms	Nurses	Physicians	Other staff	OT	ED	ICU	App./Admiss.	Inpatient wards	PACU	Diagnostics	Other	
Beds		1	12	13	5	11	8	5	2	5	2	2	0		
Rooms		1	2	1	2	1	1	1	1	0	1	0	1	0	
Nurses		12	2	25	12	9	10	3	7	3	0	6	1		
Physicians		20	2	25	11	29	11	4	8	5	3	7	1		
Other staff		4	1	10	10	1	1	5	0	3	0	0	1	0	
OT		28	0	15	48	1	1	10	2	9	11	1	0		
ED		9	2	10	12	6	2	2	0	1	1	3	1		
ICU		4	0	2	2	0	7	1	0	0	1	1	0	0	
App./Admiss.		4	1	10	11	5	4	0	1	0	0	0	3	0	
Inpatient wards		4	0	3	0	0	1	0	1	0	0	0	0	0	
PACU		2	0	0	1	0	4	1	1	0	0	0	0		
Diagnostics		1	0	4	5	1	1	0	2	0	0	0	0		
Other		0	0	0	0	0	0	0	0	0	0	0	0		

**Figure 1.39:** Resource combinations by level of integration planning from [9].

**Page 12:** Here was stated that the focus from OT planning and scheduling shifts toward the medical staff members with increasing of the integrity of the model. This page lists the solution approaches to the different levels of integrated planning. The combination of approaches gained its popularity among researchers.

**Page 13:** The authors segment the planning solutions into three categories: optimisations, simulations, and other. The flows of the number of publications

among years have been provided.

**Page 14:** One unexpected tendency is regarding staff related planning and optimisation panning approaches. The optimisation methods are used less for staff and more for all other objectives, where staff related problems are usually solved by simulations or other methods.

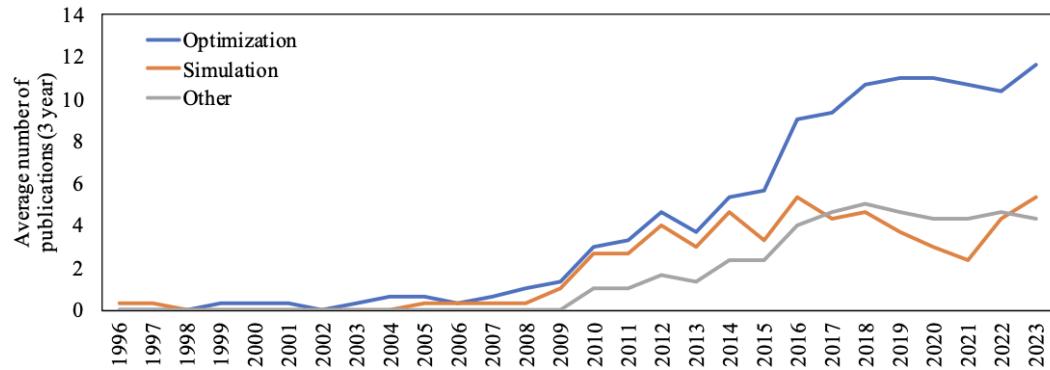


Figure 9: High-level overview of methods over time (3 year moving averages).

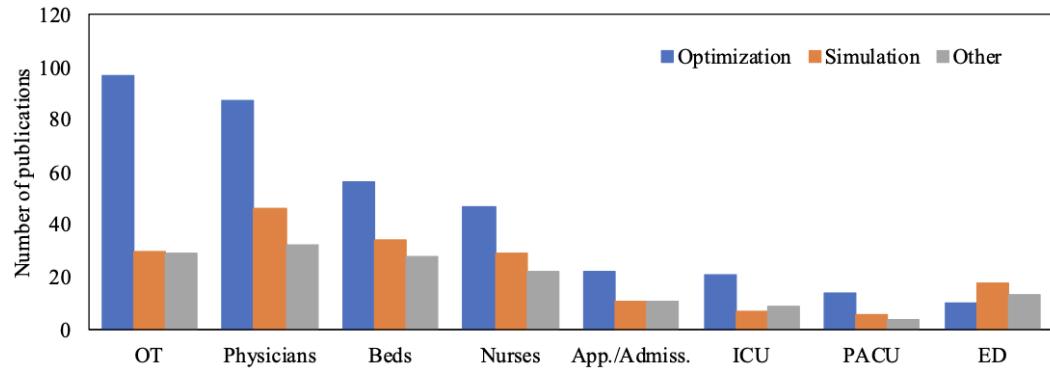


Figure 1.40: Publication trends between 1996 and 2023 from [9].

**Page 15:** In this page more in-depth analysis of the trends in relation to levels of integrated was conducted.

**Page 16:** Here is the final thought about general features of the studies, and the analysis continues to a practical side of the medical resource scheduling and planning which consideres uncertainies.

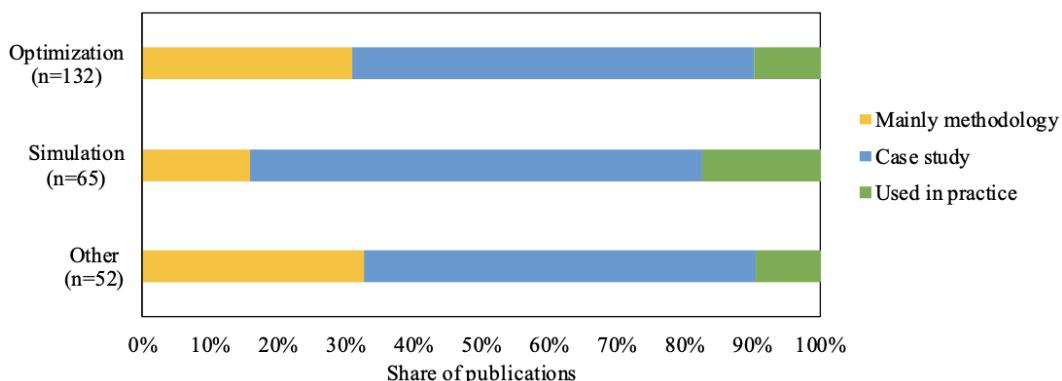
**Page 17:** More analysis regarding the models with uncertainities.

	Deterministic	Stochastic	Robust	Online	Total
Level 1	<b>36</b> (35/1)	<b>43</b> (22/21)	<b>4</b> (4/0)	–	<b>76</b> (54/22)
Level 3	<b>56</b> (52/4)	<b>54</b> (27/27)	<b>6</b> (6/0)	–	<b>107</b> (78/29)
Overall	<b>93</b> (88/5)	<b>96</b> (48/48)	<b>10</b> (10/0)	–	<b>183</b> (132/51)

**Figure 1.41:** Studies that considered deterministic, stochastic, robust, and online in relation to the level of integrated in [9].

**Page 18:** The reviewed works offer a variety of different uncertainties in the healthcare environment. Only a minority of the reviewed studies implement their models into real hospitals. There are other approaches to evaluate the developed scheduling approach.

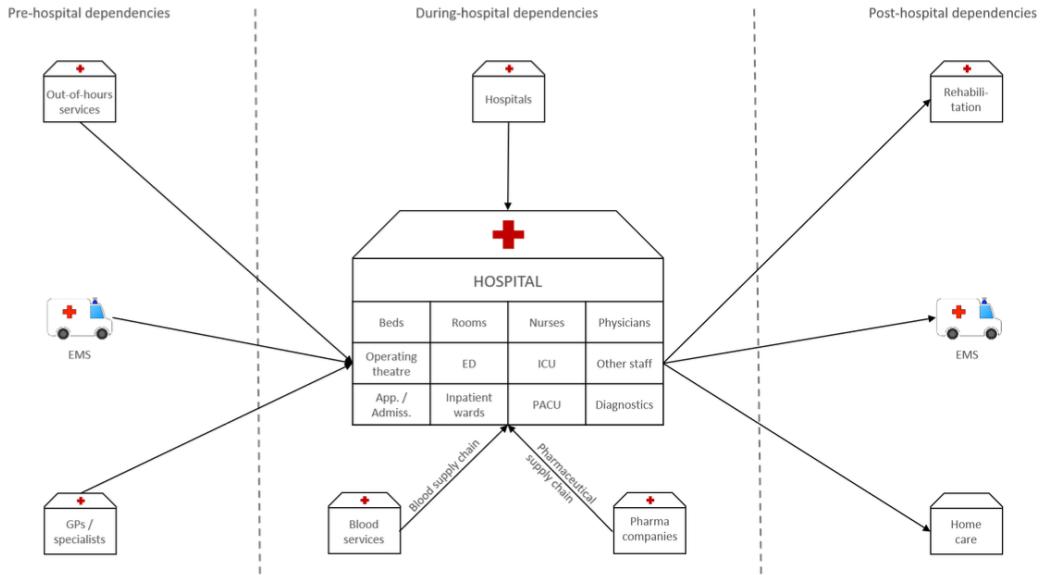
**Page 19:** Basically in this page the authors describe the chart below emphasizing that there are not many practical implementations of research, which indicates that journal publishers do not care about this aspect too much.



**Figure 1.42:** Distribution of theoretical work, case study, and implemented research for optimizations, simulations, and other approaches in [9].

**Page 20:** Here is an introduction of three departments depend on the patient stage of the healthcare flow: pre-hospital departments, during-hospital departments, and post-hospital departments.

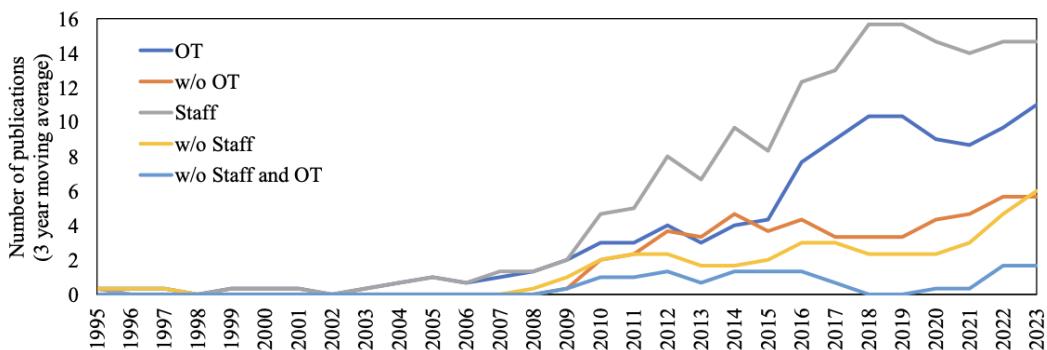
**Page 21:** The department interconnections.

**Figure 1.43:** Hospital departments from [9].

**Page 22:** The main resources in during-hospital department and bottlenecks in post-hospital demarments were discussed on this page.

**Page 23:** The trends show that if consider nurses and phisicians ander one term medical staff the number of publications starting from 2010 exceeds the number of publivations for OT. Nevertheless, OT still remains the most frequently considered primary objective in the medical resource scheduling research.

**Page 24:** There is still lack in studies which condidering uncertainties. Tha authors predict that it possibly will change in the near future, because the number of related work is growing. Implementation of the research findings on practice is also not frequent fenomena.

**Figure 1.44:** 3-year moving averages of the numbers of publications that do / do not consider OT or medical staff. from [9].

**Page 25:** The emphasis in the research gap lies on studies which do not interact with the patient directly (pharmacy for instance) and also onto medical staff oriented research.

**Page 26:** 1. Planning which does not involve patients directly; 2. Medical staff choreography; 3. Simulation studies; 4. Practical implementations.

## Chapter 2

# Conclusions

# Bibliography

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